

Appendix B

Step One Eligibility Application

Thank you for your interest in participating in the **Purchase of New York Tier 4 Eligible Renewable Energy Certificates (RECs) Request for Proposals (RFP) No. T4RFP21-1, Step One Eligibility Application.**

The New York State Energy Research and Development Authority (NYSERDA) seeks to procure Tier 4 eligible Renewable Energy Certificates (RECs)^[1] from eligible Bid Facilities, as authorized by the New York State Public Service Commission's [Order](#), issued on October 15, 2020 in Case No. 15-E-0302 (Order).

NYSERDA seeks to negotiate contracts for up to in aggregate 1,500 MW, but may exceed this quantity if it receives proposals that are sufficiently compelling. All Proposers are advised to review the Order before participating in this procurement. This solicitation will be implemented in two steps.

Please note: Partially completed information cannot be saved, be sure to have all details with you before beginning the form. Upon submission, you will receive a confirmation and a tracking number on your screen to print or save. Refer to this tracking number to check on the status of your request.

For full program details and eligibility, visit [NYSERDA's Tier 4 Website](#). If you have any questions, contact res@nyserda.ny.gov.

* required field

^[1] Please note that while the Order uses the generic term “credits,” the term “certificates” is used here, as successful Proposers will be required to participate in NYGATS, and NYGATS uses the term “certificates.” NYGATS Certificates are minted on a one per MWh basis. Each NYGATS REC Certificate will include a unique serial number.

Part A - Proposer Information

Enter the name of the proposed Project

Project Name

Describe the structure of the business entity or entities participating in the proposed Project per Section 6.4 of the RFP.

Business entity structure of Proposers' organization

Enter the name of the business entity submitting a Proposal in response to this RFP.

Proposer Name

Provide your company's primary mailing address.

Proposer Mailing Address

Street Address

City

State

Zip

Provide your company's courier address if different from your company's primary mailing address

Proposer Courier Address

Street Address

City

State

Zip

Provide contact details for the Primary Contact for the proposed Project.



Primary Contact Full Name

First Name

Last Name

Primary Contact Title and Affiliation

Primary Contact Email Address

Primary Contact Phone Number

Primary Contact Phone Extension

Primary Contact Fax Number

Please provide contact details for the Secondary Contact for the proposed Project.

Secondary Contact Full Name

First Name

Last Name

Secondary Contact Title and Affiliation

Secondary Contact E-mail Address

Secondary Contact Phone Number

Secondary Contact Phone Extension

Upload a description detailing how the COVID-19 pandemic has affected your operations, the process of developing the proposed Project, and the content of the Eligibility Application.

Impacts of COVID-19 on Proposer and Project Development

Part B - Project Information

Enter the Bid Quantity in megawatt hours (MWh) offered per year. The Bid Quantity should be the sum of the expected annual MWh delivered from all the Project Resources. For intermittent Resources, the annual energy output should correspond to the P50 probability level.

Bid Quantity

Upload a project narrative, be sure to include the following details for each Project Resource as described in Section 6.5 of the RFP: Bid Quantity, Resource Eligibility, and Interconnection Status.

Proposal Narrative

Proposed Projects may be comprised of one or more Project Resource. Complete the [Project Resources Form](#) (Right Click Form and Open Link in New Tab) following the instructions in each cell for each Project Resource included in the proposed Project. Upload the completed Project Resource Form below.

Appendix C - Project Resources

Part C - Delivery Information

Enter the proposed Zone J substation or node for Delivery Point.

Proposed Delivery Point

Upload the information required in Section 6.6 of the RFP, including a discussion of how the Project meets the Zone J delivery requirement, and a description of proposed transmission expansion and/or upgrades.

Delivery and Transmission Narrative

Provide the amount of Unforced Delivery Rights (UDRs) that have been awarded by NYISO, or that are expected to be awarded to the New Transmission.

New Transmission: Unforced Delivery Rights

Enter the Megawatt (MW) capability of the proposed New Transmission

New Transmission: MW Capability

Enter the address, municipality, or other identification of the geographic location of the withdrawal point for the New Transmission

New Transmission: Withdrawal Point

Enter the proposed Commercial Operation Date (first energizing) in MM-DD-YYYY format
New Transmission: Proposed COD

Provide the NYISO queue position associated with the application for New Transmission

New Transmission: NYISO Queue Position

Part D – Hydropower Project Data and Baseline Determination

Complete [Appendix D](#) (Right Click Form and Open Link in New Tab), for information on hydropower resources. Be sure to provide historic operating data and capacity additions as instructed in Section 6.7.1 of the RFP. Upload the completed Appendix D below.

Appendix D

Proposers of hydropower resources: upload a proposed Supplier Energy Baseline and a proposed Supplier GHG Baseline. A full and complete justification for both baselines must be provided, with supporting calculations based on the historical operating data that are provided in Appendix D

Proposed Supplier Energy Baseline and Supplier GHG Baseline

Certification

My proposal contains confidential information

Please note which part of the proposal is confidential

Do you wish to have any information submitted in your proposal package treated as proprietary or confidential trade secret information?

Yes

No

If yes, please explain.

Has the Company or any of its principals been indicted/convicted of a felony in the past 5 years?

Yes

No

If yes, please explain.

Are you a Minority or Women-Owned Business Enterprise?

Yes



No

If yes, please explain.

Are you a certified Service-Disabled Veteran-Owned Business Enterprise?

Yes

No

If yes, please explain.

I, the undersigned certify that I am an Officer or otherwise authorized representative of the above-noted Proposer, and that all statements herein are true and accurate. I understand that NYSERDA reserves the right to request additional information to confirm or clarify the information reported in this Step One Eligibility Application and to demonstrate the eligibility of this Project under Tier 4 of the New York Clean Energy Standard (CES), and I agree to provide any such information promptly. I also understand that NYSERDA and the Public Service Commission, or their authorized agents, may audit any applicant to verify the accuracy of any information included as part of this Step One Application. I further understand that NYSERDA must be notified promptly of any material change in the information provided in this Tier 4 eligibility application. I understand that failure to provide information as requested by NYSERDA, to allow an audit, or to accurately complete this Step One Eligibility Application may disqualify this Project from consideration under any RES competitive procurement and/or may result in contract termination by NYSERDA. I certify that the above information, and all information submitted in connection with State Finance Law section 139-j and section 139-k, is complete, true, and accurate, and that the application requirements noted in T4RFP21-1 have been completed and are enclosed. I affirm that I understand and will comply with NYSERDA's procedures under section 139-j(3) and section 139-j(6)(b) of the State Finance Law. I understand that this Step One Eligibility Application may be disqualified if the requirements are not met. I, the undersigned, am authorized to commit my organization to this Step One Eligibility Application. I, the undersigned certify that I am an Officer or otherwise authorized representative of the above-noted Proposer, and that all the information, documentation, statements, and representations submitted in connection with this Step One Eligibility Application are complete, true, and accurate.

First Name

Last Name

Email

Choose how to sign

Draw Type

I certify that I am the Authorized Representative of the Proposer and I acknowledge and agree that checking this box constitutes a legal electronic signature

Tracking Number

You will be provided with a Tracking Number upon submission.