

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY  
Minutes of the 121<sup>st</sup> Program Planning Committee Meeting  
Held on October 4, 2023

Pursuant to a notice and agenda dated September 21, 2023, the one hundred twenty-first (121<sup>st</sup>) meeting of the Program Planning Committee (the “Committee”) of the NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY (the “Authority”) was convened at 12:30 p.m. on Wednesday, October 4, 2023 at the Authority’s Albany Office located at 17 Columbia Circle, Albany, New York and the NY Green Bank (“NYGB”) Office located at 1333 Broadway, Suite 300, New York, New York. The two locations were connected by videoconference.

The following Members of the Committee were present:

Sherburne Abbott, *Committee Chair* attended from NYGB

Richard Kauffman, *Chair of the Authority* attended from NYGB

Charles Bell, *Vice Chair of the Authority* attended from Albany

Arturo Garcia-Costas attended from Albany

Sadie McKeown attended from NYGB

Frances Resheske attended from NYGB

Members, Marie Therese Dominguez and Jay Koh were unable to attend.

Also present were Doreen Harris, President and CEO; John Williams, Executive Vice President for Policy and Regulatory Affairs; Pam Poisson, Chief Financial Officer; Anthony J. Fiore, Chief Program Officer; Peter J. Costello, General Counsel and Secretary to the Authority; Sara L. LeCain, Senior Counsel and Secretary to the Committee; and various other staff of the Authority.

Committee Chair Abbott called the meeting to order and noted the presence of a quorum. Notice of this meeting was provided to the Committee Members September 21, 2023 and to the Press on September 25, 2023.

Committee Chair Abbott indicated that the first item on the agenda concerned the approval of the minutes of the one hundred twentieth (120<sup>th</sup>) Committee meeting held on June 26, 2023.

Whereafter, upon motion duly made and seconded, and by unanimous voice vote of the Committee Members present, the minutes of the one hundred twentieth (120<sup>th</sup>) Committee meeting held on June 26, 2023 were approved.

Committee Chair Abbott indicated that the next item on the agenda was the consideration of revisions to the Authority's Fiscal Year 2023-2024 Budget and asked the Authority's Chief Financial Officer ("CFO") Pam Poisson to discuss this item.

CFO Poisson explained that the Authority monitors financial developments regularly and in the event of material shifts due to external developments, may recommend to the Members certain budget revisions to serve as a relevant guide for spending plans. The Committee was asked to adopt a resolution recommending approval of revisions to the Authority's Budget for Fiscal Year 2023-2024 to reflect material updates resulting from new business developments.

CFO Poisson reviewed the details of the proposed revisions which included the adjustment of the net position to reflect the higher ending net position from the last fiscal year that resulted from strong RGGI auction outcomes from the prior fiscal year. CFO Poisson further explained that the revenue budget increased by \$282.1 million due to four (4) items: additional work scope and \$20 million of approved State budget appropriations to support the Offshore Wind Port Infrastructure; additional expected utility surcharge assessments; revenue bond adjustments related to the Clean Energy Standard portfolio; and increased program expenditures. With respect to salaries and benefits, CFO Poisson indicated that the proposed revisions reflect the State's higher than projected general salary increase recommendations as well as a one-time payment of \$3,000 for all eligible employees.

Lastly, CFO Poisson noted that the revised budget anticipates the total net position will be approximately \$1.9 billion on March 31, 2024, an increase of \$46.2 million from the original budget that was approved in January 2023.

In response to an inquiry from the Chair, President and CEO Harris stated that the Authority funds its purchases by selling renewal energy credits (“RECs”) to the obligated loan serving candidates. Executive Vice President Williams added that this is a compliance obligation of the Authority.

Whereafter, upon motion duly made and seconded, and by unanimous voice vote of the Committee Members present, the following resolution was adopted.

### Resolution

RESOLVED, that the Fiscal Year 2023-2024 Budget and Financial Plan (Revised October 2023) submitted to the Members for consideration at this meeting, with such non-material, editorial changes and supplementary schedules as the President and CEO, in their discretion, may deem necessary or appropriate, be and it hereby is recommended for approval by the Board.

Committee Chair Abbott indicated that the next item on the agenda was proposed revisions to the Committee’s Charter and asked General Counsel and Secretary to the Authority Peter J. Costello to discuss this item.

General Counsel Costello stated that at the June 2023 Committee meeting, the Members asked that the Program Planning Committee Charter (“PPC Charter”) be reviewed to incorporate references to and acknowledgement of the focus of the Authority’s activities with respect to the Climate Leadership and Community Protection Act of 2019 (“CLCPA”). General Counsel Costello noted that the CLCPA requires State entities to design programs and initiatives to be consistent with the CLCPA and support the attainment of the greenhouse gas emissions reduction targets set forth in the Climate Act, or to formally justify any inconsistencies.

General Counsel Costello explained that the proposed modifications to the PPC Charter clarify the Committee’s responsibility with respect to reviewing the Authority’s activities relative to the State’s progress towards advancing the goals of the CLCPA. In addition, General Counsel

Costello reported that ministerial changes were made to the PPC Charter to ensure accuracy of language relative to current activities and scope.

Whereafter, upon motion duly made and seconded, and by unanimous voice vote of the Committee Members present, the following resolution was adopted.

#### Resolution

RESOLVED, that the Charter of the Authority's Program Planning Committee as presented to the Members for consideration at this October 4, 2023 meeting, with such nonsubstantive, editorial changes and grammatical changes as the President and Chief Executive Officer, in their discretion, may deem necessary or appropriate, are recommended for adoption and approval by the Board.

Committee Chair Abbott indicated that the next item on the agenda concerns the focus areas and future goals that will be included in the Authority's Strategic Outlook. The Authority's Chief Program Officer ("CPO") Anthony J. Fiore; NYGB President Andrew Kessler; Vice President for Distributed Energy Resources Technology David Sandbank; Assistant Director Distributed Energy Resources Technology, Single Family Residential Director Courtney Moriarta; Vice President for Innovation John Lochner; Government Affairs Program Manager Matthew Brown; Clean Energy Transportation Director Adam Ruder; Clean Energy Transportation Senior Project Manager Vincent Riscica; Vice President for Clean and Resilient Buildings Susanne DesRoches; and Senior Advisor to the President Erich Scherer presented this item.

In response to an inquiry from Member Garcia-Costas, VP for Distributed Energy Sandbank stated that the Authority is planning on including additional funding in its existing Solar for All Workforce Development Program to train solar workers.

In response to an inquiry from Member Garcia-Costas, Government Affairs Program Manager Matthew Brown indicated that no final decisions have been made with respect to the federal regional applications as the Authority is awaiting further guidance from the U.S. Environmental Protection Agency ("EPA").

In response to an inquiry from Member Garcia-Costas, VP for Distributed Energy Sandbank explained that the federal census map is broader than the New York State census map. The EPA and Inflation Reduction Act rules will require compliance with the federal census map.

In response to an inquiry from the Chair, VP for Distributed Energy Sandbank confirmed that New York City had an objective of 3,000-5,000 customers to be included in the Solar for All Program.

In response to an inquiry from the Chair, VP for Distributed Energy Sandbank stated that a certain amount of money was allocated for the Solar for All Program and if the program is not moving in the right direction, the money can be reallocated.

In response to an inquiry from Member Garcia-Costas, VP for Distributed Energy Sandbank indicated that the Authority would have to wait and see what requirements EPA sets out.

In response to an inquiry from Member McKeown regarding the Solar for All Program, VP for Distributed Energy Sandbank stated that the Solar for All Program rules allow only solar, and potentially solar paired with storage and infrastructure upgrades, but not energy efficiency. In addition, Program Director Brown indicated it may be possible for the Authority to partner with NYC Housing Preservation and Development (“HPD”) and NYS Homes and Community Renewal (“HCR”) on this work.

In response to an inquiry from the Chair regarding heat pumps and the High-Efficiency Electric Home Rebate Act (“HEEHRA”), Program Director Moriarta indicated that the HEEHRA Rebate Program will directly subsidize the installation of heat pumps and heat pump water heaters.

In response to an inquiry from Vice Chair Bell on housing affordability programs, Program Director Moriarta stated that there are income eligibility guidelines and additional incentives available for lower and moderate income (“LMI”). Program Director Moriarta indicated that the Authority is working on a community benefits plan that will address issues regarding LMI.

In response to an inquiry from Vice Chair Bell, Program Director Moriarta confirmed that it will be a challenge to market the HEEHRA rebates to LMI segments and explained that the Authority will be working with the hubs and stakeholders to develop a community benefits plan.

In response to a request from the Chair, Senior Project Manager Riscica explained that many of the utilities will soon be able to provide vehicle to grid.

In response to an inquiry from Member Garcia-Costas on the utilities' involvement with vehicle to grid, Senior Project Manager Riscica explained that the Authority is working with the utilities to develop case studies and indicated that full scale implementation would require support from the utilities. A case study in White Plains demonstrated that the technology works and National Grid has demonstrated in Massachusetts that there is a financial benefit. Senior Project Manager Riscica indicated that the next step is to develop some case studies in New York.

In response to an inquiry from the Chair, VP of Clean and Resilient Buildings DesRoches explained that the New York State Public Service Commission ("PSC") determined that the earnings adjustments mechanisms may or may not be necessary for the utilities to consider as they start to branch out in the building shell upgrade program areas. Rather than ending earnings adjustments entirely, the PSC paused them indefinitely.

In response to an inquiry from Member Abbott on availability of staff resources given the federal funding opportunities, CPO Fiore explained that the Authority is prioritizing the federal funding opportunities that it is seeking and still has ambitious targets for utilizing its baseline amount of funding. In addition, CFO Poisson confirmed that the Authority is conducting annual resource planning, which includes hiring staff, using contracted resources and forecasting staff turnover.

In response to an inquiry from Member Garcia-Costas, CPO Fiore confirmed that the Authority is working with community organizations across the State to represent disadvantaged communities through its energy collaboration.

Committee Chair Abbott indicated that the last item on the agenda was other business. There being no other business, Committee Chair Abbott called for a motion to adjourn.

Whereafter, upon motion duly made and seconded, and by unanimous voice vote of the Committee Members, the meeting was adjourned.

Respectfully submitted,

A handwritten signature in cursive script that reads "Sara L. LeCain". The signature is written in black ink and includes a long horizontal flourish at the end.

Sara L. LeCain  
Secretary to the Committee



**NYSERDA**

**KATHY HOCHUL**  
Governor

**RICHARD L. KAUFFMAN**  
Chair

**DOREEN M. HARRIS**  
President and CEO

**NOTICE OF MEETING AND AGENDA**

September 22, 2023

TO THE MEMBERS OF THE PROGRAM PLANNING COMMITTEE:

PLEASE TAKE NOTICE that the one hundred twenty-first (121<sup>st</sup>) meeting of the PROGRAM PLANNING COMMITTEE of the New York State Energy Research and Development Authority (“Authority”) will be held at 17 Columbia Circle, Albany, New York, at the NY Green Bank Office located at 1333 Broadway, New York, New York, on Wednesday, October 4, 2023 commencing at 12:30pm., for the following purposes:

1. To consider and act upon the Minutes of the 120<sup>th</sup> meeting of the Program Planning Committee held on June 26, 2023.
2. To consider and act upon a resolution recommending approval of revisions to the Authority’s Fiscal Year 2023-2024 Budget.
3. To consider and act upon a resolution recommending amendments to the Program Planning Committee Charter.
4. To receive a report on the Authority’s focus areas and future goals.
5. To transact such other business as may properly come before the Committee.

Members of the public may attend the meeting at any of the above locations or via the video conference which can be accessed at <https://www.nyserdera.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

The Authority will be posting a video and a transcript of the meeting to the web as soon as practicable after the meeting. The video and transcript will be posted at <http://www.nyserdera.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

Sara L. LeCain  
Secretary to the Program Planning Committee

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