NYSERDA 2022 OFFSHORE WIND SOLICITATION ORECRFP22-1

Stakeholder Engagement Plans -SCIP Facilities

Public version

Community Offshore Wind LLC Lease OCS-A 0539



national**grid RWE**

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Stakeholder Engagement Plan: Standardized Component

GE Vernova,

our portfolio of energy businesses

Version 1.0

Prepared pursuant to ORECRFP22-1

with

New York State Energy Research and Development Authority

Albany, NY

Prepared by

GE Vernova, our portfolio of energy businesses

GE Offshore Wind, LM Wind Power

One River Road, Schenectady, NY 12345



January 26, 2023

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1. Stakeholder Engagement Plan Summary

1.1. Overall philosophy and principles

The General Electric Company (GE), and GE Vernova, our portfolio of energy businesess, appreciates the opportunity to provide this Stakeholder Engagement Plan to the New York State Energy Research and Development Authority (NYSERDA).

GE Vernova will be the parent company of our wind energy businesses, including two subsidiaries mentioned throughout these Supply Chain Investment Plans -- GE Offshore Wind, our business that builds, supplies, and services offshore wind turbines, and LM Wind Power, a business that produces wind turbine blades for onshore and offshore wind for GE and other companies. In general, we will use the name "GE Vernova" to refer to the proposals and commitments we are making in this document. In a few cases, we refer to GE, the heritage company, individual businesses or a specific legal entity to be more precise. All individual businesses or legal entities mentioned roll up to GE Vernova.

As both New York and the nation's leading manufacturer and innovator of clean energy technology, GE values and shares with the thoughtful approach being considered by NYSERDA to not only advance the growth of renewable energy in the state but also advance economic development and jobs related to the growth of offshore wind. Reflecting this strong commitment is our proposal to establish a facility at the Port of Coeymans in New York's Capital region. *Our Standardized Component will further reinforce this commitment by highlighting how we will: 1) leverage our experience and extensive knowledge across the energy industry; 2) build on our established history and current presence in the state of New York; and 3) work proactively with all relevant stakeholders to ensure overwhelming success for New York, our customers, industry partners, and third-party entities.*

Engagement Philosophy & Mission

Throughout our 130-year history, GE has demonstrated a larger purpose of lifting up the quality of life for people around the globe. Our global employees work with customers, partners, communities, and governments in over 175 countries to deploy and innovate technology to solve the world's most pressing sustainability challenges. Every day, our people *rise to the challenge of building a world that works*.

GE has a long history of productive engagement in New York that encompasses a wide variety of stakeholders. We maintain strong relationships and partnerships across the state. We recognize stakeholder engagement is a critical part of the success of our facilities. For this reason, we place a high priority on our engagement and outreach and have already started the process.



With this foundation and a history of working to support jobs and economic growth in disadvantaged communities, we are eager to expand our presence in the state. This includes further building our presence in

disadvantaged communities (DACs), identified in the recent guidance from the Climate Action Council and Climate Justice Working Group.



1.2. Overall approach to incorporating data and stakeholder feedback

Before engagement can begin, GE understands that we need to know *who* we're engaging, along with their influence, areas of interest and need, and sentiment towards the project. To map stakeholders relevant to the facility, GE has already conducted (and plans to continue conducting) rigorous research to inform the creation of this Stakeholder Engagement Plan (and our broader SCIP narrative response). This included literature and data reviews of NYSERDA resources, state data, and other publicly available sources with the aim of identifying key stakeholders, communities, and organizations of interest, as well as associated demographics and socioeconomic indicators (please see the SCIP narrative for the results of some of that work). As stakeholder identification and mapping is an ongoing process, GE plans to continue to gather additional stakeholder information throughout the life cycle of the project. We expect to utilize an iterative process, where current stakeholders may inform us about new stakeholders through engagement activities and communications, and GE hopes to, in turn, use this information to continuously build out our database of stakeholders relevant to the project. Additionally, we expect to periodically monitor new literature from NYSERDA to stay up to date on information pertaining to DACs (knowing that the work of the Climate Justice Working Group is not yet complete) and other interest groups relevant to the project and incorporate this information into our stakeholder engagement initiatives.

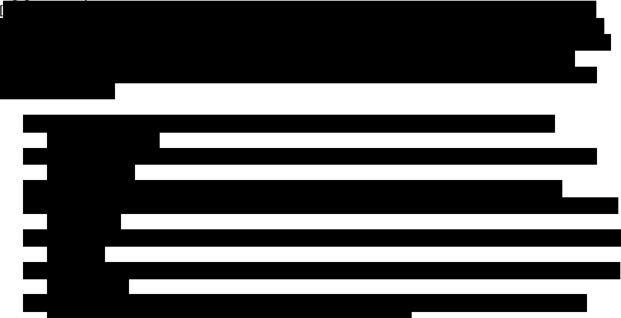
GE's Engagement Initiatives to Date

GE has a more than century history of engagement with many critical stakeholders across sectors and geographic regions and has allocated significant resources to foster these stakeholder relationships. Currently, GE is allocating resources to support clean energy and workforce development initiatives through a variety of training, outreach, and engagement programs and measures. An overview of these engagement initiatives by stakeholder type follows.





Engagement Topics of Interest



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1.3. Existing guidance and best practices that will be followed

GE and its partners are committed to an 'international best practice' approach to engagement. In line with those best practices, GE's philosophy is to ensure that stakeholder engagement is conducted based on timely, relevant, and accessible information, always seeking to ensure that stakeholders are given sufficient opportunity to voice their opinions and concerns, that this feedback influences project decision-making as much as is appropriate, and that stakeholders are treated with care and respect, including through following up on their open concerns.

Specific to New York, GE knows that NYSERDA has already conducted rigorous research to support these best practices and to identify critical stakeholders within the offshore wind and clean energy ecosystems. Key NYSERDA resources that GE has used or expects to use to guide stakeholder engagement include:

- NYSERDA's Guiding Principles for Offshore Wind Stakeholder Engagement;
- Offshore Wind Youth Action Program;
- New York State Disadvantaged Communities Barriers and Opportunities Report; and
- <u>Disadvantaged Communities map</u> (draft and final, when available).

By leveraging the information included in these guiding documents, GE is well positioned to promote active and ongoing engagement strategies and activities in line with NYSERDA's overarching goals for long-term stakeholder engagement for the offshore wind industry. Additionally, GE can prioritize engagement with communities already identified by NYSERDA as critical populations for engagement and investment towards developing an equitable offshore wind industry.

2. Stakeholder Identification and Stakeholder List

2.1. Overview and stakeholder identification objectives

Our stakeholder list and engagement plan focus on the stakeholders interested in or affected by the creation of the proposed facility at the Port of Coeymans. Stakeholders identified in this document include but are not limited to: elected leaders, organized labor, minority-focused groups, educational organizations, business groups, and environmental non-profits. The stakeholder list will continually be reevaluated, expanded and/or amended throughout the project's development and for the length of our manufacturing presence in the state.

In addition to the stakeholder engagement plan related to our manufacturing facilities, we will also work closely with our customers on their parallel stakeholder engagement plans to ensure alignment, coordination, and collaboration.





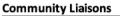
GE Departments Leading Engagements



Stakeholder Group	GE Individual/Department Leading Engagement
Government & Elected Officials	
Organized Labor	
Disadvantaged Communities & Environmental Justice Representatives	
Business & Trade Associations	
Workforce Development & Higher Education Partners	
Environmental & Conservation Groups & NGOs	

2.2. Assigning team members in your organization as the primary relationship holder

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Name/Title	Role/Responsibility	Contact information
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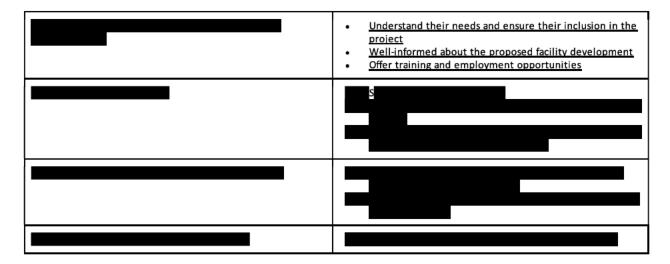
3. Stakeholder Engagement Goals

3.1. Defining Goals and Desired Outcomes

To guide engagement strategies and initiatives, GE has established the following general goals for facilitating engagement with stakeholders across sectors and with community members impacted by our investments.



Stakeholder Group	Engagement Priorities



In addition to general engagement goals, GE has set specific goals and planned targeted activities to ensure direct and meaningful engagement with DACs and environmental justice groups impacted by the project.

Goals for benefiting DACs

- Educate and equip local DACs to find employment and contracting opportunities
- Co-design programs or projects with and for DACs
- Develop channels and tools for people to report local concerns
- Directly address barriers to opportunity and workforce participation

Engagement Activities to Incorporate the Perspectives of DACs and Environmental Justice Communities

Activities to Date



Planned Activities



Collaborative decision-making is at the forefront of GE's strategy for conducting stakeholder engagement and outreach. To this end, GE has designed all of the stakeholder engagement tools and activities described in this document to facilitate and encourage collaborative decision-making across stakeholder groups. For more

information and a full list of proposed engagement activities that will utilize this collaborative methodology, please section 4.1 Planned Activities and Outreach.

4. Stakeholder Engagement Activities, Consultations and Partnerships

4.1. Planned Activities and Outreach

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Accessibility

GE's goal to 'meet people where they are' will ensure accessibility in all its forms. This work can involve: offering interpretation and translation services in relevant languages; hosting activities in spaces that are comfortable, safe, and convenient for a given stakeholder or community; serving food from and supporting valued local businesses; ensuring ADA color compliance; balancing a mix of written and graphic materials to convey messages clearly; accounting for childcare and transit; hosting meetings at different times of day; and demonstrating a fundamental understanding of stakeholder need, sentiment, and context. GE will have all of this in mind when engaging with stakeholders.



Engagement Activity Informing Protocol

Prior to conducting outreach with stakeholders, GE will inform NYSERDA of planned outreach communications and activities on a quarterly basis (or other cadence, at NYSERDA's preference). These will include alerting NYSERDA prior to scheduling and conducting targeted, closed engagement activities and posting public events to GE's project website event pages. GE will inform NYSERDA via email or phone call of planned activities and communications, and, when relevant, await confirmation to proceed prior to scheduling and publicizing these engagement activities.

Engagement Follow-Up Protocols



4.2. Planned Partnerships



5. Tracking Progress and Communications

5.1. Tracking Stakeholder Engagement

GE will report out to NYSERDA periodically to reflect on and improve stakeholder efforts as needed. Specifically, GE proposes quarterly updates to a rolling log showing stakeholders engaged, outcomes achieved, and relevant issues flagged for follow-up or resolution. GE hopes to work with NYSERDA at project outset to include all relevant 'metrics' in this log. Ideas for success definition include:

- Number of people and groups engaged
- Number of people and groups engaged from DACs
- Number of people hired from DACs
- Number of M/WBE and SDVOB businesses hired
- People trained or retrained to enter the offshore wind workforce
- Accessibility measures implemented
- Input received on key issues and those incorporated into project
- Input received on key issues and those not incorporated into project, but explained to stakeholders
- Follow up on digital and in person questions and communications
- Schedule adherence for development and construction (i.e., few delays due to stakeholder concerns)
- Diversity of times and locations where engaged is held



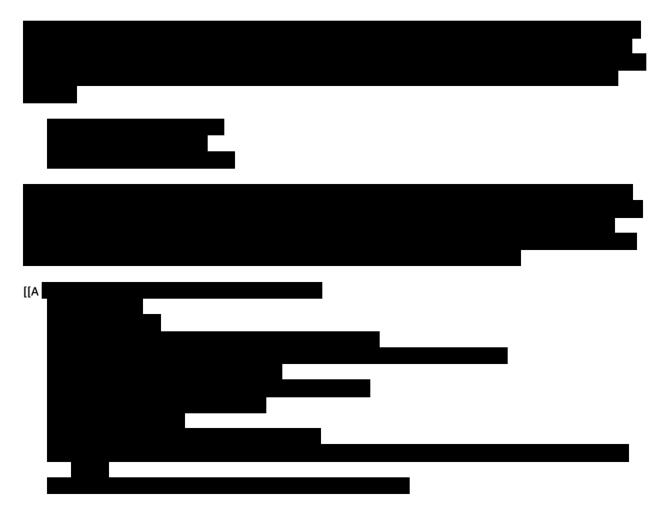
Engagement Tracking tables

The following tables will be included and likely augmented with the above-mentioned metrics for every quarterly report delivered to NYSERDA.

Example of tiered engagement tracker

The table below will show the organizations that have had the most engagements with their relationship owner

5.2. Tracking Stakeholder Marketing Efforts



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Example of public campaign and marketing method tracker

The table below will show methodology for initiating public marketing campaigns and details pertaining to campaign implementation.

Stakeholder Engagement Plan - GE Renewable Energy

Stakeholder Engagement Plan: Narrative Component

GE Vernova,

our portfolio of energy businesses

Version 1.0

Prepared pursuant to ORECRFP22-1

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Albany, NY

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January 26, 2023

F.1 Stakeholder Engagement Plan Summary

The General Electric Company (GE), and GE Renewable Energy Offshore Wind appreciates the opportunity to provide this narrative on our Stakeholder Engagement Plan to the New York State Energy Research and Development Authority (NYSERDA). As both New York and the nation's leading manufacturer and innovator of clean energy technology we value and align with the thoughtful approach being considered by NYSERDA to not only advance the growth of renewable energy in state but also advance economic development and jobs related to the growth of offshore wind. Reflecting this strong commitment is our proposal to establish a facility at the Port of Coeymans in New York's Capital region. *Our Narrative Component will further reinforce this commitment by highlighting how we will: 1) leverage our experience and extensive knowledge across the energy industry; 2) build on our established history and current presence in the state of New York; and 3) work proactively with all relevant stakeholders to ensure overwhelming success for the state of NY, our customers, industry partners, and third-party entities.*

Engagement Philosophy & Mission

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GE has a long history of productive engagement in New York that encompasses a wide variety of stakeholders. We maintain strong relationships and partnerships across the state. We recognize stakeholder engagement is a critical part of the success of our facilities. For this reason, we place a high priority on our engagement and outreach starting on day 1.



We will continue approaching our engagement by focusing on reaching all relevant stakeholders through early, sustained, open, and transparent dialogue. Given the complexity of the offshore wind industry, the wide variety of critical stakeholders, and the multifaceted length of the entire life cycle of a project, we are mindful of the importance of working together. This approach will help us identify and mitigate any concerns or conflicts early in the process and throughout the project's execution.

F.2 Stakeholder Identification and Stakeholder List

Our stakeholder list and engagement plan focus on the stakeholders interested in or affected by the creation of the proposed facility at the Port of Coeymans. Stakeholders identified in this document include but are not limited to: elected leaders, organized labor, minority-focused groups, educational organizations, business groups, and environmental non-profits. The stakeholder list will continually be reevaluated, expanded and/or amended throughout the project's development and for the length of our manufacturing presence in the state.

In addition to the stakeholder engagement plan related to our manufacturing facilities, we will also work closely with our customers on their parallel stakeholder engagement plans to ensure alignment, coordination, and collaboration.



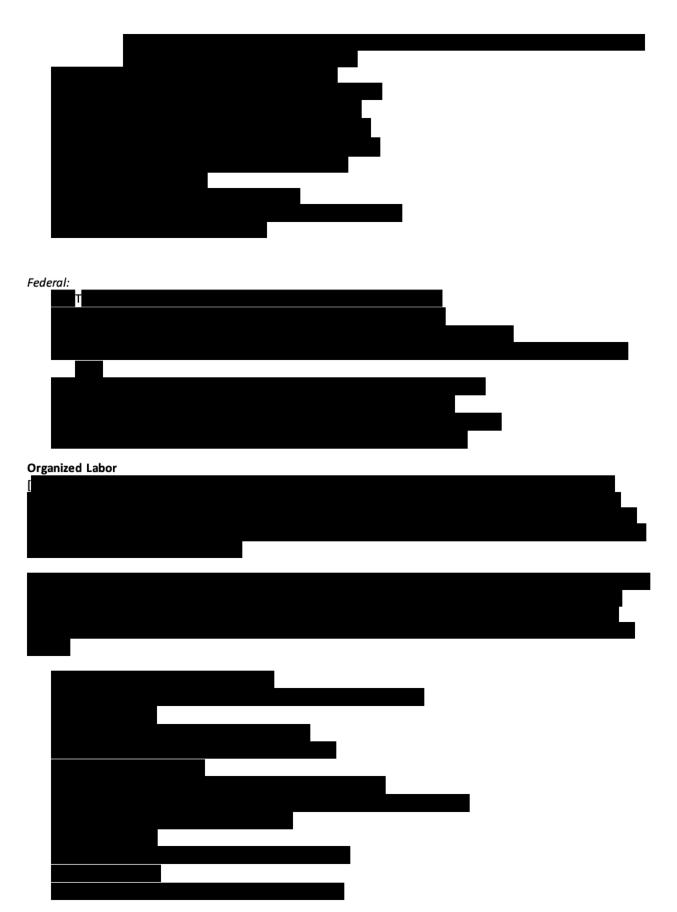
Overall Strategy Considerations

Please see F.4 Stakeholder Engagement Activities and Partnerships for a discussion of specific needs, accessibility, and overall strategy considerations to 'meet people where they are.'

Government and Elected Officials

Establishing the policy framework, obtaining funding, setting expectations, and communicating with the NYS Executive Branch - specifically NYSERDA and the Executive Chamber - are all critical to the success of this project. The federal officials listed below are key supporters of the project. Collectively, they can help to raise its political profile and act as a convener for all stakeholders in New York. GE also will be conducting outreach to those elected officials who represent key government partners at the local and county levels.







Disadvantaged Communities & Environmental Justice Representatives





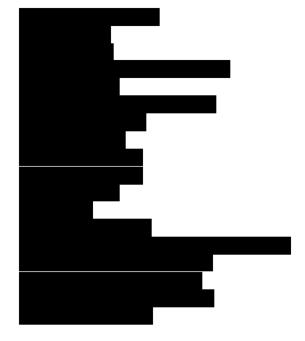
Business & Trade Associations





Workforce Development and Higher Education Partners





Environmental & Conservation Groups & NGOs



F.3 Stakeholder Engagement Goals

GE is committed to ensuring stakeholders understand the plan and every stage of the process. Our commitment is to be full partners and coordinate with every stakeholder, engage them early and often, be available in real-time, and work in an environment of transparency and candor.

GE has established the following general goals to engage all stakeholders across different groups and community members impacted by our investments.



F.4 Stakeholder Engagement Activities and Partnerships

Starting on Day 1, stakeholder engagement will form a critical part of each phase of facilities' development, from planning to construction to operations. GE proposes the following menu of tailored engagement tactics to be deployed strategically through these project phases. The variety of proposed activities is intended to reach a diversity of stakeholders and meet them 'where they are,' both figuratively and literally.



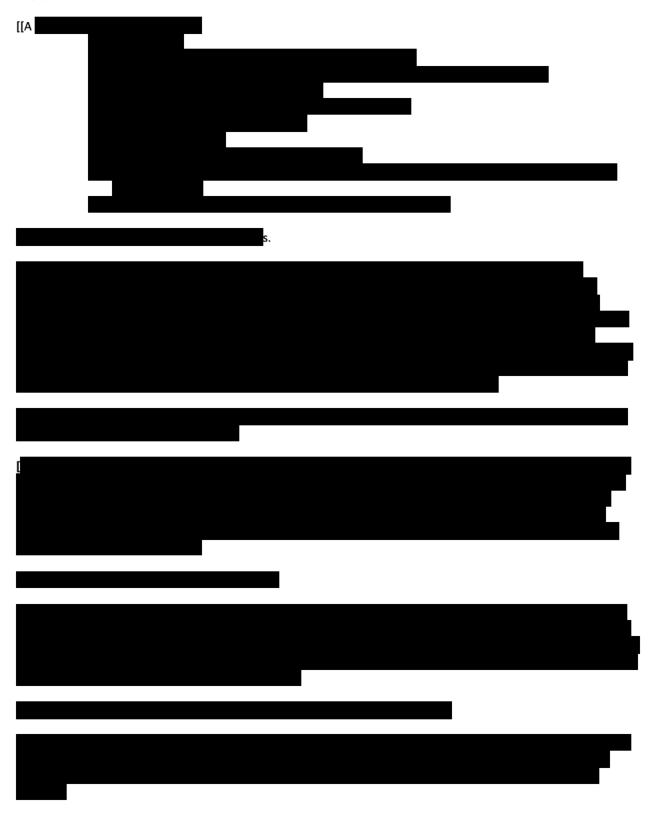


6.A.1 Potential Engagement Activities by Project Phase*

Engagement Tactic	Planning	Construction	Operations
Digital Campaigns	\checkmark	\checkmark	√
New York Stakeholder Council	√	\checkmark	√
Project Kick-Off Workshop	1	√	
Focus Groups	√		
One-on-one Meetings	1	√	
Project Gallery	V	V	
Briefings with Elected and Government Officials	\checkmark	~	\checkmark
Training and Hiring Activities	1	\checkmark	√
Supplier Forums	√	\checkmark	√

Digital Campaigns: GE's communications team plans to launch a digital information-sharing campaign to support the factories, sharing key information, opportunities and other resources. We will create compelling content to share on social media to advertise relevant project details, build a strong brand for the project, and as needed, collect feedback on targeted questions. The goal is to reach and activate as many stakeholders as possible and for

people to be able to passively engage with this project if resource constraints do not allow them to attend other engagements.



This method will apply to all stakeholder types.]]



Training and Hiring Activities: GE is committed to developing a diverse and highly skilled workforce to build, install, and maintain our facilities. We have extensive experience conducting engagement towards the goals of diverse training and hiring, and will continue this deep work throughout the facilities' development, including through the following channels:



Defining Success Please see F.5 Tracking Progress and Communications for a discussion of metrics and success definition.

F.5 Tracking Progress and Communications

GE will report out to NYSERDA periodically to reflect on and improve stakeholder efforts as needed. Specifically, GE proposes quarterly updates to a rolling log showing stakeholders engaged, outcomes achieved, and relevant issues flagged for follow-up or resolution. GE hopes to work with NYSERDA at project outset to include all relevant 'metrics' in this log. Ideas for success definition include:

- Number of people and groups engaged
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Stakeholder Engagement Plan – LM

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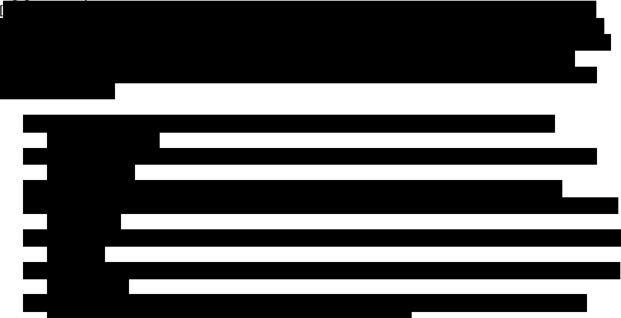
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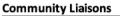
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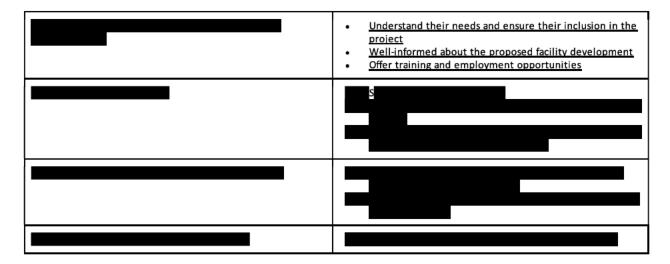
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Engagement Follow-Up Protocols



4.2. Planned Partnerships



5. Tracking Progress and Communications

5.1. Tracking Stakeholder Engagement

GE will report out to NYSERDA periodically to reflect on and improve stakeholder efforts as needed. Specifically, GE proposes quarterly updates to a rolling log showing stakeholders engaged, outcomes achieved, and relevant issues flagged for follow-up or resolution. GE hopes to work with NYSERDA at project outset to include all relevant 'metrics' in this log. Ideas for success definition include:

- Number of people and groups engaged
- Number of people and groups engaged from DACs
- Number of people hired from DACs
- Number of M/WBE and SDVOB businesses hired
- People trained or retrained to enter the offshore wind workforce
- Accessibility measures implemented
- Input received on key issues and those incorporated into project
- Input received on key issues and those not incorporated into project, but explained to stakeholders
- Follow up on digital and in person questions and communications
- Schedule adherence for development and construction (i.e., few delays due to stakeholder concerns)
- Diversity of times and locations where engaged is held



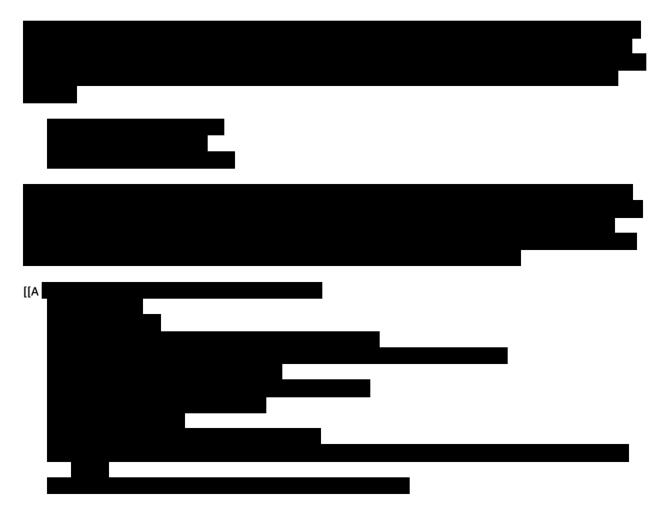
Engagement Tracking tables

The following tables will be included and likely augmented with the above-mentioned metrics for every quarterly report delivered to NYSERDA.

Example of tiered engagement tracker

The table below will show the organizations that have had the most engagements with their relationship owner

5.2. Tracking Stakeholder Marketing Efforts



[[A			

Example of public campaign and marketing method tracker

The table below will show methodology for initiating public marketing campaigns and details pertaining to campaign implementation.

Stakeholder Engagement Plan - GE Renewable Energy

Stakeholder Engagement Plan: Narrative Component

GE Vernova,

our portfolio of energy businesses

Version 1.0

Prepared pursuant to ORECRFP22-1

with

New York State Energy Research and Development Authority

Albany, NY

Prepared by

GE Vernova, our portfolio of energy businesses

GE Offshore Wind, LM Wind Power

One River Road, Schenectady, NY 12345



January 26, 2023

F.1 Stakeholder Engagement Plan Summary

The General Electric Company (GE), and GE Renewable Energy Offshore Wind appreciates the opportunity to provide this narrative on our Stakeholder Engagement Plan to the New York State Energy Research and Development Authority (NYSERDA). As both New York and the nation's leading manufacturer and innovator of clean energy technology we value and align with the thoughtful approach being considered by NYSERDA to not only advance the growth of renewable energy in state but also advance economic development and jobs related to the growth of offshore wind. Reflecting this strong commitment is our proposal to establish a facility at the Port of Coeymans in New York's Capital region. *Our Narrative Component will further reinforce this commitment by highlighting how we will: 1) leverage our experience and extensive knowledge across the energy industry; 2) build on our established history and current presence in the state of New York; and 3) work proactively with all relevant stakeholders to ensure overwhelming success for the state of NY, our customers, industry partners, and third-party entities.*

Engagement Philosophy & Mission

Throughout our 130-year history, GE has demonstrated a larger purpose of lifting up the quality of life for people around the globe. Our global employees work with customers, partners, communities, and governments in over 175 countries to deploy and innovate technology to solve the world's most pressing sustainability challenges. Every day, our people *rise to the challenge of building a world that works*.

GE has a long history of productive engagement in New York that encompasses a wide variety of stakeholders. We maintain strong relationships and partnerships across the state. We recognize stakeholder engagement is a critical part of the success of our facilities. For this reason, we place a high priority on our engagement and outreach starting on day 1.



We will continue approaching our engagement by focusing on reaching all relevant stakeholders through early, sustained, open, and transparent dialogue. Given the complexity of the offshore wind industry, the wide variety of critical stakeholders, and the multifaceted length of the entire life cycle of a project, we are mindful of the importance of working together. This approach will help us identify and mitigate any concerns or conflicts early in the process and throughout the project's execution.

F.2 Stakeholder Identification and Stakeholder List

Our stakeholder list and engagement plan focus on the stakeholders interested in or affected by the creation of the proposed facility at the Port of Coeymans. Stakeholders identified in this document include but are not limited to: elected leaders, organized labor, minority-focused groups, educational organizations, business groups, and environmental non-profits. The stakeholder list will continually be reevaluated, expanded and/or amended throughout the project's development and for the length of our manufacturing presence in the state.

In addition to the stakeholder engagement plan related to our manufacturing facilities, we will also work closely with our customers on their parallel stakeholder engagement plans to ensure alignment, coordination, and collaboration.



Overall Strategy Considerations

Please see F.4 Stakeholder Engagement Activities and Partnerships for a discussion of specific needs, accessibility, and overall strategy considerations to 'meet people where they are.'

Government and Elected Officials

Establishing the policy framework, obtaining funding, setting expectations, and communicating with the NYS Executive Branch - specifically NYSERDA and the Executive Chamber - are all critical to the success of this project. The federal officials listed below are key supporters of the project. Collectively, they can help to raise its political profile and act as a convener for all stakeholders in New York. GE also will be conducting outreach to those elected officials who represent key government partners at the local and county levels.





Federal:

- The Honorable Charles Schumer, U.S. Senate (members of the staff)
- The Honorable Kirsten Gillibrand, U.S. Senate (members of the staff)
- The Honorable Paul Tonko, U.S. House of Representatives (members of the staff)
- The White House and Office of Domestic Climate Policy -John Podesta, Ali Zaid (other members of the staff)
- Department of Energy Secretary Jennifer Granholm (members of the staff)
- Department of Interior, Secretary Deb Haaland (members of the staff)
- Department of Commerce, Secretary Gina Raimondo and members of the staff
- Department of Labor, Secretary Martin J. Walsh (members of the staff)]]

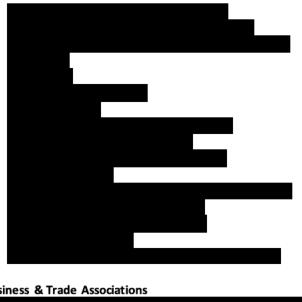
Organized Labor





Disadvantaged Communities & Environmental Justice Representatives





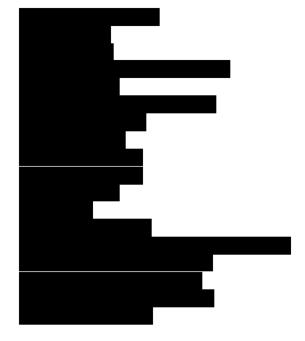
Business & Trade Associations





Workforce Development and Higher Education Partners





Environmental & Conservation Groups & NGOs



F.3 Stakeholder Engagement Goals

GE is committed to ensuring stakeholders understand the plan and every stage of the process. Our commitment is to be full partners and coordinate with every stakeholder, engage them early and often, be available in real-time, and work in an environment of transparency and candor.

GE has established the following general goals to engage all stakeholders across different groups and community members impacted by our investments.



F.4 Stakeholder Engagement Activities and Partnerships

Starting on Day 1, stakeholder engagement will form a critical part of each phase of facilities' development, from planning to construction to operations. GE proposes the following menu of tailored engagement tactics to be deployed strategically through these project phases. The variety of proposed activities is intended to reach a diversity of stakeholders and meet them 'where they are,' both figuratively and literally.





6.A.1 Potential Engagement Activities by Project Phase*

Engagement Tactic	Planning	Construction	Operations
Digital Campaigns	1	\checkmark	√
New York Stakeholder Council	√	\checkmark	\checkmark
Project Kick-Off Workshop	√	\checkmark	
Focus Groups	1		
One-on-one Meetings	√	\checkmark	
Project Gallery	~	V	
Briefings with Elected and Government Officials	\checkmark	\checkmark	~
Training and Hiring Activities	1	1	1
Supplier Forums	1	\checkmark	√

Digital Campaigns: GE's communications team plans to launch a digital information-sharing campaign to support the factories, sharing key information, opportunities and other resources. We will create compelling content to share on social media to advertise relevant project details, build a strong brand for the project, and as needed, collect feedback on targeted questions. The goal is to reach and activate as many stakeholders as possible and for

people to be able to passively engage with this project if resource constraints do not allow them to attend other engagements.



This method will apply to all stakeholder types.]]



Training and Hiring Activities: GE is committed to developing a diverse and highly skilled workforce to build, install, and maintain our facilities. We have extensive experience conducting engagement towards the goals of diverse training and hiring, and will continue this deep work throughout the facilities' development, including through the following channels:



Defining Success Please see F.5 Tracking Progress and Communications for a discussion of metrics and success definition.

F.5 Tracking Progress and Communications

GE will report out to NYSERDA periodically to reflect on and improve stakeholder efforts as needed. Specifically, GE proposes quarterly updates to a rolling log showing stakeholders engaged, outcomes achieved, and relevant issues flagged for follow-up or resolution. GE hopes to work with NYSERDA at project outset to include all relevant 'metrics' in this log. Ideas for success definition include:

- Number of people and groups engaged
- Number of people and groups engaged from DACs
- Number of people hired from DACs
- Number of M/WBE and SDVOB businesses hired
- People trained or retrained to enter the offshore wind workforce
- Accessibility measures implemented
- Input received on key issues and those incorporated into project
- Input received on key issues and those not incorporated into project, but explained to stakeholders
- Follow up on digital and in person questions and communications
- Schedule adherence for development and construction (i.e., few delays due to stakeholder concerns)
- Diversity of times and locations where engaged is held



Prior to conducting outreach with stakeholders, GE will inform NYSERDA of planned outreach communications and activities on a quarterly basis (or other cadence, at NYSERDA's preference). These will include alerting NYSERDA prior to scheduling and conducting targeted, closed engagement activities and posting public events to GE's project website event pages. GE will inform NYSERDA via email or phone call of planned activities and communications, and, when relevant, await confirmation to proceed prior to scheduling and publicizing these engagement activities.

Stakeholder Engagement Plan – Staten Island Marine Terminal



Stakeholder Engagement Plan (Standardized)

Stakeholder Engagement Plan

for

Staten Island Marine Terminal SCIP Facility Funding Version [1.0]

Prepared pursuant to [contract number, date (TBD)]

with

New York State Energy Research and Development Authority

Albany, NY

Prepared by

NorthPoint Development LLC

3315 N Oak Trafficway Kansas City, MO 64116

[January 24, 2023]

Record of Revision			
Description of changes	Revision on pages		
[Original issue]	[page(s)]		
	Description of changes		

Links to project information:

[https://www.beyondthecontract.com/]

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1 Stakeholder Engagement Plan Summary

1.1 Overall Philosophy and Principles

This section should describe the overall philosophy and principles Proposers will follow to understand, incorporate, and respond to the diverse perspectives, needs, and concerns of stakeholders at every stage of development. Proposers are encouraged to consider a mission statement that drives their engagement and supports accountability.

Implementation of a comprehensive plan is critical to ensure an accessible engagement process for all stakeholders. This document will ensure that NorthPoint Development (NorthPoint) and its external affairs consultant teamwork in lockstep to (1) execute stakeholder engagement that will support planning and development before NYSERDA release subsequent bids, (2) inform opportunities and constraints to developing an offshore wind marine terminal in the project area, and (3) help the NorthPoint team reach their stakeholder engagement goals swiftly.

NorthPoint prioritizes community outreach and engagement that will enable thoughtful design and construction practices that are mindful of and mitigate the impacts on the local community and environment.

NorthPoint will focus outreach on creating trusted and enduring relationships with partners, team members and communities. NorthPoint's culture is a reflection of the thousands of decisions guided by its core values that are made each day by its team members. These core values are the fabric of NorthPoint's culture and NorthPoint is committed to practicing them. NorthPoint believes the success it has experienced derives from the commitment to doing the right thing every time.

1.2 Overall Approach to Incorporating Data and Stakeholder Feedback

This section should describe how Proposers will use research, data, and stakeholder feedback to update the Stakeholder Engagement Plan, and support decision-making throughout the life cycle of the Project (preconstruction, surveys, site design, construction, operations, and decommissioning).

- NorthPoint shall perform a stakeholder mapping exercise to obtain population, cultural, and economic statistics and information on communities or interest groups relevant to the Project.
- NorthPoint shall detail the allocated resources used to continuously support long-term engagement and Project initiatives.
- NorthPoint shall review and seek input from stakeholders on local economic development, reduced energy burden, avoided health costs, added climate resiliency, avoided environmental costs, added environmental benefits, workforce training opportunities, and economically Disadvantaged Community participation.

• Additionally:

- Stakeholder engagement will continue as part of the City Environmental Quality Review (CEQR) process.
- As part of CEQR, NorthPoint will continue to initiate stakeholder engagement research to understand the general areas of the Project's operation and identify decision makers and influencers. NorthPoint will also identify groups not currently being engaged.
- NorthPoint has identified the following topics of interest and concern to stakeholder groups through previous outreach methods. These include: (1) environmental impacts, (2) site layout, (3) vehicle circulation/traffic mitigation, (4) site amenities, (5) landscaping strategies, and (6) infrastructure improvements.

1.3 Existing Guidance and Best Practices That Will be Followed

This section should present a list of existing guidance documents, publications, tools, and/or plans that will be followed to support the Stakeholder Engagement Plan. Include links, if available, for all references.

NorthPoint has utilized the following plans and guidance documents to inform this Stakeholder Engagement Plan:

- American Clean Power's Offshore Wind Public Participation Guide. 2022. <u>https://cleanpower.org/resources/offshore-wind-public-participation-guide/.</u>
- New York State Energy Research and Development Authority (NYSERDA) Guiding Principles for Offshore Wind Stakeholder Engagement. 2022. <u>https://www.nyserda.ny.gov/All-Programs/Offshore-Wind/Focus-Areas/Connecting-With-New-Yorkers</u>.
- NorthPoint Development LLC. 2021. Good Neighbor Policy. Internal Document.
- Rutgers University's Opportunities for Public Participation in Offshore Wind Planning in New Jersey. 2022. <u>https://njclimateresourcecenter.rutgers.edu/resources/opportunities-for-public-participation-in-offshore-wind-planning-in-new-jersey/</u>.

2 Stakeholder Identification and Stakeholder List

2.1 Overview and Stakeholder Identification Objectives

This section should provide an overview of the stakeholder identification and relationship management methods. A list of key stakeholders is provided in **Table 1** below.

• NorthPoint shall describe methods used to identify a working list of diverse community members, local and state elected officials, state and federal agencies, institutions, local

businesses, environmental justice communities, and nonprofit organizations associated with their Project.

 NorthPoint shall identify and list disadvantaged communities potentially impacted by the project using the New York State Disadvantaged Communities Map. The Disadvantaged Communities definition is currently accepting public comment and will be finalized July 2022. Additionally, communities may be added or removed during the CJWG annual review process and Proposers will be required to adjust their engagements accordingly.

Group Stakeholder		
Academia/ Research	College of Staten Island	
	Young Adult Work Opportunities for Rewarding Careers (YA WORC)	
Youth	Vocational Training that Emphasizes a Continuing Responsibility Toward	
	Education and Development (V-CRED)	
	College of Staten Island – Continuing Education	
Workforce	NYC SBS Workforce1	
workforce	Staten Island Technical High School	
	Industrial Alliance	
	Staten Island Economic Development Corporation (SIEDC)	
Economic	Staten Island Civic Association of NY, Inc.	
	Staten Island Chamber of Commerce	
	Congresswoman Nicole Malliotakis	
	Staten Island Borough President Vito J Fossella	
	State Senator Andrew Joseph Lanza	
	State Senator Diane Savino	
Elected Officials	Assembly Member Charles Fall	
	Council Member Kamila Hanks	
	Council Member Joe Borelli	
	Councilman Steve Matteo	
	City Hall	
	Fresh Kills Landfill Administration	
	NYC Economic Development Corporation	
Government	NYS Dept. Of Environmental Conservation	
	NYS Energy and Research Development Authority	
	NYC Dept. Of City Planning	
	Community Board 1	
Community Boards	Community Board 2	
	Community Board 3	
	Staten Island Environmental Communicators	
Environment	The Greenbelt Conservancy	
LIVIOIIIIent	Wetlands Preservation Foundation	
	Staten Island Protector of Pine Oaks Woods	

Table 1: Key Stakeholder Groups in the Project Area

Group	Stakeholder	
Coastal Resilience	East Shore Neighborhoods – Resilient Neighborhoods Initiative	
Coastal Resilience	Army Corps of Engineers - Special Initiative for Rebuilding and Resiliency (SIRR)	
Environmental	Staten Island Urban Center	
Iustice	North Shore Waterfront Conservancy	
Justice	Indivisible Staten Island	
Media	Staten Island Advance	

- NorthPoint shall identify what departments within their organization will own the relationships with the stakeholders listed.
- NorthPoint are strongly encouraged to hire community liaison officers. Proposers with community liaison officers on staff should provide, to the best extent possible, details as to why the community liaison officer is a trustworthy messenger for the community they represent. Proposers that have yet to hire community liaisons shall indicate if they plan to do so and the communities for which the liaison would represent. Note for areas most affected by Project development, NYSERDA recommends county liaisons with strong community relationships within the county's cities or towns.
- Additionally:
 - As appropriate, NorthPoint will engage identified key stakeholder categories at federal, state, and local levels and identify their levels of influence on NYSERDA's procurement process.
 - NorthPoint will identify stakeholders through desktop research and outreach methods, considering specific groups across environmental, energy, academia, fisheries, ports and infrastructure, and business industries.
 - NorthPoint acknowledges as actual levels of influence and interest are stakeholder and risk-specific, assessments provided as part of the Project are subject to change.
 - NorthPoint will engage with disadvantaged communities and environmental justice areas, when appropriate.

2.2 Assigning team members in your organization as the primary relationship holder

This section will provide a list of communication officers, their role, and name and contact information. The list should provide stakeholders with an understanding of who should be called for a particular issue or question. Proposers should indicate if and who reports to certain staff members such that NYSERDA may understand organizational structure. In addition to this list, Proposers should explain how stakeholders will access this list and how it will be kept current to reflect organic changes and turnovers in responsibilities throughout the Project life cycle.

Table 2 below summarizes the project communications and engagement team.

Name/Title	Role/Responsibilities	Contact Information
Bryan Roslund, P.E.	Site design and due diligence	Phone: (973) 919-4114
Development Manager	manager for Staten Island	Email: broslund@northpointkc.com
	Marine Terminal	
David Rickard	Site Permitting Lead	Phone: (913)-827-7860
Vice President of Development		Email: drickard@northpointkc.com
Brian Stahl	Political Outreach Manager	Phone: (570)-417-0031
Manager for Vice President of		Email: <u>bstahl@northpointkc.com</u>
Development		
Jamie Lamb	Community Stakeholder	Phone: 913-337-8085
Community Development	Manager	Email: <u>ilamb@northpointkc.com</u>
Director		
William Farrell	Political and Community	Phone: (212)-652-3866
Communications Liaison	Engagement	Email: wfarrell@pittabishop.com

Table 2: Project Communication and Engagement Team

3 Stakeholder Engagement Goals

3.1 Defining Goals and Desired Outcomes

This section should describe goals and desired outcomes developed through a collective understanding of shared interests for each stakeholder group identified in 2.1.

- NorthPoint shall define the issues and/or information prioritized by each stakeholder group in collaboration with stakeholders.
- NorthPoint shall list goals set for benefiting disadvantaged communities consistent with the Climate Act's focus on building an inclusive, clean energy economy including education, training, and hiring opportunities.
- NorthPoint shall list engagement activities to date and planned activities to incorporate the
 perspectives of Disadvantaged and Environmental Justice Communities to the offshore wind
 development.
- NorthPoint shall list identified opportunities for collaborative decision-making and efforts throughout the development process with community members, local elected officials, relevant businesses, institutions, environmental justice communities, disadvantaged communities, and non-profit organizations including but not limited to MOUs and LOIs.
- Additionally:
 - NorthPoint commits to inclusive and accessible engagement for all stakeholders by adhering to engagement best practices throughout the CEQR public review process.

4 Stakeholder Engagement Activities, Consultations and Partnerships

4.1 Planned Activities and Outreach

This section shall detail options for engagement activities and follow-up with community members, local elected officials, institutions, local businesses, and nonprofit organizations. Engagement activities detailed in this section should specify with what stakeholder groups they will be leveraged. While it is critical to include a wide range of voices, including the key stakeholder groups illustrated in Section 4, it is also necessary to direct a concerted effort towards engagement to include historically marginalized groups traditionally left out of development decisions, such as disadvantaged and frontline communities. A thoughtful approach to planned activities and outreach will specifically detail how these efforts are tailored to and vary to uniquely consider each stakeholder group and increase awareness and participation from each group.

- NorthPoint shall list engagement approaches and activities specific to stakeholder groups defined in 2.1.
- NorthPoint shall detail accessibility factors, especially for Disadvantaged Communities, and provide a variety of opportunities to participate and ways to provide input in consideration of planned stakeholder activity and outreach.
- NorthPoint shall detail their anticipated outreach and schedule prior to informing stakeholders of planned activities including alerting NYSERDA and posting public events to their project website's event pages.
- NorthPoint shall detail their plan for providing consistent follow-up with the stakeholders they have engaged, especially members of Disadvantaged Communities, to make clear how their input was considered, and/or provide learning opportunities to raise awareness of and gain support for the Project with the stakeholders.
- NorthPoint shall participate in technical working groups related to fisheries, marine, jobs and supply chain, and other potential technical working groups to ensure industry coordination.
- Additionally:
 - NorthPoint will update the following communication tools, when appropriate, to increase transparency and promptly distribute information: (1) website, (2) social media, (3) presentations, (4) newsletters, and (5) local and community events.
 - NorthPoint will implement a Project Feedback Mechanism as part of the CEQR public comment period that will be a key means for stakeholders to submit concerns, comments, or feedback. This will allow the Project Team to respond to or address feedback in a consistent, transparent, and timely manner.
 - NorthPoint has previously implemented outreach activities as part of other projects across the U.S. Examples of these activities and best practices include:

- Administering neighborhood meetings to provide details of the project and open dialogue with the community at the start of the Project.
- Crafting NorthPoint's message regarding stakeholder and community outreach specific the Project's audience by promoting preparedness, simplicity, and building trust.
- Understanding the key issues that the Project Area community faces through research.
- Distributing printed outreach materials that is fully accessible to people with disabilities and meet all accessibility guidelines.
- Funding neighborhood infrastructure upgrades and improvements.
- Joining organizations such as the Staten Island Economic Development Corporation (SIEDC) and/or other community partnership groups.
- Supporting local workforce training for Project uses.
- Engaging with local community services such as fire, ambulance, police, etc.
- Compensating adjacent neighbors that are negatively affected.
- Ensuring minimal impacts to the public within the Project Area.

4.2 Planned Partnerships

This section should describe proposed or existing partnerships with community organizations, institutions, local businesses, and nonprofit organizations.

- NorthPoint should provide as much detail of the nature of these partnerships, and any particular hiring, training, or educational opportunities in local communities.
- NorthPoint should explain where partnerships may foster more business opportunities for local businesses to participate and benefit from the growing offshore wind industry.
- Additionally:
 - NorthPoint will evaluate participation in the NYSERDA led technical working groups to support industry coordination. NorthPoint plans to continue to meet with a wide range of stakeholders to obtain input on the project and develop partnerships to facilitate responsible project development.

5 Tracking Progress and Communications

5.1 Tracking Stakeholder Engagement

This section should detail the tracking of relationships, activities, and both successful or unsuccessful outcomes from engagements.

- In an effort to require early and regular engagement with stakeholders that may be potentially affected by the project activities, NYSERDA is building upon contractual expectations regarding progress tracking in Quarterly Reports.
- NorthPoint should detail how they plan to track relationship progress with stakeholders and provide a current example of such a tracker. NYSERDA is open to accepting the same report style as the BOEM OCS Lessees are required to submit for the agency's stipulated semi-annual progress report.
 - Options could include Tiering stakeholders by how well connected they are to the Project, or how by the number of times they've engaged in activity with their respective relationship owner. As events, meetings, and general communication occurs per quarter, Proposers should track stakeholder tiers and be able to show change in status of relationships and reasoning.
 - The tracking method chosen by Proposers should be able to depict proposed activities and provide updates on engagement activities, impacts on or benefits to the identified stakeholder groups identified in section 2.1, and how, if at all, a project has been informed or altered to address those challenges or benefits, as well as any planned engagement activities during the next quarterly reporting period.
 - In acknowledgment of the existing and growing consultation burden placed on many of the stakeholders, the stipulation also requires, to the maximum extent practicable, that Proposers coordinate with one another on engagement activities. It is NYSERDA's intention that this requirement to coordinate engagement apply not only to meetings proposed by Proposers, but also to reasonable requests to coordinate engagement requested by stakeholders.
- NorthPoint should include tracking tables for goals defined in 3.1. that include when and where the goal is supported or achieved through engagement activities.
- NorthPoint shall provide a list of engagements along with the data seen in the table below
- Additionally:
 - NorthPoint intends to create a Stakeholder Register that is a living document and will be updated as NorthPoint continues engagement activities. Table 3 below provides stakeholder engagement activities to date.

Organization Type	Engagement Type	Goal/Subject of Engagement	Marketing Ahead of Event	Attendance Targeted	Final Attendance	Date of Event	Follow-Up Material Provided
City Council	Meeting	Address concerns on impact on Rossville; community access; roadway				08/16/ 2021	
State Senate; GOP	Meeting	Share information from NP PDF presentation; committed to continue to provide updates through the project development				08/19/ 2021	
Assembly						09/14/ 2021	
Community Board 3	Meeting	Discuss Industrial Site Plan and remedial activities along with feedback on concerns				10/12/ 2021	
Community Board 3	Site Tour					10/28/ 2021	
City Planning Staten Island Office	Site Tour	Tour site along with discuss Industrial Site Plan and remedial activities				10/1/ 2021	
Staten Island Chamber of Commerce; Governor's Office; Assembly	Meeting	General introductions at SI Chamber of Commerce breakfast				10/20/ 2021	
Governor's Office	Site tours of Port Mobil and LNG	Discuss remediation				11/10/ 2021	
Borough President's (BP) Office	Meeting	Status update on Port Mobil and LNG				11/11/ 2021	
City Hall (de Blasio administration)	Meeting	Brief overview of Port Mobil and LNG				03/26/ 2021	
New York City Economic Development Corporation (EDC)	Meeting	Brief overview of Port Mobil and LNG				03/23/ 2021	
City Hall (de Blasio administration)	Meeting	Brief overview of Port Mobil and LNG				02/24/ 2021	
Governor's Office	Meeting	Briefing on shift to OSW plan				07/05/ 2022	
City Planning Staten Island Office	Meeting	Briefing on shift to OSW plan				07/13/ 2022	
City Planning	Meeting	Briefing on shift to OSW plan				08/01/ 2022	
EDC	Meeting	Briefing on shift to OSW plan				08/08/ 2022	
New York State Department of Environmental Conservation (NYSDEC) remediation team	Meeting					08/17/ 2022	
Senator Lanza	Meeting					08/24/ 2022	
Council Member Borelli	Meeting	Briefing on shift to OSW				08/24/ 2022	

Table 3: Existing Stakeholder Engagement Activity Tracker

Organization Type	Engagement Type	Goal/Subject of Engagement	Marketing Ahead of Event	Attendance Targeted	Final Attendance	Date of Event	Follow-Up Material Provided
State Assembly	Meeting	Briefing on shift to OSW					
Congress	Meeting	Briefing on project					
State Assembly	Meeting	Briefing on project					
Staten Island Chamber of Commerce	Meeting	Briefing on shift to OSW					
BP's Office	Casual run- in						
BP's Office	Meeting	Briefing on shift to OSW				09/28/ 2022	
Deputy Mayor	Meeting	Briefing on OSW project				10/13/ 2022	
NYS Executive Chamber/NYSERDA	Meeting	Briefing on OSW project				10/20/ 2022	
Senator Savino	Meeting	Briefing on OSW project				11/14/ 2022	
SI Advance	Meeting	Briefing on OSW project				11/15/ 2022	
SI Advance	Site tour of SIMT and LNG sites					11/22/ 2022	
NYSDEC	Meeting	Wetland permitting and the transition to new site design				12/16/ 2022	
NYSDEC, New York State Office of General Services, New York State Department of State, U.S. Army Corps of Engineers	Meeting	Federal permitting requirements for marshalling port				01/12/ 2023	

5.2 Tracking Stakeholder Marketing Efforts

This section should detail the tracking of general communications or marketing campaigns to raise awareness among communities proximal to activities related to Project development, construction, operation, and decommissioning.

- NorthPoint should detail how they plan to track marketing efforts or public awareness campaigns and provide a current example of such a tracker.
- NorthPoint should detail their public awareness campaigns leveraged to communicate or advertise project development status, hiring opportunities and impacts on local communities. Community members should always be aware of project development impacting them, regardless of their individual support or interest in the activity.
- NorthPoint should detail marketing methods for raising awareness of workforce opportunities within Disadvantaged Communities.
- NorthPoint shall provide a list of such public campaigns or marketing efforts along with the data seen in the table below.

- Additionally:
 - NorthPoint will track marketing efforts to raise public awareness of the Project, including project development status, hiring opportunities, and impacts on local communities.
 - NorthPoint's existing marketing efforts are described below in Table 4.

Project detail to be communicated: Project status, schedule change, or employment opportunity	Marketing Campaign Method	Frequency of Communication or Marketing Collateral	Feedback or Inquiries from campaign	Dates and Duration of Campaign	Location of Campaign
Project site availability for OSW	Project Site featured at NYSERDA Booth	Open booth 8 hours per day	Positive feedback from industry	4/26/2022 – 4/28/2022	International Partnering Forum (IPF) – Atlantic City, NJ
Project status	Project to be featured at NYSERDA Booth	Open booth 8 hours per day	TBD	3/28/2023 – 3/30/2023	International Partnering Forum (IPF) – Baltimore, MD

Table 4: Existing Marketing Efforts Tracker

Stakeholder Engagement Plan – Smulders New York Offshore Steel Hub

Stakeholder Engagement Plan

for

New York Offshore Steel Hub

Prepared pursuant to [contract number, date (TBD)]

with

New York State Energy Research and Development Authority

Albany, NY

Prepared by

Community Offshore Wind LLC

January 26, 2023

Record of Revision		
Revision Date	Description of changes	Revision on pages
January 25, 2023	Original Issue	-

Communication Officers, Contact Information, Links				
Name/Title	Role	Contact Information		
Dirk Kassen	Smulders Business Development Manager			

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1 Stakeholder Engagement Plan Summary

1.1 Overall Philosophy and Principles

This section should describe the overall philosophy and principles Proposers will follow to understand, incorporate, and respond to the diverse perspectives, needs, and concerns of stakeholders at every stage of development. Proposers are encouraged to consider a mission statement that drives their engagement and supports accountability.

Community Offshore Wind's mission is to deliver local, clean energy for all that is safe, reliable and efficient. At the core of our philosophy is a **commitment to generate a net-positive impact for all project stakeholders by minimizing burdens**, **accelerating clean energy opportunities and enabling and empowering New Yorkers.** To inform our approach, we invite New Yorkers to take part in our project development to allow us to fully understand their priorities, concerns, and potential areas of conflict.

Early, active engagement is our guiding principle that will be integrated into every stakeholder interaction. Our stakeholder engagement plan is built upon the **key commitments to New York's stakeholders to:**

- Design to minimize burdens
- Accelerate clean energy economic opportunities
- Enable and empower communities

These commitments are fully aligned with NYSERDA's Guiding Principles for Stakeholder Engagement, and we are confident they demonstrate that we take stakeholder engagement seriously. Moreover, through our commitment:

- We will be inclusive in our project development and decision-making. To minimize burdens and maximize benefits, we are creating a direct link between stakeholder engagement, project design and the development of economic benefits programs leveraging continuous feedback loops, and our Community Advisory board. We will include representation from the communities impacted by the Offshore Steel Hub in this advisory group.
- We will use a wide array of stakeholder activities and marketing efforts to ensure we engage with all stakeholders in a way that suits their needs and preferences.
- We are committed to tracking progress throughout the development of the facility to ensure that we are appropriately reaching and engaging with impacted stakeholders.

1.2 Overall Approach to Incorporating Data and Stakeholder Feedback

This section should describe how Proposers will use research, data, and stakeholder feedback to update the Stakeholder Engagement Plan, and support decision-making throughout the life cycle of the Project (preconstruction, surveys, site design, construction, operations, and decommissioning).

- Community Offshore Wind shall perform a stakeholder mapping exercise to obtain population, cultural, and economic statistics and information on communities or interest groups relevant to the Project.
- Community Offshore Wind shall detail the allocated resources used to continuously support long-term engagement and Project initiatives.
- Community Offshore Wind shall review and seek input from stakeholders on local economic development, reduced energy burden, avoided health costs, added climate resiliency, avoided environmental costs, added environmental benefits, workforce training opportunities, and economically Disadvantaged Community participation.
- Community Offshore Wind will conduct regular focus groups comprised of individuals from targeted communities based on our project area and SCIP facilities. The purpose of these focus groups will be to allow Community Offshore Wind to understand the issues, actions, and types of engagement that is important to

specific stakeholders in key geographic areas. To date, we have completed one focus group which targeted the Hudson Valley community.

• Community Offshore Wind commits to integrating input from stakeholders to advance project development and minimize burdens. Leveraging the feedback from our quarterly focus groups and surveys, stakeholder engagement meetings, Impact Advisory Committee, and other mechanisms we will ensure that the voices of impacted communities are heard.

1.3 Existing Guidance and Best Practices That Will be Followed

This section should present a list of existing guidance documents, publications, tools, and/or plans that will be followed to support the Stakeholder Engagement Plan. Include links, if available, for all references.

- Community Offshore Wind has utilized the following plans and guidance documents to inform this Stakeholder Community Offshore Wind will utilize best in class stakeholder relationship management tools to optimize, organize, and effectively manage our stakeholder relationships. The tool procured by Community Offshore Wind allows us to track, report and collaborate on all of our stakeholder information. (See Chapter 16 for detailed description of stakeholder management tool).
- Community Offshore Wind will leverage state of the art digital tools for communicating survey and construction activities to the fishing industry in real time.
- Community Offshore Wind will abide by NYSERDA's Guiding Principles for Offshore Wind Stakeholder Engagement.
- NYSERDA's initial report on the New York Power Grid Study.
- Appendix D New York Power Grid Study.
- "Principals for a Just Transition in Offshore Wind Energy", compiled by UPROSE, Rogue Climate, Taproot Earth, and Climate Justice Alliance.
- Stakeholder Identification and Stakeholder List

1.4 Overview and Stakeholder Identification Objectives

This section should provide an overview of the stakeholder identification and relationship management methods.

- Community Offshore Wind shall describe methods used to identify a working list of diverse community members, local and state elected officials, state and federal agencies, institutions, local businesses, environmental justice communities, and nonprofit organizations associated with their Project.
- To date, Community Offshore Wind has contracted with Karp Strategies to develop a Disadvantaged Community matrix to enable maximum community benefits through meaningful partnerships and programming strategies that drive workforce and economic development in key geographies across New York State. We will utilize this information to target and reach specific stakeholders impacted by the Offshore Steel Hub.
- Community Offshore Wind shall identify, and list disadvantaged communities potentially impacted by the
 project using the New York State Disadvantaged Communities Map. The Disadvantaged Communities definition
 is currently accepting public comment and will be finalized July 2022. Additionally, communities may be added
 or removed during the CJWG annual review process and Proposers will be required to adjust their engagements
 accordingly.
- Community Offshore Wind shall identify what departments within their organization will own the relationships with the stakeholders listed.

 Community Offshore Wind has identified the Development and Strategic Engagement teams as the departments that will own and manage the stakeholder relationships identified in this document and commits to identifying additional owners as applicable.

1.5 Assigning team members in your organization as the primary relationship holder

This section will provide a list of communication officers, their role, and name and contact information. The list should provide stakeholders with an understanding of who should be called for a particular issue or question. Proposers should indicate if and who reports to certain staff members such that NYSERDA may understand organizational structure. In addition to this list, Proposers should explain how stakeholders will access this list and how it will be kept current to reflect organic changes and turnovers in responsibilities throughout the Project life cycle.

Table 1-1 below summarizes the project communications and engagement team.

Table 1-1 Communication officers

2 Stakeholder Engagement Goals

2.1 Defining Goals and Desired Outcomes

- Community Offshore Wind shall define the issues and/or information prioritized by each stakeholder group in collaboration with stakeholders.
- Community Offshore Wind shall list goals set for delivering benefits to disadvantaged communities consistent with the Climate Act's focus on building an inclusive, clean energy economy including education, training, and hiring opportunities.
- Community Offshore Wind shall list engagement activities to date and planned activities to incorporate the perspectives of Disadvantaged and Environmental Justice Communities to the offshore wind development

Table 2-1 Stakeholder arouns and issues of interests

3 Stakeholder engagement activities and partnerships

3.1 Planned activities and outreach

This section shall detail options for engagement activities and follow-up with community members, local elected officials, institutions, local businesses, and nonprofit organizations. Engagement activities detailed in this section should specify with what stakeholder groups theywill be leveraged. While it is critical to include a wide range of voices, including the key stakeholder groups illustrated in Section 4, it is also necessary to direct a concerted effort towards engagement to include historically marginalized groups traditionally left out ofdevelopment decisions, such as disadvantaged and frontline communities. A thoughtful approach to planned activities and outreach will specifically detail how these efforts are tailored to and vary to uniquely consider each stakeholder group and increase awareness and participation from each group.

- Community Offshore Wind shall list engagement approaches and activities specific to stakeholdergroups defined in 2.1.
- Community Offshore Wind shall detail what accessibility factors, especially for Disadvantaged Communities, including
 convenience of meeting times and accessibility of locations orvirtual platforms, childcare needs, language, and
 interpretation needs, and variety of opportunities to participate and ways to provide input are considered in planned
 activity and outreach.
- Community Offshore Wind shall detail their anticipated outreach and schedule prior to informing stakeholders of planned activities including alerting NYSERDA and posting public events to their project website's event pages.
- Community Offshore Wind shall detail their plan for providing consistent follow-up with the stakeholders they have engaged, especially members of Disadvantaged Communities, to make clear how their input was considered, and/or provide learning opportunities to raise awareness of and gain support for the Project with the stakeholders.
- Community Offshore Wind shall participate in technical working groups related to fisheries, marine, jobs and supply chain, and other potential technical working groups to ensure industry coordination.

3.2 Planned partnerships

This section should describe proposed or existing partnerships with community organizations, institutions, local businesses, and nonprofit organizations.

• The Proposer should provide as much detail of the nature of these partnerships, and any particular hiring, training, or educational opportunities in local communities.

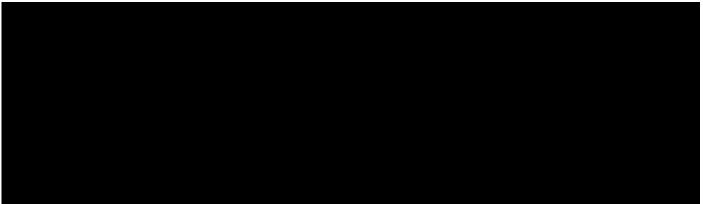
 The Proposer should explain where partnerships may foster more business opportunities for local businesses to participate and benefit from the growing offshorewind industry.

4 Tracking progress and communications

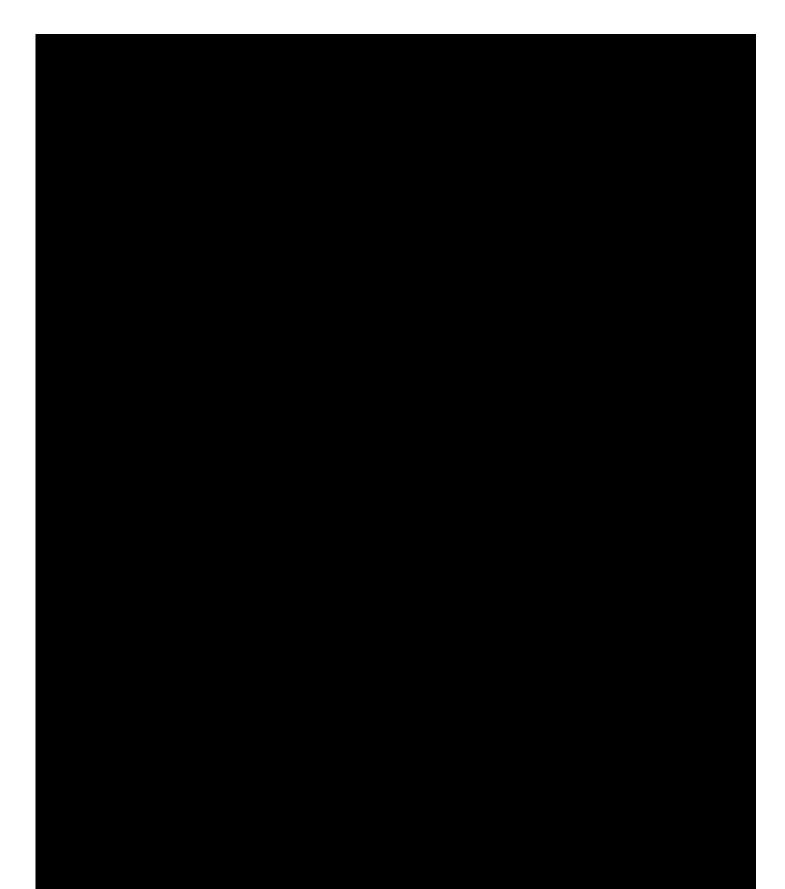
4.1 Tracking stakeholder engagement

This section should detail the tracking of relationships, activities, and both successful orunsuccessful outcomes from engagements.

- To require early and regular engagement with stakeholders that may be potentially affected by the project activities, NYSERDA is building upon contractual expectations regarding progress tracking in Quarterly Reports.
- Proposers should detail how they plan to track relationship progress with stakeholders and provide a current example of such a tracker. NYSERDA is open to accepting the same report style as the BOEM OCS Lessees are required to submit for the agency's stipulated semi-annual progress report.
 - Options could include tiering stakeholders by how well connected they are to the Project, or how by the number of times they've engaged in activity with their respective relationship owner. As events, meetings, and general communication occurs per quarter, Proposers should track stakeholder tiers and be able to show change in status of relationships and reasoning.
 - The tracking method chosen by Proposers should be able to depict proposed activities and provide updates on engagement activities, impacts on or benefits to the identified stakeholder groups identified in section 2.1, and how, if at all, a project has been informed or altered to address those challenges or benefits, as well as any planned engagement activities during the next quarterly reporting period.
 - In acknowledgment of the existing and growing consultation burden placed on many of the stakeholders, the stipulation also requires, to the maximum extent practicable, that Proposers coordinate with one another on engagement activities. It is NYSERDA's intention that this requirement to coordinate engagement applies not only to meetings proposed by Proposers, but also to reasonable requests to coordinate engagement requested by stakeholders.
- Proposers should include tracking tables for goals defined in 3.1. that include when and where the goal is supported or achieved through engagement activities.



• Proposers shall provide a list of engagements along with the data seen in the table below





4.2 Tracking stakeholder marketing efforts

This section should detail the tracking of general communications or marketing campaigns toraise awareness among communities proximal to activities related to Project development, construction, operation, and decommissioning.

• Proposers should detail how they plan to track marketing efforts or public awareness campaigns and provide a current example of such a tracker.

• Proposers should detail their public awareness campaigns leveraged to communicate or advertise project development status, hiring opportunities and impacts on local communities. Community members should always be aware of project development impacting them, regardless of their individual support or interest in the activity.

- Proposers should detail marketing methods for raising awareness of workforce opportunities within Disadvantaged Communities
- Community Offshore Wind shall provide a list of such public campaigns or marketing efforts along with the data seen in the table below. [See Chapter 16 Standardized Component for Project-wide marketing efforts]