



**Climate Smart
Communities**
Certified

FIRST STEPS GUIDE:
INITIAL STEPS AND RESOURCES
FOR CERTIFICATION UNDER
THE CLIMATE SMART COMMUNITIES PROGRAM



Dear Climate Smart Community Leader,

By adopting the Climate Smart Community pledge, your community has taken an important step toward reducing its greenhouse gas emissions, saving taxpayer money, and building a more resilient and attractive place to live. But adopting the pledge is just the beginning; tangible local actions are necessary to give meaning to the pledge. The state agencies who sponsor this program strongly recommend that Climate Smart Communities strive toward certification and these agencies aim to provide the support needed to achieve certification. The Climate Smart Communities (CSC) Certification Manual, available at <http://www.dec.ny.gov/energy/96511.html>, provides a robust framework to guide your local climate actions. Designed around the existing ten pledge elements, the certification program recognizes communities for their accomplishments through a rating system leading to four levels of award: Certified, Bronze, Silver and Gold.

The 13 Priority Actions

Designing and implementing a local climate action program can be a daunting task. The CSC Certification Manual describes more than 130 individual actions for which a community may earn points toward certification. However, just 13 individual actions have been highlighted as priority actions. These 13 priority actions provide the foundation for an effective, comprehensive local climate program; completing them first will make the pursuit of other actions easier. These 13 priority actions are the focus of this guide.

We strongly encourage CSC leaders and volunteers to familiarize themselves with the contents of the CSC Certification Manual. The first chapter of the manual provides an introduction to the program and describes program requirements, while the remaining chapters describe certification actions, arranged by pledge element. However, we have prepared this guide to initial steps and resources to help your community begin on the path toward certification without delay. This guide provides a brief description, the documentation requirements, and available tools and resources for each of the 13 priority actions. Additional details and resources are included in the CSC Certification Manual.

Even if your community has not yet decided to pursue certification, we recommend that your local program be based on completion of the 13 priority actions.

Submitting Documentation

To be awarded points toward certification, CSCs are required to submit documentation of completed actions to the New York State Department of Environmental Conservation (DEC) Office of Climate Change. The documentation requirements for each action are described in the CSC Certification Manual. An interactive web-based system to facilitate submittal and review of documentation is currently under development; launch of the web-based system is expected in 2017.

While this web-based system is under development, CSCs can submit documentation of completed actions via email to the DEC Office of Climate Change (climatechange@dec.ny.gov). The Office of Climate Change is currently accepting documentation for the majority of the actions listed in the Certification Manual. There are over 100 currently reviewable actions; see the Certification Manual Action checklist for a four-page list that summarizes the currently reviewable actions:

http://www.dec.ny.gov/docs/administration_pdf/certmanch14.pdf

Staying Organized

In order to maintain momentum, we recommend that communities designate a local project coordinator and/or form a task force to lead the certification effort. Also, be sure to contact your regional coordinator to get them involved. See <http://www.dec.ny.gov/energy/84508.html> for the regional coordinator assigned to your region.

To stay organized and track your progress, use the CSC Certification Workbook. This Excel-based tool contains a full list of the currently reviewable actions and embedded formulas to estimate the total number of points your community anticipates it will earn. Please submit a copy of this worksheet when submitting documentation to the DEC Office of Climate Change. Request a copy of the CSC Certification Workbook by emailing climatechange@dec.ny.gov.

Contact Us for Support

Please do not hesitate to contact the DEC Office of Climate Change and your Climate Smart Community regional coordinator if you have any questions. We wish you the best of luck as you and your community work toward becoming a certified Climate Smart Community.

The Climate Smart Communities Program

June 2016



INITIAL STEPS AND RESOURCES FOR CLIMATE SMART COMMUNITY CERTIFICATION

Following are brief descriptions and the documentation requirements for each of the 13 certification priority actions (Table 1), as well as tools and resources available to assist in completion of those actions. Additional information is available in the Climate Smart Communities Certification Manual and the Climate Smart Communities Website (<http://www.dec.ny.gov/energy/50845.html>). Although the priority actions may be completed in any order, completion of actions 1.1, 1.2, 1.3 and 1.4 will enable the community to complete the remaining priority actions, as well as any additional optional actions, most effectively.

Table 1. Climate Smart Communities Certification Priority Actions

Action Number	Action
1.1	Pass a resolution adopting the CSC Pledge
1.2	Create a community task force focused on climate mitigation and adaptation
1.3	Appoint a Climate Smart Community coordinator
1.4	Create an internal green team focused on climate mitigation and adaptation
2.1	Develop a government operations GHG emissions inventory
2.2	Develop a community GHG emissions inventory
2.3	Establish a government operations emissions reduction target
2.4	Establish a community emissions reduction target
2.5	Develop a government operations climate action plan
2.6	Develop a community climate action plan
3.1	Conduct energy audits of local government buildings
7.1	Conduct a vulnerability assessment
7.3	Review existing community plans and projects to identify climate adaptation strategies as well as policies or projects that may increase vulnerability

ACTION 1.1 PASS A RESOLUTION ADOPTING THE CLIMATE SMART COMMUNITIES PLEDGE.

Submit a certified copy of the adopted resolution, with adoption date clearly noted, and any relevant clerk stamps or signatures.

Climate Smart Communities Pledge Model Resolution

The CSC pledge must be adopted by the legislative body in its entirety. A model resolution to adopt the pledge is available on the DEC website. <http://www.dec.ny.gov/energy/65494.html>

ACTION 1.2 CREATE A COMMUNITY TASK FORCE FOCUSED ON CLIMATE CHANGE MITIGATION AND ADAPTATION.

Creation of a community task force consisting of both municipal staff and community representatives is critical to successful implementation of a local climate action program. Submit a list of the task force members and the organizations they represent, along with meeting minutes from two of the task force meetings within the past year. If applicable, submit a copy of the resolution or executive order calling for the formation of the task force or indicating that another local committee will serve as the community task force.

How to Set Up a Climate Smart Community Coordinator or Task Force

The CSC program has developed tips for establishing a CSC task force. <http://www.dec.ny.gov/energy/65489.html>

ACTION 1.3 APPOINT A CLIMATE SMART COMMUNITY COORDINATOR.

One specific individual should be appointed as the CSC coordinator by the chief executive officer. This person will also likely chair the CSC task force and may be a municipal employee but need not be. Submit documentation demonstrating the appointment and activity of a specific individual as the CSC coordinator (or similar title). Acceptable documents include a resolution, meeting minutes, job description, or an organizational chart.

Set Up a Climate Smart Community Coordinator or Task Force

The CSC program has developed tips for establishing a CSC coordinator. <http://www.dec.ny.gov/energy/65489.html>

ACTION 1.4 CREATE AN INTERNAL GREEN TEAM FOCUSED ON CLIMATE MITIGATION AND ADAPTATION.

The internal green team should consist of municipal staff focused on reducing emissions associated with municipal operations and assessing vulnerability of municipal assets to the effects of climate change.

Submit a list of green team members, agendas and meeting minutes demonstrating the active involvement of the green team in the development and/or implementation of climate protection strategies within the past year. The green team must have met at least three times within the year prior to the submittal date to qualify for certification points.

ACTION 2.1 DEVELOP A GOVERNMENT OPERATIONS GHG EMISSIONS INVENTORY.

An inventory of greenhouse gas (GHG) emissions associated with municipal operations, probably conducted by the internal green team, will help identify opportunities for reduction of emissions that can often lead to energy cost savings. Submit a copy of your most recent local government operations GHG inventory report. This can be a standalone document or included in an inventory report that also covers community emissions. To qualify for certification points, the inventory must have been completed within five years prior to the application date and be substantially compliant with the Local Government Operations Protocol.



Climate Smart Communities: Local GHG Inventories

The Climate Smart Communities website provides an introduction to local GHG inventories.

<http://www.dec.ny.gov/energy/57170.html>



CSC GHG Inventory Guide for Local Government Operations

The GHG Inventory Guide for Local Government Operations outlines and explains the process of developing a local government operations GHG inventory and provides tips and helpful resources designed to save time and money.

http://www.dec.ny.gov/docs/administration_pdf/govopghgcsc.pdf



CSC GHG Accounting Tool for Local Government Operations

This spreadsheet-based tool is designed to help New York State communities complete government operations GHG inventories using the Local Government Operations Protocol.

<http://www.midhudsoncsc.org/documents/CSC%20Local%20Government%20GHG%20Accounting%20Tool%20-%20FINAL.zip>



User's Manual: CSC GHG Accounting Tool

The User's Manual accompanies and explains the GHG Accounting Tool for Local Government Operations to help users navigate the Excel-based workbook and conduct a GHG inventory of local government operations.

<http://www.midhudsoncsc.org/documents/Local%20Govt%20GHG%20Accounting%20Tool%20Users%20Guide%20-%20FINAL.pdf>

ACTION 2.2 DEVELOP A COMMUNITY GHG EMISSIONS INVENTORY.

An inventory of emissions from community residents, businesses, etc. is useful in identifying and assessing opportunities, local government policies, and programs to drive emissions reductions. The Cleaner, Greener Communities regional sustainability planning process included development of regional and county-level inventories for the entire state and community inventories for many municipalities. Submit a copy of the most recent community GHG inventory report. This can be a standalone document or included in an inventory report that also covers government operations emissions. To earn points toward certification, the inventory must have been completed within five years prior to the application date and be largely consistent with the U.S. Community Protocol.



Climate Smart Communities: Local GHG Inventories

The Climate Smart Communities website provides an introduction to local GHG inventories. <http://www.dec.ny.gov/energy/57170.html>



Community Module of the EPA's Local Greenhouse Gas Inventory Tool

The Community Module of the EPA's Local Greenhouse Gas Inventory Tool is available for performing a community-level accounting of GHG emissions. This spreadsheet-based tool is available for download at no cost. <http://www.epa.gov/statelocalclimate/resources/local-GHG-inventory-tool-download.html>



Community Inventory Module of ClearPath

The community-scale Inventory Module of ICLEI's ClearPath is open to any U.S. city, county, or regional government for free through the end of 2018. (Full access to all ClearPath modules requires an annual fee.) To register or learn more about ClearPath's free community inventory tool, go to <http://icleiusa.org/register-clearpath-basic/>.

ACTION 2.3 ESTABLISH A GOVERNMENT OPERATIONS EMISSIONS REDUCTION TARGET.

An emissions reduction target will help drive action and measure progress in reducing operational emissions. Submit formal documentation, such as a council resolution, report, formally adopted plan or memorandum stating the established emissions reduction target(s) for government operations. The targets may have been established at any time prior to the application date, as long as they are currently valid.



ClearPath

ClearPath is an integrated cloud-based platform that allows the user to visualize current and future emissions, and carry out sophisticated analysis to determine the right mix of policies to meet emissions reduction goals. *Full access to all modules of ClearPath is available as part of ICLEI membership; for local governments the annual membership fee is based on municipal size.* <http://www.icleiusa.org/tools/clearpath>

ACTION 2.4 ESTABLISH A COMMUNITY EMISSIONS REDUCTION TARGET.

Many local governments set both short- and long-term reduction targets for community emissions. Submit formal documentation, such as a council resolution, report, adopted plan or memorandum stating the established emissions reduction target(s) for the community. The targets may have been established at any time prior to the application date, as long as they are currently valid.



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<http://www.icleiusa.org/tools/clearpath>

ACTION 2.5 DEVELOP A GOVERNMENT OPERATIONS CLIMATE ACTION PLAN.

The government operations climate action plan should outline the policies, programs, and projects needed to achieve the government's emissions reduction targets. It must be substantially consistent with the guidance provided by the CSC program. Submit a publicly released plan that outlines the steps that the government will take to reduce emissions associated with its municipal operations, along with documentation, either in the plan or separately, that demonstrates that the local government performed public outreach and/or made the draft plan publicly available for comment. The plan must have been released within five years prior to the application date to be eligible for points toward certification.



Develop a Local Climate Action Plan

The Climate Smart Communities website provides an overview of climate action planning and a variety of useful resources. <http://www.dec.ny.gov/energy/67493.html>



CSC Climate Action Planning Guide

The CSC Climate Action Planning Guide outlines a step-by-step process for local governments to follow when developing a Climate Action Plan (CAP) for local government operations or the community. http://www.midhudsoncsc.org/documents/CAP%20Guide_MAR%202014_FINAL.pdf

ACTION 2.6 DEVELOP A COMMUNITY CLIMATE ACTION PLAN.

A community climate action plan is necessary to draw support from the community. Submit a publicly released plan that outlines the steps that the community will take to reduce emissions, along with documentation, either in the plan or separately, that demonstrates that the local government performed public outreach or made the draft plan publicly available for comment. The plan must have been released within five years prior to the application date to be eligible for points toward certification.



Develop a Local Climate Action Plan

The Climate Smart Communities website provides an overview of climate action planning and a variety of useful resources. <http://www.dec.ny.gov/energy/67493.html>



CSC Climate Action Planning Guide and Template

The CSC Climate Action Planning Guide outlines a step-by-step process for local governments to follow when developing a Climate Action Plan (CAP) for local government operations or the community. http://www.midhudsoncsc.org/documents/CAP%20Guide_MAR%202014_FINAL.pdf



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ACTION 3.1 CONDUCT ENERGY AUDITS OF LOCAL GOVERNMENT BUILDINGS.

Energy audits are an important step in identifying building inefficiencies and reducing energy use and costs. Submit digital copies of an energy audit report for each building (or group of buildings) where an audit was performed. Audits must have been conducted within seven years prior to the application date to be eligible for points toward certification.



NYSERDA's competitively selected contractors provide a variety of technical assistance services applicable to developing all or part of a local action plan. These services are provided on a cost-shared basis, and include:

- General Energy Feasibility Studies and Technical Support
- Peak-Load Reduction and Load Management
- Data Center Efficiency Analysis
- Energy Efficiency Retro-Commissioning
- Long-Term Energy and Carbon Management
- CHP & Renewable Generation Project Classifications
- Peak-Load Curtailment Plans

<http://www.nyseda.ny.gov/Energy-Efficiency-and-Renewable-Programs/Commercial-and-Industrial/CI-Programs/FlexTech-Program.aspx>

ACTION 7.1 CONDUCT A VULNERABILITY ASSESSMENT.

A community vulnerability assessment provides the opportunity to identify and address climate-related threats to the community. Submit a copy of the most recent vulnerability assessment report, created within five years prior to the application date. If the vulnerability assessment was developed more than five years ago, then you may update it with any new or updated data or projections, and submit for credit.



A number of resources exist to help public officials and others with climate change adaptation planning. The tools, guidebooks, clearinghouses and other resources highlighted on this page offer a sampling of what is available from states, federal agencies, nonprofit and private organizations, and others. <http://www3.epa.gov/climatechange/adaptation/tools.html>



Slides from this webinar provide information about how to assess and prioritize vulnerabilities, as well as to develop and implement local strategies. Local governments can develop separate climate adaptation plans, or incorporate adaptation planning in comprehensive plans or other ongoing planning projects. http://www.midhudsoncsc.org/documents/HUMBLET_Climate-Adaptation-Planning_Overview.pdf



ICLEI Resilient Cities Program

The Resilient Cities Program assists local governments across the globe in enhancing community resiliency to the effects and costs associated with projected climate change. The program builds upon the highly successful Five-Milestone Methodology that ICLEI established for climate change mitigation. Participating communities assess vulnerabilities, establish targets and goals, and take action to enhance their resiliency to a changing climate. <http://resilient-cities.iclei.org/>

ACTION 7.3 REVIEW EXISTING COMMUNITY PLANS, POLICIES AND PROJECTS TO IDENTIFY CLIMATE ADAPTATION STRATEGIES AS WELL AS POLICIES OR PROJECTS THAT MAY INCREASE VULNERABILITY.

Mainstreaming climate change adaptation by incorporating climate change considerations into routine planning is critical to community resilience. Submit a copy of the completed forms from the Climate Smart Resiliency Planning Tool or other structured review process and a summary of key findings. The review of existing plans and projects must have been completed within five years prior to the application date to be eligible for points toward certification.



Climate Smart Resiliency Planning: A Planning Evaluation Tool for New York State Communities

This self-assessment tool was developed to assist municipalities in identifying and mitigating the hazards of coastal flooding, storm surge and other climate hazards. It is intended to help communities recognize the opportunities to enhance community resilience and to begin to create a set of integrated planning documents that identify vulnerabilities, assess risk and mitigate hazards. Resources to help in this effort are provided throughout the document to explain plans, tools, training courses, model ordinances, best management practices and grant programs that can help lead communities to resilience. http://www.dec.ny.gov/docs/administration_pdf/csrptool.pdf