

## MULTIFAMILY PERFORMANCE PROGRAM



*NEW CONSTRUCTION COMPONENT*



# Simulation Guidelines

*Version 4*

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## i. Definitions

**American Society of Refrigeration and Air-conditioning Engineers (ASHRAE) 90.1-2007:** energy standard for buildings including multifamily buildings over three stories.

**ASHRAE 90.1-2007 Appendix G (Appendix G):** protocols for generating an energy performance rating for buildings that exceed the requirements of ASHRAE 90.1-2007 is included within this appendix.

**As-Built:** conditions observed and measured in the completed building. The As-Built energy model must represent the actual observed and measured conditions in the constructed building, excluding envelope leakage and duct leakage of in-unit forced air systems.

**Baseline Building Design:** a computer representation of a hypothetical design based on the proposed building project. This representation is used as the basis for calculating the baseline building performance for rating above-standard design.

**Baseline Building Performance:** the annual energy cost for a building design intended for use as a baseline for rating above-standard design.

**Common Space:** any spaces within a building or facility that serves a function in support of the residential part of the building that is not part of a dwelling unit. This includes spaces used by residents, such as corridors, stairs, lobbies, laundry rooms, exercise rooms, residential recreation rooms, parking used exclusively by residents, building staff, and their guests. This also includes offices used by building management, administration or maintenance and all special use areas located in the building to serve and support the residents such as day-care facilities, exercise rooms, dining halls, medical facilities, etc.

**Design Team:** group of professionals responsible for the final design of a building including, but not limited to: the developer, the general contractor, the architect, design engineers, and Partner.

**Dwelling Unit:** a single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking, and sanitation.

**Facility:** an entire building or set of buildings and associated grounds that function as a single unique site.

**In-Unit:** term used to describe features in the building that are located within the dwelling units. For example, “in-unit lighting” is used to reference lighting located within the apartments.

**Minimum Performance Standards:** minimum program standards set by EPA and NYSERDA to restrict the ability of the Partner and Design Team to make performance trade-offs that would allow individual building components to fall below minimum acceptable standards. A *Minimum Performance Standards Checklist* is provided as a separate document.

**Multifamily:** residential buildings consisting of four (4) or more floors of conditioned space above grade and five (5) or more apartments. If the building is located on top of nonresidential commercial space, the residential space must occupy more than 50% of the gross heated square footage of the entire building and must be separately metered from the commercial space.

**Multifamily Performance Partner (Partner):** individual or corporate entity engaged in services supporting developers in participating in the New York State Energy Research and Development Authority (NYSERDA) Multifamily Performance Program. These services may include, but are not limited to: design review and consultation, design assistance, energy modeling, field inspections, sub-contractor training, project management, etc. Firm approved by NYSERDA to serve the Multifamily Performance Program.

**Multifamily Performance Program (Program):** The program administered by NYSERDA that serves the multifamily sector.

**Performance Rating:** percent reduction in predicted annual energy costs across all end-uses when comparing the Proposed Design to the Baseline Building.

**Performance Target:** minimum performance rating required to receive Program incentives.

**Proposed Building Performance:** the annual energy cost calculated for a proposed design.

**Proposed Design:** a computer representation of the actual proposed building design or portion thereof used as the basis for calculating the design energy consumption and costs.

**Simulation Appendix spreadsheet:** set of spreadsheet calculators provided by the Program to assist Partners in generating certain specific data inputs needed to complete the energy model for the Baseline Building Design and Proposed Design as referenced in this document, as well as summarize modeling results.

## 1. Scope

This document contains the methodology for calculating a performance rating for multifamily buildings in NYSERDA's Multifamily Performance Program. This is not a stand-alone document. It is intended to be used as a supplement to the procedures described in The American Society for Heating and Refrigeration Engineers (ASHRAE) Standard 90.1-2007, Appendix G. ASHRAE Standard 90.1-2007 includes a wide range of building types within its scope. As a result, this standard does not address certain characteristics commonly found in high rise multifamily buildings with sufficient specificity to ensure that energy modeling results are consistent from one Partner to the next. This document is designed to address these issues so that the assumptions that must be made to complete these energy models are applied logically and consistently based on all of the features typically found in mid- and high-rise multifamily buildings.

The document is to be used by Partners to calculate the performance rating of the Proposed Design for each building participating in the program. It may be shared with the developer or property owner if requested.

## 2. Objectives

1. Ensure a consistent simulation methodology from building to building and from Partner to Partner based on ASHRAE Standard 90.1-2007 Appendix G ("Appendix G") to evaluate energy efficiency of multifamily buildings.
2. Ensure a consistent approach for handling the simulation of components that are not included in Appendix G, or included without the level of detail needed to support the simulation process.
3. Address those issues that Appendix G leaves for the "rating authority" to decide. The "rating authority" is NYSERDA.
4. Ensure that the rating process facilitates energy efficient design from the beginning of the design process.

## 3. Modeling Guidelines

### 3.1 General Approach

#### Baseline Building Design Components

1. Components of the Baseline Building Design shall comply with ASHRAE Standard 90.1-2007 and other applicable national standards as noted in the text and listed in **Appendix A** of this document. Addenda to the referenced standard may be used, but must be explicitly mentioned in the documentation provided by the Partner, including the related modeling implications.
2. End uses that do not exist in the Proposed Building cannot be included in the Baseline Building Design. For example, if the parking lot in the Proposed Design is not lit, then

parking lot lighting power allowance cannot be added to the Baseline energy consumption.

#### Proposed Design Components

Components in the Proposed Design must reflect the actual building components, except where otherwise specified in this document. Components in the Proposed Design must comply with the *Minimum Performance Standards* of this Program as well as applicable state and local codes. If components are not installed during construction, but are required in lease or sales agreement (for example appliances or room air conditioners), then such components may be modeled in the Proposed Design, contributing to the performance rating, subject to review and approval of lease/sales agreement requirement by NYSERDA.

#### As-Built Components

Unless otherwise noted in this document, components in the As-Built Building model must reflect the actual building components, as verified or measured during inspections. At the completion of the project, these same guidelines can be used to calculate the performance rating for the As-Built model, by substituting "As-Built" where you find "Proposed Design". Components that are not required to reflect As-Built conditions within the energy model, such as envelope leakage, are specified in the relevant sections below. Although some measured components are not simulated in the energy model, they do have restrictions as described in the *Minimum Performance Standards*.

#### Simulation Methodology

1. The Baseline Building Design and Proposed Design of buildings in the scope of ASHRAE Standard 90.1-2007 shall be simulated per ASHRAE Standard 90.1-2007 Appendix G and as described in this document.
2. The Baseline Building Design and Proposed Design shall include all in-unit and common spaces, as defined above, in the building.

Nonresidential spaces (commercial and/or retail) that are privately operated and/or open to the general public may also be included in the simulations. If such spaces are included in the model, however, the energy use of these spaces shall not be included in the performance rating calculations.

If included in the simulation, where the space classification for a space is not known at the time the building is completed, the space shall be categorized as an office space for the purpose of determining the components of the Baseline Building Design.

Use ASHRAE 90.1-2007 as a reference to simulate the energy consumption of non-residential areas not explicitly described in this document.

3. Separate Baseline Building Design and Proposed Design models shall be created for each non-identical building in the project. The performance rating shall be calculated individually for each such building.

*Modeling components that are not yet designed.*

Paragraph (c) of Table G3.1, Section 1 and several other sections of Appendix G, outline the approach to modeling components that have not yet been designed. Such provisions do not typically apply to the projects in the Program, since the performance rating is intended to evaluate performance of the final building design.

The Baseline Building Design and Proposed Design shall be based on the final design of the building, not the initial or preliminary design that was received by the Partner from the design team.

The Baseline Building Design may require changes until all the parameters in the Proposed Design that affect the Baseline Building Design are finalized.

*Schedules*

The schedules described within this document, or approved equivalent schedules, must be used. The same schedules must be used in both the Baseline Building Design and Proposed Design unless explicitly allowed otherwise in Appendix G or this document. Any difference in the schedules must be documented.

All schedule assumptions that differ from the ones specified in these Simulation Guidelines shall be documented and submitted to NYSERDA for approval.

***3.2 Performance Rating and Documentation Requirements (G1.2 and G1.4)***

The Proposed Building Performance and Baseline Building Performance must each be calculated as the sum of predicted energy cost by end use. The energy consumption for each end use shall be taken from the report generated by the simulation program as described in Section G1.4 of Appendix G and in this document.

Some modeling software cannot calculate energy usage for all types of technologies proposed for high rise multifamily buildings. Energy use for these features can be determined using separate calculators, custom software, spreadsheets, or other applicable and reasonable means of estimating the energy impact of those features. When calculations of this nature are completed outside of the primary modeling tool, the Partner must document both the basis for the calculation and how it was incorporated into the final performance rating. Calculations performed outside of the primary modeling tool and not described in this document shall be submitted to NYSERDA for review and approval.

End-use energy consumption used in the Percentage Improvement equation shall be adjusted to incorporate the results of approved calculations done outside of the modeling tool and as described in this document.

Modeling assumptions that are not explicitly specified in Appendix G or this document shall be documented and submitted to NYSERDA for review and approval.

A summary of the performance calculation requirements are listed below but may differ depending on the software tool being used. For example, some tools may be able to automatically calculate exposure-neutral baseline (steps 2a-2c below), or generate a Performance Rating automatically based on the entered parameters of the Proposed Design.

1. *Baseline Building Performance* shall be determined as follows:

- a. Export into a spreadsheet file all total electricity and fuel usages from the energy modeling software tool, for each of the four Baseline Building Designs (actual orientation, and 90, 180, 270 degree rotations, per Table G3.1 of Appendix G). *Exception:* Baseline Building Performance may be based on the actual building exposure if it is demonstrated that the building orientation is dictated by site conditions.
- b. Show usage of each fuel according to at least the following components: lights, internal equipment loads such as appliances and plug loads, service (and domestic) hot water heating equipment, space heating equipment, space cooling equipment, fans and other HVAC equipment (e.g. pumps), and otherwise meet the requirements of Section G1.4 of Appendix G.
- c. Average the results of the four building orientations, for each fuel and per each usage component, if applicable.
- d. Adjust the result to include the approved calculations performed outside of the energy modeling software tool.
- e. If the energy consumption inputs in steps a-d above were expressed in units other than dollars, then after adjusting the simulation outputs as described above, multiply the result by the appropriate fuel rates. This dollar value (\$) is your *Baseline Building Performance*.

2. *Proposed Building Performance* is determined as follows:

- a. Export into a spreadsheet file all total electric and fuel usages from the energy modeling software tool (only the actual building orientation is required; no rotations).
- b. Adjust the result to include the approved calculations performed outside of the energy modeling software tool.
- c. Show usage of each fuel by end use.
- d. If the energy consumption inputs in steps a-c above were expressed in units other than dollars, then after adjusting the simulation outputs as described above, multiply the result by the same fuel rates as used for the Baseline simulation. This dollar value (\$) is your *Proposed Building Performance*.



3. Calculate the Performance Rating as:

$$100 * (\text{Baseline Building Performance} - \text{Proposed Design Building Performance}) \div (\text{Baseline Building Performance})$$

### **3.3 Simulation Program (Section G2.2)**

The simulation program must meet the requirements of Appendix G, Section G2.2. Programs such as DOE-2, eQUEST, and HAP have been approved for use in the Program. Additional ASHRAE 90.1-2007 Appendix G compliant tools may be accepted upon NYSERDA review and approval of the software application.

### **3.4 Building Envelope: Opaque Assemblies**

1. The properties of the Baseline surfaces shall be determined as follows:
  - a. Requirements are based on the surface type outlined in ASHRAE 90.1-2007 Appendix G, prescriptive envelope requirements from Table 5.5, and detailed surface descriptions from ASHRAE 90.1-2007 Appendix A. For example, the roof in the baseline shall be modeled as insulated entirely above deck, with continuous insulation R-value from Table 5.5 for the appropriate climate zone. Walls above grade shall have steel framing 16" OC, stucco R-0.08 (exterior layer), 0.625" gypsum board R-0.56, cavity and/or continuous insulation from Table 5.5 for the appropriate climate zone, and 0.625" gypsum board R-0.56 (interior layer). Building Envelope Climate Criteria are covered in Appendix B to ASHRAE 90.1-2007.
  - b. 'Residential' envelope requirements apply only to dwelling units. Examples of spaces that are considered 'Nonresidential' for the purpose of envelope requirements include corridors, stairwells, and lobbies.
  - c. Per ASHRAE Section 5.5.2, if a building contains any semiheated or unconditioned space, then the semi-exterior building envelope of the Baseline Building Design shall comply with the requirements for 'Semiheated' space in Tables 5.5. For more information on space types and envelope definitions, see definition of 'space' and Figure 5-5 in ASHRAE 90.1-2007, or 5-C of the 90.1-2007 User's Manual. For the purpose of identifying exterior envelope requirements, both insulated basements and insulated unvented crawlspaces generally are considered 'conditioned space' and therefore the 'Nonresidential' requirements apply.
  - d. The surface properties for existing buildings that undergo major renovations shall reflect existing conditions prior to any revisions that are part of the scope of work being evaluated, per Table G3.1 Section 5(f) of Appendix G. This requirement applies to the thermal properties and areas of the different envelope components. For example, if window area was changed as part of the renovation, the pre-retrofit window area shall be modeled in the baseline, and post-retrofit window area shall be modeled in the proposed design. This requirement does not apply to envelope air-

tightness – the same leakage must be modeled in the baseline and proposed models.

2. If the energy modeling software tool does not allow input of the perimeter heat loss factor (F-factor), then the slab-on-grade construction that corresponds to the F-factor shall be modeled as is appropriate to the software tool being used.
3. Components in the *Proposed Design* shall be modeled in accordance with their actual properties, as described in ASHRAE 90.1-2007 Appendix A.
4. Automatically-controlled fenestration shades or blinds and permanent shading devices (e.g. side fins, overhangs, and balconies) may be accounted for to calculate energy savings in Proposed Design (per Appendix G).
5. Through-the-wall AC sleeves shall be modeled in the Baseline Building Design with the U-factor required in Table 5-5 for vertical glazing with metal framing (all other). Although an insulated cover is required by the *Minimum Performance Standards*, the same Baseline U-factor shall be used in the Proposed since the insulated cover is not used every day.
6. Doors that are more than one-half glass are considered fenestration, per Section 3 of ASHRAE 90.1-2007, and shall be modeled with properties required for vertical glazing from ASHRAE 90.1 Table 5-5. in the Baseline Building Design, as described in Section 3.7 of this document.
7. Assemblies such as projecting balconies, perimeter edges of intermediate floor slabs, and concrete floor beams over parking garages shall be separately modeled in the Proposed Design, per Appendix G Table G3.1, Section 5(a). Such assemblies are considered to be a wall, per wall definition in Section 3 of ASHRAE 90.1-2007, and shall be modeled in the Baseline Building Design as having the U-factor required in Table 5-5 for exterior mass walls. A weighted average of the U-factors of these assemblies is acceptable in the simulation. In the Proposed Design, modeling credit will only be given for portions of the plank edge assembly where shelf angles or other continuous metal fastened to the wall are not used. For those portions where the metal piece is offset from the wall and only fastened to the walls at intervals, an overall U-value shall be calculated based on an area weighted ratio.

### **3.5 Building Envelope: Vertical Fenestration**

1. Per Appendix G, fenestration area shall be distributed on each face of the building in the same proportion as the Proposed Design, without exceeding 40% of gross above-grade wall area.
2. *Baseline* fenestration properties shall be determined as follows:
  - a. When the Proposed Design is a wood-frame building, properties of fenestration in the baseline shall be based on prescriptive requirements of ASHRAE 90.1-2007 for vertical glazing with nonmetal framing. For all other building types, properties of fenestration shall be based on prescriptive requirements for the applicable metal framing. ASHRAE 90.1-2007 requirements for vertical glazing are shown in Tables 5.5-1 through 5.5-8 based on the framing material.

- b. For gut rehabilitation projects, the Baseline Building Design shall reflect existing conditions prior to any revisions that are part of the scope of work being evaluated, as described in building envelope section of Table G3.1 of Appendix G.
- 3. For the Proposed Design, the properties of fenestration specified in the drawings shall be used. These properties must include rated U-factor and SHGC shown on the National Fenestration Rating Council (NFRC) label. NFRC rating reflects the overall performance of the fenestration assembly and includes both frame and glazing of the standard size window. Certification provided by the installer or supplier listing the U-factor or SHGC can be used in lieu of NFRC labels, provided that they comply with Section 5.8.2.2, 5.8.2.4 and 5.8.2.5 of ASHRAE 90.1-2007.
- 4. Modeling of partially glazed doors:
  - a. Doors that are more than one-half glass:
    - i. The entire door area shall be counted as vertical fenestration when calculating the vertical fenestration-to-wall ratio.
    - ii. The door shall be modeled as a single fenestration unit in both the Baseline Building Design and Proposed Design.
    - iii. The door U-factor and SHGC in the Baseline Building Design shall be determined based on requirements for vertical fenestration in Tables 5.5-1 through 5.5-8, based on the applicable climate zone.
    - iv. In the Proposed Design, the door U-factor and SHGC shall be modeled as per the NFRC label for the door specified in the final design.
  - b. Doors that have glazing area of 50% or less:
    - i. Only the glazed portion of the door shall be included when calculating the vertical fenestration-to-wall ratio.
    - ii. Use **one of the following options** to model the door:
      - 1. Model the entire door as opaque in the Baseline Building Design and Proposed Design. The baseline door U-factor shall be modeled based on the ASHRAE 90.1-2007 requirements for opaque doors of appropriate type<sup>1</sup>. The proposed door U-factor shall be modeled as per the NFRC label.
      - 2. Model the Baseline Building Design with a door of identical distribution of opaque/glazed area to the proposed door and apply the ASHRAE 90.1-2007 requirements for opaque doors of appropriate type to the opaque area and the U-factor and SHGC for the appropriate window frame type to the glazing area. The proposed door U-factor shall be modeled as per the NFRC label.

### ***3.6 Lighting (Table G3.1, Section 6)***

#### ***General***

1. The installed lighting power of a lighting fixture is typically not equal to the total wattage of the bulbs. As such, the lighting power of fixtures in the Proposed Design

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<sup>1</sup> The intent of this procedure is to simplify the modeling requirements for doors with less than 50% glazing area and not to create an energy penalty in the analysis for doors with less than 50% glazing area.

must be calculated as described in Section 9.1.3 of ASHRAE 90.1 to include power consumed by the ballast and transformers.

2. Lighting wattage in the Proposed Design shall reflect the installed lamp wattage, plus any power consumed by the ballast. For example, a screw-based fixture rated at 100 Watt maximum, with an ENERGY STAR qualified 26 Watt CFL installed, should be modeled as 26 Watts, plus any power consumed by the ballast. Refer to the Minimum Performance Standard for types of spaces where screw-in fixtures are allowed.
3. The lighting schedule and daily operating time described in the Schedules section of this document shall be used.
4. Lighting energy savings credit may be claimed only for hardwired lighting fixtures.
5. Lighting energy savings credit may be claimed for reduced power density only if the proposed fixtures used to calculate energy savings for lighting-related measures are capable of meeting the recommended light levels (weighted average footcandles) as stated in the 9<sup>th</sup> edition of the Illuminating Engineering Society Lighting Handbook for the given space type.

ASHRAE Space Type	Recommended Light Levels (Weighted Avg. Footcandles)	ASHRAE Space Type	Recommended Light Levels (Weighted Avg. Footcandles)
Apartments	16	Stairs - Active	15
Storage, active	20	Restroom	12
Storage, inactive	8	Office	35
Food Preparation	40	Conference/meeting/multipurpose	30
Dining Area - For Family Dining	23	Electrical/Mechanical	30
Lobby	16	Workshop	50
Corridor/Transition	10	Parking garage	7

6. As per the exception of Appendix G Table G3.1, Section 6, identical lighting power shall be assumed in the Baseline Building Design and Proposed Design for any lighting that is connected via receptacles and/or not shown or provided for on building plans.
7. Credit for automatic controls can only be taken for spaces where such controls are not required by Section 9.4.1.1 of ASHRAE 90.1.

*In-unit Lighting*

1. Lighting inside the dwelling units (in-unit lighting) shall be included in the performance rating calculations.
2. In the Baseline Building Design, in-unit lighting power density of 0.7 W/ft<sup>2</sup>, as per 2010 NYS ECCC, shall be incorporated into the model.
3. In the Proposed Design, in-unit lighting power density of 0.7 W/ft<sup>2</sup> shall be modeled for rooms or portions of the rooms with no specified hard-wired lighting. Where hardwired in-unit lighting is specified in the Proposed Design (only lighting fixtures meeting or exceeding ENERGY STAR requirements may be specified, as per the

*Minimum Performance Standards*), the actual installed lighting power density shall be modeled. This lighting power density must take into account the total effective wattage of the installed fixtures and floor area of rooms or portions of the rooms in which they are intended. Hard-wired fixtures in rooms that will be supplemented by lighting that is connected to receptacles can be estimated to provide illumination at a rate of no more than 2 ft<sup>2</sup> per Watt, with the equivalent Lighting Power Density of 0.5 W/ft<sup>2</sup>.

4. The savings shall be modeled as described on the *In-Unit Lighting* worksheet of the *Simulation Appendix* spreadsheet.
5. Baseline and Proposed Design lighting inside dwelling units shall be modeled as lit for 2.34 hours per day. Balcony lighting shall use the same schedule as the dwelling units.
6. No schedule-based performance credits may be claimed for lighting inside dwelling units.
7. A custom dwelling unit lighting schedule or dwelling unit schedule included in the software library may be used with the prior NYSERDA approval, provided that the total daily lighting energy consumption (kWh) is not affected by the change.

#### *Interior Lighting Except In-Unit Lighting*

1. Lighting shall be simulated as described in Table G3.1 of Appendix G, using space-by-space method. The Baseline Building Design power density in non-dwelling unit spaces shall be modeled as per Table 9.6.1 of ASHRAE 90.1. As per ASHRAE 90.1-2007 Section 9.6.1(a), for types of building spaces not listed in Table 9.6.1, selection of a reasonable equivalent type shall be permitted.
2. In certain cases, lighting power allowance may be increased as described in Section 9.6.2. In order to take advantage of this section, the specified lighting must be installed in addition to general lighting and must be specified on the drawings.
3. Lighting power trade-offs (as per Section 9.5.1 (d) and 9.6.1 (d)) are allowed only between the areas that have hard-wired lighting specified on the Proposed Design drawings. If lighting is specified for only a portion of the space, then the ASHRAE 90.1 lighting power allowance shall be assigned to the remainder of the space (for which the lighting is not specified on the drawings) in both Baseline Building Design and Proposed Design. The *Interior Lighting* worksheet of the *Simulation Appendix* spreadsheet shall be used to calculate interior lighting power trade-offs.
4. Automatic lighting controls are a Baseline requirement for all spaces listed in ASHRAE 90.1 Section 9.4.1.1. These spaces include, but are not limited to, janitor closets, laundry rooms, community rooms, offices, public restrooms, and refuse rooms. These spaces are not intended for 24-hour operation and automatic shutoff does not endanger occupant safety. Performance credit may be claimed in the Proposed Design for installing automatic controls where such controls are not required, such as corridors, stairwells, elevators and lobbies, which are considered intended for 24-hour use. Appendix G Table G3.2, which describes the allowable lighting power adjustments for automatic controls, is replaced with the following table.

Automatic Control Device	Space Type	Power Adjustment Percentage
Occupancy sensor	Hallways/Corridors	25%(1)
	Stairwells	35%
	All other spaces	10%(2)
Occupancy sensor and programmable timing control	All spaces	Same as with occupancy sensor only for the appropriate space type above, or per Table G3.2

(1) 25% power reduction in Hallways per 2005 Building Energy Efficiency Standards of California Energy Code, Section 146

(2) Appendix G, Table G3.2.

5. Baseline lighting in corridors, stairwells and lobbies shall be modeled as lit for 24 hours per day.
6. Hours of operation of Baseline lighting fixtures in areas not identified above may be estimated by the Partner based on occupancy type of each space, and shall reflect the mandatory control requirements of ASHRAE 90.1-2007 Section 9.4.
7. The lighting schedule for the Proposed Design may be adjusted to account for non-mandatory lighting controls in common spaces as described above. Performance credit can be taken either by reducing modeled Lighting Power Density (LPD) or by reducing lighting hours of operation, as described in Table G3.1 Section 6c.

Exterior Lighting

1. Exterior lighting that is connected to the site utility meters, (e.g., pole fixtures for walkways and parking, exterior lighting attached to the building) shall be included in the Baseline Building Design and Proposed Design. Exterior lighting performance credit may be claimed only for the areas for which lighting is specified on the drawings. For example, if the parking lot in the Proposed Design is not lit, then no parking lot lighting power shall be modeled in either the Baseline Building Design or Proposed Design. Lighting allowances in Table 9.4.5 are part of mandatory lighting requirements (see Section 9.4.5), which means that individual allowances listed for non-tradable surfaces such as building facades must not be exceeded. Use the *Exterior Lighting* worksheet of the *Simulation Appendix* spreadsheet for exterior lighting calculations.
2. Baseline exterior lighting shall be modeled as lit for no more than 12 hours per day. This includes savings due to photosensors that are required per ASHRAE 90.1-2007 Section 9.4.1.3.

**3.7 Thermal Blocks (Table G3.1, Sections 7, 8 and 9)**

All Thermal Blocks modeling requirements outlined in Table G3.1 must be followed. For example, common spaces, utility areas and other non-living areas must be modeled as separate thermal blocks.

The thermal block configuration must remain identical between the Baseline Building Design and Proposed Design building models.

### 3.8 HVAC

1. Every space that is modeled as cooled in the Proposed Design simulation shall also be modeled as cooled in the Baseline Building Design. Likewise, every space that is modeled as heated in the Proposed Design simulation shall also be modeled as heated in the Baseline Building Design. Unconditioned spaces shall also match between the Proposed Design simulation and Baseline Building Design.
  - a. Model the entire living (including all dwelling unit) space, as well as offices, community rooms and other conditioned spaces, except as described below, as heated and cooled.
  - b. Do not model cooling in corridors and utility spaces such as mechanical rooms, laundry rooms, etc. unless the spaces are cooled in the Proposed Design.
2. The Baseline and Proposed HVAC system shall be modeled as per Appendix G. For buildings with fossil fuel, fossil/electric hybrid, or purchased heating in the Proposed Design, the Baseline HVAC system type shall be modeled as a packaged terminal air conditioner (PTAC) with a constant volume fan control and a hot water natural draft fossil fuel boiler. As required by G3.1.3.2, the Baseline HVAC system shall be modeled as having a single boiler if the boiler serves a conditioned floor area of 15,000 ft<sup>2</sup> or less. If the Baseline HVAC system serves more than 15,000 ft<sup>2</sup> of conditioned space, the HVAC system shall be modeled as having two equally sized boilers. For electric and other heating sources, a packaged terminal heat pump (PTHP) shall be used (DX heating instead of a boiler). Electric air-source heat pumps shall be modeled with electric auxiliary heat controlled as required by G3.1.3.1.
3. All Baseline HVAC equipment shall be modeled using the minimum efficiency levels as described in Section 6.4. The Baseline equipment capacities shall be oversized by 15% for cooling and 25% for heating as required by G3.1.2.2. In all cases, the same modeling method and/or efficiency units shall be used in the Baseline and Proposed model. For example, if thermal efficiency (not AFUE) is used in the Baseline Building Design, then thermal efficiency (not AFUE) shall also be used for the Proposed Design. The same rule applies to SEER / EER input for cooling equipment.
4. Baseline hydronic system shall be modeled as described in Appendix G, including section G3.1.3.2 - G3.1.3.6.
5. Setpoint temperature of 72°F and setback temperature of 70°F shall be used for heating. Setpoint temperature of 78°F and setback temperature of 80°F shall be used for cooling. The Baseline Building Design must have setback for both heating and cooling. The following hourly schedule shall be used to simulate setback control:

Hour of day	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Heating set-point °F	70	70	70	70	70	70	70	72	72	72	72	72	72	72	72	72	72	72	72	72	72	72	72	72
Cooling set-point °F	78	78	78	78	78	78	78	78	78	80	80	80	80	80	80	78	78	78	78	78	78	78	78	78

### **3.9 Domestic (Service) Hot Water Systems (Table G3.1, Section 11)**

#### **3.9.1 Equipment Type and Efficiency**

1. Baseline and Proposed system type, capacity and fuel shall be the same as specified in the Proposed Design unless a combination heating/hot water system is used in the final design. In this case, separate stand-alone systems for both heating and hot water meeting the minimum efficiency requirements for each system shall be modeled as the Baseline system. The requirements are as described in Appendix G Table G3.1 Section 11.
2. Baseline system efficiency shall meet the requirements in Section 7.4.2 of ASHRAE 90.1-2007.
3. Water heater efficiency may be described through different parameters including thermal efficiency, combustion efficiency, stand-by loss, recovery efficiency, energy factor, etc. The same units of efficiency shall be used in Baseline Building Design and Proposed Design. If modeling software requires the input of more than one efficiency type (for example Recovery Efficiency and Energy Factor), but only one efficiency type is provided in ASHRAE 90.1-2007 or manufacturer specifications, then the same algorithm shall be used to generate the missing efficiency for both the Baseline Building Design and Proposed Design. All such conversions must be documented and submitted with the model.
4. Unfired storage tank insulation in the Baseline Building Design shall be R-12.5, per ASHRAE 90.1 Table 7.8.

#### **3.9.2 Hot Water Demand**

1. Hot water demand in the Baseline Building Design shall be determined based on the number of occupants in the building when fully occupied assuming one person per bedroom.

Per-person consumption of 12/25/44 gal/day shall be used based on low/medium/high usage determined based on appropriate occupancy demographics. Low per-person values are associated with buildings having such occupant demographics as all occupants working, seniors, middle income, and higher population density. High usage is associated with high percentages of children, low income, public assistance, or no occupants working. Usage assumptions must be included in the report.

Hot water consumption of clothes washers is not included in the per-dwelling unit usages above, and shall be added to per-dwelling unit usage according to the Clothes Washer Hot Water Usage calculations described below.

2. As low-flow fixtures are required by the *Minimum Performance Standards*, hot water demand in the Proposed Design may be reduced to reflect the lower flow rates of the



installed fixtures than required by the Energy Policy Act 1992 (EPACT 1992). The adjusted demand may be calculated as follows:

$$\text{ProposedHWDemand[Gal/day]} = \text{BaselineHWDemand} * (0.36 + 0.54 * \text{LFS} / 2.5 + 0.1 * \text{LFF} / 2.5)$$

LFS [GPM] = rated flow rate of the low-flow showerheads specified on the drawings

LFF[GPM] = rated flow rate of the low-flow faucets specified on the drawings

3. Water savings from ENERGY STAR dishwashers may be calculated as follows:
  - a. Assume proposed water consumption of 860 gal/year per ENERGY STAR dishwasher [this default is used by EPA for ENERGY STAR dishwasher].
  - b. Calculate annual per-unit hot water demand reduction by subtracting annual hot water usage of the Proposed dishwasher from 1290 gal/year for standard dishwasher [this default is used by EPA for conventional dishwashers].
  - c. Divide annual per unit savings calculated in the previous step by 365 and multiply by the number of dishwashers in the building to obtain total daily savings for the building.
  - d. Subtract total daily savings from ProposedHWDemand to obtain adjusted daily demand of the Proposed Design.
  - e. Use the *DHW Demand* worksheet of the *Simulation Appendix* spreadsheet for reduced hot water demand calculations

4. Clothes Washer Hot Water Usage

Determine hot water usage by each residential clothes washer in Baseline and Proposed Design as follows:

	Baseline Design Hot Water Gal/yr	Proposed Design Hot Water Gal/yr
In-dwelling unit clothes washer	0.2*12,179	0.2*5,637
Common space clothes washer	0.2*29,515	0.2*13,661

\*0.2 = estimated ratio of hot water to total water consumed per year.

\*\*Values based on annual water consumption of conventional and ENERGY STAR clothes washers, from EPA Savings Calculator for Clothes Washers.

\*\*\*Usage assumptions used by EPA for commercial clothes washers are based on 950 loads/year.

5. Convert annual hot water consumption calculated above to hourly values using appropriate hourly load profile as recommended by the energy modeling software tool.

**3.9.3 Water Savings**

In addition to energy savings associated with reduction in water usage, any measure that results in water savings shall be included in the recommended list of measures. The following guidelines indicate how these measures shall be treated to determine

associated savings. Detailed calculations can be found in the *Water Savings* worksheet of the *Simulation Appendix* spreadsheet.

1. All measures that reduce water usage are included in the list of proposed measures.
2. Water savings shall be documented but are not factored into the Performance Target.
3. Examples:
  - a. Low-Flow Toilets: Include in list of measures.
  - b. Low-Flow Showerheads: Include in list of measures and Performance Target calculations (energy savings only).

Water cost savings for all measures may be calculated as follows:

1. Begin by calculating baseline usage (in gallons) for each measure. EPA 1992 flow requirements shall be used for baseline calculations:
  - a. From the following table, determine the baseline flow rate for the appropriate fixture:
  - b.

Baseline Fixtures	
Fixture	Flow Rate
Toilets (GPF)	1.6
Urinals (GPF)	1.0
Showerheads (GPM)	2.5
Bathroom Faucets (GPM)	2.5
Kitchen Faucets (GPM)	2.5

- c. In addition, determine the number of uses per day per occupant and usage duration for the appropriate fixture from the table below:

Fixture Type	Fixture Use	
	Duration (sec)	Uses/Day/Occupant
Toilets	--	5
Urinals	--	5
Showerheads	300	1
Bathroom Faucets	15	5
Kitchen Faucets	60	4

- d. Calculate total baseline usage for each fixture type using the calculations detailed in the *Water Savings* worksheet of the *Simulation Appendix* spreadsheet.
4. Once baseline usage for each measure has been calculated, proposed usage shall be calculated similarly.

- a. GPF Fixtures: Calculate proposed usage using the same usage assumptions as for the baseline, and the actual flow rate of the specified fixtures.
  - b. GPM Fixtures: Calculate proposed usage using the same usage assumptions as for the baseline, and the actual flow rate of the specified fixtures. (This will result in a total proposed water usage for cold and hot water combined. Please refer to Section 3.11.2 of the Simulation Guidelines to find guidance on calculating hot water usage savings to include as energy savings.)
  - c. When on-site collected graywater or rainwater is used for sewage conveyance, the total estimated annual graywater quantity may be subtracted from the total annual design case water usage. Estimated graywater quantity may not be greater than the total usage of fixtures that utilize it. For example, if graywater will be used only in flush toilets, the estimated graywater quantity cannot be greater than the total annual water usage for toilets.
5. To calculate water cost savings (\$), multiply the calculated water savings by the current local rates for municipal water/sewer service.

#### **3.9.4 Hot Water Distribution System**

1. The same piping area shall be used in the Baseline Building Design and Proposed Design.
2. Hot water setpoint capable of delivering a temperature of 120°F at the point of use shall be used in both Baseline Building Design and Proposed Design.
3. If hot water recirculation system is present in the Proposed Design, it shall be included in both Baseline and Proposed Designs, per Section 11 (h) of Appendix G.

#### **3.10 Receptacles and other plug loads (Table G3.1, Section 12)**

1. Non-lighting receptacle loads shall be included in the simulation as specified in the following table. All such loads, including the fraction of loads contributing to internal heat gain, shall be identical in the Baseline Building Design and Proposed Design, unless the particular load source is impacted by a specific Energy Reduction Measure.

Exception: Dishwashers, clothes washers and clothes dryers shall not be included in either Baseline Building Design or Proposed Design if they are not specified for the project.

2. Where annual or daily consumption is provided in the table below, it must be converted into the equivalent design load (Watt or Watt/ft<sup>2</sup>) and hourly schedule as appropriate for the energy modeling software being used.

Load Source	Energy Consumption	Sensible/ Latent Load Fraction (4)
Refrigerator (1)	529 kWh/yr Baseline Building electricity usage (conventional unit) 423 kWh/yr Proposed Design electricity usage (ENERGY STAR unit)	1.00/0.0
Dishwasher (1)	206 kWh/yr Baseline Building electricity usage (conventional unit) 164 kWh/yr Proposed Design electricity usage (ENERGY STAR unit)	0.60/0.15
Clothes Washer (1)	In-unit clothes washers: 81 kWh/yr Baseline Building electricity usage (conventional unit) 57 kWh/yr Proposed Design electricity usage (ENERGY STAR unit)  Commercial clothes washers: 196 kWh/yr Baseline Building electricity usage (conventional unit) 138 kWh/yr Proposed Design electricity usage (ENERGY STAR unit)	0.80/0.0
Cooking (2) (electric stove/range)	604 kWh/year	0.40/0.30
Cooking (2) (gas stove/range)	45 Therms/year	0.30/0.20
Clothes Dryer (2)(5)	Electric Dryer: kWh/yr = [418 + (139*Nbr)]*F  Gas Dryer: Electricity: kWh/yr = [38 + (12.7*Nbr)]*F Gas: Therms/yr = [26.5 + (8.8*Nbr)]*F  Nbr = Average number of Bedrooms in dwelling units. F = scale factor to account for increased number of cycles of common space clothes dryers. F=1 for in-unit clothes dryers. F=2.423 for common space clothes dryers.	Electric Dryer: 0.15/0.05  Gas Dryer: Electricity – 1.0/0.0 Gas – 0.10/0.05
Miscellaneous Dwelling unit Plug Loads (3)	0.5 W/ft <sup>2</sup> or 1.05 kWh/FFA FFA = Finished Floor Area of living space in square feet	0.90/0.1

Load Source	Energy Consumption	Sensible/ Latent Load Fraction (4)
Miscellaneous Non-Dwelling unit Plug Loads (3)	Corridors, restrooms, stairs, and support areas: 0.2 W/ft <sup>2</sup> design; 0.7 kWh/ft <sup>2</sup> annual usage.  Offices: 1.5 W/ft <sup>2</sup> design; 4.9 kWh/ft <sup>2</sup> annual usage Other Multifamily Public& Common Areas: 0.5 W/ft <sup>2</sup> design; 1.6 kWh/ft <sup>2</sup> annual usage	1.0/0.0

Notes to table:

- (1) Energy consumption of refrigerator, dishwashers and clothes washers is based on information posted at [www.energystar.gov](http://www.energystar.gov), including the *Product Lists* and *Savings Calculators*
- (2) Energy consumption data is per Table 11 of the Building America Research Benchmark Definition, Updated December 29, 2004, as made available at <http://www.p2pays.org/ref/36/35765.pdf>
- (3) Plug loads are per Table N2-3 of California’s 2005 Nonresidential ACM Manual; non-dwelling units modeled with a 9 hour/day schedule, dwelling units modeled with a 5.8 hour/day schedule.
- (4) Sensible and Latent Load Fractions are expressed as the fraction of the annual energy consumption and are based on Table 11 of the Building America Research Benchmark Definition, Updated December 29, 2004, as made available at <http://www.p2pays.org/ref/36/35765.pdf>
- (5) Performance credit for heat recovery and reduced mechanical exhaust rates may be awarded for use of condensing driers.

### 3.11 Elevator Loads

In order to take credit for energy savings associated with improvements to the elevator system, baseline and proposed design energy estimates must be completed by a design engineer using a simulation based on first principles, traffic models, and engineering data from empirical studies. This energy model must include energy consumed when the elevator is idling and in stand-by as well as the energy consumed when actively transporting the cabs (loaded and unloaded) based on an appropriate traffic model for the building. Some elevator equipment manufacturers will provide these calculations upon request as part of their design assistance service.

When elevator energy usage is modeled using the approach described above, the baseline elevator design shall use the following assumptions:

1. The baseline elevator technology shall be based on number of stories serviced by the elevator as shown in the following table:

Elevator Service Height	Baseline Technology
4 to 6 stories	hydraulic
7-20 stories	geared traction
21+ stories	gearless traction

2. Standard efficiency DC motors
3. Variable Voltage Variable Frequency Drive
4. No regeneration of braking power losses
5. Controls based on simple elevator algorithm
  - a. Continue traveling in same direction if there are remaining calls for service in that direction
  - b. If no more calls for service in direction being traveled, stop and remain idle, or change direction if there are calls for service in that direction
6. Traction elevators are equipped with counterweights sized at 50% of full load capacity. Hydraulic elevators have no counterweight or hydraulic accumulators.
7. Worm gears for geared traction elevators
8. 2:1 roping scheme

If the elevator system is not modeled using the approach described above, use the default table below to determine the total energy consumption associated with all elevators in the building for both the Baseline Building Simulation and the Proposed Design.

## Default Elevator Energy Usage Table

Class	Annual Energy Consumption (MWh)		
	HYDRAULIC (1-6 stories)	GEARED TRACTION (7-20 stories)	GEARLESS TRACTION (21+ stories)
<b>1: UP TO 6 DWELLING UNITS</b>	1.91	NA	NA
<b>2: 7 TO 20 DWELLING UNITS</b>	2.15	NA	NA
<b>3: 21 TO 50 DWELLING UNITS</b>	2.94	3.15	NA
<b>4: MORE THAN 50 DWELLING UNITS</b>	4.12	4.55	7.57

10% of elevator energy usage shall be added to space heat gains.

Savings related to lighting in the cabin may be claimed as a separate performance credit if not included in an elevator system simulation. Cab lighting in the baseline model shall be equal to 1.5 W/ft<sup>2</sup> operated 24/7.

Ventilation system improvements may also claim savings based on high efficiency fans and/or modified control systems. Elevator cab ventilation in the baseline model shall be modeled using standard efficiency fans operating 24/7.

### 3.12 Ventilation and Infiltration

#### *General*

1. If the energy modeling software supports multiple infiltration algorithms, the same method must be used in the Baseline Building Design and Proposed Design.
2. The default infiltration rates of the software tool may be used for non-dwelling unit spaces, and must be the same in the Baseline Building Design and Proposed Design.
3. Process-related exhaust ventilation including bathroom and kitchen exhaust, trash-room exhaust, etc., may be combined with infiltration to determine the combined rate to be entered in the model. The calculation procedure is demonstrated on *Infiltration & Ventilation* worksheet of the *Simulation Appendix* spreadsheet.
4. Where ASHRAE Standard 62 is referred to in these guidelines, use ASHRAE 62.2-2007 standards for dwelling unit specifications and ASHRAE 62.1-2007 standards for all spaces other than dwelling units.
5. In the As-Built model, measured infiltration rates are not used, however the measured infiltration must comply with the *Minimum Performance Standards*.

#### Baseline Building Design

1. Exhaust ventilation in all bathrooms and kitchens shall be modeled using the same rates as the Proposed Design, without exceeding ASHRAE 62.2-2007 recommended continuous/intermittent rates (5ACH/100 CFM in kitchens and 20CFM/50CFM in bathrooms) by more than 50%. If not specified otherwise, intermittent exhaust shall be modeled with a 2 hr/day runtime.

2. When local or national codes allow the use of natural ventilation to satisfy fresh air requirements, the lesser of ventilation rates specified on drawings or mechanical ventilation required by ASHRAE 62 without reliance on natural ventilation shall be modeled or used to calculate combined rate of infiltration/ventilation.
3. The combined modeled rate of mechanical and natural ventilation in dwelling unit spaces shall be no less than 0.35 ACH or 15 CFM per person. When calculating air changes per hour, the volume of the living spaces shall include all areas within the conditioned space, as required in ASHRAE Standard 62.
4. The Baseline Building Design ventilation rates shall be modeled the same as the Proposed Design ventilation rates up to 50% higher than ASHRAE requirements. If the ventilation in the Proposed Design exceeds ASHRAE by more than 50%, the Baseline shall be modeled as 50% above ASHRAE.
5. Ventilation controls required by ASHRAE 90.1-2007, Section 6.4.3.4 shall be modeled where applicable. For example, according to Section 6.4.3.4.3, both outdoor air supply and exhaust systems shall be equipped with motorized dampers to automatically shut when the systems or spaces served are not in use. This requirement applies to common spaces such as community rooms, offices, laundry rooms, etc.
6. No heat recovery shall be modeled, unless it is required by local code or Appendix G for specific field conditions (see Section G3.1.2.10). Heat recovery is typically not required for multifamily buildings.
7. No demand control ventilation shall be modeled unless required by local or national code for specific field conditions, such as high-occupancy areas described in ASHRAE 90.1-2007, Section 6.4.3.9. Demand control is typically not required for multifamily buildings.

#### Proposed Design

1. Combined modeled rate of mechanical and natural ventilation in dwelling units shall be modeled as no less than 0.35 ACH or 15 CFM per person.
2. If mechanical ventilation does not provide 100% of the required minimum air exchange rate, then documentation must be provided to justify how natural ventilation rates were measured and estimated.
3. The modeled mechanical ventilation rate and control schedule shall be as specified on the drawings and other design documents, until measured rates are available from testing reports. If not specified, intermittent exhaust shall be modeled with a 2 hr/day runtime.
4. The mechanical ventilation schedule may differ between Baseline Building Design and Proposed Design when necessary to model nonstandard efficiency measures, provided that the revised schedules are approved by the rating authority. Measures that may warrant use of different schedules include Demand Control Ventilation (DCV), as described in Appendix G. Individual exhaust ventilation in kitchens and bathrooms with manual control or interlocked with lighting switch does not qualify as DCV measure.



### 3.13 HVAC Distribution Losses

1. Do not model piping or duct losses. Refer to *Minimum Performance Standards* for specifications relating to pipe insulation, duct insulation and duct leakage amounts.
2. Projects *may* pursue performance credit for sealing central stack ventilation ductwork. To receive this credit, the actual duct leakage measured during the inspection phase of the project as part of the *Testing and Verification Protocols* conducted on the building, must be below 10 cubic feet per minute measured at 50 Pascals of pressure (CFM50)<sup>2</sup> per floor per grille. To model the energy savings, the actual measured leakage shall be added to exhaust CFM in the Proposed Design. The exhaust leakage in the Baseline Building Design shall be modeled by adding 10 CFM per floor per grille to the specified exhaust CFM. Based on the *Minimum Performance Standards*, the actual measured exhaust leakage cannot exceed 10 CFM50 per floor per grille.
3. Performance credit for reduced piping or duct losses may be allowed if the proper documentation is provided to NYSERDA for review and approval with the description of Baseline and Proposed inputs and calculation procedure.

### 3.14 Fans Motor Energy

Fan motor energy consumption shall be included in the Baseline Building Design and Proposed Design as described below.

#### Baseline Building Design

1. PTAC/PTHP units serving dwelling units in the Baseline Building Design shall be modeled as cycling with load if continuous in-unit mechanical supply ventilation is not provided in the Proposed Design, and as running continuously if there is continuous mechanical ventilation in apartments in the Proposed Design. PTAC/PTHP serving non-24 hour common spaces that have supply ventilation, such as rental offices, community rooms, etc. shall be modeled as running continuously when the spaces are occupied, and cycling with load during un-occupied hours. PTAC/PTHP serving mechanically ventilated 24-hour spaces shall be modeled as running continuously.
2. PTAC/PTHP fan power must be modeled as 0.0003 kW/CFM, per ASHRAE 90.1-2007.
3. Supply and exhaust fans that are not part of the HVAC system, such as kitchen and bathroom exhaust fans, laundry make-up fans, trash room exhaust, etc. shall be considered process loads and modeled as follows:
  - a. For fans that are in the scope of Section 10.4.1 of ASHRAE 90.1, obtain the Baseline Fan Motor Efficiency for the appropriate motor size from Table 10.8. Calculate Baseline fan power as  $P_{fan} = bhp \times 746 / \text{Fan Motor Efficiency}$ . Actual motor efficiency must be used in the Proposed Design, allowing performance credit for NEMA Premium efficiency motors.

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<sup>2</sup> In many buildings, the static pressures in the ductwork under normal operating conditions may exceed 50 Pascals of pressure. However, 50 Pascals is the minimum static pressure required for certain pressure-sensitive mechanical controls (such as Constant Air Regulators) to function properly and provides us with a consistent baseline by which we can measure one building's performance against another's.

- b. The following efficacy shall be used as the Baseline for ENERGY STAR exhaust fans, if such fans are included in the design:
  - i. range hoods up to 500 CFM, bathroom and utility fans 90-500 CFM, and in-line ventilating fans: 2.3 CFM/Watt
  - ii. bathroom and utility room fans of 10-80 CFM: 1.2 CFM/Watt
- c. Fans not covered above do not have to be modeled explicitly. If included in the model, their design parameters shall be the same as in the Proposed Design, unless specifically allowed otherwise.

### Proposed Model

1. Fan energy in the Proposed Design shall be modeled using actual project conditions and parameters of specified equipment, such as fan bhp, fan motor efficiency, airflow rates, ductwork characteristics, and operation hours. For example, peak fan power may be calculated as  $P_{fan} = bhp \times 746 / \text{Fan Motor Efficiency}$ , or using rated CFM/Watt for actual pressure drop and airflow.
2. Heat recovery devices increase pressure drop in the ductwork, leading to increase in fan energy consumption. This increase shall be explicitly modeled in the Proposed Design, to allow evaluating true trade-offs of such systems.
3. Fan motor energy savings from demand control ventilation may be modeled by reducing fan runtime in the Proposed Design compared to the Baseline. For example, reduced fan runtime from installing CO sensors in residential-associated garages may be modeled using 8.4 hr/day fan runtime in Proposed Design, compared to 24 hr/day runtime in the Baseline Building Design.

## **3.15 Pumps**

1. *Baseline hydronic equipment* shall be modeled as described in sections G3.1.3.3, G3.1.3.4 and G3.1.3.5, including:
  - a. Hot-water design supply temperature shall be modeled as 180°F and design return temperature as 130°F.
  - b. Hot-water supply temperature shall be reset based on outdoor dry-bulb temperature using the following schedule: 180°F at 20°F and below, 150°F at 50°F and above, and ramped linearly between 180°F and 150°F at temperatures between 20°F and 50°F.
  - c. Hot water pump power shall be modeled as 19W/GPM
  - d. Pumping system shall be modeled with *continuous variable* flow
  - e. Systems serving 120,000 ft<sup>2</sup> or more shall be modeled with variable-speed drives, and systems serving less than 120,000 ft<sup>2</sup> shall be modeled as riding the pump curve (piping shall include two-way valves)
2. *HVAC pumps in the Proposed Design* shall be modeled using the actual system parameters including head, capacity control, and pump motor efficiency.
3. *Non-HVAC pumps*, such as pumps serving DHW may receive performance credit for improvement in motor efficiency or capacity control as described below.
  - a. If pump motor is included in the scope of Section 10 of ASHRAE 90.1 2007:

- i. obtain actual pump bhp from drawings or specifications of the Proposed Design
  - ii. obtain *Baseline pump motor efficiency* from section 10.4 of ASHRAE 90.1 for appropriate pump motor size
  - iii. use actual pump design parameters in combination with the *Baseline pump motor efficiency* as appropriate for the energy modeling software tool being used to model pump in the Baseline
  - iv. use actual pump design parameters in combination with the *actual pump motor efficiency* in the Proposed Design
- b. Constant flow capacity control may be assumed in the Baseline Building Design, unless required otherwise by ASHRAE 90.1 for the specific design conditions. Actual capacity control may be modeled in the Proposed Design.
  - c. If the pump motor is not included in the scope of ASHRAE 90.1 Section 10, the pump does not have to be modeled explicitly. If included in the model, its parameters shall be the same in the Baseline and Proposed Design.

### 3.16 Energy Rates

#### Performance Rating Calculations

The following average annual prices must be used for performance rating calculations of all projects in the Program:

Electricity:	0.1859 \$/kWh (1)
Natural Gas:	15.79 \$/Mcf (2)
Oil:	2.88 \$/gallon (3)

- (1) One year state-wide average, U.S. DOE Energy Information Administration residential data. "Electric Power Monthly", [http://www.eia.gov/state/state\\_energy\\_profiles.cfm?sid=NY](http://www.eia.gov/state/state_energy_profiles.cfm?sid=NY).
- (2) Dollar per thousand cubic feet (Mcf). One year state-wide average, U.S. DOE Energy Information Administration residential data. "Natural Gas Navigator", <http://tonto.eia.doe.gov/dnav/ng/hist/n3010ny3m.htm>.
- (3) Regional average based on NYSERDA's Energy Analysis SHOPP Calls, [http://www.nyserda.org/Energy\\_Information/nyepd.asp](http://www.nyserda.org/Energy_Information/nyepd.asp).

Actual rate schedules and pricing, according to the rate class that will most likely be assigned to the property, may be used only if savings associated with demand reduction are modeled. In this case the supporting documentation must be provided showing monthly fuel costs for 12 consecutive months.

Performance credit for the reduced energy cost may be claimed only if the cost reduction is due to the reduced energy consumption or demand. Following this rule, savings associated with sub-metering shall not be included in the performance rating.

#### SIR Calculations

The same prices that were used in performance rating calculations may also be used to calculate SIR.

Sources for fuel cost must be clearly documented in model submittals.

## **APPENDIX A: Referenced Standards and Data Sources (Informative)**

**American Society of Refrigeration and Air-conditioning Engineers (ASHRAE) Standard 90.1-2007: Energy Standard for Buildings Except Low-Rise Residential Buildings:** Primary source document for Baseline Building design features and guidance for creating a performance-based evaluation of a proposed building's energy features (Appendix G).

**Illuminating Engineering Society Lighting Handbook:** handbook of lighting design published by the Illuminating Engineering Society of North America (IESNA), [www.iesna.org](http://www.iesna.org).

**Air-conditioning, Heating, and Refrigeration Institute (AHRI):** reference AHRI ratings to determine seasonal efficiencies of heating and cooling systems, [www.ahridirectory.org](http://www.ahridirectory.org).

**Energy Policy Act 1992 (EPACT 1992):** federal legislation including provisions describing minimum efficiencies for certain appliances and plumbing fixtures. The complete document can be found in the Library of Congress website at <http://thomas.loc.gov/cgi-bin/query/z?c102:H.R.776.ENR>:

**ASHRAE Standard 62.1-2007: Ventilation for Acceptable Indoor Air Quality:** Provides guidance for ventilation system design and other related building features to ensure acceptable indoor air quality. Scope includes all buildings except low-rise residential.

**ASHRAE Standard 62.2-2007: Ventilation and Acceptable Indoor Air Quality in Low Rise Residential Buildings:** Provides guidance for ventilation system design and other related building features to ensure acceptable indoor air quality.