

# Residential and Retail Energy Storage Program Manual Updates

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**NYSERDA**

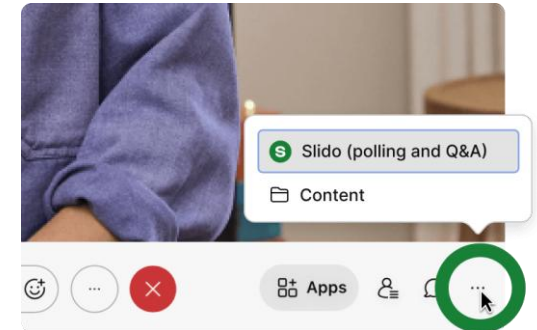
# Meeting Procedures

## Before beginning, a few reminders to ensure a smooth discussion:

- Today's webinar **is being recorded**
  - A copy of the recording and presentation slides will be available on [NYSERDA's Energy Storage page](#) in the "Webinar Presentations" section.
- Attendees will be muted upon entry.

## Questions:

- Questions may be submitted in writing through the Slido Q&A feature at any time during the webinar. Questions will be answered at the end of the presentation.



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# Agenda

- Updates to Contractor Requirements
- Updates to Residential Energy Storage Incentive Program
- Updates to Retail Energy Storage Incentive Program
- Inclusive Storage Incentives
- Q&A

# Program Manual Version 2: April 2026

- Details program rules for both the Residential and Retail Energy Storage Incentive Programs, and the Inclusive Storage Incentives for both programs.
- Found on the [Residential and Retail Storage Incentive](#) website, along with Project Application Forms, Example Documents, Reference Guides, Checklists, and past Presentations.
- **Latest version published on Tuesday, April 7.**
  - Contractors should comprehensively review the Program Manual when updated.
  - Record of Revision found on Page 1.
- Updated at regular intervals with webinar to follow.

## NYSERDA Record of Revision

Document Title
NYSERDA Residential and Retail Energy Storage Market Acceleration Incentives Program Manual April 2026

Revision Date	Description of Changes	Revision on Page(s)
April 2026	1.2.1.1 Contractor Qualifications: Updated Retail Storage Contractor experience requirements and deliverables	8-10
April 2026	1.2.1.2 Project References: Updated Retail Storage project reference requirements	10-11
April 2026	1.2.1.4 Department of Public Service Regulations for DER Providers: Added grace period for DPS letter requirement	12
April 2026	1.2.3 Builder Qualifications: Clarified Builder credential requirement	13-14
April 2026	1.2.5 Quality Battery Installer Designation: Added	14
April 2026	1.3.2 Inclusive Storage Incentives: Added	16
April 2026	1.5 Measurement and Verification: Clarified discharge test location for front-of-meter projects	18
April 2026	1.5 Measurement and Verification: Updated process for data streaming requirement	18
April 2026	2.1 Customer and Project Eligibility: Updated qualifying utility residential energy storage program list for required enrollment	21-22
April 2026	2.1 Customer and Project Eligibility: Clarified eligibility for projects serving nonresidential service classification of utility meter and multiple project applications associated with the same meter	22-23
April 2026	2.2 Additional Eligibility Requirements for Residential Inclusive Storage Incentive: Added	22-23
April 2026	2.4.2 Project Application Requirements: Updated	24-26

# Updates to Contractor Requirements

## 1.2.1.2 Project References

- To participate in the Residential Incentive Program, an applicant must **submit three verifiable energy storage project references** for completed grid-connected energy storage installations.
  - **NEW: Applicants will be approved upon two complete reference checks.**
- To participate in the Retail incentive program, the applicant must submit project references for three projects, each greater than 600 kWh in nameplate capacity, that have entered commercial operation in the past three years and that sum to at least 3 MW.
  - **NEW: References for projects completed more than three years from the date of application may be considered on a case-by-case basis.**
- References should include
  - Name, address, phone and email for each project reference
  - System size (kilowatts and kilowatt hours)
  - Interconnection date
  - Contractor's role in the project
  - Construction photos for the projects (see the [Contractor Application Document Checklist](#) for guidance)

## 1.2.1.2 Project References

- **CLARIFIED: Project references may not be provided by:**
  - An owner or employee of the applicant's company or a related company(subsidiary or parent)
  - A relative of an owner or employee of the applicant's company
  - A related (subsidiary or parent) company.
- **CLARIFIED: If applying to both the Residential and Retail programs, the applicant must provide **six references in total**.**
- An example of a reference form sent by NYSERDA to customers can be found on the Residential and Retail Storage Incentives website.

# 1.2.1.4 New York DPS Regulations for Distributed Energy Resource Providers

- **CLARIFIED:** Applicants must comply with DPS's regulations for DER Providers; please carefully review the information and forms on DPS's website for [Distributed Energy Resource \(DER\) Regulation and Oversight](#), especially the [Uniform Business Practices for Distributed Energy Resource Suppliers \(UBP-DERS\)](#). **DPS registration can take up to 30 days.**
  - If an applicant contracts directly with customers, they must provide a **DER Provider Approval Letter** from DPS.
  - If an applicant does not contract directly with customers, they must provide a **DER Provider Registration Determination letter** from DPS, stating that they are not required to register as a DER Provider.
  - **NEW:** If an applicant cannot provide a DPS letter with their application, NYSERDA will accept **proof of submission to DPS** for a **90-day grace period from the date of application approval**, after which the applicant must provide the appropriate DPS letter.
    - Failure to provide the appropriate DPS letter after the 90-day grace period will result in the Contractor being moved to Suspended status, in which the contractor will be unable to submit projects until the letter is provided.

# Other Common Contractor Application Rejection Issues

- Certificate of Insurance (visit the [Standard Forms and Agreements](#) webpage to see a certificate of liability insurance sample):
  - **CLARIFIED: Include worker's compensation** (per New York State requirements)
  - **CLARIFIED: Include disability** (per New York State requirements)
- Sample Customer Agreement:
  - Provide a sample Standard Customer Agreement that meets program requirements and includes the [Addendum to Customer Agreement](#) found on the [Residential and Retail Storage Incentives](#) webpage.
  - **NEW:** See the table in the [Contractor Application Document Checklist](#) for required components including:
    - Installation location address
    - Installation schedule
    - System description
    - Estimate of minimum hours a fully charged system could power indicated circuits
    - Applicable incentives and additional customer-incurred costs
    - Payee W9

# Other Common Contractor Application Rejection Issues

Builder credentials for Residential Storage program: applicant must provide one of the following credentialing certificates for at least one person on staff:

- North American Board of Certified Energy Practitioners (NABCEP) Energy Storage Installation Professional Certification (ESIP)

The following Builder credentials require **documentation of 30 hours of advanced energy storage-specific training** to be submitted in addition to the credentialing certificate:

- NABCEP PV Installation Professional Certification
- IBEW-NECA (International Brotherhood of Electrical Workers and National Electrical Contractors Association) Electrical Journeyman & Apprentice Training or
- UL (Underwriters Labs) PV System Installer
- **NEW: Manufacturer trainings will be accepted on a case-by-case basis** to fulfill the 30-hour requirement.

# **Updates to Residential Storage Incentive Program Manual**

## 1.2.5: Quality Battery Installer Designation

- **NEW:** NYSERDA is establishing a **Quality Battery Installer (QBI) designation** to reward exemplary quality control among Residential Storage participating contractors
  - Analogous to NY-Sun's Quality Solar Installer (QSI) designation.
- Eligibility criteria are:
  - Must be an active Full status Residential Energy Storage contractor.
  - Must complete at least 12 full comprehensive projects within the past calendar year (2026 for the initial designation.)
  - Must have at least a 4.0 (no rounding up) average in-field inspection QA score for the past calendar year.
- The designation will be valid for one calendar year and will be re-evaluated annually.
- NYSERDA Quality Battery Installers will be identified on NYSERDA platforms (e.g., website) and provided with a NYSERDA Quality Battery Installer label/logo to use as a third-party differentiator and validation of their work quality.

# 1.4: Quality Assurance

- **NEW:** NYSERDA Quality and Market Standards has updated the [Residential Storage Inspection checklist](#) with references from the following codes:
  - 2023 National Electric Code
  - 2025 New York State Fire Code
  - 2025 Residential Code of New York State
- The in-field inspection checklists can be found on the [Residential and Retail Storage Incentives](#) webpage, under the “Quality Assurance Reference Guides” section.
- **Please note: All residential storage inspections will use NYSERDA’s latest inspection checklist**, regardless of project permit date. All projects must comply with state code, local AHJ requirements, and manufacturer installation instructions.
- The most stringent applicable requirement should always be followed.
- If you have questions or need assistance, please email [inspections@nyserda.ny.gov](mailto:inspections@nyserda.ny.gov).

# 2.1: Customer and Project Eligibility – DLM Requirement

- Upon installation, residential energy storage systems must enroll in utility-managed Dynamic Load Management (a.k.a. “Bring Your Own Battery”) programs, except if:
  - A program is not available in the utility territory.
  - Direct customer participation is not practicable and an aggregator for the program is not available.
- Currently available programs and dates of incentive application submission where enrollment will be required:
  - [National Grid Connected Solutions Battery Program](#): On or after **April 1, 2026**
  - [NYSEG and RG&E Energy Storage Solutions Program](#): On or after **April 1, 2026**
  - Orange and Rockland Utilities (ORU) [Smart Savers Program](#): On or after **May 1, 2026**
- For the above utilities: if the energy storage system manufacturer for the project has not yet been onboarded to the relevant utility program, the enrollment requirement will be waived until **June 1, 2026**.
- **Next slide: visual outline of incentive eligibility by application submission date, utility territory, customer BYOB enrollment status, and battery OEM onboarding**

# Residential DLM Enrollment Requirement Dates To Be Eligible For NYSERDA Incentives

## Residential Energy Storage Incentive: Eligibility by Customer BYOB Enrollment, Battery OEM BYOB Onboarding

Utility Territory	Incentive Application Date				ConEd dates to be confirmed		
	Until April 1	After April 1	After May 1	After June 1	After ConEd Launch	After "OEM Grace Period" for ConEd	
<b>Customer enrolled in utility BYOB program</b>							
Any utility	Eligible						
<b>Customer NOT enrolled in utility BYOB program</b>							
<b>Battery OEM onboarded to utility BYOB program</b>							
National Grid	Eligible	Not eligible	Not eligible	Not eligible	Not eligible	Not eligible	
NYSEG-RGE		Eligible	Eligible	Eligible			Eligible
Orange & Rockland							
Con Edison							
Central Hudson		Eligible	Eligible	Eligible	Eligible	Eligible	
<b>Battery OEM NOT onboarded to utility BYOB program</b>							
National Grid	Eligible	Eligible	Eligible	Not eligible until onboarded	Not eligible until onboarded	Not eligible until onboarded	
NYSEG-RGE				Eligible	Eligible		Eligible
Orange & Rockland							
Con Edison							
Central Hudson				Eligible	Eligible	Eligible	Eligible

## 2.4.10.4 Invoicing and Payment (DLM)

- NYSERDA must confirm enrollment after the project receives PTO and the contractor submits the project invoice
- **NYSERDA will validate project customers' information against enrollment lists maintained with the utility, prior to issuing incentive payment**
  - NYSERDA now collects customer utility account numbers on the Residential application
  - NYSERDA will regularly share list of customer accounts and battery manufacturers from Residential Storage projects with utilities
  - Utilities will indicate to NYSERDA which customer accounts are enrolled
    - If customer is enrolled, NYSERDA incentive can be paid
    - If customer is not enrolled:
      - If battery OEM is not onboarded to utility program: project is eligible for NYSERDA incentive until end of "OEM grace period"
      - If battery OEM is onboarded to utility program: NYSERDA incentive cannot be paid

## 2.4 Project Application Requirements

- Contractors must submit as part of the project application (among other items listed in Program Manual):
  - Signed project application: submitted electronically through NYSERDA portal
    - Must include energy storage system technology type, manufacturer, and installed power and energy capacity
  - Proof of UL 9540 Equipment Listing (and other relevant safety certifications as detailed in section 5)
    - **Please note: UL 1741 is not accepted in lieu of UL 9540**
  - Electrical drawing including:
    - Standard symbols to describe the energy storage system
    - Quantity, manufacturer, and model of energy storage system components
    - Installed energy capacity in kWh AC
    - Installed power capacity in kW AC (**typically not equal to the kWh value**)
  - Site photos including the wall/ceiling area around the energy storage system
- **CLARIFIED: Contractors do not need to submit storage manufacturer commissioning approval documentation**

## 2.5 QA Inspections of Completed Projects

### For new contractors to Residential Energy Storage Incentive:

- In **Provisional status: 100% inspection rate** for first three awarded projects
  - If one or more of those first three awarded projects do not receive passing score: next three projects will have 100% inspection rate
  - If any of the second set of three projects do not receive passing score: contractor may be terminated from program.
  - If either the first or second set of three projects all receive passing score: contractor will be placed in Full status
- In **Full status: 10% inspection rate**

### For existing Full Status NY-Sun contractors in Long Island Single-Family Residential Storage Incentive

- If at least two Long Island energy storage projects received passing score, NYSERDA will inspect only one additional project for the (non-LI) Residential Energy Storage Incentive
  - If additional project receives passing score, contractor will be placed in Full status with Residential Energy Storage Incentive program

## 5.5 Residential Program: CEC Equipment Listing

- **NEW:** For projects applying to the Residential program, the energy storage system or battery product must be listed in the California Energy Commission's (CEC) Energy Storage System List, Battery List, or Grid Support Battery Inverter List found in the application portal.
  - The manufacturer is responsible for accurate updates to the list.

## 5.7 Round-Trip Efficiency

- Round-trip efficiency (RTE) is the difference between energy used to charge the system and electric energy discharged from the system.
  - Includes any parasitic losses and all forms of usable energy
  - Measured using the design procedures indicated in Pacific Northwest National Lab / Sandia National Lab's Protocol for Uniformly Measuring and Expressing Performance of Energy Storage Systems (SAND 2016-3078 R).
- Minimum AC-AC RTE values that the energy storage system must be designed to maintain during its commercial operation are as follows:
  - **Lithium-ion (NMC or LFP): 85%**
  - **Vanadium Redox Flow: 65%**
- Minimum RTEs for other technologies will be determined as energy storage products utilizing these technologies these become commercially available.
  - **Contractors intending to apply to the program with projects deploying non-lithium-ion technologies are encouraged to contact NYSERDA prior to application.**

# **Updates to Retail Energy Storage Incentive Program Manual**

# 1.5 Measurement and Verification: Updated Process for Data Streaming Requirement

- **CLARIFIED:** After the QA field inspection, **the Contractor** must establish an automated data transfer to collect 15-minute data for demand charge installations or hourly interval data for VDER compensation installations, for charge/discharge of the ESS for **up to three years**.
  - Guidance for this process found on the [NYS DER website](#).
- **Failure to maintain data transmittal for the required period may result in the Contractor being placed in Probation or Suspended status.**
- **CLARIFIED:** For front-of-the-meter projects, the discharge test will be performed on the high side of the transformer.

## 3.5.13 Measurement and Verification: Clarified Discharge Test Thresholds

- **CLARIFIED:** If installed capacity on record exceeds the incentive cap (20 MWh), **20 MWh will be used** as the benchmark against discharge test results.
- Verified capacity within 5% of 20 MWh will result in no change to the incentive in this case.
- **Example:**
  - a project with 23.5 MWh on record records a discharge test result of 19.9 MWh.
  - 19.9 MWh falls within 5% of 20 MWh.
  - No change in incentive.

## 3.2.2 Clarified Requirements for Prevailing Wage Compliance

- **CLARIFIED:** If the energy storage project is **only subject to Prevailing Wage requirements because of the Retail Energy Storage Incentive Program rules:**
  - Contractors do not need to obtain a project specific wage schedule from New York State Department of Labor (DOL).
  - Can use [DOL's generic wage schedule](#) for the county in which the project is located.
- If the energy storage project **will receive a NY-Sun incentive award:**
  - Contractors should consult the latest version of the NY-Sun Program Manual **prior** to the commencement of any construction activities subject to Prevailing Wage requirements.
- Non-retail storage questions about prevailing wage requirements, should be directed to DOL:
  - Via email to [labor.sm.pwask@labor.ny.gov](mailto:labor.sm.pwask@labor.ny.gov), or by phone at 518-457-5589.

## 3.2.2 Clarified Required Documents for Prevailing Wage Compliance

- **CLARIFIED:** Required certifications must be obtained for each eligible project at the end of each calendar quarter during the entirety of the project construction period.
- Uploaded at Project Completion Milestone (See updates in 3.5.12.3)
- Required materials can be found on the [NYSERDA Energy Storage webpage](#):
  - Prevailing Wage Certification Letter templates for contractors
  - Quarterly certifications certified by a New York State licensed CPA or an Independent Accountant's Report.
    - Separate letters for each quarter that construction took place.
- If the construction period extends beyond the Project Completion milestone, Contractor must provide the additional quarterly certifications **within 90 days of the completion of construction.**

## 3.5.2 Updated Project Application Requirements: Root Cause Analysis (RCA)

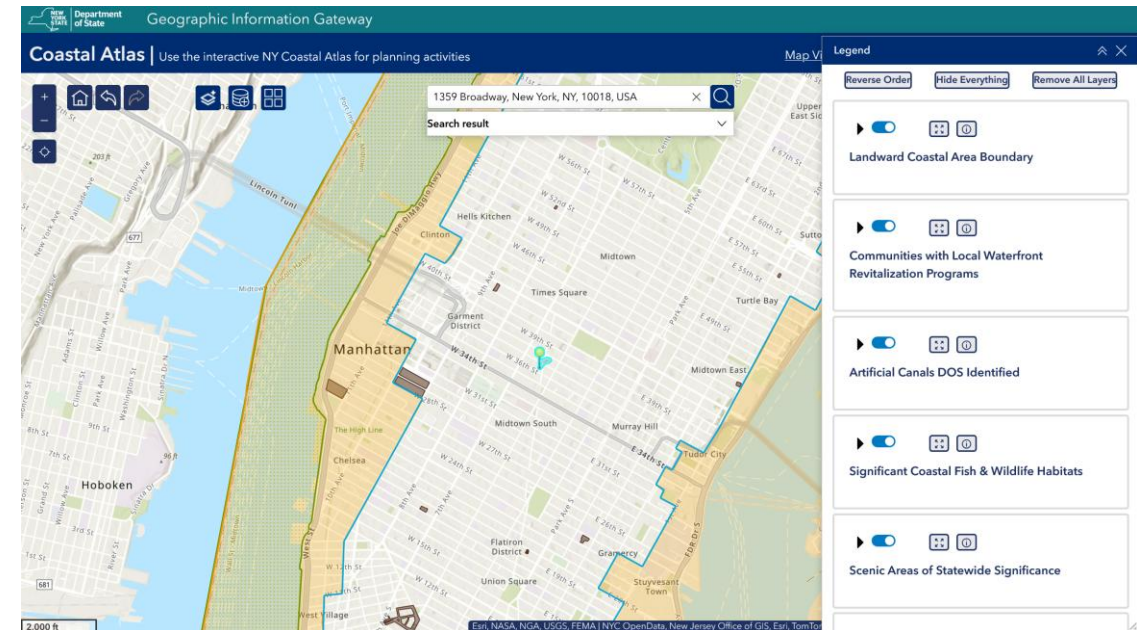
- **NEW:** Projects intending to use equipment associated with serious thermal incidents (e.g., fires or explosions) may need to submit a copy of a full root cause analysis (RCA) conducted in response to the incident.
- Any documented modifications made in response must also be provided.
- **NEW deliverables** at Peer Review Milestone (Updated in 3.5.12.1 Peer Review Milestone):
  - *Full RCA for any serious thermal incident involving the proposed ESS.*
  - *Documentation describing modifications made to the ESS following the incident.*

## 3.5.2 Project Application Requirements: Clarified SEQR Requirements for NYC

- Projects in NYC must provide a signed attestation detailing compliance under the City of Yes Carbon Neutrality zoning amendment regulations.
- **CLARIFIED:** For projects with **Gross Floor Area (GFA) of 4,000 sq ft or greater**, NYSERDA will conduct an independent review to ensure SEQRA compliance.
  - GFA collected in updated Planning and Zoning Form found on [Retail Program website](#).
  - GFA determined by adding up **square footage of all projects' enclosed structures**: any structure that has walls, a roof, and doors (e.g., storage cabinet or battery container).
  - **NYSERDA will aggregate GFA of each co-located project owned by the same Contractor.**
- **CLARIFIED:** For projects with **GFA of 10,000 sq ft or greater sited in Residential Districts**:
  - Contractors must provide a SEQR Negative Declaration.
  - NYSERDA may issue an independent SEQRA review.

# 3.5.2 Project Application Requirements: Clarified Coastal Zone Assessment

- **CLARIFIED:** Contractors must provide a screen capture from the **New York State Department of State online GIS tool** showing
  - Project address
  - Project location on the map relative to the following map layers:
    - Landward Coastal Area Boundary
    - Communities with Local Waterfront Revitalization Programs
  - Map layer legend



<https://dos.ny.gov/using-coastal-atlas-gis-gateway>

## 3.5.5 Clarified Project Address Update Policy in Submitted Status

- **CLARIFIED:** Projects **will** be allowed to update their address in cases where the address for a project changes, but the tax parcel remains the same.
  - The contractor must notify NYSERDA and submit all documents requested by NYSERDA.
  - **Note:** The address on the Utility PTO letter collected at Project Completion Milestone must match the project address on record. (See 5.12.3 Project Completion Milestone.)

# 3.5.11.2 New Project Modification Timing; 3.5.12.1 New Peer Review Guidebook

- **NEW:** For projects subject to Peer Review milestone, contractors strongly encouraged to submit project modifications *prior* to Peer Review process or may be required to go through second peer review.
- **NEW:** Contractors strongly encouraged to review comprehensive [Energy Storage Peer Review Guidebook](#) prior to submitting for Peer Review milestone.

## Energy Storage Peer Review



## 3.5.15 Clarified Invoicing and Payment Process

- **CLARIFIED:** Once the project has passed all milestones, QA issues resolved, and M&V complete, **NYSERDA** will generate an invoice for payment to the contractor through the NYSERDA Portal, authorizing payment of 100% of the final incentive payment.
  - In advance of invoicing, a contractor may opt-in for electronic payments by visiting <https://nyserda.jotform.com/team/operations/EFTForm>.
  - Before this form is complete, a contractor should email [energystorage@nyserda.ny.gov](mailto:energystorage@nyserda.ny.gov) with the name, title, email address, and phone number of the relevant Accounts Receivable contact that can be reached by NYSERDA to confirm banking information.

# Other Updates: Clarified Hydropower Eligibility and Vanadium Redox Flow RTE

- 3.1: **CLARIFIED:** Eligible projects include:
  - Energy storage (standalone or paired with an eligible DER generation source such as solar PV or *run-of-river hydropower*) connected directly into the distribution system and compensated under the VDER Value Stack tariff.
- 5.7: **NEW:** Minimum AC-AC RTE values that the energy storage system must be designed to maintain during its commercial operation are as follows:
  - **Vanadium Redox Flow: 65%**

# Common Contractor Mistakes

- Uploading proof of wire transfer for Proof of 100% Utility Interconnection Payment.
  - **This will move a project application out of the current Block to *Unsubmitted* status, Non-utility issued confirmation such as wire transfer documentation is not acceptable proof of payment.**
- Emailing requested documents. Documents should be uploaded to the project's Salesforce record to minimize delays in review.
- Missing Installed Storage Capacity, kWh (AC) or Total System Size (kW) on Site Plan.
- Uploading incomplete Coastal Zone Assessment screenshots. (See 3.5.2 Project Application Requirements.)
- Not using the [Planning and Zoning Form template](#) found on the Residential and Retail Storage Incentives website.
- Emailing individual project managers instead of [energystorage@nyserda.ny.gov](mailto:energystorage@nyserda.ny.gov); the energy storage inbox is monitored by multiple individuals.

# Links and Resources

- [NYSERDA Residential & Retail Storage Incentive Program](#) website
  - Includes updated [Program Manual](#) and additional program resources
- [National Grid BYOB webpage](#), including customer registration
- [NYSEG BYOB webpage](#), including customer registration
- [RG&E BYOB webpage](#), including customer registration
- Orange and Rockland BYOB webpage, forthcoming
- Subscribe to NYSERDA's [Energy Storage mailing list](#) for program updates

# Inclusive Storage Incentives

## 1.3.2 Inclusive Storage Incentives (ISI)

- The Inclusive Storage Incentives (ISI) are dedicated capacity blocks for targeted, higher-than-market-rate incentives for eligible Residential and Retail energy storage projects serving disadvantaged communities.
- The Residential and Retail ISI are separate incentive offerings and are allocated separate statewide capacity blocks (**i.e., unlike their market-rate equivalents, they are not segmented into regional blocks**).
- To be eligible for the ISI, a **Residential or Retail storage project must meet all eligibility requirements of the Residential or Retail MWh Block incentive program respectively, as well as additional eligibility criteria**,
  - Section 2.1.1 (Residential ISI)
  - Section 3.2 (Retail ISI).
- **A Residential or Retail project may only apply for either an ISI incentive or its market-rate equivalent (i.e., the ISI and market-rate incentives cannot be combined).**
- Available Residential and Retail ISI capacity can be monitored in real-time through the online MWh dashboards on NYSERDA's Energy Storage website

# 2.2 Additional Eligibility Requirements for Residential Inclusive Storage Incentive (ISI)

- To be eligible for the Residential ISI, projects must meet all requirements for customer and project eligibility as listed in Section 2.1 and meet **one of the following criteria**:

Eligibility Pathway	Description
Geographic location in Disadvantaged Community	<ul style="list-style-type: none"><li>Project is located in a <a href="#">Disadvantaged Community census tract (as defined by the Climate Justice Working Group)</a></li></ul>
Household income eligibility	<ul style="list-style-type: none"><li>Project serves owner-occupied primary residence of eligible customer with either:<ul style="list-style-type: none"><li>Documented household income under 80% of Area Median Income (AMI) or State Median Income (SMI), whichever is higher</li><li>Demonstrated participation in categorial eligibility program as listed in NYSERDA’s Income Eligibility Application</li></ul></li><li><b>NEW: If building has more than one unit/meter, only the owner-occupied unit/meter is eligible</b></li></ul>

## 2.2 Additional Eligibility Requirements for Residential Inclusive Storage Incentive (ISI)

- Eligibility pathways continued:

Eligibility Pathway	Description
Affordable housing eligibility	<ul style="list-style-type: none"><li>• <b>CLARIFIED:</b> Project serves 1-4 unit affordable housing property with:<ul style="list-style-type: none"><li>• At least <b>50% of the units having household income less than 80% of AMI or 80% of SMI</b>, whichever is higher, or</li><li>• At least <b>50% of units demonstrating participation in a categorical eligibility program</b> as listed in the NYSERDA Income Eligibility Application</li></ul></li></ul>

## 2.4.2.1 Additional Documents if Applying for Residential ISI

Projects applying for the Residential ISI must submit the following as part of the project application:

Eligibility Pathway	How to Qualify
Geographic	1. In Residential Storage Incentive application: Report <u>site address located within a <a href="#">DAC census tract</a></u>
Income	1. Assist customer to apply and be approved for <a href="#">NYSERDA Income Eligibility Verification Application</a> 2. In Residential Storage Incentive application: Include Income Eligibility Verification award letter in application documents
Affordable housing eligibility	1. In Residential Storage Incentive application: Include documentation from an eligible affordable housing program* in documents *As detailed in Program Manual section 3.5.3.1

## 3.2 Additional Eligibility Requirements for Retail Inclusive Storage Incentive (ISI)

- The Retail ISI is available to eligible on-site Retail energy storage projects serving **affordable housing properties and eligible critical facilities** located in Disadvantaged Community census tracts.
- To be eligible for the Retail ISI, projects must meet all requirements for project eligibility as listed in Section 3.1 and meet **all of the following criteria**:

Criterion	Description
Location in Disadvantaged Community	<ul style="list-style-type: none"><li>• Project is located in a <u>Disadvantaged Community census tract (as defined by the Climate Justice Working Group)</u></li></ul>
Nonprofit, public, or affordable housing status	<ul style="list-style-type: none"><li>• Serve a nonprofit, public, or affordable housing facility</li></ul>
Eligible facility type	<ul style="list-style-type: none"><li>• Serve an actively operating eligible critical facility type (listed in Section 3.2.1)</li></ul>

## 3.2 Additional Eligibility Requirements for Retail Inclusive Storage Incentive (ISI) cont.

- To be eligible for the Retail ISI, projects must meet all requirements for project eligibility as listed in Section 3.1 and meet **all of the following criteria**:

Criterion	Description
Behind-the-meter configuration	<ul style="list-style-type: none"><li>Be interconnected behind-the-meter, as demonstrated by the electrical drawing and attested to via application portal field.</li></ul>
Backup power configuration	<ul style="list-style-type: none"><li>Be configured for backup power during grid outage, as demonstrated by the electrical drawing.</li></ul>

## 3.2.1 Retail ISI: List of Eligible Critical Facility Types

- Multifamily (i.e., greater than four units) low-income/affordable housing (as defined by federal, State, local, tribal, or territorial law or regulation)
- Emergency medical care (diagnosis or treatment of mental or physical injury or disease) provided in:
  - Clinics
  - Dialysis facilities
  - Facilities that provide in-patient care for convalescent or chronic disease patients
  - Hospices and nursing homes
- Hospitals and related facilities, including:
  - Central service facilities operated in connection with hospitals
  - Extended-care facilities
  - Facilities related to programs for home-health services
  - Laboratories
  - Self-care units
  - Storage, administration, and record areas

## 3.2.1 Retail ISI: List of Eligible Critical Facility Types

- Long-term care facilities
- Outpatient facilities
- Rehabilitation centers
- Pharmacies
- Home Care
- Emergency Services
  - Police
  - Ambulance
  - Fire protection
  - Rescue
- Public water utilities:
  - Irrigation to provide water for drinking water supply, fire suppression, or electricity generation
  - Sewer and wastewater collection, transmission, and treatment
  - Water treatment, transmission, and distribution by a water company supplying municipal water

## 3.2.1 Retail ISI: List of Eligible Critical Facility Types

- Education:
  - Community education centers
  - Primary or secondary education as determined under State law and provided in a day or residential school, including parochial schools
  - Higher-education institutions that meet all of the following criteria:
    - Admit students or persons having a high school diploma or equivalent;
    - Are legally authorized to provide education beyond a secondary level;
    - Award a bachelor's degree or 2-year degree that is acceptable as full credit toward a bachelor's degree or provides at least a 1-year training program to prepare students for gainful employment in a recognized occupation; and
    - Are accredited by a nationally recognized agency or association (as determined by the Secretary of Education).
  - Educational facilities that meet the above criteria are eligible without regard to religious character or use for religious instruction.
- Community centers

## 3.2.1 Retail ISI: List of Eligible Critical Facility Types

- Facilities that do not provide medical care, but provide:
  - Alcohol and drug treatment and other rehabilitation services
  - Assisted living
  - Custodial care, even if the service is not provided to the general public (including essential administration and support facilities)
  - Childcare
  - Center-based childcare, even if not provided to the public
  - Day care for individuals with disabilities or access and functional needs (for example, those with Alzheimer's disease, autism, muscular dystrophy)
  - Food assistance programs, including Food Banks and storage of food for Food Banks
  - Health and safety services, including animal control services
  - Homeless shelters and supportive housing
  - Residential services for individuals with disabilities
  - Residential and other services for families of domestic abuse

## 3.2.1 Retail ISI: List of Eligible Critical Facility Types

- Facilities providing other noncritical, essential social services:
  - Houses of worship
  - Libraries
  - Senior citizen centers
- Jails, correctional facilities and detention facilities
- Facilities used in emergency response, including emergency and cooling shelters, emergency preparedness, communication, and operation centers

Note: Administrative and support facilities essential to the provision of the critical service are eligible facilities.

## 3.5.3 Additional Documents if Applying for Retail ISI

Projects applying for the Retail ISI must submit the following as part of the project application:

- **Proof of nonprofit, public, or affordable housing facility status:**
  - Nonprofit status: demonstrated with a ruling letter from the U.S. Internal Revenue Service that was in effect as of the declaration date and granted tax exemption under sections 501(c), (d), or (e) of the Internal Revenue Code.
  - Public/government status: demonstrated with documentation from the Authority Having Jurisdiction (e.g., a letter on city letterhead).
  - Affordable housing status: demonstrated with documentation from an acceptable affordable housing program (as detailed in Section 3.5.3.1).
- Proof of actively operating critical facility status: Demonstrated with **12 previous months' utility bills** with name/address corresponding to an eligible critical facility type listed in Section 3.2.1.
  - If facility type is not self-evident from name/address, evidence of facility designation by relevant government entity (e.g., listing in a governmental database or facility list) may be required.
- **Customer Agreement** (refer to Section 3.5.4 for required information to be included).

## 3.5.3.1 Retail ISI: List of Eligible Critical Facility Types

- > Acceptable eligibility proxies for affordable housing projects in the Retail ISI are the same as NY-Sun's Multifamily Affordable Housing Incentive – these include:
  - A. US Department of Housing and Urban Development (**HUD**), US Department of Agriculture (**USDA**) Rural Development, and other federally regulated LMI housing
  - B. NYS Homes and Community Renewal (**NYSHCR**)-regulated affordable housing
  - C. Low Income Housing tax credits
  - D. NYC Department of Housing Preservation and Development (**NYCHPD**)-regulated LMI housing (or similar local housing agencies)
  - E. State of New York Mortgage Agency (**SONYMA**) mortgage insurance
  - F. NYC **Department of Homeless Services** (NYCDHS), NYC **Department of Health & Mental Hygiene** (NYCDOHMH)
  - G. NYS **Office of Temporary & Disability Assistance** (NYSOTDA) and NYS **Office of Mental Health** (NYSOMH)
- > Program Manual includes complete eligibility requirements

# Question & Answer