



# T4RFP21-1 Webinar: Bid Submission; Interaction with Tier 1

May 3, 2021



**NYSERDA**

# Agenda

- **President's Remarks**
- **Tier 4 Bid Submission Demonstration**
- **Tier 1 RFP Update and Tier 1/Tier 4 Interaction**
- **Q&A**



# Logistics and Tier 4 RFP Update

## Q&A

- **At today's webinar, please submit questions via the Q & A function on WebEx**
- Questions may also be submitted to [res@nyserda.ny.gov](mailto:res@nyserda.ny.gov) by **5:00 p.m. ET today (May 3, 2021)**
- NYSERDA will post responses on the Tier 4 website to all questions on May 7, 2021.
- New responses to submitted questions were posted on the Tier 4 Website on April 30, 2021

**The deadline for NYSERDA Portal Registration has been extended until May 10, 2021 at 3:00 p.m. ET.**

**The Bid Submission Portal is open until May 12, 2021 at 3:00 p.m. ET**



# President's Remarks



**NYSERDA**



# Tier 4 Bid Submission Portal Demonstration



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# Tier 4 Bid Portal

- > The Portal for Bid Submissions opened on Friday, April 30 and will close on May 12, 2021 at 3:00 p.m. ET
- > See Appendix I for detailed instructions.
- > Please email [res@nyserda.ny.gov](mailto:res@nyserda.ny.gov) for assistance

# Accessing Step Two Proposal

## Schedule

The schedule for T4RFP21-1 is as follows (all times ET):

T4RFP21-1 Release Date	January 13, 2021
Deadline for Notice of Intent to Propose	January 21, 2021
<a href="#">Proposers' Webinar [PDF]</a>	January 28, 2021
Deadline for submitting written questions on Step One	February 4, 2021
<a href="#">NYSERDA posts responses to Step One questions [PDF]</a>	February 11, 2021
Deadline for Step One Eligibility Application and Hydropower Baseline Data	February 16, 2021, 3:00 pm
<a href="#">NYSERDA posts responses to Step Two questions [PDF]</a>	April 5, 2021
NYSERDA provides Final Baseline Determination	No later than April 12, 2021
<a href="#">NYSERDA Portal Opens to register for account</a>	April 14, 2021
<a href="#">Portal opens for Step Two Proposal submission</a>	April 30, 2021

# Starting a Proposal

## Step Two Request for Proposal Submission:

1. Register to create a [NYSERDA Portal Account](#). Proposers must create a profile on NYSERDA's Tier 4 portal between **April 14 and May 10th, 2021 at 3:00 p.m. ET.**
2. Submit a proposal through NYSERDA's portal. Proposals must be submitted through NYSERDA's Tier 4 portal between **April 30 and May 12th, 2021 at 3:00 p.m. ET.**

## Application Submission

- [Apply Online](#)

# Tier 4 Bid Submission Portal Log-In

## PORTAL LOGIN

Use this page to apply for the following funding opportunity: **Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)**. If this is not the opportunity you are applying for then please visit our [Current Funding Opportunities](#) page and select the appropriate funding opportunity.

**Returning Users:** The Username for the proposal submission system is your email address followed by ".nyserda". For example, [jsmith@abc.com.nyserda](mailto:jsmith@abc.com.nyserda) . You must include the ".nyserda" or you will not be able to login to the system.

### Login to NYSERDA Portal

Username

Password

[LOGIN](#)

Not registered? [Create an account](#)

[Forgot Password?](#)

# Tier 4 Bid Submission – Step 1 of 5

## Purchase of Tier 4 Renewable Energy Certificates

Proposer Contact Information (Step 1 of 5)

Add a contact for each person involved in the proposed project and identify their role. At a minimum, you must have a Primary Contact and an Authorized Signatory identified. Click "ADD A CONTACT" to enter each person's information.

[ADD A CONTACT](#)

Action	Name	Email	Phone	Organization Name	Primary
At least one project contact is required.					

PREVIOUS [CONTINUE](#)

# Tier 4 Bid Submission – Step 1 of 5 cont.

Application Number: 0000305040

## Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)

Proposer Contact Information (Step 1 of 5)

When adding or updating contact information below, please note that an EIN or SSN number is not required at this time. In order to move forward in this the application without this requirement, please select SSN on the "Add a Contact" page.

Add a contact for each person involved in the proposed project and identify their role. At a minimum, you must have a Primary Contact and an Authorized Signatory identified. Click "ADD A CONTACT" to enter each person's information.

[+ ADD A CONTACT](#)

Action	Name	Email	Phone	Organization Name	Primary
At least one project contact is required.					

PREVIOUS [CONTINUE](#)

# Tier 4 Bid Submission – Step 1 of 5 cont.

<input type="checkbox"/> Alternate Party	<input type="checkbox"/> My Company is Outside of the United States & Canada
Organization Name * Test for Tier 4	Address 1 * 308 w 21st st a1
First Name * KatieTest	Address 2 
Last Name * HimmelfarbTest	City * new york
Title * 	State * New York
Email * katiehimmelfarb+tier4@gmail.com	Zip Code/Postal Code * 12000
Phone * (518) 439-5555	DUNS Number 
<input checked="" type="checkbox"/> Primary Contact	<input type="radio"/> Employer Identification Number (EIN)
<input type="checkbox"/> Contract Signer	<input checked="" type="radio"/> SSN
<p><b>Do not submit a Social Security Number to NYSERDA on this form.</b> If, in accordance with the rules on IRS Form-W9, your taxpayer identification number is a Social Security Number, complete all other information on this form and leave Employer Identification Number blank. In addition, mail a printed and signed copy of IRS Form W-9 to NYSERDA, attention to NYSERDA Finance, 17 Columbia Circle, Albany, NY 12203. Include your auto-generated Application Number on this and any forms submitted by mail to NYSERDA. You will receive your Application Number upon submission of your application. Click to view or print the <a href="#">IRS Form W-9</a></p>	
Is this entity tax exempt? <input type="checkbox"/>	
<input type="button" value="CLOSE"/> <input type="button" value="SAVE CHANGES"/>	

# Tier 4 Bid Submission – Step 1 of 5 cont.

**Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)**

Proposer Contact Information (Step 1 of 5)

Add a contact for each person involved in the proposed project and identify their role. At a minimum, you must have a Primary Contact and an Authorized Signatory identified. Click "ADD A CONTACT" to enter each person's information.

[ADD A CONTACT](#)

Action	Name	Email	Phone	Organization Name	Primary
<a href="#">Edit</a>   <a href="#">Clone</a>	KatieTest HimmelfarbTest	katiehimmelfarb+tier4@gmail.com	(518) 439-5555	Test for Tier 4	Yes

PREVIOUS [CONTINUE](#)

# Tier 4 Bid Submission – Step 2 of 5

**Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)** ✓ ● ○ ○ ○

Proposal Information (Step 2 of 5)

In the Proposer Company Information field please provide the name of the Proposer and all additional Project team members.

\* indicates required field  
Describe the project you are proposing.

**Proposed Information**

Proposal Title \*

Proposed Proposal Category \*

Proposer Company Information \*

Proposal Description \*

**Checklist Questions**

Do you accept all Terms & Conditions in the Sample Agreement? \*  Yes  No

Do you wish to have any information submitted in your proposal package treated as  Yes  No

# Tier 4 Bid Submission – Step 3 of 5

The following documents listed below under the 'Required' and 'Optional' section must be uploaded as outlined within the [solicitation](#).

Please note: You can only upload one attachment per submission field, unless otherwise noted. If there are multiple primary or concept Paper or Proposal, the files must be combined and uploaded as one file.

Files have been saved successfully.

### Required Documents

Document Name	Recent Uploaded Document	Upload History	Status	Add / Update Document
Proof of Provision of Proposal Fee	TEST_RFP21-1.docx	Attachment History	Submitted	<input type="button" value="Choose File"/> No file chosen
Narrative - Public				<input type="button" value="Choose File"/> No file chosen
Narrative - Confidential				<input type="button" value="Choose File"/> No file chosen
Resource Data File				<input type="button" value="Choose File"/> No file chosen
Appendix F-1 - Master Offers Data Form				<input type="button" value="Choose File"/> No file chosen
Appendix F-2 - Offer Data Form				<input type="button" value="Choose File"/> No file chosen
Appendix H - Proposal Certification Form				<input type="button" value="Choose File"/> No file chosen

### Optional Documents

Document Name	Add / Update Document	Recent Uploaded Document	Upload History	Status
Optional Document 1	<input type="button" value="Choose File"/> No file chosen			
Optional Document 2	<input type="button" value="Choose File"/> No file chosen			
Optional Document 3	<input type="button" value="Choose File"/> No file chosen			
Optional Document 4	<input type="button" value="Choose File"/> No file chosen			
Optional Document 5	<input type="button" value="Choose File"/> No file chosen			
Optional Document 6	<input type="button" value="Choose File"/> No file chosen			
Optional Document 7	<input type="button" value="Choose File"/> No file chosen			
Optional Document 8	<input type="button" value="Choose File"/> No file chosen			

PREVIOUS

# Tier 4 Bid Submission – Revising Files

Application Number: 0000305960

## Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)

Proposal Documents (Step 3 of 5)

The following documents listed below under the 'Required' and 'Optional' section must be uploaded as outlined within this [solicitation](#).  
Please note: You can only upload one attachment per submission field, unless otherwise noted. If there are multiple parts to your Concept Paper or Proposal, the files must be combined and uploaded as one file.

### Required Documents

Document Name	Recent Uploaded Document	Upload History	Status	Add / Update Document ?
Proof of Provision of Proposal Fee	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Narrative - Confidential	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Narrative - Public	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Resource Data File	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Appendix F-1 - Master Offers Data Form	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Appendix F-2 - Offer Data Form	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen
Appendix H - Proposal Certification Form	<a href="#">TEST_RFP21-1.docx</a>	<a href="#">Attachment History</a>	Submitted	<input type="button" value="Choose File"/> No file chosen

### Optional Documents

Document Name	Add / Update Document ?	Recent Uploaded Document	Upload History	Status
Optional Document 3	<input type="button" value="Choose File"/> No file chosen			

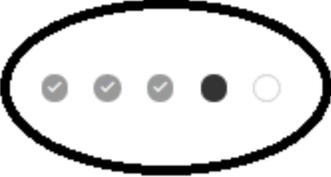
# Tier 4 Bid Submission – Step 4 of 5

To quickly navigate to a previous step, click the corresponding step on the progress indicator located at the top right of the record.

Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)

Authorized Signature and Certification (Step 4 of 5)

IMPORTANT! Review the details of your submission below. Check the attestation box, then press continue to move the final page where you will press submit. Failure to complete all steps of process, prior to 3:00 p.m. ET on the due date, means your submission will not be received or reviewed.



# Tier 4 Bid Submission – Step 4 of 5 cont.

By checking this box and clicking Continue:

I certify that the above information, and all information submitted in connection with State Finance Law §139-j and §139-k, is complete, true, and accurate, that I have read and reviewed the Standard Terms and Conditions set forth in the attached Sample Agreement and that I accept all terms unless otherwise noted herein, and that the proposal requirements noted have been completed and are enclosed;

I affirm that I understand and will comply with NYSERDA's procedures under §139-j(3) and §139-j(6)(b) of the State Finance Law;

I affirm that my company has a written sexual harassment prevention policy addressing sexual harassment in the workplace and provides annual sexual harassment training to all employees.

I understand that this proposal may be disqualified if the solicitation requirements are not met; and I am authorized to commit my organization to this proposal.

[PREVIOUS](#) [PRINT](#) [CONTINUE](#)

# Tier 4 Bid Submission – Step 5 of 5

Application Number: 0000303266

## Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)

Proposal Submission (Step 5 of 5)

You are about to submit your proposal paper. Proposals will only be received until the deadline issued in the solicitation. You must press the submit button to complete your submission. A green notification bar will appear, and a confirmation email will be sent to you when the submission is successful.

You are about to submit your proposal to the Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs). Proposals will only be received up to **05/12/2021 at 03:00 PM**. No Proposals will be allowed to be submitted after this date and time.

PREVIOUS

SUBMIT

# Tier 4 Bid Submission – Step 5 of 5 cont.

The screenshot displays a web application interface with a navigation menu at the top containing: Home, Submit a New Application, Projects, Project Invoices, Manage Users, Project Inspections, and Dashboards. The main content area shows the application number 0000274290 and the title "Purchase of Tier 4 Renewable Energy Certificates". A progress indicator consists of five circles, with the first four containing checkmarks and the fifth being solid black. Below this, the text "Proposal Submission (Step 5 of 5)" is displayed. A green success message box at the bottom states "Success! Proposal has been submitted."

Home Submit a New Application Projects Project Invoices Manage Users Project Inspections Dashboards

Application Number: 0000274290

## Purchase of Tier 4 Renewable Energy Certificates

Proposal Submission (Step 5 of 5)

Success! Proposal has been submitted.

# Tier 4 Bid Submission – Confirmation

## SAMPLE Confirmation Email

### Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs) - Proposal Submitted

From: NYSERDA No Reply <[no-reply@nysERDA.ny.gov](mailto:no-reply@nysERDA.ny.gov)>  
Date: Fri, Apr 30, 2021 at 3:50 PM  
Subject: Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs) - Proposal Submitted  
To: [marci.brunner@nysERDA.ny.gov](mailto:marci.brunner@nysERDA.ny.gov) <[marci.brunner@nysERDA.ny.gov](mailto:marci.brunner@nysERDA.ny.gov)> ,

Re: NYSERDA Solicitation Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs) due 5/12/2021 3:00 PM EST

Dear Marci,

Thank you for submitting a proposal for Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs) solicitation.

#### Editing Your Proposal

You may edit your proposal at any time before 5/12/2021 3:00 PM EST by logging in to NYSERDA's application portal and following the instructions.

[https://portal.nysERDA.ny.gov/PROPOSAL\\_CORE\\_SelectProposal\\_Page?SolicitationId=a0rt00000138bl5AAA](https://portal.nysERDA.ny.gov/PROPOSAL_CORE_SelectProposal_Page?SolicitationId=a0rt00000138bl5AAA)

Do not re-submit your proposal if you wish to make updates. Duplicate proposals will be discarded.

#### NYSERDA's Review and Next Steps

NYSERDA will begin reviewing proposals after 5/12/2021 3:00 PM EST. After that date, a scoring committee will review all successfully submitted proposals.

Please contact Marci Brunner at [marci.brunner@nysERDA.ny.gov](mailto:marci.brunner@nysERDA.ny.gov) if you are having trouble accessing the application portal or editing your proposal.

Thank you.

NYSERDA

# Tier 4 Bid Submission Edits

Editing a submitted proposal (until May 12 @ 3:00 p.m. ET)

The screenshot shows a web application interface with a navigation bar at the top containing links for Home, Submit a New Application, Projects, Project Invoices, Manage Users, Project Inspections, and Dashboards. The main heading is "Purchase of New York Tier 4 Eligible Renewable Energy Certificates(RECs)". Below this, a message states: "Our records indicate that you have one or more existing records that for this solicitation. Before you proceed, please indicate whether you would like to make changes to an existing record or create a new submission." A section titled "Proposals - Would you like to make changes to an existing record?" contains a table with the following data:

Action	Primary Contact	Proposal Title	Round	Proposal Budget	Status
<a href="#">Edit</a>	KatieTest HimmelfarbTest	Tier 4 Test	1		Unsubmitted
<a href="#">Edit</a>	KatieTest HimmelfarbTest	TBD	1		Unsubmitted
<a href="#">Edit</a>	Marci Brunner	Test new proposal template page	1		Submitted

Below the table, the word "OR" is centered, followed by a blue button labeled "CREATE A NEW SUBMISSION".

# Tier 4 Bid Submission Portal

- > The Portal for Bid Submissions opened on Friday, April 30 and will **close promptly on May 12, 2021 at 3:00 p.m. ET**
  - [https://uat-nyserda-portal.cs32.force.com/CORE\\_Solicitation\\_Detail\\_Page?SolicitationId=a0rt00000138bl5](https://uat-nyserda-portal.cs32.force.com/CORE_Solicitation_Detail_Page?SolicitationId=a0rt00000138bl5)
- > See Appendix I of the RFP for detailed instructions.
- > Questions or need help? Please email [res@nyserda.ny.gov](mailto:res@nyserda.ny.gov) for assistance





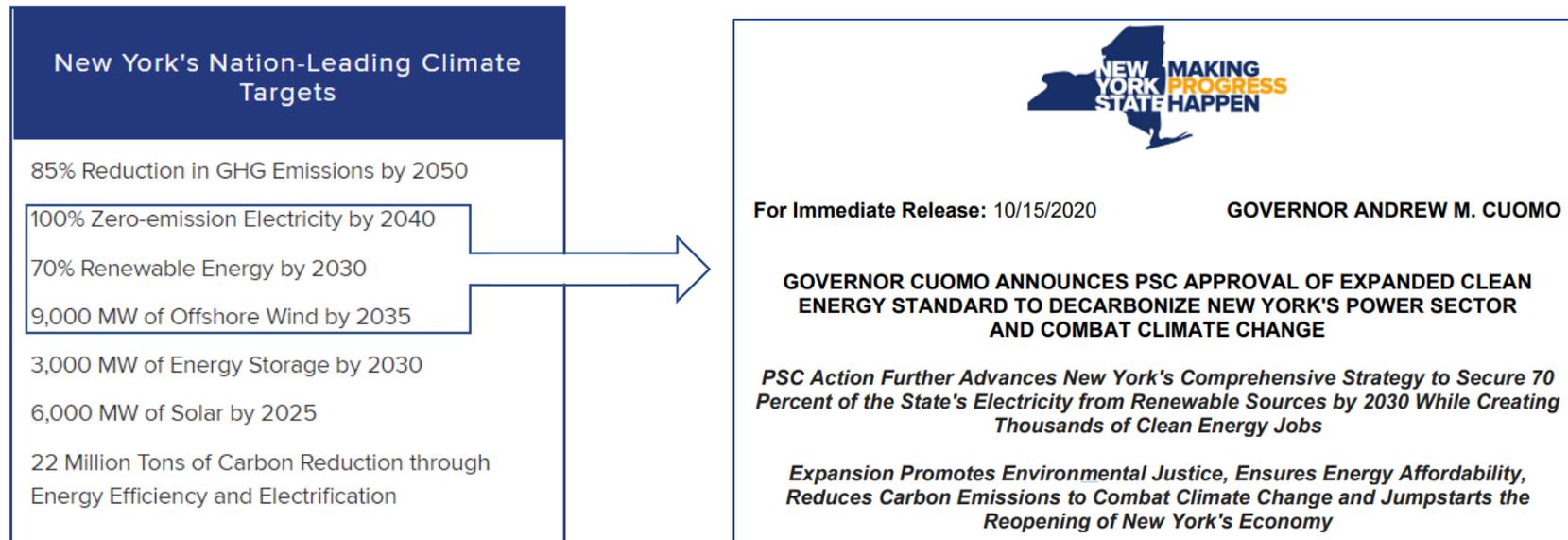
# Tier 1/ Tier 4 Interaction



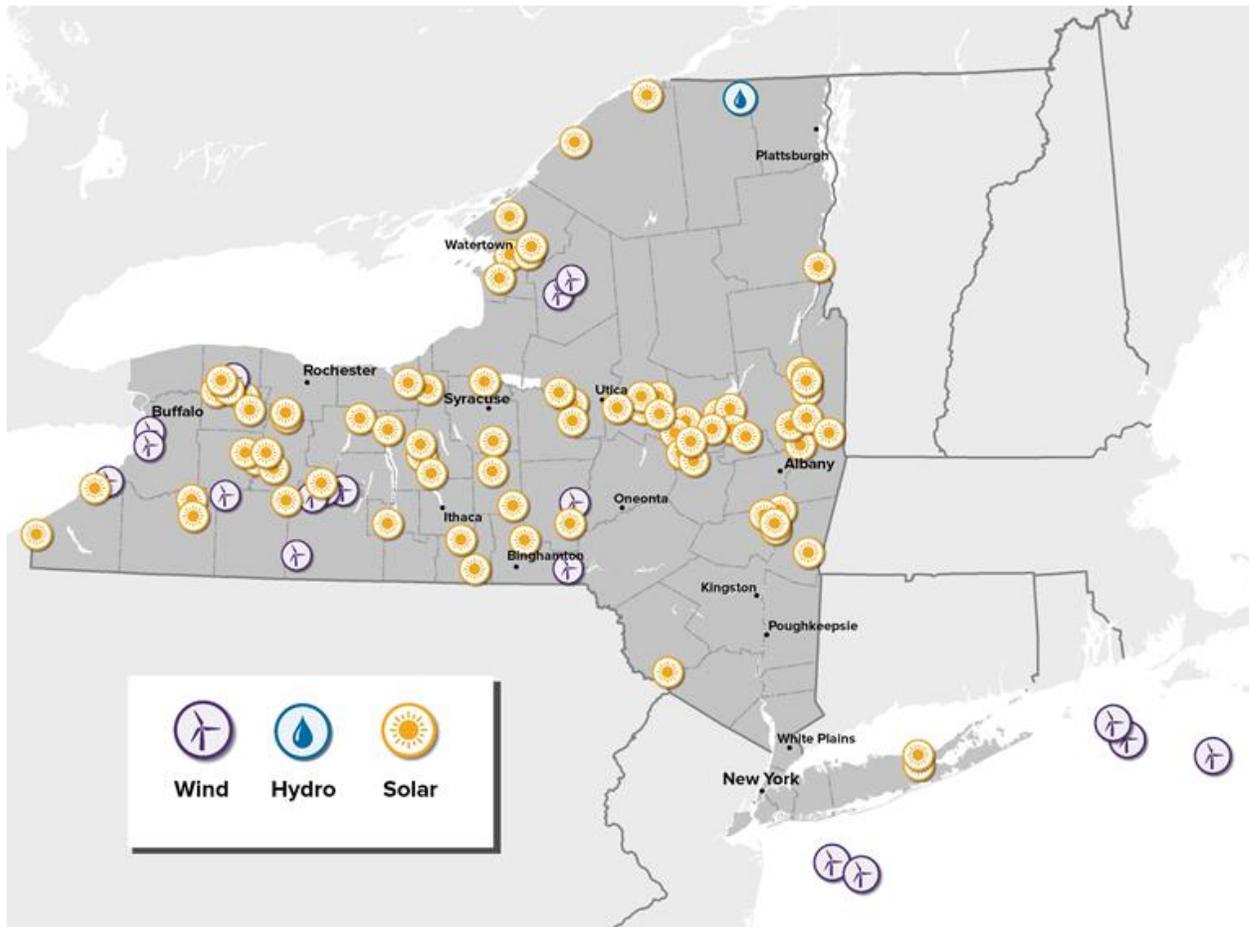
# 2021 Tier 1 Solicitation

> RESRFP21-1 released on April 22.

- Fifth annual Tier 1 solicitation under the Clean Energy Standard (CES) for new large-scale renewables generation, seeking approximately 4.5 million megawatt-hours of annual generation.
- First Tier 1 solicitation under the modified Clean Energy Standard, updates approved by the October 2020 Order Adopting Modifications to the CES to align with the Climate Leadership and Community Protection Act.
- RESRFP21-1 Proposers' Webinar, Thursday May 6 from 10:30a – 12p. [Register here for the webinar.](#)
- Solicitation documents and resources available at: [nyscrda.ny.gov/ces/rfp](https://nyscrda.ny.gov/ces/rfp)



# Progress to 70% Renewable by 2030



All contracted project information [available via Open NY](#)

Since 2018,

**Over 90 projects**  
**10,800+ MW**

- > Includes recently announced awards for **2 new offshore wind projects** and **22 large-scale** solar, hydroelectric, and energy storage projects across upstate New York, totaling **over 4,600 MW** of new renewable capacity and **30 MW** of energy storage capacity.
- > RESRFP21-1 supports continued progress to further develop 30+ million megawatt-hours of renewable generation to achieve New York's 2030 energy targets.

# Tier 1/ Tier 4 Interaction

Starting point: facilities participating in Tier 4 (T4RFP21-1) are permitted to participate and bid in Tier 1 RESRFP21-1 and vice versa.

- > To participate in either Tier 1 and/or Tier 4, the facility in question needs to win an award under, and comply with the requirements for, the Tier in question.
- > Participation in one Tier does not automatically ensure participation in the other, eg a Tier 4 Resource can only sell RECs to NYSERDA under Tier 1 if it has also obtained a Tier 1 award.
- > A facility with an award under both Tiers may choose to generate and be compensated for each MWh under either Tier as it sees fit (subject of course to meeting the requirements of the Tier in question). E.g., a facility awarded a Tier 1 and Tier 4 agreement might choose to generate only under Tier 1 during a period after the facility's commercial operation date (COD) where the Tier 4 New Transmission has not yet achieved COD.
- > Tier 1 facilities that achieved COD before October 15, 2020 are not eligible for Tier 4.

# Participation of Projects With Existing Tier 1 Award in Tier 4

Tier 4 RFP section 2.4:

*For eligible Resources that have an existing or have been awarded a Tier 1 agreement and are included as Resources in a Tier 4 Proposal selected in this Tier 4 procurement, NYSERDA will agree to amend the Tier 1 agreement to accommodate sales of RECs as either Tier 1 or Tier 4 RECs. For example, to allow for Tier 4 sales, NYSERDA would amend certain terms in the Tier 1 agreement to allow the Resource to deliver RECs under either agreement.*

Tier 1 Agreement amendments:

- Principle: the Tier 1 Agreement will be amended to reflect the manner in which the resource intends to participate in the Tier 4 portfolio.
- Incorporate settlement provisions in Tier 1 agreement to ensure that the resource can receive REC payment for all eligible renewable MWh with neither gaps nor double-counting.
- Minimum bid quantity and other terms in the Tier 1 Agreement will be conformed to enable participation in both Tier 1 and Tier 4 by the same resource without penalty. Tier 1 terms that obligate the seller to meet annual delivery requirements at 80% of the minimum bid quantity (or similar) will be amended so that the delivery requirement may be satisfied through both programs cumulatively.

# Participation of Tier 4 Resources in Future Tier 1 RFPs

Tier 4 RFP section 2.4:

*Resources that do not have a Tier 1 agreement and are included as Resources in a Proposal that is awarded a Tier 4 agreement (or subsequently added to the Project through modification of the Agreement) may participate in a future Tier 1 solicitation subject to Tier 1 eligibility requirements. The Tier 1 agreement, if awarded, will include appropriately flexible terms to allow for sales as either Tier 1 or Tier 4 RECs.*

- > Similar provisions as noted on the last slide will be incorporated into future Tier 1 Agreements for facilities that have already been accepted for a Tier 4 award and subsequently receive a Tier 1 award.
- > Upon conclusion of the Tier 4 RFP, NYSERDA may consider doing so using a standard amendment for future Tier 1 Agreements.

# Tier 1/ Tier 4 – Economic Benefits and Reporting

- > Sellers will remain obligated under the Tier 1 Agreement's pre-COD quarterly reporting, economic benefits, and contract security provisions without regard to their participation in Tier 4
- > For facilities participating in both RESRFP21-1 and T4RFP21-1, all eligible economic benefits claimed under a pending Tier 4 proposal may also be claimed as part of a Tier 1 Bid Proposal.



Questions?



**NYSERDA**