

**NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY**

**CONFLICT OF INTEREST POLICY  
CODE OF CONDUCT  
FOR EMPLOYEES**

(~~April~~ January 2017~~6~~)

All Authority Employees shall be provided with this Conflict of Interest Policy and Code of Conduct as well as the relevant sections of Public Officers Law upon their employment with NYSERDA and are required to acknowledge that they have read, understand and are in compliance with the terms of the Policy and Public Officers Law on an annual basis. Employees should review on an ongoing basis circumstances that constitute a conflict of interest or the appearance of a conflict of interest and should abide by this policy and seek guidance when necessary and appropriate.

This policy is intended to supplement, but not replace, any applicable state and federal laws governing conflicts of interest applicable to Authority employees. Additional guidance and direction can be found in NYSERDA's policy and procedure manual. Legal guidance and direction is available through NYSERDA's Ethics Officer, who is an attorney in the Counsel's Office. Questions about a specific situation should be directed to your supervisor, the Director of Internal Audit, the Director of Human Resources, the Counsel's Office or NYSERDA Officers. Additionally, you may contact the New York State Joint Commission on Public Ethics directly about any ethics question or issue.

**DEFINITIONS**

**"Financial Interest"** means any note or other evidence of indebtedness, stock, stock option, bond, security, wage, salary, income or other compensation or right to compensation, or other financial interest or arrangement, including but not limited to trusts. The term "financial interest" does **not** include for example: (a) an interest in a personal checking, savings, or similar account; (b) an interest in a money market fund, mutual fund, unit bond trust, regulated investment company, or similar entity the portfolio of which is widely diversified; (c) an interest in a bona fide pension or retirement plan or life, health or accident insurance plan; or (d) government bonds.

**"Gift"** means anything that has a fair market value of more than \$15.00 including, but not limited to, money, services, loans, discounts, travel, lodging, meals, refreshments, entertainment, forbearance or a promise having a monetary value.

**"Inside Information"** means information which is obtained by NYSERDA for its public, corporate purposes only, and which, if used for non-public purposes by an employee or another person or organization to whom the information is conveyed, would give that person or organization an unfair advantage in a particular matter because that information is not available to members of the general public.

**"Interested Source"** means a person or entity that: (a) has contracts with, or seeks contracts with you or NYSERDA; (b) is a registered lobbyist or client of a lobbyist that lobbies NYSERDA; (c) is the spouse or the minor child of a registered lobbyist or client of a lobbyist that lobbies NYSERDA; (d) is involved in ongoing litigation that is adverse to you or NYSERDA; (e) has received or applied for funds from NYSERDA at any

time during the previous year up to and including the date of the proposed or actual receipt of the gift; or, (f) attempts to influence you or NYSERDA in an official action.

**“Participate or be involved in the decision making process”** means: (a) serving as a project manager or member of a technical review committee, or having other assigned duties or responsibilities for providing scientific, technical, policy, financial, or legal review or analysis or for contracting or other procurement action; (b) serving as a program manager or program director or otherwise having assigned supervisory duties or responsibilities; (c) serving as a member of the Program Development and Management Committee (PDMC); and (d) otherwise having any authority or assigned duty or responsibility to provide any approval or disapproval.

## **RULES**

**Conflicts of Interest:** A conflict of interest is a situation in which the financial, familial, or personal interests of an employee come into actual or perceived conflict with the duties and responsibilities as an employee of the Authority. Perceived conflicts of interest are situations where there is the appearance that an employee can personally benefit from actions or decisions made in their official capacity, or where an employee may be influenced to act in a manner that does not represent the best interests of the Authority. The perception of a conflict of interest may occur if circumstances would suggest to a reasonable person that an employee may have a conflict. The appearance of a conflict and an actual conflict should be treated in the same manner for the purposes of this Policy.

Employees must conduct themselves at all times in a manner that avoids any appearance that they can be improperly or unduly influenced, that they could be affected by the position of or relationship with any other party, or that they are acting in violation of the public trust. While it is not possible to describe or anticipate all of the circumstances that might involve a conflict of interest, a conflict of interest typically arises whenever an employee has or will have:

- A financial or personal interest in any person, firm, corporation or association which has or will have a transaction, agreement or any other arrangement in which the Authority participates;
- The ability to use his or her position, confidential information or the assets of the Authority, to his or her personal advantage;
- Solicited or accepted a gift of any amount under circumstances in which it could reasonably be inferred that the gift was intended to influence him/her, or could reasonably be expected to influence him/her, in the performance of his/her official duties or was intended as a reward for any action on his/her part.
- Any other circumstance that may or appear to make it difficult for the employee to exercise independent judgment and properly exercise his or her official duties.

**Financial Interests:** No employee may participate or be involved in the decision making process or take any official action with respect to any matter requiring the exercise of discretion, when he or she knows or has reason to know that the action could confer a direct or indirect financial or material benefit on himself or herself, a relative, or any organization in which he or she is deemed to have an interest. An employee should not retain or obtain a financial interest in any person or organization that does business with NYSERDA if the employee is, or is likely to be, participating in the decision making process at NYSERDA in a matter involving that person or organization.

**Gifts:** No gift of any value may be accepted if it would constitute a substantial conflict with the proper discharge of your duties. Additionally, Public Officers Law prohibits you from directly or indirectly soliciting, accepting, or receiving any gift that has a fair market value of more than \$15,000 where the circumstances would reasonably permit the inference that the gift was (a) intended to influence you, or could reasonably be expected to influence you, in the performance of your duties; or (b) was intended as a tip, reward, or sign of appreciation for your official duties. If you receive or are offered a gift, contact your Ethics Officer so they can make a determination as to whether or not you may accept it.

**Political Activity:** Employees are under no obligation to contribute to any political fund or perform any political service. Employees may not use job-related influence to force political activity on the part of others nor may others make the demand on them. You may not require employees or potential employees and contractors or potential contractors to reveal information on party affiliation or campaign contributions. Additionally, state offices may not be used for soliciting or collecting any political contributions. Should you intend to run for political office, you should obtain your supervisor's and Counsel's Office concurrence that the public office to be sought will not interfere with your official NYSERDA duties and is allowed under State and Federal law. Policymakers seeking public office for which more than nominal compensation will be paid must first obtain JCOPE approval.

**Outside Activities:** You may not engage in outside activities that would conflict with scheduled work hours or that would impair your judgment or compromise or interfere with your ability to properly perform your duties. You should consult with the Ethics Officer prior to engaging in any outside activity relating to the energy field or involving a NYSERDA contractor or potential contractor. You are strongly encouraged to consult with the Ethics Officer if you have any questions concerning potential conflicts of interest arising from other outside activities. You may not use NYSERDA time, materials, equipment or other assets in connection with outside activities.

NYSERDA employees who are designated policymakers must obtain approval before engaging in any outside activity if the amount to be earned exceeds \$1,000 annually. If the amount to be earned exceeds \$5,000 annually, JCOPE approval is also required. Designated policymakers must also obtain NYSERDA and JCOPE approval prior to serving as a director or officer of a for-profit entity, regardless of compensation. Additionally, designated policymakers must notify the NYSERDA Ethics Officer prior to serving as a director or officer of a not-for-profit entity, if annual compensation will be between \$0 - \$999.

**Reverse Two Year Bar:** For the first two years you work at NYSERDA, you should not participate or be involved in the decision-making process for NYSERDA in relation to any matter involving a person or business in the private sector by whom you were employed during the two years preceding NYSERDA service or employment.

**Use of Inside Information and Confidential Information:** You should not use inside information or information designated as confidential, proprietary or trade-secret to a third party information to benefit yourself or another person or organization. You should not provide inside or confidential-such information to any other person or organization, except in carrying out NYSERDA's corporate purposes. You should not give advice or make recommendations or suggestions to another person or organization on the basis of such inside information. Confidential, proprietary and trade-secret information acquired by you in the course of your official duties may not be disclosed to further your or another's personal interests, including financial interests. If NYSERDA executes a non-disclosure agreement (NDA) with an outside entity or individual, you, as an Employee of NYSERDA, are required to comply with its terms. Records in the possession of NYSERDA that are labeled -confidential, proprietary or trade-secret -shall be treated as

such by all Employees of NYSERDA. Any unauthorized disclosure of such information will result in disciplinary action and may result in termination.

**Use of NYSERDA Assets:** You are expected to use all equipment, materials, and other office property in a responsible manner. Employees should not use NYSERDA time, property, equipment, or supplies for personal use or private gain. This includes but is not limited to, NYSERDA's telephones, computer systems, office supplies, postage, internal office mail, inter-city couriers and vehicles. Certain personal use, such as the reasonable personal use of phones and e-mail, is not prohibited when it is incidental, necessary and limited in number and duration and does not conflict with the proper exercise of the employee's duties. NYSERDA's President and CEO has unrestricted use of NYSERDA fleet vehicles, as provided in and subject to the requirements of the NYS Division of Budget's Policy D-750 on State Vehicles. (*See Personnel Handbook Section 2.*)

**Endorsements:** No employee, in his or her capacity as an employee of NYSERDA, should endorse any product or process of a specific manufacturer or the service of any specific firm. This limitation does not preclude NYSERDA, as an institution, from making such an endorsement in carrying out its corporate purposes. (Use of materials, products or services by NYSERDA or an employee does not constitute an endorsement.) No employee should engage in any outside employment or outside activity which results or gives the appearance of the identification of NYSERDA with any product, process, or service for advertising, publicity, or sales purposes.

**Solicitation of Future Employment:** No employee, while participating or involved in the decision making process for NYSERDA with respect to a matter involving a particular person or organization, should discuss with that person or organization possible future employment.

**Discriminatory Practices or Harassment:** Discriminating against or harassing other employees or contractors on the basis of race, ethnicity, color, creed, sex, sexual orientation, gender identity, marital status, age, national origin, disability, military status, predisposing genetic characteristics, domestic violence victim status, or any other basis prohibited by law is strictly prohibited (*See Personnel Handbook Section 11.*)

**Controlled Substances and Alcohol:** The sale, attempt to sell, possession or purchase of non-prescribed controlled substances while at the workplace or while performing in a work-related capacity is prohibited. Employees also are prohibited from being impaired by controlled substances or alcohol while on the job or the work site. (*See Personnel Handbook Section 19.*)

## **PROCEDURES**

**Duty to Disclose:** All material facts related to the Rules listed above shall be disclosed in good faith to the Authority Ethics Officer as soon as an employee becomes aware of them so that an ethics opinion can be made in a timely fashion. Actual or potential conflicts of interest with individuals or entities doing business with NYSERDA should be disclosed to supervisory personnel immediately (*See Whistleblower Policy*).

**Determining Whether a Conflict of Interest Exists:** The Ethics Officer shall advise individuals who appear to have a conflict of interest how to proceed. The Ethics Officer should seek guidance from the Joint Commission on Public Ethics (JCOPE) when dealing with cases where they are unsure of what to do.

**Reporting of Violations:** You should remain alert to possible violations of law, policy, or public trust everywhere in NYSERDA. Section 55 of Article 4-A of the Executive Law requires all state officers and employees to promptly report to the State Inspector General any information concerning corruption, fraud, criminal activity, conflicts of interest or abuse by both another state officer or employee relating to his or her employment or by a person having business dealings with a covered agency relating to those dealings. You must cooperate in any official investigation of a violation. Retaliation against any employee who in good faith reports a violation of law, policy, or public trust is prohibited. (*See Personnel Handbook Section 11.*)

**Interpretation or Clarification:** An employee may submit a written request to the Ethics Officer for an interpretation or clarification of the provisions of this Policy.

**Waiver Requests:** There is a written process to request a waiver to the restrictions contained in the Reverse Two Year Bar and Financial Interest Rule. These waivers are granted in severely limited circumstances. To obtain more information on the waiver process, please contact the Authority Ethics Officer

**Penalties:** Any employee that fails to comply with this Policy may be penalized in the manner provided for in law, rules or regulations. This can include fines, suspension or removal from employment for knowing and intentional violations.

**Resources Available:**

Internal

Mark Mitchell, Director of Internal Audit

Jeff Pitkin, Internal Control Officer

Noah Shaw, General Counsel (and all other Counsel's Office Staff)

External

Fraud and Abuse Hotline 1-866-219-1122

NYS Joint Commission on Public Ethics 518-408-3976

NYS Commission on Public Integrity 1-800-87-ETHICS

NYS Office of the Inspector General 1-800-367-4448

## POST-EMPLOYMENT RESTRICTIONS

Post-Employment restrictions apply to all NYSERDA employees. These restrictions apply to part-time and seasonal employees and apply equally to a one-day or thirty-year hire.

**Two-Year Bar Restriction:** Applies for two years after you leave your State job for a non-governmental job and contains two different types of prohibitions:

(1) Appearance/Practice Prohibition

- a. Prohibits a former State employee from interacting (in person, on the phone, or via written or electronic correspondence) in his new job with any employee in his former agency in an attempt to influence an agency decision.
- b. Examples of prohibited work: (i) negotiating a contract with a former agency; (ii) submitting a response to a Request for Proposal from a former agency; (iii) requesting that the former agency take any action on a current or proposed project; (iv) submitting reports or filings to a former agency; (v) attending a meeting with a former agency; (vii) seeking information from a former agency that is not available to the public.
- c. Applies to both paid and unpaid (*pro bono*) work.

(2) Backroom Services Prohibition

- a. Prohibits a former State employee from working “behind the scenes” on a matter that is before his former agency.
- b. Examples of prohibited work: (i) helping to prepare an RFP response to a former agency; (ii) working on any reports or other submissions to a former agency; (iii) helping to prepare another person for a meeting with, or presentation to, a former agency.
- c. Applies to paid work only. (In other words, unpaid backroom services work is permissible.)

**Lifetime Bar Restriction:** Applies for your lifetime after you leave your State job for a non-governmental job.

- (1) Prohibits a former State employee from providing services in relation to any case, matter, proceeding, project, application, or transaction with which s/he was personally involved.
- (2) If the matter is before a New York State agency, you are prohibited from providing any type of services.
- (3) If the matter is before any other entity, you may only provide services if you receive no compensation.

Any specific questions related to the post-employment restrictions should be directed to your Ethics Officer or the Joint Commission on Public Ethics.

Resolution No. \_\_\_\_\_

RESOLVED, that the revised conflict of interest policy and code of conduct for Authority employees, as presented at this April 27, 2017 meeting, is adopted and approved.

Resolution No. \_\_\_\_\_

RESOLVED, that the proposed 2% salary increase retroactive to April 1, 2016 and the 4% salary increase effective April 1, 2017, payable as appropriate to the President and CEO, the Vice President for Innovation and Strategy, the Treasurer and Internal Control Officer, and the General Counsel and Secretary is hereby approved, subject to the issuance of a Budget Bulletin by the New York State Division of the Budget.



Resolution No. \_\_\_\_

RESOLVED, that pursuant to Section 105 of the Public Officers Law, the Members of the New York State Energy Research and Development Authority shall convene in executive session on April 27, 2017 for the purpose of discussing the employment history of a particular person.

Resolution No. \_\_\_\_\_

RESOLVED that pursuant to and in accordance with Section 1854(6)(a) of the Public Authorities Law, the Authority determines that in furtherance of the public's interest in safe, reliable and economical energy supplies, it is necessary or appropriate to dispose of all of the Authority's right, title and interest in the real and personal property which constitutes the Saratoga Technology + Energy Park; and it is further

RESOLVED that the net proceeds from the sale of all real and personal property located at Saratoga Technology + Energy Park shall be redirected to mission aligned Authority programs and initiatives and that such redirection of net proceeds furthers the public interest in safe, reliable and economical energy supplies; and it is further

RESOLVED, that the President and Chief Executive Officer or his designee is hereby authorized, pursuant to Public Authorities Accountability Act Section 2897(4), to enter into an agreement with the New York State commissioner of general services under which the commissioner may dispose of all of the Authority's right, title and interest in the real and personal property which constitutes the Saratoga Technology + Energy Park in compliance with the requirements of the Public Authorities Accountability Act, including the requirements to obtain at least fair market value and publicly advertise for bids, and under such other terms and conditions as agreed to by the President and Chief Executive Officer or his designee on behalf of the Authority and the commissioner of general services; and it is further

RESOLVED that the President and Chief Executive Officer or his designee is hereby authorized on behalf of the Authority to designate such agents, to take such actions and to incur such expenses for goods or services as are incidental and necessary and/or appropriate to the offering of the real and personal property which constitutes the Saratoga Technology + Energy Park for sale, including, but not limited to, obtaining an independent appraisal of the value of said real and personal property; and it is further

RESOLVED that pursuant to and in accordance with Public Authorities Accountability

Act Section 2897(6)(b)(iii), the President and Chief Executive Officer or his designee may reject all bids (including the highest bid) received through the public bid process established pursuant to the agreement entered into by and between the Authority and the commissioner of the office of general services irrespective of the fact that the highest bid represents the fair market value of said real and personal property, if such rejection is determined to be in the best interest of the Authority and the public interest to do so; and it is further

RESOLVED that the President and Chief Executive Officer or his designee is hereby authorized to approve any proposed purchase and sale agreement approved for use by the commissioner of general services for the sale of real and personal property located at Saratoga Technology + Energy Park, with such changes thereto as the President and Chief Executive Officer or his designee may deem necessary and/or appropriate; and it is further

RESOLVED that the President and Chief Executive Officer or his designee is hereby authorized, but is not required, to execute and deliver any purchase and sale agreement as hereinbefore described on behalf of the Authority and sell, transfer and convey the real and personal property located at Saratoga Technology + Energy Park, and all of the Authority's right, title and interest therein, on such terms and conditions, including, but not limited to, the purchase price (provided such purchase price is at least equal to fair market value), as he deems necessary and/or appropriate and in furtherance of the public's interest in safe, reliable and economical energy supplies, to perform all of the Authority's covenants and obligations under any such purchase and sale agreement, to execute and deliver such instruments, documents, agreements, certificates, affidavits, authorizations, consents and/or returns, and to take such further action as he deems necessary and/or appropriate to effectuate the transactions contemplated by any such purchase and sale agreement and/or the purposes of this resolution; and it is further

RESOLVED that the execution, delivery and performance on behalf of the Authority by the President and Chief Executive Officer or his designee of any and all of the foregoing shall be conclusive evidence of his approval and the approval of the Board of Directors, and the taking of any and all acts as may be necessary, advisable or proper to complete the transactions and/or matters herein authorized, on such terms and conditions as he heretofore has deemed, and may

now or at any time hereafter deem, acceptable, shall be conclusive evidence of his approval and the approval of the Board of Directors; and it is further

RESOLVED that any acts of the President and Chief Executive Officer or his designee which acts would have been authorized by the foregoing resolutions except that such acts were taken prior to the adoption of these resolutions are hereby severally ratified, confirmed, approved and adopted as acts in the name of and on behalf of the Authority.

## Resolution Honoring Dr. Elizabeth Thorndike

WHEREAS, Elizabeth D. Thorndike, Ph.D., served with distinction as a Member of the Authority for twenty years, including twenty years as a member of the Waste and Facilities Management Committee, including eighteen years as its Chair, and twenty years as a member of the Program Planning Committee; and

WHEREAS, Dr. Thorndike's tenure was marked by a constant and reliable dedication to furthering the mission of the New York State Energy Research and Development Authority, including efforts to ensure New York's continued national prominence in providing innovative solutions to State energy issues, as well as providing guidance regarding the stewardship of and litigation involving the Western New York Nuclear Service Center; and

WHEREAS, Dr. Thorndike's leadership and expertise helped to ensure the proper management and oversight of the Authority's nuclear and radioactive waste matters; and

WHEREAS, Dr. Thorndike's focus on identifying innovative approaches to preserving and protecting New York State's environment was invaluable to the Authority in furthering its Mission and led her to found the Center for Environmental Information as well as serve as a Commissioner of the Adirondack Park Agency; and

WHEREAS, Dr. Thorndike led efforts by the Members and staff to further enhance the Authority's research and development programs and to enhance the Authority's use of metrics to improve transparency and performance; and

WHEREAS, the Members of the Authority, assembled on this twenty-seventh day of April, two thousand seventeen, have unanimously voted to commend Dr. Thorndike for her distinguished service to the People of the State of New York and her contributions to the Authority and to memorialize that commendation;

NOW, THEREFORE, the Members of the New York State Energy Research and Development Authority commend Elizabeth D. Thorndike, Ph.D., and express their deepest appreciation and respect for her dedicated and distinguished service on behalf of the Authority.

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Richard L. Kauffman, NYSERDA Chair

## Resolution Honoring Jigar Shah

WHEREAS, Jigar Shah served with distinction as a Member of the Authority for three years, including three years as a member of the Audit and Finance Committee and the Program Planning Committee; and

WHEREAS, Mr. Shah's tenure was marked by a constant and reliable dedication to furthering the New York State Energy Research and Development Authority's continued implementation and delivery of comprehensive and collaborative Statewide programs that improve the energy, environmental, and economic wellbeing of the People of the State of New York; and

WHEREAS, Mr. Shah's leadership was instrumental in designing and providing guidance for implementation of the NY-Sun initiative, as well as providing guidance and insight in the furtherance of the Authority's efforts to establish a self-sustaining market for solar photovoltaic technologies in New York State; and

WHEREAS, Mr. Shah's consistent leadership has helped improve the Authority's financial oversight and programmatic efforts; and

WHEREAS Mr. Shah's dedication to the Authority and his advice and guidance have furthered the development of the Authority's programs that improve the energy, environmental, and economic wellbeing of the People of the State of New York; and

WHEREAS, Mr. Shah's insights have been instrumental in advancing the Authority's work in assisting businesses in the clean energy market; and

WHEREAS, the Members of the Authority, assembled on this twenty-seventh day of April, two thousand seventeen, have unanimously voted to commend Mr. Shah for his distinguished service to the People of the State of New York and his contributions to the Authority and to memorialize that commendation;

NOW, THEREFORE, the Members of the New York State Energy Research and Development Authority commend Jigar Shah and express their deepest appreciation and respect for his dedicated and distinguished service on behalf of the Authority.

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Richard L. Kauffman, NYSERDA Chair

## Resolution Honoring Audrey Zibelman

WHEREAS, the Honorable Audrey Zibelman, Chairwoman of the Public Service Commission, served with distinction as a Member of the New York State Energy Research and Development Authority for four years; and

WHEREAS, Chairwoman Zibelman's leadership and insight were instrumental in coordinating and harmonizing the environmental and energy-related missions and work of the Department and the Authority; and

WHEREAS, Chairwoman Zibelman's tenure was marked by close collaboration in the development of the New York State Clean Energy Fund and other efforts to maximize the environmental, energy, and economic benefits to the People of the State of New York; and

WHEREAS, the Members wish to recognize Chairwoman Zibelman for her service and diligence as a Member of the Authority and to memorialize her vision, dedication, and commitment to the Authority and the advancement of its mission;

NOW, THEREFORE, BE IT RESOLVED, that the Members of the New York State Energy Research and Development Authority, assembled on this twenty-seventh day of April, two thousand seventeen, commend the Honorable Audrey Zibelman, and express their deepest appreciation and respect for her contributions to the Authority and the betterment of the State of New York.

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Richard L. Kauffman, NYSERDA Chair

**PERIODIC CONTRACTS REPORT : Section 1 Summary**  
**12/16/2016 to 3/15/2017**

<b>Category</b>	<b>Report Type</b>	<b>Type</b>	<b>Count of Contracts</b>	<b>Committed this period</b>
<b>PCMT</b>	Procurement	Competitive	81	\$18,475,922
		Discretionary	38	\$955,772
		Non-Competitive	3	\$1,302,790
	<b>Total</b>		122	\$20,734,484
<b>PGRM</b>	Procurement	Competitive	3	\$6,659,410
		Non-Competitive	4	\$6,211,767
	<b>Total</b>		7	\$12,871,177
<b>Grand Total</b>			129	\$33,605,661



**Section 1 Summary - Percentages**

**% Contract Counts Competitively**

**% of \$Committed this period Competitively**

96.43%	93.41%
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NYSERDA PERIODIC CONTRACTS REPORT - 12/16/2016 to 3/15/2017

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	>200KW PV	Competitive	Y	104259	Hodgson Russ LLP	RFP 3300 Outside Counsel Servi	09/27/2016	\$10,000	\$10,000		
	ADVANCED ENERGY CODES	Competitive	Y	49731	New Buildings Institute, Inc.	NYStretch	10/15/2014	\$100,000	\$499,852	\$11,318	\$357,252
	CLEAN TRANSPORTATION	Non-Competiti..	Y	107657	New York Battery and Energy Storage	NYS Energy Storage Outreach	12/05/2016	\$150,000	\$150,000		
	COMBINED HEAT AND POWER	Discretionary	N	107287	Northeast Clean Heat & Power Initiative	CHP Conference/Expo Promotion	11/22/2016	\$6,000	\$6,000	\$6,000	\$6,000
		Discretionary	N	107288	New York Solar Energy Industries Associa	CHP Conference/Expo Promotion	11/22/2016	\$5,000	\$5,000	\$5,000	\$5,000
	ENERGY ANALYSIS	Non-Competiti..	N	108297	Regional Greenhouse Gas Initiative, Inc.	ENERGY ANALYSIS	12/20/2016	\$902,790	\$902,790	\$865,709	\$865,709
ENVIRONMENTAL RESEARCH	Competitive	Y	60444	Warren Pinnacle Consulting, Inc.	SLAMM adaptation	03/10/2015	\$97,918	\$364,318	\$8,104	\$261,313	
	Competitive	Y	100415	Research Foundation of CUNY	Integrated Air Quality Monitor	06/29/2016	\$199,730	\$199,730			
	Competitive	Y	100416	Research Foundation of SUNY	Combining Measurements and Mod	06/29/2016	\$382,848	\$382,848			
	Competitive	Y	100417	Research Foundation of SUNY	IDEA-NYS air quality forecast	06/29/2016	\$358,781	\$358,781			
	Competitive	Y	103851	SUNY - ESF	Biofiltration drainage media	09/20/2016	\$292,911	\$292,911			
	Competitive	Y	103852	Electric Power Research Institute	Climate & future electric syst	09/20/2016	\$299,652	\$299,652			
	Competitive	Y	103853	DNV GL Energy Services USA Inc.	Building-Resiliency Assessment	09/20/2016	\$177,965	\$177,965			
	Competitive	Y	103862	The Trustees of Columbia University	Tropical Cyclone Risk Model	09/20/2016	\$299,873	\$299,873			
	Competitive	Y	103918	The Trustees of Columbia University	Upstate building footprints	09/20/2016	\$292,829	\$292,829			
	Discretionary	Y	102959	ESF College Foundation, Inc.	Forest Biomass	08/25/2016	\$49,982	\$49,982			
	Discretionary	Y	108229	SUNY - ESF	Stat. Assess. Loon Monitoring	12/19/2016	\$49,962	\$49,962			
	EXISTING FACILITIES	Competitive	Y	30235	Willdan Energy Solutions	C&I Outreach	11/09/2012	\$147,000	\$11,873,283	\$135,261	\$10,027,730
Competitive		Y	30238	Luthin Associates, Inc.	C&I Buildings Outreach	11/09/2012	\$187,760	\$7,836,261	\$71,952	\$7,648,501	
Competitive		Y	37347	Willdan Energy Solutions	Technical Review and Program S	11/15/2013	\$115,584	\$2,595,149	\$289,552	\$1,898,866	
FLEXIBLE TECHNICAL ASSISTANCE	Competitive	Y	37401	Bergmann Associates	Technical Review and Program S	11/15/2013	\$100,000	\$884,096	\$56,549	\$586,101	
GJGNY REVOLVING LOAN FUND	Competitive	Y	36976	Adirondack North Country Association	Green Jobs Green New York Outr	11/06/2013	\$21,797	\$307,523	\$19,970	\$261,998	
	Competitive	Y	36977	El Puente	Green Jobs Green New York Outr	11/06/2013	\$29,540	\$363,555	\$24,801	\$293,920	
	Competitive	Y	36978	Pathstone Corporation	Green Jobs Green New York	11/06/2013	\$68,037	\$631,640	\$45,116	\$563,864	
	Competitive	Y	36979	Neighborhood Housing Services of Jamaica	Green Jobs Green New York Outr	11/06/2013	\$35,746	\$662,179	\$12,237	\$451,214	
	Competitive	Y	36980	Public Policy and Education Fund of NY	Green Jobs Green New York Outr	11/06/2013	\$43,634	\$361,474	\$19,159	\$317,808	
	Competitive	Y	36981	Affordable Housing Partnership	Green Jobs Green New York Outr	11/06/2013	\$20,362	\$494,117	\$21,760	\$425,722	
	Competitive	Y	36982	Rural Ulster Preservation Company	Green Jobs Green New York Outr	11/06/2013	\$94,625	\$1,060,346	\$69,665	\$962,693	
	Competitive	Y	36984	Neighborhood Housing Services Staten Isl	Green Jobs Green New York Outr	11/06/2013	\$37,470	\$229,351	\$14,357	\$169,687	

NYSERDA PERIODIC CONTRACTS REPORT - 12/16/2016 to 3/15/2017

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	GJGNY REVOLVING LOAN FUND	Competitive	Y	36985	People United for Sustainable Housing In	Green Jobs Green New York Outr	11/06/2013	\$126,163	\$837,891	\$30,522	\$657,701
		Competitive	Y	36986	Long Island Progressive Coalition	GJGNY Outreach CBO	11/06/2013	\$220,204	\$1,389,985	\$84,026	\$1,167,189
		Competitive	Y	37334A	CLEAResult Consulting, Inc.	GJGNY TIC	07/28/2015	\$145,000	\$678,554	\$44,808	\$528,034
		Competitive	Y	105451	Omnicap Group, LLC	Financial Advisory Services	10/21/2016	\$125,000	\$500,000	\$30,200	\$30,200
Industrial Process Effic	Competitive	Y	37378	Antares Group, Inc.	Technical Review and Program S	11/15/2013	\$12,242	\$22,380		\$8,808	
INDUSTRIAL PROCESS EFFICIENCY		Competitive	Y	30236	Energy & Resource Solutions, Inc.	Commercial & Industrial Outrea	11/09/2012	\$351,400	\$2,244,486	\$104,523	\$1,845,257
		Competitive	Y	30237	CHA Consulting Inc.	Commercial & Industrial Outrea	11/09/2012	\$756,200	\$4,024,437	\$140,946	\$3,274,693
		Competitive	Y	37368	Energy & Resource Solutions, Inc.	Technical Review and Program S	11/15/2013	\$212,516	\$1,120,648	\$41,917	\$646,081
INNOVATION CAPACITY & BUSINESS DEVELOP..	Competitive	Y	39066	Nixon Peabody LLP	Green Bank Outside Legal Serv.	01/21/2014	\$125,000	\$518,883	\$125,000	\$237,606	
LARGE SCALE RENEWABLES	Competitive	Y	104262	Holland & Knight LLP	RFP 3300 Outside Counsel Servi	09/27/2016	\$153,336	\$316,585	\$151,551	\$236,906	
Low-Income Forum on Energy		Discretionary	N	109967	Blue Pearl Hospitality LLC	2017 LIFE Regional Mtg. - LI	02/08/2017	\$9,000	\$9,000		
		Discretionary	N	110642	Gove Group, Inc.	LIFE 2017 Reg Mtg Reg Services	02/23/2017	\$19,886	\$19,886		
Mkt Char: Tech Assist	Competitive	Y	101127	Energy and Environmental Economics Inc.	Tech Assist, REV Pool	07/15/2016	\$100,000	\$280,000	\$65,525	\$158,292	
Multifam New Construction	Discretionary			111008	SUNY Research Foundation	2017 NYS Green Bldg Conf	03/03/2017	\$5,250	\$5,250		
NEW CONSTRUCTION PROGRAM	Competitive	Y	30183	Sustainable Performance Consulting, Inc.	Outrch and Pjct Consulting NCP	11/08/2012	\$1,500,000	\$17,688,264	\$1,201,932	\$15,620,948	
NEW YORK GREEN BANK		Competitive	N	104431	Randstad North America, L.P.	Temp Services	09/29/2016	\$87,760	\$121,374	\$38,558	\$70,106
		Competitive	N	108086	Randstad North America, L.P.	Temporary Services	12/15/2016	\$57,213	\$57,213	\$19,365	\$19,365
		Competitive	Y	39069	Loeb & Loeb LLP	Green Bank Outside Legal Couns	01/21/2014	\$132,899	\$324,394	\$132,899	\$324,394
		Competitive	Y	46963	Navigant Consulting Inc.	Outside Technical and Eng. Srv	09/05/2014	\$105,226	\$105,226		
		Discretionary	N	108973	Robert O Gurman	Gurman Contract Consulting	01/17/2017	\$50,000	\$50,000	\$1,344	\$1,344
		Discretionary	N	109655	Barry Frohlinger	Credit Training for NYGB Staff	02/01/2017	\$27,500	\$27,500		
NY-SUN		Competitive	N	110026	Randstad North America, L.P.	Temporary Services	02/09/2017	\$49,803	\$49,803	\$8,668	\$8,668
		Competitive	N	110029	Employee Leasing of Greater NY	Temporary Services	02/10/2017	\$59,543	\$59,543	\$3,573	\$3,573
		Competitive	Y	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	11/03/2016	\$4,671,751	\$4,671,751		
		Discretionary	N	110027	Solar Promotion International GmbH	2017 Intersolar Summit USAEast	02/10/2017	\$25,000	\$25,000	\$25,000	\$25,000
NYSERDA ADMINISTRATION		Competitive	N	71187	GCOM Software Inc.	Salesforce Implementation	08/13/2015	\$640,683	\$4,004,601	\$487,780	\$2,781,833
		Competitive	N	85029	GCOM Software Inc.	Staff Augmentation	12/18/2015	\$185,250	\$637,651	\$170,132	\$170,132
		Competitive	N	85030	TEKsystems Inc	Staff Augmentation	12/18/2015	\$222,300	\$222,301		
		Competitive	N	106682	nrastructure	nFrastructure-NE-Architect	11/15/2016	\$38,205	\$38,205	\$36,285	\$36,285

NYSERDA PERIODIC CONTRACTS REPORT - 12/16/2016 to 3/15/2017

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Competitive	N	108293	GCOM Software Inc.	Renewal: Salesforce.com	12/20/2016	\$1,600,908	\$1,600,908	\$1,600,908	\$1,600,908
		Competitive	N	108881	Randstad North America, L.P.	Temporary Services	01/10/2017	\$48,750	\$48,750	\$16,773	\$16,773
		Competitive	N	109508	Direct Packet Inc.	Direct Response 2017	01/30/2017	\$5,055	\$5,055		
		Competitive	N	110025	Randstad North America, L.P.	Temporary Services	02/09/2017	\$31,444	\$31,444	\$960	\$960
		Competitive	N	110336	Direct Packet Inc.	Video Conf. upgrade WV, Buff.	02/16/2017	\$15,892	\$15,892		
		Competitive	Y	32004	First Columbia Property Services, LLC	Property Management Services	04/16/2013	\$24,393	\$1,045,593	\$91,602	\$982,247
		Competitive	Y	38396	Northco Products, Inc.	Konica Minolta Copier	12/19/2013	\$10,000	\$20,000	\$2,328	\$12,259
		Competitive	Y	41144	Dowd-Witbeck Printing Corp.	Printing Services	04/23/2014	\$3,142	\$10,683	\$3,142	\$10,683
		Competitive	Y	85025	Tech Valley Talent, LLC	Staff Augmentation	12/18/2015	\$489,450	\$2,292,925	\$492,742	\$914,047
		Competitive	Y	96514	Randstad North America, L.P.	Temp Services Contract	04/21/2016	\$46,564	\$1,002,253	\$249,670	\$806,643
		Competitive	Y	106121	Gartner Inc.	Gartner Renewal 2017-2018	11/03/2016	\$1,060	\$35,321		
		Competitive	Y	107299	CLEARResult Consulting, Inc.	Phone Email & Web Support	11/28/2016	\$438,004	\$438,004	\$5,153	\$5,153
		Competitive	Y	107796	ESRI, Incorporated	ESRI ArcGIS Upgrade	12/12/2016	\$6,130	\$6,130	\$6,130	\$6,130
		Competitive	Y	109468	Oracle America, Inc.	Oracle Peoplesoft 2017 - 2018	01/27/2017	\$53,598	\$53,598		
		Competitive	Y	109507	SHI, Inc.	SQL Diagnostic Manager	01/30/2017	\$10,902	\$10,902		
		Competitive	Y	109648	SHI, Inc.	ADA-Compliance Subscription	02/01/2017	\$15,544	\$15,544	\$15,544	\$15,544
		Competitive	Y	109653	SHI, Inc.	Embarcadero Support 17 - 18	02/01/2017	\$9,966	\$9,966		
		Discretionary		107435	The Business Council of NYS, Inc.	Renewal of Membership	11/29/2016	\$5,706	\$5,706		
		Discretionary	N	104775	Employee Leasing of Greater NY	Temp Employee Services	10/11/2016	\$34,594	\$55,654	\$21,345	\$25,557
		Discretionary	N	107658	Low-Level Radioactive Waste Forum, Inc.	LLW Forum Annual Dues	12/05/2016	\$5,000	\$5,000	\$5,000	\$5,000
		Discretionary	N	108231	Ringlead, Inc.	RingLead Software - 3 years	12/19/2016	\$49,000	\$49,000	\$49,000	\$49,000
		Discretionary	N	110073	205 Wolf Holdings, LLC	2017 NYSERDA All-Staff Mtg	02/10/2017	\$5,000	\$5,000		
		Discretionary	N	110261	IIA Quality Sevices, LLC	Internal Audit Assessment	02/14/2017	\$25,000	\$25,000		
		Discretionary	Y	42410	Dowd-Witbeck Printing Corp.	Printing Services-MWBE	06/03/2014	\$1,519	\$22,584	\$1,519	\$22,584
		Discretionary	Y	68677	Commercial Investigations LLC	Background Checks	07/09/2015	\$10,000	\$20,000	\$2,098	\$8,679
		Discretionary	Y	108720	Systems Management Planning, Inc.	NetAppRenewal2240	01/05/2017	\$15,162	\$15,162	\$15,162	\$15,162
		Discretionary	Y	108816	Capital District Records Management, Inc	Media Storage & Destruction	01/09/2017	\$6,768	\$6,768	\$383	\$383
		Discretionary	Y	109078	Systems Management Planning, Inc.	VMWare Airwatch - 2017 - 2018	01/17/2017	\$7,657	\$7,657	\$7,657	\$7,657
		Discretionary	Y	109509	Network Experts of New York, Inc.	Hive Manager 2017 - 2018	01/30/2017	\$6,500	\$6,500	\$6,500	\$6,500

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Discretionary	Y	110028	Bizodo Inc	SeamlessDocs Licensing 17-18	02/10/2017	\$27,450	\$27,450	\$27,450	\$27,450
		Discretionary	Y	110323	Systems Management Planning, Inc.	Webex Services - Renewal 17-18	02/15/2017	\$25,101	\$25,101		
	NYSERDA Fixed Asset	Competitive	N	107793	Carbone Auto Group, LLC	Replacement vehicle for Admini	12/09/2016	\$45,630	\$45,630		
		Competitive	N	108822	Business Environments	workstations	01/09/2017	\$19,600	\$19,600		
		Competitive	N	110325	nrastructure	Equipment to Support Network	02/15/2017	\$8,280	\$8,280		
		Competitive	N	110813	Netanium Inc	Checkpoint Firewall Upgrade	02/28/2017	\$27,627	\$27,627		
		Competitive	N	111002	Netanium Inc	Check Point Webair for NEIS	03/03/2017	\$73,524	\$73,524		
	Off-Shore Wind Master Plan	Competitive	Y	106224	Darling Advertising Agency Inc.	Marketing Support for NYSERDA	11/03/2016	\$328,000	\$328,000	\$17,278	\$17,278
		Discretionary	N	110327	Foley Hoag, LLP	Off Shore Wind Legal Consultin	02/15/2017	\$25,000	\$25,000		
		Discretionary	Y	105963	NIRAS A/S	Off-Shore Wind Master Plan	11/01/2016	\$50,000	\$50,000	\$49,965	\$49,965
OTHER PROGRAM AREA	Competitive	Y	70333	Cornell University	76West Building a Clean Energy	08/03/2015	\$41,100	\$1,973,799	\$224,378	\$1,088,186	
	Competitive	Y	99297	Center for Sustainable Energy	ChargeNY ZEV Rebate Imp Contra	06/02/2016	\$4,964	\$554,964	\$33,260	\$155,232	
	Competitive	Y	104260	Bond, Schoeneck & King, PLLC	RFP 3300 Outside Counsel Servi	09/27/2016	\$7,500	\$7,500			
	Competitive	Y	106227	Essense Partners Inc.	Marketing Support for NYSERDA	11/03/2016	\$149,500	\$149,500	\$37,153	\$37,153	
	Competitive	Y	106541	BW Research Partnership	New York Clean Energy Jobs Stu	11/09/2016	\$278,956	\$278,956			
	Discretionary	N	70365A	Essense Partners Inc.	76West Marketing Consultant	12/08/2016	\$40,026	\$40,026	\$39,420	\$39,420	
	Discretionary	N	107800	Amerex Brokers LLC	OTHER PROGRAM AREA	12/13/2016	\$49,999	\$49,999			
	Discretionary	N	108070	GDS Associates, Inc.	Res Baseline Excel File Rework	12/14/2016	\$49,279	\$49,279			
	Discretionary	N	109345	Electric Energy Services & Advisors, LLC	OTHER PROGRAM AREA	01/24/2017	\$35,000	\$35,000	\$17,670	\$17,670	
	Discretionary	N	109714	Stony Brook Foundation - Advanced Energy	2018 Advanced Energy Conf	02/02/2017	\$100,000	\$100,000	\$100,000	\$100,000	
	Discretionary	N	109793	Direct Marketing Productions, Inc.	2017 arpa-e Sponsorship	02/03/2017	\$6,000	\$6,000			
POLICY DEVELOPMENT	Discretionary	Y	108667	JCB Specialties, Inc.	2016 DPS NYS Fair Mini Fans	01/04/2017	\$17,741	\$17,741	\$17,741	\$17,741	
Prof & Expert Engagement	Discretionary	N	107799	Green Light New York, Inc.	2017 BEEEx Membership	12/13/2016	\$50,000	\$50,000	\$50,000	\$50,000	
REC:CES REC System Dev Costs	Competitive	Y	101124	Sustainable Energy Advantage, LLC	Large Scales Renewables Techni	07/15/2016	\$299,997	\$299,997			
RENEWABLE HEAT NY	Competitive	Y	101132	NESCAUM	Tech Assist, REV Pool	07/15/2016	\$180,000	\$180,000			
	Discretionary	N	109297	New York Geothermal Energy Organization	2017 NY-GEO Conf. Sponsorship	01/23/2017	\$5,000	\$5,000	\$5,000	\$5,000	
SARATOGA TECHNOLOGY & ENERGY PARK	Competitive	N	104265	Harris Beach PLLC	RFP 3300 Outside Counsel Servi	09/27/2016	\$10,000	\$10,000	\$4,872	\$4,872	
	Competitive	Y	107762	Rosenblum Rubin Property Services, LLC	STEP Property Mgmt Services	12/07/2016	\$65,580	\$65,580	\$46,017	\$46,017	
SMART GRID SYSTEMS	Discretionary	N	109426	Robert O Gurman	NY Prize Stage 2 Scope of Wk	01/26/2017	\$22,500	\$22,500	\$22,500	\$22,500	

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Strategic Energy Manager	Competitive	Y	104542	The Cadmus Group, Inc.	RFQ 3183 The Cadmus Group Inc	10/05/2016	\$425,606	\$425,606		
	Subscription/Data Access	Discretionary	N	109600	CoStar Realty Information, Inc.	Database/subscription services	01/31/2017	\$21,250	\$21,250		
	WEST VALLEY DEVELOPMENT PROGRAM	Competitive	Y	104258	Sive Paget & Riesel PC	RFP 3300 Outside Counsel Servi	09/27/2016	\$7,500	\$7,500	\$2,723	\$2,723
		Competitive	Y	105183	McMahon and Mann Consulting Engineers	Engineering Services WV	10/20/2016	\$200,000	\$200,000		
		Discretionary	Y	91278	Brenda Sayers	WV Office Cleaning	02/24/2016	\$11,940	\$23,880	\$2,970	\$9,900
		Non-Competiti..	Y	107662	U.S. Nuclear Regulatory Commission	PO Payment of NRC License Fees	12/06/2016	\$250,000	\$250,000	\$62,214	\$62,214
PGRM	CLEANER GREENER COMMUNITIES	Competitive	Y	57261	City Of New York	CGC43226 - New York City	01/21/2015	\$400,000	\$3,931,250	\$24,917	\$466,206
	EXISTING FACILITIES	Competitive	Y	57724	Citi Realty Services	EFP/DMP2465 - Citigroup	01/26/2015	\$6,177,364	\$6,177,364		
	Greenhouse Light & Systems Eng	Non-Competiti..	Y	107301	Cornell University	Greenhouse Light & Systems Eng	11/28/2016	\$3,120,000	\$3,120,000		
		Non-Competiti..	Y	107303	Rensselaer Polytechnic Institute	Greenhouse Light & Systems Eng	11/28/2016	\$1,880,000	\$1,880,000		
	NEW YORK ENERGY STAR HOMES	Non-Competiti..	Y	28876A	CLEARResult Consulting, Inc.	Implementation and Support con	08/04/2015	\$1,200,000	\$4,045,747	\$309,934	\$2,661,559
	RENEWABLE THERMAL	Non-Competiti..	Y	ST2446	Clean Power Research	Power Clerk Database for NYSUN	12/20/2011	\$11,767	\$1,557,577	\$11,767	\$1,407,092
	WORKFORCE DEVELOPMENT	Competitive	Y	34657	Meister Consultants Group, Inc.	NY-SUN PVBOS Training and Educ	08/16/2013	\$82,046	\$4,243,862	\$293,159	\$3,012,222
Grand Total								\$33,605,661	\$108,969,366	\$8,615,070	\$66,768,057

Periodic Contracts Report: Contractor Addresses - 12/16/2016 to 3/15/2017

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
205 Wolf Holdings, LLC	d/b/a Radisson Hotel Albany	ALBANY	NY	12205	NYSBE				
Adirondack North Country Association	67 Main Street, Suite 201	SARANAC LAKE	NY	12983	NYSBE				
Affordable Housing Partnership	255 ORANGE ST	ALBANY	NY	12210	NYSBE				
Amerex Brokers LLC	One Sugar Creek Center Blvd. #700	Sugar Land	TX	77478	FSBE				
Antares Group, Inc.	7000 E GENESEE ST	FAYETTEVILLE	NY	13066	NYSBE				
Barry Frohlinger	1133 Park Ave	NEW YORK	NY	10128	NYSBE				
Bergmann Associates	224 Harrison St., Ste 602	SYRACUSE	NY	13202	NYSBE				
Bizodo Inc	30 Vandam St. F12	NEW YORK	NY	10013	NYSBE				
Blue Pearl Hospitality LLC	d/b/a Hilton Long Island/Huntington	MELVILLE	NY	11747	NYSBE				
Bond, Schoeneck & King, PLLC	22 CORPORATE WOODS BLVD	ALBANY	NY	12211	NYSBE				
Brenda Sayers	8870 ROUTE 240	WEST VALLEY	NY	14171	NYSBE				
Business Environments	1 WOODBURY BLVD	ROCHESTER	NY	14604	NYSBE				
BW Research Partnership	786 South Street, Unit 4	Wrentham	MA	02093	FSBE				
Capital District Records Management, Inc	PO BOX 4044	CLIFTON PARK	NY	12065	NYSBE				
Carbone Auto Group, LLC	5194 COMMERCIAL DR	YORKVILLE	NY	13495	NYSBE				
Center for Sustainable Energy	9325 Sky Park Court, Suite 100	San Diego	CA	92123	FSBE				
CHA Consulting Inc.	3 WINNERS CIR	ALBANY	NY	12205	NYSBE				
Citi Realty Services	388 Greenwich St., 13th Fl	NEW YORK	NY	10013	NYSBE				
City Of New York	1 Centre Street, 17th Floor	NEW YORK	NY	10007	NYSBE				
Clean Power Research	10 Glen Court	Napa	CA	94558	FSBE				
CLEAResult Consulting, Inc.	4301 Westbank Dr., A-150	Austin	TX	78746	FSBE				
Commercial Investigations LLC	130 REMSEN ST	COHOES	NY	12047	NYSBE	X			
Cornell University	Attn: Sponsored Financial Services	ITHACA	NY	14850	NYSBE				
	Entrepreneurship at Cornell	ITHACA	NY	14850	NYSBE				
CoStar Realty Information, Inc.	1331 L St. NW	Washington	DC	20005-4101	FSBE				
Darling Advertising Agency Inc.	181 CHRISTOPHER ST	NEW YORK	NY	10014	NYSBE				
Direct Marketing Productions, Inc.	d/b/a eventPower	Centreville	VA	20120	FSBE				
Direct Packet Inc.	909 Lake Carolyn Parkway, Suite 1800	Irving	TX	75039	FSBE				
DNV GL Energy Services USA Inc.	67 South Bedford St., Ste 201E	Burlington	MA	01803	FSBE				
Dowd-Witbeck Printing Corp.	d/b/a The Alchar Printing Group	TROY	NY	12180	NYSBE	X			

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
El Puente	211 S 4TH ST	BROOKLYN	NY	11211	NYSBE				
Electric Energy Services & Advisors, LLC	2 Stonebriar Drive	SLINGERLANDS	NY	12159	NYSBE				
Electric Power Research Institute	13014 Collections Center Drive	Chicago	IL	60693	FSBE				
Employee Leasing of Greater NY	d/b/a Distinctive Personnell, LLC	Red Bank	NJ	07701	FSBE	X	X	X	
Energy & Resource Solutions, Inc.	1430 BROADWAY STE 1	NEW YORK	NY	10018	NYSBE				
Energy and Environmental Economics Inc.	PO Box 87	San Francisco	CA	94104	FSBE				
ESF College Foundation, Inc.	1 FORESTRY DR	SYRACUSE	NY	13210	NYSBE				
ESRI, Incorporated	380 New York Street	Redlands	CA	92373	FSBE				
Essense Partners Inc.	125 Park Ave., 25th Floor	NEW YORK	NY	10017	NYSBE	X	X		
First Columbia Property Services, LLC	22 CENTURY HILL DR	LATHAM	NY	12110	NYSBE				
Foley Hoag, LLP	155 Seaport Boulevard	BOSTON	MA	02210	FSBE				
Gartner Inc.	PO Box 911319	Dallas	TX	75391-1319	FSBE				
GCOM Software Inc.	24 MADISON AVENUE EXT	ALBANY	NY	12203	NYSBE		X		
GDS Associates, Inc.	1181 Elm Street	Manchester	NH	03101	FSBE				
Gove Group, Inc.	226 Paul Street	Pittsburgh	PA	15211	FSBE				
Green Light New York, Inc.	31 CHAMBERS ST	NEW YORK	NY	10007	NYSBE				
Harris Beach PLLC	99 GARNSEY RD	PITTSFORD	NY	14534	NYSBE				
Hodgson Russ LLP	The Guaranty Building	BUFFALO	NY	14202	NYSBE				
Holland & Knight LLP	31 W 52ND ST	NEW YORK	NY	10019	NYSBE				
IIA Quality Sevices, LLC	1035 Greenwood Blvd., Suite 401	Lake Mary	FL	32746	FSBE				
JCB Specialties, Inc.	8 SAND CREEK RD	ALBANY	NY	12205	NYSBE				
Kelleher Samets Volk	212 Battery Street	Burlington	VT	05401	FSBE				
Loeb & Loeb LLP	345 PARK AVE	NEW YORK	NY	10154	NYSBE				
Long Island Progressive Coalition	90 PENNSYLVANIA AVE	MASSAPEQUA	NY	11758	NYSBE				
Low-Level Radioactive Waste Forum, Inc.	1619 12th Street	Washington	DC	20009	FSBE				
Luthin Associates, Inc.	535 Main Street	Allenhurst	NJ	07711	FSBE	X			
McMahon and Mann Consulting Engineers	2495 MAIN ST STE 43	BUFFALO	NY	14214	NYSBE				
Meister Consultants Group, Inc.	One Center Plaza, Ste 320	BOSTON	MA	02108	FSBE				
Navigant Consulting Inc.	30 S. Wacker Dr.	Chicago	IL	60606-7444	FSBE				
Neighborhood Housing Services of Jamaica	8970 162ND ST	JAMAICA	NY	11432	NYSBE				



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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Neighborhood Housing Services Staten Isl	770 CASTLETON AVE	STATEN ISLAND	NY	10310	NYSBE				
NESCAUM	89 South Street	BOSTON	MA	02111	FSBE				
Netanium Inc	116 John Street	LOWELL	MA	01852	FSBE				
Network Experts of New York, Inc.	407 VESPER CT	SLINGERLANDS	NY	12159	NYSBE	X	X		
New Buildings Institute, Inc.	1601 Broadway St	Vancouver	WA	98663	FSBE				
New York Battery and Energy Storage	Technology Consortium, Inc.	ALBANY	NY	12203	NYSBE				
New York Geothermal Energy Organization	7523 COUNTY HOUSE RD	AUBURN	NY	13021	NYSBE				
New York Solar Energy Industries Associa	533 WOODFORD AVE	ENDICOTT	NY	13760	NYSBE				
nrastructure	5 ENTERPRISE AVE	HALFMOON	NY	12065	NYSBE				
NIRAS A/S	Sortemosevej 19	Alleroed		DK3450	FSBE				
Nixon Peabody LLP	437 MADISON AVE	NEW YORK	NY	10022	NYSBE				
Northco Products, Inc.	12 WALKER WAY	ALBANY	NY	12205	NYSBE				
Northeast Clean Heat & Power Initiative	PO BOX 1000	NEW YORK	NY	10018	NYSBE				
Omnicap Group, LLC	437 MADISON AVE	NEW YORK	NY	10022	NYSBE				
Oracle America, Inc.	PO Box 203448	Dallas	TX	75320-3448	FSBE				
Pathstone Corporation	d/b/a Home Energy Solutions	ROCHESTER	NY	14607	NYSBE				
People United for Sustainable Housing In	271 GRANT ST	BUFFALO	NY	14213	NYSBE				
Public Policy and Education Fund of NY	2013 E. Genesee Street	SYRACUSE	NY	13210	NYSBE				
Randstad North America, L.P.	PO Box 2084	Carol Stream	IL	60132-2084	FSBE	X			
Regional Greenhouse Gas Initiative, Inc.	90 CHURCH ST	NEW YORK	NY	10007	NYSBE				
Rensselaer Polytechnic Institute	Research Adm & Finance, 4th Fl West Hall	TROY	NY	12180	NYSBE				
Research Foundation of CUNY	230 W 41ST ST., 7th Floor	NEW YORK	NY	10036	NYSBE				
Research Foundation of SUNY	257 FULLER RD	ALBANY	NY	12203	NYSBE				
Ringlead, Inc.	223 WALL ST # 144	HUNTINGTON	NY	11743	NYSBE				
Robert O Gurman	245 W 99TH ST	NEW YORK	NY	10025	NYSBE				
Rosenblum Rubin Property Services, LLC	PO BOX 38070	ALBANY	NY	12203	NYSBE				
Rural Ulster Preservation Company	289 FAIR ST	KINGSTON	NY	12401	NYSBE				
SHI, Inc.	5 W BANK ST	COLD SPRING	NY	10516	NYSBE				
Sive Paget & Riesel PC	460 PARK AVE	NEW YORK	NY	10022	NYSBE				
Solar Promotion International GmbH	Kiehnlestrasse 16	Pforzheim	BW	75172	FSBE				

Periodic Contracts Report: Contractor Addresses - 12/16/2016 to 3/15/2017

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Stony Brook Foundation - Advanced Energy	127 Engineering	STONY BROOK	NY	11790	NYSBE				
SUNY - ESF	200 Bray Hall, 1 Forestry Dr	SYRACUSE	NY	13210	NYSBE				
SUNY Research Foundation	Empire State Plaza	ALBANY	NY	12224	NYSBE				
Sustainable Energy Advantage, LLC	161 Worcester Rd., Ste 503	Framingham	MA	01701	FSBE				
Sustainable Performance Consulting, Inc.	1630 EMPIRE BLVD	WEBSTER	NY	14580	NYSBE	X			
Systems Management Planning, Inc.	1020 JOHN ST	WEST HENRIETTA	NY	14586	NYSBE	X			
Tech Valley Talent, LLC	1360 KANIA RD	AMSTERDAM	NY	12010	NYSBE	X			
TEKsystems Inc	41 State Street, Suite 612	ALBANY	NY	12207	NYSBE				
The Business Council of NYS, Inc.	12 CORPORATE WOODS BLVD	ALBANY	NY	12211	NYSBE				
The Cadmus Group, Inc.	100 5th Ave., Ste 100	Waltham	MA	02451	FSBE				
The Trustees of Columbia University	410 W 118TH ST	NEW YORK	NY	10027	NYSBE				
U.S. Nuclear Regulatory Commission	P.O. Box 979051	Saint Louis	MO	63197-9000	FSBE				
Warren Pinnacle Consulting, Inc.	P.O. Box 315	Waitsfield	VT	05673	FSBE				
Willdan Energy Solutions	55 BROADWAY	NEW YORK	NY	10006	NYSBE				

Periodic Contracts Report - Section 2 - Part 1: Anticipated Contracts in excess of \$1M - 12/16/2016 to 3/15/2017

Category	Type	Term > ..	Contract ID	Contractor	Contract Description	NEIS Reason Long N..	Date	Anticipated Amount	Total Contract Amount
PCMT	Competitive	Y	27733	Honeywell International Inc.	Program Implementation Service	Exercising Option to Renew	05/14/2012	\$1,500,000	\$14,767,557
	Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	Exercising Option to Renew	09/17/2012	\$2,694,017	\$14,899,806
	Competitive	Y	29226	Taitem Engineering, P.C.	MEPP Quality Assurance Contrac	Exercising Option to Renew	09/17/2012	\$219,336	\$2,758,875
	Competitive	Y	32004	First Columbia Property Services, LLC	Property Management Services	Added New Tasks	04/16/2013	\$15,576	\$1,061,169
	Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	Exercising Option to Renew	03/24/2015	\$929,577	\$2,749,956
	Competitive	Y	85025	Tech Valley Talent, LLC	Staff Augmentation	Added New Tasks	12/18/2015	\$882,965	\$3,175,890
	Competitive	Y	96514	Randstad North America, L.P.	Temp Services Contract	Added New Tasks	04/21/2016	\$177,851	\$1,180,104
	Competitive	Y	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	Added New Tasks	11/03/2016	\$278,000	\$4,949,751
	Non-Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	Exercising Option to Renew	09/17/2012	\$2,694,017	\$14,899,806
	Non-Competitive	Y	29226	Taitem Engineering, P.C.	MEPP Quality Assurance Contrac	Exercising Option to Renew	09/17/2012	\$219,336	\$2,758,875
	Non-Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	Exercising Option to Renew	03/24/2015	\$929,577	\$2,749,956
PGRM	Competitive	Y	20134A	Vidaris, Inc.	Technical Assistant Services..	Added New Tasks	06/20/2012	\$548,300	\$7,305,396
	Competitive	Y	29518	Honeywell International Inc.	QA Services HPWES and NYESH	Extend Contract Term	10/01/2012	\$48,000	\$3,129,503
	Competitive	Y	37383	CHA Consulting Inc.	Technical Review and Program S	Added New Tasks	11/15/2013	\$468,635	\$3,227,502
	Competitive	Y	57494	Albanese Organization, Inc.	CGC40329 - Albanese Dev. Corp.	New Agreement	01/22/2015	\$3,229,752	\$3,229,752
	Competitive	Y	70424	1366 Technologies	Project Silicon	New Agreement	08/04/2015	\$5,000,000	\$5,000,000
	Competitive	Y	98177	EES Pipeline Projects	EFP - EEPS2 Electric	New Agreement	05/10/2016	\$10,588,109	\$10,588,109
	Competitive	Y	100030	50 HYMC Owner LLC	NCP15018-50 HudsonYards-OFLT	New Agreement	06/22/2016	\$6,500,000	\$6,500,000
	Competitive	Y	109077	BOP SE, LLC	NCP15066 - 2 Manhattan West	Null	01/17/2017	\$4,437,725	\$4,437,725
	Non-Competitive	Y	110820	The Research Foundation for the State	NY Power Electronics Manuf	New Agreement	02/28/2017	\$23,000,000	\$23,000,000
	Non-Competitive	Y	110960	Brookhaven National Lab	HEX Beam Line	New Agreement	03/02/2017	\$25,000,000	\$25,000,000
<b>Grand Total</b>								<b>\$85,517,843</b>	<b>\$136,961,094</b>

Periodic Contracts Report - Section 2 - Part 1: Anticipated Contracts - 12/16/2016 to 3/15/2017

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Anticipated Amount	Total Contract Amount
PCMT	Competitive	Y	20896	Bergmann Associates	STEP Engineering, Design and C	10/19/2010	\$31,000	\$204,350
	Competitive	Y	27733	Honeywell International Inc.	Program Implementation Service	05/14/2012	\$1,500,000	\$14,767,557
	Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	09/17/2012	\$2,694,017	\$14,899,806
	Competitive	Y	29226	Taitem Engineering, P.C.	MEPP Quality Assurance Contrac	09/17/2012	\$219,336	\$2,758,875
	Competitive	Y	30472A	Fiber Technologies Networks LLC	NYSERDA ADMINISTRATION	01/17/2017	\$20,935	\$20,935
	Competitive	Y	32004	First Columbia Property Services, LLC	Property Management Services	04/16/2013	\$15,576	\$1,061,169
	Competitive	Y	34356	U.S. Geological Survey (Troy).	ALTM Stream Monitoring	08/07/2013	\$44,600	\$644,815
	Competitive	Y	37403	C.T. Male Associates Engineering,	Technical Review and Program S	11/15/2013	\$8,266	\$70,375
	Competitive	Y	39069	Loeb & Loeb LLP	Green Bank Outside Legal Couns	01/21/2014	\$85,790	\$410,184
	Competitive	Y	41144	Dowd-Witbeck Printing Corp.	Printing Services	04/23/2014	\$950	\$11,633
	Competitive	Y	46963	Navigant Consulting Inc.	Outside Technical and Eng. Srv	09/05/2014	\$44,175	\$149,402
	Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	03/24/2015	\$929,577	\$2,749,956
	Competitive	Y	85025	Tech Valley Talent, LLC	Staff Augmentation	12/18/2015	\$882,965	\$3,175,890
	Competitive	Y	92222	EES Pipeline Projects	ETAC-CI PVP Stem, Inc.	03/03/2016	\$25,000	\$25,000
	Competitive	Y	92223	EES Pipeline Projects	ETAC-CI PVP HT Lyons	03/03/2016	\$50,000	\$50,000
	Competitive	Y	92224	EES Pipeline Projects	ETAC-CI PVP for Lime Energy	03/03/2016	\$49,200	\$49,200
	Competitive	Y	96514	Randstad North America, L.P.	Temp Services Contract	04/21/2016	\$177,851	\$1,180,104
	Competitive	Y	101127	Energy and Environmental Economics Inc.	Tech Assist, REV Pool	07/15/2016	\$75,000	\$355,000
	Competitive	Y	101132	NESCAUM	Tech Assist, REV Pool	07/15/2016	\$66,284	\$246,284
	Competitive	Y	104557	Michaels Energy, Inc.	RFQ 3183 Michaels Energy	10/06/2016	\$300,000	\$300,000
	Competitive	Y	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	11/03/2016	\$278,000	\$4,949,751
	Competitive	Y	106226	Arch Street Communications, Inc.	Marketing Support for NYSERDA	11/03/2016	\$608,000	\$608,000
	Competitive	Y	106227	Essense Partners Inc.	Marketing Support for NYSERDA	11/03/2016	\$476,000	\$625,500
	Competitive	Y	107036	SHI, Inc.	Adobe Lifecycle 2016-2017	11/18/2016	\$5,521	\$5,521
	Competitive	Y	111530	Carahsoft Technology Corporation	DocuSign Licensing 17-18	03/15/2017	\$83,231	\$83,231
	Discretionary	Y	34356	U.S. Geological Survey (Troy).	ALTM Stream Monitoring	08/07/2013	\$44,600	\$644,815
	Discretionary	Y	42410	Dowd-Witbeck Printing Corp.	Printing Services-MWBE	06/03/2014	\$560	\$23,144
	Non-Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	09/17/2012	\$2,694,017	\$14,899,806
	Non-Competitive	Y	29226	Taitem Engineering, P.C.	MEPP Quality Assurance Contrac	09/17/2012	\$219,336	\$2,758,875
	Non-Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	03/24/2015	\$929,577	\$2,749,956
	Non-Competitive	Y	67434	Pratt Institute Center for Community & E	Pratt Standardized Retrofit	06/18/2015	\$997,932	\$997,932

Periodic Contracts Report - Section 2 - Part 1: Anticipated Contracts - 12/16/2016 to 3/15/2017

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Anticipated Amount	Total Contract Amount
<b>PCMT</b>	Non-Competitive	Y	92212	Green City Force	Career pathways training	03/03/2016	\$60,000	\$367,000
<b>PGRM</b>	Competitive	Y	20134A	Vidaris, Inc.	Technical Assistant Services..	06/20/2012	\$548,300	\$7,305,396
	Competitive	Y	29518	Honeywell International Inc.	QA Services HPwES and NYESH	10/01/2012	\$48,000	\$3,129,503
	Competitive	Y	37383	CHA Consulting Inc.	Technical Review and Program S	11/15/2013	\$468,635	\$3,227,502
	Competitive	Y	57494	Albanese Organization, Inc.	CGC40329 - Albanese Dev. Corp.	01/22/2015	\$3,229,752	\$3,229,752
	Competitive	Y	70424	1366 Technologies	Project Silicon	08/04/2015	\$5,000,000	\$5,000,000
	Competitive	Y	98177	EES Pipeline Projects	EFP - EEPS2 Electric	05/10/2016	\$10,588,109	\$10,588,109
	Competitive	Y	100030	50 HYMC Owner LLC	NCP15018-50 HudsonYards-OFLT	06/22/2016	\$6,500,000	\$6,500,000
	Competitive	Y	109077	BOP SE, LLC	NCP15066 - 2 Manhattan West	01/17/2017	\$4,437,725	\$4,437,725
	Non-Competitive	Y	110820	The Research Foundation for the State	NY Power Electronics Manuf	02/28/2017	\$23,000,000	\$23,000,000
	Non-Competitive	Y	110960	Brookhaven National Lab	HEX Beam Line	03/02/2017	\$25,000,000	\$25,000,000
<b>Grand Total</b>							\$88,550,287	\$142,208,599

MWBE Percentages

**% Of Contracts Awarded to M/WBE**

**% Of Funds Awarded to M/WBE**

37.50%

31.57%

QUALIFIED CONTRACTS PURSUANT TO EXECUTIVE LAW ARTICLE 15-A : 12/16/2016 to 3/15/2017

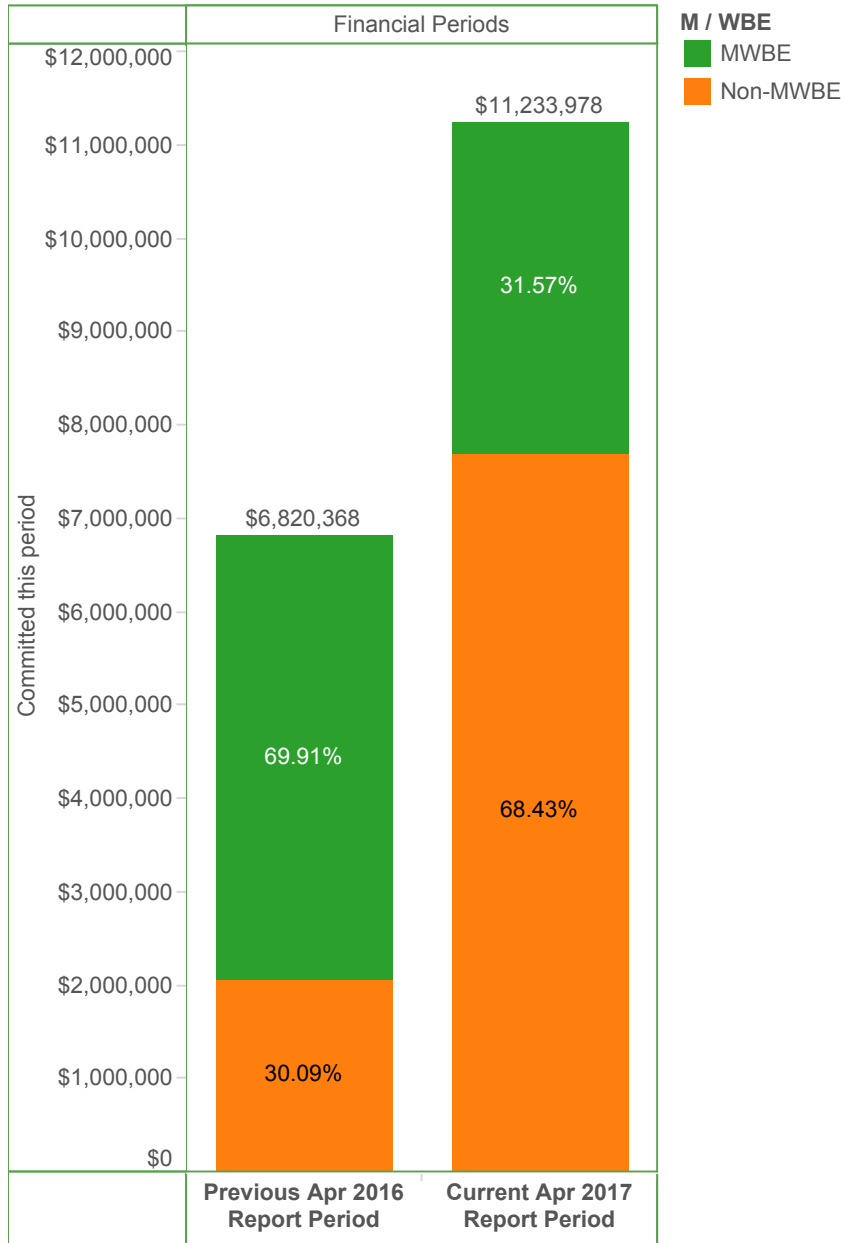
INDEX()	Contract ID	Contractor	Contract Description	Date	M / WBE	Committed this period	Committed to Date
1	32004	First Columbia Property Services, LLC	Property Management Services	04/16/2013		\$24,393	\$1,045,593
2	36979	Neighborhood Housing Services of Jamaica	Green Jobs Green New York Outr	11/06/2013		\$35,746	\$662,179
3	37334A	CLEARresult Consulting, Inc.	GJGNY TIC	07/28/2015		\$145,000	\$678,554
4	37368	Energy & Resource Solutions, Inc.	Technical Review and Program S	11/15/2013		\$228,743	\$3,396,767
5	39066	Nixon Peabody LLP	Green Bank Outside Legal Serv.	01/21/2014		\$125,000	\$518,883
6	46963	Navigant Consulting Inc.	Outside Technical and Eng. Srv	09/05/2014		\$105,226	\$105,226
7	70365A	Essense Partners Inc.	76West Marketing Consultant	12/08/2016	X	\$40,026	\$40,026
8	71187	GCOM Software Inc.	Salesforce Implementation	08/13/2015	X	\$640,683	\$4,004,601
9	85025	Tech Valley Talent, LLC	Staff Augmentation	12/18/2015	X	\$489,450	\$2,292,925
10	85029	GCOM Software Inc.	Staff Augmentation	12/18/2015	X	\$185,250	\$637,651
11	85030	TEKsystems Inc	Staff Augmentation	12/18/2015		\$222,300	\$222,301
12	96514	Randstad North America, L.P.	Temp Services Contract	04/21/2016	X	\$46,564	\$1,002,253
13	101124	Sustainable Energy Advantage, LLC	Large Scales Renewables Techni	07/15/2016		\$299,997	\$299,997
14	104262	Holland & Knight LLP	RFP 3300 Outside Counsel Servi	09/27/2016		\$153,336	\$316,585
15	104431	Randstad North America, L.P.	Temp Services	09/29/2016	X	\$87,760	\$121,374
16	104775	Employee Leasing of Greater NY	Temp Employee Services	10/11/2016	X	\$34,594	\$55,654
17	105183	McMahon and Mann Consulting Engineers	Engineering Services WV	10/20/2016		\$200,000	\$200,000
18	105451	Omnicap Group, LLC	Financial Advisory Services	10/21/2016		\$125,000	\$500,000
19	106121	Gartner Inc.	Gartner Renewal 2017-2018	11/03/2016		\$1,060	\$35,321
20	106224	Darling Advertising Agency Inc.	Marketing Support for NYSERDA	11/03/2016		\$328,000	\$328,000
21	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	11/03/2016		\$4,671,751	\$4,671,751
22	106227	Essense Partners Inc.	Marketing Support for NYSERDA	11/03/2016	X	\$149,500	\$149,500
23	106682	nrastructure	nFrastructure-NE-Architect	11/15/2016		\$38,205	\$38,205
24	107299	CLEARresult Consulting, Inc.	Phone Email & Web Support	11/28/2016		\$438,004	\$438,004
25	107662	U.S. Nuclear Regulatory Commission	PO Payment of NRC License Fees	12/06/2016		\$250,000	\$250,000
26	107762	Rosenblum Rubin Property Services, LLC	STEP Property Mgmt Services	12/07/2016		\$65,580	\$65,580
27	108086	Randstad North America, L.P.	Temporary Services	12/15/2016	X	\$57,213	\$57,213
28	108231	Ringlead, Inc.	RingLead Software - 3 years	12/19/2016		\$49,000	\$49,000
29	108293	GCOM Software Inc.	Renewal: Salesforce.com	12/20/2016	X	\$1,600,908	\$1,600,908
30	108881	Randstad North America, L.P.	Temporary Services	01/10/2017	X	\$48,750	\$48,750
31	108973	Robert O Gurman	Gurman Contract Consulting	01/17/2017		\$50,000	\$50,000

QUALIFIED CONTRACTS PURSUANT TO EXECUTIVE LAW ARTICLE 15-A : 12/16/2016 to 3/15/2017

INDEX()	Contract ID	Contractor	Contract Description	Date	M / WBE	Committed this period	Committed to Date
32	109468	Oracle America, Inc.	Oracle Peoplesoft 2017 - 2018	01/27/2017		\$53,598	\$53,598
33	110025	Randstad North America, L.P.	Temporary Services	02/09/2017	X	\$31,444	\$31,444
34	110026	Randstad North America, L.P.	Temporary Services	02/09/2017	X	\$49,803	\$49,803
35	110028	Bizodo Inc	SeamlessDocs Licensing 17-18	02/10/2017		\$27,450	\$27,450
36	110029	Employee Leasing of Greater NY	Temporary Services	02/10/2017	X	\$59,543	\$59,543
37	110261	IIA Quality Sevices, LLC	Internal Audit Assessment	02/14/2017		\$25,000	\$25,000
38	110323	Systems Management Planning, Inc.	Webex Services - Renewal 17-18	02/15/2017	X	\$25,101	\$25,101
39	110327	Foley Hoag, LLP	Off Shore Wind Legal Consultin	02/15/2017		\$25,000	\$25,000
<b>Grand Total</b>						<b>\$11,233,978</b>	<b>\$24,179,742</b>



**NYSERDA PERIODIC CONTRACTS REPORT -  
Contracts Executed or Modified  
For Report Periods: Apr 2016 Report Period  
and Apr 2017 Report Period  
Graph: MWBE Summary**



Note: One of the newly contracted full services marketing firms is still undergoing NYS MWBE certification, once that certification is final we will be able to count their contract actions, which will raise the % of the \$ committed to 73%.

Resolution No. \_\_\_\_

RESOLVED, that the Periodic Contracts Report, covering the period December 16, 2016 through March 15, 2017, as presented at this meeting, including but not limited to the contracts identified therein which have been, or are expected to be, executed and which do have, or are expected to have, a period of performance in excess of one year, is hereby approved in accordance with Public Authorities Law Section 2879.

Proposed Amendments to NYSERDA By-Laws Allowing the President of NY Green Bank to Delegate Signing Authority and Updating NY Green Bank's Name

ARTICLE VI, SECTION 5. The President of ~~the New York~~ Green Bank. The President of ~~the New York~~ Green Bank shall have power to execute contracts, agreements, or other instruments not encumbering Authority funds in excess of \$250,000; the power to execute contracts, agreements, or other instruments related to financial investments for any dollar amount, so long as the terms and conditions of such contracts, agreements, or other instruments are within parameters set by ~~the NYew York~~ Green Bank's Investment and Risk Committee; and the power to execute any modifications to Investment and Risk Committee-approved financial investment documents not encumbering Authority funds in excess of \$50,000. During the period of any absence or disability, the President of NY Green Bank shall have the power to assign his aforementioned powers to the Chief Operating Officer of NY Green Bank or one or more NY Green Bank Managing Directors for Investment and Portfolio Management, provided that such delegee is not the Managing Director assigned to the subject transaction.

ARTICLE VI, SECTION 6. The Managing Directors of ~~the New York~~ Green Bank. Each of the Managing Directors of ~~the New York~~ Green Bank shall have power to execute contracts, agreements, modifications, or other instruments not encumbering Authority funds in excess of \$50,000.

Resolution No. \_\_\_\_\_

RESOLVED, that the amendment to the Authority's By-laws, amending Sections 5 and 6 of Article VI, as presented at this April 27, 2017 meeting, is hereby approved by the Board.