



ANDREW M. CUOMO
Governor

NYSERDA

RICHARD L. KAUFFMAN
Chair

ALICIA BARTON
President and CEO

NOTICE OF MEETING AND AGENDA

June 19, 2019

TO THE GUESTS OF THE AUDIT AND FINANCE COMMITTEE:

PLEASE TAKE NOTICE that the one hundred fortieth (140th) meeting of the AUDIT AND FINANCE COMMITTEE of the New York State Energy Research and Development Authority will be held in the Authority's Albany Office at 17 Columbia Circle, Albany, New York, and in the Authority's New York City Office at 1359 Broadway, 19th floor, New York, New York, on Wednesday, June 26, 2019, commencing at 10:30 a.m., for the following purposes:

1. To consider the Minutes of the 139th meeting held on April 30, 2019.
2. To consider and act upon a resolution recommending approval of the Annual Investment Report of the Authority and the "*Investment Guidelines, Operative Policy and Instructions (June 2019)*."
3. To consider and act upon a resolution recommending approval of the Annual Investment Report for the NYSERDA OPEB (Other Post-Employment Benefits) Trust for the year ended March 31, 2019 and the "*Investment Policy Statement for the NYSERDA OPEB Trust (June 2019)*."
4. To receive the Financial Statements of the Authority and NY Green Bank for fiscal year 2018-19.
5. To consider and act upon a motion to enter into executive session for the purpose of reviewing the financial condition of the Authority.
6. To consider and act upon a resolution recommending approval of the Financial Statements of the Authority and NY Green Bank for fiscal year 2018-19.
7. To consider and act upon a resolution recommending approval of the appointment of the Authority's independent auditors for fiscal year 2019-20.

New York State Energy Research and Development Authority

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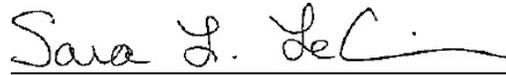
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8. To consider and act upon a resolution recommending approval of an annual bond sales report.
9. To receive a report on the Authority's Tax Reporting and Compliance.
10. To transact such other business as may properly come before the meeting.

Members of the public may attend the meeting at any of the above locations. Video conferencing will be used at all locations and the Authority will be posting a video of the meeting to the web within a reasonable time after the meeting. The video will be posted at <http://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

A handwritten signature in black ink, reading "Sara L. LeCain", written over a horizontal line.

Sara L. LeCain
Secretary to the Committee