



NOTICE OF MEETING AND AGENDA

April 17, 2026

TO THE MEMBERS OF THE NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY:

PLEASE TAKE NOTICE that a regular meeting the (275th) meeting of the New York State Energy Research and Development Authority (“Authority”) will be held at the NY Green Bank Office located at 1333 Broadway, Suite 300, New York, New York, at 17 Columbia Circle, Albany, New York and at the NYS Department of Transportation, 50 Wolf Road, Albany, New York on Wednesday, April 29, 2026, commencing at 2:00 p.m., for the following purposes:

I. Discussion Agenda:

1. To receive a report from the President and CEO.
2. To consider a resolution to enter into a private session to discuss attorney-client privileged matters, and to enter into an executive session for the purposes of discussing the employment history of a particular person.
3. To receive a report from the Program Planning Committee.
4. To receive a report from the Governance Committee.
5. To receive a report from the Audit and Finance Committee.
6. To receive a program report.

II. Consent Agenda

1. To consider and act upon resolutions approving:
 - a. amendments to the Authority’s OPEB Trust Investment Policy Statement;
 - b. the issuance of bonds on behalf of the New York State Electric and Gas Corporation;
 - c. the appointment of independent auditors of the Authority;
 - d. amendments to the Authority’s Conflict of Interest Policies; and

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e. the periodic contracts report.

Members of the public may attend the meeting at any of the above locations or via the video conference which can be accessed at <https://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

The Authority will be posting a video and a transcript of the meeting to the web as soon as practicable after the meeting. The video and transcript will be posted at <http://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

A handwritten signature in blue ink that reads "Peter Costello". The signature is written in a cursive style with a large initial "P".

Peter Costello
Secretary

PRESIDENT'S REPORT
(Oral Report)

Resolution No. ____

RESOLVED, that pursuant to Section 105 of the Public Officers Law, the Members of the New York State Energy Research and Development Authority shall convene in executive session on April 29, 2026, for the purposes of discussing the employment history of a particular person and discussing the proposed sale, acquisition, or lease of real property; and

RESOLVED, that pursuant to Section 108 of the Public Officers Law, the Members shall convene in private session on April 29, 2026, for the purpose of discussing attorney-client privileged matters.

PROGRAM PLANNING
COMMITTEE
(Oral Report)

GOVERNANCE
COMMITTEE
(Oral Report)

AUDIT AND FINANCE
COMMITTEE
(Oral Report)

PROGRAM REPORT

INVESTMENT POLICY STATEMENT
NEW YORK STATE ENERGY RESEARCH AND
DEVELOPMENT AUTHORITY
OTHER POST-EMPLOYMENT BENEFITS TRUST

[Updated: April 8, 2026]

TABLE OF CONTENTS

Definitions	4
Background.....	4
Purpose	4
Statement of Objectives.....	5
Investment Guidelines	5
Time Horizon.....	5
Risk Tolerances	5
Rebalancing Philosophy.....	6
Liquidity Policy	6
Performance Expectations.....	6
Sustainability	6
Selection of Investment Funds.....	6
Portfolio Holdings Guidelines.....	7
Overall Portfolio Holdings Guidelines	7
Equities	7
Domestic Equity	7
International Equity	7
Fixed Income	7
Domestic Fixed Income.....	7
International Fixed Income.....	7
Alternative Investments.....	8
Global Real Estate: Real Estate Investment Trust (“REITs”).....	8
High Yield Bonds	8
Emerging Markets Equity.....	8
Cash/Cash Equivalents	8
Cash/Cash Equivalents	8
Prohibited Securities.....	8

Asset Allocation.....	9
Control Procedures	9
Disclosure	9
Delegation of Authority and Responsibilities.....	10
Review of Investment Objectives	11
Review of Investment Performance.....	11
Execution of Security Trades.....	12
Safekeeping.....	12

Definitions

Authorized Agent: One or more individuals designated by the Plan Administrator as provided in the Trust Agreement authorized to transact, communicate, report, and uphold all elements set forth within the below policy.

Benefits: NYSERDA's obligation to provide post-employment health insurance benefits and to pay a portion of the cost of such benefits for retirees and their eligible dependents under the New York State Health Insurance Program (NYSHIP).

Fund: A fund such as a mutual fund or exchange traded fund (ETF) in which Trust assets are invested.

Investment Consultant: An independent entity dedicated to providing advisory services on behalf of the OPEB Trust and approved by the NYSERDA Board for such purpose.

NYSERDA: New York State Energy Research and Development Authority and its successors.

NYSERDA Board: The governing board of New York State Energy Research and Development Authority (NYSERDA).

Plan: The arrangement for Benefits adopted and maintained by NYSERDA, as amended from time to time.

Plan Administrator: Any NYSERDA Officers as described in Article 3 of the By-Laws with the exception of the Chair and Vice Chair.

Trust: The trust account established to safeguard the assets of the Plan.

Trust Agreement: The agreement between NYSERDA and the Trustee establishing the Trust and providing for its administration.

Trustee: The financial institution appointed by the NYSERDA Board to serve as custodian of the trust assets.

Background

NYSERDA has established a Trust, known as the New York State Research and Development Authority Other Post-Employment Benefits Trust. This Trust was established to provide ongoing funding for the payment of a portion of the premiums for health care plans of retired employees who meet the age and service requirements outlined in the Plan. The Trust is partly funded by regularly scheduled contributions from NYSERDA and earnings from investments to support the payment of benefits. NYSERDA oversees certain policies and procedures related to the administration and operation of the Trust.

Purpose

The purpose of this Investment Policy Statement (IPS) is to assist NYSERDA in effectively supervising, monitoring, and evaluating the investment of contributions to the Trust while maintaining liquidity to support benefit payments and fees incurred by the Trust. Additionally, the IPS will function to:

- Document the Plan Administrator's expectations, objectives, and guidelines for the investment of Trust assets which the Plan Administrator judges to be appropriate and prudent, in consideration of the needs of the Plan.
- Define and assign the responsibilities of oversight of the Trust assets.

- Set forth an investment structure for managing the Trust assets. This structure will help to define asset class, fund characteristics, asset allocation and acceptable ranges, with the goal of providing sufficient diversification and overall return over the long-term time horizon of the Plan.
- Encourage effective communication between all relevant parties and establish each party's role in the management of the Plan and the Trust assets.
- Establish formalized performance criteria that all funds selected are expected to meet and against which they are to be measured on a regular basis.
- Comply with all fiduciary, prudence, due diligence and legal requirements for Trust assets.

Statement of Objectives

The primary objective of the Plan is to properly fund the liabilities of the Plan through regular contributions and investment of the Plan's assets in a manner that effectively manages the performance and risk of the selected investments. Additionally, the following set of objectives has been established:

- All transactions undertaken must be for the sole interest of Plan participants and their beneficiaries, collectively, and to provide benefits and defray reasonable expenses in a prudent manner.
- Assets are to be diversified to minimize the impact of losses in individual investment funds and mitigate the effects of natural market volatility.
- To provide for the funding and anticipated withdrawals on a continuing basis.
- To conserve and enhance the capital value of the Trust in real terms through asset appreciation and income generation, while maintaining an investment risk profile that is consistent with the investment allocation approved and set forth within the Investment Policy Statement and approved by the NYSERDA Board.
- To minimize principal fluctuations over the investment cycle
- To achieve a long-term level of return commensurate with contemporary economic conditions and equal to or exceeding the investment objective set forth in the Investment Policy Statement.

Investment Guidelines

Time Horizon

Consistent with the Trust's objectives and modeled cashflows, the NYSERDA has adopted a long-term investment horizon such that the chances and duration of investment losses are carefully weighed against the long-term potential for appreciation of assets.

Risk Tolerances

It is understood by the Plan Administrator that achieving all of the Trust's investment objectives is not guaranteed and there may be time periods when one or more objectives may not be met. The Plan Administrator also recognizes that some risk must be assumed to achieve the Trust's long-term investment objectives and accepts the inevitable fluctuations in returns that will occur. While it is understood that a certain level of risk is expected in the Trust's portfolio, the ability to withstand short and intermediate term variability is specifically considered in the development of the Investment Policy Statement risk tolerances.

Rebalancing Philosophy

The percentage allocation to each asset class may vary based on the table within the overall Asset Allocation, depending on market conditions. When necessary and/or available, cash inflows/outflows will be deployed in a manner consistent with the strategic asset allocation of the Trust as stated in the Investment Policy Statement. NYSERDA retains the right to rebalance the portfolio at its discretion. Typically, new contributions to the Trust will be used to fill the liquidity allocation first and the remaining investment allocations last.

Liquidity Policy

The Trust will be required to provide periodic distributions in the form of post-employment health care benefit payments to participants and to cover expenses incurred by the Trust. An actuary competitively selected by NYSERDA will provide annual assessments of the funding recommendations and payments necessary to meet the Plan's benefit obligations. The Plan Administrator and Authorized Agents(s) will be responsible for liquidity planning to meet these obligations. Money market mutual funds may be used, so long as these funds conform to high standards suitable for funds of this nature.

Performance Expectations

Over the long term, the investment objectives for this portfolio shall be to achieve an average total annual rate of return that is equal to or greater than the Plan's stated asset return assumption. NYSERDA acknowledges that actual returns may vary significantly from this target on a year-to-year and quarter-to-quarter basis, contingent upon where in the overall economic cycle the measurement is made.

Sustainability

Where consistent with the objectives of the Plan, the Administrator, in consultation with the Investment Consultant, will seek to minimize investments that support objectives in conflict with NYSERDA's mission and/or stated New York State policy. Including sustainability-oriented investments within the portfolio is aligned with the mission of NYSERDA and provides further diversification across sectors and industries.

Selection of Investment Funds

The Plan Administrator, with the assistance of the Investment Consultant, will select funds meeting the following minimum criteria:

- Is managed by a bank, insurance company, investment management company, or investment consultant as defined by the Registered Investment Advisers Act of 1940.
- Provides at a minimum, historical quarterly performance numbers calculated on a time-weighted basis, based on a composite of all the fully discretionary accounts of similar investment style, and reported net of fees.
- Provides performance evaluation reports prepared by an objective third party that illustrate the risk/return profile of the investment manager relative to other managers of like investment style.
- Provides detailed information on the history of the firm, key personnel, key clients, fee schedule, and support personnel. This information can be verified by a third-party vendor.

- Clearly articulates the investment strategy that will be followed and documents that the strategy has been successfully adhered to over time.

Portfolio Holdings Guidelines

Overall Portfolio Holdings Guidelines

- Not more than 10% of the total market value within any Fund may be invested in any one corporation or security, with the exception of the U.S. Government and its Agencies.
- Where applicable (such as in the Fixed Income asset class), any fund must be of Investment Grade credit, rated Baa3/BBB or higher using the median rating of Moody's, S&P, and Fitch. Any Fixed Income fund rated below Investment grade must conform to the High Yield criteria for the Alternative Investments Asset class.

Equities

Domestic Equity

Equity assets are to be invested in mutual funds or exchange-traded funds (ETFs). Investment in common and preferred stocks shall be limited to securities of domestic corporations (incorporated in the United States) or foreign corporations listed on a U.S.-based stock exchange. Investments in non-dollar denominated funds are prohibited.

International Equity

The overall International Equity allocation should include a diverse, global mix of countries and sectors primarily focused on foreign-developed nations, invested in mutual funds or exchange-traded funds (ETFs). Investments in non-dollar denominated funds are prohibited.

Fixed Income

Domestic Fixed Income

Fixed income investments shall be high quality, marketable securities with permitted investment in: U.S. Treasuries, federal and state agencies and U.S. Government guaranteed obligations, TIPS (Treasury Inflation-Protected Securities), asset-backed securities, collateralized obligations, and investment grade municipal or corporate issues.

International Fixed Income

The international fixed income (global bond) allocation shall consist of funds that are externally managed and substantially hedged to the U.S. dollar to mitigate currency risk. This allocation is intended to provide diversification benefits to the overall investment portfolio with returns typically asymmetrical to other portfolio holdings.

Alternative Investments

Alternative Investments will be held to additional scrutiny in that each fund should rank within the top 50 percent of their respective styles on the Investment Consultant's internal ranking program or rankings using widely used third-party sources (such as Morningstar). If for three to five consecutive quarters the investments within the alternative investment category falls below the top 50 percent benchmark, the Plan Administrator in consultation with the investment advisor will consider seeking alternative fund options.

Global Real Estate: Real Estate Investment Trust ("REITs")

REITs consist of publicly traded securities and shall be diversified across a broad array of property types and geographic locations. Investments of this type are designed to provide a stable level of income combined with potential for price appreciation, particularly in periods of unexpected inflation.

High Yield Bonds

The high yield bond allocation consists of investment funds that allow fund managers to use their skill to invest primarily in non-investment grade fixed income securities while managing credit risk. The purpose is for the Trust to maximize investment return commensurate with the risk taken while protecting capital while providing additional diversification.

Emerging Markets Equity

The overall Emerging Markets Equity allocation should include a diverse, global mix of countries and sectors primarily focused in global emerging markets. Investments in non-dollar denominated funds are prohibited.

Cash/Cash Equivalents

Cash/Cash Equivalents

This category includes cash, and money market instruments that hold interest-bearing or discount instruments such as U.S. Treasury Bills, U.S. Government Agency Discount Notes, non-corporate issued commercial paper, bank-issued Certificates of Deposit, bankers' acceptances, and fully collateralized repurchase agreements. The asset class also includes direct investments in very short-term fixed income funds deemed investment grade.

Prohibited Securities

The following securities and transactions are not authorized and shall not be transacted or executed:

- letter stock and other unregistered securities,
- commodities or commodity contracts,
- short sales,
- margin transactions,
- private equity, and private debt,
- derivatives, options, futures or the purchase of collectibles

Asset Allocation

With the intent to achieve the greatest likelihood of meeting Trust’s objectives, balancing risk and return, investment assets will be allocated in accordance with the ranges for each asset class. Any deviation, as a result of fluctuation of the market value resulting in allocations outside of target ranges will be cured in a timely manner.

Asset Category	Asset Class	Range	Target
Equity	Equity	25% - 50%	35%
	Domestic Equity	20% - 35%	23%
	*International Equity	5% - 15%	12%
Fixed Income	Fixed Income	30% - 55%	47%
	Domestic Fixed Income	20% - 45%	39%
	*International Fixed Income	5% - 15%	8%
Alternative Investments	Alternative Investments	0% - 20%	15%
	High Yield Bonds	0% - 10%	5%
	*Emerging Markets Equity	0% - 10%	5%
	Real Estate Investment Trusts (REITs)	0% - 10%	5%
Cash/Cash Equivalents	Cash/Cash Equivalents	0% - 10%	3%
	Cash/Cash Equivalents	0% - 10%	3%

*International and Emerging market assets combined ‘Target’ shall not total greater than 25% of the overall portfolio’s assets.

Control Procedures

Disclosure

Any member of the Plan Administrators, Trustee, or Investment Consultant must make full disclosure of any potential conflicts of interest annually (or more frequently, as conditions warrant), including any financial gain that may be received as a result of investment decisions made by those entities. This disclosure should be made before the investment of the plan assets governed by this Investment Policy Statement. Disclosure should be made in written form and presented annually to the other members of the Plan Administrators.

Delegation of Authority and Responsibilities

The NYSERDA Board shall be responsible for approving any changes to this Investment Policy Statement and shall be responsible for reviewing the implementation of the Investment Policy Statement no less frequently than annually. The NYSERDA Board hereby delegates certain responsibilities in this Investment Policy Statement to professional experts in certain fields, who shall act in a fiduciary capacity. These responsibilities include, but are not limited to:

Plan Administrator

The Plan Administrator is to provide instruction to both the Investment Consultant and the Trustee to effectuate changes, investment decisions, payment of benefits, or other administrative tasks required as defined by the Investment Policy Statement solely in the interest of the Plan, with the care, skill, prudence and diligence that a prudent person, acting in a like capacity and familiar with such matters should use in the circumstances then prevailing. The responsibilities of the Plan Administrator also include:

- Providing oversight into the actions of the Investment Consultant, including, but not limited to approving or disapproving actions of the Investment Consultant.
- Prudently and diligently select qualified investment professionals, investments, and the Investment Consultant.
- Developing and enacting proper control procedures: For example, replacing fund(s) due to fundamental changes in investment management process, or failure to comply with established guidelines.
- Exercising investment discretion within the Investment Policy Statement objective and guidelines set forth herein.

The Plan Administrator may also choose to appoint an Authorized Agent to fulfill any of the responsibilities outlined above.

Investment Consultant

The Investment Consultant will be responsible for: Providing recommendations to the Plan Administrator for the selection of Investment Managers, consistent with the Investment Policy Statement objectives and guidelines, reviewing the performance of such Investment Managers over time, periodically reviewing and providing recommendations to the Plan Administrator for revisions to the Investment Policy Statement, and other tasks as deemed appropriate by the Plan Administrator. The responsibilities of the Investment Consultant also include:

- Assisting with determining the plan sponsor's risk tolerance and investment horizon and communicating these to the appropriate parties.
- Defining acceptable asset classes and allocation ranges for the investment of Trusts assets to meet the return objectives established by the Trust and informed by actuarial studies.
- Assisting the Plan Administrator in the development of the Investment Policy Statement and reviewing investment fund implementation.

- Providing for the regular evaluation of the performance of the Trust’s investments to assure adherence to policy guidelines and monitoring investment objectives progress.
- Conducting investment fund searches when requested by the Plan Administrator.
- Selecting investment fund candidates for approval of the Plan Administrator.
- Providing “due diligence” or research on the funds.
- Monitoring the performance of the Trust to provide the Plan Administrator with the ability to determine the progress toward the investment objectives.
- Communicating matters of policy, fund research, and Trust performance to the Plan Administrator.
- Reviewing the Trusts investment history, historical capital markets performance and the contents of this Investment Policy Statement to any newly appointed members of the Plan Administrator.

Trustee

The Trustee is responsible for the safekeeping of the Trust’s assets through approved investment funds as defined by the investment policy statement. The Trustee will perform monthly accounting of all assets owned, purchased or sold, as well as movement of assets into and out of the Trusts account(s). The Trustee will maintain possession of securities owned by the Trust, collect dividend and interest payments, redeem maturing securities, and effect receipt and delivery following purchases and sales. The Trustee, under direction from the Plan Administrator will also be responsible for the payments to such persons and for such purposes to provide benefits to plan participants and the remittance of any tax-related payments to the applicable State and Federal bodies. A Plan Administrator will provide written instructions to the Trustee for the purchase or sale of investment funds.

Review of Investment Objectives

The Plan Administrator(s), working with the Investment Consultant, shall review annually the appropriateness of the Policy for achieving the Trust’s stated objectives. It is not expected that the Policy will change frequently. In particular, short-term changes in the financial markets should not require an adjustment to the investment policy. The Policy should be reviewed no less frequently than every four years. It may be reviewed more frequently if market conditions warrant additional reviews.

Review of Investment Performance

Fund performance and value added to the portfolio’s overarching long-term strategy will be subject to annual review by the Investment Consultant and Plan Administrator(s) and will guide determination of changes to the Trust’s investments. The Investment Consultant shall report at least quarterly to NYSERDA to review the total Trust investment performance. This reporting will include a comparison of the investment results on a quarterly basis to appropriate benchmarks at the individual fund level and through a composite return that effectively mirrors the asset class weightings as defined by the Investment Policy Statement. In addition, the Investment Consultant will be responsible for keeping the Authority advised of any material change in all investment managers’ personnel, investment strategy, and other pertinent information potentially affecting performance of investments.

Execution of Security Trades

NYSERDA expects that the purchase and sale of all Trust securities shall be made in a manner designed to receive the combination of best price and execution. The lowest commission rate need not mean “best execution.”

Safekeeping

All securities shall be held by the Trustee appointed by NYSERDA for safekeeping. The custodian shall produce statements at least monthly listing the name and value of all assets held, and the dates and nature of all transactions. It is expected that the custodian provide online access to view statements, run reports, and conduct transactions, when necessary for the effective management of the Trust and to adhere to this policy.

Resolution No. _____

RESOLVED, that the “Policy Statement for the NYSERDA OPEB Trust (Retiree Health Insurance Benefits Trust)” as presented at this April 29, 2026, meeting, is hereby approved by the Board.

Resolution No. ____

RESOLVED, the Members authorize the President and CEO to retain the firm of KPMG, LLP as independent auditors for the Authority and for NY Green Bank for the fiscal year ending March 31, 2027.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

CONFLICT OF INTEREST POLICY FOR BOARD MEMBERS

~~October 2025~~ April 2026

All board members shall be provided with this Conflict of Interest Policy upon their appointment to the NYSERDA Board and are required to acknowledge that they have read, understand, and are in compliance with the terms of the Policy. Board members should review, on an ongoing basis, circumstances that constitute a conflict of interest or the appearance of a conflict of interest and should abide by this policy and seek guidance when necessary and appropriate.

This policy is intended to supplement, but not replace, any applicable state and federal laws and regulations governing conflicts of interest applicable to unpaid board members of public authorities.

RULES

Conflicts of Interest: A conflict of interest is a situation in which the financial, familial, or personal interests of a board member come into actual or perceived conflict with their duties and responsibilities as a board member of the Authority. Perceived conflicts of interest are situations where there is the appearance that a board member can personally benefit from actions or decisions made in their official capacity, or where a board member may be influenced to act in a manner that does not represent the best interests of the Authority. The perception of a conflict of interest may occur if circumstances would suggest to a reasonable person that a board member may have a conflict. The appearance of a conflict and an actual conflict should be treated in the same manner for the purposes of this Policy.

Board members must always conduct themselves in a manner that avoids any appearance that they can be improperly or unduly influenced, that they could be affected by the position of or relationship with any other party, or that they are acting in violation of the public trust. While it is not possible to describe or anticipate all the circumstances that might involve a conflict of interest, a conflict of interest typically arises whenever a board member has or will have:

- A financial or personal interest in any person, firm, corporation or association which has or will have a transaction, agreement or any other arrangement in which the Authority participates;
- The ability to use their position, confidential information, or the assets of the Authority, to their personal advantage; or
- Any other circumstance that may or appear to make it difficult for the board member to exercise independent judgment and properly exercise their official duties.

Confidential and Inside Information: Information obtained as a result of board membership, and not otherwise public, must not be disclosed, and may not be used to further your interests or the interests of a relative. The duty not to disclose applies until the information is made public. Should there be a question as to confidentiality of information, Members should discuss this with the Authority's General Counsel.

Unwarranted Privileges: Do not use or attempt to use your position on the board to secure unwarranted benefits or favors for yourself or others.

Nepotism: Do not participate in deliberations or decisions to hire, promote, discharge, supervise, or discipline a family member paid by the Authority.

Gifts: Do not accept anything of value where (a) it could reasonably be inferred that it is a gift intended to influence you, in the performance of your board duties; or (b) was given to you as a sign of appreciation for board member action. Ask the General Counsel and/or Ethics Officer to determine the appropriate

course of action in a particular circumstance.

Political Activity: Certain board members cannot do the following – please discuss with the General Counsel and/or Ethics Officer whether these prohibitions apply to you:

- a. Serve as an officer of any political party or political organization;
- b. Serve as a member of any political party committee, including serving as a political party district leader or member of the national committee of a political party; or
- c. Give or raise monetary contributions for the State of New York Governor’s political campaign.

Outside Activities: You should seek guidance from the General Counsel and/or Ethics Officer for those activities you engage in outside of your board duties particularly to those activities that impair your judgment, compromise or interfere with your ability to properly perform your board duties, or that create an actual or perceived conflict of interest. You are strongly encouraged to seek this guidance prior to engaging in any outside activity including accepting a position with a new entity. You may not use NYSERDA staff, time, materials, equipment, or other assets in connection with outside activities.

Reverse Two Year Bar: For the first two years you serve on the NYSERDA Board, you should not participate or be involved in the decision-making process for NYSERDA in relation to any matter involving a person or business in the private sector by whom you are currently employed or by whom you were employed during the two years preceding NYSERDA service or employment.

PROCEDURES

Duty to Disclose: All material facts related to the conflict of interest (including the nature of the interest and information about the conflicting transaction) shall be disclosed in good faith to the General Counsel and/or Ethics Officer who shall advise the board member on how to proceed and, when necessary, seek further guidance from the New York State Commission on Ethics and Lobbying in Government (Ethics Commission) so an ethics opinion can be rendered. Such disclosure shall be made part of the official record of the proceedings of the Authority.

Recusal and Abstention: No board member may participate in any decision or take any official action with respect to any matter requiring the exercise of discretion, including discussing the matter and voting, when they know or have reason to know that the action could confer a direct or indirect financial or material benefit on themselves, a relative, or any organization in which they are deemed to have an interest or when a conflict of interest has been identified. Board members must recuse themselves from deliberations, votes, or internal discussion on matters relating to any organization, entity or individual where their impartiality in the deliberation or vote might be reasonably questioned and are prohibited from attempting to influence other board members in the deliberation and voting on the matter. These recusals and abstentions shall be recorded in the minutes of the Authority’s meetings and shall reflect the name of the board member and the nature of the conflict.

Reporting of Violations: Board members should promptly report any violations of this Policy to Authority’s General Counsel or Ethics Officer in accordance with the Authority’s Whistleblower Policy and Procedures.

Penalties: Any board member that fails to comply with this policy may be penalized in the manner provided for in law, rules, or regulations.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

CONFLICT OF INTEREST POLICY FOR EMPLOYEES

~~April 2025~~ April 2026

All Authority Employees shall be provided with this Conflict of Interest Policy as well as the relevant sections of Public Officers Law upon their employment with NYSERDA and are required to acknowledge that they have read, understand and are in compliance with the terms of the Policy and the relevant sections of the Public Officers Law on an annual basis. Employees should review on an ongoing basis circumstances that constitute a conflict of interest or the appearance of a conflict of interest and should abide by this Policy and seek guidance when necessary and appropriate.

This Policy is intended to supplement, but not replace, any applicable state and federal laws governing conflicts of interest applicable to Authority employees. Legal guidance and direction are available through NYSERDA's Ethics Officer, who is an attorney in Counsel's Office. Questions about a specific situation should be directed to your supervisor, the Director of Internal Audit, the Director of Human Resources, Counsel's Office or NYSERDA Officers. Additionally, you may contact the New York State Commission on Ethics and Lobbying in Government (Ethics Commission) directly about any ethics question or issue.

DEFINITIONS

"Financial Interest" means any note or other evidence of indebtedness, stock, stock option, bond, security, wage, salary, income or other compensation or right to compensation, or other financial interest or arrangement, including but not limited to trusts. The term "financial interest" does **not** include for example: (a) an interest in a personal checking, savings, or similar account; (b) an interest in a money market fund, mutual fund, unit bond trust, regulated investment company, or similar entity the portfolio of which is widely diversified; (c) an interest in a bona fide pension or retirement plan or life, health or accident insurance plan; or (d) government bonds.

"Gift" means anything that has a fair market value of more than \$15.00 including, but not limited to, money, services, loans, discounts, travel, lodging, meals, refreshments, entertainment, forbearance or a promise having a monetary value.

"Inside Information" means information which is obtained by NYSERDA for its public, corporate purposes only, and which, if used for non-public purposes by an employee or another person or organization to whom the information is conveyed, would give that person or organization an unfair advantage in a particular matter because that information is not available to members of the general public.

"Interested Source" means a person or entity that: (a) has contracts with, or seeks contracts with you or NYSERDA; (b) is a registered lobbyist or client of a lobbyist that lobbies NYSERDA; (c) is the spouse or the minor child of a registered lobbyist or client of a lobbyist that lobbies NYSERDA; (d) is involved in ongoing litigation that is adverse to you or NYSERDA; (e) has received or applied for funds from NYSERDA at any time during the previous year up to and including the date of the proposed or actual receipt of the gift; or, (f) attempts to influence you or NYSERDA in an official action.

“Participate or be involved in the decision making process” means having any authority or assigned duty or responsibility to provide any approval or disapproval.

RULES

Conflicts of Interest: A conflict of interest is a situation in which the financial, familial, or personal interests of an employee come into actual or perceived conflict with the duties and responsibilities as an employee of the Authority. Perceived conflicts of interest are situations where there is the appearance that an employee can personally benefit from actions or decisions made in their official capacity, or where an employee may be influenced to act in a manner that does not represent the best interests of the Authority. The perception of a conflict of interest may occur if circumstances would suggest to a reasonable person that an employee may have a conflict. The appearance of a conflict and an actual conflict should be treated in the same manner for the purposes of this Policy.

Employees must conduct themselves at all times in a manner that avoids any appearance that they can be improperly or unduly influenced, that they could be affected by the position of or relationship with any other party, or that they are acting in violation of the public trust. While it is not possible to describe or anticipate all of the circumstances that might involve a conflict of interest, a conflict of interest typically arises whenever an employee has or will have:

- A financial or personal interest in any person, firm, corporation or association which has or will have a transaction, agreement or any other arrangement in which the Authority participates;
- The ability to use their position, confidential information or the assets of the Authority, to their personal advantage;
- Solicited or accepted a gift of any amount under circumstances in which it could reasonably be inferred that the gift was intended to influence them, or could reasonably be expected to influence them, in the performance of their official duties or was intended as a reward for any action on their part; or
- Any other circumstance that may or appear to make it difficult for the employee to exercise independent judgment and properly exercise their official duties.

Financial Interests: No employee may participate or be involved in the decision-making process or take any official action with respect to any matter requiring the exercise of discretion, when they know or has reason to know that the action could confer a direct or indirect financial or material benefit on them, a relative, or any organization in which they are deemed to have an interest. An employee should not retain or obtain a financial interest in any person or organization that does business with NYSERDA if the employee is, or is likely to be, participating in the decision-making process at NYSERDA in a matter involving that person or organization.

Gifts: No gift of any value may be accepted if it would constitute a substantial conflict with the proper discharge of your duties. Additionally, Public Officers Law prohibits you from directly or indirectly soliciting, accepting, or receiving any gift that has a fair market value of more than \$15.00 where the circumstances would reasonably permit the inference that the gift was (a) intended to influence you, or

could reasonably be expected to influence you, in the performance of your duties; or (b) was intended as a tip, reward, or sign of appreciation for your official duties. If you receive or are offered a gift, contact the Ethics Officer so they can decide whether you may accept it.

Political Activity: Employees are under no obligation to contribute to any political fund or perform any political service. Employees may not use job-related influence to force political activity on the part of others nor may others make the demand on them. You may not require employees or potential employees and contractors or potential contractors to reveal information on party affiliation or campaign contributions. Additionally, state offices may not be used for soliciting or collecting any political contributions. Should you intend to run for political office, you should obtain your supervisor's and Counsel's Office concurrence that the public office to be sought will not interfere with your official NYSERDA duties and is allowed under State and Federal law. Policymakers seeking public office for which more than nominal compensation will be paid must first obtain Ethics Commission approval.

Outside Activities: You may not engage in outside activities that would conflict with scheduled work hours or that would impair your judgment or compromise or interfere with your ability to properly perform your duties. You should consult with the Ethics Officer prior to engaging in any outside activity relating to the energy field or involving a NYSERDA contractor or potential contractor. You should consult with the Ethics Officer if you have any questions concerning potential conflicts of interest arising from other outside activities. You may not use NYSERDA time, materials, equipment or other assets in connection with outside activities.

NYSERDA employees who are designated policymakers must obtain approval before engaging in any outside activity if the amount to be earned exceeds \$1,000 annually. If the amount to be earned exceeds \$5,000 annually, Ethics Commission approval is also required. Designated policymakers must also obtain NYSERDA and Ethics Commission approval prior to serving as a director or officer of a for-profit entity, regardless of compensation. Additionally, designated policymakers must notify the Ethics Officer prior to serving as a director or officer of a not-for-profit entity if annual compensation will be between \$0 - \$999.

Reverse Two Year Bar: For the first two years you work at NYSERDA, you should not participate or be involved in the decision-making process for NYSERDA in relation to any matter involving a person or business in the private sector by whom you were employed during the two years preceding NYSERDA service or employment.

Use of Inside Information and Confidential Information: You should not use inside information, non-public information, or information designated as confidential, proprietary or trade-secret to benefit yourself or another person or organization. You should not provide such information to any other person or organization, except in carrying out NYSERDA's corporate purposes. You should not give advice or make recommendations or suggestions to another person or organization based upon such information. Confidential, proprietary and trade-secret information acquired by you in the course of your official duties may not be disclosed to further your or another's personal interests, including financial interests. If NYSERDA executes a non-disclosure agreement (NDA) with an outside entity or individual, you, as an employee of NYSERDA, are required to comply with its terms. Records in the possession of NYSERDA that are labeled confidential, proprietary or trade-secret shall be treated as such by all NYSERDA employees. Any unauthorized disclosure of such information will result in disciplinary action and may result in termination.

Endorsements: No employee, in their capacity as an employee of NYSERDA, should endorse any product or process of a specific manufacturer or the service of any specific firm. This limitation does not preclude NYSERDA, as an institution, from making such an endorsement in carrying out its corporate purposes. (Use of materials, products or services by NYSERDA or an employee does not constitute an endorsement.) No employee should engage in any outside employment or outside activity which results in, or gives the appearance of, identifying NYSERDA with any product, process, or service for advertising, publicity, or sales purposes.

Solicitation of Future Employment: Employees are prohibited from discussing possible future employment with any entity that has had a specific matter pending before them unless 30 days or more has passed since the matter closed or since the employee was recused from the matter. Employees are required to notify their supervisor and the Ethics Officer if they intend to solicit a job offer, or if they receive an unsolicited job offer, from an entity or individual that has a specific matter pending before them.

Post -Employment Restrictions:

Two-Year Bar Restriction: Applies for two years after you leave the Authority for a non-governmental job and contains two different types of prohibitions:

(1) Appearance/Practice Prohibition

- a. Prohibits a former State employee from interacting (in person, on the phone, or via written or electronic correspondence) in their new job with any employee in their former agency in an attempt to influence an agency decision.
- b. Examples of prohibited work: (i) negotiating a contract with a former agency; (ii) submitting a response to a Request for Proposal from a former agency; (iii) requesting that the former agency take any action on a current or proposed project; (iv) submitting reports or filings to a former agency; (v) seeking information from a former agency that is not available to the public.
- c. Applies to both paid and unpaid (*pro bono*) work.

(2) Backroom Services Prohibition

- a. Prohibits a former State employee from working “behind the scenes” on a matter that is before their former agency.
- b. Examples of prohibited work: (i) helping to prepare a Request for Proposal in response to a former agency; (ii) working on any reports or other submissions to a former agency; (iii) helping to prepare another person for a meeting with, or presentation to, a former agency.
- c. Applies to paid work only. (In other words, unpaid backroom services work is permissible.)

Lifetime Bar Restriction: Applies for your lifetime after you leave the Authority for a non-governmental job.

- (1) Prohibits a former State employee from providing services in relation to any case, matter, proceeding, project, application, or transaction with which they were personally involved.
- (2) If the matter is before a New York State agency, you are prohibited from providing any type of services.
- (3) If the matter is before any other entity, you may only provide services if you receive no compensation.

PROCEDURES

Duty to Disclose: All material facts related to the Rules listed above shall be disclosed in good faith to the Ethics Officer as soon as an employee becomes aware of them. The Ethics Officer shall review the disclosed facts, advise employees on how to proceed and, when necessary, seek guidance from the Ethics Commission so an ethics opinion can be rendered. Actual or perceived conflicts of interest with individuals or entities doing business with NYSERDA should be disclosed to your supervisor and the Ethics Officer immediately.

Reporting of Violations: You should remain alert to possible violations of law, policy, or public trust everywhere in NYSERDA. Section 55 of Article 4-A of the Executive Law requires all state officers and employees to promptly report to the State Inspector General any information concerning corruption, fraud, criminal activity, conflicts of interest or abuse by both another state officer or employee relating to their employment or by a person having business dealings with a covered agency relating to those dealings. Consistent with the requirements set forth in the Authority's Whistleblower Policy and Procedures, you must also report such violations to your supervisor, a NYSERDA Officer, a member of Human Resources, the Director of Internal Audit, the Fraud and Abuse Hotline, or a member of Counsel's Office. You must cooperate in any official investigation of a violation. Retaliation against any employee who in good faith reports a violation of law, policy, or public trust is prohibited.

Interpretation or Clarification: An employee may submit a written request to the Ethics Officer for an interpretation or clarification of the provisions of this Policy.

Penalties: Any employee that fails to comply with this Policy may be penalized in the manner provided for in law, rules or regulations. This can include fines, suspension or removal from employment for knowing and intentional violations.

Resources Available:

Internal

Director of Internal Audit
Internal Controls Officer
General Counsel (and all other Counsel's Office Staff)

External

Fraud and Abuse Hotline 1-866-219-1122
NYS Commission on Ethics and Lobbying in Government: 518-408-3976
NYS Office of the Inspector General 1-800-367-4448
Authorities Budget Office (518) 474-1932 (Albany and Capital District); 1-800-560-1770 (For use outside the 518 area code only)

Resolution No. _____

RESOLVED, that the Employee Conflict of Interest policy and Board Member Conflict of Interest policy submitted to the Members for consideration at this April 29, 2026 meeting with such non-substantive and editorial changes, are hereby adopted and approved by the Board.

Periodic Contracts Approvals

Contracts executed or modified during the period - 12/16/2025 to 3/15/2026

	Count of Contracts	Committed this Period
Procurement Contracts > 1year	78	\$31.3M
Competitive Program Contracts > \$3M	13	\$58.6M
Grand Total	91	\$89.9M

Contracts anticipated to be executed or modified during the period - 12/16/2025 to 3/15/2026

	Count of Contracts	Anticipated Amount
Procurement Contracts > 1year	42	\$53.0M
Competitive Program Contracts > \$3M	31	\$168.0M
Non-competitive Program Contracts > \$1M	0	\$0.0M
Grand Total	73	\$221.0M

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT:
Section 1 Summary - 12/16/2025 to 3/15/2026

Category	Report Type	Type	Count of Contracts	Committed this period
PCMT	Procurement	Competitive	60	\$30,124,768
		Discretionary	16	\$1,148,401
		Non-Competitive	2	\$65,870
	Total		78	\$31,339,039
PGRM	Procurement	Competitive	13	\$58,575,255
		Non-Competitive	1	\$1,300,000
	Total		14	\$59,875,255
Grand Total			92	\$91,214,294

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT - 12/16/2025 to 3/15/2026

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT		Competitive	Y	109917-1	BDO USA LLP	Background Investigation Servi	05/24/2024	\$7,500	\$92,100	\$9,000	\$86,100
		Competitive	Y	202740	Adviser Compliance Associates, LLC	Managed Services	01/31/2023	\$26,875	\$61,875	\$3,438	\$37,813
		Competitive	Y	225173	TRC Environmental Corporation	SA.005_TWO# 1_AES_PM1	02/08/2024	\$651,835	\$1,076,575	\$45,320	\$370,181
		Competitive	Y	226106	TRC Environmental Corporation	SA.003_TWO# 2_NY-SUN_PM1	02/27/2024	\$564,936	\$1,094,736	\$52,273	\$451,776
		Competitive	Y	229888	Western Climate Initiative, Inc.	NYCI Emissions Report Platform	04/30/2024	\$917,628	\$7,166,166	\$917,628	\$7,165,329
		Competitive	Y	230634	Kelliher Samets LTD	TWO 42 - Holistic Res Mktg	05/13/2024	\$4,747,000	\$8,850,000	\$638,349	\$4,471,908
		Competitive	Y	262387	Electric Power Research Institute	Energy Feasibility Study	12/04/2025	\$999,883	\$999,883		
		Competitive	Y	263159	Kinetic Communities Consulting Corporati	PON 5857 - KC3	01/05/2026	\$200,000	\$200,000	\$3,596	\$3,596
		Competitive	Y	263212	Experis US LLC	SA.137_TWO#27_APM_CT	01/07/2026	\$462,456	\$462,456	\$2,840	\$2,840
		Competitive	Y	264266	Eclaro International	SA.142_TWO# 17_ER_PM1	03/05/2026	\$403,798	\$403,798		
ASSISTED HOME PERFORMANCE WITH E..		Competitive	Y	230566	Tech Valley Talent, LLC	TWO #32 SFR. Staff Aug Dev.- P	05/09/2024	\$87,700	\$374,400	\$45,850	\$314,623
Buildings of Excellence		Discretionary	Y	262610	Emergent Urban Concepts, LLC	Emergent Urban Concepts LLC	12/11/2025	\$50,000	\$50,000		
CEL049: Process Evaluation		Competitive	Y	228041	Industrial Economics, Incorporated	TWO 7 - Process Evals	04/01/2024	\$442,982	\$1,416,016	\$77,568	\$937,590
CLEAN TRANSPORTATION		Competitive	Y	220936	Center for Sustainable Energy	TWO #5 - Truck Voucher	11/17/2023	\$1,039,456	\$1,454,456	\$131,190	\$396,172
Community Energy Engagement		Competitive	Y	157227	Industrial Economics, Incorporated	TWO1 - CEEP Evaluation	08/20/2020	\$2,193	\$762,345	\$96,027	\$738,522
Consumer Ed and Market Support		Competitive	Y	198803	Kelliher Samets LTD	TWO 18 - Single Family Mktg	11/28/2022	\$490,000	\$3,885,155	\$602,978	\$2,688,004
ENERGY ANALYSIS		Competitive	Y	263828	Kelliher Samets LTD	TWO51: 2025SEPMarketing	02/10/2026	\$232,500	\$232,500		
Environmental Research		Discretionary	Y	181166	Bethany Meys	Project Manager I	02/13/2022	\$250,000	\$1,000,000	\$38,590	\$576,892
ESTOR: Implementation Support		Competitive	Y	261767	Siemens Industry, Inc.	TWO #3 - Indepen. Evaluator	11/18/2025	\$100,000	\$100,000		
		Competitive	Y	261769	Energy and Environmental Economics Inc.	TWO 3 - Indep. Evaluator 2025	11/18/2025	\$100,000	\$100,000	\$4,031	\$4,031
Eval MDCDC Cross Cutting		Competitive	Y	262946	American Council for an Energy Efficient	ACEEE 2026 co-sponsored resear	12/18/2025	\$119,000	\$119,000	\$59,500	\$59,500
Eval MDCDC Statewide Multif LMI		Discretionary	Y	228351	Illume Advising LLC	Statewide Multifamily Evaluati	04/04/2024	\$1,346	\$371,505	\$10,418	\$340,997
Future Grid Perf. Challenges		Competitive	Y	261953	Energy and Environmental Economics Inc.	TWO 3 Sustainable Data Center	11/21/2025	\$987,066	\$987,066		
Grid ClimateTech Ready Capital		Discretionary	Y	262015	Paul De Martini	Direct Contract for GOTF	11/24/2025	\$50,000	\$50,000		
Heat Recovery		Competitive	Y	262499	TRC Environmental Corporation	TWO#18_DP_PM2	12/08/2025	\$597,469	\$597,469		
Hydrogen Innovation		Discretionary	Y	262335	Christian Vandervort	Hydrogen Innovation	12/03/2025	\$500,000	\$500,000		
Intelligent Buildings		Competitive	Y	241868	TRC Environmental Corporation	SA.061_TWO# 13_IN_APM	10/31/2024	\$859,411	\$1,264,539	\$40,934	\$186,806
Mkt Char: Tech Assist		Competitive	Y	259832	Energy and Environmental Economics Inc.	TWO17: Reliability Deficit Rev	10/02/2025	\$50,000	\$300,000	\$250,000	\$250,000
Multifam Performance Pgm		Competitive	Y	200709	TRC Engineers, Inc.	TWO #4 - Multifamily Shared Se	12/22/2022	\$80,579	\$256,592	\$10,068	\$131,635

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT - 12/16/2025 to 3/15/2026

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Multifam Performance Pgm	Competitive	Y	237439	RANGAM CONSULTANTS INC	SA.051_TWO# 2_MF_PM1	08/28/2024	\$308,747	\$693,763	\$13,326	\$210,781
		Competitive	Y	262435	TRC Engineers, Inc.	Multifamily Residential Strate	12/05/2025	\$950,000	\$950,000		
	Net Zero Energy for Eco Dev	Competitive	Y	262392	Indotronix International Corporation	SA.133_TWO#16_BA_S&P	12/04/2025	\$706,850	\$706,850	\$12,312	\$12,312
		Competitive	Y	262583	Tryfacta, Inc.	SA.128_TWO#8_POP_APM	12/10/2025	\$760,054	\$760,054	\$11,088	\$11,088
	New Construction-Commercial	Competitive	Y	262642	Cohen Ventures Inc	TWO Buildings Planning and Mar	12/11/2025	\$750,000	\$750,000		
NEW YORK GREEN BANK		Competitive	Y	249732	Nixon Peabody LLP	RFP 26 Outside Counsel Svcs	03/12/2025	\$76,280	\$94,335		\$18,055
		Competitive	Y	249736	Sive Paget & Riesel PC	RFP 26 Outside Counsel Svcs	03/12/2025	\$32,427	\$35,997	\$765	\$4,335
		Competitive	Y	262896	Ascend Analytics, LLC	TWO 1	12/17/2025	\$200,000	\$200,000	\$50,000	\$50,000
		Discretionary	Y	258817	Macabacus Inc.	Macabacus Software Tool for NY	09/12/2025	\$700	\$8,980	\$8,980	\$8,980
		Non-Competiti..	Y	166172	Carahsoft Technology Corporation	NYGB Cloud Content Management	03/26/2021	\$22,370	\$147,746		\$125,376
	NYCH: Critical Tools	Competitive	Y	262592	Integrated Staffing Corporation	SA.128-1_TWO#7_POP_APM	12/10/2025	\$646,029	\$646,029		
	NYCH: Supply Chain	Competitive	Y	186623	Kelliher Samets LTD	TWO 3 - Clean Heat Connect	05/24/2022	\$1,265,000	\$2,403,500	\$100,806	\$1,150,677
	NYCH: Talent Ppin:CE and OJT	Competitive	Y	166881	Social Finance Inc.	Social Finance Contract	04/11/2021	\$300,000	\$1,266,129	\$47,495	\$889,233
NYSERDA ADMINISTRATION		Competitive	Y	202633	Software People, Inc.	TWO #2 .Net Software Developer	01/26/2023	\$284,750	\$919,750	\$38,100	\$661,700
		Competitive	Y	220858	Verizon Wireless	Verizon Wireless Cellular 2024	11/16/2023	\$141,761	\$433,021	\$49,990	\$326,461
		Competitive	Y	261948	SHI, Inc.	PDF accessibility remediation	11/21/2025	\$30,450	\$30,450	\$30,450	\$30,450
		Competitive	Y	262643	Oracle America, Inc.	Oracle Peoplesoft Enterprise 2	12/11/2025	\$54,308	\$54,308	\$13,577	\$13,577
		Competitive	Y	262708	COGENT Infotech Corporation	TWO # 3 Asso QA Analyst - Pari	12/12/2025	\$126,750	\$126,750	\$19,240	\$19,240
		Competitive	Y	262709	Technology Professionals Group Inc.	TWO 6 Asso QA Analyst A Bhomia	12/12/2025	\$117,000	\$117,000	\$8,640	\$8,640
		Competitive	Y	263085	The Hartford Life and Accident Insurance	NYSERDA Insurance LTD, DBL,EAP	12/31/2025	\$447,146	\$447,146	\$16,834	\$16,834
		Competitive	Y	263478	Carahsoft Technology Corporation	UIPath Flex Service Licensing	01/23/2026	\$100,352	\$100,352		
		Competitive	Y	264301	SHI, Inc.	Adobe Acrobat licenses - 1 yr	03/06/2026	\$98,316	\$98,316		
		Discretionary	Y	220865	Arctic Air Mechanical Corp	HVAC Preventative Maintenance	11/17/2023	\$7,389	\$18,589		\$10,189
		Discretionary	Y	247510	Compulink Technologies, Inc.	Nerdio Manager Premium 1yr	02/04/2025	\$73,899	\$107,204		\$33,305
		Discretionary	Y	262266	Promantek Inc.	Trakstar licenses	12/03/2025	\$49,955	\$49,955		
		Discretionary	Y	262316	ZOOMINFO TECHNOLOGIES LLC	Ringlead Renewal - Zoom info A	12/03/2025	\$23,514	\$23,514	\$18,708	\$18,708
		Discretionary	Y	263047	Control Solutions Group, Inc.	1359 HVAC software/maintenance	12/24/2025	\$13,000	\$13,000		
		Discretionary	Y	263279	ePlus inc	Horizon Ommissa Renewal	01/13/2026	\$9,759	\$9,759	\$9,759	\$9,759
		Discretionary	Y	263301	SHI, Inc.	Embarcadero ER Studio IDERA	01/14/2026	\$15,243	\$15,243	\$15,243	\$15,243

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT - 12/16/2025 to 3/15/2026

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Discretionary	Y	264139	ThunderCat Technology LLC	WorkSpace One 2026 - 2027	02/27/2026	\$52,596	\$52,596		
		Discretionary	Y	264314	Amazon Web Services , INC.	Amazon Connect SFR Call Center	03/09/2026	\$24,000	\$24,000		
	NYSERDA Fixed Asset	Discretionary	Y	261257	Daves Glass Inc	seal and gaskets 2nd FI window	11/03/2025	\$27,000	\$27,000		
	OREC: Technical Support	Competitive	Y	231142	Sustainable Energy Advantage, LLC	TWO#1 MP2.0 Workforce study	05/21/2024	\$41,248	\$200,000	\$41,681	\$150,960
		Competitive	Y	245064	Kelliher Samets LTD	TWO 48 - LSR/OSW Marketing	12/18/2024	\$700,000	\$1,009,738	\$21,633	\$21,633
		Competitive	Y	253872	Ballard Spahr LLP	TWO 1 - OSW Procurement Strat	05/19/2025	\$35,000	\$50,000	\$6,668	\$6,668
		Competitive	Y	255293	Business Network for Maryland Offshore W	New York OSW TAP	06/16/2025	\$750,000	\$750,000		
	Owners Representative Services	Competitive	Y	262540	Association for Energy Affordability, In	Owners Representative Services	12/09/2025	\$691,020	\$691,020		
		Competitive	Y	262542	Altanova LLC	Owners Representative Services	12/09/2025	\$357,686	\$357,686		
		Competitive	Y	262543	Colliers Engineering & Design,	Owners Representative Services	12/09/2025	\$691,020	\$691,020		
		Competitive	Y	262585	EME Consulting Engineering Group, LLC	Owners Representative Services	12/10/2025	\$691,020	\$691,020		
		Competitive	Y	262586	Harris Energy Solutions LLC	Owners Representative Services	12/10/2025	\$691,020	\$691,020		
		Competitive	Y	262587	L&S Energy Services, Inc.	Owners Representative Services	12/10/2025	\$691,020	\$691,020		
		Competitive	Y	262590	New York Engineers, P.C.	Owners Representative Services	12/10/2025	\$691,020	\$691,020		
		Competitive	Y	262591	Taitem Engineering, P.C.	Owners Representative Services	12/10/2025	\$691,020	\$691,020		
REC4:CES REC4 Tech Support	Competitive	Y	233838	Management Solutions, LLC	RFP5481 Design Services	07/02/2024	\$124,991	\$615,618	\$30,864	\$364,633	
REC:CES REC System Dev Costs	Competitive	Y	163482	Tech Valley Talent, LLC	TWO 1 Salesforce Dev. Rajesh K	01/20/2021	\$214,240	\$1,151,371	\$47,434	\$948,931	
Retrofit NY	Competitive	Y	211534	Taitem Engineering, P.C.	Multifamily Technical Assistan	06/26/2023	\$797,976	\$2,263,676	\$207,321	\$945,441	
Technical Services	Non-Competiti..	Y	157752	Cornell University	NYS CEA Database GLASE	09/03/2020	\$43,500	\$268,317		\$119,817	
WEST VALLEY DEVELOPMENT PROGR..	Competitive	Y	262262	JR & SONS EXCAVATING INC.	RFP 5942 WV Ops and Maint	12/02/2025	\$700,000	\$700,000	\$3,140	\$3,140	
PGRM	Competitive	Y	195131	NYC Department of Education	NYC DOE Track II Round 1	10/03/2022	\$4,000,000	\$4,000,000			
	Competitive	Y	245163	South Seneca Central School	CGSI Track 2 R2 - South Seneca	12/19/2024	\$3,153,389	\$3,153,389			
	Competitive	Y	245170	North Syracuse Central School District	CGSI Track 2 R2 N Syracuse CSD	12/19/2024	\$10,100,000	\$10,100,000			
	Competitive	Y	245171	UFSD of the Tarrytowns	CGSI Track 2 R2 Tarrytowns	12/19/2024	\$10,100,000	\$10,100,000			
	Competitive	Y	252933	Evgateway	Upstate NEVI DCFC - EVGateway	05/01/2025	\$4,465,578	\$4,465,578			
	Code to Zero	Competitive	Y	257542	Online Solutions LLC	Building Code Compliance	08/07/2025	\$7,500,000	\$7,500,000		
	EMPOWER NY	Competitive	Y	186724A	110 Holdings LLC	RFP4886 RES Market Engagement	12/14/2023	\$1,200,000	\$7,486,474	\$209,758	\$6,481,577
	ENVIRONMENTAL RESEARCH	Competitive	Y	202466	McKinsey & Company, Inc.	RFP5293 GHG Cap & Invest Eval	01/24/2023	\$400,000	\$5,347,000		\$4,674,250
	Eval MDCD:Com Bldg Stock Study	Competitive	Y	261034	E Source Companies LLC	Commercial Building Stock Stdy	10/28/2025	\$7,723,881	\$7,723,881		

NYSDERDA PERIODIC CONTRACTS APPROVAL REPORT - 12/16/2025 to 3/15/2026

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	LARGE SCALE RENEWABLES	Competitive	Y	67783	APX Inc.	NYGATS	06/24/2015	\$362,322	\$7,607,056	\$175,489	\$7,050,535
	Low Carbon Tech Demos	Competitive	Y	261633	STRATCO PROPERTY GROUP INC	Low Carbon Pathways	11/13/2025	\$5,700,085	\$5,700,085	\$114,828	\$114,828
	NYCH: Technical Assistance	Competitive	Y	186723	West Monroe Partners, LLC	RFP4886 RES Market Engagement	05/25/2022	\$870,000	\$13,484,500	\$225,844	\$12,614,495
	Pub Transit & Elect Rail	Competitive	Y	244748	Westchester County	Westchester EV Demo-PON 5739	12/13/2024	\$3,000,000	\$3,000,000		
Grand Total								\$89,914,294	\$147,431,477	\$4,589,569	\$56,356,164

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT: Contractor Addresses - 12/16/2025 to 3/15/2026

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
110 Holdings LLC	15400 SE 30th PI Suite 202	Bellevue	WA	98007	FSBE				
Adviser Compliance Associates, LLC	Lockbox 1455 – Adviser Compliance Associates	Albany	NY	12206	NYSBE				
Altanova LLC	28-07 Jackson Avenue, 5th Floor	Long Island City	NY	11101	NYSBE				
Amazon Web Services , INC.	PO Box 81207	Seattle	WA	98108-1207	FSBE				
American Council for an Energy Efficient	529 14th Street, NW Suite 600	Washington	DC	20045	FSBE				
APX Inc.	224 Airport Parkway #600	San Jose	CA	95110	FSBE				
Arctic Air Mechanical Corp	13-47 209th St	Bayside	NY	11360	NYSBE				
Ascend Analytics, LLC	1877 Broadway, Suite 706	Boulder	CO	80302	FSBE				
Association for Energy Affordability, In	105 Bruckner Boulevard	Bronx	NY	10454	NYSBE				
Ballard Spahr LLP	1735 Market Street, 51st Floor	Philadelphia	PA	19103	FSBE				
BDO USA LLP	PO Box 642743	Pittsburgh	PA	15264-2743	FSBE				
Bethany Meys	dba MB Consulting	Greenfield Center	NY	12833	NYSBE				
Business Network for Maryland Offshore W	Business Network for Offshore Wind, Inc.	Baltimore	MD	21209	FSBE				
Carahsoft Technology Corporation	11493 Sunset Hills Road	Reston	VA	20190	FSBE				
Center for Sustainable Energy	3980 Sherman St., Ste 170	San Diego	CA	92110	FSBE				
Christian Vandervort	46 Upper Wedgwood Lane	Voorsheesville	NY	12186	NYSBE				
COGENT Infotech Corporation	1035 Boyce Road, Suite 108	Pittsburgh	PA	15241	FSBE				
Cohen Ventures Inc	d/b/a Energy Solutions	Oakland	CA	94612	FSBE				
Colliers Engineering & Design,	Architecture, Landscape Architecture, Surveying, CT P.C	Holmdel	NJ	07733	FSBE				
Compulink Technologies, Inc.	260 West 39th St. Suite 302	New York	NY	10018	NYSBE				
Control Solutions Group, Inc.	122 West 27th St., 5th Floor	New York	NY	10001	NYSBE				
Cornell University	341 Pine Tree Road	Ithaca	NY	14850	NYSBE				
Daves Glass Inc	160 Delaware Ave	Delmar	NY	12054	NYSBE				
E Source Companies LLC	1321 Upland Drive	Houston	TX	77043	FSBE				
Eclaro International	450 Seventh Avenue, Suite 1102	New York	NY	10123	NYSBE				
Electric Power Research Institute	3420 Hillview Drive	Palo Alto	CA	94304	FSBE				
EME Consulting Engineering Group, LLC	550 Seventh Avenue 10th Floor	New York	NY	10018	NYSBE				
Emergent Urban Concepts, LLC	13 Kendall Avenue	Sleepy Hollow	NY	10591	NYSBE				
Energy and Environmental Economics Inc.	2401 E Katella Ave.	Anaheim	CA	92806	FSBE				
ePlus inc	13595 Dulles Technology Dr	Herndon	VA	20171	FSBE				

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT: Contractor Addresses - 12/16/2025 to 3/15/2026

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Evgateway	5251 California Ave STE 150	Irvine	CA	92617	FSBE				
Experis US LLC	100 Manpower Place	Milwaukee	WI	53212	FSBE				
Harris Energy Solutions LLC	310 W Main Street, Suite 103	Round Rock	TX	78664	FSBE	X			
Illume Advising LLC	440 Science Dr., Suite 202	Madison	WI	53711	FSBE	X			
Indotronix International Corporation	687 Lee Road	Rochester	NY	14606	NYSBE				
Industrial Economics, Incorporated	2067 Massachusetts Avenue STE 7	Cambridge	MA	02140-1356	FSBE				
Integrated Staffing Corporation	99 Woodlawn Avenue	Saratoga Springs	NY	12866	NYSBE	X			
JR & SONS EXCAVATING INC.	3715 SCHOOL ST	EDEN	NY	14057	NYSBE				
Kelliher Samets LTD	d/b/a KSV	Burlington	VT	05401	FSBE	X			
Kinetic Communities Consulting Corporati	51-05 Flushing Avenue, Suite 201	Maspeth	NY	11378	NYSBE	X	X		
L&S Energy Services, Inc.	58 Clifton Country Road	Clifton Park	NY	12065	NYSBE				
Macabacus Inc.	228 Park Ave. S, PMB11912	New York	NY	10003-1502	NYSBE				
Management Solutions, LLC	2202 Award Winning Way, Suite 201	Knoxville	TN	37932	FSBE				
McKinsey & Company, Inc.	55 East 52nd St., 21st Fl	New York	NY	10022	NYSBE				
New York City Housing Authority	90 Church St Rm 6th Floor	New York	NY	10007-2919	NYSBE				
New York Engineers, P.C.	55 Broad Street	New York	NY	10004	NYSBE				
Nixon Peabody LLP	1300 Clinton Square	Rochester	NY	14604	NYSBE				
North Syracuse Central School District	5520 Taft Road	North Syracuse	NY	13212	NYSBE				
NYC Department of Education	65 Court St., Rm 824	Brooklyn	NY	11201	NYSBE				
Online Solutions LLC	Citizenserve	Tempe	AZ	85284	FSBE				
Oracle America, Inc.	500 Oracle parkway	Redwood City	CA	94065	FSBE				
Paul De Martini	1525 Stockton Street	St. Helena	CA	94574	FSBE				
Promantek Inc.	d/b/a TrakStar	Seattle	WA	98122	FSBE				
RANGAM CONSULTANTS INC	270 DAVIDSON AVENUE, SUITE #103	SOMERSET	NJ	08873	FSBE				
SHI, Inc.	P.O. Box 952121	Dallas	TX	75395	FSBE				
Siemens Industry, Inc.	85 Northpointe Parkway	Buffalo	NY	14228	NYSBE				
Sive Paget & Riesel PC	560 Lexington Ave., Floor 15	New York	NY	10022-1994	NYSBE				
Social Finance Inc.	2 Atlantic Avenue, 5th Floor	Boston	MA	02110	FSBE				
Software People, Inc.	738 Smithtown Bypass, Suite #202	Smithtown	NY	11787	NYSBE	X	X		
South Seneca Central School	7263 Main Street	Ovid	NY	14521	NYSBE				

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT: Contractor Addresses - 12/16/2025 to 3/15/2026

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE	
STRATCO PROPERTY GROUP INC	37 SHORE PARK ROAD	GREAT NECK	NY	11023	NYSBE					
Sustainable Energy Advantage, LLC	161 Worcester Rd., Ste 503	Framingham	MA	01701	FSBE					
Taitem Engineering, P.C.	110 South Albany Street	Ithaca	NY	14850	NYSBE					
Tech Valley Talent, LLC	20 Prospect St. Suite 200, Building 1	Ballston Spa	NY	12020	NYSBE	X				
Technology Professionals Group Inc.	D/B/A Cloud and Things	Troy	NY	12180	NYSBE	X	X			
The Hartford Life and Accident Insurance	dba The Hartford	Philadelphia	PA	19178-3690	FSBE					
ThunderCat Technology LLC	1925 Isaac Newton Square	Reston	VA	20190	FSBE			X		
TRC Engineers, Inc.	21 Griffin Rd North	Windsor	CT	06095	FSBE					
TRC Environmental Corporation	d/b/a TRC Advance Energy	New York	NY	10018	NYSBE					
Tryfacta, Inc.	4637 Chabot Dr, Suite 100	Pleasanton	CA	94588	FSBE					
UFSD of the Tarrytowns	200 North Broadway	Sleepy Hollow	NY	10591	NYSBE					
Verizon Wireless	PO Box 408	Newark	NJ	07101-0489	FSBE					
West Monroe Partners, LLC	311 West Monroe Street, 14th Floor	Chicago	IL	60606	FSBE					
Westchester County	148 Martine Avenue, Rm 720	White Plains	NY	10601-3311	NYSBE					
Western Climate Initiative, Inc.	1107 9th Street, Suite 1070	Sacramento	CA	95814	FSBE					
ZOOMINFO TECHNOLOGIES LLC	805 BROADWAY STREET, SUITE 900	VANCOUVER	WA	98660	FSBE					

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Anticipated Contracts - 12/16/2025 to 3/15/2026

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Reason	Date	Anticipated Amount	Total Contract Amount
PCMT	Competitive	Y	149695	Holland & Knight LLP	RFP 12 - NYGB Legal Services	Added New Tasks	12/31/2019	\$4,664	\$851,908
	Competitive	Y	165541A	DNV Energy Insights USA Inc.	TWO 2 - New Construction Eval	Added New Tasks	07/13/2021	\$60,550	\$178,554
	Competitive	Y	182623	Energy and Environmental Economics Inc.	Value Stack Calculator Support	New Agreement	03/14/2022	\$50,000	\$100,000
	Competitive	Y	199925	Paul Smith's College	ALTM Lakes Monitoring 2023-29	New Agreement	12/13/2022	\$232,452	\$683,722
	Competitive	Y	209890	New York Power Authority,	NYPANYCHA 120V Induction Stove	New Agreement	05/31/2023	\$757,000	\$757,000
	Competitive	Y	222774	Henningson, Durham & Richardson PC	TWO#8 Empowering Pot MP2.0	Added New Tasks	12/20/2023	\$30,000	\$180,000
	Competitive	Y	231936	CVENT, Inc.	Event Management Platform	New Agreement	05/31/2024	\$3,912	\$215,586
	Competitive	Y	232028	TRC Environmental Corporation	SA.032_TWO# 8_IN_APM	New Agreement	06/03/2024	\$822,359	\$1,155,860
	Competitive	Y	235724	TRC Environmental Corporation	SA.042_TWO# 9_MF_PM1	New Agreement	08/01/2024	\$638,903	\$1,034,161
	Competitive	Y	237170	Software People, Inc.	TWO 3 Salesforce System Admin.	Exercising Option to Renew	08/23/2024	\$126,880	\$241,280
	Competitive	Y	243260	TRC Engineers, Inc.	BR Witmer I & II - Engineering	New Agreement	11/20/2024	\$271,000	\$271,000
	Competitive	Y	243262	TRC Engineers, Inc.	BR Witmer I & II - Permitting	New Agreement	11/20/2024	\$350,000	\$350,000
	Competitive	Y	248150	Booz Allen Hamilton, Inc.	TWO 19: Innovation Ops Excell	New Agreement	02/14/2025	\$1,200,000	\$3,322,000
	Competitive	Y	251036	The Cadmus Group, LLC	TWO 4 - NYSARP_Support	New Agreement	04/01/2025	\$33,700	\$1,062,280
	Competitive	Y	255831	Northeast States for Coordinated Air Use	TWO 4 - Portable Dilution Sys	New Agreement	07/01/2025	\$49,999	\$49,999
	Competitive	Y	258750	Kelliher Samets LTD	TWO 51 Clean Energy Siting	Added New Tasks	09/11/2025	\$166,618	\$466,618
	Competitive	Y	261114	Tech Valley Talent, LLC	SA.119_TWO# 4_PM1_ECE	New Agreement	10/30/2025	\$421,566	\$421,566
	Competitive	Y	261400	Vermont Energy Investment Corporation	PO with the TRM Administrator	New Agreement	11/06/2025	\$104,673	\$104,673
	Competitive	Y	262004	Guidehouse Inc.	TWO 3 - Grid ArchitectureGOTF	Added New Tasks	11/24/2025	\$1,999,967	\$1,999,967
	Competitive	Y	262464	DNV Energy Insights USA Inc.	RFP6010A Mkt Development Eval	New Agreement	12/08/2025	\$28,737,460	\$28,737,460
	Competitive	Y	262538	DNV Energy Insights USA Inc.	RFP6010 Cat B	New Agreement	12/09/2025	\$8,410,609	\$8,410,609
	Competitive	Y	262953	Steven Winter Associates, Inc.	Mkt Scaling Admin Support Mini	New Agreement	12/18/2025	\$2,000,000	\$2,000,000
	Competitive	Y	262991	Language Line Services Inc.	NYSDA Language services	New Agreement	12/19/2025	\$3,000,000	\$3,000,000
	Competitive	Y	263335	Electric Power Research Institute	EPRI study on residential pane	New Agreement	01/15/2026	\$100,000	\$100,000
	Competitive	Y	264189	Meltwater News US Inc.	Contract w/ Meltwater News US	New Agreement	03/03/2026	\$165,000	\$165,000
	Competitive	Y	264448	Experis US LLC	SA.143_TWO#28_APM_NYSUN	New Agreement	03/12/2026	\$250,774	\$250,774
	Competitive	Y	264455	RANGAM CONSULTANTS INC	SA.145_TWO#9_PM1_S&P	New Agreement	03/12/2026	\$572,187	\$572,187
	Discretionary	Y	228581	Graphic Grace Design LLC	Contracted Graphic Designer	Extend Contract Term	04/10/2024	\$15,000	\$75,000
	Discretionary	Y	246358	Spacesmith, LLP	Architectural Services - NYC	New Agreement	01/14/2025	\$45,378	\$490,378
	Discretionary	Y	252733	Rural Housing Coalition of New York	Low-rise Multifamily Upstate S	New Agreement	04/29/2025	\$50,000	\$50,000
Discretionary	Y	257678	CVENT, Inc.	2026 SOTS Cvent Additions	New Agreement	08/13/2025	\$30,000	\$30,000	
Discretionary	Y	262430	ACEEE	ACEEE 2027 - Host Sponsorship	New Agreement	12/05/2025	\$70,000	\$70,000	

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Anticipated Contracts - 12/16/2025 to 3/15/2026

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Reason	Date	Anticipated Amount	Total Contract Amount
PCMT	Discretionary	Y	262641	Reshape Infrastructure Strategies LTD	Contract with Reshape	New Agreement	12/11/2025	\$49,500	\$49,500
	Discretionary	Y	264143	ThunderCat Technology LLC	Egnyte Training and Services -	New Agreement	02/27/2026	\$10,704	\$10,704
	Discretionary	Y	264244	Overbite Productions	EJ TWG website maintenance	New Agreement	03/04/2026	\$35,000	\$35,000
	Non-Competitive	Y	238365	New York Independent Systems Operator	NYISO Interconnection_BR Mines	New Agreement	09/12/2024	\$158,000	\$158,000
	Non-Competitive	Y	259308	Mads Ronne Almassalkhi	Grid of the Future Phase 4	New Agreement	09/22/2025	\$300,000	\$300,000
	Non-Competitive	Y	261760	Gartner Inc.	Gartner 2026 Renewal 1 yr	New Agreement	11/18/2025	\$47,579	\$47,579
	Non-Competitive	Y	262331	FDNY	Hydrogen Firm Capacity	New Agreement	12/03/2025	\$200,000	\$200,000
	Non-Competitive	Y	262334	American Institute of Chemical Engineers	Hydrogen Firm Capacity	New Agreement	12/03/2025	\$850,000	\$850,000
	Non-Competitive	Y	262336	Electric Power Research Institute	Hydrogen Firm Capacity	New Agreement	12/03/2025	\$500,000	\$500,000
PGRM	Competitive	Y	141799	New Energy Nexus New York LLC	Cleantech Accelerator	Extend Contract Term	06/25/2019	\$1,709,745	\$13,784,745
	Competitive	Y	184799	West Harlem Environmental Action, Inc.	Regional Clean Energy Hub	Extend Contract Term	04/25/2022	\$835,000	\$3,892,067
	Competitive	Y	193635	Revel Transit, Inc.	Red Hook Recharge Zone	Extend Contract Term	09/07/2022	\$5,929,428	\$7,000,000
	Competitive	Y	205081	SecondMuse LLC	Manufacturing Corps (M-Corps)	New Agreement	03/13/2023	\$610,000	\$4,360,000
	Competitive	Y	225840	NYC Department of Housing Preservation	MOU HPD Decarbonization	New Agreement	02/22/2024	\$7,436,541	\$22,436,541
	Competitive	Y	241413	JP Bus & Truck Repair LTD	NYSBIP	New Agreement	10/24/2024	\$3,920,000	\$3,920,000
	Competitive	Y	245159	Hyde Park Central School District	CGSI Track 2 R2 Hyde Park CSD	New Agreement	12/19/2024	\$5,860,448	\$5,860,448
	Competitive	Y	245160	City School District of Albany	CGSI Track 2 R2 CSD of Albany	New Agreement	12/19/2024	\$10,100,000	\$10,100,000
	Competitive	Y	251674	NYC Department of Education	CGSI Track 2 R2 NYC D11 - X362	New Agreement	04/14/2025	\$3,592,222	\$3,592,222
	Competitive	Y	255868	Francis Energy Charging, LLC	PON5866 Downstate NEVI DCFC	New Agreement	07/02/2025	\$6,941,024	\$6,941,024
	Competitive	Y	261819	EES Pipeline Projects	EBC - NYU Langone Health	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261821	EES Pipeline Projects	EBC - MSKC Center	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261822	Northwell Health, Inc.	EBC - Northwell Phelps	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261823	EES Pipeline Projects	EBC - Weill Cornell Medicine	New Agreement	11/19/2025	\$4,377,711	\$4,377,711
	Competitive	Y	261824	EES Pipeline Projects	EBC - Northwell Health	New Agreement	11/19/2025	\$4,306,301	\$4,306,301
	Competitive	Y	261825	EES Pipeline Projects	EBC - Mount Sinai Hospital	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261826	EES Pipeline Projects	EBC - St. Joseph's Hospital	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261827	EES Pipeline Projects	EBC - Stony Brook Hospital	New Agreement	11/19/2025	\$4,923,350	\$4,923,350
	Competitive	Y	261828	EES Pipeline Projects	EBC - Unity Hospital	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
	Competitive	Y	261829	EES Pipeline Projects	EBC - Northwell Health	New Agreement	11/19/2025	\$5,050,000	\$5,050,000
Competitive	Y	261830	EES Pipeline Projects	EBC - Elmhurst Hospital	New Agreement	11/19/2025	\$5,050,000	\$5,050,000	
Competitive	Y	261831	EES Pipeline Projects	EBC - Montefiore Medical	New Agreement	11/19/2025	\$4,931,524	\$4,931,524	
Competitive	Y	261946	Saratoga County Sewer District	153344 - SCSD Biosolids Facili	New Agreement	11/21/2025	\$5,000,000	\$5,000,000	

NYSDERDA PERIODIC CONTRACTS APPROVAL REPORT: Anticipated Contracts - 12/16/2025 to 3/15/2026

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Reason	Date	Anticipated Amount	Total Contract Amount
PGRM	Competitive	Y	264114	Freestone Renewables LLC	NY-SUN	New Agreement	02/26/2026	\$3,455,946	\$3,455,946
	Competitive	Y	264234	Housing Trust Fund Corporation	HCR EEBE and Solar Agreement	New Agreement	03/04/2026	\$30,000,000	\$30,000,000
	Non-Competitive	Y	169491	Dextall	Prefab Panels Retrofit	New Agreement	06/10/2021	\$69,478	\$1,392,632
	Non-Competitive	Y	244986	Gas Technology Institute	Smart Thermal Batteries	New Agreement	12/18/2024	\$525,000	\$1,275,000
Grand Total								\$197,845,154	\$241,497,876

NYSERDA PERIODIC PROGRAM REPORT

12/16/2025 to 3/15/2026

Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Agriculture	35	\$318,217
Building Innovation	2	\$889,310
Clean Heating and Cooling	6	\$2,888,090
Clean Trans (Innov & Research)	61	\$11,751,156
Codes	3	\$7,597,754
Commercial	95	\$21,112,048
Communities and Local Governme	2	\$285,000
Energy & EnvironmentalAnalysis	6	\$853,439
Energy Storage	100	\$34,902,490
Energy-Rltd Environmental Res	3	\$2,433,016
Evaluation	1	\$7,723,881
Financing Solutions	1	\$325,000
Grid Modernization	3	\$3,130,628
Industrial	6	\$586,881
Innovation	11	\$2,929,756
Large Scale Renewables	8	\$1,481,404
LMI	16	\$2,988,934
Multifamily (Mkt Rate Only)	57	\$9,748,177
New Construction	18	\$8,391,546
NY-Sun	534	\$75,726,926

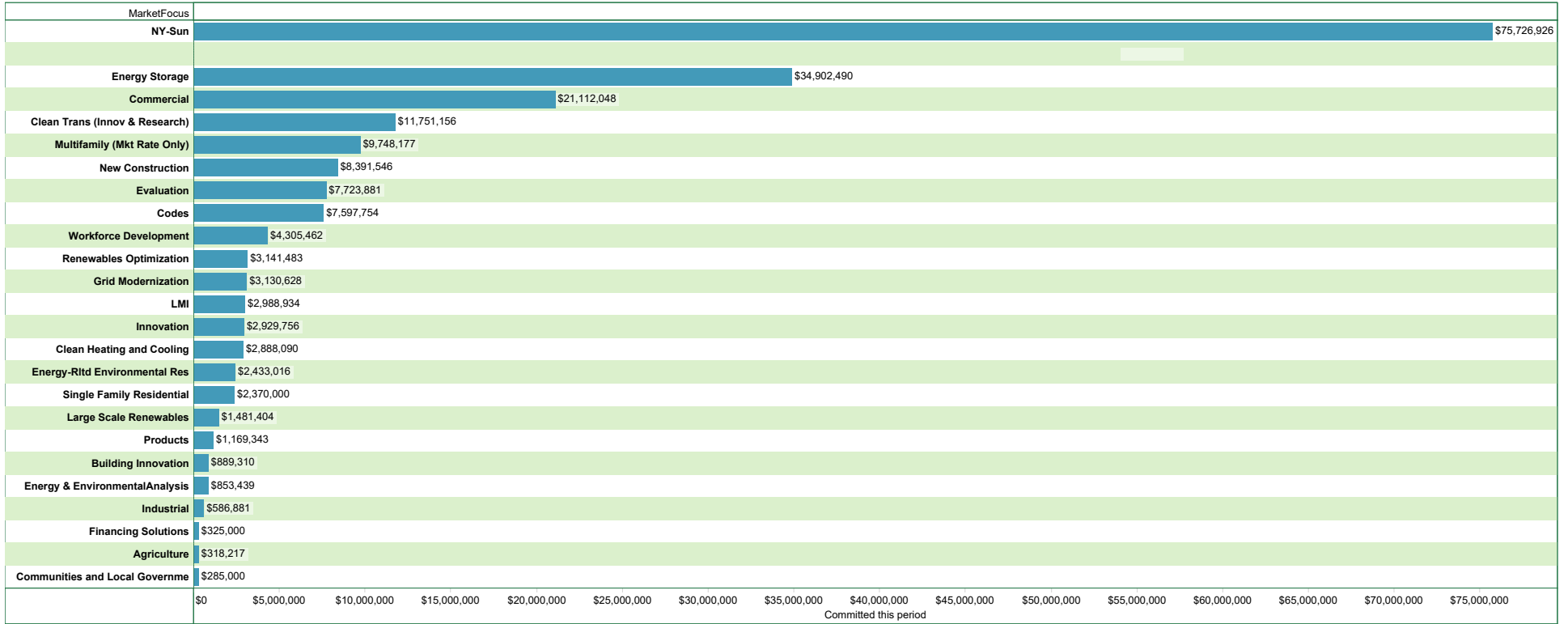
NYSERDA PERIODIC PROGRAM REPORT

12/16/2025 to 3/15/2026

Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Products	1	\$1,169,343
Renewables Optimization	4	\$3,141,483
Single Family Residential	3	\$2,370,000
Workforce Development	89	\$4,305,462
Grand Total	1053	\$207,049,941

NYSDA PERIODIC PROGRAM REPORT
 12/16/2025 to 3/15/2026
 Graph: All Market Focus Committed this Period



NYSDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Capital Region	Albany	40	\$11,082,077
	Columbia	7	\$64,336
	Greene	5	\$1,187,237
	Rensselaer	20	\$1,869,217
	Saratoga	22	\$9,347,020
	Schenectady	26	\$3,487,584
	Warren	11	\$123,488
	Washington	5	\$43,144
	Total	135	\$27,204,104
Central New York	Cayuga	1	\$212,319
	Cortland	5	\$1,753,099
	Madison	9	\$214,075
	Onondaga	28	\$6,141,766
	Oswego	9	\$1,438,118
	Total	52	\$9,759,377
Finger Lakes	Genesee	4	\$40,472
	Livingston	2	\$14,832
	Monroe	48	\$6,314,068
	Ontario	14	\$1,739,273
	Orleans	4	\$805,542
	Seneca	4	\$45,460

NYSDERDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Finger Lakes	Wayne	12	\$3,958,812
	Wyoming	4	\$1,680,702
	Yates	2	\$21,436
	Total	94	\$14,620,597
Long Island	Nassau	44	\$7,177,862
	Suffolk	26	\$2,667,767
	Total	69	\$9,845,629
Mid-Hudson	Dutchess	39	\$1,524,735
	Orange	30	\$1,261,504
	Putnam	15	\$162,692
	Rockland	9	\$98,432
	Sullivan	21	\$3,171,523
	Ulster	38	\$2,405,823
	Westchester	50	\$13,034,170
	Total	201	\$21,658,879
Mohawk Valley	Fulton	5	\$830,918
	Herkimer	12	\$3,157,597
	Montgomery	11	\$1,540,437
	Oneida	19	\$6,728,994
	Otsego	8	\$295,388
	Schoharie	9	\$107,976

NYSERDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Mohawk Valley	Total	64	\$12,661,310
New York City	Bronx	70	\$11,534,935
	Kings	73	\$17,944,372
	New York	138	\$7,832,172
	Queens	62	\$13,271,557
	Richmond	10	\$3,652,185
	Total	353	\$54,235,220
North Country	Clinton	12	\$1,552,588
	Essex	5	\$58,833
	Franklin	10	\$626,198
	Hamilton	2	\$46,250
	Jefferson	6	\$419,302
	Lewis	2	\$76,413
	St. Lawrence	8	\$128,790
Total	45	\$2,908,374	
Southern Tier	Broome	33	\$6,926,338
	Chemung	7	\$870,404
	Chenango	6	\$339,816
	Delaware	5	\$1,328,274
	Schuyler	3	\$31,380
	Steuben	11	\$7,346,794

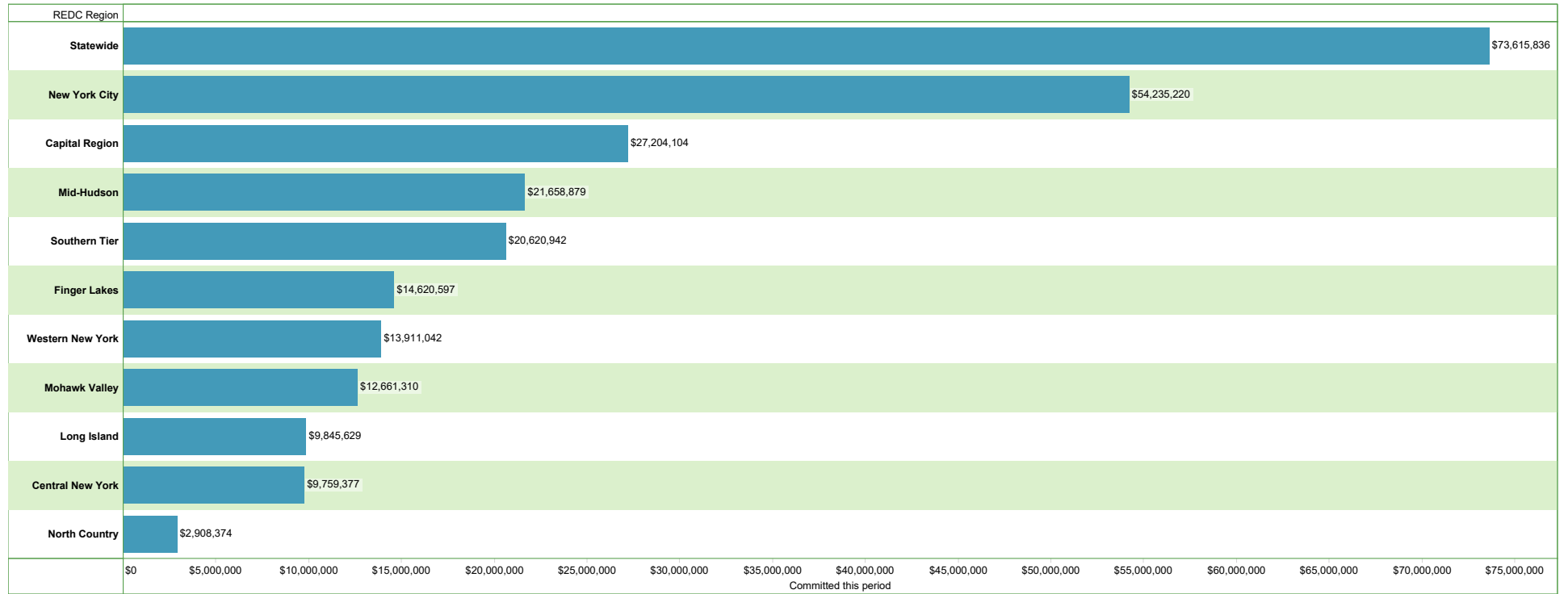
NYSERDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Southern Tier	Tioga	5	\$657,992
	Tompkins	15	\$3,119,945
	Total	83	\$20,620,942
Statewide	STATEWIDE	121	\$73,615,836
	Total	121	\$73,615,836
Western New York	Allegany	5	\$1,008,862
	Cattaraugus	9	\$191,325
	Chautauqua	9	\$4,524,414
	Erie	54	\$6,253,669
	Niagara	12	\$1,932,772
	Total	89	\$13,911,042
Grand Total		1,299	\$261,041,310

NYSERDA PERIODIC PROGRAM REPORT

12/16/2025 to 3/15/2026

Graph: By REDC Region



NYSERDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026
Table: By Electric Utility

Electric Utility	Count of Contracts	Committed this period
Null	1	\$64,900
AKRON	1	\$176,688
CASTILE	1	\$10,750
Central Hudson Gas and Electric	91	\$6,119,205
Consolidated Edison	388	\$65,844,509
GROTON	1	\$355,740
Long Island Power Authority	64	\$10,747,924
Municipal Utilities	21	\$5,373,828
National Grid	304	\$55,964,840
NYS Electric and Gas	224	\$30,782,537
Orange and Rockland Utilities	24	\$803,872
Rochester Gas and Electric	65	\$11,245,581
Statewide	121	\$73,615,836
Grand Total	1,299	\$261,041,310

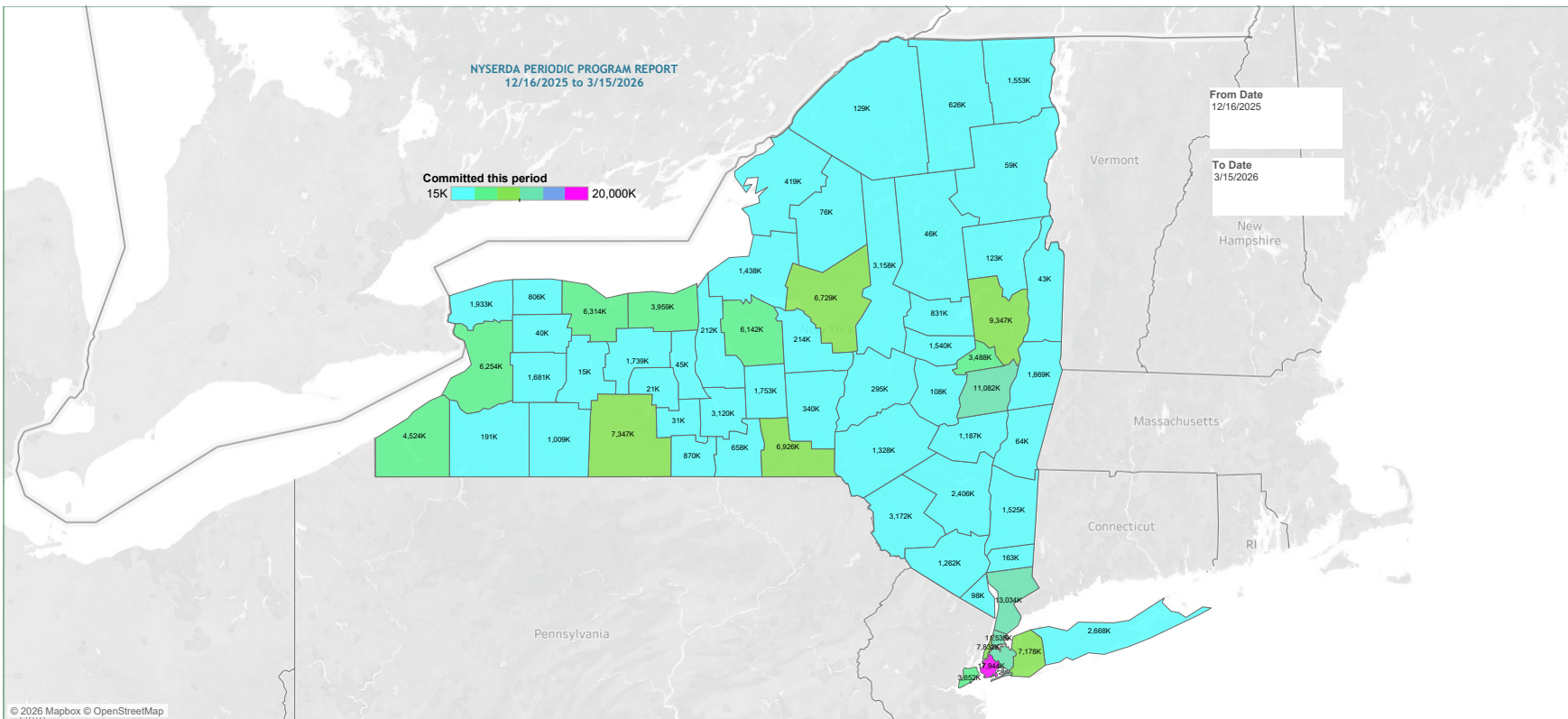
NYSDA PERIODIC PROGRAM REPORT
12/16/2025 to 3/15/2026

Committed this period
15K 20,000K

From Date
12/16/2025

To Date
3/15/2026

Report Type
 Procurement
 Program



Resolution No. ____

RESOLVED, that the Periodic Contracts Report, covering the period December 16, 2025 through March 15, 2026, as presented at this meeting, including but not limited to the contracts identified therein which have been, or are expected to be, executed and which do have, or are expected to have, a period of performance in excess of one year, is hereby approved in accordance with Public Authorities Law Section 2879.