



ANDREW M. CUOMO
Governor

NYSERDA

RICHARD L. KAUFFMAN
Chair

DOREEN M. HARRIS
President and CEO

REVISED NOTICE OF MEETING AND AGENDA

June 16, 2021

TO THE GUESTS OF THE NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY:

PLEASE TAKE NOTICE that the Annual Meeting (the 253rd) of the New York State Energy Research and Development Authority will be held via video conference, on Tuesday, June 22, 2021, commencing at 3:00 p.m. for the following purposes:

I. Discussion Agenda

1. To consider and act upon resolutions commending Members of the Authority.
2. To receive a report on the Authority's work in the North Country.
3. To consider appointments of Members to the Authority's various Committees.
4. To receive a report from the President and CEO.
5. To receive information from the Governance Committee:
 - a. To consider and act upon a motion to enter into private session for the purpose of reviewing the results of the Annual Confidential Board Member Performance Evaluation;
 - b. To consider and act upon a resolution approving the Annual Confidential Board Member Performance Evaluation Report.
6. To receive a report from the Waste and Facilities Management Committee.
7. To receive information from the Audit and Finance Committee:
 - a. To consider and act upon a resolution approving the Financial Statements of the Authority and NY Green Bank for fiscal year 2020-2021.
8. To receive a report from the Program Planning Committee.

New York State Energy Research and Development Authority

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II. Consent Agenda

1. To consider and act upon resolutions approving:
 - a. a compensation schedule;
 - b. compensation for the Authority's Officers;
 - c. the Annual Investment Report of the Authority and the *Investment Guidelines, Operative Policy and Instructions (June 2021)*;
 - d. the Annual Investment Report for the NYSERDA OPEB (Other Post-Employment Benefits) Trust for the year ended March 31, 2021 and the *Investment Policy Statement for the NYSERDA OPEB Trust (June 2021)*;
 - e. the appointment of the Authority's independent auditors for fiscal year 2021-2022;
 - f. an annual bond sales report;
 - g. appointing an Acting Internal Control Officer;
 - h. Charters for each of the Authority's Committees;
 - i. the Operations and Accomplishments, and Mission Statement and Measurements Annual Report;
 - j. the Annual Report on Acquisition and Disposition of Real and Personal Property, the *Real Property Acquisition Policy Guidelines (June 2021)*, and the *Guidelines, Operative Policy and Instructions for the Disposal of Real and Personal Property (June 2021)*;
 - k. the Annual Report on Contracts and the *Procurement and Program Contract Guidelines, Operative Policy and Instructions (June 2021)*;
 - l. the periodic contracts report; and
 - m. the annual report on the implementation of the Authority's prompt payment policy.

III. To transact such other business as may properly come before the meeting.

Members of the public may attend the meeting via the video conference which can be accessed at <https://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

The Authority will be posting a video and a transcript of the meeting to the web as soon as practicable after the meeting. The video and transcript will be posted at <http://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.



Peter J. Costello
Secretary

Resolution No. _____

RESOLVED, that pursuant to Section 108 of the Public Officers Law and Section 2800 of the Public Authorities Law, the Members shall convene in private session on June 22, 2021 for the purpose of reviewing the Annual Confidential Board Member Performance Evaluation of the Authority.

Resolution No. ____

RESOLVED, that the Authority's Annual Confidential Board Member Performance Evaluation Report as presented at this June 22, 2021 meeting is hereby approved to be submitted pursuant to Section 2800 of the Public Authorities Law.

**NEW YORK STATE ENERGY RESEARCH
AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)**

FINANCIAL STATEMENTS

March 31, 2021

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RESPONSIBILITY FOR FINANCIAL REPORTING

Management is responsible for the preparation, integrity and objectivity of the financial statements of the New York State Energy Research and Development Authority (the Authority), as well as all other information contained in the Authority's Annual Report. The financial statements of the Authority for the fiscal year ended March 31, 2021 were prepared in conformity with U.S. generally accepted accounting principles (U.S. GAAP). The Board of the Authority (the Board) adopted these financial statements and the Annual Report at a meeting on June 22, 2021.

The Authority maintains a system of internal controls, the objectives of which are to provide reasonable assurance as to the proper authorization and recording of transactions, the safeguarding of Authority assets, the compliance with applicable laws and regulations, and the reliability of financial records for preparing financial statements. The internal control structure is subject to periodic review by management, internal audit staff and the independent auditors. No internal control system can provide absolute assurance that errors and irregularities will not occur due to the inherent limitations of the effectiveness of internal controls; however, management strives to maintain a balance, recognizing that the cost of such system should not exceed the benefits derived.

The Authority's financial statements have been audited by KPMG LLP, independent auditors appointed by the Members of the Authority. Management has made available to the independent auditors all the financial records and related data of the Authority, as well as provided access to all the minutes of the meetings of the Board and its standing committees. The independent auditors periodically meet directly with the Audit and Finance Committee of the Board, which is comprised of Members who are not employees of the Authority.

The independent audit included obtaining an understanding of the internal control structure, tests of accounting records, and other procedures which the independent auditors considered necessary in order to express opinions as to the fairness of the presentation of the financial statements. No material weaknesses in internal control or any condition of non-compliance with applicable laws, regulations or policy were noted by the independent auditors through the execution of their audit procedures. The unmodified independent auditors' report attests that the financial statements are presented fairly, in all material respects, in accordance with U.S. GAAP.



Doreen M. Harris
President and Chief Executive Officer



Jeffrey J. Pitkin
Treasurer and Chief Financial Officer

Independent Auditors' Report

Members of the Authority
New York State Energy Research and Development Authority:

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the New York State Energy Research and Development Authority (a component of the State of New York) (the Authority), as of and for the year ended March 31, 2021, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the New York State Energy Research and Development Authority as of March 31, 2021, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with U.S. generally accepted accounting principles.

Other Matters

Prior-Year Comparative Information

We have previously audited the 2020 financial statements of the New York State Energy Research and Development Authority, and we expressed unmodified opinions on the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information in our report dated June 26, 2020. In our opinion, the summarized comparative information presented herein as of and for the year ended March 31, 2020, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Required Supplementary Information

U.S. generally accepted accounting principles require that management's discussion and analysis and the required supplementary information on pages — be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary and Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Authority's basic financial statements. The Responsibility for Financial Reporting section is presented for purposes of additional analysis and are not a required part of the basic financial statements. Such information has not been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, and accordingly, we do not express an opinion or provide any assurance on it.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated June XX, 2021 on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Authority's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

[(signed) KPMG LLP]

Albany, New York
June XX, 2021

New York State Energy Research and Development Authority
(A Component Unit of the State of New York)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited

The following Management's Discussion and Analysis (MD&A) of New York State Energy Research and Development Authority's (NYSERDA) financial performance provides an overview of NYSERDA's financial activities for the fiscal year ended March 31, 2021. The information contained in the MD&A should be considered in conjunction with the information presented as part of NYSERDA's basic financial statements. Following this MD&A are the basic financial statements of NYSERDA with the notes thereto that are essential to a full understanding of the data contained in the financial statements. NYSERDA's basic financial statements have the following components: (1) government-wide financial statements; (2) governmental fund financial statements; (3) proprietary fund financial statements; (4) fiduciary fund financial statements; and (5) notes to the basic financial statements.

The government-wide financial statements are designed to provide readers with a broad overview of NYSERDA's finances in a manner similar to a private-sector business. The *Statement of Net Position* presents information on all of NYSERDA's assets, deferred outflows of resources, liabilities, and deferred inflows of resources, and the difference between these is reported as net position. The *Statement of Activities* presents information showing how NYSERDA's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of the related cash flows. Thus, revenues and expenses are reported in the Statement for some items that will result in cash flows in future fiscal periods, or which already resulted in cash flows in a prior fiscal period. The government-wide financial statements present information about NYSERDA as a whole. All activities of NYSERDA are considered to be governmental activities, with the exception of the activities of NY Green Bank, which are considered business-type activities.

Governmental fund financial statements focus on near-term inflows and outflows of resources, as well as on balances of resources available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements. Because the focus of governmental funds is narrower than that of the government-wide statements, it is useful to compare the information presented for governmental activities in the government-wide financial statements. By doing so, the reader may better understand the long-term impact of the government's near-term financing decisions. The governmental funds *Balance Sheet* and the governmental funds *Statement of Revenues, Expenditures, and Changes in Fund Balances* provide a reconciliation to facilitate the comparison between governmental funds and governmental activities.

Proprietary fund financial statements provide information for business-type activities where NYSERDA charges fees to customers to recover costs of providing services. NY Green Bank is reported as a proprietary fund. The proprietary fund financial statements include a *Statement of Net Position*, a *Statement of Revenues, Expenses and Changes in Fund Net Position*, and a *Statement of Cash Flows*.

The fiduciary fund financial statements report assets held by NYSERDA in a fiduciary capacity for others and consist of a *Statement of Fiduciary Net Position* and a *Statement of Changes in Fiduciary Net Position*. These funds are not reflected in the government-wide financial statements because the resources of those funds are not available to support NYSERDA's programs.

The notes to the basic financial statements provide additional information that is essential for a full understanding of the information provided in the government-wide, governmental fund, proprietary fund, and fiduciary fund financial statements.

New York State Energy Research and Development Authority
(A Component Unit of the State of New York)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited

CONDENSED FINANCIAL INFORMATION

The following condensed financial information is presented from NYSERDA's government-wide financial statements:

(Amounts in thousands)

Summary of Net Position	Governmental Activities	Business-type Activities	Total March 31, 2021	Total March 31, 2020	% Change 2021-2020
Cash and investments	502,231	386,078	888,309	732,183	21.3%
Capital assets	12,470	-	12,470	14,235	-12.4%
Loans and financing receivables, net	219,353	572,700	792,053	641,332	23.5%
Other assets	94,954	3,242	98,196	36,324	170.3%
Total assets	829,008	962,020	1,791,028	1,424,074	25.8%
Deferred outflows of resources	26,926	4,289	31,215	12,334	153.1%
Other liabilities	225,244	927	226,171	210,768	7.3%
Non-current liabilities	154,056	3,930	157,986	142,017	11.2%
Total liabilities	379,300	4,857	384,157	352,785	8.9%
Deferred inflows of resources	9,438	1,428	10,866	4,492	141.9%
<u>Net Position:</u>					
Net investment in capital assets	12,469	-	12,469	14,234	-12.4%
Restricted	449,337	960,024	1,409,361	1,058,856	33.1%
Unrestricted	5,390	-	5,390	6,041	-10.8%
Total net position	467,196	960,024	1,427,220	1,079,131	32.3%

Total assets increased \$367.0 million (25.8%). Cash and investments increased \$156.1 million (21.3%) almost entirely due to NY Green Bank cash flows. Loans and financing receivables increased \$150.7 million (23.5%) primarily due to an increase in NY Green Bank Loans and financing receivables outstanding. Other assets increased \$61.9 million (170.3%) primarily due to a \$54.9 million increase in the Zero-emission credit (ZEC) accrued program receivable (based on generation expenses to be recovered) for current compliance year amounts not yet billed due to a change in billing methodology agreed to with load serving entities, beginning with FY 2020-21.

Deferred outflows of resources increased by \$18.9 million (153.1%) primarily due to an increase in the actuarially-determined deferred outflows related to pension and other post-employment benefits (OPEB) reflecting large unrealized losses in fair market value of investments at March 31, 2021 associated with the global Covid-19 pandemic outbreak.

Total liabilities increased \$31.4 million (8.9%). Non-current liabilities increased \$16.0 million (11.2%) primarily due to an increase in the net pension liability, offset in part by decrease in the net bonds payable as a result of scheduled principal payments and bond redemptions, and new bonds issued in FY 2020-21. Other liabilities increased by \$15.4 million (7.3%); primarily a result of accrued liabilities for invoices not yet received, as well as an increase in deposits held pursuant to CES program bids and contract security provisions.

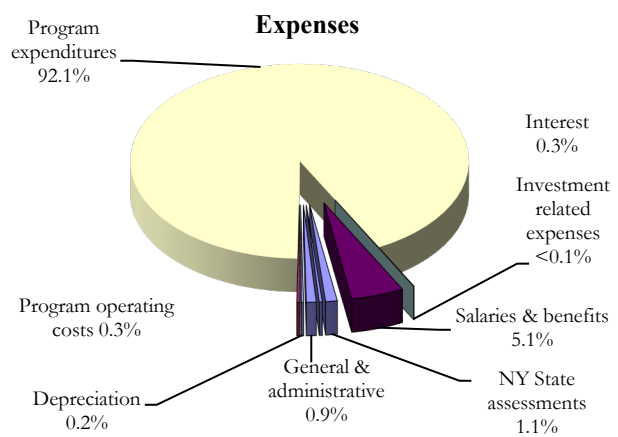
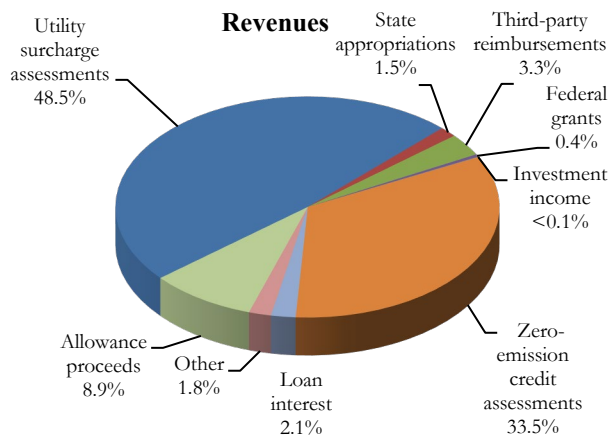
Deferred inflows of resources increased by \$6.4 million (141.9%) principally due to favorable experience results compared to actuarial assumptions related to OPEB.

Net position increased \$348.1 million (32.3%) principally due to an increase in NY Green Bank's funded capitalization.

New York State Energy Research and Development Authority
(A Component Unit of the State of New York)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited

(Amounts in thousands)

Summary of Changes in Net Position	Governmental Activities	Business-type Activities	Total March 31, 2021	Total March 31, 2020	% Change 2021-2020
Revenues:					
State appropriations	23,286	-	23,286	29,432	-20.9%
Utility surcharge assessments	424,700	336,982	761,682	568,910	33.9%
Renewable energy credit assessments	6,969	-	6,969	5,613	24.2%
Zero-emission credit assessments	526,518	-	526,518	528,346	-0.3%
Allowance proceeds	140,560	-	140,560	112,024	25.5%
Third-party reimbursements	51,283	-	51,283	36,896	39.0%
Federal grants	5,514	-	5,514	2,910	89.5%
Interest subsidy	356	-	356	402	-11.4%
Loans and financing receivables interest	8,096	24,326	32,422	26,351	23.0%
Investment income	486	215	701	18,338	-96.2%
Other program revenue	16,854	5,284	22,138	7,219	206.7%
Total revenues	1,204,622	366,807	1,571,429	1,336,441	17.6%
Expenses:					
Salaries and benefits	53,268	8,510	61,778	56,808	8.7%
Program expenditures	1,125,497	455	1,125,952	1,164,321	-3.3%
Investment related expenses	-	585	585	399	46.6%
Program operating costs	1,796	1,761	3,557	3,101	14.7%
General & administrative costs	9,896	1,570	11,466	9,529	20.3%
Depreciation	2,516	147	2,663	2,976	-10.5%
NY State assessments	13,445	149	13,594	13,593	0.0%
Interest	3,745	-	3,745	4,235	-11.6%
Total expenses	1,210,163	13,177	1,223,340	1,254,962	-2.5%
Change in net position	(5,541)	353,630	348,089	81,479	327.2%
Net position, beginning of year	472,737	606,394	1,079,131	997,652	n/a
Net position, end of year	467,196	960,024	1,427,220	1,079,131	32.3%



Total revenue increased \$235.0 million (17.6%). State appropriation revenue decreased by \$6.1 million (-20.9%) due to a decrease in reimbursable expenditures across various energy and environmental program initiatives. Utility surcharge assessments revenue increased by \$192.8 million (33.9%) principally as a result of further NY Green Bank capitalization. REC proceeds increased by \$1.4 million (24.2%) due to an increase in proceeds from the sale of RECs. ZEC revenues decreased by \$1.8 million (-0.3%) consistent with Public Service Commission ordered assessments and related generation by nuclear plants. Allowance proceeds increased by \$28.5 million (25.5%) due to the average quarterly auction sale prices being 25% higher than in

New York State Energy Research and Development Authority
(A Component Unit of the State of New York)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited

the prior fiscal year. Third-party reimbursements increased by \$14.4 million (39.0%), principally due to higher revenues in the Con Edison Indian Point Energy Center Reliability Contingency (IPEC), National Fuel Gas, and Clean Transportation Volkswagen Settlement Agreement. Federal grants revenue increased \$2.6 million (89.5%) primarily due to an increase in reimbursable expenses for several Federal grants. Loans and financing receivables interest income increased \$6.1 million (23.0%) entirely as a result of additional NY Green Bank loan interest. Total investment income decreased \$17.6 million (-96.2%) primarily due to lower yields, including the effect on yield of a much lower average term to maturity of investments.

Total expenses decreased \$31.6 million (-2.5%). Program expenditures decreased \$38.4 million (-3.3%) primarily due to the close-out of the EEPS and New York Energy Smart programs in February of 2020. Salaries and benefits expense increased \$5.0 million (8.7%) primarily due to a large increase in pension expense associated principally with a change in the discount rate assumed by the NYS Employee Retirement System. Compensated absences and OPEB expenses were also higher than in the prior fiscal year. Salary expense was slightly lower than in the previous year. Program operating and General and administrative expenses increased by \$2.4M (18.9%) principally due to higher temporary staffing costs.

FINANCIAL ANALYSIS OF FUNDS

Total fund balances for the governmental funds decreased from \$593.4 million to \$592.1 million as further described below:

- The CEF fund balance increased from \$79.9 million to \$88.8 million principally due to transfers in from the RGGI fund, offset in large part by expenditures exceeding revenues.
- The NY-Sun fund balance decreased from \$36.5 million to \$27.6 million primarily due to a decrease in BAYG revenues.
- The CES fund balance increased from (\$8.0) million to (\$6.8) million primarily due to 'transfers-in' to fund budgeted administrative and similar costs which were expended at a lower rate than anticipated.
- The RGGI fund balance decreased from \$134.3 million to \$86.5 million principally as a result of planned transfers to the CEF and GJGNY funds.
- The GJGNY fund balance increased from \$259.2 million to \$289.3 million principally due to planned transfers in from the RGGI fund to support issuance of additional new loans.
- The aggregated Other Funds fund balance increased from \$91.5 million to \$106.7 million principally due to BAYG revenues for the Energy Storage program, as well as revenues received in advance of expenditures for the Indian Point Energy Reliability Contingency program and Clean Transportation Volkswagen Settlement Agreement.

Total net position for the proprietary fund was \$960.0 million at March 31, 2021, as described below:

- NY Green Bank's net position increased by \$353.6 million (58.3%) primarily due to additional capitalization pursuant to BAYG, as well as operating revenues exceeding operating expenses by \$16.9 million. Operating revenues increased by \$7.7 million primarily due to an increase in interest earned on Loans and financing receivables. Operating expenses increased by \$2.0 million primarily due to an increase in staffing, program operating costs.

CAPITAL ASSET AND DEBT ADMINISTRATION

NYSERDA maintains land, buildings, and furniture and equipment in various locations for its corporate purposes. Total capital assets as of March 31, 2021 were \$12.5 million, net of accumulated depreciation. Capital asset additions for the fiscal year ended March 31, 2021 were \$0.9 million, primarily for information technology upgrades as well as furniture, fixtures and equipment.

**New York State Energy Research and Development Authority
(A Component Unit of the State of New York)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited**

Total non-current liabilities increased \$16.0 million (11.2%) primarily due to an increase in the net pension liability, offset in part by decrease in the net bonds payable as a result of scheduled principal payments and bond redemptions, and new bonds issued in FY 2020-21.

NYSERDA also issues tax-exempt bonds on a conduit basis on behalf of utility companies to finance certain eligible projects. As of March 31, 2021, approximately \$1.5 billion of bonds are outstanding. These bonds are non-recourse bonds and, as such, are not included in NYSERDA's financial statements.

ECONOMIC FACTORS

On behalf of the State, NYSERDA manages the Western New York Nuclear Service Center in West Valley, New York, the site of a former plant for reprocessing used nuclear fuel. Depending upon the clean-up options selected and agreement on cost sharing with the federal government, these costs could be substantial. It is anticipated that New York State's share of future costs for the West Valley site will be provided by New York State to NYSERDA and will not impact NYSERDA's current funding. As permitted by Governmental GASB Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*, no liability has been recorded in NYSERDA's financial statements for this contingency due to the expected recoveries from New York State.

NYSERDA's programs are impacted by a number of factors including, but not limited to, general economic conditions, energy prices, energy system reliability, and energy technology advancements. Revenues in the RGGI program in particular can be highly sensitive to some of the aforementioned factors.

COVID-19 IMPACTS

In response to the COVID-19 health crisis, NYSERDA moved its workforce to work remotely effective March 16, 2020 and was able to maintain effective communications and carry out its activities without any disruption through March 31, 2021. NYSERDA's program activities are largely carried out through agreements with more than 1,900 clean energy companies, consultants, and contactors, many of whom were impacted by the "New York State on Pause" Executive Order which directed non-essential businesses in New York State to close in-office personnel functions effective March 22. These organizations were eligible to resume operations after March 31, 2020 in accordance with Federal, State and local government guidelines. Given the timing of the suspension of programmatic activities, this did not materially impact programmatic activities and accomplishments for the fiscal year ending March 31, 2021. The COVID-19 health crisis had limited impacts on certain financial performance for the fiscal year ended March 31, 2021, but has not had a material impact on the financial condition of NYSERDA as of March 31, 2021.

CONTACT FOR NYSERDA'S FINANCIAL MANAGEMENT

This report is designed to provide a general overview of the finances of NYSERDA for interested parties. Questions concerning any information within this report or requests for additional information should be addressed to Jeffrey J. Pitkin, Treasurer and Chief Financial Officer, NYSERDA, 17 Columbia Circle, Albany, NY 12203.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Net Position
March 31, 2021
(with summarized comparative totals for March 31, 2020)
(Amounts in thousands)

	Governmental Activities	Business-type Activities	Total March 31, 2021	Total March 31, 2020
<u>ASSETS:</u>				
Current assets:				
Cash and investments	\$502,231	386,078	888,309	732,183
New York State receivable	8,214	-	8,214	8,451
Third-party billings receivable	70,685	27	70,712	14,459
Interest receivable on loans	871	3,215	4,086	3,746
Loans and financing receivables due within one year, net	18,816	75,787	94,603	72,424
Prepaid expense	3,386	-	3,386	2,706
Other assets	11,798	-	11,798	6,962
Total current assets	616,001	465,107	1,081,108	840,931
Non-current assets:				
Loans and financing receivables- long-term, net	200,537	496,913	697,450	568,908
Capital assets, net of depreciation	12,470	-	12,470	14,235
Total non-current assets	213,007	496,913	709,920	583,143
Total assets	829,008	962,020	1,791,028	1,424,074
<u>DEFERRED OUTFLOWS OF RESOURCES:</u>	26,926	4,289	31,215	12,334
<u>LIABILITIES:</u>				
Current liabilities:				
Non-current liabilities due within one year	13,690	-	13,690	13,275
Accounts payable	9,617	94	9,711	7,572
Accrued liabilities	184,559	372	184,931	174,547
Unearned revenue	3,091	-	3,091	3,251
Deposits	27,977	461	28,438	25,398
Total current liabilities	238,934	927	239,861	224,043
Non-current liabilities:				
Bonds payable	109,027	-	109,027	111,408
Other non-current liabilities	31,339	3,930	35,269	17,334
Total non-current liabilities	140,366	3,930	144,296	128,742
Total liabilities	379,300	4,857	384,157	352,785
<u>DEFERRED INFLOWS OF RESOURCES:</u>	9,438	1,428	10,866	4,492
<u>NET POSITION:</u>				
Net investment in capital assets	12,469	-	12,469	14,234
Restricted for specific programs	449,337	960,024	1,409,361	1,058,856
Unrestricted	5,390	-	5,390	6,041
Total net position	\$467,196	960,024	1,427,220	1,079,131

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Activities
For the year ended March 31, 2021
(with summarized comparative totals for March 31, 2020)
(Amounts in thousands)

	Governmental Activities								Business-type Activities	Total March 31, 2021	Total March 31, 2020
	Functions/Programs										
	CEF	NY-Sun	CES	RGGI	Energy Analysis	West Valley	Other	Total	NY Green Bank		
EXPENSES:											
Salaries and benefits	\$24,833	2,400	5,045	5,397	4,693	2,484	8,416	53,268	8,510	61,778	56,808
Program expenditures	246,210	119,917	550,561	89,956	7,117	10,945	100,791	1,125,497	455	1,125,952	1,164,321
Investment related expenses	-	-	-	-	-	-	-	-	585	585	399
Program operating costs	133	1	5	-	918	116	623	1,796	1,761	3,557	3,101
General & administrative expenses	4,615	448	938	1,004	860	466	1,565	9,896	1,571	11,467	9,529
Depreciation	1,189	413	216	94	81	48	475	2,516	147	2,663	2,976
NY State assessments	2,951	1,395	6,381	1,085	153	161	1,319	13,445	149	13,594	13,593
Interest	-	-	-	-	-	-	3,745	3,745	-	3,745	4,235
Total expenses	279,931	124,574	563,146	97,536	13,822	14,220	116,934	1,210,163	13,178	1,223,341	1,254,962
REVENUES:											
<i>Operating grants and contributions</i>											
State appropriations	-	-	-	667	150	13,650	8,819	23,286	-	23,286	29,432
Utility surcharge assessments	220,131	99,377	-	-	9,969	-	95,223	424,700	336,981	761,681	568,910
Renewable energy credit proceeds	-	-	6,969	-	-	-	-	6,969	-	6,969	5,613
Zero-emission credit assessments	-	-	526,518	-	-	-	-	526,518	-	526,518	528,346
Allowance auction proceeds	-	-	-	140,560	-	-	-	140,560	-	140,560	112,024
Third-party reimbursements	14,701	-	-	109	-	2,325	34,148	51,283	-	51,283	36,896
Federal grants	-	-	-	-	3,091	-	2,423	5,514	-	5,514	2,910
Interest subsidy	-	-	-	-	-	-	356	356	-	356	402
<i>Charges for services</i>											
Project repayments	-	-	-	-	-	-	361	361	-	361	68
Rentals from leases	-	-	-	-	-	-	1,009	1,009	-	1,009	1,026
Fees and other income	-	-	15,248	-	-	-	236	15,484	5,284	20,768	6,125
Loans and financing receivables interest	-	-	-	-	-	-	8,096	8,096	24,327	32,423	26,351
<i>Other</i>											
Investment income	92	39	57	93	-	-	205	486	216	702	18,338
Total revenues	234,924	99,416	548,792	141,429	13,210	15,975	150,876	1,204,622	366,808	1,571,430	1,336,441
Increase (decrease) in net position before transfers	(45,007)	(25,158)	(14,354)	43,893	(612)	1,755	33,942	(5,541)	353,630	348,089	81,479
Transfers	50,429	15,643	14,855	(92,347)	-	-	11,420	-	-	-	-
Change in net position	5,422	(9,515)	501	(48,454)	(612)	1,755	45,362	(5,541)	353,630	348,089	81,479
Net position, beginning of period	79,685	37,074	(7,231)	134,123	3,194	-	225,892	472,737	606,394	1,079,131	997,652
Net position, end of period	\$85,107	27,559	(6,730)	85,669	2,582	1,755	271,254	467,196	960,024	1,427,220	1,079,131

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Balance Sheet - Governmental Funds
March 31, 2021
(with summarized comparative totals for March 31, 2020)
(Amounts in thousands)

	Major Funds						Total March 31, 2021	Total March 31, 2020
	CEF	NY-Sun	CES	RGGI	GJGNY	Other Funds		
ASSETS:								
Cash and investments	\$104,095	39,373	88,587	90,063	68,276	111,837	502,231	567,822
Receivables:								
New York State	759	-	4,862	-	-	2,593	8,214	8,451
Third-party billings	523	-	66,096	-	3,086	980	70,685	14,424
Interest on loans	-	-	-	-	871	-	871	574
Loans	-	-	-	-	219,353	-	219,353	199,773
Prepaid expense	1,000	-	-	-	-	2,386	3,386	2,706
Other assets	-	-	-	-	-	11,798	11,798	6,962
Due from other funds	5,024	539	-	795	277	-	6,635	3,737
Total assets	<u>\$111,401</u>	<u>\$39,912</u>	<u>159,545</u>	<u>90,858</u>	<u>291,863</u>	<u>129,594</u>	<u>823,173</u>	<u>804,449</u>
LIABILITIES AND FUND BALANCES:								
Liabilities:								
Accounts payable	\$1,793	3,012	96	1,030	2,165	1,521	9,617	7,543
Accrued liabilities	19,080	9,302	138,877	3,267	331	12,607	183,464	173,206
Unearned revenue	1,692	-	-	96	76	1,227	3,091	3,252
Deposits	-	-	27,395	-	-	582	27,977	23,293
Due to other funds	-	-	10	-	-	6,917	6,927	3,789
Total liabilities	<u>22,565</u>	<u>12,314</u>	<u>166,378</u>	<u>4,393</u>	<u>2,572</u>	<u>22,854</u>	<u>231,076</u>	<u>211,083</u>
Fund Balances:								
Nonspendable-not in spendable form	1,000	-	-	-	-	2,386	3,386	2,706
Restricted	87,836	27,598	-	86,465	289,291	101,350	592,540	594,352
Unassigned	-	-	(6,833)	-	-	3,004	(3,829)	(3,692)
Total fund balances	<u>88,836</u>	<u>27,598</u>	<u>(6,833)</u>	<u>86,465</u>	<u>289,291</u>	<u>106,740</u>	<u>592,097</u>	<u>593,366</u>
Total liabilities and fund balances	<u>\$111,401</u>	<u>\$39,912</u>	<u>159,545</u>	<u>90,858</u>	<u>291,863</u>	<u>129,594</u>	<u>823,173</u>	<u>804,449</u>

Following is a reconciliation of amounts reported differently in the Statement of Net Position:

Total fund balances for governmental funds	\$592,097	593,366
Capital assets used in governmental activities are not current financial resources and therefore are not reported in the funds	12,657	14,398
Long-term liabilities are not due and payable in the current period and therefore are not reported in the funds	(153,952)	(140,714)
Pension & OPEB related deferred outflows and inflows are not reported in governmental funds	17,488	6,801
Accrued interest expense	(1,094)	(1,114)
Net position of governmental activities	<u>\$467,196</u>	<u>472,737</u>

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Revenues, Expenditures and Changes in Fund Balances - Governmental Funds
For the year ended March 31, 2021
(with summarized comparative totals for March 31, 2020)
(Amounts in thousands)

	Major Funds						Total March 31, 2021	Total March 31, 2020
	CEF	NY-Sun	CES	RGGI	GJGNY	Other Funds		
REVENUES:								
State appropriations	\$ -	-	-	667	-	22,619	23,286	29,432
Utility surcharge assessments	220,128	99,377	-	-	-	105,195	424,700	548,232
Renewable energy credit proceeds	-	-	6,969	-	-	-	6,969	5,613
Zero-emission credit assessments	-	-	526,518	-	-	-	526,518	528,346
Allowance auction proceeds	-	-	-	140,560	-	-	140,560	112,024
Third-party reimbursements	14,701	-	-	109	-	36,473	51,283	36,896
Federal grants	-	-	-	-	-	5,514	5,514	2,910
Interest subsidy	-	-	-	-	356	-	356	402
Project repayments	-	-	-	-	-	361	361	68
Rentals from leases	-	-	-	-	-	1,009	1,009	1,026
Fees and other income	-	-	15,248	-	21	215	15,484	2,778
Loan interest	-	-	-	-	8,096	-	8,096	7,739
Investment income	92	39	57	93	68	137	486	12,114
Total revenues	234,921	99,416	548,792	141,429	8,541	171,523	1,204,622	1,287,580
EXPENDITURES:								
Current expenditures	276,056	123,900	562,383	96,857	6,535	131,784	1,197,515	1,235,555
Debt service:								
Principal	-	-	-	-	19,901	-	19,901	14,505
Interest	-	-	-	-	3,765	-	3,765	4,049
Bond issuance costs	-	-	-	-	625	-	625	167
Capital outlay	362	35	73	79	15	211	775	1,198
Total expenditures	276,418	123,935	562,456	96,936	30,841	131,995	1,222,581	1,255,474
OTHER FINANCING SOURCES (USES):								
Residential Solar and Energy Efficiency Financing								
Green Revenue Bonds issued	-	-	-	-	16,690	-	16,690	-
Transfers in	70,453	18,293	14,855	7,188	37,700	6,054	154,543	54,886
Transfers out	(20,024)	(2,650)	-	(99,535)	(2,000)	(30,334)	(154,543)	(54,886)
Net other financing sources (uses)	50,429	15,643	14,855	(92,347)	52,390	(24,280)	16,690	-
Net change in fund balances	8,932	(8,876)	1,191	(47,854)	30,090	15,248	(1,269)	32,106
Fund balances, beginning of year	79,904	36,474	(8,024)	134,319	259,201	91,492	593,366	561,260
Fund balances, end of year	\$88,836	27,598	(6,833)	86,465	289,291	106,740	592,097	593,366

Following is a reconciliation of amounts reported differently in the Statement of Activities:

Net change in fund balances for governmental funds	(\$1,269)	32,106
Capitalization and depreciation of capital outlays, rather than recording as an expenditure	(1,741)	(1,589)
Expenses for compensated absences in the Statement of Activities do not require the use of current financial resources and therefore are not reported as expenditures in governmental funds	(1,367)	(774)
Expenses for accrued bond interest in the Statement of Activities do not require the use of current financial resources and therefore are not reported as expenditures in governmental funds	20	(187)
Pension contributions are not an expense in the Statement of Activities, and GASB 68 pension expense is not a use of current financial resources in the governmental funds	(4,395)	(521)
Bond proceeds are a current financial resource in the governmental funds but are not reported as revenues in the Statement of Activities	(16,690)	-
Repayment of principal is an expenditure in the governmental funds but the repayment reduces long-term liabilities in the Statement of Net Position	19,901	14,505
Change in net position of governmental activities	(\$5,541)	43,540

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

(A Component Unit of the State of New York)

Statement of Net Position

Proprietary Fund

March 31, 2021

(with comparative totals for March 31, 2020)

(Amounts in thousands)

	March 31, 2021	March 31, 2020
<u>ASSETS:</u>		
Current assets:		
Cash and investments	\$386,078	\$164,361
Third-party billings receivable	27	35
Interest receivable on loans	3,215	3,172
Loans and financing receivables due within one year, net	75,787	55,823
Total current assets	465,107	223,391
Non-current assets:		
Loans and financing receivables - long term, net	496,913	385,736
Total non-current assets	496,913	385,736
Total assets	962,020	609,127
<u>DEFERRED OUTFLOWS OF RESOURCES:</u>	4,289	1,527
<u>LIABILITIES:</u>		
Current liabilities:		
Accounts payable	94	29
Accrued liabilities	372	227
Escrow deposits	461	2,105
Total current liabilities	927	2,361
Non-current liabilities:		
Net pension liability	3,796	885
Net OPEB liability	134	528
Total non-current liabilities	3,930	1,413
Total liabilities	4,857	3,774
<u>DEFERRED INFLOWS OF RESOURCES:</u>	1,428	486
<u>NET POSITION:</u>		
Net position restricted for specific programs	\$960,024	606,394

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Revenues, Expenses and Changes in Fund Net Position
Proprietary Fund
For the year ended March 31, 2021
(with comparative totals for March 31, 2020)
(Amounts in thousands)

	March 31, 2021	March 31, 2020
<u>OPERATING REVENUES:</u>		
Closing fees	\$3,915	2,528
Undrawn fees	836	572
Administrative fees	273	103
Other fees	260	144
Loans and financing receivables interest	24,327	18,612
Total operating revenues	<u>29,611</u>	<u>21,959</u>
<u>OPERATING EXPENSES:</u>		
Salaries and benefits	8,510	7,484
Investment related expenses	585	399
Program operating costs	1,761	1,277
General & administrative expenses	1,571	1,247
Depreciation	147	189
NY State assessments	149	112
Total operating expenses	<u>12,723</u>	<u>10,708</u>
OPERATING INCOME	<u>16,888</u>	<u>11,251</u>
<u>NON-OPERATING REVENUES:</u>		
Capital contributions	336,981	20,678
Investment income	216	6,224
Total non-operating revenues	<u>337,197</u>	<u>26,902</u>
<u>NON-OPERATING EXPENSES:</u>		
Capital expansion	410	44
Program evaluation	45	170
Total non-operating expenses	<u>455</u>	<u>214</u>
Change in net position	353,630	37,939
Net position, beginning of period	<u>606,394</u>	<u>568,455</u>
Net position, end of period	<u><u>\$960,024</u></u>	<u><u>606,394</u></u>

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Cash Flows
Proprietary Fund
For the year ended March 31, 2021
(with comparative totals for March 31, 2020)
(Amounts in thousands)

	March 31, 2021	March 31, 2020
CASH FLOWS FROM OPERATING ACTIVITIES:		
Closing fees collected	\$3,930	2,588
Undrawn fees collected	786	581
Administrative fees collected	278	93
Other fees collected	244	210
Loans and financing receivables interest collected	24,338	17,694
(Disbursement) receipt of escrow deposits	(1,644)	282
Payments to employees & employee benefit providers	(7,812)	(7,405)
Payments to suppliers	(3,750)	(3,508)
Payment for allocated depreciation	(147)	(189)
Payments to NYS	(149)	(112)
Loans and financing receivables deployed	(344,660)	(258,384)
Loans and financing receivables principal repayments	213,516	116,279
Net cash used in operating activities	<u>(115,070)</u>	<u>(131,871)</u>
CASH FLOWS FROM FINANCING ACTIVITIES:		
Capital contributions	336,981	20,678
Capital expansion	(410)	(44)
Net cash provided by non-capital financing activities	<u>336,571</u>	<u>20,634</u>
CASH FLOWS FROM INVESTING ACTIVITIES:		
Purchase of investments	(2,130,515)	(412,379)
Proceeds from sale of investments	1,936,070	507,973
Investment income	3,219	5,895
Net cash (used in) provided by investing activities	<u>(191,226)</u>	<u>101,489</u>
Net change in cash & cash equivalents	30,275	(9,748)
Cash and cash equivalents, beginning of year	14,531	24,279
Cash and cash equivalents, end of year	<u>\$44,806</u>	<u>14,531</u>
RECONCILIATION OF OPERATING INCOME TO NET CASH USED IN OPERATING ACTIVITIES:		
Operating income	\$16,888	11,251
Adjustments to reconcile operating income to net cash used in operating activities:		
Decrease in third party billings receivable	8	139
Increase in interest receivable	(43)	(908)
Increase in loans and financing receivables	(131,141)	(142,129)
Increase in accounts payable	65	29
Increase (decrease) in accrued liabilities	145	(444)
(Decrease) increase in escrow deposits	(1,644)	282
Net change in pension & OPEB related accounts	697	79
Non-operating expenses unrelated to financing activities	(45)	(170)
Net cash used in operating activities	<u>(\$115,070)</u>	<u>(131,871)</u>

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Fiduciary Net Position
March 31, 2021
(Amounts in thousands)

	<u>OPEB Trust Fund</u>	<u>Custodial Fund</u>
<u>ASSETS:</u>		
Cash and investments	\$69,682	\$35,084
LLRW assessment billings receivable	-	337
Total assets	<u>\$69,682</u>	<u>35,421</u>
<u>LIABILITIES:</u>		
Accrued expenses	4	-
Payable to New York State	-	961
LLRW escrow funds	-	2,323
Federal Energy Regulatory Commission	-	3
Office of Renewable Energy Siting	-	398
Total liabilities	<u>4</u>	<u>3,685</u>
<u>NET POSITION:</u>		
<u>Restricted for:</u>		
benefits	69,678	-
Other governments and organizations for care of nuclear waste	-	31,736
Total Net position	<u>\$69,678</u>	<u>\$31,736</u>

See accompanying notes to the basic financial statements.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)
Statement of Changes in Fiduciary Net Position
For the year ended March 31, 2021
(Amounts in thousands)

<u>ADDITIONS:</u>	<u>OPEB Trust Fund</u>	<u>Custodial Fund</u>
Employer contributions	\$3,045	\$ -
Utility assessments	-	2,848
Escrow deposit receipts	-	398
Investment (loss) income	18,031	27
Less investment management expenses	(10)	-
Net investment income	18,021	27
Total additions, net	21,066	3,273
<u>DEDUCTIONS:</u>		
Benefits	1,738	-
Reimbursement to NYS	-	3,248
Administrative fees	17	-
Total deductions	1,755	3,248
Change in net position	19,311	25
<u>NET POSITION:</u>		
Net position- beginning of year, as restated (note 2(a))	50,367	31,711
Net position- end of year	\$69,678	\$31,736

See accompanying notes to the basic financial statements.

**NEW YORK STATE ENERGY
RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)**

**Notes to Basic Financial Statements
March 31, 2021**

(1) GENERAL

The New York State Energy Research and Development Authority (NYSERDA) is a public benefit corporation established in 1975 pursuant to Title 9 of Article 8 of the Public Authorities Law of the State of New York (the State). NYSERDA is included in the State's basic financial statements as a component unit. NYSERDA's significant functions and programs reported in the Statement of Activities are summarized below; those which are reported as major funds in the Governmental Fund Financial Statements are noted parenthetically.

Clean Energy Fund (CEF) Market Development/Innovation & Research (Major fund)

Pursuant to a January 2016 Order (CEF Order), the State Public Service Commission (Commission) authorized a ten-year commitment through 2025 of approximately \$5.3 billion to clean energy programs through a CEF. The CEF is designed to meet four primary objectives: greenhouse gas emission reductions; energy affordability; statewide penetration and scale of energy efficiency and clean energy generation; and growth in the State's clean energy economy.

The CEF Market Development activities are designed to reduce costs, accelerate customer demand, and increase private investment for energy efficiency and other behind-the-meter clean energy solutions through strategies including financial support, technical knowledge, data, education to customers and service providers, and advanced workforce training. The CEF Innovation & Research activities are designed to invest in cutting-edge technologies that will meet increasing demand for clean energy including: smart grid technology, renewables and distributed energy resources, high performance buildings, transportation, and clean tech startup and innovation development.

The CEF Order provided for a ten-year funding authorization of \$3.4 billion, as amended, for the Market Development and Innovation & Research activities, and also provided additional funding authorization of \$781.5 million for NY Green Bank, \$960.6 million for NY-Sun, and \$150.0 million for the RPS Program for a 2016 Main Tier solicitation. The NY Green Bank, and NY-Sun programs are presented as separate Programs/Functions in the financial statements as further described below.

The CEF Order authorized the continuation of previously authorized ratepayer collections for calendar years 2016 through 2024 for previous program authorizations for the New York Energy Smart, Energy Efficiency Portfolio Standard, Technology and Market Development, and RPS programs (the Previously Approved Programs).

To reimburse NYSERDA for actual CEF program expenses, the CEF Order established a "Bill-As-You-Go" (BAYG) approach for revenue collection effective January 1, 2016. Under this approach, CEF ratepayer collections are held by the electric and gas utilities and used to reimburse NYSERDA monthly, provided that the reimbursement allows NYSERDA to maintain a sufficient cash balance based on projected expenses for the subsequent two-month period, subject to the collection amounts approved in the CEF Order.

NY-Sun (Major fund)

Approved through a 2012 Commission Order, the NY-Sun program is designed to develop a sustainable and subsidy-free solar electric industry through a megawatt block approach. The NY-Sun program was initially funded through \$216 million reallocated under the Renewable Portfolio Standard (RPS) program. The CEF Order established the incremental collection schedule and reallocation of uncommitted funds to support program activities approved through the 2012 Order.

**NEW YORK STATE ENERGY
RESEARCH AND DEVELOPMENT AUTHORITY
(A Component Unit of the State of New York)**

**Notes to Basic Financial Statements
March 31, 2021**

Pursuant to a May 14, 2020 Order, the Commission authorized the expansion of the NY-Sun program through 2025 to meet the established targets under the Climate Leadership and Community Protection Act and to develop a total of 6 gigawatt (GW) of distributed solar by 2025 adding an additional 3 GW of distributed solar. The Order increased NY-Sun's funding by \$573 million of which \$230 million can be sourced from existing uncommitted NYSERDA ratepayer funds. The remaining \$343 million will be approved a future date.

Clean Energy Standard (CES) (Major fund)

Pursuant to an August 2016 and subsequent Orders, the Clean Energy Standard was established, adopting a State Energy Plan goal that 70% of New York's electricity is to be generated by renewable sources by 2030 as part of a strategy to reduce statewide greenhouse gas emissions by 40% by 2030. The CES is comprised of a series of deliberate and mandatory actions to enhance opportunities for customer choice necessary to achieve the State Energy Plan goal. The mandated actions are divided into two categories, a Renewable Energy Standard (RES) and a Zero-Emissions Credit (ZEC) requirement. The RES consists of an obligation on Load Serving Entities (LSEs) in New York State to invest in new renewable generation resources to serve their retail customers evidenced by the procurement of qualifying renewable energy credits; an obligation on distribution utilities on behalf of all retail customers to continue to invest in the maintenance of existing at-risk renewable generation attributes; and a program to maximize the value potential of new offshore wind resources. As part of the RES component of the program, NYSERDA will offer for sale to the LSEs at various times Renewable Energy Credits (RECs) produced from, and received under, contracts with qualifying renewable energy facilities to meet the LSEs' mandatory compliance requirements. Alternatively, NYSERDA may receive Alternative Compliance Payments from LSEs in lieu of their purchasing RECs from NYSERDA. The ZEC requirement consists of an obligation on LSEs in New York State to invest in the preservation of existing at-risk nuclear zero-emissions attributes to serve their retail customers, evidenced by the procurement of qualifying ZECs. As part of the ZEC component, NYSERDA provides support payments for specified nuclear generating facilities in amounts prescribed by the Commission's Order based on each facility's output. The funding for these payments is collected through ZECs sold to each LSE in amounts calculated for each LSE's proportionate share of the statewide energy load. The RES component and the ZEC component are interrelated but the goals are additive; that is, the carbon benefits of preserving the nuclear zero-emissions attributes will not count toward achieving the required number of renewable resources to satisfy the 70% by 2030 goal. The RES and ZEC components will, however, in combination, contribute toward the State's comprehensive greenhouse gas reduction goals.

NYSERDA is leading the coordination of offshore wind opportunities in New York State and is supporting the development of 9,000 megawatts of offshore wind energy by 2035 in a responsible and cost-effective manner. In July 2018, the Commission issued an Order Adopting the Offshore Wind Standard. The Offshore Wind Standard authorized solicitations by NYSERDA, in consultation with the Long Island Power Authority and New York Power Authority, for first phase of offshore wind procurements. Through December 2020 NYSERDA has issued two competitive solicitations for offshore wind to stimulate the development of the domestic offshore wind industry, reduce the cost of later offshore wind procurements, and allow New York State to realize the direct benefits associated with the construction, operation, and maintenance of offshore wind resources.

An October 15, 2020 Order of the PSC established a new Tier 2 and Tier 4. The Tier 2 Maintenance program aims to provide targeted, adequate, and prudent support to New York's existing renewable resources to ensure their continued operations. Eligible Tier 2 maintenance generators include run-of-river hydroelectric facilities (5 MW or less) and wind resources that entered commercial operation prior to January 1, 2003. The Competitive Tier 2 aims to maximize the contributions and potential of New York's existing renewable resources to ensure their continued operations. Eligible Competitive Tier 2 generators include existing non-state-owned run-of-river hydropower and existing wind

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resources located within the State that entered commercial operation prior to January 1, 2015. The new Tier 4 will increase the penetration of renewable energy into New York City, which is particularly dependent on polluting fossil fuel-fired generation. NYSERDA will procure unbundled environmental attributes associated with renewable generation delivered into New York City. These environmental attributes include the avoidance of GHG emissions, as well as the avoidance of local pollutants such as NOx, SOx, and fine particulate matter.

Regional Greenhouse Gas Initiative (RGGI) (Major fund)

RGGI is an agreement among nine Northeastern and Mid-Atlantic States to reduce greenhouse gas emissions from power plants. The RGGI states (Participating States) have committed to cap and then reduce the amount of carbon dioxide that certain power plants are allowed to emit, limiting the region's total contribution to atmospheric greenhouse gas levels. The Participating States have agreed to implement RGGI through a regional cap-and-trade program whereby the Participating States have agreed to auction annual regional emissions. Rules and regulations promulgated by the NYS Department of Environmental Conservation (DEC) call for NYSERDA to administer periodic auctions for annual emissions. Pursuant to these regulations, the proceeds will be used by NYSERDA to administer energy efficiency, renewable energy, and/or innovative carbon abatement programs, and to cover the costs to administer such programs.

Green Jobs-Green New York (GJGNY) (Major fund)

GJGNY is a statewide program created by legislation enacted in October 2009 to promote energy efficiency retrofits in residential, multifamily, small business and not-for-profit buildings, and authorizes NYSERDA to establish innovative financing approaches through revolving loan funds to finance such projects. The program will also support sustainable community development and create opportunities for green jobs. The legislation funded the program with \$112.0 million from RGGI auction proceeds and restricts the use of interest earnings and revolving loan proceeds for additional programmatic spending. NYSERDA subsequently allocated \$142.7 million in additional RGGI funds to support program activities.

Energy Analysis

Through this program, NYSERDA provides objective and credible analyses of energy issues to various stakeholders. The program also includes activities for energy-related emergency planning and response, and support for State energy planning. These program activities are funded primarily by a State assessment on the intrastate gas and electricity sales of the State's investor-owned utilities.

Furthermore, Energy Analysis staff provide oversight activities pursuant to the State Low-Level Radioactive Waste (LLRW) Management Act of 1986, whereby NYSERDA is responsible for ultimately constructing and operating the State's LLRW disposal facilities, collecting information, and providing regular reports to the Governor and Legislature on LLRW generation in the State. These activities are funded annually by State appropriations through a sub-allocation from the New York State Department of Health.

NYSERDA is also responsible for the coordination of nuclear material matters, including serving as the State liaison with the Nuclear Regulatory Commission.

West Valley

NYSERDA manages, on behalf of the State, the Western New York Nuclear Service Center (West Valley), which is the site of a former plant for reprocessing used nuclear fuel. Through 1972, the former plant operator, Nuclear Fuel Services, Inc., generated as a by-product of its reprocessing operations, more than 600,000 gallons of liquid, high-level radioactive waste, which was stored at the site. In 1980, Congress enacted the West Valley Demonstration Project Act (West Valley Act).

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Pursuant to the West Valley Act, the U.S. Department of Energy (DOE) is carrying out a demonstration project to: (1) solidify the liquid high-level radioactive waste at West Valley; (2) transport the solidified waste to a permanent federal repository; and (3) decontaminate and decommission the reprocessing plant and the facilities, materials, and hardware used in the project.

NYSERDA also maintains, on behalf of the State, the State-Licensed Disposal Area (SDA), which is a shut-down commercial low-level radioactive waste disposal facility at West Valley. NYSERDA is evaluating how to remediate and close this facility in accordance with regulatory requirements.

Other

Other represents an aggregate of smaller Programs/Functions. These activities are primarily funded through Commission Orders, Memorandums of Understanding with various utilities pursuant to Commission Orders, various third-party reimbursement agreements, and federal energy grants.

NY Green Bank

NY Green Bank, a division of NYSERDA accounted for as a proprietary fund, is a \$1.0 billion, state-sponsored, specialized financial entity working in partnership with the private sector to increase investments into New York's clean energy markets, creating a more efficient, reliable, and sustainable energy system. NY Green Bank's mission is to accelerate clean energy deployment in New York State by working in partnership with the private sector to transform financing markets.

To date, NY Green Bank has participated in transactions by providing: construction and longer-term post-construction financing and investment, financing to enable developers to aggregate smaller distributed assets into portfolios at scale, and credit enhancements.

NY Green Bank works to increase the size, volume, and breadth of clean energy investment activity throughout the State, expand the base of investors focused on New York State clean energy, and increase clean energy participants' access to capital. To do so, NY Green Bank collaborates with the private sector to develop transaction structures and methodologies that overcome typical clean energy investment barriers, such as challenges in evaluating risk and addressing the needs of distributed energy and efficiency projects where underwriting may be geared more towards larger and/or groups of somewhat homogeneous investment opportunities.

NY Green Bank focuses on opportunities that create attractive precedents, standardized practices, and roadmaps that capital providers can willingly replicate and scale. As funders "crowd in" to a particular area within the clean energy landscape, NY Green Bank moves on to other areas that have attracted less investor interest.

As a key component of New York's CEF, NY Green Bank is structured to be self-sustaining in that it must ultimately cover its own costs of operation.

Pursuant to various Orders of the Commission, the Commission authorized a total of \$1 billion in funded capitalization for NY Green Bank. As of March 31, 2021, total ratepayer funded capitalization provided to NY Green Bank was \$955.7 million, resulting in an unfunded amount of \$44.3 million that will be subsequently provided through the BAYG funding approach.

(2) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

(a) Basis of presentation

The basic financial statements include government-wide financial statements, governmental fund financial statements, proprietary fund financial statements, and fiduciary fund financial statements. The government-wide financial statements report information on governmental and business-type

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activities, and consist of a Statement of Net Position and a Statement of Activities. These statements exclude information about fiduciary activities where NYSERDA holds assets in a trustee or agency capacity for others since such assets cannot be used to support NYSERDA's own programs.

Net position classifications used in the government-wide financial statements are as follows:

- Net investment in capital assets – amount of capital assets, net of accumulated depreciation, reduced by the outstanding balances of debt attributable to the acquisition, construction, or improvement of those assets, and deferred outflows of resources less deferred inflows of resources, that are attributable to the acquisition, construction, or improvement of those assets or related debt, excluding any significant unspent related debt proceeds or deferred inflows of resources
- Restricted for specific programs – amount of restricted assets and deferred outflows of resources reduced by liabilities and deferred inflows of resources related to those assets
- Unrestricted – amount of assets, deferred outflows of resources, liabilities, and deferred inflows of resources that are not included in the determination of Net investment in capital assets or the Restricted for specific programs components of net position

The governmental fund financial statements report governmental activities and consist of a Balance Sheet and a Statement of Revenues, Expenditures, and Changes in Fund Balances. The funds presented in the governmental funds financial statements are categorized as either major or non-major funds (the latter are aggregated within "Other") as required by U.S. generally accepted accounting principles (U.S. GAAP).

Fund balance classifications used in the governmental fund financial statements are as follows:

- Nonspendable – amounts that cannot be spent because they are not in spendable form
- Restricted – amounts with constraints placed on the use of resources that are legally imposed by creditors, grantors, contributors, or laws or regulations of other governments that may be imposed by law through constitutional provisions or enabling legislation
- Committed – amounts that can only be used for specific purposes pursuant to constraints imposed by formal action of the government's highest level of decision making. Amounts cannot be used for any other purposes unless the government removes the specified use
- Assigned – amounts are constrained by the government's intent to be used for specific purposes, but are neither restricted or committed
- Unassigned – residual balance is the amount not meeting other fund balance classifications

NYSERDA had no Committed or Assigned Fund Balances as of March 31, 2021.

NYSERDA administers certain programs on behalf of the Commission and others whereby the terms of the program sponsor or enabling legislation limit the use of funds to certain program purposes, and as such, the funds are reported as restricted. Since NYSERDA has multiple constraints on its resources, restricted funds are considered spent first, committed funds second, assigned funds third, and unassigned funds last.

As of March 31, 2021, a fund deficit was reported in the Clean Energy Standard Fund. The deficit is the result of differences in cash flow timing relating to the receipts of cash and disbursements under the program.

The proprietary fund financial statements, based on an enterprise type fund, report business-type activities for which a fee is charged to external users for goods or services, and consist of a Statement of Net Position; a Statement of Revenues, Expenses and Changes in Fund Net Position;

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and a Statement of Cash Flows. NY Green Bank is presented in the proprietary fund financial statements.

The fiduciary fund financial statements report assets held by NYSERDA in a fiduciary capacity for others and consist of a Statement of Fiduciary Net Position and Statement of Changes in Fiduciary Net Position. The fiduciary fund financial statements reflect the adoption of Governmental Accounting Standards Board (GASB) Statement No. 84, *Fiduciary Activities* (GASB 84), which establishes criteria for identifying and reporting fiduciary activities. Among its requirements, GASB 84 provides that liabilities to beneficiaries are to be recognized when an event has occurred that compels a government to disburse the fiduciary resources. Accordingly, the Authority reclassified certain historical liabilities related to the perpetual care of nuclear waste to restricted net position upon the adoption of GASB 84. NYSERDA's fiduciary funds include: (1) funds held for reimbursement to the State for costs associated with the Low-Level Radioactive Waste Management Act of 1986; (2) funds that, pursuant to a Cooperative Agreement, must be turned over to the U.S. Department of Energy upon delivery of the solidified high-level radioactive waste from West Valley to a permanent federal disposal repository to provide for perpetual care and management of the waste; and (3) funds held in an irrevocable trust maintained by a third-party trustee to receive employer contributions for NYSERDA's health insurance premiums for benefits provided to NYSERDA employees and/or their eligible spouses and dependent children after active employment ends (postemployment).

The basic financial statements include certain prior-year summarized comparative information in total, but not by separate governmental activities and major funds. Such information does not include sufficient detail to constitute a presentation in conformity with GAAP. Accordingly, such information should be read in conjunction with NYSERDA's financial statements as of and for the year ended March 31, 2020, from which the summarized information was derived.

(b) Basis of accounting

The government-wide financial statements are prepared using the economic resources measurement focus and accrual basis of accounting, as are the proprietary fund and the fiduciary fund financial statements. Revenues resulting from exchange transactions are recognized when the exchange takes place. Revenues resulting from non-exchange transactions, such as program funding in the form of grants, contributions, utility surcharge assessments, and State appropriations, are recognized when all eligibility requirements (if any) have been met. Resources received in advance of meeting all eligibility requirements are recorded as unearned revenue. Expenses in the government-wide financial statements are recognized when incurred. NYSERDA's administrative overhead charges are included as program direct expenses in the Statement of Activities.

Financial statements for governmental funds are presented using the current financial resources measurement focus and the modified accrual basis of accounting. Under the modified accrual basis of accounting, revenues are recorded when they become measurable and available (expected to be collected in the next 12 months) and have met eligibility requirements (if any). Expenditures, rather than expenses, are recognized in governmental fund financial statements. Only transactions that require the use of current financial resources are recognized. Expenses related to non-current liabilities are not recorded; however, certain expenses that are recognized over time in the government-wide financial statements are recognized as expenditures in the governmental fund financial statements in the period in which the underlying transaction takes place.

The governmental fund financial statements include a reconciliation of total fund balance and the changes therein, to total net position and the changes therein that are reflected in the government-wide financial statements. The reconciling items are the result of the above described differences in measurement focus and basis of accounting.

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(c) Indirect cost allocation method

NYSERDA incurs certain indirect costs (e.g., administrative salary expense, fringe benefit expense, and general and administrative expense) that are not directly associated with a specific function/program. Therefore, these costs are allocated in proportion to direct salary expenses of each NYSERDA function/program, including NY Green Bank. Net pension and OPEB liabilities are also allocated proportionately to NY Green Bank, as required for Proprietary funds.

(d) Investments

Investments are recorded at fair value, which reflects quoted market prices for U.S. government obligations, mutual funds, and exchange-traded funds.

(e) Loans and financing receivables

Loans and financing receivables are recorded at their cost basis, less any provision for losses. For NY Green Bank, a provision for losses is established on any individual loan and financing receivable which: (i) is delinquent by more than 120 days on payment of principal or interest obligations; and (ii) indicates a deficiency in the present value of expected cash flows discounted at its effective interest rate, or a deficiency in the valuation of its collateral, as compared to its outstanding balance plus any accrued interest receivable. For the GJGNY program, an allowance for doubtful accounts is recorded at the amount of the outstanding principal balance of all loans over 120 days past due.

(f) Capital assets

Assets with a cost of more than \$2,500 and an estimated useful life in excess of two years are capitalized and reported at historical cost in the government-wide financial statements. Depreciation is calculated using the straight-line method over the estimated useful life of the capital assets, which ranges from three to fifty years and is reported in the government-wide and proprietary fund financial statements. Capital asset purchases are recorded as expenditures in the governmental funds financial statements.

(g) Unearned revenue

Unearned revenue consists of funds received or receivable in advance of revenue recognition conditions having been met for the underlying exchange transactions.

(h) Deferred outflows of resources and deferred inflows of resources

Deferred outflows of resources as presented in the government-wide and proprietary fund financial statements represent a consumption of net assets applicable to a future reporting period. Deferred inflows of resources as presented are defined as an acquisition of net assets applicable to a future reporting period. Deferred outflows of resources and deferred inflows of resources include differences between expected projected results and actual results related to NYSERDA's net pension and net OPEB liabilities, as well as contributions subsequent to the measurement date for each post-retirement/post-employment benefit plan. Deferred outflows of resources and deferred inflows of resources are also allocated proportionately to NY Green Bank, as required for Proprietary funds.

(i) Compensated absences

NYSERDA employees are granted vacation and sick leave in varying amounts. In the event of termination or retirement, an employee is reimbursed for accumulated vacation leave up to the equivalent of 45 days, and sick leave up to a maximum of five days. Retired employees may use additional accumulated sick leave to pay for the employee share of health insurance premiums.

NYSERDA's accrual for compensated absences, as reported in the government-wide financial statements within other non-current liabilities, includes fringe benefits on compensated absences

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and estimated costs to use employee sick leave for post-retirement health benefits. Compensated absences are not accrued in the governmental funds financial statements.

(j) NY State assessments

NY State assessments for the year ended March 31, 2021 consisted of \$12.7 million in fees assessed by the State under Section 2975 of the Public Authorities Law (Governmental Cost Recovery System) for general governmental services, and \$0.9 million paid to the State under a budget bill pursuant to Article VII of the New York State Constitution.

(k) Use of estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingencies. Estimates also affect the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

(l) Income taxes

NYSERDA is a component unit of the State and therefore is generally exempt from federal, state, and local income taxes.

(m) Reclassifications

Certain amounts reported as of and for the fiscal year ended March 31, 2020 have been reclassified to conform with the amounts presented as of and for the fiscal year ended March 31, 2021.

(3) CASH AND INVESTMENTS

Pursuant to Public Authorities Law Section 1859(1), the Commissioner of the New York State Department of Taxation and Finance (Fiscal Agent) serves as fiscal agent for NYSERDA's cash and investments, maintaining such funds on NYSERDA's behalf and implementing investments subject to the Fiscal Agent's policies and with direction and authorization from NYSERDA. NYSERDA has a written investment policy that applies to all of its investments. The policy permits deposits with financial institutions approved by the Fiscal Agent and permits investments in: certificates of deposit of bank or trust companies located in New York State, obligations of New York State and the United States government and certain of their agencies, repurchase agreements subject to certain limitations, and money market funds subject to certain limitations.

Cash and investments of the OPEB Trust are held with the Bank of New York Mellon Trust Company. All OPEB Trust investments are made consistent with the investment policy based on target percentages established for each asset class.

The following schedule presents cash and investments as of March 31, 2021. Fair value is measured using quoted market prices for U.S. government obligations, mutual funds, and exchange traded funds. GASB Statement No. 72, *Fair Value Measurement and Application*, prescribes three approaches to measuring fair value and requires a government to use valuation techniques consistent with one or more of these approaches. The standard establishes a fair value hierarchy that categorizes the inputs to valuation techniques used to measure fair value into three levels. Level 1 inputs are quoted prices (unadjusted) for identical assets or liabilities in active markets that a government can access at the measurement date. Level 2 inputs are inputs—other than quoted prices included within Level 1—that are observable for an asset or liability, either directly or indirectly. Level 3 inputs are unobservable inputs for an asset or liability. The fair value hierarchy gives the highest priority to Level 1 inputs and the lowest priority to Level 3 inputs. All NYSERDA investments are valued based on Level 1 inputs.

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	Fair Value (Amounts in thousands)	% of Total	Weighted Average Maturity (months)
<u>Governmental funds</u>			
Cash and cash equivalents	\$ 94,857	18.9	n/a
U.S. Treasury Bills	<u>407,374</u>	<u>81.1</u>	<u>1.2</u>
Total	<u>\$502,231</u>	<u>100.0</u>	<u>1.0</u>
Current portion thereof	<u>\$502,231</u>		
<u>Proprietary fund</u>			
Cash and cash equivalents	\$ 44,806	11.6	n/a
U.S. Treasury Bills	<u>341,272</u>	<u>88.4</u>	<u>1.2</u>
Total	<u>\$386,078</u>	<u>100.0</u>	<u>1.1</u>
Current portion thereof	<u>\$386,078</u>		
<u>Fiduciary funds</u>			
Cash and equivalents	\$829	0.8	n/a
Mutual funds	58,252	55.6	n/a
Exchange traded funds	11,002	10.5	n/a
U.S. Treasury Bills	<u>34,683</u>	<u>33.1</u>	<u>1.6</u>
Total	<u>\$104,766</u>	<u>100.0</u>	<u>1.6</u>

Interest Rate Risk. NYSERDA's investment policy limits investment maturities to no longer than five years as a means of managing its exposure to fair value losses arising from increasing interest rates. Investment maturities are selected based on anticipated cash flow needs.

The OPEB Trust's risk tolerance is understood by the Plan Administrator such that achieving the Plan's investment objectives is not guaranteed and there will be time periods for which these objectives will not be met. The Plan Administrator also recognizes that some risk must be assumed to achieve the Trust's long-term investment objectives and accepts the inevitable fluctuations in returns that will occur. While it is understood that a certain level of risk is expected in the Trust's portfolio, the ability to withstand short and intermediate term variability was specifically considered in the development of the Investment Policy Statement risk tolerances. The debt instruments held within the above table's Mutual funds and Exchange traded funds are shown in the below table.

Credit Risk. Money market fund investments consist of non-rated funds whose investments are restricted to U.S. government obligations. As of March 31, 2021, debt instruments other than those of the U.S. government were held only by the OPEB Trust and were as follows:

<u>Investment type</u>	<u>Investment policy range (% of portfolio)</u>	<u>Fair Value (Amounts in thousands)</u>	<u>Morningstar 5- star rating scale rating</u>
<i>Mutual funds:</i>			
Short term bonds	1%-6%	\$2,063	3
Intermediate term bond	9%-19%	\$9,591	4
Inflation protected securities	5%-15%	\$6,910	5
Long term bond	1%-11%	\$4,101	5
High yield bond	7%-17%	\$8,288	4
Global bond	1%-6%	\$678	5

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Morning star is not a credit agency, their rating is a measure of the fund's risk-adjusted return relative to similar funds. Funds are rated one to five stars with the strongest performers receiving five stars.

Concentration of Credit Risk. NYSERDA's investment policy limits investments with any single eligible banking institution to no more than 35% of its total investment portfolio, except as otherwise required by any policies and practices of the Fiscal Agent. As of March 31, 2021, NYSERDA did not have any investments with institutions that were individually in excess of 5% of total investments.

The OPEB Trust's investment policy places limitations on the concentration of investments in certain industries, with certain companies, and among asset classes and within investment policy ranges.

Custodial Credit Risk for Deposits. Deposits are exposed to custodial credit risk if the deposits are not covered by depository insurance or deposits are uncollateralized, collateralized with securities held by the pledging financial institution, or collateralized with securities held by the pledging institution's trust department or agent, but not in the name of NYSERDA.

In accordance with existing policies and procedures, the Fiscal Agent of NYSERDA monitors deposit balances for the purpose of determining collateralization levels. Collateral sufficient to cover all uninsured deposits is held at the Department's custodial bank.

Custodial Credit Risk for Investments. Investment securities are exposed to custodial credit risk if the securities are uninsured, are not registered in the name of NYSERDA, and are held either by the counterparty or the counterparty's trust department or agent, but not in the name of NYSERDA.

Fixed income investments owned directly by NYSERDA, which trade in the U.S. markets, are held at NYSERDA's Fiscal Agent's custodian, in separate accounts, in the name of the Comptroller of the State of New York in Trust for NYSERDA. These securities are typically held in electronic form through the Federal Book Entry System and by the Depository Trust Company (DTC) and its subsidiaries acting as an agent of NYSERDA's Fiscal Agent's custodian bank.

Foreign Currency Risk. As of March 31, 2021, only the OPEB Trust portfolio (reported within Fiduciary funds) held any foreign investments. Foreign mutual fund holdings at March 31, 2021 were \$21.6 million (comprised of \$20.9 million of equity funds and \$678,000 of fixed income funds).

(4) RECEIVABLE FROM NEW YORK STATE

As of March 31, 2021, the amount due from New York State is \$8.2 million, which represents appropriation and grant receivables.

(5) LOANS AND FINANCING RECEIVABLES

Loans receivable exist under the Green Jobs-Green New York program to finance energy efficiency retrofits and renewable energy system installments in residential, multifamily, small business, and not-for-profit buildings. The residential component, and certain small business/not-for-profit loans, offers loans originated by a third-party loan originator using pre-established loan underwriting criteria, which are funded by NYSERDA and serviced by a third-party loan servicer. Multifamily and small business/not-for-profit loans are provided through participating lenders with NYSERDA providing 50% of the principal, subject to certain limits.

For the fiscal years ended March 31, 2021 and March 31, 2020, provision for losses were \$1.8 million and \$1.8 million, respectively, and are included in Program/Current expenditures, respectively, for the Program/Major Fund.

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NY Green Bank loans and financing receivables consist of sustainable infrastructure investments made by it into eligible technologies, consistent with its mission and investment criteria. These loans and financing transactions aim to mobilize private sector capital during the lifecycle of each investment, accelerate the deployment of economically and technically feasible clean energy projects in the State, provide financial returns to NY Green Bank, and contribute to New York's clean energy policy outcomes. NY Green Bank offers the following categories of capital solutions: construction finance, construction finance & term loan, term loans & investments (which may be debt or equity), warehousing/aggregation, and credit enhancements. NY Green Bank prices its products to reflect its credit underwriting, its risk position in the capital structure and pricing for comparable transactions, as well as internal portfolio return needs taking into account current market rates as well as commercial expectations of rates.

For the fiscal years ended March 31, 2021 and March 31, 2020, there were not provisions for losses recognized in the Statement of Activities.

Loans and financing receivables at March 31, 2021 include the following:

	<i>(Dollar amounts in thousands)</i>	
	Number of loans and financing receivables	Loans and financing receivables Outstanding
<u>Governmental activities/funds</u>		
Residential	24,158	\$227,655
Small Business/Not-for-Profit	56	1,394
Multifamily Building	3	41
Total governmental activities/funds	<u>24,217</u>	229,090
Allowance for doubtful accounts		<u>(9,737)</u>
Net total governmental activities/ funds		<u>\$219,353</u>

	<i>(Dollar amounts in thousands)</i>	
	Number of loans and financing receivables	Loans and financing receivables Outstanding
<u>Business-type activities/proprietary fund</u>		
Construction Finance	5	\$36,414
Construction Finance & Term Loan	11	61,755
Term Loans & Investments	33	416,672
Warehousing/Aggregation	5	57,859
Total business-type activities/proprietary fund	<u>54</u>	<u>\$572,700</u>

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Loans and financing receivables at March 31, 2021 mature as follows:

Governmental activities/funds

Fiscal year ending <u>March 31,</u>	<i>(Amounts in thousands)</i>				<u>Total</u>
	Residential Energy Efficiency	Small Business/ Not-for-Profit	Multifamily Building		
2022	19,344	266	41		19,651
2023	18,809	220	-		19,029
2024	19,228	212	-		19,440
2025	19,703	209	-		19,912
2026	20,108	176	-		20,284
2027-2031	96,058	310	-		96,368
2032-2036	34,328	1	-		34,329
2037-2040	<u>77</u>	<u>-</u>	<u>-</u>		<u>77</u>
Total governmental activities/funds	<u>\$227,655</u>	<u>1,394</u>	<u>41</u>		<u>229,090</u>

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Business-type activities/proprietary fund

(Amounts in thousands)

Fiscal year ending <u>March 31,</u>	Construction <u>Finance</u>	Construction Finance & <u>Term Loan</u>	Term Loan & <u>Investments</u>	Warehousing/ <u>Aggregation</u>	<u>Total</u>
2022	7,217	29,003	33,497	6,070	75,787
2023	12,064	1,646	50,296	24,638	88,644
2024	353	1,811	27,335	27,151	56,650
2025	353	2,029	45,971	-	48,353
2026	353	2,248	78,174	-	80,775
2027-2031	16,074	17,118	116,945	-	150,137
2032-2036	-	3,121	64,454	-	67,575
2037-2041	-	4,779	-	-	4,779
Total business-type activities/ proprietary fund	<u>\$36,414</u>	<u>61,755</u>	<u>416,672</u>	<u>57,859</u>	<u>572,700</u>

(6) OTHER ASSETS

As of March 31, 2021, the other assets balance of \$11.8 million represents the lower of cost or market value of the Upstate and Downstate New York State Strategic Gasoline Reserves, which were established to provide an emergency supply of finished motor gasoline in case of a significant disruption to petroleum fuels supply or distribution.

(7) CAPITAL ASSETS

Capital asset activity for the year ended March 31, 2021 was as follows:

(Amounts in thousands)

	Beginning <u>Balance</u>	<u>Additions</u>	Retirements/ <u>Reclasses</u>	Ending <u>Balance</u>
Land	\$685	-	-	685
Land improvements	5,844	-	-	5,844
Buildings	8,931	-	-	8,931
Machinery and equipment	20,337	798	-	21,135
Leasehold improvements	<u>3,010</u>	<u>100</u>	-	<u>3,110</u>
	38,807	898	-	39,705
<u>Less accumulated depreciation</u> <u>for:</u>				
Land Improvements	(2,194)	(201)	-	(2,395)
Buildings	(5,834)	(270)	-	(6,104)
Machinery and equipment	(15,212)	(1,969)	-	(17,181)
Leasehold improvements	<u>(1,332)</u>	<u>(223)</u>	-	<u>(1,555)</u>
	<u>(24,572)</u>	<u>(2,663)</u>	-	<u>(27,235)</u>
Capital assets, net	<u>\$14,235</u>	<u>(1,765)</u>	=	<u>12,470</u>

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(8) NON-CURRENT LIABILITIES

Non-current liability activity for the year ended March 31, 2021 was as follows:

(Amounts in thousands)

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>	<u>Amounts Due within One Year</u>
<u>Governmental activities</u>					
Compensated absences	\$9,538	4,797	(3,216)	11,119	5,030
Bonds payable	120,898	16,690	(19,901)	117,687	8,660
Net pension liability	6,714	24,628	(6,954)	24,388	-
Net OPEB liability	<u>3,454</u>	<u>9,265</u>	<u>(11,857)</u>	<u>862</u>	<u>-</u>
Non-current liabilities	<u>\$140,604</u>	<u>55,380</u>	<u>(41,928)</u>	<u>154,056</u>	<u>13,690</u>
<u>Business-type activities</u>					
Net pension liability	\$ 885	3,834	(923)	3,796	-
Net OPEB liability	<u>528</u>	<u>1,225</u>	<u>(1,619)</u>	<u>134</u>	<u>-</u>
Non-current liabilities	<u>\$1,413</u>	<u>5,059</u>	<u>(2,542)</u>	<u>3,930</u>	<u>-</u>

Bonds payable includes various bonds issued and secured by loan repayments from loans issued under the GJGNY program. Following is a schedule of bonds issued and outstanding at March 31, 2021:

(Amounts in thousands)

	<u>Principal Balance Outstanding</u>	<u>Final Maturity Date</u>	<u>Interest Rate</u>
Residential Energy Efficiency Financing Revenue Bonds, Series 2013A	\$10,660	July 1, 2028	3.0% to 4.1%
Series 2015A	30,430	July 1, 2030	2.6% to 3.8%
Series 2016A	17,330	March 1, 2027	1.6% to 2.8%
Residential Solar Loan Revenue Bonds, Series 2015	18,567	March 1, 2027	4.6% to 5.2%
Residential Solar Financing Green Revenue Bonds, Series 2018A	12,395	April 1, 2034	3.2% to 4.8%
Residential Solar and Energy Efficiency Financing Green Revenue Bonds, Series 2019A	11,615	April 1, 2035	3.2% to 4.6%
Residential Solar and Energy Efficiency Financing Green Revenue Bonds, Series 2020A	<u>16,690</u>	October 1, 2036	1.5% to 3.4%
Total	<u>\$117,687</u>		

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The Series 2013A, Series 2015A, and Series 2016A Bonds were issued under a master Indenture of Trust (Indenture), as supplemented, which requires that NYSERDA maintain cash and future scheduled pledge loan payments in each bond year of not less than 110% of annual debt service for each series of bonds. Payment of principal and interest on the Series 2013A bonds are guaranteed by the New York State Environmental Facilities Corporation (EFC) through the Clean Water State Revolving Fund (SRF). The Residential Energy Efficiency Financing Revenue Bonds Series 2015A and Series 2016A were issued to EFC in connection with SRF bonds issued by EFC. The Series 2015A bonds include an interest subsidy credit from EFC provided compliance with provisions of the EFC financing agreements. Under the terms of agreements with EFC, NYSERDA has on deposit with an escrow agent as of March 31, 2021, approximately \$4.7 million in a Collateral Reserve Account, which may be used by EFC to fund or reimburse its guarantee. Any funds held by the Trustee under the Indenture may be withdrawn by NYSERDA provided that cash and scheduled pledged loan payments are not less than 120% of annual debt service and provided that the balance of the Collateral Reserve Account and any Debt Service Reserve Fund are not less than 15% of aggregate bonds outstanding under the Indenture.

Bonds payable also includes Residential Solar Loan Revenue Bonds (Series 2015). The bonds are secured with a pledge of payments from consumer loans issued through the GJGNY program to finance the installation of residential photovoltaic systems (Solar Loans) in an amount which provides scheduled debt service coverage of 125% based on a 15 year structuring amortization schedule. The bonds originally included a variable rate component, but pursuant to the terms of the borrowing, pledged Solar Loan payments received in excess of structuring scheduled payments were applied on a quarterly basis first to the redemption of outstanding variable rate bonds, and then to outstanding fixed rate bonds, and as of March 31, 2021 no variable rate principal balance remained outstanding. The remaining principal outstanding bears interest at a fixed rate determined at the time each series was issued, and mature approximately 10 years from the date issued, ranging from March 1, 2026 to March 1, 2027.

Also included in Bonds Payable are Residential Solar Loan Revenue Bonds (Series 2018) issued to finance or refinance loans residential solar loans for under the GJGNY program for one to four family residential structures. The Series 2018A Bonds are payable solely from and secured by Pledged Loan Payments held by the Trustee under the Indenture and all money, revenues and receipts to be received under the Indenture.

Bonds Payable further includes Residential Solar and Energy Efficiency Financing Green Revenue Bonds (for Series 2019A and Series 2020A). The Series 2019A and 2020A Bonds are payable solely from and secured by the Pledged Revenues pursuant to the Indenture of Trust.

For each of the Series of bonds outstanding at March 31, 2021, failure of the Authority to cause to be made by the Trustee, the scheduled payment of principal and/or interest amounts still outstanding at such maturity date, would result in the Authority becoming responsible for, but only from pledged loan payments, all reasonable collection and similar fees, plus interest on overdue installments of interest at the rate borne by the Bonds. The remedy of acceleration shall not be available to the Owners of the bonds.

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As of March 31, 2021, future debt service requirements of the bonds are:

(Amounts in thousands)

Fiscal year ending March 31,	Bonds payable		Total
	Principal	Interest	
2022	8,660	3,647	12,307
2023	11,080	3,446	14,526
2024	10,440	3,183	13,623
2025	9,995	2,913	12,908
2026	11,190	2,640	13,830
2027-31	51,317	5,638	56,955
2032-36	11,765	2,244	14,009
2037	<u>3,240</u>	<u>111</u>	<u>3,351</u>
Total	<u>\$117,687</u>	<u>23,822</u>	<u>141,509</u>

In the above table, certain bonds with principal payments that are dependent on the amount of pledged loan receipts are shown in the period in which final maturity of such amounts occur, though pre-payment without penalty could occur. In addition, interest payments for those same bonds include the maximum amount assuming no principal pre-payments are made.

(9) RETIREMENT PLAN

There are two retirement plans for NYSERDA employees: the New York State and Local Retirement System (the System), and the New York State Voluntary Defined Contribution Plan (VDC). Nearly all employees of NYSERDA participate in one of these two plans.

The System is a cost-sharing, multiple-employer, defined benefit public employee retirement plan. The State Comptroller is sole trustee and administrative head of the System. The System issues a publicly available financial report including financial statements and required supplementary information located on the Internet at http://www.osc.state.ny.us/retire/word_and_pdf_documents/reports/financial_statements/fs_2020.pdf or by writing to the New York State and Local Retirement System, 110 State Street, Albany, New York 12244. The System provides retirement benefits, as well as death and disability benefits. Retirement benefits are established by the New York State Retirement and Social Security Law. Retirement benefits, contributory requirements and vesting depend on the point in time at which an employee first joined the System (membership "tier"). Members of the System who joined before July 27, 1976 are enrolled in a noncontributory plan; NYSERDA contributes the entire amount determined to be payable to the System for those members. Personnel who joined the System after July 27, 1976 through January 1, 2010 and who have less than 10 years of accredited service are required by law to contribute three percent of their gross salary; NYSERDA contributes the balance payable to the System during that period, and the full amount determined to be payable thereafter. Members who joined the System between January 1, 2010 and March 31, 2012 contribute three percent of their gross salary during the full term of employment. Members who joined the System after April 1, 2012 contribute between three percent and six percent, depending on their salary, during the full term of employment. Retirement benefits vest after five to 10 years of accredited service, depending on the applicable tier.

As of the fiscal years ended March 31, 2021 and 2020, NYSERDA's proportionate share of the System's net pension liability was approximately 0.11% and 0.11%, respectively, determined based on the ratio of NYSERDA's total projected long-term contributions to the total System projected long-

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term contributions from all employers. NYSERDA, in turn, allocated a share of its pension liability and deferred outflows and deferred inflows to NY Green Bank, its proprietary fund, based on a proportional allocation methodology using direct salary expenses. The governmental activities represent approximately 87% and the proprietary fund represents approximately 13% of the proportionate share of the balances of System pension-related amounts consistent with NYSERDA's current allocation methodology. NYSERDA's net pension liability, which includes that of NY Green Bank, is as follows:

	<i>(Amount in thousands)</i>
Measurement date	03/31/2020
Actuarial valuation date	04/01/2019
Net pension liability	\$28,184

Update procedures were used to roll forward the total pension liability from the actuarial valuation date to the measurement date. The significant actuarial assumptions included in the actuarial valuation included an inflation factor of 2.5%, projected salary increases of 4.2%, and investment rate of return of 6.8%. The System also assumed a COLA of 1.3% annually. Annuitant mortality rates are based on the System's 2015 experience study of the period April 1, 2010 – March 31, 2015, with adjustments for mortality improvements based on Society of Actuaries Scale MP-2018. The discount rate used to calculate the total pension liability was 6.8%. For purposes of measuring the net pension liability, deferred outflows of resources and deferred inflows of resources related to NYSERDA's participation in the System, as well as the related pension expense, information about the fiduciary net position of the System, and additions to/deductions from NYSERDA's fiduciary net position, have been determined on the same basis as they are reported by the System. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the statutes governing the System. Investments are reported at fair value.

Pension expense for the fiscal year ended March 31, 2021 was \$9.0 million. NYSERDA's contribution to the System for the fiscal year ended March 31, 2021 was \$3.9 million, representing 100% of the required contribution.

The following table portrays the sensitivity of NYSERDA's proportionate share of the net pension liability due to changes in the discount rate:

	<i>(Amounts in thousands)</i>		
	1% Decrease	Current Discount	1% Increase
	<u>(5.8%)</u>	<u>Rate</u>	<u>(7.8%)</u>
		<u>(6.8%)</u>	
<u>Governmental activities</u>			
Net pension liability/(asset)	\$44,757	\$24,388	\$5,626
<u>Business type-activities/proprietary fund</u>			
Net pension liability/(asset)	\$6,967	\$3,796	\$876

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Balances of System pension-related deferred outflows of resources and deferred inflows of resources as of the measurement date were as follows:

	<i>(Amounts in thousands)</i>	
	<u>Deferred Outflows of Resources</u>	<u>Deferred Inflows of Resources</u>
Differences between expected and actual experience	\$1,659	-
Changes of assumptions	567	(490)
Net difference between projected and actual investment earnings on pension plan investments	14,448	-
Changes in proportion and differences between employer contributions and proportionate share of contributions	95	(868)
Employer contributions subsequent to the measurement date	<u>3,941</u>	<u>-</u>
Total	<u>\$20,710</u>	<u>(\$1,358)</u>

The amount of employer contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the fiscal year ended March 31, 2022.

The deferred outflows of resources and deferred inflows of resources to be recognized in pension expense in the following years and in the aggregate are as follows:

	<i>(Amounts in thousands)</i>		
	Governmental	Business-type	
Fiscal year Ending March 31:	<u>activities</u>	<u>activities/proprietary</u>	<u>fund</u>
2022	\$2,243	138	2,381
2023	3,532	283	3,815
2024	4,650	439	5,089
2025	<u>3,560</u>	<u>566</u>	<u>4,126</u>
Totals	<u>\$13,985</u>	<u>1,426</u>	<u>15,411</u>

The VDC is a multiple-employer, defined contribution plan administered by the Director of University Benefits for the State University of New York (SUNY); TIAA-CREF serves as the third-party administrator. On July 1, 2013, the VDC option was made available to NYSERDA employees hired on or after that date whose annual salary is \$75,000 or more. Those employees voluntarily electing the VDC plan are prohibited from joining the System (defined benefit plan) at a later date (and the opposite also applies; plan participation elections are irrevocable). VDC provides benefits through annuity contracts and provides retirement and death benefits to those employees who elected to participate in the VDC. Employees have the ability to choose from a variety of investment providers for the VDC. Benefits are determined by the amount of individual accumulations and the retirement income option selected. All benefits generally vest after the completion of one year of service if the employee is retained thereafter. Employees electing to participate in the VDC plan are required to contribute between 5.75% and 6% of gross earnings, dependent upon their salary, for their entire working career; NYSERDA contributes 8%.

One hundred thirty two employees have vested in the VDC as of March 31, 2021. NYSERDA's contribution to the VDC for the year ended March 31, 2021 was \$939,000.

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(10) LEASES

NYSERDA has multi-year operating leases expiring May 31, 2021; October 31, 2022; June 30, 2024; and October 30, 2027, for office space in West Valley, Buffalo, Albany, and New York City, respectively. For the year ended March 31, 2021, rental expense for all office facilities was \$2.1 million.

The following is a schedule, by year, of future minimum rental payments for NYSERDA's office space as of March 31, 2021:

<i>(Amounts in thousands)</i>	
Fiscal year ending March 31:	
2022	\$2,433
2023	2,387
2024	2,381
2025	2,088
2026	1,965
Thereafter	<u>3,111</u>
Total	<u>\$14,365</u>

NYSERDA is also the lessor of certain equipment comprising a cooling water structure at the Indian Point Energy Center in Buchanan, New York under a lease that expires on March 31, 2027, with annual minimum lease rental payments of \$816,000 for the fiscal years ending March 31, 2021-2027.

(11) CONTINGENCIES

(a) Western New York Nuclear Service Center

Under the federal West Valley Demonstration Project Act and an implementing Cooperative Agreement between DOE and NYSERDA, the federal government pays 90 percent of the West Valley Demonstration Project (WVDP) costs, and NYSERDA, on behalf of the State of New York, pays the remaining 10 percent. In addition, in 2010, the U.S. District Court for the Western District of New York approved an agreement between New York State and the federal government that resolved most of the claims asserted in a 2006 lawsuit filed by NYSERDA and New York State against the federal government and DOE regarding the financial responsibility for cleaning up certain facilities at West Valley. The agreement defines a specific cost share for the cleanup of a number of facilities that had long been in dispute between NYSERDA and DOE. For example, under this agreement, the federal government will pay a 30 percent share of costs associated with the State Licensed Disposal Area (SDA), which is solely owned and managed by NYSERDA, and NYSERDA, on behalf of the State, will pay the remaining 70 percent. Remediation costs for the North Plateau Groundwater Plume will be split equally between the State and federal government, and costs for remediating the Nuclear Regulatory Commission Licensed Disposal Area will also be a 50/50 split. The two governments agreed that other facilities are covered by the WVDP Act, such as the Main Process Plant building, and thus the federal government will pay 90% of the cleanup costs.

In January 2010, NYSERDA and DOE issued a final Environmental Impact Statement, which identifies and assesses the potential environmental impacts of a range of reasonable alternatives proposed to meet DOE's responsibilities under the WVDP Act and options for the State of New York, acting through NYSERDA, for management of West Valley. In April and May 2010, respectively, DOE and NYSERDA issued decision documents that formally selected the Phased Decision Making alternative for continuing the cleanup. Under Phased Decision making, decommissioning work will be conducted in two phases. During Phase 1, the Main Process Plant

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building and several other highly contaminated facilities will be removed at an estimated cost of approximately \$1.0 billion. As the Phase 1 cleanup work is proceeding, DOE and NYSERDA - conducted additional studies to reduce uncertainties in the decisions for the Phase 2 portion of the cleanup, which will be detailed in a Supplemental Environmental Impact Statement currently being developed. The 2010 Environmental Impact Statement states that the Phase 1 work would take 10 years and cost approximately \$1.0 billion based on a federal funding level of \$75.0 million per year. During most of the period between 2010 and 2020, however, the actual federal funding levels have generally ranged between \$60.0 million and \$68.0 million, extending the duration of the Phase 1 work the Federal Fiscal Year 2021 Congressional appropriation for the WVDP came in at \$92.4 million. The total cost and duration of the Phase 1 cleanup work will be in part impacted by the funding amounts appropriated annually in the federal budget.

The Phase 2 decisions, which will be made in the late 2023 timeframe, will address the remaining facilities, including the High-Level Waste Tanks, the SDA, the NRC-Licensed Disposal Area, and the main body of a plume of contaminated groundwater. Total estimated costs for completing the Phase 2 work range from over \$700.0 million to \$9.1 billion, and are dependent on the alternative selected for the remaining facilities.

In accordance with GASB Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*, no liability has been included in NYSERDA's financial statements as of March 31, 2021 for this contingency because NYSERDA expects to continue to be reimbursed from State appropriations for the State's share of the costs of the Demonstration Project, any costs NYSERDA may incur in relation to the SDA, and any other costs allocated to NYSERDA under the agreement resolving the lawsuit referenced above.

- (b) Energy Analysis- Low-Level Radioactive Waste
Pursuant to the Low-Level Radioactive Waste (LLRW) Management Act of 1986, NYSERDA annually assesses licensees of operating nuclear power plants an amount sufficient to reimburse the State for the LLRW disposal facilities development activities of the Departments of Health and Environmental Conservation, and must provide nuclear power plant licensees with a user-fee reduction, when the disposal facilities are operational, equal to the statutory assessments collected plus interest at a fair market rate. During the year ended March 31, 2021, NYSERDA paid, from the agency fund, a total of \$2.8 million to reimburse the State for such costs pursuant to Public Authorities Law Section 1854-d(2)(a).
- (c) Bond Financing Program
The principal and interest on obligations issued for participating gas and electric utility companies and other private purpose users are payable solely from payments made by participating companies. They are not general obligations of NYSERDA nor do they constitute an indebtedness of or a charge against the general credit of NYSERDA, or cause any monetary liability to NYSERDA. These bonds and notes are not a debt of the State of New York.
- The bonds and notes issued bear the name of NYSERDA and the participating company. NYSERDA assigns most of its rights and obligations to a trustee who is responsible for, among other things, disbursing bond and note proceeds and handling principal and interest payments. As of March 31, 2021, the principal totaled \$1.5 billion.
- (d) Risk management
NYSERDA is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. NYSERDA maintains commercial insurance coverage for each of those risks of loss. Management believes such coverage is sufficient to preclude any significant uninsured losses to NYSERDA. NYSERDA has not experienced any reductions in coverage and has not had any insurance settlements exceeding the coverage in the past three years.

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- (e) Contractual obligations in excess of cash and investment balances
As of March 31, 2021, NYSERDA has aggregate outstanding contractual obligations in excess of cash and investments of governmental activities/funds totaling \$12.6 billion, which will be reimbursed for qualifying program costs from monies held by utilities pursuant to BAYG agreements, from future revenues generated through Commission Orders, Memorandums of Understanding, Third-party agreements, and from federal energy grants.
- (f) NY Green Bank
As of March 31, 2021, NY Green Bank has entered into four credit contracts which, consistent with their terms, have not been funded but contain contingent obligations. NY Green Bank capital is only drawn if a contingent obligation under the respective agreement is triggered. The amount of contingent obligations as of March 31, 2021 totaled approximately \$5.7 million.

Any draws made on the above contingent obligations would be due to be repaid pursuant to the terms of their respective agreements.

(12) POSTEMPLOYMENT HEALTHCARE BENEFITS

Plan Description

The New York Civil Service Law, Section 163(2) provides for health insurance coverage for retired employees of New York State, including their spouses and dependent children. The law extends to public benefit corporations. NYSERDA maintains a single-employer defined benefit plan (the "Plan"), providing this benefit to eligible retirees and/or their spouses and dependent children. Eligibility is determined by membership in the System and New York State Voluntary Defined Contribution (VDC) Program, enrollment in the New York State Health Insurance Program at the time of retirement, and the completion of a minimum number of years of service as required by the System and VDC program. The Plan provides that members that retired prior to January 1, 2013 pay the percentage share of the health insurance premiums that active NYSERDA employees paid as of December 31, 2012. Members that retired on or after January 1, 2013 pay the same percentage share of the health insurance premiums as that charged for active State management confidential employees (as of March 31, 2021 the shares were 16% of the premium for individual coverage and 31% of the incremental premium for family coverage). NYSERDA is billed by the New York State Department of Civil Service monthly for pay-as-you-go funding requirements; however, payments are made from an irrevocable OPEB Trust account established in March 2010. The purpose of the OPEB Trust is for the accumulation of funds to pay future benefit costs. The Trust's funds are held by a third-party trustee. The Trust is managed by the Officers of NYSERDA, in consultation with an independent Investment Consultant.

As of March 31, 2021, there were 125 retirees and dependent survivors actively receiving benefits and 307 active Plan members. NYSERDA's OPEB Trust is recorded as a fiduciary fund within NYSERDA's financial statements.

Net OPEB Liability and disclosures required by GASB Statement No. 75 (Employer Reporting):

The Total OPEB liability at March 31, 2021 was determined using the January 1, 2020 actuarial valuation, and was then projected forward to the measurement date of March 31, 2020.

NYSERDA allocates a share of its Net OPEB liability and related deferred outflows and deferred inflows to NY Green Bank, its proprietary fund, based on a proportional allocation methodology using direct salary expenses. The governmental activities represent approximately 87% and the

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proprietary fund represents approximately 13% of the proportionate share of the balances of OPEB-related amounts consistent with NYSERDA's current allocation methodology.

The following table summarizes changes in the net OPEB Liability of NYSERDA, which includes that of NY Green Bank:

(Amounts in thousands)

	Total OPEB Liability (a)	Plan Fiduciary Net Position (b)	Net OPEB Liability (a) – (b)
Fiscal year ended March 31, 2020 <i>(Measurement date March 31, 2019)</i>	\$56,953	52,971	3,982
<u>Changes for the year:</u>			
Service cost	2,154		2,154
Interest	3,791		3,791
Differences between expected and actual experience	(9,953)		(9,953)
Benefit payments	(1,582)	(1,582)	-
Contributions-employer	-	2,608	(2,608)
Net investment income	-	(3,612)	3,612
Administrative expenses	-	(18)	18
Fiscal year ended March 31, 2021 <i>(Measurement date March 31, 2020)</i>	<u>\$51,363</u>	<u>50,367</u>	<u>996</u>

Sensitivity Analysis:

Discount rate

The Plan's fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the discount rate for calculating the total OPEB liability is equal to the long-term expected rate of return.

	1% Decrease (5.25%)	<i>(Amounts in thousands)</i> Current Discount Rate (6.25%)	1% Increase (7.25%)
<u>Governmental activities</u>			
Net OPEB liability (asset)	\$8,049	\$862	(\$4,927)
<u>Business type- activities/proprietary fund</u>			
Net OPEB liability (asset)	\$1,256	\$134	(\$769)

Healthcare cost trend rates

The following presents the net OPEB liability of NYSERDA, calculated using the current healthcare cost trend rates as well as what the Authority's net OPEB liability would be if it were calculated using trend rates that are 1 percentage point lower or 1 percentage point higher than the current trend rates.

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	<u>1% Decrease</u>	<u>(Amounts in thousands)</u> <u>Current Trend Rate</u>	<u>1% Increase</u>
<u>Governmental activities</u>			
Net OPEB liability (asset)	(\$5,633)	\$862	\$9,131
<u>Business type- activities/proprietary fund</u>			
Net OPEB liability (asset)	(\$879)	\$134	\$1,425

OPEB expense for the fiscal year ended March 31, 2021 was \$3.0 million. Balances of OPEB-related deferred outflows of resources and deferred inflows of resources as of March 31, 2021 were as follows:

	<u>(Amounts in thousands)</u>	
	<u>Deferred Outflows of Resources</u>	<u>Deferred Inflows of Resources</u>
Differences between expected and actual experience	\$ 261	(7,286)
Changes of assumptions	-	(1,815)
Net difference between projected and actual investment earnings on Trust investments	7,199	(407)
Employer contributions subsequent to the measurement date	<u>3,045</u>	<u>-</u>
Total	<u>\$10,505</u>	<u>(9,508)</u>

The amount of employer contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the fiscal year ended March 31, 2022.

The deferred outflows of resources and deferred inflows of resources to be recognized in OPEB expense in each of the next five years and in the aggregate thereafter is as follows:

	<u>(Amounts in thousands)</u>		
	<u>Governmental activities</u>	<u>Business-type activities/proprietary fund</u>	<u>Total</u>
Fiscal year Ending March 31:			
2022	\$477	76	553
2023	477	76	553
2024	655	101	756
2025	211	34	245
2026	(1,011)	(160)	(1,171)
Thereafter	<u>(2,575)</u>	<u>(409)</u>	<u>(2,984)</u>
Totals	<u>(\$1,766)</u>	<u>(282)</u>	<u>(2,048)</u>

Projections of benefits for financial reporting purposes are based on the Plan as understood by NYSERDA and Plan members and include the types of benefits provided at the time of valuation and the historical pattern of sharing benefit costs between NYSERDA and Plan members. The projection of benefits for financial reporting purposes does not explicitly incorporate the potential of

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legal or contractual funding limitations on the pattern of cost sharing between the employer and Plan members in the future.

The significant assumptions used in the most recent actuarial valuation were as follows:

Retirement— All employees assumed to be covered under Tier 4 of the System, with early retirement available at age 55 with 5 years of service, and unreduced benefits at age 62 with 5 years or age 55 with 30 years of service. Based on assumptions used under the System, since eligibility for NYSERDA employees covered under this plan is based on membership in that system. The System assumptions were based on extensive analysis of their covered populations.

Marital status — Assumed 60% of active male employees who choose coverage will have covered spouses at retirement, and 50% for active female employees. Male spouses were assumed to be three years older than female spouses.

Mortality Tables — Sex-distinct Pub-2010 General Employee/Retiree Mortality Tables with generational projection using Scale MP-2019. Pub-2010 General Contingent Survivor Tables with full generational projection using Scale MP-2019 were used for current beneficiaries.

Withdrawal— Rates were based on age and length of service for the first 10 years and age thereafter as the basis for assigning active members a probability of remaining employed until the assumed retirement age. Based on assumptions used under the System, since eligibility for NYSERDA employees covered under this plan is based on membership in that system. The System assumptions were based on extensive analysis of their covered populations.

Healthcare cost trend rate — The expected rate of increase in healthcare premiums was based on projections developed by the actuary's healthcare specialists. Rates of 5.6% and 3.0% for the two health insurers with the highest enrollment of Plan members were assumed initially, trending to an ultimate rate of 3.8% for both carrier's plans.

Health insurance premiums — Calendar year 2020 health insurance premiums for the two health insurers with the highest enrollment of Plan members were used as the basis for the projected valuation year premiums.

Investment return — As of March 31, 2021, Plan benefit payments are pre-funded in a segregated Trust, and a discount rate of 6.25% was used, representing the long-term anticipated earnings potential of investments in the Trust.

The actuarial funding method used was the Entry Age Normal Cost method, under which the actuarial present value of the projected benefits of each individual included in an actuarial valuation is allocated on a level basis over the earnings or service of the individual between entry age and assumed exit age(s). The portion of this actuarial present value allocated to a valuation year is called the normal cost (for purposes of GASB 75, the term normal cost is the equivalent of service cost). The portion of this actuarial present value not provided for at a valuation date by the actuarial present value of future normal costs is called the actuarial accrued liability (for purposes of GASB 75, the term actuarial accrued liability is the equivalent of total OPEB liability).

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The Plan also provides that the dollar value, subject to certain limitations, of members' accumulated sick leave credits at the time of retirement may be used to offset the portion of health insurance premiums paid by retirees. NYSERDA's estimated liability associated with sick leave credits is recorded as a Compensated Absence within Other non-current liabilities in accordance with the requirements of GASB Statement No. 16, *Accounting for Compensated Absences*. The Trust does not accumulate resources for the purpose of paying this portion of the health insurance premiums, nor does it pay any benefits for this purpose. NYSERDA's liability for that portion of the premiums is not included in the actuarially determined liabilities of the Plan, the net OPEB liability, or the OPEB expense calculations.

The cost of third-party administrators, actuarial reports, audits, and similar costs incurred exclusively for the Trust are paid from resources of the Trust. Routine daily administrative costs of administering the benefit plans, accounting services and similar costs are absorbed by NYSERDA.

The Trust has no legally required reserves.

Additional information can be found in the Required Supplementary Information section of these financial statements.

Net OPEB Liability (Asset) and Disclosures required by GASB Statement No. 74 (Plan Reporting)

The Total OPEB Liability at March 31, 2021 was determined using the January 1, 2020 actuarial valuation, and was then projected forward to March 31, 2021, calculated using the actuarial assumptions changes described below.

	<i>(Amounts in thousands)</i>		
	Total OPEB Liability	Plan Fiduciary Net Position	Net OPEB Liability (asset)
	(a)	(b)	(a) – (b)
Fiscal year ended March 31, 2020	<u>\$51,363</u>	<u>50,367</u>	<u>996</u>
<u>Changes for the year:</u>			
Service cost	2,148		2,148
Interest	3,291		3,291
Effect of economic/demographic gains or losses	(32)		(32)
Effect of assumptions changes or inputs	677		677
Benefit payments	(1,738)	(1,738)	-
Contributions-employer	-	3,045	(3,045)
Net investment income	-	18,021	(18,021)
Administrative expenses	<u>-</u>	<u>(17)</u>	<u>17</u>
Fiscal year ended March 31, 2021	<u>\$55,709</u>	<u>69,678</u>	<u>(13,969)</u>

The actuarial assumptions were the same as those noted above (for the GASB Statement No. 75 valuation) with the exception of:

Mortality Tables – Sex-distinct Pub-2010 General Employee/Retiree with Projection Scale MP-2020.

Healthcare cost trend rate – The expected rate of increase in healthcare premiums was based on projections developed by the actuary's healthcare specialists. Rates of 2.4% and 2.1% for the two health insurers with the highest enrollment of Plan members were assumed initially, trending to an ultimate rate of 3.7% for both carrier's plans.

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Investment return – As of March 31, 2021, Plan benefit payments are pre-funded in a segregated Trust, and a discount rate of 6.0% was used, representing the long-term anticipated earnings potential of investments in the Trust.

Sensitivity Analysis:

Discount rate

The Plan's fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the discount rate for calculating the total OPEB liability is equal to the long-term expected rate of return.

	<i>(Amounts in thousands)</i>		
	1% Decrease	Current Discount Rate	1% Increase
	<u>(5.0%)</u>	<u>(6.0%)</u>	<u>(7.0%)</u>
Net OPEB asset	(\$4,994)	(\$13,969)	(\$21,219)

Healthcare cost trend rates

	<i>(Amounts in thousands)</i>		
	1% Decrease	Current Trend Rate	1% Increase
	<u>(\$22,584)</u>	<u>(\$13,969)</u>	<u>(\$2,967)</u>
Net OPEB asset			

Money-Weighted Rate of Return:

For the year ended March 31, 2021, the annual money-weighted rate of return on investments, calculated as the internal rate of return on Plan investments, net of investment expense, was 35.36%. The money-weighted rate of return expresses investment performance, net of investment expense, adjusted for the changing amounts actually invested.

Long-term expected rate of return:

<u>Asset Class</u>	<u>Index</u>	<u>Target Allocation</u>	<u>Long-Term Expected Arithmetic Real Rate of Return</u>	<u>Long-Term Expected Geometric Real Rate of Return</u>
US Cash	BAML 3-Mon Tbill	1.00%	-0.30%	-0.29%
US Short Bonds	Barclays 1-3 Yr Gvt/Credit	3.00%	0.78%	0.75%
US Interm Bonds	Barclays IT Gvt/Credit	14.00%	0.85%	0.79%
US Long Bonds	Barclays LT Gvt/Credit	6.00%	2.65%	2.26%
US Inflation-Indexed Bonds	Barclays US TIPs	10.00%	0.22%	0.14%
US High Yield Bonds	BAML High Yield	12.00%	4.40%	3.89%
Non-US Bonds	JPM GBI Global xUS	1.00%	0.42%	0.00%
US Large Caps	S&P 500	15.00%	3.96%	2.74%
US Small Caps	Russell 2000	6.00%	5.13%	3.08%
US Mid Caps	Russell MidCap	1.00%	4.30%	2.69%
Foreign Developed Equity	MSCI EAFE NR	15.00%	5.76%	4.16%
Emerging Markets Equity	MSCI EM NR	15.00%	7.57%	4.70%
	FTSE EPRA/NAREIT			
Global REITs	Developed	1.00%	5.68%	3.86%

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Additional information can be found in the Required Supplementary Information section of these financial statements.

(13) INTERFUND BALANCES AND TRANSFERS

The balances reflected in Due to other funds and Due from other funds reflect the timing difference of when expenditures are incurred and when interfund reimbursement occurs.

Transfers consist of amounts transferred between various Functions/Programs and Funds pursuant to various Orders of the Commission, NYSERDA's approved RGGI operating plan, and to fund expenditures and working capital balances pursuant to the CEF Order's "Bill-As-You-Go" process, as summarized below:

(Amounts in thousands)

<u>Transfers From</u>	<u>Transfers To</u>						<u>Total</u>
	<u>CEF</u>	<u>NYSUN</u>	<u>CES</u>	<u>RGGI</u>	<u>GJGNY</u>	<u>Other Funds</u>	
CEF	\$ -	9,832	-	5,188	-	5,004	20,024
NYSUN	1,600	-	-	-	-	1,050	2,650
RGGI	61,835	-	-	-	37,700	-	99,535
GJGNY	-	-	-	2,000	-	-	2,000
Other Funds	<u>7,018</u>	<u>8,461</u>	<u>14,855</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>30,334</u>
Total							
Governmental Funds	<u>\$70,453</u>	<u>18,293</u>	<u>14,855</u>	<u>7,188</u>	<u>37,700</u>	<u>6,054</u>	<u>154,543</u>

(14) SUBSEQUENT EVENTS

The COVID-19 outbreak is disrupting supply chains and affecting operations and the financial condition across a range of industries, including the clean energy sector. The extent of the impact of COVID-19 on NYSERDA's operational and financial performance will depend on certain developments, including but not limited to the duration and spread of the outbreak, impact on our employees, impact on program partners and contractors, State policy directives, and actions by the Commission which may adjust current program activities, all of which are uncertain and cannot be predicted. As of June 22, 2021, the issuance date of the financial statements, the extent to which COVID-19 may impact NYSERDA's future programmatic activities and accomplishments is uncertain, and is likely to impact future financial performance against previously established budgets, but is not likely to have a materially adverse impact on NYSERDA's future financial condition.

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**Schedules of Required Supplementary Information (Unaudited)
March 31, 2021**

NYSERDA's Contributions to the System Pension Plan

	<i>(Amounts in thousands)</i>					
Fiscal year ended March 31,	<u>2021</u>	<u>2020</u>	<u>2019</u>	<u>2018</u>	<u>2017</u>	<u>2016</u>
Actuarially determined contribution	\$3,941	\$3,844	\$3,808	\$3,805	\$3,866	\$3,993
Contributions in relation to the actuarially determined contribution	<u>\$3,941</u>	<u>\$3,844</u>	<u>\$3,808</u>	<u>\$3,805</u>	<u>\$3,866</u>	<u>\$3,993</u>
Contribution deficiency (excess)	-	-	-	-	-	-
Covered- payroll	\$28,191	\$27,760	\$27,362	\$26,088	\$25,854	\$26,153
Contributions as a percentage of covered-employee payroll	14.0%	13.8%	13.9%	14.6%	15.0%	15.3%

Schedule is intended to show information for 10 years. Additional years will be displayed as they become available.

NYSERDA's Proportionate Share of the System's Net Pension Liability

	<i>(Amounts in thousands)</i>					
Fiscal year ended March 31, <i>(Measurement date March 31,)</i>	<u>2021</u> 2020	<u>2020</u> 2019	<u>2019</u> 2018	<u>2018</u> 2017	<u>2017</u> 2016	<u>2016</u> 2015
Proportion of the net pension liability	0.11%	0.11%	0.10%	0.11%	0.11%	0.12%
Proportionate share of the net pension liability	\$28,184	\$7,599	\$3,367	\$10,279	\$17,556	\$4,004
Covered- payroll	\$27,760	\$27,362	\$26,088	\$25,854	\$26,153	\$25,135
Proportionate share of the net pension liability as a % of its covered payroll	101.5%	27.8%	12.9%	39.8%	67.1%	15.9%
Ratio of fiduciary net position to total pension liability	86.39%	96.27%	98.24%	94.7%	90.7%	97.9%

Schedule is intended to show information for 10 years. Additional years will be displayed as they become available.

See accompanying independent auditors' report

**NEW YORK STATE ENERGY
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**Schedules of Required Supplementary Information (Unaudited)
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Schedule of Changes in Net OPEB Liability (Asset) and Related Ratios

		<i>(Amounts in thousands)</i>			
<u>Fiscal year ended March 31,</u>	<u>Employer Reporting:</u>	<i>n/a</i>	2021	2020	2019
	<u>Plan Reporting:</u>	2021	2020	2019	2018
Total OPEB liability					
Total OPEB liability- beginning <i>(Measurement date March 31.)</i>		\$51,363 2020	\$56,953 2019	\$52,709 2018	\$49,081 2017
Changes for the year:					
Service Cost		2,148	2,154	2,031	1,887
Interest		3,291	3,791	3,512	3,260
Effect of economic/demographic gains or losses		(32)	(8,075)	350	(233)
Effect of assumptions changes or inputs		677	(1,878)	(210)	-
Benefit payments		(1,738)	(1,582)	(1,439)	(1,286)
Total OPEB liability- ending (a) <i>(Measurement date March 31.)</i>		<u>\$55,709</u> 2021	<u>\$51,363</u> 2020	<u>\$56,953</u> 2019	<u>\$52,709</u> 2018
Plan fiduciary net position					
Plan fiduciary net position- beginning <i>(Measurement date March 31.)</i>		\$50,367 2020	\$52,971 2019	\$48,767 2018	\$43,176 2017
Changes for the year:					
Benefit payments		(1,738)	(1,582)	(1,439)	(1,286)
Employer contributions		3,045	2,608	4,963	3,013
Net investment income		18,021	(3,612)	727	3,879
Administrative expenses		(17)	(18)	(47)	(15)
Plan fiduciary net position- ending (b) <i>(Measurement date March 31.)</i>		<u>\$69,678</u> 2021	<u>\$50,367</u> 2020	<u>\$52,971</u> 2019	<u>\$48,767</u> 2018
Net OPEB liability (asset)					
Net OPEB liability- beginning		\$996	\$3,982	\$3,942	\$5,905
Net OPEB liability (asset)- ending (a) – (b)		(\$13,969)	\$996	\$3,982	\$3,942
Fiduciary net position as a % of total OPEB liability		125.07%	98.06%	93.01%	92.52%
Covered payroll		\$38,962	\$39,320	\$37,638	\$35,757
Net OPEB liability (asset) as a % of covered payroll		(35.85%)	2.53%	10.58%	11.02%

Notes to schedule: "n/a" indicates the ending Net OPEB liability (asset) (and respective columnar data presented which tabulates it), is not yet reportable by the Employer, NYSERDA, on its Statement of Net Position, due to NYSERDA's allowable (by GASB Statement No. 75) one-year lag in Employer vs. Plan reporting.

Schedule is intended to show information for 10 years. Additional years will be displayed as they become available.

See accompanying independent auditors' report

**NEW YORK STATE ENERGY
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**Schedules of Required Supplementary Information (Unaudited)
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Schedule of Employer Contributions for OPEB (Employer and Plan Reporting)

	<i>(Amounts in thousands)</i>		
Fiscal year ended March 31,	<u>2021</u>	<u>2020</u>	<u>2019</u>
Actuarially determined contribution	\$3,045	\$2,608	\$2,071
Actual employer contribution	<u>3,045</u>	<u>2,608</u>	<u>4,963</u>
Contribution deficiency (excess)	<u>(\$-)</u>	<u>(\$-)</u>	<u>(\$2,892)</u>
Covered payroll	\$38,962	\$39,320	\$37,638
Contribution as a % of covered payroll	7.82%	6.63%	13.19%

Schedule is intended to show information for 10 years. Additional years will be displayed as they become available.

Schedule of Investment Returns- OPEB Trust (Plan Reporting)

Fiscal year ended <u>March 31,</u>	Net annual money-weighted <u>rate of return</u>
2021	35.36%
2020	(6.76)%
2019	1.45%
2018	8.84%

Schedule is intended to show information for 10 years. Additional years will be displayed as they become available.

See accompanying independent auditors' report

NY GREEN BANK
(A Division of the New York State Energy Research and Development Authority)

FINANCIAL STATEMENTS

March 31, 2021

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DRAFT

RESPONSIBILITY FOR FINANCIAL REPORTING

Management is responsible for the preparation, integrity and objectivity of the financial statements of the New York State Energy Research and Development Authority (the Authority), as well as all other information contained in the Authority's Annual Report. The financial statements of the Authority for the fiscal year ended March 31, 2021 were prepared in conformity with U.S. generally accepted accounting principles (U.S. GAAP). The Board of the Authority (the Board) adopted these financial statements and the Annual Report at a meeting on June 22, 2021.

The Authority maintains a system of internal controls, the objectives of which are to provide reasonable assurance as to the proper authorization and recording of transactions, the safeguarding of Authority assets, the compliance with applicable laws and regulations, and the reliability of financial records for preparing financial statements. The internal control structure is subject to periodic review by management, internal audit staff and the independent auditors. No internal control system can provide absolute assurance that errors and irregularities will not occur due to the inherent limitations of the effectiveness of internal controls; however, management strives to maintain a balance, recognizing that the cost of such system should not exceed the benefits derived.

The Authority's financial statements have been audited by KPMG LLP, independent auditors appointed by the Members of the Authority. Management has made available to the independent auditors all the financial records and related data of the Authority, as well as provided access to all the minutes of the meetings of the Board and its standing committees. The independent auditors periodically meet directly with the Audit and Finance Committee of the Board, which is comprised of Members who are not employees of the Authority.

The independent audit included obtaining an understanding of the internal control structure, tests of accounting records, and other procedures which the independent auditors considered necessary in order to express opinions as to the fairness of the presentation of the financial statements. No material weaknesses in internal control or any condition of non-compliance with applicable laws, regulations or policy were noted by the independent auditors through the execution of their audit procedures. The unmodified independent auditors' report attests that the financial statements are presented fairly, in all material respects, in accordance with U.S. GAAP.



Doreen M. Harris
President and Chief Executive Officer



Jeffrey J. Pitkin
Treasurer and Chief Financial Officer

Independent Auditors' Report

Members of the Authority
New York State Energy Research and Development Authority:

Report of the Financial Statements

We have audited the accompanying financial statements of NY Green Bank, an enterprise fund of the New York State Energy Research and Development Authority (the Fund), which comprise the statement of net position as of March 31, 2021, and the related statements of revenue, expenses and changes in fund net position and cash flows for the year then ended, and the related notes to the financial statements, as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Fund as of March 31, 2021, and the changes in its financial position and its cash flows for the year then ended in accordance with U.S. generally accepted accounting principles.

Emphasis of Matter

As discussed in Note 2(a) to the financial statements, the financial statements present only the NY Green Bank Fund and do not purport to and do not present fairly the financial position of the New York State Energy Research and Development Authority as of March 31, 2021, the changes in its financial position, or, where applicable, its cash flows for the year then ended in conformity with U.S. generally accepted accounting principles. Our opinion is not modified with respect to this matter.

Other Matters

Prior-Year Comparative Information

We have previously audited the 2020 financial statements of NY Green Bank, and we expressed an unmodified audit opinion on those audited financial statements in our report dated June 26, 2020. In our opinion, the comparative information presented herein as of and for the year ended March 31, 2020, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Required Supplementary Information

U.S. generally accepted accounting principles require that the management's discussion and analysis be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary and Other Information

Our audit was conducted for the purpose of forming an opinion on the Fund's basic financial statements. The Responsibility for Financial Reporting is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated June XX, 2021 on our consideration of the Fund's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Fund's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Fund's internal control over financial reporting and compliance.

 (signed) KPMG LLP

Albany, New York
June XX, 2021

NY Green Bank
(A Division of the New York State Energy Research and Development Authority)
Management's Discussion and Analysis
For the Year Ended March 31, 2021
Unaudited

The following Management's Discussion and Analysis (MD&A) of NY Green Bank's financial performance provides an overview of NY Green Bank's financial activities for the fiscal year ended March 31, 2021. The information contained in the MD&A should be considered in conjunction with the information in the accompanying financial statements and related notes. Following this MD&A are the basic financial statements of NY Green Bank with the notes thereto that are essential to a full understanding of the data contained in the financial statements. NY Green Bank's basic financial statements have the following components: (1) proprietary fund financial statements and (2) notes to the basic financial statements.

Proprietary fund financial statements are designed to provide readers with a broad overview of NY Green Bank's finances in a manner similar to a private-sector business. The *Statement of Net Position* presents information on NY Green Bank's assets, deferred outflows of resources, liabilities, and deferred inflows of resources, and the difference between these is reported as net position. The *Statement of Revenues, Expenses and Changes in Fund Net Position* presents information showing how NY Green Bank's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of the related cash flows. Thus, revenues and expenses that are reported for some items will result in cash flows in future fiscal periods. The *Statement of Cash Flows* shows how changes in balance sheet and income accounts affect cash balances at year end. The notes to the basic financial statements provide additional information that is essential for a full understanding of the information provided in the proprietary fund financial statements.

NY Green Bank is a division of the New York State Energy Research and Development Authority (NYSERDA). The financial statements present the financial results of NY Green Bank and not the overall financial results of NYSERDA. A complete set of NYSERDA's audited financial statements is available upon request.

CONDENSED FINANCIAL INFORMATION

The following condensed financial information is presented from NY Green Bank's financial statements.

Summary of Net Position	(Amounts in thousands)		
	March 31, 2021	March 31, 2020	% Change 2021-2020
Cash and investments	\$386,078	\$164,361	134.9%
Loans and financing receivables, net	572,700	441,559	29.7%
Other assets	3,242	3,207	1.1%
Total assets	962,020	609,127	57.9%
Deferred outflows of resources	4,289	1,527	180.9%
Net pension liability	3,796	885	328.9%
Net OPEB liability	134	528	(74.6)%
Other liabilities	927	2,361	(60.7)%
Total liabilities	4,857	3,774	28.7%
Deferred inflows of resources	1,428	486	193.8%
Net position - restricted	\$960,024	\$606,394	58.3%

For the year ended March 31, 2021, NY Green Bank continued its focus on execution and asset management across all aspects of its sustainable infrastructure investment business, consistent with comparable established entities with analogous investment activities. This was reflected in additional transaction execution activity in the period, increasing NY Green Bank's Loans and Financing

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Receivables (hereinafter referred to as "Portfolio Investment(s)"), ongoing business development to maintain a robust pipeline of potential Portfolio Investments, as well as focus on implementing and maintaining operational platforms, policies and practices consistent with industry best practice.

NY Green Bank has received over \$5.2 billion in Portfolio Investment proposals from inception through March 31, 2021. Its active pipeline of potential Portfolio Investments proceeding towards closing was \$901.8 million as of March 31, 2021 continuing to be diversified across technology, location, and end-user segments. During the past fiscal year, NY Green Bank closed thirty new Portfolio Investment transactions totaling commitments of \$349.0 million. Through March 31, 2021, NY Green Bank's overall sustainable infrastructure investments since inception totaled \$1.3 billion, facilitating clean energy development in New York State with an aggregate estimated total project cost in the range of \$2.9 billion to \$3.6 billion. At the same date, NY Green Bank's current portfolio (representing the aggregate dollar value of its sustainable infrastructure investments and comprising deployed as well as committed amounts on that date) was \$782.2 million. This is net of all permanent repayments of principal received by NY Green Bank in accordance with the terms of its Portfolio Investments that occurred within the year in the amount of \$128.6 million (i.e., does not include paydowns against revolving facilities that remain available for redraw, which aggregated \$82.8 million in the fiscal year), and includes capitalized items (e.g., interest and/or fees) to the extent capitalized within the period (i.e., not amounts on account of interest and/or fees that may be capitalized in future periods). Principal repaid, together with net income generated by NY Green Bank becomes immediately available for recycling into further investments, amplifying the positive effects of each dollar of NY Green Bank capital on clean energy markets within the State for the benefit of all New Yorkers.

The 134.9% increase in Cash and Investments reflects that NY Green Bank's Portfolio is more established with an increasing volume of investments starting to pay down, and such returned capital being available for recycling into new Portfolio Investment transactions, in addition to funds received on account of further capitalization. NY Green Bank's cash and invested capital balances reflect \$956.3 million in funded capitalization received to date. NY Green Bank receives incremental capital contributions through NYSERDA as capital is committed (taking into account projected liquidity needs) and an additional \$44.2 million in capitalization is authorized to be provided based on the New York State Public Service Commission's (Commission) authorization of \$1.0 billion in total funded capitalization. In turn, NYSERDA funds these contributions either from a transfer of existing cash and investment balances (of certain Commission authorized programs), or from the Clean Energy Fund (CEF) ratepayer collections held by the electric utilities under the "Bill-As-You-Go" (BAYG) approach, consistent with the Commission Order establishing the CEF. NY Green Bank's forecasted liquidity needs are fully addressed through these funded capital contributions, or through the use of a credit facility, if and when required.

As part of U.S. generally accepted accounting principles (U.S. GAAP), the Governmental Accounting Standards Board (GASB) requires capital contributions made to NY Green Bank from NYSERDA's available cash and investment balances to be treated as transfers, while those derived directly from the BAYG approach be accounted for as non-operating revenues to NY Green Bank. As a result, additions to NY Green Bank's capital during the fiscal year equal the sum of "Capital contributions" under Non-operating revenues and "Transfers In-Capital Contributions (Redemptions)" on NY Green Bank's Statement of Revenues, Expenses and Changes in Fund Net Position.

The variance in Deferred Outflows and Inflows of Resources and Net Pension and OPEB Liabilities results from changes in the actuarially-determined amounts determined through separate actuarial valuations, of which NY Green Bank, as a proprietary fund of NYSERDA, is required to record its proportionate share of NYSERDA actuarially determined amounts. Other Liabilities decreased (60.7)% during the fiscal year, primarily from a decrease in operating liabilities and borrower deposits.

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It is important to note that, consistent with its business model, not all funds that NY Green Bank commits to sustainable infrastructure and clean energy development in the State are intended to be deployed and disbursed immediately, or in some cases, at all, pursuant to executed contractual arrangements. Many NY Green Bank Portfolio Investments relate to distributed generation or energy efficiency finance, which are most commonly focused on funding portfolios of projects. Once a Portfolio Investment of these types closes, developers begin implementing projects and drawing down on capital over time. These financings are “delayed draw” by design in that funds are not deployed until project sponsors meet certain development milestones over a time period necessary to originate, develop and construct a large number of smaller, distributed clean energy projects. NY Green Bank also enters into transactions that are not expected to be funded, such as those involving credit enhancements that comprise a contingent obligation for NY Green Bank, and where NY Green Bank capital is only drawn if a contingency is triggered.

Total Assets and Net Position increased by \$352.9 million and \$353.6 million, during the current fiscal year, respectively. NY Green Bank's Net Position as of March 31, 2021 was \$960.0 million, reflecting the total capitalization of \$956.3 million funded through the end of the year, less \$52.9 million of NYSERDA capital redemptions, plus \$56.7 million in cumulative revenues in excess of cumulative expenses. The \$52.9 million capital redemption reflects a portion of NY Green Bank's initial capitalization funded from Regional Greenhouse Gas Initiative revenues repurposed by NYSERDA to provide funding to support NYSERDA's statewide energy storage initiative.

	<i>(Amounts in thousands)</i>		
	March 31, 2021	March 31, 2020	% Change 2021-2020
Summary of Changes in Net Position			
Operating revenues:			
Fees	\$5,284	\$3,347	57.9%
Loans and financing receivables interest	24,327	18,612	30.7%
Total operating revenues:	29,611	21,959	34.8%
Operating expenses:			
Salaries and benefits	8,510	7,484	13.7%
Investment related expenses	585	399	46.6%
Other operating costs	1,761	1,277	37.9%
General and administrative expenses	1,571	1,247	26.0%
Depreciation	147	189	(22.2)%
NY State assessments	149	112	33.0%
Total operating expenses	12,723	10,708	18.8%
Operating income	16,888	11,251	50.1%
Non-operating revenues:			
Capital contributions	336,981	20,678	1,529.7%
Investment income	216	6,224	(96.5)%
Total non-operating revenues	337,197	26,902	1,153.4%
Non-operating expenses:			
Capital expansion	410	44	831.8%
Program evaluation	45	170	(73.5)%
Total non-operating expenses	455	214	112.6%
Income before transfers	353,630	37,939	832.1%
Change in net position	353,630	37,939	832.1%
Net position, beginning of year	606,394	568,455	6.7%
Net position, end of year	\$960,024	\$606,394	58.3%

Total Operating Revenues increased \$7.7 million (34.8)% from the prior year. NY Green Bank closed twice as many transactions during the fiscal year as in the prior year; total dollar commitments were also

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Total Operating Revenues increased \$7.7 million (34.8)% from the prior year. NY Green Bank closed twice as many transactions during the fiscal year as in the prior year; total dollar commitments were also higher, which drove a 57.9% increase in fees. An increase in loans and financing receivables interest of 30.7% reflects the increased number of transactions in NY Green Bank's Investment Portfolio over the prior year.

Total Operating Expenses increased \$5.6 million (19.5)%, most significantly driven by increases in Salaries and Benefits; these and other costs are discussed below.

Salaries and Benefits, which include NY Green Bank staff salary expenses (\$3.9 million), NYSERDA allocated administrative salary expenses (\$1.6 million), and allocated fringe benefit expenses (\$3.1 million), increased \$1.0 million (13.7%) from the prior year. This occurred due to an increase in NY Green Bank's staffing consistent with plan as well as an increase in the overall pool of allocable expenses of which NY Green Bank pays its pro rata share (which is calculated based on NY Green Bank's direct staffing costs as a proportion of NYSERDA's program staffing costs).

Investment Related Expenses, which include legal and other costs incurred for various Portfolio Investments, were 46.6% greater than the previous year, which generally reflects decreased counterparty reimbursement rates. Other Operating Costs increased \$0.5 million (37.9%) due to increase in professional service expenses (e.g., consultants and temporary employees).

General and Administrative Expenses, which include allocable costs such as rent, utilities, and insurance increased \$0.3 million (26.0%) due to an increase in the allocable cost as compared to the prior year, generally as a result of the increase in salary costs. Depreciation and NY State Assessments reflect NY Green Bank's allocable share of NYSERDA's depreciation and NYSERDA's cost recovery fee (CRF) assessment from the State of New York, respectively. Depreciation decreased and NY State Assessments increased from the prior year.

Investment Income decreased \$6 million (96.5%). This was driven largely by lower interest rates on short-term investments held during the fiscal year.

Non-operating expenses increased \$0.2 million, primarily due to the increase in capital advisory costs from the prior year.

CAPITAL ASSET AND DEBT ADMINISTRATION

Capital assets are purchased and owned by NYSERDA. NY Green Bank does not maintain capital assets on its Statement of Net Position, but instead is allocated depreciation expense on capital assets from NYSERDA.

ECONOMIC AND OTHER MARKET FACTORS

Portfolio Investments in, and funding of, clean energy projects may be impacted by a number of factors including, but not limited to, general economic conditions, energy prices, materials and equipment availability and costs, energy system reliability, energy technology advancements, and regulatory and public policy matters both within and beyond New York State.

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COVID-19 IMPACTS

In response to the COVID-19 health crisis, NY Green Bank moved its workforce to work remotely effective March 16, 2020 and was able to maintain effective communications and carry out its activities without any disruption through March 31, 2021. NY Green Bank's Loans and Financing Receivables provide credit facilities to 54 counterparties, many of whom were impacted by the "New York State on Pause" Executive Order which directed non-essential businesses in New York State to close in-office personnel functions effective March 22, 2020. These organizations have resumed operations in accordance with Federal, State and local government guidelines. Despite the suspension of programmatic activities, this did not materially impact NYGB's programmatic activities and accomplishments for the fiscal year ended March 31, 2021. As of March 31, 2021, NY Green Bank closed on \$349.0 million in transactions, demonstrating continued support for its counterparties. The COVID-19 health crisis had limited impacts on certain financial performance for the fiscal year ended March 31, 2021 but has not had a material impact on the financial condition of NY Green Bank as of March 31, 2021.

CONTACT FOR NY GREEN BANK'S FINANCIAL MANAGEMENT

This report is designed to provide a general overview of the finances of NY Green Bank, a division of NYSERDA, for interested parties. Questions concerning any information within this report or requests for additional information should be addressed to Jeffrey J. Pitkin, Treasurer and Chief Financial Officer, NYSERDA, 17 Columbia Circle, Albany, NY 12203.

NY Green Bank
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Statement of Net Position
March 31, 2021
(with comparative totals for March 31, 2020)
(Amounts in thousands)

	March 31, 2021	March 31, 2020
<u>ASSETS:</u>		
Current assets:		
Cash and investments	\$ 386,078	164,361
Third-party billings receivable	27	35
Interest receivable on loans	3,215	3,172
Loans and financing receivables due within one year, net	75,787	55,823
Total current assets	465,107	223,391
Non-current assets:		
Loans and financing receivables-long term, net	496,913	385,736
Total non-current assets	496,913	385,736
Total assets	962,020	609,127
<u>DEFERRED OUTFLOWS OF RESOURCES</u>	4,289	1,527
<u>LIABILITIES:</u>		
Current liabilities:		
Accounts payable	94	29
Accrued liabilities	372	227
Escrow deposits	461	2,105
Total current liabilities	927	2,361
Non-current liabilities:		
Net pension liability	3,796	885
Net OPEB liability	134	528
Total non-current liabilities	3,930	1,413
Total liabilities	4,857	3,774
<u>DEFERRED INFLOWS OF RESOURCES</u>	1,428	486
<u>NET POSITION:</u>		
Net position restricted for specific programs	\$ 960,024	606,394

See accompanying notes to the basic financial statements.

NY Green Bank
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Statement of Revenues, Expenses and Changes in Fund Net Position
For the year ended March 31, 2021
(with comparative totals for March 31, 2020)
(Amounts in thousands)

	March 31, 2021	March 31, 2020
<u>OPERATING REVENUES:</u>		
Closing fees	\$ 3,915	2,528
Undrawn fees	836	572
Administrative fees	273	103
Other fees	260	144
Loans and financing receivables interest	24,327	18,612
Total operating revenues	<u>29,611</u>	<u>21,959</u>
<u>OPERATING EXPENSES:</u>		
Salaries and benefits	8,510	7,484
Investment related expenses	585	399
Other operating costs	1,761	1,277
General & administrative expenses	1,571	1,247
Depreciation	147	189
NY State assessments	149	112
Total operating expenses	<u>12,723</u>	<u>10,708</u>
OPERATING INCOME	<u>16,888</u>	<u>11,251</u>
<u>NON-OPERATING REVENUES:</u>		
Capital contributions	336,981	20,678
Investment income	216	6,224
Total non-operating revenues	<u>337,197</u>	<u>26,902</u>
<u>NON-OPERATING EXPENSES:</u>		
Capital expansion	410	44
Program evaluation	45	170
Total non-operating expenses	<u>455</u>	<u>214</u>
INCOME BEFORE TRANSFERS	<u>353,630</u>	<u>37,939</u>
Change in net position	353,630	37,939
Net position, beginning of year	<u>606,394</u>	<u>568,455</u>
Net position, end of year	<u>\$ 960,024</u>	<u>606,394</u>

See accompanying notes to the basic financial statements.

NY Green Bank
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Statement of Cash Flows
For the year ended March 31, 2021
(with comparative totals for March 31, 2020)
(Amounts in thousands)

	March 31, 2021	March 31, 2020
CASH FLOWS FROM OPERATING ACTIVITIES:		
Closing fees collected	\$ 3,930	2,588
Undrawn fees collected	786	581
Administrative fees collected	278	93
Other fees collected	244	210
Loans and financing receivables interest collected	24,338	17,694
(Disbursement) receipt of escrow deposits	(1,644)	282
Payments to employees & employee benefit providers	(7,812)	(7,405)
Payments to suppliers	(3,750)	(3,508)
Payment for allocated depreciation	(147)	(189)
Payments to NYS	(149)	(112)
Loans and financing receivables deployed	(344,660)	(258,384)
Loans and financing receivables principal repayments	213,516	116,279
Net cash used in operating activities	<u>(115,070)</u>	<u>(131,871)</u>
CASH FLOWS FROM NON-CAPITAL FINANCING ACTIVITIES:		
Capital contributions	336,981	20,678
Capital expansion	(410)	(44)
Net cash provided by non-capital financing activities	<u>336,571</u>	<u>20,634</u>
CASH FLOWS FROM INVESTING ACTIVITIES:		
Purchase of investments	(2,130,515)	(412,379)
Proceeds from sale of investments	1,936,070	507,973
Investment income	3,219	5,895
Net cash (used in) provided by investing activities	<u>(191,226)</u>	<u>101,489</u>
NET CHANGE IN CASH and CASH EQUIVALENTS:	30,275	(9,748)
Cash and cash equivalents, beginning of year	14,531	24,279
Cash and cash equivalents, end of year	<u>\$ 44,806</u>	<u>14,531</u>
RECONCILIATION OF OPERATING INCOME TO NET CASH USED IN OPERATING ACTIVITIES:		
Operating income	\$16,888	11,251
Adjustments to reconcile operating Income to net cash used in operating activities:		
Decrease in third party billings receivable	8	139
Increase in interest receivable	(43)	(908)
Increase in loans and financing receivables	(131,141)	(142,129)
Increase in accounts payable	65	29
Increase (decrease) in accrued liabilities	145	(444)
(Decrease) increase in escrow deposits	(1,644)	282
Net change in pension & OPEB related accounts	697	79
Non-operating expenses unrelated to financing activities	(45)	(170)
Net cash used in operating activities	<u>\$ (115,070)</u>	<u>(131,871)</u>

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Notes to Basic Financial Statements
March 31, 2021

(1) GENERAL

The \$1.0 billion NY Green Bank was established to attract private sector capital to accelerate clean energy deployment in New York State (NYS or the State). To date, NY Green Bank has participated in transactions by providing: construction and longer-term post-construction financing and investment, financing to enable developers to aggregate smaller distributed assets into portfolios at scale, and credit enhancements.

NY Green Bank works to increase the size, volume, and breadth of clean energy and sustainable infrastructure investment activity throughout the State, expand the base of investors focused on NYS clean energy, and increase clean energy participants' access to capital. To do so, NY Green Bank collaborates with the private sector to develop transaction structures and methodologies that overcome typical clean energy investment barriers, such as challenges in evaluating risk and addressing the needs of distributed energy and efficiency projects where underwriting may be geared more towards larger projects and/or groups of somewhat homogeneous investment opportunities.

NY Green Bank focuses on opportunities that create attractive precedents, standardized practices, and roadmaps that capital providers can willingly replicate and scale. As funders "crowd in" to a particular area within the sustainable infrastructure and clean energy landscape, NY Green Bank moves on to other areas that have attracted less investor interest.

As a key component of New York's Clean Energy Fund (CEF), NY Green Bank is structured to be self-sustaining in that it must ultimately cover its own costs of operation. The CEF is a \$5.3 billion commitment, representing part of Governor Andrew M. Cuomo's Reforming the Energy Vision (REV) strategy to advance clean energy growth and innovation and drive economic development across the State while reducing ratepayer collections.

NY Green Bank is a division of the New York State Energy Research and Development Authority (NYSERDA). NYSERDA is a public benefit corporation established in 1975 pursuant to Title 9 of Article 8 of the Public Authorities Law of the State of New York. NYSERDA is included in the State's basic financial statements as a component unit.

Pursuant to various Orders of the NYS Public Service Commission (Commission), the Commission authorized a total of \$1 billion in funded capitalization for NY Green Bank. NY Green Bank receives incremental capital contributions through NYSERDA upon executing new commitments of the authorized capital. In turn, NYSERDA funds these contributions either from a transfer of existing cash and investment balances (of certain Commission authorized programs), or from the CEF ratepayer collections held by the electric utilities, an approach known as "Bill As You Go" (BAYG). As of March 31, 2021, total ratepayer funded capitalization provided to NY Green Bank was \$955.8 million, resulting in an unfunded amount of \$44.2 million that will be subsequently provided through BAYG.

As part of U.S. generally accepted accounting principles (U.S. GAAP), the Governmental Accounting Standards Board (GASB) requires capital contributions made to NY Green Bank from NYSERDA's available cash and investment balances to be treated as transfers, while those derived directly from the BAYG approach be accounted for as non-operating revenues to NY Green Bank. As a result, additions to NY Green Bank's capital during the fiscal year equal the sum of "Capital contributions" under Non-operating revenues and "Transfers in" on NY Green Bank's Statement of Revenues, Expenses and Changes in Fund Net Position.

Notes to Basic Financial Statements
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(2) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

(a) Basis of presentation

NY Green Bank is presented as a proprietary fund. Proprietary funds are used to report business-type activities for which a fee is charged to external users for goods or services. NY Green Bank's proprietary fund financial statements are designed to provide readers with a broad overview of NY Green Bank's finances in a manner similar to a private-sector business and consist of a Statement of Net Position; a Statement of Revenues, Expenses and Changes in Fund Net Position; and a Statement of Cash Flows.

Net position restricted for specific programs in the proprietary fund financial statements is defined as the amount of restricted assets and deferred outflows of resources reduced by liabilities and deferred inflows of resources related to those assets.

The accompanying financial statements present only the activities of NY Green Bank and the results of its operations in conformity with U.S. GAAP and are not intended to present the financial position of NYSERDA. These financial statements include certain prior year comparative information but not at the level of detail required for a presentation in conformity with U.S. GAAP. Accordingly, such information should be read in conjunction with NY Green Bank's financial statements for the year ended March 31, 2021 from which the information was derived.

(b) Basis of accounting

Proprietary fund financial statements are prepared using the economic resources measurement focus and accrual basis of accounting. Revenues resulting from exchange transactions are recognized when the exchange takes place. Revenues resulting from non-exchange transactions are recognized when all eligibility requirements (if any) have been met. Expenses are recognized when incurred.

(c) Program operating costs

Program operating costs consist of certain costs that can be directly attributed to the NY Green Bank function. Program operating costs include expenses such as professional service costs and staff travel.

(d) Indirect cost allocation method

NYSERDA incurs certain indirect costs (e.g., administrative salary expense, fringe benefit expense, and general and administrative expense) that are not directly associated with a specific function/program. Therefore, these costs are allocated in proportion to direct salary expenses of each NYSEDA function/program, including NY Green Bank.

Administrative salary expense is comprised of an allocation of NYSEDA's administrative and support unit salaries and fringe benefit expenses. The administrative salary and fringe benefit expenses allocated to NY Green Bank are included in Salaries and Benefits Expense. General and Administrative Expense includes costs such as rent, equipment and facility costs, and information technology costs allocated to NY Green Bank.

(e) Investments

Investments are recorded at fair value, which reflects quoted market prices for U.S. government obligations.

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Notes to Basic Financial Statements
March 31, 2021

(f) Loans and financing receivables

Loans and financing receivables are recorded at their cost basis. A provision for losses is established on any individual loan and financing receivable which: (i) is delinquent by more than 120 days on payment of principal or interest obligations; and (ii) indicates a deficiency in the present value of expected cash flows discounted at its effective interest rate, or a deficiency in the valuation of its collateral, as compared to its outstanding balance plus any accrued interest receivable. For the fiscal years ended March 31, 2020 and 2021, respectively, there were no provisions for losses.

(g) Capital assets

NY Green Bank does not maintain capital assets on its Statement of Net Position, but instead is allocated depreciation expense on NYSERDA capital assets. Depreciation is allocated in proportion to direct salary expenses of each NYSERDA function/program, including NY Green Bank.

(h) Deferred outflows of resources and deferred inflow of resources

Deferred outflows of resources as presented represent a consumption of net assets applicable to a future reporting period. Deferred inflows of resources are defined as an acquisition of net assets applicable to a future reporting period. Deferred outflows of resources and deferred inflow of resources include differences between expected projected results and actual results related to NY Green Bank's allocated portion of NYSERDA's net pension and net OPEB liabilities, as well as an allocated portion of retirement plan contributions subsequent to the measurement date.

(i) NY State assessments

NY State assessments represent NY Green Bank's pro-rata share of fees assessed to NYSERDA by the State under Section 2975 of the Public Authorities Law (Governmental Cost Recovery System) for general governmental services, which are allocated in proportion to total expenses of each NYSERDA function/program, including NY Green Bank.

(j) Use of estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingencies. Estimates also affect the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

(3) CASH AND INVESTMENTS

Pursuant to Public Authorities Law Section 1859(1), the Commissioner of the New York State Department of Taxation and Finance (Fiscal Agent) serves as fiscal agent for NYSERDA's cash and investments, maintaining such funds on NYSERDA's behalf and implementing investments subject to the Fiscal Agent's policies and with direction and authorization from NYSERDA. NY Green Bank investments are subject to an investment policy approved by NYSERDA's Board. The policy permits deposits with financial institutions approved by the Fiscal Agent and permits investments in: certificates of deposit of bank or trust companies located in New York State, obligations of New York State and the U.S. government and certain of their agencies, repurchase agreements subject to certain limitations, and money market funds subject to certain limitations.

The following schedule presents NY Green Bank's cash and investments as of March 31, 2021. Fair value is measured using quoted market prices for U.S. government obligations. GASB Statement No. 72, *Fair Value Measurement and Application*, prescribes three approaches to measuring fair value and requires a government to use valuation techniques consistent with one or more of these approaches. The standard establishes a fair value hierarchy that categorizes the inputs to valuation techniques used to measure fair value into three levels. Level 1 inputs are quoted prices

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March 31, 2021

(unadjusted) for identical assets or liabilities in active markets that a government can access at the measurement date. Level 2 inputs are inputs – other than quoted prices included within Level 1 - that are observable for an asset or liability, either directly or indirectly. Level 3 inputs are unobservable inputs for an asset or liability. The fair value hierarchy gives the highest priority to Level 1 inputs and the lowest priority to Level 3 inputs. All NY Green Bank investments are valued based on Level 1 inputs.

		<u>Fair Value</u>	<u>% of Total</u>	<u>Weighted Average Maturity (months)</u>
Cash and equivalents	\$	44,806	11.6	N/A
U.S. Treasury Bills		341,272	88.4	<u>1.2</u>
Total	\$	<u>386,078</u>	<u>100.0</u>	<u>1.2</u>
Current Portion	\$	<u>386,078</u>		

In addition to being subject to NYSERDA's investment policy, NY Green Bank is also subject to the same interest rate risk, concentration of credit risk, custodial credit risk for deposits, and custodial credit risks for investments.

Interest Rate Risk. NYSERDA's investment policy limits investment maturities to no longer than five years as a means of managing its exposure to fair value losses arising from increasing interest rates. Investment maturities are selected based on anticipated cash flow needs.

Concentration of Credit Risk. NYSERDA's investment policy limits investments with any single eligible banking institution to no more than 35% of its total investment portfolio, except as otherwise required by any policies and practices of the Fiscal Agent. As of March 31, 2021, NYSERDA did not have any investments with institutions that were individually in excess of 5% of total investments.

Custodial Credit Risk for Deposits. Deposits are exposed to custodial credit risk if the deposits are not covered by depository insurance or deposits are uncollateralized, collateralized with securities held by the pledging financial institution, or collateralized with securities held by the pledging institution's trust department or agent, but not in the name of NYSERDA.

In accordance with existing policies and procedures, the Fiscal Agent for NYSERDA monitors deposit balances for the purpose of determining collateralization levels. Collateral sufficient to cover all uninsured deposits is held at the Fiscal Agent's custodial bank.

Custodial Credit Risk for Investments. Investment securities are exposed to custodial credit risk if the securities are uninsured, are not registered in the name of NYSERDA and are held either by the counterparty or the counterparty's trust department or agent, but not in the name of NYSERDA.

Fixed income investments owned directly by NYSERDA including those held on behalf of NY Green Bank, which trade in the U.S. markets, are held at NYSERDA's fiscal agent's custodian, in separate accounts, in the name of the Comptroller of the State of New York in Trust for NYSERDA.

These securities are typically held in electronic form through the Federal Book Entry System and by the Depository Trust Company (DTC) and its subsidiaries acting as an agent of NYSERDA's fiscal agent's custodian bank.

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Notes to Basic Financial Statements
March 31, 2021

The following is a summary of cash and investments and related committed capital as of March 31, 2021:

(Amounts in thousands)

<u>Cash and Investments</u>	<u>Committed Capital</u>
\$386,078	\$209,545

NY Green Bank's investment portfolio at any time consists of two components: committed capital and deployed capital. Committed capital relates to clean energy/sustainable infrastructure investments that NY Green Bank has legally executed, but where capital has not yet been deployed. This is supplemented by capital that has been deployed into NY Green Bank's clean energy transactions, pursuant to the terms of those arrangements. At March 31, 2021, NY Green Bank committed capital was \$209.5 million and deployed capital was \$572.7 million, as discussed further in note 4.

(4) LOANS AND FINANCING RECEIVABLES

Loans and financing receivables consist of sustainable infrastructure investments made by NY Green Bank into eligible technologies, consistent with its mission and investment criteria. These loans and financing transactions aim to mobilize private sector capital during the lifecycle of each investment, accelerate the deployment of economically and technically feasible clean energy projects in the State, provide financial returns to NY Green Bank, and contribute to New York's clean energy policy outcomes. NY Green Bank offers the following categories of capital solutions: construction finance, construction finance & term loan, term loans & investments (which may be debt or equity), warehousing/aggregation, and credit enhancements. NY Green Bank prices its products to reflect its credit underwriting, its risk position in the capital structure and pricing for comparable transactions, as well as internal portfolio return needs taking into account current market rates as well as commercial expectations of rates.

Loans and financing receivables at March 31, 2021 include the following:

	<u>Number of Transactions</u>	<i>(Amounts in thousands)</i> <u>Loans and Financing Receivables Outstanding</u>
Construction Finance	5	\$ 36,414
Construction Finance & Term Loan	11	61,755
Term Loan & Investments	33	416,672
Warehousing / Aggregation	<u>5</u>	<u>57,859</u>
Total	<u>54</u>	<u>\$ 572,700</u>

NY Green Bank
(A Division of the New York State Energy Research and Development Authority)

Notes to Basic Financial Statements
March 31, 2021

Loans and financing receivables at March 31, 2021 mature as follows:

(Amounts in thousands)

Fiscal year ending <u>March 31</u>	Construction Finance	Construction Finance & Term Loan	Term Loan & Investments	Warehousing /Aggregation	Total
2022	\$ 7,217	29,003	33,497	6,070	\$ 75,787
2023	12,064	1,646	50,296	24,638	88,644
2024	353	1,811	27,335	27,151	56,650
2025	353	2,029	45,971	-	48,353
2026	353	2,248	78,174	-	80,775
2027-2031	16,074	17,118	116,945	-	150,137
2032-2036	-	3,121	64,454	-	67,575
<u>2037-2041</u>	-	<u>4,779</u>	-	-	<u>4,779</u>
Total	<u>\$ 36,414</u>	<u>61,755</u>	<u>416,672</u>	<u>57,859</u>	<u>\$ 572,700</u>

(5) NON-CURRENT LIABILITIES

GASB Statement No. 68, *Accounting and Financial Reporting for Pensions* (GASB 68) requires the recognition by NYSERDA and, in turn, NY Green Bank, of its proportionate share of the net pension liability of the New York State and Local Employees' Retirement System, which is defined as the total pension liability less the plan's fiduciary net position.

NY Green Bank's non-current liability represents the share of NYSERDA's net pension liability and net OPEB liability which is expected to be paid by NY Green Bank. Pension and OPEB related deferred outflows of resources and deferred inflows of resources are recognized by NY Green Bank to the extent they relate to NY Green Bank's share of the total amount recognized by NYSERDA as a whole.

Non-current liability activity for the year ended March 31, 2021 was as follows:

(Amounts in thousands)

	Beginning Balance	Additions	Reductions	Ending Balance
Net pension liability	\$885	3,834	(923)	3,796
Net OPEB liability	<u>528</u>	<u>1,225</u>	<u>(1,619)</u>	<u>134</u>
Total non-current liabilities	<u>\$1,413</u>	<u>5,059</u>	<u>(2,542)</u>	<u>3,930</u>

(6) CONTINGENCIES

Risk Management

NYSERDA is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. NYSERDA maintains commercial insurance coverage for each of those risks of loss. Management believes such coverage is sufficient to preclude any significant uninsured losses to NYSERDA. NYSERDA has not

NY Green Bank
(A Division of the New York State Energy Research and Development Authority)

Notes to Basic Financial Statements
March 31, 2021

experienced any reductions in coverage and has not had any insurance settlements exceeding the coverage in the past three years. NY Green Bank is covered by NYSERDA's insurance policies.

Contingent Obligations

As of March 31, 2021, NY Green Bank has entered four credit contracts which, consistent with their terms, have not been funded but contain contingent obligations. NY Green Bank capital is only drawn if a contingent obligation under the respective agreement is triggered. The amount of contingent obligations as of March 31, 2021 totaled approximately \$5.7 million.

Any draws made on the above contingent obligations would be due to be repaid pursuant to the terms of their respective agreements.

(7) SUBSEQUENT EVENTS

The COVID-19 outbreak is disrupting supply chains and affecting operations and the financial condition across a range of industries, including the clean energy sector. The extent of the impact of COVID-19 on NY Green Bank's operational and financial performance will depend on certain developments, including but not limited to the duration and spread of the outbreak, impact on our employees, impact on program partners and contractors, State policy directives, and actions by the Public Service Commission which may adjust current program activities, all of which are uncertain and cannot be predicted. As of June 22, 2020, the issuance date of the financial statements, the extent to which COVID-19 may impact NY Green Bank's future programmatic activities and accomplishments is uncertain, and is likely to impact future financial performance against previously established budgets, but is not likely to have a material adverse impact on NY Green Bank's financial condition.



KPMG LLP
515 Broadway
Albany, NY 12207-2974

June XX, 2021

Members of the Authority
New York State Energy Research and Development Authority:

Ladies and Gentlemen:

We have audited the financial statements of New York State Energy Research and Development Authority and the stand-alone financial statements of the Authority's enterprise fund, NY Green Bank, (collectively, the Authority) as of March 31, 2021 and for each of the years then ended, and issued our reports thereon under date of June XX, 2021. Under our professional standards, we are providing you with the accompanying information related to the conduct of our audits.

Our Responsibility Under Professional Standards

We are responsible for forming and expressing an opinion about whether the financial statements, that have been prepared by management with the oversight of Members of the Authority, are presented fairly, in all material respects, in conformity with U.S. generally accepted accounting principles. We have a responsibility to perform our audit of the financial statements in accordance with auditing standards generally accepted in the United States of America (AICPA), and the Government Auditing Standards, issued by the Comptroller General of the United States of America. In carrying out this responsibility, we planned and performed the audit to obtain reasonable assurance about whether the financial statements as a whole are free of material misstatement, whether caused by error or fraud. Because of the nature of audit evidence and the characteristics of fraud, we are to obtain reasonable, not absolute, assurance that material misstatements are detected. We have no responsibility to plan and perform the audit to obtain reasonable assurance that misstatements, whether caused by error or fraud, that are not material to the financial statements are detected. Our audit does not relieve management or Members of the Authority of their responsibilities.

In addition, in planning and performing our audit of the financial statements, we considered internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Company's internal control. We also have a responsibility to communicate significant matters related to the financial statement audits that are, in our professional judgment, relevant to the responsibilities of the Members of the Authority in overseeing the financial reporting process. We are not required to design procedures for the purpose of identifying other matters to communicate to you.

Uncorrected and Corrected Misstatements

There were no uncorrected or corrected financial statement misstatements identified during the audits of the Authority's March 31, 2021 financial statements.

Significant Accounting Policies and Practices

Significant Accounting Policies

The significant accounting policies used by the Authority are described in Note 2 to the respective financial statements.

Unusual Transactions

We noted no transactions entered into by the Authority during the year that were both significant and unusual, and that, under professional standards, we are required to communicate to you, or transactions for which there is a lack of authoritative guidance or consensus.

Qualitative Aspects of Accounting Practices

We have discussed with the Members of the Authority and management our judgments about the quality, not just the acceptability, of the Authority's accounting policies as applied in its financial reporting. The discussions generally included such matters as the consistency of the Authority's accounting policies and their application, and the understandability and completeness of the Company's financial statements, which include related disclosures.

Significant Accounting Estimates and Significant Financial Statement Disclosures

The preparation of the financial statements requires management of the Company to make a number of estimates and assumptions relating to the reported amounts of assets, deferred outflows of resources, liabilities, and deferred inflows of resources and the disclosure of contingent assets and liabilities at the date of the financial statements.

We evaluated management's estimates, including possible management bias in developing the estimates, and determined that these estimates were reasonable in relation to the financial statements as a whole.

Noncompliance with Laws and Regulations, including Illegal Acts or Fraud

In connection with our audit of the Authority's financial statements, no identified or suspected instances of non-compliance with laws and regulations, including illegal acts or fraud, have come to our attention.

Significant Difficulties Encountered During the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Disagreements with Management

There were no disagreements with management on financial accounting and reporting matters that would have caused a modification of our auditors' reports on the Company's financial statements.

Written Communications

Attached to this letter please find copies of the following written communications between management and us:

1. Engagement letter
2. Management representation letter

Independence

Members of the Authority
New York State Energy Research and Development Authority
Page 3 of 3

We are not aware of any circumstances or relationships, that in our professional judgement, may reasonably be thought to bear on independence or to which we gave significant consideration in reaching the conclusion that independence has not been impaired.

Affirmation of Independence

In connection with our audit of the Company, KPMG and relevant KPMG professionals have complied with relevant ethical requirements regarding independence, as that term is defined by the professional standards.

* * * * *

This letter to the Members of the Authority is intended solely for the information and use of the Members and management and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

KPMG LLP

Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards

Members of the Authority
New York State Energy and Research Development Authority:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the New York State Energy and Research Development Authority (a component unit of the State of New York) (the Authority) as of and for the year ended March 31, 2021, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June XX, 2021.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Authority's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG

Albany, New York
June XX, 2021

Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards

Members of the Authority
New York State Energy and Research Development Authority:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of NY Green Bank, an enterprise fund of the New York State Energy Research and Development Authority (the Fund), which comprise the statement of net position as of March 31, 2021, and the related statements of revenues, expenses and changes in fund net position and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated June XX, 2021.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Fund's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Fund's internal control. Accordingly, we do not express an opinion on the effectiveness of the Fund's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Fund's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Fund's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Fund's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG

Albany, New York
June XX, 2021

June XX, 2021

Members of the Authority
New York State Energy Research and Development Authority
Albany, New York

Ladies and Gentlemen:

In planning and performing our audit of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of New York State Energy Research and Development Authority (the Authority), which collectively comprise the basic financial statements of the Authority, as of and for the year ended March 31, 2021, in accordance with auditing standards generally accepted in the United States of America, we considered the Authority's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control was for the limited purpose described in the first paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses, as defined above. However, material weaknesses may exist that have not been identified.

This communication is intended solely for the information and use of management, Members of the Authority, and others within the organization, and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

 (signed) KPMG LLP

Resolution No. _____

RESOLVED, that the Authority's Financial Statements and the Financial Statements of NY Green Bank as of March 31, 2021, as presented at this June 22, 2021 meeting is hereby approved by the Board as the financial reports required by Sections 1867(1) and 2800 of the Public Authorities Law.

NYSERDA

Compensation Schedule as of March 31, 2021 Pursuant to Public Authorities Law Section 2800

			Total Compensation*	
Name			Annual Salary	Year Ended
<u>Last</u>	<u>First</u>	<u>Title</u>	<u>March 31, 2021</u>	<u>March 31, 2020</u>
Baldyga	Todd	Director	\$170,030	\$176,569
Bembia	Paul	Director	\$170,030	\$176,569
Berman	Joseph	Sr Advisor	\$135,252	\$140,454
Borowiec	Joseph	Program Manager	\$138,763	\$144,100
Brown	Matthew	Program Manager	\$138,763	\$144,100
Burkhard	Ellen	Sr Advisor	\$129,398	\$135,413
Carey	Kevin	Director	\$138,192	\$143,507
Chappoz	Loic	Program Manager	\$135,959	\$144,331
Chaves	Laura	Assistant Director	\$125,629	\$130,461
Chick	Mary	Sr Advisor	\$165,218	\$171,573
Ciampa	Francis	Program Manager	\$131,207	\$136,254
Coll	Christopher	Director	\$142,926	\$148,423
Corcoran	Christopher	Program Manager	\$138,763	\$147,242
Costello	Peter	General Counsel	\$175,980	\$183,787
Coup	James	Assistant Director	\$154,253	\$161,524
Crudele	Fred	Assistant Director	\$140,000	\$125,799
Dean	Emily	Director	\$171,589	\$186,828
Dean	Janice	Deputy Counsel	\$154,253	\$160,185
DeRocker	Abbey	Program Manager	\$136,910	\$142,475
DiRamio	Michael	Assistant Director	\$155,000	\$166,558
Doling	Jason	Acting Chief of Staff	\$150,072	\$158,986
Downey	Adrienne	Principal Engineer, Offshore Wind	\$152,707	\$161,722
Egbert	Scott	Program Manager	\$138,332	\$143,652
Engel-Fowles	Victoria	Program Manager	\$138,763	\$144,100
Erle	Kim	Managing Director	\$184,000	\$194,219
Ferranti	Adele	Program Manager	\$138,763	\$144,100
Filbey	Rebecca	Program Manager	\$130,000	\$5,116
Frank	Bradley	Program Manager	\$125,607	\$74,247
Frank	Gregory	Assistant Director	\$138,763	\$144,100
Fusco	Karen	Program Manager	\$115,454	\$119,907
Glanton	Cheryl	Director	\$166,825	\$173,241
Gordon	Donovan	Director	\$152,393	\$161,396
Hale	John	Sr Advisor	\$181,057	\$191,163
Hale	Kevin	Director	\$169,278	\$176,089
Hall	Christopher	Sr Advisor	\$136,910	\$142,175
Hammer	Hillel	Sr Advisor	\$142,800	\$151,435
Harris	Doreen	President and CEO	\$173,400	\$180,145
Joel	Max	Program Manager	\$138,763	\$149,619
Joseph	Janet	Sr VP Strategy & Market Development	\$186,241	\$193,404
Joshi	Rohit	Director	\$170,030	\$179,712
Kaatz	Glen	Chief Information Officer	\$154,253	\$160,186
Kasson-Muzio	Amy	Program Manager	\$121,544	\$126,218
Keane	Peter	Deputy Counsel	\$154,253	\$160,186
Kessler	Andrew	Acting President	\$183,677	\$193,884
Lampman	Gregory	Program Manager	\$138,763	\$144,117
Leach	Bradford	Program Manager	\$127,500	\$132,404
Lee	Brian	Managing Director	\$184,540	\$201,863
Lepeniotis	Stefanos	Program Manager	\$138,763	\$144,100
Levy	Dana	Sr Advisor	\$138,763	\$144,105
Lion	Peter	Sr Advisor	\$125,000	\$3,846
Lochner	John	Vice President	\$173,400	\$186,327
MacDonald	Katherine	Assistant Director	\$132,000	\$140,219
MacPherson	Wendy	Program Manager	\$138,763	\$144,100

Mahar	Peter	Controller & Assistant Treasurer	\$154,253	\$163,301
Majumdar	Zigurts	Sr Advisor	\$125,000	\$129,825
Mas	Carl	Director	\$162,004	\$168,235
Meissner	Jennifer	Director	\$170,030	\$176,569
Mellon	Andrea	Program Manager	\$138,763	\$144,100
Mogg	Katherine	Managing Director	\$184,000	\$28,773
Moody	Kaitlin	Policy Associate	\$133,815	\$142,104
Moore	Jason	Managing Director	\$177,000	\$186,950
Moriarta	Courtney	Director	\$142,800	\$148,292
Moyer	Susan	Director	\$154,253	\$160,186
Muller	Katherine	Vice President	\$173,400	\$180,069
O'Connor	Christopher	Program Manager	\$130,194	\$135,202
O'Shei	Patrick	Director	\$171,589	\$178,189
Peck	Mary	Director	\$150,055	\$155,826
Peterson	Alyse	Sr Advisor	\$129,398	\$135,413
Pitkin	Jeffrey	Treasurer	\$180,987	\$187,948
Porubcan	Ashley	Program Manager	\$122,463	\$127,174
Rabito	Donna	Director	\$154,253	\$160,186
Rambacher	Sarah	Program Manager	\$124,540	\$132,472
Randolph	Gregory	Managing Director	\$184,000	\$185,587
Reed	Bryan	Director	\$150,000	\$13,615
Reed	Michael	Program Manager	\$127,436	\$135,780
Ruder	Adam	Assistant Director	\$137,720	\$143,028
Sandbank	David	Vice President	\$173,400	\$180,069
Scherer	Erich	Sr Advisor	\$138,640	\$150,230
Schryer	Kimberlie	Program Manager	\$138,762	\$144,099
Shimazu	Michael	Sr Advisor	\$154,253	\$160,185
Simko	Stephen	Sr Advisor	\$120,000	\$110,250
Smith	Scott	Program Manager	\$138,763	\$144,112
Thornton	Claudette	Assistant Director	\$119,345	\$123,935
Tito	Bradford	Program Manager	\$138,763	\$144,565
Treanor	Brian	Assistant Controller	\$138,763	\$144,100
Trybulski	Stanley	Sr Advisor	\$147,177	\$159,095
Tyler	Kelly	Director	\$170,030	\$158,913
Ulmer	Vanessa	Sr Advisor	\$138,763	\$147,542
Williams	John	Vice President	\$175,980	\$182,748
Zielinski	Andrew	Advisor	\$110,000	\$114,231

* For the fiscal year ended March 31, 2021, compensation included 27 pay periods (one more than in most years), causing Total Compensation to exceed Annual Salary for most employees listed. For some employees, Total Compensation includes payments under State fringe benefit plans not included in Annual Salary. For some employees, Total Compensation was lower than Annual Salary reflecting compensation payments for less than a full year.

Vitae for NYSERDA Employees Included on the Compensation Schedule

Doreen Harris

Doreen Harris is the President and Chief Executive Officer at NYSERDA. Prior to that, Ms. Harris served as the Vice President Large-Scale Renewables at NYSERDA where she oversaw the strategic development of utility-scale renewable resources to achieve the State's nation-leading Clean Energy Standard mandate. In this role, Ms. Harris manages a portfolio of New York investments in large-scale renewables totaling over \$2.5 billion and oversees annual solicitations to continue to build this portfolio. Ms. Harris also directs a statewide strategic effort to spur the responsible and cost-effective development of New York's Offshore Wind resource. In addition, she leads associated policy and market development activities, designing and implementing interventions that accelerate the scale and penetration of large scale renewables in New York, including ongoing oversight of the New York Generation Attribute Tracking System. Prior to joining NYSERDA, Ms. Harris worked in the private sector in several energy and engineering consulting roles. Ms. Harris received a Bachelor of Science in Chemical Engineering from the University of Rochester and a Master of Business Administration from the University at Albany.

Janet Joseph

Janet Joseph is Senior Vice President for Strategy and Market Development. Ms. Joseph leads NYSERDA's Market Development activities, designing and implementing interventions that accelerate the scale and penetration of on-site, behind-the-meter energy efficiency and clean energy solutions in the commercial, industrial, government, multifamily, and single-family residential sectors. Janet has held a variety of technical and policy positions at NYSERDA over the past 27 years. She has spearheaded initiatives to develop renewable power in New York, build a cleantech start up industry, and identify greenhouse gas reduction strategies that provide benefits for New Yorkers. Prior to joining NYSERDA, Janet was a research scientist at Battelle Pacific Northwest Laboratories. Janet has also worked as an environmental consultant for Booz-Allen and Hamilton in Washington, D.C. Janet Joseph is on the Boards of the Northeast Energy Efficient Partnerships, Northeast Clean Energy Council Institute, and RPI Center for Future Energy Systems. Janet received the 2015 Public Service Excellence Award from the State Academy for Public Administration and was voted one of the top ten Clean Tech leaders in New York in 2013. Janet has a Master's degree in Environmental Chemistry from the University of Maryland.

Jeffrey Pitkin

Jeffrey Pitkin was appointed treasurer of NYSERDA and has held this position since 2001. In this position, Mr. Pitkin is responsible for ensuring the timeliness and accuracy of internal and external financial reporting; supporting organizational transparency, accountability, and stewardship; and ensuring effective policies and practices for personnel, information technology, information security, contract management, operational transformation and LEAN, and facility management to support NYSERDA's objectives. He is also responsible for prudently investing NYSERDA's funds and for issuing bonds on behalf of New York State's investor-owned utilities to finance costs eligible for tax-exempt bond financing. Mr. Pitkin is also the Board-appointed internal control officer, assisting NYSERDA's management to maintain effective policies and procedures to ensure orderly and efficient operations to meet organizational objectives; prevent fraud, waste and abuse; ensure adherence to laws, regulations and contractual agreements; and maintain reliable

financial and management reporting. Mr. Pitkin served as NYSERDA's controller and assistant treasurer from 1991 to 2001. Prior to joining NYSERDA, he was controller for Trans World Music Corporation and was a senior accountant for Ernst & Young. Mr. Pitkin was previously a certified public accountant and received a bachelor's degree in accounting from Siena College in New York.

Peter Costello

Peter Costello serves as General Counsel and Secretary of the New York State Energy Research and Development Authority (NYSERDA). Mr. Costello was appointed Acting General Counsel at the end of June 2019. In October 2019, Mr. Costello was named NYSERDA's General Counsel and appointed Secretary to the Authority in January 2020. Mr. Costello serves as the chief legal officer for the Authority, and is responsible for the overall direction and supervision of NYSERDA's legal department and all legal matters concerning the Authority, including advice and counsel on laws, rules, and regulations governing clean energy development and operations of the Authority. As a member of NYSERDA's executive team, he also participates in formulating and implementing strategy and policy for the Authority. Mr. Costello joined NYSERDA in February 2009, working in the Authority's Counsel's Office for more than five years. He focused primarily on supporting NYSERDA's research and development programs, and real estate matters, including the development of the Saratoga Technology + Energy Park ("STEP"). Following its formation, he was assigned to provide legal support to NY Green Bank and participate as a member of its senior management team. In January 2015, Mr. Costello was appointed as Managing Director for Legal and Regulatory Affairs for NY Green Bank, where he served until his appointment as General Counsel of the Authority. At NY Green Bank, Mr. Costello's responsibilities included providing legal advice and counsel with respect to strategic initiatives, operations, transactions, regulatory issues, and general corporate affairs, as well as managing NY Green Bank's internal legal team and its relationships with outside counsel firms. Before joining NYSERDA, Mr. Costello worked in a transactional capacity at a number of private law firms, including LeBoeuf, Lamb, Greene & MacRae LLP, and its spin-off Lowe, Fell & Skogg, LLC, where he became a partner. In private practice, Mr. Costello focused on various corporate and real estate matters, including merger, acquisition and disposition; financing; land use and development; leasing; entity formation, management and operation; and technology transfer and software licensing. He also served as responsible outside counsel for one of the U.S.'s Big Three auto manufacturer's regional real estate and dealership development operations, including mergers and acquisitions; network planning; site control; and incentive programs. Mr. Costello has a Bachelor of Arts from McGill University and a Juris Doctor from the University of Colorado School of Law.

Todd Baldyga

Todd Baldyga is Director for Industrial and Agriculture in Market Development. He is responsible for managing a team of staff and consultants to address energy efficiency and process improvements in the industrial, agriculture and data center markets. During his career at NYSERDA, Todd has been involved in the creation and implementation of many technical and financial energy efficiency programs totaling over \$500 million to support the commercial, institutional, municipal and industrial sectors. Todd led a team to implement over \$100 million of clean energy projects under the American Recovery and Reinvest Act. Prior to joining NYSERDA, Todd was employed by Massachusetts Electric Company for 10 years and held various positions and responsibilities as a distribution power engineer. Todd has a B.S. in Electrical Engineering from Western New England College.

Paul Bembia

Paul J. Bembia is the Director of the West Valley Site Management Program at the Western New York Nuclear Service Center in Cattaraugus County. As Director, Paul is responsible for planning, implementing, and overseeing NYSERDA's activities at the Center, which include the safe and compliant management of the State Licensed Disposal Area and Retained Premises, and representing NYSERDA and New York State's interests in the West Valley Demonstration Project. Paul joined NYSERDA in 1990, and has held a variety of technical and management positions at NYSERDA over the past 27 years, including Project Manager, Senior Project manager, Program Manager and Program Director. Prior to joining NYSERDA, Paul was a staff geologist at Ecology and Environment, Inc., and a staff geochemist for the U.S. Nuclear Regulatory Commission in Washington, D.C. Paul has a Master's degree in Geological Sciences from SUNY Binghamton, and a Bachelor's degree in Geology from SUNY Fredonia.

Joseph Berman

Joseph Berman is the Senior Advisor of Market Development for NYSERDA. Appointed to his current role in 2018, Mr. Berman is responsible for advising across all elements of the Market Development portfolio, with a core focus in the Commercial and Industrial program space. An accomplished coalition builder with extensive experience in developing and guiding high-value relationships among industry, government and the NGO community, Mr. Berman has been tasked with spearheading NYSERDA's efforts to identify and secure strategic market partnerships across both the private and public sectors to facilitate rapid, efficient, and cost effective deployment of program resources. Mr. Berman is a multiple award-winning, innovative, national sustainability expert with over a decade of corporate experience leading initiatives that enhance brand identity, save operational cost, improve organizational efficiency, eliminate waste, mitigate risk, and reduce environmental impacts. Prior to his tenure at NYSERDA, Mr. Berman was the Sustainability and Corporate Responsibility Senior Consultant and Technical Expert for Arcadis, and in that capacity led sustainability efforts for the company in North America and oversaw a community of practice of 250 professional area experts across a broad spectrum of environmental disciplines. In the 7 years before his role at Arcadis, Mr. Berman built and directed the sustainability and corporate social responsibility platform for Price Chopper Supermarkets. During that time Mr. Berman, a LEED AP BD&C since 2009, successfully project administered over 1 million sq.ft. of 3rd party certified commercial green building construction and envisioned and oversaw the buildout of one of the largest privately held, interstate electric vehicle charging station ecosystems in the North East of the United States. In 2004 Mr. Berman graduated with a Bachelor of Arts Independent Major in the Art, History, and Culture of Ancient Eurasia from the University of Massachusetts at Boston, and in 2006 he graduated with a Master of Arts in the Archaeology of the Eastern Mediterranean and Middle East from University College London, Institute of Archaeology.

Joseph Borowiec

Joseph Borowiec is the Program Manager and Team Lead for Advanced Buildings at NYSERDA, and has been with NYSERDA for 16 years. Joe is responsible for leading a team focused on making investments in innovations that improve the efficiency and resiliency of existing and new buildings in NYS, and enable smart buildings to actively manage energy use and interact with the power grid. Joe is a member of the Association of Energy Engineers, Illuminating Engineering Society of North America, and serves on the Gas Technology Institute Public Interest Advisory

Committee. Prior to joining NYSERDA, Joe was employed for 21 years as a research engineer at General Electric's Global Research Center. Joe holds a BS in Electrical Engineering, a MS in Computer Science, and an MBA in International Management from Union College. He has 21 United States Patents.

Matthew C. Brown

Matt is a Program Manager in the New Construction Team at NYSERDA. In this role, he supervises a team that delivers market transforming and incentive programs across all sectors. At NYSERDA, Matt previously managed a business process improvement team, commercial incentives and services group and led programs that provided \$100 million of funding for clean energy projects funded via ARRA, including energy efficiency, renewable energy and clean fleet projects. Matt has also created and led multiple market transformation programs and efforts during his time at NYSERDA. This has included a K-12 schools sector specific effort that achieved an average energy efficiency improvement of 22% over a six year period, Commissioning and Retro-Commissioning program, benchmarking, plug load reduction effort, and several other technology specific initiatives. Matt received a BA from St. Lawrence University and has a Master's in Public Policy from Rockefeller College.

Ellen Burkhard

Dr. Ellen Burkhard is a Senior Advisor for the New York State Energy Research and Development Authority's Environmental Research Program. Ellen manages projects that evaluate energy efficiency, emissions, and the resulting air quality and health effects due to energy production, storage, transport, and use. Ellen works with universities and research institutions focused on long-range transport of pollutants from upwind sources; localized air-quality effects of high-emitting sources; photochemical and atmospheric processing of pollutants; pollutant trends analysis during periods of emissions changes due to implementation of energy policies, environmental regulation, or changing markets; and cardiovascular and pulmonary effects from air pollution exposure. Ellen earned a Ph.D. in Environmental Health and Toxicology from the SUNY Albany School of Public Health.

Kevin Carey

Kevin Carey is Director of Governmental Affairs at NYSERDA. In this role Kevin is responsible for developing, implementing, and managing NYSERDA's government affairs strategies, activities, and policies involving matters of state, federal and local government, specifically including issues related to legislation and regulation.

Kevin has been with NYSERDA since June 2009. In this capacity, he has played a leading role in the coordination and development of NYSERDA's legislative initiatives and engagement, working with program teams, the Executive Chamber, other agencies, and stakeholders. Kevin has an in-depth background and expertise in governmental matters, and a diverse 30+ year career in both the public and private sector. For over ten years he worked in the Fixed Income Securities Market where he focused on marketing, trading, and evaluating municipal bonds. Kevin served as Assistant Commissioner for Public/Private Partnership at the NYS Office of Parks, Recreation and Historic Preservation while also serving as the Executive Director of the Natural Heritage Trust, a public benefit corporation. In this role he spearheaded efforts, working with public and private partners, to establish Nature Centers, new State Park facilities,

recreational programs and coordinated fund raising initiatives. Kevin was recognized with a New York State Parks Distinguished Service Award during his tenure at NYS Parks. Kevin earned a Bachelor of Arts Degree in Economics from Le Moyne College, Syracuse N.Y.

Loic Chappoz

Loic Chappoz is the Program Manager for the Multifamily team at NYSERDA, a team charged with implementing strategies and interventions that overcome market barriers, animate the market, encourage private capital and investment, and accelerate the scale and penetration of clean energy and energy efficiency in the multifamily buildings through New York. Loic joined the NYSERDA Multifamily team in 2014. Prior to joining NYSERDA, he worked as an independent consultant on energy efficiency policies in France, as a fuel efficiency specialist in the airline industry, and as a commercial pilot for ten years. Loic Chappoz holds a Master of International Affairs in Energy and Environmental Policy from the Sciences Paris School of International Affairs.

Laura Chaves

Laura Chaves is Assistant Director of Human Resources and is responsible for managing and/or implementing various HR functions which include; employment, compensation, performance management, diversity management, HRIS/HRMS, and human resource policies and procedures. Prior to joining NYSERDA in April 2008, Laura served as Human Resource Manager for MapInfo and worked in various HR roles at Microsoft and Genetics Institute. Laura holds a B.S. in Human Resource Management from the University of Massachusetts at Boston.

Mary Chick

Mary Chick is the Director of Market Insights, where she and her team ensure NYSERDA's programs and strategies are rooted in actionable insights about the economics and decision making of customers, partners and markets where NYSERDA operates. Market research and analytics is foundational to NYSERDA's work to inform and develop strategies that overcome market barriers, and animate the market to increase the scale and adoption of clean energy. Prior to joining NYSERDA in 2009 as a Senior Marketing Project Manager, Mary spent more than 20 years in various senior level positions at DDB Worldwide Communications, Inc. where her focus was building strong brands, managing cross-functional teams, and using market research to guide and implement integrated marketing programs. Mary earned a Bachelor's degree in Business Administration, with concentrations in Marketing and Statistics, from Bowling Green State University.

Frank Ciampa

Frank is responsible for managing oversight for a strategic planning team that seeks to qualify and initiate policy interventions to promote clean energy market development in New York State. This includes the analysis of energy issues like climate change, energy infrastructure, costs and economic development in the context of statewide policy. As leader of the Policy Development team, Frank provides operational oversight for projects to understand dynamics of New York's clean energy economy, quantify the state's GHG emissions inventory, participate in carbon and air pollution markets (e.g., RGGI and CSAPR), as well as regulatory proceedings intended to rethink electric and nat. gas utility business models for the "utility of the future". Together, these topics (and many more) are all contributing to progress on the State's ambitious goals for 2030 to reduce GHG emissions by 40% from 1990 levels, increase renewables to have them contribute

50% of electric generation, and decrease energy consumption in buildings by 600 trillion BTUs. Frank has over 10 years of experience in energy policy, clean technologies, and corporate sustainability. Before joining NYSERDA, Frank worked in the private sector as Manager, Sustainability Policy Development with KEO International Consultants in Abu Dhabi. Prior to his expat posting, he worked in Boston for Clean Asset Partners Corporation and MSCI, Inc. Throughout his career, Frank's key responsibilities have focused on regulatory impact assessments, stakeholder engagement, quality assessment programs, market analysis, regulatory review, data collection, gap analysis, forecasting, and implementing custom go-to-market strategies for sustainability services. Frank earned his Bachelor's degree in Earth and Environmental Sciences from University of Rochester, and his Master's degree in Sustainability and Environmental Management from Harvard University.

Christopher Coll

Chris is a Program Manager and the Team Lead for the Low-to Moderate-Income group at NYSERDA, which is responsible for developing strategies, proposing policy, and coordinating across all sector areas within NYSERDA and various New York State organizations to streamline and improve the effectiveness of the delivery of clean energy services to low-to moderate-income households. Chris holds a bachelor of arts degree in political science from SUNY New Paltz in addition to a master of arts degree in public affairs and policy from the Rockefeller College of Public Affairs and Policy at the State University of New York at Albany.

Chris Corcoran

Chris Corcoran is the Program Manager and Team Lead for the Products program, a team charged with implementing strategies and interventions that accelerate the adoption of emerging or underutilized energy-relevant products. The Products team develops strategies to optimize energy benefits from new and replacement purchase decisions based on an understanding of market readiness as well as NYSERDA's potential to accelerate adoption from targeted and temporary support while leveraging opportunities across the full portfolio of NYSERDA programs to achieve goals. Chris joined NYSERDA in 2014, working with the Commercial team focusing on Demand Management and Existing Facilities programs. Prior to joining NYSERDA, he worked for PG&E as an Expert Product Manager for the Commercial Segment and Program Manager for Lighting. Chris earned a Bachelor's degree in Political Science and Rhetoric from University of California, Berkeley, and an MBA from University of California, Davis.

Dave Coup

Dave Coup is an Assistant Director for Modeling and Analytics in the Energy and Environmental Analysis (EEA) group. Dave is responsible for energy, environmental and economic modeling and forecasting activities that support statewide energy planning and policy analysis. During the past thirteen years, Dave played a major role in the development and implementation of numerous aspects of the Regional Greenhouse Gas Initiative ("RGGI") including auction design research, electricity system modeling, macroeconomic modeling, and program planning related to the use of New York's allowance auction proceeds. He also coordinated two important studies for New York's Off-shore Wind Master Plan. Dave has also represented end-use customers on various committees of the New York Independent System Operator and participated in the development of components of the 2015 New York State Energy Plan, including a section on fostering the growth of the Clean Energy Economy in New York. Prior to joining EEA he worked in

NYSERDA's Research and Development group and spent eleven years working for General Electric. He holds a B.S. in industrial and systems engineering from The Ohio State University and a Master's degree in environmental management and policy from Rensselaer Polytechnic Institute.

David Crudele

Dave Crudele is Program Manager for Smart Grid Systems & Distributed Energy Integration. In this role, Dave leads a team focused on making investments in technology to modernize the electric grid with the goal of making the New York State electric grid cleaner, more reliable, and more affordable. Dave is the co-chair of the New York State Interconnection Technical Working Group which brings together power engineering experts from New York utilities and distributed energy project developers to create consensus solutions to the technical challenges of integrating distributed energy resources with the electric grid. Dave also lead the NY Prize Community Microgrid Program which is identifying and addressing the challenges of building energy efficient and resilient microgrids in New York. Before joining NYSERDA, Dave performed electric grid research and development and consulting with Nova Energy Specialists and the Electric Power Research Institute (EPRI).

Emily Dean

Emily Dean is Director of Market Development. In this role, she leads the development and implementation of energy efficiency programs and initiatives across the residential, low moderate income, local government & communities, industrial, agricultural, and workforce development sectors. Before joining NYSERDA in July 2016, Ms. Dean was the Deputy Assistant Commissioner for Energy Management at the New York City Department of Citywide Administrative Services. In this role, she served as the City's lead in developing strategies and programs to reduce City government operations' carbon footprint and oversaw the energy efficiency capital program for the City's 4,000+ buildings. Prior to her appointment as Deputy Assistant Commissioner, Ms. Dean led the City's solar and clean distributed generation programs. Ms. Dean earned her Bachelor of Arts in Urban Design from New York University and her Master of Public Administration with a focus on Environmental Policy from Columbia University. She serves on the Board of the Building Energy Exchange and is a Certified Energy Manager by the Association of Energy Engineers.

Janice Dean

Janice Dean is Deputy Counsel at the New York State Energy Research and Development Authority (NYSERDA), where she advises clean energy programs and handles litigation and investigations. She is also counsel to the West Valley Nuclear Service Center, the site of the nation's only privately-operated spent fuel reprocessing facility, as it undergoes decommissioning. In that role, she guides legal compliance at the site with federal and state environmental laws, the U.S. Nuclear Regulatory Commission Part 50 operating license, and state licensing and permitting requirements. Janice is a member of the Board of Advisors of the New York City Environmental Law Leadership Institute, and has presented and written on issues including climate change and the State Environmental Quality Review Act, environmental issues in bankruptcy, and the State as a citizen actor. Janice was recognized by two New York attorneys general for her contributions to environmental law in New York State, by the American Bar Association as a Distinguished Environmental Advocate, and received the 2019 Robinson Award from the Elisabeth Haub School

of Law. She is a graduate of the University of California, Berkeley, and the Elisabeth Haub School of Law at Pace University.

Abbey DeRocker

Abbey DeRocker is Program Manager for the Large-Scale Renewables team and is responsible for executing multifaceted programs which serve to aid in the development and effective operation of large-scale renewable resources to satisfy New York's renewable energy and environmental goals. Ms. DeRocker's primary focus is to run the Renewable Energy Standard (RES) procurements, administer the large-scale renewable agreement portfolio resulting from RES and prior procurements, develop and implement processes leading to increased penetration of large-scale renewable resources in New York, and improve the total value proposition of these resources. Prior to joining NYSERDA in 2016, Ms. DeRocker served as Manager of Risk Analytics and Manager of Enterprise Risk Management with Southern California Edison where she led the implementation of three major initiatives including the Enterprise Risk Management program, Greenhouse Gas Trading, and Acid Rain Program Trading. In these roles, she led power purchase and renewable attribute agreement negotiation, involving various technologies including conventional and renewable power, demand response, energy efficiency, distributed generation, and energy storage. Prior to moving to Los Angeles, Ms. DeRocker served as the Manager of the Valuation Review Group for the Commodities division of Morgan Stanley in Purchase, New York. In her last role, she presented the Global Valuation results to the Commodities business unit and Institutional Securities Group Management and led the North American Electricity, North American Natural Gas, Emissions and Global Oil Liquids valuation teams. Ms. DeRocker holds a Bachelor of Arts degree in economics with a concentration in environmental science from Bates College.

Corinne DiDomenico

Corinne DiDomenico joined NYSERDA as Assistant Director of Large-Scale Renewables focusing on cross cutting policy development activities, Clean Energy Standard implementation, programmatic reporting, and oversees the New York Generation Attribute Tracking System. She supports the policy and market development activities, and the design and implementing interventions that accelerate the scale and penetration of large-scale renewables in New York. Prior to joining NYSERDA, she managed the long-term contracting procurements for clean energy for National Grid, leading the strategic execution of a \$16 billion portfolio that included over 2,000 MW of clean energy; including offshore wind, land-based wind, hydro, solar, and anaerobic digestion. From 2005 to 2009, she held various positions in Power Engineering, Generating Plant Operations (Steam and Gas Turbine), and Maintenance Services. Corinne received a Bachelor of Science in Civil Engineering from Drexel University and a Master of Business Administration from CUNY Baruch.

Michael DiRamio

As Assistant Director of Energy Affordability and Equity, Michael DiRamio co-directs strategy, policy and engagement related to low-income and disadvantaged communities across the Authority in support of energy justice and affordable, clean energy solutions for all New Yorkers. Prior to joining NYSERDA, Michael was a manager in the Office of Energy Efficiency and Renewable Energy at the U.S. Department of Energy focused on interagency and cross-office collaboration with state and local governments on clean energy solutions for low income

communities. Prior to joining DOE, Michael worked in the private sector where he managed low-income and market rate utility energy efficiency programs in the Midwest and advised the U.S. Environmental Protection Agency and Department of Labor, and the State of Michigan, on major energy efficiency and renewable energy policy initiatives and investments. He also advised national philanthropies on social impact investments, co-founded and led community nonprofit organizations, and worked for over 10 years to advance environmental sustainability and economic justice for residents in the Detroit area. Michael began his career working on energy and environmental issues at a major architecture and planning firm in Boston. He earned an M.S. in environmental policy from the University of Michigan and a B.A. in government from Wesleyan University.

Jason Doling

Jason Doling is a Program Manager for Energy Storage focused on removing stall points that prevent storage deployment, particularly in grid storage applications. Objectives include flattening peak demand, enabling flexible renewable generation resources, increasing electric system utilization and resiliency, and reducing greenhouse gas emissions. At NYSERDA, Jason helped establish the New York Battery and Energy Storage Technology Consortium (NY-BEST) which now numbers more than 150 members, and plays a key role in advancing energy storage technologies. Prior to joining NYSERDA in 2009, Jason was with NYSTAR, the New York State Foundation for Science, Technology and Innovation which focuses on innovation-based economic development where he served as Director of Business Development. Jason began his career at PricewaterhouseCoopers and holds a BS from the University at Albany, SUNY.

Adrienne Downey

Scott Egbert

Scott Egbert joined NYSERDA in June 2017 as Program Manager, Renewable Optimization and Energy Storage Innovation. Mr. Egbert is responsible for managing NYSERDA's investment portfolio in Renewable, DER, and Energy Storage Technology and Business Innovation. Mr. Egbert has over 20 years of experience in executive management, product delivery, product engineering, and business development. Previously, Mr. Egbert served as Manager, Business Development at GE Fuel Cells, Manager, New Product Development at GE Energy Storage, Managing Director, Plug Power Energy India, and Director of Engineering and Development at Plug Power Inc. Mr. Egbert holds a Bachelor of Science degree in Electrical Engineering from New Jersey Institute of Technology.

Victoria Engel-Fowles

Victoria Engel-Fowles is the Program Manager for the Market Characterization and Evaluation team. In her role, Victoria oversees all aspects of NYSERDA's program evaluation work and, with her team, engages with internal and external stakeholders to develop and implement multi-year market, impact and strategy evaluation plans to provide actionable insights to NYSERDA teams, credible outcome measurements, and cost-effective, high-value deliverables. In particular, her team is responsible for assessing and quantifying both direct and indirect energy impacts of initiatives. Victoria joined NYSERDA in 2001; prior to becoming Program Manager, she focused on market evaluation where she worked to build evaluation strategy for a variety of programs and

portfolios. Victoria has a BA in Environmental Studies and Anthropology from St. Lawrence University and a MBA from the College of Saint Rose.

Kim Erle

Kim Leinwand Erle is Managing Director at NY Green Bank, a division of NYSERDA. In this role, Ms. Erle oversees Strategy, Impact & Investor Relations. Prior to joining NY Green Bank in December 2019, Ms. Erle worked as an independent strategy consultant providing thought leadership to senior executives in business strategy and organizational change. Previously, Ms. Erle was a Portfolio Manager of Deutsche Bank's RREEF Retrofit Partners fund, seeking to deploy investor capital in deep energy retrofit projects for commercial, MUSH and multi-family property owners. As Chief Operating Officer of Trajectory Asset Management, Ms. Erle was responsible for business development, investor relations and firm governance. Ms. Erle was a Partner at Mitchell Madison Group, a global consultancy, where she was a leader in the Financial Institutions practice. Ms. Erle earned a Bachelor of Science in Operations Research & Industrial Engineering from Cornell University, a Master of Business Administration from Columbia Business School and a Master of Arts in Economics from Johns Hopkins University's Paul H. Nitze School of Advanced International Studies. She serves on the External Advisory Board for the Cornell Atkinson Center for Sustainability.

Adele Ferranti

Adele Ferranti is currently the Team Lead for NYSERDA's workforce development and training initiatives targeting existing and emerging workers in all sectors. Workforce development and training activities address energy efficiency, building science, renewable energy and emerging and advanced technologies as well as initiatives to develop career pathways for low and moderate-income residents. Prior to her current position, she was a Senior Project Manager in NYSERDA's R&D group for over 15 years where she started NYSERDA's photovoltaic (PV) and wind training programs and NYSERDA's PV incentive program. Adele has a B.S. in Biology from SUNY Geneseo, M.S. in Environmental Science from SUNY School of Environmental Science and Forestry, and a M.P.A. from the Maxwell School of Citizenship and Public Affairs, Syracuse University.

Rebecca Filbey

Bradley Frank

Brad Frank is a Program Manager within the West Valley Site Management Program. Brad is responsible for oversight of the West Valley Demonstration Project (WVDP) and acts as NYSERDA's lead for End-State planning. Prior to joining NYSERDA, Brad was a supervisor with the prime contractor at the West Valley Demonstration Project, and an operations superintendent for Kinder Morgan Inc. He also has served as an active duty Army officer, and is currently serving as a Major in the Army National Guard. Brad received his MBA from St. Bonaventure University, a Bachelor's of Science from the United States Military Academy at West Point, and a Certificate in Strategy and Policy Development from the U.S. Army War College.

Gregory Frank

Gregory Frank is the Assistant Director of Contract Management. He provides back-up responsibilities for the Director of Contract Management and supervises Contract Management

staff. Greg is the lead Contract team member for NYSERDA's, Multifamily Performance Program, West Valley Site Management Program, Saratoga Technology + Energy Park, and the NY Green Bank. He is also responsible for providing functional support of the procurement modules within NYSERDA's financial and contract database system. Greg has been at NYSERDA for over 18 years. Prior to joining NYSERDA, Greg was a project manager at Professional Service Industries, Inc., an engineering, consulting and testing firm. Greg has an A.A.S. in Business Marketing and Management from Sage Junior College of Albany and a B.S. in Natural Resources Management and Engineering from the University of Connecticut.

Karen Fusco

Karen Fusco is the Team Lead and Program Manager for the Consumer Services and Events Management (CSEM) team at NYSERDA. As part of the Corporate Communications and Marketing group, Karen's team is responsible for planning and executing conferences, meetings, events, exhibits, sponsorships, and public speaking opportunities as key strategic components of NYSERDA's outreach efforts. The CSEM team also manages NYSERDA's consumer hotline contract. Karen has been with NYSERDA for more than 10 years and is completing her Bachelor degree in environmental studies at SUNY Empire. Prior to joining NYSERDA in 2009, Karen was an Account Manager for a produce broker for 14 years.

Cheryl Glanton

Cheryl Glanton is the Director of Contract Management. She provides leadership to NYSERDA's contracts team in managing the Authority's contracting and procurement. This includes ensuring the development and compliance with contracting policies and procedures consistent with regulations and NYSERDA policies. Cheryl has been at NYSERDA for over 5 years. Prior to assuming this role, she was a Senior Project Manager for the Process, Power, and FlexTech program which addresses energy efficiency and productivity for industrial, water/wastewater, agricultural, data center, and combined heat and power facilities. Prior to joining NYSERDA, Cheryl was a manager at General Electric. Cheryl has a BSME from Worcester Polytechnic Institute and a MBA from Case Western Reserve University.

Donovan Gordon

Donovan Gordon is Director of Clean Heating & Cooling for NYSERDA. He leads New York State's efforts to develop markets that support clean, high-efficiency, renewable thermal systems, including solar cooling, heating and hot water; air and ground source heat pumps, biomass heating systems, and thermal storage. He is charged with conceptualizing, driving and implementing a portfolio of products to encourage and enable customers and partners to invest in low-carbon/renewable cooling and heating systems and also with advancing New York's progress toward self-sustained markets. Donovan is a Leadership in Energy and Environmental Design (LEED) accredited Green Associate, and an International Ground Source Heat Pump Association (IGSHPA) accredited Geothermal Installer. He received a bachelor's degree in Business Management from St. Francis College and a Master in Business Administration from NYU, Stern School of Business.

Alfred Griffin

Alfred Griffin is President of NY Green Bank and brings 25 years of experience in banking and finance to the organization. He is an industry leader in developing innovative solutions in support

of the financing of renewable energy generation and energy efficiency projects. In his role with NY Green Bank, Alfred is responsible for the overall strategy and staffing of the organization, and for overseeing partnerships with private sector market participants and capital providers to address market barriers that will accelerate deployment of clean energy / sustainable infrastructure. Prior to joining NY Green Bank, Alfred specialized in structured finance with roles in corporate and investment banking, capital markets and risk management at Citigroup Global Markets Inc. Specific roles included Alternative Energy Banking where Alfred was responsible for the design, marketing and execution of structured solutions within the evolving market segment. Before joining Citi in 1997, Alfred held positions at Edward D. Jones & Co. and Smith Barney Inc. Alfred is a graduate of the University of North Carolina at Chapel Hill where he earned his BA and MBA degrees. He is a Chartered Financial Analyst.

Greg Hale

Greg Hale joined NYSERDA in February, 2018 as Senior Advisor for Energy Efficiency Markets and Finance. Greg is overseeing the Authority's work to create a policy roadmap to achieve zero net energy buildings and communities throughout the state, which includes strategic co-leadership of the innovative RetrofitNY initiative. Greg also provides oversight of NYS policy efforts related to energy efficiency financing, including an ongoing role as strategic advisor to NY Green Bank. Prior to joining NYSERDA, Greg served in Governor Cuomo's administration as Senior Advisor to the Chairman of Energy & Finance, where he focused on the development and execution of the Governor's signature energy policy—Reforming the Energy Vision (REV) and was responsible for overseeing the establishment of the \$1 billion NY Green Bank. Greg was also the lead author of NY's 2015 State Energy Plan, and led an inter-agency working group developing clean energy solutions for the low to moderate income sector. Prior to joining the Governor's Office, Mr. Hale was the Director of Efficiency Finance at Natural Resources Defense Council's Center for Market Innovation. Prior to NRDC, Greg spent 17 years in the real estate industry, first as a real estate lawyer at Skadden, Arps, et al., and then as co-owner and general counsel of Cirque Property L.C., a real estate investment company based in Salt Lake City, Utah. Greg is a founding board member of the New York City Energy Efficiency Corporation, where he currently chairs the HR & Governance committee, and he served on the steering committee of the PACENow Coalition. Greg is a graduate of Dartmouth College and The University of Michigan Law School.

Kevin Hale

Kevin currently serves as the Director of Utility Affairs and Strategic Partnerships where he's responsible for strategy execution and program development under the Clean Energy Fund and the State's Reforming the Energy Vision policy approach to energy. In his previous role at NYSERDA, Kevin was the Director of Corporate Strategy and Planning where his responsibilities included leading the Authority's strategic planning efforts, organizational change management, and developing corporate processes and systems for ongoing optimization of NYSERDA's portfolio of programs. Kevin has been with NYSERDA since 2005 and has contributed to the design and execution of New York's Renewable Portfolio Standard ("RPS"), the Regional Greenhouse Gas Initiative ("RGGI"), and the New York Green Bank. Prior to NYSERDA, Kevin held senior positions at Science Applications International Corporation ("SAIC"), Johnson Controls, and Enron Energy Services. He holds an MBA from SUNY Albany and a B.S. in Business Management from SUNY Plattsburgh.

Christopher Hall

Chris manages NYSERDA's coverage and participation in the New York State Independent System Operator's (NYISO's) shared governance process. Chris generates a monthly report to management that summarizes important issues and trends in the wholesale electricity market, and leads a monthly NYISO team meeting to discuss noteworthy developments at the NYISO from the prior month. As directed, Chris also produces and/or manages work related to macroeconomic and electricity system modeling, and contributes to analyses on various other technical issues. Chris has worked for NYSERDA from 2000 through April 2011, and again from January 2013 to the present. In the interim, Chris worked for the Long Island Power Authority as "Manager of Power Markets Policy" from May 2011 through December 2012. In this role, Chris represented and advocated for the LIPA's interests in regional transmission organizations (RTOs) with an emphasis on the NYISO wholesale electricity market. Chris has a B.A. in Mathematics from the State University of New York at Potsdam and an M.B.A. with a concentration in management from Clarkson University.

Hillel Hammer

Hillel Hammer is a Senior Advisor for Energy and Environmental Analysis and supports analysis and development of New York State's energy and greenhouse gas policies, plans, and regulatory agenda, and related NYSERDA programs and environmental research. His responsibilities include the Greenhouse Gas Inventory and analysis of the air quality health benefits of decarbonization policies, input to the New York State Energy Plan, technical support for coordination with the Transportation Climate Initiative and other transportation initiatives, and technical support of decarbonization policy development and evaluation. Prior to joining NYSERDA, Hillel was an environmental consultant specializing in climate change and air quality, focused on supporting various government agencies in the New York region in developing policy and regulatory efforts, as well as project development support and various private sector analysis work related to energy, climate change, and air quality. Hillel also worked previously as a researcher at the Berkeley National Laboratory on air quality and climate studies.

Max Joel

Max Joel is a Program Manager with the NY-Sun Initiative at the New York State Energy Research & Development Authority (NYSERDA). The NY-Sun Initiative aims to increase the number of solar electric systems across New York State by stimulating the marketplace, so that costs associated with installing solar electric systems for residents and businesses are reduced. Previously, Max was the Director of Community Solar Initiatives at Solar One, a nonprofit organization in New York City, and the Capital Projects Coordinator at the Queens Botanical Garden. Max holds a BA in Urban Studies from Columbia University and a master's degree in Environmental Management from the Yale School of Forestry & Environmental Studies.

John Joshi

John Joshi joined NYSERDA as the Director of Financing Solutions and brings 25 years of experience in investment management and structured finance to NYSERDA. In his role at NYSDERA John is developing strategies as part of the Clean Energy Fund for mobilizing private capital and market-based financing solutions to support scaled investments in clean energy across sectors and technology areas. This role is important to support the Governor's energy agenda and the strategy for scaling clean energy by engaging private capital financing solutions. John will

focus on technologies where financing solutions have been underutilized or underdeveloped. In his prior experience John has developed innovative financing structures and managed multi-billion-dollar investment portfolios in various asset classes. John has extensive experience in solar & renewable energy capital markets solutions, structured products, hedge funds, & risk management, most recently as Head of Capital Markets for PLANT. While working for Smart Energy Solutions LLC, John advised the White House policy staff, the Senate Finance staffers and the Secretary of Energy on effective solutions for increased capital for the solar sector, including active participation in the Solar Access Public Capital (SAPC) initiative.

Glen Kaatz

Glen Kaatz is the Chief Information Officer. Prior to that he served as the Director of Information Technology. He is responsible for all facets of Information Technology operations including the data center, desktop hardware and software support and enterprise software development. He has been with NYSERDA since 2006. Prior to joining NYSERDA he was an enterprise architect for the New York Independent System Operator. Glen received a B.S. degree in Computer Science from Siena College.

Amy Kasson-Muzio

Amy Kasson-Muzio is the Quality Assurance and Standards Program Manager at NYSERDA. Amy is responsible for driving Quality Assurance strategies that promote consumer and investor confidence necessary to scale investment in the State's clean energy economy. She also oversees quality oversight for the NY-SUN, Multifamily Performance Plan, Home Performance with ENERGY STAR® (HPwES), EmPower NY, the Low-rise Residential New Construction Program, Clean Heating and Cooling Programs including Ground Source and Air Source Heat Pumps, Solar Thermal Programs as well as Pellet Stove and BioMass Boilers. Amy joined NYSERDA in 2017, bringing over 20 years of Quality Assurance experience with public organizations, General Electric and Regeneron, where she focused on developing, maintaining and lead continuous improvements to the Quality Management System. Amy holds a Bachelor of Science degree in Environmental Studies from the State University of New York at Albany.

Peter Keane

Peter Keane is NYSERDA's Deputy Counsel, and focuses on renewable energy matters, research and development, and regulatory affairs. Peter's responsibilities include providing legal advice and counsel to NYSERDA with respect to the System Benefits Charge, Renewable Portfolio Standard Main and Customer-Sited Tiers, Energy Efficiency Portfolio Standard, Regional Greenhouse Gas Initiative, NY-Sun, New York Generation Attribute Tracking System, Clean Air Interstate Rule, and Performance Management programs. Prior to joining NYSERDA in 2001, he practiced energy law with the firms of LeBoeuf, Lamb, Greene & MacRae LLP and Couch White, LLP. Mr. Keane is a 1997 magna cum laude graduate of Albany Law School.

Andrew Kessler

Andrew Kessler is the Acting President at the NY Green Bank, a Division of NYSERDA. Mr. Kessler oversees investments and portfolio management for NY Green Bank. Over a 25-year career, Mr. Kessler has developed extensive experience in corporate and project finance and advisory as well as operational management, corporate development, and project development. Prior to joining NY Green Bank, Mr. Kessler founded and led the Biogas, Waste & Recycling

Advisory group at the Danish Trade Council based in Washington, D.C. He also served as Co-Head of the Danish Trade Council's North America Energy & Environment Group. In 2010, Mr. Kessler founded Turning Earth, an integrated organics recycling company focused on converting organic waste streams into biogas and other beneficial resources. Prior to launching Turning Earth, Mr. Kessler spent 15 years as an investment banker holding senior positions as a corporate finance and mergers & acquisitions professional at various firms including HSBC, Waller Capital, Morgan Stanley, and Duff & Phelps. He has executed equity, debt, and M&A transactions across a diverse set of sectors and markets and has served in numerous corporate governance leadership roles. Mr. Kessler has written and lectured on waste, recycling, biogas, and related renewable energy topics and regularly speaks at industry forums and conferences. He served on the Board of Directors of the U.S. Composting Council from 2010 to 2012 and was elected to its Executive Committee as Vice President and Treasurer, respectively. Mr. Kessler earned a bachelor's degree in Economics from the University of Virginia and a Master of Business Administration at New York University's Stern School of Business.

Gregory Lampman

Gregory Lampman is the Program Manager for the Environmental Research Program at the New York State Energy Research and Development Authority (NYSERDA). Greg's work focuses on development and maintenance of a portfolio of scientific research that provides objective information to help address immediate and long-term, energy-related environmental challenges across New York State. Working closely with staff and other scientists, Greg also endeavors to convey this information to appropriate policy makers and seeks to understand their information needs. The program supports: air quality and related health research; acidic and mercury deposition monitoring and assessments; research into the State's vulnerability to climate change to help develop mitigation and adaptation strategies; assessments and research into the environmental effects of renewable energy development; biomass combustion efficiency and emissions assessments. Greg is also part of the Offshore Wind Team, leading the environmental aspects of the work seeking to advance responsible and cost-effective development of offshore wind energy. Greg joined NYSERDA in 2000 and has a background in biogeochemistry, energy efficiency in buildings and water/wastewater treatment systems, and energy efficient design and construction. Greg has an Associate's degree in Environmental Science and earned his Bachelor and Master degrees in Biology with a concentration in biogeochemistry from the State University of New York College at Brockport.

Brian Lee

Brian Lee is a senior finance executive with extensive experience in transaction structuring, business development and regulatory compliance. As Managing Director of Risk & Compliance, Mr. Lee is responsible for the day-to-day analysis and management of NY Green Bank's key business risks (enterprise and investment) including related reporting and compliance across the organization and the investment portfolio. Among other responsibilities, together with the investment team, he evaluates and monitors the inherent risks in the investment portfolio, ensuring alignment with NY Green Bank's business plan, investment strategy and risk appetite. Prior to joining NY Green Bank, Mr. Lee held various senior roles at Lloyds Banking Group, North America. As Senior Vice President of Strategy & Performance, he was tasked to improve performance across key customer relationship and product areas. He led a team that proposed and received approval to build a debt capital markets business in North America, and he was

instrumental to the planning, establishment and successful development of Lloyds' new broker-dealer, Lloyds Securities Inc. He later served as its Chief Compliance Officer, leading a team responsible for all aspects of compliance. Prior to joining Lloyds, Mr. Lee worked at Manresa Partners, a boutique investment advisory firm seeking principal investment opportunities. He also worked at Citigroup, designing and implementing structured transactions for its largest corporate clients. He was instrumental in proposing and developing a portfolio totaling \$350 million of equity investments in renewable energy power projects. Mr. Lee holds a Master of Business Administration degree from The Tuck School of Business at Dartmouth and a Bachelor of Science degree in Chemical Engineering from Princeton University.

Stefanos Lepeniotis

Stefanos Lepeniotis is the Program Manager of Statistics and Analytics within the Performance Management Group. Stefanos is responsible for statistical and analytical support of all different teams at NYSERDA. Stefanos is working with many project/program managers on the Test-Measure-Adjust strategies of their initiatives. He is also providing support to many teams using external data purchased by NYSERDA to understand market share and different segmentations of the markets (Commercial, Residential, etc.). Stefanos joined NYSERDA in 2016 working with the Performance Management team. Prior to joining NYSERDA he worked for Hoechst- Celanese Corp. as a research Statistician and then for JPMorgan Chase Bank, GE Money, Citigroup at their Decision Science Departments. He also worked for M&T Bank managing an off-shore group of analysts in all banking products. Stefanos has published more than 20 papers in the field of statistics as author and co-author, and has presented at many National and International Conferences. Stefanos earned a Bachelor's degree in Economics and Statistics from Athens School of Economics, a Master's degree in Statistics from RUTGERS University in New Jersey, and a Master's degree in Computer Science from the New Jersey Institute of Technology.

Dana Levy

Dr. Dana Levy is the Program Manager for On-site Power Production at NYSERDA where he directs a ten-member team. Dana contributes via his diverse perspectives gained from roles in academic laboratory research, engineering consulting, and factory operation and management. The On-site Power Production Program has an annual budget of approximately \$30 million (which is roughly 6% of NYSERDA's overall budget), and focuses on end-use customer acquisition of electric generating systems consisting of Distributed Generation-Combined Heat and Power (DG-CHP), anaerobic digester gas (ADG)-to-electricity, fuel cells, and on-site wind. Prior to joining NYSERDA in 1999, he served as Environmental Coordinator at the Army's Watervliet Arsenal and as an environmental engineering consultant to industry and government. Dana earned his Doctorate and M.S. degrees in Environmental Engineering from Rensselaer Polytechnic Institute, a B.S. degree in Chemical Engineering from the University of Massachusetts; and is a licensed Professional Engineer and a recipient of the U.S. Clean Heat and Power Association Combined Heat and Power Champion Award and the Northeast Clean Heat and Power Initiative CHP Champion Award.

Peter Lion

John Lochner

John Lochner is the Vice President for Innovation. In this role, John provides oversight of NYSERDA's Innovation department including departmental strategy, operations, program development, execution, and performance management. John's previous experience includes investing, management consulting, investment banking, time as an executive at a distributed renewable energy start-up, and advisory work for environmental organizations including the Natural Resources Defense Council and The Nature Conservancy. John holds an M.B.A from The Wharton School at the University of Pennsylvania, an M.A. from Harvard University, and a B.A. from Duke University.

Katherine McDonald

Katie joined NYSERDA in February 2020 where she serves as the Assistant Director of Technology to Market supporting and launching programs that can help the state of New York address ambitious climate and energy goals through innovation and entrepreneurship. Katie comes to NYSERDA from Greentown Labs, the largest cleantech incubator in the United States, where she was Senior Director of Strategic Partnerships responsible for corporate partnerships and industry accelerator programs. At Greentown, Katie designed and scaled the 'Greentown Launch' model for corporate / startup partnership development and ran first- of- a- kind programs in energy storage, hydrogen, solar materials, circular economy, and distributed energy resources. Before Greentown Labs, Katie served as Executive Director of Cleantech Open Northeast, the region's premier cleantech business accelerator. Under Katie's leadership, the accelerator saw record-breaking application numbers, a 100% recommendation rate from startups, and the first national prize in the Northeast chapter's history. In 2016, Katie also represented Cleantech Open at the White House for World Water Day. Katie has worked for organizations including the World Bank, 350.org, and Amherst College to build innovation programs, organize communities, and drive climate impact. Katie sits on the Board of 501CTHREE, a first of a kind non-profit dedicated to catalyzing social and entrepreneurial action on climate.

Wendy MacPherson

Wendy MacPherson is a Program Manager for the Clean Heating and Cooling Team which promotes clean technology solutions for residential and commercial buildings through air source heat pumps, ground source heat pumps, solar heating and cooling and high-efficiency, low-emissions biomass heating systems. Prior to joining NYSERDA in 2009, Ms. MacPherson worked at Saint-Gobain for 23 years, in the High-Performance Materials businesses. She has a breadth of experience in manufacturing, including project and product management of large industrial product lines. Ms. MacPherson has a B.S. Industrial Engineering from Rensselaer Polytechnic Institute in Rensselaer, NY and an MBA from Union College in Schenectady, NY.

Peter Mahar

Peter Mahar is Controller and Assistant Treasurer and oversees the daily operations of the Finance Unit. This includes assisting the Treasurer in the development of NYSERDA's annual budget, preparation of quarterly and annual financial statements, monitoring the internal control structure over financial reporting, and ensuring the accuracy of NYSERDA's financial transactions including receipts, disbursements, income, and expenses. Peter is a member of NYSERDA's Management team and sits on many working groups. Peter has been with NYSERDA since 2000 and has been in his current position for the last seventeen years. Prior to joining NYSERDA, Peter was a Senior Auditor at the New York State Office of the State Comptroller Bureau of Municipal

Affairs. Peter has also worked as a staff accountant for a Certified Public Accounting firm based out of Albany New York. Peter is a Certified Public Accountant and received a Bachelor of Science in Accounting from Utica College of Syracuse University.

Zigurts Majumdar

Ziggy Majumdar joined NYSERDA as Senior Advisor in 2020 in the Innovation Business Unit and is a member of the Advanced Buildings Team. Ziggy's responsibilities include managing projects and developing investment plans to support novel approaches that can reduce GHG emissions, improve quality of life, catalyze economic growth, and inform climate policy. Ziggy is developing new programs to improve utilization of natural working lands, biomaterials, and waste streams, and in healthy, intelligent buildings. Prior to NYSERDA, Ziggy was Director of Development at The Lighting Research Center at RPI, founded and ran his own company for over 3 years and worked for over 6 years at Booz Allen Hamilton, in Washington, DC, where he helping initiate and manage over 10 R&D programs, ranging from \$20M - \$120M per program, for US government clients such as ARPA-E, DARPA and DHS. Ziggy was a postdoctoral fellow at the National Institutes of Health in Bethesda, MD, has 3 patents and over 10 peer-reviewed scientific publications. Ziggy has a Ph.D. in physics from the University of Illinois at Urbana-Champaign and B.A. in physics from the University of Pennsylvania.

Carl Mas

Carl Mas is Director of Energy and Environmental Analysis and has responsibility for directing analysis in support of policy and program planning for NYSERDA as well as the oversight of NYSERDA's environmental research portfolio. The energy planning support includes responsibility over the Authority's efforts with respect to the Regional Greenhouse Gas Initiative and the New York State Energy Plan. Carl also oversees the state's fuels market intelligence and data collection activities, energy emergency planning, nuclear coordination program, and energy price forecasting and systems modeling. The environmental research activities include the provision of energy-related environmental accountability through analysis of long-term monitoring records and modeling as well as the evaluation of the effectiveness of energy-related environmental protection strategies to support regulatory processes. Before rejoining NYSERDA, Carl was a Manager working at Columbia University's Earth Institute, principally in charge of the Sustainable Develop Solutions Network Deep Decarbonization Pathways Project, which consisted of 15 country research teams composed of over 30 leading research institutions from countries representing 70% global greenhouse gas emissions. Carl also previously held the position of Senior Project Manager in NYSERDA's Energy Analysis group, where he managed projects and served as a policy and program advisor in the areas of renewable energy, climate change mitigation, environmental impacts of energy systems, and alternative transportation fuels. Carl also worked as a researcher at two U.S. Department of Energy National Laboratories: Sandia National Laboratory and the National Renewable Energy Laboratory; as an energy systems analyst and team leader for a start-up fuel cell company; and as a consultant for a not-for-profit international development organization focused on renewable energy policy development and market growth. Carl received a dual Masters in Science from the University of California, Berkeley, in Mechanical Engineering and Energy & Resources.

Jennifer Meissner

Jennifer Meissner serves as Director of Performance Management. In this role, Jennifer is responsible for measuring and evaluating NYSERDA program performance for development and provision of data and resources to support market analytics and intervention design. Jennifer is a member of the Board of Directors of the International Energy Program Evaluation Conference (IEPEC) and has held advisory and committee roles for other state, regional and national energy program measurement and evaluation organizations. Jennifer has 19 years of experience in clean energy technology, program and market evaluation and has also been involved in NYSERDA's corporate strategic planning and state energy planning activities. Her experience prior to joining NYSERDA focused on corporate environmental and sustainability strategy, including consulting. She holds a B.S. in Environmental Studies from the University of Vermont and a M.S. in Environmental Management and Policy from Rensselaer Polytechnic Institute. Jennifer is also a Certified Measurement & Verification Professional by the Association of Energy Engineers.

Andrea Mellon

Andrea Mellon is a Program Manager for the West Valley Site Management Program. Andrea is responsible for maintaining the State-Licensed Disposal Area and Retained Premises of the Western New York Nuclear Service Center in a safe manner and in compliance with all applicable regulations, and providing management oversight to staff. Andrea also provides regulatory support in the management of hazardous waste at the West Valley Demonstration Project. Prior to joining NYSERDA, she was a scientist with West Valley Nuclear Services Co., at the West Valley Demonstration Project. Andrea holds a B.S. in Biology/Chemistry from Gannon University.

Katherine Mogg

Kaitlin Moody

Jason Moore

Jason Moore is a Managing Director of NY Green Bank. His primary responsibilities include originating, structuring, executing, and managing investments in sustainable infrastructure projects. Prior to joining NY Green Bank, Jason held investment banking and transaction advisory positions at BBVA Compass, Nordeutsche Landesbank, and P50 Capital. He is a graduate of Mississippi State University and holds a master's degree in finance from Boston College.

Courtney Moriarta

Courtney Moriarta is the Director for NYSERDA's Single Family Residential Team. Ms. Moriarta oversees NYSERDA's Clean Energy Fund work supporting the 1-4 unit existing homes market focusing on innovative initiatives designed to promote and rapidly scale uptake of energy efficiency and clean energy options for all New Yorkers. Her team is also responsible for providing free home energy audits statewide under the state's Green Jobs Green New York Act. Ms. Moriarta previously provided consulting services to the U.S. Department of Energy supporting their residential energy efficiency programs and the Massachusetts Energy Efficiency Advisory Council supporting the Mass Save residential program portfolio. With more than 25 years experience in the residential energy efficiency and sustainability field, she is frequently called upon as a subject matter expert supporting standards development, program design, and

public policy matters. She currently serves on the Consortium for Energy Efficiency's Program Advisory Council, as NYSERDA's liaison to the Board of Directors for the Building Performance Institute, and is an active member of the Northeast Energy Efficiency Partnerships' Cold Climate Air Source Heat Pump industry working group. She holds dual bachelor's degrees from the Massachusetts Institute of Technology and is a member of the American Society of Heating Refrigeration and Air Conditioning Engineers and the Association of Energy Services Professionals.

Susan Moyer

Susan B. Moyer is Director of Corporate Marketing, responsible for planning, development and implementation of the organization's marketing strategies, marketing communications, and oversees an integrated effort to effectively position NYSERDA in the market. Prior to joining NYSERDA in 2008, Susan was Senior Vice President and Director of Marketing for the Northeast Region of KeyBank N.A., where she was responsible for KeyBank's Field Marketing initiatives across the East Region. In addition, Susan was with General Electric Company ("GE") for 19 years, where, as Manager, Communications Programs, she was responsible for the design and launch of GE's Web site. Other roles in her tenure at GE included: marketing for consumer and industrial business units, strategic supplier management, management of GE's Accessibility Programs, and Communications Director for the GE Business Information Center. Susan graduated from the University of Florida with a B.S. in Advertising and Marketing.

Katherine Muller

Katherine Muller is Director of Communications and Corporate Marketing, managing the Authority's communications, marketing, consumer services and events departments. She is responsible for media relations, crisis communications, brand management, corporate marketing, social media and events management. Prior to joining NYSERDA in 2011, Ms. Muller worked for a decade at World Wrestling Entertainment ("WWE"), a global entertainment company, advancing to Senior Director of Corporate Communications. While at WWE, her responsibilities included managing corporate communications, crisis communications, and community relations programs throughout the U.S. Ms. Muller worked in corporate communications at the General Electric Company ("GE") in Fairfield, Conn. before joining WWE. She graduated from Marist College with a B.A. in Communications, and a MPA from Rockefeller College of Public Affairs and Policy at SUNY Albany.

Christopher O'Connor

Christopher O'Connor is a Program Manager overseeing the Performance Measurement & Reporting group at NYSERDA. This group provides the framework, corporate-wide, for collecting and presenting decision-quality information in support of NYSERDA's test-measure-adjust strategy. Under this framework falls regulatory reporting of all program performance as well as development & administration of web-based data visualizations for both internal and external stakeholder use. Prior to joining NYSERDA, Chris held management and operations leadership roles in Engineering and Architecture consulting firms, crossing paths with NYSERDA in areas of Solar PV, the Industrial & Process Efficiency Program, and Technical Assistance programs. Chris began his career with nearly a decade of work in the Aerospace industry, becoming a practitioner of Lean Manufacturing and Six Sigma tools. He has BS in Aerospace Engineering from the University at Buffalo, and an MBA from the University at Albany.

Patrick O'Shei

Patrick O'Shei is the Director of Market Development at NYSERDA. He is responsible for the design and delivery of Energy Efficiency programs serving existing commercial buildings and new construction in all sectors. He also oversees the development of building energy codes, product and technical standards, and quality assurance. He is responsible for the development of new market initiatives based upon Big Data, E-Commerce and digital technologies. In his previous role as Director of Performance Management and Evaluation he was responsible for measuring and evaluating NYSERDA program performance and their impact on markets and the provision and development of data/information resources to support analytics and performance management. Prior to this position, he was the Program Manager for Quality Standards & Compliance. Prior to joining NYSERDA, Patrick held positions as a Senior Consultant for Metamorphosis Management Group, VP Operations & Finance for ESCO Energy Services, VP & COO for the Kripalu Center for Yoga and Health. He has 15 years of experience in corporate quality management and operational excellence and 15 years of teaching experience in the fields of management and mathematics at SUNY Empire State College. Patrick holds a B.S. in Paper Science & Engineering from the SUNY College of Environmental Science & Forestry and an M.S. in Applied & Mathematical Statistics from the Rochester Institute of Technology.

Mary Peck

Mary Peck was appointed as the Director of Internal Audit for NYSERDA on June 27, 2018. In this position, Mary is responsible for managing all aspects of the execution of the annual audit plan, reviewing operations to assure compliance with management policies, governance processes, and the effectiveness of internal controls. Throughout her career, she has earned a reputation at both the state and national level as an expert and leader in developing and promoting strong systems of governance, risk, and controls. In 2004, the NYS Division of the Budget, in conjunction with the Office of the State Comptroller, created an interagency task force to address both internal audit compliance issues, as well as to provide compliance guidance on the broader internal control requirements of the NYS Internal Control Act. In support of that effort, Mary was chosen as the Task Force Co-Chair and was asked to present on the final report and the NYS model at an audit symposium in South Korea. Mary serves on the Board of Governors for the Institute of Internal Auditors and the New York State Internal Control Association. Prior to her appointment at NYSERDA, Mary served as the Director of Internal Control for NYS OPWDD, was a Senior Auditor for the New York ISO, a not for profit organization responsible for monitoring the statewide electrical power grid and held various positions within the Office of the State Comptroller. Mary graduated summa cum laude with a BBA in Accounting from Siena College. She is a Certified Internal Auditor and holds various other risk and audit designations.

Alyse Peterson

Alyse Peterson is Senior Advisor for Nuclear Coordination and Radioactive Waste Policy at NYSERDA. In this role, she serves as the State's Liaison Officer (SLO) Designee to the U.S. Nuclear Regulatory Commission (NRC) and as the State's nuclear coordinator. As SLO Designee, she is the primary communication channel between the State and the NRC. As State nuclear coordinator, she works closely with the New York State Departments of Public Service, Health, Environmental Conservation, and Homeland Security & Emergency Services on all state activities related to atomic energy. She serves as a member of the Indian Point Closure Task Force. She is

the State's representative to the national Low Level Radioactive Waste Forum on which she has long served in a leadership role, currently as Past-Chair. Alyse came to NYSERDA from the New York State Department of Environmental Conservation (DEC) where she served as a Senior Environmental Engineer and was responsible for environmental contaminant investigation & remediation under CERCLA, and RCRA waste management & beneficial use practices, among other issues. Alyse holds an Bachelor of Science degree in Environmental Engineering from Rensselaer Polytechnic Institute and is a licensed Professional Engineer.

Ashley Porubcan

Ashley Porubcan was named as Program Manager for the Operational Transformation & Lean team in 2017. In this role, she leads a team that engages in a range of initiatives to expand impact, build new capabilities, improve customer and partner interfaces, improve responsiveness, reduce cycle times, streamline and enable internal workflow, support reporting and managerial decision-making, manage risk, and reduce cost. Additionally, the Operational Transformation and Lean Unit serves as an internal consultancy that supports projects in partnership with programmatic and functional units to improve the way NYSERDA does business for their customers and contractors. Ashley has over 10 years of service at NYSERDA and has served in the roles of Business Analyst, Project Manager, and Project Coordinator for various teams. Ashley holds a Bachelor of Science in Business & Technology Management from Clarkson University and a Master of Business Administration from the University at Albany.

Donna Rabito

Donna Rabito is Director of Human Resources and is responsible for providing overall strategic human resource leadership to the organization by overseeing the development and implementation of human resources policies, programs and services. Prior to joining NYSERDA in January 2013, Donna served as Associate Director of Human Resources for Albany Medical Center; Human Resources Manager for Albany Molecular Research, Inc.; and Manager of Human Resources for Laberge Engineering and Consulting Group. Donna holds a B.S. in Marketing from SUNY New Paltz, an M.B.A. in Human Resources/Information Systems from the University at Albany, and is a certified Senior Professional in Human Resources.

Sarah Rambacher

Sarah Rambacher is a Program Manager for the Shared Services team at NYSERDA, a team charged with centralizing common administrative process across the Authority, to reduce overall administrative costs, streamline and standardize processes, and deliver continuous improvement opportunities. Sarah joined NYSERDA in 2012 and has held a variety of positions at NYSERDA over the last six years, including Project Manager in the Industrial & Process Efficiency Team and Business Analyst in the Operational Transformation and Lean Team. Sarah is also a Certified Empire Belt in the New York State Lean Initiative. Sarah holds a Bachelor of Business Administration with a Major in International Business from Loyola College and a Minor Equivalent in Mandarin Chinese from Johns Hopkins University.

Gregory Randolph

Michael Reed

Michael Reed is a Program Manager on the Commercial Market Development team. As the team lead for commercial programs in New York City, Michael helps lead programs focused on accelerating the adoption of smart building technology and services, as well as addressing barriers preventing the adoption of energy efficiency in commercial tenant spaces. Prior to joining NYSERDA in May of 2017, Michael led clean energy programs for Groundswell, a DC based clean energy nonprofit. Michael also served for three years in the Peace Corps in Peru. Michael holds a B.A. from the University of California, San Diego, and an M.P.A from the NYU Wagner School of Public Service.

Adam Ruder

Adam Ruder is the Program Manager for NYSERDA's Clean Transportation group. The Clean Transportation group focuses on developing and demonstrating new technologies, policies, and business models that support three key focus areas: electric vehicles, public transportation, and mobility management. Adam has led the implementation of Gov. Cuomo's ChargeNY initiative to advance electric vehicle adoption in New York State and he closely collaborates with other states and the federal government to jointly advance EV policies and programs. Before joining NYSERDA in 2008, Adam received a Master in Public Policy degree from the John F. Kennedy School of Government at Harvard University.

David Sandbank

In November 2014 David Sandbank joined NYSERDA to run New York State's \$1 billion NY-Sun initiative and has overseen the largest year-over-year growth of solar in New York State. In addition, Sandbank led the design and launch of a comprehensive Community Solar program to reduce the over-all cost of solar in the State and enable access to solar for all New Yorkers along with Solarize, Affordable Solar and K-Solar. Prior to joining NYSERDA, Sandbank worked as a solar developer, helping a start-up grow into a \$30 million company. Sandbank helped build a staff of more than 100 and oversaw company operations, marketing, engineering and installation teams. In November 2013, Sandbank was elected vice president of the New York Solar Energy Industries Association to actively work with government agencies, policy makers and utility companies to help advance the solar industry in New York.

Erich Scherer

As Senior Advisor for Energy and Environmental Analysis, Erich Scherer's role focuses on providing policy advice and developing underpinning analysis on key New York State clean energy strategies. In doing so, Erich works closely with teams across NYSERDA as well as policy leads at the Department of Public Service. Erich leads on EEA's capability to analyze clean energy technology cost, value and resource availability. Recent projects include New York's Clean Energy Standard, Renewable Heating and Cooling Policy Framework and Offshore Wind Master Plan. Erich's career in the energy sector spans almost fifteen years. Prior to arriving at NYSERDA in 2015, he worked in the private sector as a financial services advisor for solar PV, biomass heat and energy efficiency in London and Philadelphia. He started his career in the energy sector at the UK Government Department of Energy and Climate Change, where he was one of the authors of the UK Renewables Strategy as well as key renewables policies including the Renewables Obligation, Feed-in Tariffs and the Renewable Heat Incentive. At the European Commission in Brussels, he was one of the designers of the 2009 Renewables Directive, which sets mandatory 2020 targets driving renewable energy policy efforts across the European Union. Before joining

the energy sector, Erich practiced law for five years, specializing in corporate and finance law. He holds Masters degrees in Law from the University of Oxford and the Free University of Amsterdam.

Kimberlie Schryer

Kimberlie Schryer is the Program Manager for Residential Data Analysis and Systems Planning. Kim is responsible for data analytics to better determine contractor training needs, improve project and business-level performance and scale residential energy efficiency. She represents New York on several nationally recognized data standards working groups and facilitates standards practice in IT systems planning for new NYSERDA initiatives. Previous NYSERDA responsibilities have spanned several public benefit commercial and residential incentive and training programs and quality assurance. Kim joined NYSERDA in 2002, bringing 15 years of experience with Fortune 500 organizations, including Oracle Corporation and General Electric, focused on change management. She is a Chemical Engineer from the University of Louisville and also has an MBA from Union College in Schenectady, NY.

Michael Shimazu

Michael Shimazu serves as NYSERDA's Senior Advisor for Business Growth and Innovation. In this capacity, Mike is responsible for managing several programs that support research and innovation across the spectrum of clean energy technologies, including launching the 76West Clean Energy Competition, and advises NYSERDA teams regarding technology commercialization. During his 8 years at NYSERDA, he launched and managed the nationally-recognized Clean Energy Business Incubator program, began a venture mentoring service, and initiated outreach to venture, corporate, and impact investors to support early-stage clean energy companies in New York State. Previously, Mike was a co-founder and Vice-President of Molecular OptoElectronics Corporation (venture-backed startup company), a business development executive at Rensselaer Polytechnic Institute and the University at Albany, and the principal of Industrial Physics Group, a successful technology business development consultancy. Mike received a B.S from the Massachusetts Institute of Technology and M.S. from Rensselaer. He holds three U.S. patents.

Stephen Simko

Scott Smith

Scott Smith is a member of the Clean Heating and Cooling Team which develops and executes strategies to scale the renewable heating and cooling market in New York State including: ground source and air source heat pumps, solar thermal and biomass. Prior to joining NYSERDA, Scott worked as an environmental consultant for Dames & Moore on the clean-up of PCBs at the former General Electric Company transformer manufacturing facility in Hudson Falls. He has a Bachelor's degree in Chemical Engineering from Worcester Polytechnic Institute and a Master's Degree in Chemical Engineering from the University of Oklahoma.

Claudette Thornton

Bradford Tito

Bradford Tito serves as Program Manager for Communities and Local Government at NYSERDA. Brad works with communities across the state to save energy and improve the environment. His role is to package and promote innovative and responsive clean energy offerings that resonate with local governments and the nearly 20 million people they serve. Prior to joining NYSERDA in 2015, Brad served as Director of Sustainability for the City of Yonkers, NY where he led a number of high-profile initiatives including an award-winning LED streetlight project, the establishment of mandatory green building standards, and the replacement of 500 windows in historic Yonkers City Hall. Brad also served as the Director of Environmental Coordination for Nassau County, NY where he worked for five years implementing a broad range of environmental and health initiatives. Brad holds a master's degree in Urban Policy Analysis and Management from the New School University and a bachelor's degree in Sustainable Development from Prescott College in Arizona.

Brian Treanor

Brian Treanor is Assistant Controller and oversees accounting operations including accounts payable, billing/accounts receivable, and payroll. Brian is also primarily responsible for the preparation of quarterly and annual financial statements, and is the principal lead for the Authority's independent financial statement audit. Brian also maintains the Authority's system for budgetary control over public funds and is responsible for various internal and external program financial reports. Brian has been with NYSERDA for 14 years. Brian is a Certified Public Accountant with a B.S. in Accounting from the State University at Albany. Brian's 12 years of prior professional experience included work for international CPA firm KPMG as an auditor, and employment as a Director of Accounting and Decision Support for a community hospital system.

Stanley D. Trybulski

Stan Trybulski is the Director of Market Insights, where he and his team ensure NYSERDA's programs and strategies are rooted in actionable insights about the economics and decision making of customers, partners and markets where NYSERDA operates. Market research and analytics is foundational to NYSERDA's work to inform and develop strategies that overcome market barriers, and animate the market to increase the scale and adoption of clean energy. Prior to joining NYSERDA in May of 2016, Stan spent over 15 years as a clean energy private equity investor, investment banker and management consultant at such firms as Good Energies, Morgan Stanley and Marakon Associates. Throughout his career, Stan has conducted extensive company due diligence, market analysis and insight generation across clean energy markets. Stan holds a bachelor's degree in Politics from Princeton University and an MBA from Columbia University.

Kelly Tyler

Kelly Tyler is the Director of the Communities and Local Government Department at NYSERDA where she leads a team that engages, collaborates and partners with municipalities and community stakeholders to proactively address local energy and economic development needs with market-oriented clean energy strategies. Under her leadership, the department administers the Clean Energy Communities, Community Energy Engagement and Cleaner, Greener Communities Program. Additionally, she serves as Manager of the Buffalo Regional Office. Prior to NYSERDA, Kelly worked for the Buffalo Niagara Partnership as Manager of Business and Workforce Development where she administered business growth and advocacy efforts through a

160-member manufacturers group; and at the Amherst Chamber of Commerce as Vice President of Operations. Kelly holds a Masters of Business Administration from Medaille College and a Bachelor of Arts in Public Communication and English from Buffalo State College.

Vanessa Ulmer

Vanessa supports NYSERDA's Energy and Environmental Analysis group as a member of the Policy Development Team. Vanessa's current portfolio focuses on statewide energy efficiency policy and the Reforming the Energy Vision (REV) regulatory process. She is a member of the core NYSERDA and Department of Public Service team which developed a 2025 statewide energy efficiency target and plan for NYS, and which is now advancing implementation efforts. Vanessa joined NYSERDA in 2012, initially supporting the Authority's commercial programs. Prior to NYSERDA, Vanessa's career focused on public policy analysis and advocacy across the fields of public health, urban policy, sustainable development, and international trade. She worked previously for a Tulane University health research center, for the Carnegie Endowment for International Peace, and for a transatlantic think tank. Vanessa holds a Master in Public Affairs from Princeton University and a BS in Policy Analysis and Management from Cornell University.

Matthew Vestal

Mr. Vestal is Senior Advisor for NYSERDA's Large-Scale Renewables Team, leading various State efforts related to achieving New York's goals of 9 GW of offshore wind by 2035 and 70% renewables by 2030. His position focuses on providing commercial and technical guidance for policies and frameworks that underpin New York's clean energy strategies. Prior to NYSERDA, Mr. Vestal was an associate at Capital Dynamics, a market-leading investor in land-based renewables and conventional fuel technologies where he supported deal origination, transaction underwriting, and asset management. Prior to Capital Dynamics, Mr. Vestal was an analyst at GE Energy Financial Services where he worked in project development and fixed-income underwriting. Mr. Vestal holds a bachelor's degree in engineering physics from the University of Kansas.

John Williams

John Williams is Vice President of Policy and Regulatory Affairs and has responsibility for overall policy and planning guidance for NYSERDA. In this role, John leads the Authority's efforts with respect to the New York State Energy Plan. He engages the Authority's Energy and Environmental Analysis and Government Affairs functions, as well as the Performance Management and program evaluation functions of the Authority. In his previous role with NYSERDA, John served as Director of Energy Analysis and as Deputy Counsel. Prior to joining NYSERDA, John served as Energy Counsel for the New York State Assembly; was a Staff Attorney for The Energy Project of the Pace University School of Law; and also worked as a Financial Analyst for the Public Service Company of New Mexico, a combined electric and natural gas utility company serving the largest markets in the state of New Mexico. John serves as the designated alternate for NYSERDA on the NYS Board on Electric Generation Siting and the Environment, and also serves on the Board of Directors for the National Association of State Energy Officials. John has a B.A. in History from Columbia University, a J.D. from St. John's University School of Law, and an LL.M. in Environmental Law from Pace University School of Law. John is a Member of the Bar of the states of New York and New Jersey.

Andrew Zielinski

Andrew Zielinski is an Advisor for the Large-Scale Renewables (LSR) team. In this role, Andrew focuses on New York Independent System Operator (NYISO) activities including participation in the shared governance process, transmission related issues with the NYSERDA renewable portfolio, and technical studies in collaboration with the Energy and Environmental Analysis group. Andrew supports the LSR team on topics related to transmission, NYISO activity and various other technical issues. Prior to joining NYSERDA, Andrew worked at the NYISO in the Transmission Studies group as a Planning Engineer . Andrew has a Bachelor of Science in Electrical Engineering with a focus in Power Systems from Clarkson University and a Masters of Business Administration from the College of Saint Rose.

Resolution No. ____

RESOLVED, that the Authority's compensation schedule presented at this June 22, 2021 meeting is hereby approved by the Board as the compensation schedule to be submitted pursuant to Section 2800 of the Public Authorities Law; and

BE IT FURTHER RESOLVED that the attached vitae are approved by the Board for submission under Section 2800 of the Public Authorities Law.

Resolution No. ____

RESOLVED, that the proposed salary increases calculated from the salary cap for the M7 salary range for State Management/Confidential employees and payable as of April 7, 2021 to the President and CEO and payable as of April 1, 2020 for the Senior Vice President for Strategy and Market Development, the Treasurer, the Vice President for Policy and Regulatory Affairs, and the Secretary and General Counsel are hereby approved by the Board as follows:

- M7 salary cap + 3% for the Treasurer, Vice President for Policy & Regulatory Affairs, and the General Counsel & Secretary
- M7 salary cap + 6% for the Senior Vice President for Strategy & Market Development
- M7 salary cap + 9% for the President & CEO.

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

FY 2020-21 ANNUAL INVESTMENT REPORT

A. EXPLANATION OF INVESTMENT GUIDELINES

Public Authorities Law Section 2925 provides that the investment guidelines of a public authority shall set forth, among other things:

- a detailed list of permitted investments;
- what types of investments shall be secured with collateral and to what extent;
- what types of investments shall be made pursuant to written contracts;
- how collateral shall be valued and monitored;
- standards for diversifying types of investments and for qualifying and diversifying firms with which business is transacted;
- requirements for Board verification of matters relating to investments;
- provisions for annual independent audit of all investments; and
- provisions for preparing and filing quarterly and annual investment reports.

The Guidelines explicitly require the Board to determine whether results are consistent with objectives, to review the independent audit of investments, to review the program for consistency with statutory requirements, and to verify collateral semi-annually (at least once on an unscheduled basis). The Guidelines permit delegation of these functions through the Audit and Finance Committee to the Authority's independent auditors.

The Guidelines provide that, where practicable, the Members shall review and approve at the June meeting an annual investment report and the investment guidelines.

B. AMENDMENTS

The Investment Guidelines were last approved by the Members at the June 2020 meeting. No amendments to the investment guidelines were made during the year.

C. INVESTMENT GUIDELINES, OPERATIVE POLICY AND INSTRUCTION

Following is the Investments Guidelines, Operative Policy and Instruction as of June 2020.

INVESTMENT GUIDELINES, OPERATIVE POLICY AND INSTRUCTIONS (June 2020)

I. Introduction

These guidelines set forth the policy of the New York State Energy Research and Development Authority (hereafter "the Authority") and instructions to its officers and staff with regard to its investments and the monitoring and reporting of these investments. The guidelines are intended to meet or exceed the provisions of Public Authorities Law (hereafter, "PAL") Section 2925, the Office of the State Comptroller's Investment Guidelines for Public Authorities contained in 2 NYCRR Part 201, Section 201.3, and the provisions of the Authority's enabling legislation concerning Authority investments. In accordance with PAL Section 2925, the guidelines will be reviewed, revised, if necessary, and approved as frequently as necessary and appropriate, but not less frequently than annually, in the manner described hereafter.

The provisions of these guidelines apply to all monies for which the Authority is responsible for directing investment. This includes monies to which the Authority has legal title and which are held by the Commissioner of Taxation and Finance as fiscal agent of the Authority (PAL Section 1859(1)). It also includes monies to which the Authority does not have legal title, but for which it is responsible for directing investment such as monies held by the trustee for the State Service Contract Revenue (West Valley) Bonds.

The Authority conducts a Private Activity Bond Financing Program. Pursuant to this program, tax-exempt non-recourse securities are issued by the Authority, and the proceeds are made available to State utilities and other non-State entities to finance eligible projects. These securities do not constitute a debt of or charge against the credit of the Authority or the State. Rather, the utility or other entity for which the securities are issued is liable for payment of the principal, redemption premium, if any, and interest on the securities. The proceeds of each issuance are deposited with a trustee chosen by the participating utility or other entity and are not available for investment by the Authority. Generally, the Indenture of Trust for the transaction will contain a list of securities in which the Trustee may invest these monies. The list of permitted investments is approved by the Commissioner of Taxation and Finance as part of the Commissioner's review pursuant to the enabling legislation of the Authority. Investments are made at the direction of the participating utility or other entity and not at the direction of the Authority. Accordingly, the provisions of these Investment Guidelines do not apply to the investing of these monies. Nonetheless, when participating in the formulation of these financing transactions, staff are directed to pursue the objectives set forth in the Investment Guidelines and to require that prudent provisions as to permitted investments, collateral requirements and investment monitoring be included in the underlying documents, as appropriate.

II. Definitions

"Broker-Dealer" means any government bond trader approved by the Commissioner of Taxation and Finance reporting to, trading with, and recognized as a primary dealer by the Federal Reserve Bank of New York.

"Custodian" means the Commissioner of Taxation and Finance, or a bank, trust company or national banking association designated or approved to hold securities on behalf of or for the benefit of the Authority, as trustee or otherwise.

"Eligible Banking Institution" means any bank, trust company, or national banking association approved by the Commissioner of Taxation and Finance doing business through offices located within New York State. For the State of New York's Excelsior Linked Deposit Program only, Eligible Banking Institution shall also include savings and loan institutions.

"Federal Governmental Obligations" means obligations of the United States and obligations the principal and interest of which are unconditionally guaranteed by the United States.

"Repurchase Agreement" means a written contract whereby the Authority purchases securities, and the seller of the securities agrees to repurchase the securities at a future date for a specified price. Repurchase

Agreements may be used to purchase only Federal Government Obligations. The Authority may enter into a Repurchase Agreement only with an Eligible Banking Institution or a Broker-Dealer.

"Money Market Fund" means shares of a diversified open-end management investment company, as defined in the Investment Company Act of 1940, registered under the Federal Securities Act of 1933, and operated in accordance with Rule 2a-7 of the Investment Company Act of 1940 as a "Government Money Market Fund" (investing at least 99.5 percent of its total assets in cash, Government Securities, and and/or Repurchase Agreements that are fully collateralized), provided that it is rated in the highest rating category by at least two nationally recognized rating organizations, and provided that it does not impose any liquidity fees or suspend redemptions as provided thereunder.

III. Investment Objectives

The investment objectives of the Authority, listed in order of importance, are as follows: to conform with all applicable Federal, State and other legal requirements; to safeguard adequately investment principal; to earn reasonable rates of return; and to provide for portfolio liquidity. These investment objectives will likely be achieved through substantial reliance on Federal Governmental Obligations and minimal investment in long-term securities.

IV. Delegation of Authority

The responsibility for implementing the investment program is delegated to the Authority's Treasurer. All investment transactions shall be approved and authorized by the Treasurer or, in his/her absence the Controller and Assistant Treasurer, or any Officer of the Authority. Such authorized investment transactions shall be initiated and executed by the Commissioner of Taxation and Finance (or his/her authorized designees), the Authority's fiscal agent established pursuant to Section 1859 of the Public Authorities Law. The Treasurer shall establish written procedures for the operation of the investment program consistent with these Investment Guidelines. Such procedures shall include an internal control structure to provide a reasonable level of accountability over the authorization, recording and reporting of investment transactions, and to provide for a segregation of duties between authorization and accounting functions.

Investments shall be made in accordance with the Authority's Investment Guidelines, Operative Policy and Instructions using the judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the objectives set forth herein. All Authority staff participating in the investment process shall act responsibly as custodians of the public trust and shall avoid any transaction that might impair public confidence in the Authority's ability to effectively fulfill its responsibilities. All participants involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

V. Types of Investments Authorized

The Authority may deposit monies in demand deposit (checking) and time deposit (savings) accounts with Eligible Banking Institutions located in New York State. Monies deposited in such accounts shall be fully secured as soon as practicable by Federal Deposit Insurance Corporation (FDIC) insurance or obligations of New York State or Federal Government Obligations, subject to approval of the Commissioner of Taxation and Finance, the Authority's fiscal agent, in consultation with the Authority.

Investments shall be limited to the following types of securities:

- (1) Federal Governmental Obligations, provided that the term of each shall not exceed five (5) years;
- (2) obligations of New York State and obligations the principal and interest of which are guaranteed by New York State, provided that the term of each shall not exceed five (5) years;
- (3) certificates of deposit of Eligible Banking Institutions located in New York, provided that: the term

of each shall not exceed five (5) years; pursuant to the limitations of a resolution adopted by the Members in June 1994, the Authority may invest in certificates of deposits through the State of New York's Excelsior Linked Deposit Program, whereby the Authority's deposit will earn a fixed rate of interest equal to 2% to 3% less than the institution's published certificate of deposit rate consistent with this program's criteria; and subject to all other provisions outlined in these Guidelines.

(4) Repurchase Agreements, provided that the term of each shall not exceed sixty (60) days and that no more than 40 percent of the Authority's total investments shall be invested in Repurchase Agreements at the time of purchase; and

(5) Money Market Funds, provided that no more than 20 percent of the total amount of the Authority's investments shall be invested in Money Market Funds for more than 15 consecutive days.

Nothing herein contained shall preclude the Authority from imposing further restrictions on the investing of funds in any Indenture of Trust relating to the issuance of bonds.

VI. Authorized Financial Institutions and Dealers

Eligible Banking Institutions and Broker-Dealers authorized for the Authority's investment purposes shall be approved based upon policies and procedures established by the Commissioner of Taxation and Finance. The Authority will periodically consult with the Department of Taxation and Finance concerning their policies, practices and the resulting list.

VII. Diversity of Investments

To the extent practical, the Authority shall diversify its investments by financial institution, by investment instrument, and by maturity. The cash flow requirements of the Authority will be a significant determining factor in selecting the term of investment securities. Competitive quotations, pursuant to policies established by the Commissioner of Taxation and Finance and executed by his/her designated staff, shall be used to select the institution from which investments are purchased.

Except as otherwise required by any policies and practices of the Commissioner of Taxation and Finance, the Authority shall not invest more than 35 percent of its total investment portfolio with a single Eligible Banking Institution.

VIII. Collateral and Delivery of Securities

Collateral for investments shall be limited to: (i) obligations of New York State and obligations the principal and interest of which are guaranteed by New York State; and (ii) Federal Governmental Obligations. The Authority shall not accept a pledge of a proportionate interest in a pool of collateral. Collateral shall be segregated in the name of the Authority, and shall be in the custody of the Authority or a third party Custodian pursuant to a written custodial agreement; provided, that if the Custodian is the Commissioner of Taxation and Finance, a written custodial agreement shall not be required. The written custodial agreement shall specify circumstances, if any, under which collateral may be substituted, and shall provide that the Custodian holds the collateral solely for the benefit of the Authority and makes no claim thereto. The market value of collateral and accrued interest, if any, shall equal or exceed the value of the secured investment and accrued interest, if any, at all times. Collateral shall be marked to market at the time of the initial investment and, thereafter, no less frequently than monthly using the bid or closing price as quoted in The Wall Street Journal.

In addition to collateral permitted for investments, collateral for deposits made with banks participating in the State's Excelsior Linked Deposit Program shall, subject to the discretion of the Commissioner of Taxation and Finance with confirmation to the Authority, include obligations permitted under Section 105 of the State Finance Law.

Certificates of deposit, demand deposits and time deposits shall be fully collateralized for amounts in excess of Federal Deposit Insurance Corporation (FDIC) coverage.

Securities purchased through a Repurchase Agreement shall be marked to market at least monthly.

Collateral shall not be required with respect to the purchase of obligations of New York State, obligations the principal and interest of which are guaranteed by New York State, Federal Governmental Obligations, or money market funds.

Under any Repurchase Agreement, payment shall be made by or on behalf of the Authority to the seller upon the seller's delivery of obligations of the United States to the Custodian designated by the Authority, or, in the case of a book entry transaction, when the obligations of the United States are credited to the Custodian's Federal Reserve Bank account. Payment shall be made by or on behalf of the Authority for obligations of New York State, obligations the principal and interest of which are guaranteed by New York State, Federal Governmental Obligations, certificates of deposit, and other purchased securities upon the delivery thereof to the Custodian designated by the Authority, or, in the case of a book entry transaction, when the purchased securities are credited to the Custodian's Federal Reserve System account.

IX. Written Contracts

The Authority has determined that, with the exception of Repurchase Agreements, written contracts are not a regular business practice for the types of securities (obligations of New York State, Federal Governmental Obligations, etc.) in which Authority monies may be invested. The interests of the Authority will be adequately protected by conditioning payment by or on behalf of the Authority on the physical delivery of purchased securities to the Authority or its Custodian, or, in the case of book-entry transactions, on the crediting of purchased securities to the Custodian's Federal Reserve System account. In addition, all purchases will be confirmed in writing to the Authority.

Only an Eligible Banking Institution or a Broker-Dealer shall be qualified to enter into a Repurchase Agreement with the Authority's fiscal agent. The Authority's fiscal agent shall enter into a Master Repurchase Agreement, patterned after the Bond Market Association (formerly Public Security Association) model master repurchase agreement, with each Eligible Banking Institution or Broker-Dealer with which the fiscal agent enters into a specific Repurchase Agreement. The Master Repurchase Agreement shall include:

- (a) a description of the relationship of the parties as purchaser and seller;
- (b) a description of the events of default which would permit the purchaser to liquidate the pledged collateral;
- (c) procedures which ensure that the Authority obtains a perfected security interest in the underlying securities; and
- (d) the method of computing margin maintenance requirements, including a limitation, based on policies established by the Commissioner of Taxation and Finance, that securities purchased and held as collateral for repurchase agreements shall be Federal Government Obligations maturing in twelve years or less, and provided that: (i) if such collateral has a maturity of seven years or less, the market value must equal or exceed 101% of the par value of the repurchase agreement; and (ii.) if such collateral has a maturity greater than seven years, the market value must equal or exceed 102% of the par value of the repurchase agreement.

X. Qualification of Custodians

The following shall be qualified to act as Custodian: the Commissioner of Taxation and Finance, any bank or trust company chartered by the State of New York which is not a member of the Federal Reserve System, or any bank, trust company, or national banking association which is a member of the Federal Reserve System, including an Eligible Banking Institution, which transacts business through offices located within the State of New York. During the time that any bank or trust company serves as Custodian, it must be rated at least "A", or its equivalent, by a nationally recognized independent rating agency. With respect to the holding of securities purchased by the Authority through a Repurchase Agreement, the Custodian may not be the Eligible Banking Institution with which the Authority or its fiscal agent has

entered into such Repurchase Agreement, nor an agent of such Eligible Banking Institution for purposes of the Repurchase Agreement.

XI. Audit and Finance Committee

The functions of the Audit and Finance Committee with respect to investments are to:

- (a) monitor the system of internal controls;
- (b) verify relevant matters relating to securities purchased or held as collateral semi-annually and on an unscheduled basis;
- (c) determine whether the investment results are consistent with the investment objectives set forth in these Investment Guidelines;
- (d) review any independent audits of the investment program;
- (e) review these Investment Guidelines periodically and recommend to the Members of the Authority such amendments thereto as may be necessary or appropriate; and
- (f) evaluate systematically and periodically the investment program consistency with the provisions of PAL Section 2925, these Investment Guidelines, and the Office of the State Comptroller's Investment Guidelines for Public Authorities (2 NYCRR Part 201, Section 201.3).

In support of its functions, the Audit and Finance Committee shall:

- (a) require the Authority's independent auditors to perform a review of, and issue a report on, the Authority's compliance with Section 201.3 of Title Two of the New York Codes, Rules, and Regulations, under Government Auditing Standards issued by the Comptroller General of the United States;
- (b) review the quarterly, annual, and any other periodic investment reports, and recommend to the Members of the Authority such changes in the annual investment report as it deems necessary or appropriate;
- (c) review the written annual audit report of the independent auditors;
- (d) discuss with the independent auditors, with staff and officers not present, the results of the annual independent audit and the written annual audit report; and
- (e) review not less frequently than annually these Investment Guidelines, and recommend to the Members of the Authority such changes in these Investment Guidelines as it deems necessary or appropriate.

XII. Operations and Procedures

The Treasurer, or in his/her absence the Controller and Assistant Treasurer or any Authority Officer, shall authorize the purchase and sale of all securities, authorize the disbursement of funds for delivery of securities, determine the selection of brokers, dealers and Custodians, and execute contracts for Repurchase Agreements and custodial services on behalf of the Authority. The process of initiating, reviewing and approving requests to purchase and sell securities shall be documented and retained for audit purposes. Oral directions concerning the purchase or sale of securities shall be confirmed in writing. The Authority shall pay for purchased securities upon the delivery or book-entry thereof, and the Authority will obtain written confirmation of each delivery or book-entry. Custodians must have prior authorization from the Authority to deliver securities and collateral and shall not deliver securities except upon receipt of funds. Custodians shall confirm in writing all such transactions. Custodians shall report whenever activity has occurred in a custodial account of the Authority.

The Treasurer shall develop detailed investment procedures implementing the Investment Guidelines as part of the Accounting Policy and Procedures Manual which shall include, but not be limited to, the following:

- (a) the establishment and maintenance of a system of internal controls for investments;
- (b) methods for adding, changing or deleting information contained in the investment record, including a description of the documents to be created and verification tests to be conducted;
- (c) a data base or record incorporating descriptions and amounts of investments, transaction dates, interest rates, maturities, bond ratings, market prices and related information necessary to manage the portfolio; and
- (d) requirements for periodic reporting and a satisfactory level of accountability.

Except in the purchase of government securities at their initial auction, the Authority will encourage investment selections utilizing competitive quotations based upon the procedures established by the Commissioner of Taxation and Finance and carried out by his/her designated personnel.

The Treasurer shall maintain a record of investments. The record shall identify each security, the fund for which held, the place where kept, date of disposition and amount realized, and the market value and Custodian of the collateral.

At least monthly, the Authority shall verify the principal amount and market values of all investments and collateral. The Authority shall obtain appropriate listings from Custodians and compare such listings against the records of the Authority.

XIII. Audit

At the time the independent auditors to the Authority conduct the annual audit of the accounts and financial affairs of the Authority, the independent auditors shall audit the investments of the Authority for the subject fiscal year. The annual investment audit shall determine whether:

- (a) the Authority's investment practices and operations have been consistent with the provisions of PAL Section 2925, these Investment Guidelines, the Office of the Comptroller's Investment Guidelines for Public Authorities, and the Authority's investment operating procedures contained in its Accounting Policies and Procedures Manual;
- (b) adequate accounts and records are maintained which accurately reflect all transactions and report on the disposition of the Authority's investment assets; and
- (c) a system of adequate internal controls for investments is maintained.

The independent auditors shall prepare a written annual audit report which presents the results of their annual investment audit, and shall include:

- (a) a description of the scope and objectives of the audit;
- (b) a statement that the audit was made in accordance with generally accepted government auditing standards;
- (c) a description of any material weaknesses found in the internal investment controls;
- (d) a description of all non-compliance with provisions of PAL Section 2925, these Investment Guidelines, the Office of the State Comptroller's Investment Guidelines for Public Authorities (2 NYCRR Part 201, Section 201.3), or the Authority's investment operating procedures contained in its Accounting Policies and Procedures Manual;

(e) a statement of positive assurance of compliance on the items tested; and

(f) a statement of any other material deficiency or finding identified during the audit not covered in (e) above.

XIV. Reporting

Within sixty (60) days of the end of each of the first three quarters of the Authority's fiscal year, the Treasurer shall prepare and submit to the Members of the Authority and to the Audit and Finance Committee of the Authority a quarterly investment report which indicates new investments, the inventory of existing investments, the selection of Custodians and Broker-Dealers, and such other matters as the Treasurer deems appropriate.

Within 120 days of the end of the fiscal year, the Treasurer shall prepare and submit to the Audit and Finance Committee an annual investment report, which shall include the Investment Guidelines, including any amendment to the Investment Guidelines since the last annual investment report; an explanation of the Investment Guidelines and amendments; the results of the annual independent audit; the investment income record; a list of total fees, commissions or other charges paid to each Broker-Dealer and Custodian; and such other matters as the Treasurer deems appropriate.

The Members of the Authority shall review and approve the annual investment report, if practicable, at the June meeting of the Authority, for submission thereof to the Division of the Budget, the Department of Audit and Control, the Senate Finance Committee, and the Assembly Ways and Means Committee.

The Authority's annual financial statements shall report the Authority's investments and provide such disclosure information as is required by applicable governmental accounting standards.

Not less frequently than annually, and if practicable, at the June meeting of the Authority, the Members of the Authority shall review, amend, if necessary or appropriate, and approve these Investment Guidelines.

The provisions of these Investment Guidelines and any amendments hereto shall take effect prospectively and shall not invalidate the prior selection of any Custodian, Broker-Dealer, any prior investment, nor any list of permitted investments set forth in any indenture of trust or similar document previously executed by the Authority.

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D. INVESTMENT SUMMARY AS OF MARCH 31, 2021

Following is summary and detail of the Authority's investments as of March 31, 2021

NYSERDA
Investment Report Summary
As of March 31, 2021

Summary of Investments by Functions/Programs

<u>Funding Source</u>	<u>3/31/2021 Market Value</u>	<u>3/31/2020 Market Value</u>	<u>Increase/ Decrease</u>
NY Green Bank	\$ 385,009,491	\$ 165,618,658	\$ 219,390,833
Market Development/Innovation & Research (CEF)	\$ 107,897,030	\$ 67,551,470	\$ 40,345,560
Green Jobs Green New York (GJGNY)	\$ 65,578,663	\$ 57,539,271	\$ 8,039,392
Other (Individually less than 5% of total)	\$ 68,071,111	\$ 60,691,368	\$ 7,379,743
NY-Sun	\$ 49,624,074	\$ 47,020,659	\$ 2,603,415
Regional Greenhouse Gas Initiative (RGGI)	\$ 95,323,724	\$ 99,377,312	\$ (4,053,588)
Multi Funding Source Cash Management	\$ 56,940,478	\$ 67,654,739	\$ (10,714,261)
Clean Energy Standard (CES)	\$ 61,640,478	\$ 72,628,273	\$ (10,987,795)
<u>Custodial Funds:</u>			
Low Level Radioactive Waste Account	\$ 2,947,961	\$ 2,529,804	\$ 418,157
Perpetual Care	\$ 31,735,365	\$ 31,372,266	\$ 363,099
Total Investments	<u>\$ 924,768,374</u>	<u>\$ 671,983,820</u>	<u>\$ 252,784,554</u>

Analysis: Green Bank increase was primarily due to additional capital contributions received to meet liquidity requirements. CEF increased primarily due to funding transfers from RGGI and Bill-As-You-Go collections for projected working capital needs exceeding actual expenses. GJGNY's increase was primarily due to transfers from RGGI. The increase in Other was the result of timing of receipts versus the expenditure of funds principally for Energy Storage. RGGI decrease is primarily due to transfers to CEF and GJGNY. Multi Funding Source Cash Management variance is due to the timing of pooled investment money market funds which is used to optimize both yield and cash flow needs. The decrease in the Clean Energy Standard balances is primarily due to a timing of investments and a change in the method of billing LSE's under the ZEC program, resulting in a reduction of approximately one month's collections.

Summary of Investments by Investment Type

	<u>3/31/2021</u>	<u>% of Total</u>	<u>3/31/2020</u>	<u>% of Total</u>
US Treasury Obligations	\$ 783,329,747	84.7%	\$ 549,246,614	81.7%
Money Market Deposits	141,438,627	15.3%	122,737,206	18.3%
Total	<u>\$ 924,768,374</u>	<u>100.0%</u>	<u>\$ 671,983,820</u>	<u>100.0%</u>

Weighted average term of investments	2.0 months	7.4 months
Weighted average time to maturity	1.0 month	2.1 months

Analysis: The weighted average term of investments decreased principally due to shorter maturities on recent investments taking into consideration cash flow needs, available money market limits, and current yields.

Investment Income

<u>Funding Source</u>	<u>Year Ended 3/31/2021 (Including adjustments to fair market value)</u>	<u>Year Ended 3/31/2020 (Including adjustments to fair market value)</u>
NY Green Bank	\$ 215,045	\$ 6,224,124
Market Development/Innovation & Research (CEF)	\$ 92,474	\$ 1,594,450
Green Jobs Green New York (GJGNY)	\$ 68,322	\$ 1,086,534
Other	\$ 49,673	\$ 1,765,839
NY-Sun	\$ 39,249	\$ 1,197,206
Regional Greenhouse Gas Initiative (RGGI)	\$ 92,810	\$ 2,930,831
Multi Funding Source Cash Management ADMIN	\$ 87,104	\$ 1,117,777
Clean Energy Standard (CES)	\$ 57,390	\$ 2,422,168
<u>Custodial Funds:</u>		
Perpetual Care Funds	\$ 325,963	\$ 1,289,026
Low Level Radioactive Waste Account	\$ 2,040	\$ 51,853
Total	<u>\$ 1,030,071</u>	<u>\$ 19,679,809</u>

Investment Yield (Annualized)	0.13%	2.90%
Weighted Average Yield to Maturity of Current Investments	0.03%	1.40%

Analysis: The annualized yield was lower than the prior year, primarily due to lower US Treasury yields available in the current fiscal year, also during the prior year certain long-term investments had still been held which had a much higher yield than shorter-term investments. The decrease in the weighted average yield to maturity on current investments reflects the preceding analysis explanation.

NYSERDA Investments

03/31/21

	-Investment Type-	-Purchase Date-	-Maturity Date-	-Cost-	-Principal-	-Yield-	-Market Value-
NY Green Bank							
	US Treasury Bill	01/28/21	04/08/21	23,399,497	23,402,000	0.06%	23,401,977
		03/26/21	06/10/21	23,799,452	23,800,000	0.01%	23,799,191
		03/11/21	05/27/21	26,999,267	27,001,000	0.03%	27,000,487
		01/21/21	04/01/21	38,299,099	38,304,000	0.07%	38,304,000
		02/04/21	04/15/21	37,899,789	37,902,000	0.03%	37,901,810
		02/11/21	04/29/21	24,999,257	25,001,000	0.03%	25,000,800
			04/22/21	26,399,183	26,401,000	0.03%	26,400,842
		02/18/21	05/06/21	31,999,947	32,002,000	0.03%	32,001,616
		02/25/21	05/13/21	35,999,497	36,002,000	0.03%	36,001,172
			05/20/21	35,999,396	36,002,000	0.03%	36,001,280
		03/19/21	06/03/21	35,459,626	35,460,000	0.01%	35,459,078
	JPM Green Bank MM	N/A		43,737,232	43,737,232		43,737,232
	BONY Green Bank MM	N/A		6	6		6
NY Green Bank Total				\$384,991,249	\$385,014,238		\$385,009,491
Market Development/Innovation & Research							
	US Treasury Bill	01/14/21	04/15/21	38,210,610	38,218,000	0.08%	38,217,618
		01/28/21	04/29/21	25,149,994	25,154,000	0.06%	25,153,748
		03/26/21	05/13/21	44,526,317	44,527,000	0.01%	44,525,664
Market Development/Innovation & Research Total				\$107,886,921	\$107,899,000		\$107,897,030
Green Jobs Green New York							
	Money Market	N/A		40,760,911	40,760,911	0.00%	40,760,911
	US Treasury Bill	03/26/21	04/29/21	24,817,848	24,818,000	0.01%	24,817,752
Green Jobs Green New York Total				\$65,578,759	\$65,578,911		\$65,578,663
Energy Storage							
	US Treasury Bill	03/26/21	04/29/21	25,453,844	25,454,000	0.01%	25,453,745
Energy Storage Total				\$25,453,844	\$25,454,000		\$25,453,745

Indian Point Energy Center							
	US Treasury Bill	03/11/21	05/13/21	8,581,567	8,582,000	0.03%	8,581,743
Indian Point Energy Center Total				\$8,581,567	\$8,582,000		\$8,581,743
National Fuel Gas							
	US Treasury Bill	03/11/21	05/13/21	1,809,909	1,810,000	0.03%	1,809,946
National Fuel Gas Total				\$1,809,909	\$1,810,000		\$1,809,946
Research & Development							
	US Treasury Bill	02/04/21	04/15/21	22,185,706	22,187,000	0.03%	22,186,778
Research & Development Total				\$22,185,706	\$22,187,000		\$22,186,778
Renewable Portfolio Standard							
	US Treasury Bill	03/26/21	04/29/21	10,038,938	10,039,000	0.01%	10,038,900
Renewable Portfolio Standard Total				\$10,038,938	\$10,039,000		\$10,038,900
NY-SUN							
	US Treasury Bill	01/28/21	04/22/21	28,118,260	28,122,000	0.06%	28,121,719
		02/04/21	05/13/21	11,103,942	11,105,000	0.03%	11,104,667
		02/25/21	06/17/21	10,396,868	10,398,000	0.04%	10,397,688
NY-SUN Total				\$49,619,069	\$49,625,000		\$49,624,074
Regional Greenhouse Gas Initiative							
	US Treasury Bill	03/11/21	05/13/21	32,007,387	32,009,000	0.03%	32,008,040
		02/04/21	04/15/21	29,186,297	29,188,000	0.03%	29,187,708
		03/19/21	06/17/21	34,128,744	34,129,000	0.00%	34,127,976
Regional Greenhouse Gas Initiative Total				\$95,322,428	\$95,326,000		\$95,323,724
Multi Funding Source Cash Management							
	JPM NYSERDA MM	N/A		56,940,478	56,940,478		56,940,478
Multi Funding Source Cash Management Total				\$56,940,478	\$56,940,478		\$56,940,478
Clean Energy Standard							
	US Treasury Bill	03/26/21	05/13/21	45,299,305	45,300,000	0.01%	45,298,641

		03/11/21	04/15/21	16,341,627	16,342,000	0.02%	16,341,837
Clean Energy Standard Total				\$61,640,932	\$61,642,000		\$61,640,478
Low Level Radioactive Waste							
	US Treasury Bill	01/14/21	04/15/21	1,977,618	1,978,000	0.08%	1,977,980
		03/04/21	05/20/21	969,924	970,000	0.04%	969,981
Low Level Radioactive Waste Total				\$2,947,542	\$2,948,000		\$2,947,961
Perpetual Care Funds							
	US Treasury Bill	02/18/21	05/20/21	31,733,112	31,736,000	0.04%	31,735,365
Perpetual Care Funds Total				\$31,733,112	\$31,736,000		\$31,735,365
Grand Total				\$924,730,453	\$924,781,627		\$924,768,374

E. AUDITOR'S REPORT ON COMPLIANCE WITH INVESTMENT GUIDELINES

As part of the annual audit of the financial statements of the Authority, the independent auditors audited the Authority's investments and its compliance with its Investment Guidelines for the fiscal year ended March 31, 2021. Following is the report issued by the independent auditors.

DRAFT REPORT



KPMG LLP
515 Broadway
Albany, NY 12207-2974

Independent Accountant's Report on Compliance with Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York*

Members of the Authority
New York State Energy and Research Development Authority:

We have examined the New York State Energy Research and Development Authority's (the Authority) compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* during the year ended March 31, 2021. Management is responsible for the Authority's compliance with those requirements. Our responsibility is to express an opinion on the Authority's compliance with those requirements based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accounts and the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether the Authority complied, in all material respects, with the specified requirements referenced above. An examination involves performing procedures to obtain evidence about whether the Authority complied with the specified requirements referenced above. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the Authority's compliance with specified requirements.

In our opinion, the Authority complied, in all material respects, with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* for the year ended March 31, 2021.

In accordance with *Government Auditing Standards*, we are required to report all deficiencies that are considered to be significant deficiencies or material weaknesses in internal control; fraud and noncompliance with provisions of laws and regulations that have a material effect on the Authority's compliance with Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* and any other instances that warrant the attention of those charged with governance; noncompliance with provisions of contracts or grant agreements, and abuse that has a material effect on the subject matter. We are also required to obtain and report the views of responsible officials concerning the findings, conclusions, and recommendations, as well as any planned corrective actions. We performed our examination to express an opinion on whether the Authority complied with the aforementioned requirements and not for the purpose of expressing an opinion on the internal control over compliance with those requirements or other matters; accordingly, we express no such opinions. The results of our tests disclosed no matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Members and management of the Authority, the New York State Office of the State Comptroller, the New York State Division of the Budget, and the New York State Authorities Budget Office and is not intended to be and should not be used by anyone other than those specified parties.

KPMG

Albany, New York
June 22, 2021

F. LIST OF FEES AND CHARGES RELATED TO INVESTMENTS

Operating Fund

NYS Department of Taxation and Finance - fiscal agent fees and expenses	\$345,000

Total Fees and Charges	\$345,000

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
INVESTMENT GUIDELINES, OPERATIVE POLICY AND INSTRUCTIONS

(June 2021)

INVESTMENT GUIDELINES, OPERATIVE POLICY AND INSTRUCTIONS (June 2021)

I. Introduction

These guidelines set forth the policy of the New York State Energy Research and Development Authority (hereafter "the Authority") and instructions to its officers and staff with regard to its investments and the monitoring and reporting of these investments. The guidelines are intended to meet or exceed the provisions of Public Authorities Law (hereafter, "PAL") Section 2925, the Office of the State Comptroller's Investment Guidelines for Public Authorities contained in 2 NYCRR Part 201, Section 201.3, and the provisions of the Authority's enabling legislation concerning Authority investments. In accordance with PAL Section 2925, the guidelines will be reviewed, revised, if necessary, and approved as frequently as necessary and appropriate, but not less frequently than annually, in the manner described hereafter.

The provisions of these guidelines apply to all monies for which the Authority is responsible for directing investment. This includes monies to which the Authority has legal title and which are held by the Commissioner of Taxation and Finance as fiscal agent of the Authority (PAL Section 1859(1)). It also includes monies to which the Authority does not have legal title, but for which it is responsible for directing investment such as monies held by the trustee for the State Service Contract Revenue (West Valley) Bonds.

The Authority conducts a Private Activity Bond Financing Program. Pursuant to this program, tax-exempt non-recourse securities are issued by the Authority, and the proceeds are made available to State utilities and other non-State entities to finance eligible projects. These securities do not constitute a debt of or charge against the credit of the Authority or the State. Rather, the utility or other entity for which the securities are issued is liable for payment of the principal, redemption premium, if any, and interest on the securities. The proceeds of each issuance are deposited with a trustee chosen by the participating utility or other entity and are not available for investment by the Authority. Generally, the Indenture of Trust for the transaction will contain a list of securities in which the Trustee may invest these monies. The list of permitted investments is approved by the Commissioner of Taxation and Finance as part of the Commissioner's review pursuant to the enabling legislation of the Authority. Investments are made at the direction of the participating utility or other entity and not at the direction of the Authority. Accordingly, the provisions of these Investment Guidelines do not apply to the investing of these monies. Nonetheless, when participating in the formulation of these financing transactions, staff are directed to pursue the objectives set forth in the Investment Guidelines and to require that prudent provisions as to permitted investments, collateral requirements and investment monitoring be included in the underlying documents, as appropriate.

II. Definitions

"Broker-Dealer" means any government bond trader approved by the Commissioner of Taxation and Finance reporting to, trading with, and recognized as a primary dealer by the Federal Reserve Bank of New York.

"Custodian" means the Commissioner of Taxation and Finance, or a bank, trust company or national banking association designated or approved to hold securities on behalf of or for the benefit of the Authority, as trustee or otherwise.

"Eligible Banking Institution" means any bank, trust company, or national banking association approved by the Commissioner of Taxation and Finance doing business through offices located within New York State. For the State of New York's Excelsior Linked Deposit Program only, Eligible Banking Institution shall also include savings and loan institutions.

"Federal Governmental Obligations" means obligations of the United States and obligations the principal and interest of which are unconditionally guaranteed by the United States.

"Repurchase Agreement" means a written contract whereby the Authority purchases securities, and the seller of the securities agrees to repurchase the securities at a future date for a specified price. Repurchase Agreements may be used to purchase only Federal Government Obligations. The Authority may enter into a Repurchase Agreement only with an Eligible Banking Institution or a Broker-Dealer.

"Money Market Fund" means shares of a diversified open-end management investment company, as defined in the Investment Company Act of 1940, registered under the Federal Securities Act of 1933, and operated in accordance with Rule 2a-7 of the Investment Company Act of 1940 as a "Government Money Market Fund" (investing at least 99.5 percent of its total assets in cash, Government Securities, and and/or Repurchase Agreements that are fully collateralized), provided that it is rated in the highest rating category by at least two nationally recognized rating organizations, and provided that it does not impose any liquidity fees or suspend redemptions as provided thereunder.

III. Investment Objectives

The investment objectives of the Authority, listed in order of importance, are as follows: to conform with all applicable Federal, State and other legal requirements; to safeguard adequately investment principal; to earn reasonable rates of return; and to provide for portfolio liquidity. These investment objectives will likely be achieved through substantial reliance on Federal Governmental Obligations and minimal investment in long-term securities.

IV. Delegation of Authority

The responsibility for implementing the investment program is delegated to the Authority's Treasurer. All investment transactions shall be approved and authorized by the Treasurer or, in his/her absence the Controller and Assistant Treasurer, or any Officer of the Authority. Such authorized investment transactions shall be initiated and executed by the Commissioner of Taxation and Finance (or his/her authorized designees), the Authority's fiscal agent established pursuant to Section 1859 of the Public Authorities Law. The Treasurer shall establish written procedures for the operation of the investment program consistent with these Investment Guidelines. Such procedures shall include an internal control structure to provide a reasonable level of accountability over the authorization, recording and reporting of investment transactions, and to provide for a segregation of duties between authorization and accounting functions.

Investments shall be made in accordance with the Authority's Investment Guidelines, Operative Policy and Instructions using the judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the objectives set forth herein. All Authority staff participating in the investment process shall act responsibly as custodians of the public trust and shall avoid any transaction that might impair public confidence in the Authority's ability to effectively fulfill its responsibilities. All participants involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

V. Types of Investments Authorized

The Authority may deposit monies in demand deposit (checking) and time deposit (savings) accounts with Eligible Banking Institutions located in New York State. Monies deposited in such accounts shall be fully secured as soon as practicable by Federal Deposit Insurance Corporation (FDIC) insurance or

obligations of New York State or Federal Government Obligations, subject to approval of the Commissioner of Taxation and Finance, the Authority's fiscal agent, in consultation with the Authority.

Investments shall be limited to the following types of securities:

- (1) Federal Governmental Obligations, provided that the term of each shall not exceed five (5) years;
- (2) obligations of New York State and obligations the principal and interest of which are guaranteed by New York State, provided that the term of each shall not exceed five (5) years;
- (3) certificates of deposit of Eligible Banking Institutions located in New York, provided that: the term of each shall not exceed five (5) years; pursuant to the limitations of a resolution adopted by the Members in June 1994, the Authority may invest in certificates of deposits through the State of New York's Excelsior Linked Deposit Program, whereby the Authority's deposit will earn a fixed rate of interest equal to 2% to 3% less than the institution's published certificate of deposit rate consistent with this program's criteria; and subject to all other provisions outlined in these Guidelines.
- (4) Repurchase Agreements, provided that the term of each shall not exceed sixty (60) days and that no more than 40 percent of the Authority's total investments shall be invested in Repurchase Agreements at the time of purchase; and
- (5) Money Market Funds, provided that no more than 20 percent of the total amount of the Authority's investments shall be invested in Money Market Funds for more than 15 consecutive days.

Nothing herein contained shall preclude the Authority from imposing further restrictions on the investing of funds in any Indenture of Trust relating to the issuance of bonds.

VI. Authorized Financial Institutions and Dealers

Eligible Banking Institutions and Broker-Dealers authorized for the Authority's investment purposes shall be approved based upon policies and procedures established by the Commissioner of Taxation and Finance. The Authority will periodically consult with the Department of Taxation and Finance concerning their policies, practices and the resulting list.

VII. Diversity of Investments

To the extent practical, the Authority shall diversify its investments by financial institution, by investment instrument, and by maturity. The cash flow requirements of the Authority will be a significant determining factor in selecting the term of investment securities. Competitive quotations, pursuant to policies established by the Commissioner of Taxation and Finance and executed by his/her designated staff, shall be used to select the institution from which investments are purchased.

Except as otherwise required by any policies and practices of the Commissioner of Taxation and Finance, the Authority shall not invest more than 35 percent of its total investment portfolio with a single Eligible Banking Institution.

VIII. Collateral and Delivery of Securities

Collateral for investments shall be limited to: (i) obligations of New York State and obligations the principal and interest of which are guaranteed by New York State; and (ii) Federal Governmental Obligations. The Authority shall not accept a pledge of a proportionate interest in a pool of collateral. Collateral shall be segregated in the name of the Authority, and shall be in the custody of the Authority or a

third party Custodian pursuant to a written custodial agreement; provided, that if the Custodian is the Commissioner of Taxation and Finance, a written custodial agreement shall not be required. The written custodial agreement shall specify circumstances, if any, under which collateral may be substituted, and shall provide that the Custodian holds the collateral solely for the benefit of the Authority and makes no claim thereto. The market value of collateral and accrued interest, if any, shall equal or exceed the value of the secured investment and accrued interest, if any, at all times. Collateral shall be marked to market at the time of the initial investment and, thereafter, no less frequently than monthly using the bid or closing price as quoted in The Wall Street Journal.

In addition to collateral permitted for investments, collateral for deposits made with banks participating in the State's Excelsior Linked Deposit Program shall, subject to the discretion of the Commissioner of Taxation and Finance with confirmation to the Authority, include obligations permitted under Section 105 of the State Finance Law.

Certificates of deposit, demand deposits and time deposits shall be fully collateralized for amounts in excess of Federal Deposit Insurance Corporation (FDIC) coverage.

Securities purchased through a Repurchase Agreement shall be marked to market at least monthly.

Collateral shall not be required with respect to the purchase of obligations of New York State, obligations the principal and interest of which are guaranteed by New York State, Federal Governmental Obligations, or money market funds.

Under any Repurchase Agreement, payment shall be made by or on behalf of the Authority to the seller upon the seller's delivery of obligations of the United States to the Custodian designated by the Authority, or, in the case of a book entry transaction, when the obligations of the United States are credited to the Custodian's Federal Reserve Bank account. Payment shall be made by or on behalf of the Authority for obligations of New York State, obligations the principal and interest of which are guaranteed by New York State, Federal Governmental Obligations, certificates of deposit, and other purchased securities upon the delivery thereof to the Custodian designated by the Authority, or, in the case of a book entry transaction, when the purchased securities are credited to the Custodian's Federal Reserve System account.

IX. Written Contracts

The Authority has determined that, with the exception of Repurchase Agreements, written contracts are not a regular business practice for the types of securities (obligations of New York State, Federal Governmental Obligations, etc.) in which Authority monies may be invested. The interests of the Authority will be adequately protected by conditioning payment by or on behalf of the Authority on the physical delivery of purchased securities to the Authority or its Custodian, or, in the case of book-entry transactions, on the crediting of purchased securities to the Custodian's Federal Reserve System account. In addition, all purchases will be confirmed in writing to the Authority.

Only an Eligible Banking Institution or a Broker-Dealer shall be qualified to enter into a Repurchase Agreement with the Authority's fiscal agent. The Authority's fiscal agent shall enter into a Master Repurchase Agreement, patterned after the Bond Market Association (formerly Public Security Association) model master repurchase agreement, with each Eligible Banking Institution or Broker-Dealer with which the fiscal agent enters into a specific Repurchase Agreement. The Master Repurchase Agreement shall include:

- (a) a description of the relationship of the parties as purchaser and seller;
- (b) a description of the events of default which would permit the purchaser to liquidate the pledged collateral;

(c) procedures which ensure that the Authority obtains a perfected security interest in the underlying securities; and

(d) the method of computing margin maintenance requirements, including a limitation, based on policies established by the Commissioner of Taxation and Finance, that securities purchased and held as collateral for repurchase agreements shall be Federal Government Obligations maturing in twelve years or less, and provided that: (i) if such collateral has a maturity of seven years or less, the market value must equal or exceed 101% of the par value of the repurchase agreement; and (ii.) if such collateral has a maturity greater than seven years, the market value must equal or exceed 102% of the par value of the repurchase agreement.

X. Qualification of Custodians

The following shall be qualified to act as Custodian: the Commissioner of Taxation and Finance, any bank or trust company chartered by the State of New York which is not a member of the Federal Reserve System, or any bank, trust company, or national banking association which is a member of the Federal Reserve System, including an Eligible Banking Institution, which transacts business through offices located within the State of New York. During the time that any bank or trust company serves as Custodian, it must be rated at least "A", or its equivalent, by a nationally recognized independent rating agency. With respect to the holding of securities purchased by the Authority through a Repurchase Agreement, the Custodian may not be the Eligible Banking Institution with which the Authority or its fiscal agent has entered into such Repurchase Agreement, nor an agent of such Eligible Banking Institution for purposes of the Repurchase Agreement.

XI. Audit and Finance Committee

The functions of the Audit and Finance Committee with respect to investments are to:

- (a) monitor the system of internal controls;
- (b) verify relevant matters relating to securities purchased or held as collateral semi-annually and on an unscheduled basis;
- (c) determine whether the investment results are consistent with the investment objectives set forth in these Investment Guidelines;
- (d) review any independent audits of the investment program;
- (e) review these Investment Guidelines periodically and recommend to the Members of the Authority such amendments thereto as may be necessary or appropriate; and
- (f) evaluate systematically and periodically the investment program consistency with the provisions of PAL Section 2925, these Investment Guidelines, and the Office of the State Comptroller's Investment Guidelines for Public Authorities (2 NYCRR Part 201, Section 201.3).

In support of its functions, the Audit and Finance Committee shall:

- (a) require the Authority's independent auditors to perform a review of, and issue a report on, the Authority's compliance with Section 201.3 of Title Two of the New York Codes, Rules, and Regulations, under Government Auditing Standards issued by the Comptroller General of the United States;

(b) review the quarterly, annual, and any other periodic investment reports, and recommend to the Members of the Authority such changes in the annual investment report as it deems necessary or appropriate;

(c) review the written annual audit report of the independent auditors;

(d) discuss with the independent auditors, with staff and officers not present, the results of the annual independent audit and the written annual audit report; and

(e) review not less frequently than annually these Investment Guidelines, and recommend to the Members of the Authority such changes in these Investment Guidelines as it deems necessary or appropriate.

XII. Operations and Procedures

The Treasurer, or in his/her absence the Controller and Assistant Treasurer or any Authority Officer, shall authorize the purchase and sale of all securities, authorize the disbursement of funds for delivery of securities, determine the selection of brokers, dealers and Custodians, and execute contracts for Repurchase Agreements and custodial services on behalf of the Authority. The process of initiating, reviewing and approving requests to purchase and sell securities shall be documented and retained for audit purposes. Oral directions concerning the purchase or sale of securities shall be confirmed in writing. The Authority shall pay for purchased securities upon the delivery or book-entry thereof, and the Authority will obtain written confirmation of each delivery or book-entry. Custodians must have prior authorization from the Authority to deliver securities and collateral and shall not deliver securities except upon receipt of funds. Custodians shall confirm in writing all such transactions. Custodians shall report whenever activity has occurred in a custodial account of the Authority.

The Treasurer shall develop detailed investment procedures implementing the Investment Guidelines as part of the Accounting Policy and Procedures Manual which shall include, but not be limited to, the following:

(a) the establishment and maintenance of a system of internal controls for investments;

(b) methods for adding, changing or deleting information contained in the investment record, including a description of the documents to be created and verification tests to be conducted;

(c) a data base or record incorporating descriptions and amounts of investments, transaction dates, interest rates, maturities, bond ratings, market prices and related information necessary to manage the portfolio; and

(d) requirements for periodic reporting and a satisfactory level of accountability.

Except in the purchase of government securities at their initial auction, the Authority will encourage investment selections utilizing competitive quotations based upon the procedures established by the Commissioner of Taxation and Finance and carried out by his/her designated personnel.

The Treasurer shall maintain a record of investments. The record shall identify each security, the fund for which held, the place where kept, date of disposition and amount realized, and the market value and Custodian of the collateral.

At least monthly, the Authority shall verify the principal amount and market values of all investments

and collateral. The Authority shall obtain appropriate listings from Custodians and compare such listings against the records of the Authority.

XIII. Audit

At the time the independent auditors to the Authority conduct the annual audit of the accounts and financial affairs of the Authority, the independent auditors shall audit the investments of the Authority for the subject fiscal year. The annual investment audit shall determine whether:

- (a) the Authority's investment practices and operations have been consistent with the provisions of PAL Section 2925, these Investment Guidelines, the Office of the Comptroller's Investment Guidelines for Public Authorities, and the Authority's investment operating procedures contained in its Accounting Policies and Procedures Manual;
- (b) adequate accounts and records are maintained which accurately reflect all transactions and report on the disposition of the Authority's investment assets; and
- (c) a system of adequate internal controls for investments is maintained.

The independent auditors shall prepare a written annual audit report which presents the results of their annual investment audit, and shall include:

- (a) a description of the scope and objectives of the audit;
- (b) a statement that the audit was made in accordance with generally accepted government auditing standards;
- (c) a description of any material weaknesses found in the internal investment controls;
- (d) a description of all non-compliance with provisions of PAL Section 2925, these Investment Guidelines, the Office of the State Comptroller's Investment Guidelines for Public Authorities (2 NYCRR Part 201, Section 201.3), or the Authority's investment operating procedures contained in its Accounting Policies and Procedures Manual;
- (e) a statement of positive assurance of compliance on the items tested; and
- (f) a statement of any other material deficiency or finding identified during the audit not covered in (e) above.

XIV. Reporting

Within sixty (60) days of the end of each of the first three quarters of the Authority's fiscal year, the Treasurer shall prepare and submit to the Members of the Authority and to the Audit and Finance Committee of the Authority a quarterly investment report which indicates new investments, the inventory of existing investments, the selection of Custodians and Broker-Dealers, and such other matters as the Treasurer deems appropriate.

Within 120 days of the end of the fiscal year, the Treasurer shall prepare and submit to the Audit and Finance Committee an annual investment report, which shall include the Investment Guidelines, including any amendment to the Investment Guidelines since the last annual investment report; an explanation of the Investment Guidelines and amendments; the results of the annual independent audit; the investment income record; a list of total fees, commissions or other charges paid to each Broker-Dealer and Custodian; and such

other matters as the Treasurer deems appropriate.

The Members of the Authority shall review and approve the annual investment report, if practicable, at the June meeting of the Authority, for submission thereof to the Division of the Budget, the Department of Audit and Control, the Senate Finance Committee, and the Assembly Ways and Means Committee.

The Authority's annual financial statements shall report the Authority's investments and provide such disclosure information as is required by applicable governmental accounting standards.

Not less frequently than annually, and if practicable, at the June meeting of the Authority, the Members of the Authority shall review, amend, if necessary or appropriate, and approve these Investment Guidelines.

The provisions of these Investment Guidelines and any amendments hereto shall take effect prospectively and shall not invalidate the prior selection of any Custodian, Broker-Dealer, any prior investment, nor any list of permitted investments set forth in any indenture of trust or similar document previously executed by the Authority.

Resolution No. _____

RESOLVED, that the “Investment Guidelines, Operative Policy and Instructions (June 2021),” as presented at this June 22, 2021 meeting are hereby approved by the Board; and

BE IT FURTHER RESOLVED, that the Authority's “2020-2021 Annual Investment Report,” for the year ended March 31, 2021, as presented at this June 22, 2021 meeting, is hereby approved by the Board to be adopted as the annual investment report of the Authority required by Section 2800 and Section 2925(6) of the Public Authorities Law.

NYSDA OPEB (GASB45) Trust
Investment Report
As of March 31, 2021

	Market Value 3/31/2021	Change	Market Value 3/31/2020
Contributions to date	\$48,801,995	\$3,044,522	\$45,757,473
Benefit payments (retiree health insurance)	(\$11,485,311)	(\$1,877,762)	(\$9,607,549)
Administrative expenses	(\$323,556)	(\$37,831)	(\$285,725)
Net contributions	\$36,993,128	\$1,128,929	\$35,864,199
Investment Income (realized gains)	\$23,046,979	\$2,380,405	\$20,666,574
Investments at costs	\$60,040,107	\$3,509,334	\$56,530,773
Unrealized gains/(losses)	\$9,641,323	\$15,650,565	-\$6,009,243
Market value of Investments	\$69,681,430	\$19,159,900	\$50,521,530

Asset Category	Market Value 3/31/2021	% of total	Market Value 3/31/2020	% of total
Cash/money market	\$426,715	0.6%	\$456,495	0.9%
Real Estate	\$706,873	1.0%	\$446,045	0.9%
Foreign/Emerging	\$20,871,781	30.0%	\$14,637,489	29.0%
Equities	\$16,042,985	23.0%	\$10,873,980	21.5%
Fixed income (bonds)	\$31,633,076	45.4%	\$24,107,521	47.7%
Total	\$69,681,430	100.0%	\$50,521,530	100.0%

Investment Yield	3/31/2021	3/31/2020
Annualized	30.0%	-6.9%
Cumulative to Date	88.4%	40.9%
Average annual yield *	8.0%	4.1%

Investment Detail

Asset Class	Investment Policy Target	Current Holding	Cost	Market Value % of Total
Cash	0%-6%	Cash Balance	\$37,441	\$37,441 0.1%
Cash	0%-6%	Dreyfus Instit Reserves Treasury Prime Fund	\$389,274	\$389,274 0.6%
Short Term Bonds	1%-6%	Tributary Short-Intermediate bond Fund	\$2,024,856	\$2,063,338 3.0%
Intermediate Term Bond	9%-19%	Calvert Bond Fund	\$9,176,186	\$9,591,521 13.8%
Inflation-Protected Securities	5%-15%	MassMutual Premier Inflation Protected & Income Fund	\$6,586,258	\$6,910,034 9.9%
Long-Term Bond	1%-11%	PIMCO Long Term Credit Bond Fund	\$3,961,117	\$4,101,448 5.9%
High Yield Bond	7%-17%	Credit Suisse Strategic Income Fund	\$8,123,240	\$8,288,360 11.9%
Large Blend	5%-26%	First Trust Capital Strength ETF	\$8,421,210	\$11,002,383 15.8%
Mid-Cap Blend	1%-11%	Madison Mid Cap Fund	\$695,807	\$733,163 1.1%
Small Blend	3%-16%	Principal Smallcap S&P 600 Index Fund	\$3,378,670	\$4,307,439 6.2%
Foreign Large Cap	10%-20%	T Rowe Price Overseas Stock Fund	\$8,978,341	\$10,658,916 15.3%
Diversified Emerging Mkts	10%-20%	Calvert Emerging Markets Equity Fund	\$6,943,974	\$10,212,865 14.7%
Global Real Estate	1%-6%	MFS Global Real Estate Fund	\$667,524	\$706,873 1.0%
Global Bond	1%-6%	Matthews Asia Strategic Income Fund	\$656,211	\$678,375 1.0%
			<u>\$60,040,107</u>	<u>\$69,681,430</u> <u>100.0%</u>

* The targeted long-term expected rate of return of the NYSDA OPEB TRUST is estimated to be 6.00% per year.

INVESTMENT POLICY STATEMENT

NYSERDA OPEB TRUST
(RETIREE HEALTH INSURANCE BENEFITS)

June 2021

I. DEFINITIONS

Authorized Agent: One or more individuals designated by resolution of the NYSERDA Board to serve as authorized agent(s) to the Plan Administrator as provided in the Trust Agreement.

Benefits: NYSERDA's obligation to provide post-employment health insurance benefits and to pay a portion of the cost of such benefits for retirees and their eligible dependents under the New York State Health Insurance Program (NYSHIP).

Fund: A fund such as a mutual fund or exchange traded fund in which Trust assets are invested.

Investment Consultant: Milliman, Inc., or such other individual or organization as may be approved by the NYSERDA Board.

Investment Horizon: The time period over which the investment objectives, as set forth in this statement, are expected to be met.

Investment Return: The investment income and realized and unrealized gains and losses, all net of investment expense and fees.

NYSERDA: New York State Energy Research and Development Authority and its successors.

NYSERDA Board: The governing board of New York State Energy, Research and Development Authority (NYSERDA).

Plan: The arrangement for Benefits adopted and maintained by NYSERDA, as amended from time to time.

Plan Administrator: Any Officer of NYSERDA.

Plan Sponsor: NYSERDA

Trust: The trust account established to safeguard the assets of the Plan, as amended from time to time.

Trust Agreement: The agreement between NYSERDA and the Trustee establishing the Trust and providing for its administration.

Trustee: The financial institution appointed by the NYSERDA Board to serve as Trustee, and any successors or assigns.

II. PURPOSE & BACKGROUND

The Plan is a Post-Employment Benefits Plan sponsored by NYSERDA, a not-for-profit organization with a place of business in New York State. The Plan's investment program is defined in the following sections of this Investment Policy Statement (IPS).

The purpose of this IPS is to assist the Plan Administrators and Investment Consultant in effectively supervising, monitoring, and evaluating the investment of the Plan's assets. Additionally, the IPS will function to:

1. State in a written document the Plan Administrator's attitudes, expectations, objectives, and guidelines for the investment of Plan assets which the Plan Administrator judges to be appropriate and prudent, in consideration of the needs of the Plan.
2. Define and assign the responsibilities of oversight of the Plan's assets.

3. Set forth an investment structure for managing Plan assets. This structure will help to define asset class, Fund styles, asset allocation and acceptable ranges that, combined, will help to generate the risk/return profile needed to meet the objectives of this policy.
4. Encourage effective communication between the Plan Administrator and the Investment Consultant, as well as any other interested parties.
5. Establish formalized performance criteria that any Fund selected is expected to meet and against which they are to be measured on a regular basis.
6. Serve as a review document to guide the Plan Administrators and the Investment Consultant's ongoing oversight of the investment options of the Plan's assets.
7. Manage plan assets according to prudent standards as established in common trust law and ERISA fiduciary requirements.
8. Establish the relevant investment time horizon of the Plan, risk tolerances of the Plan Administrators and performance expectations of the Plan assets.

III. STATEMENT OF OBJECTIVES

The assets of the Plan are invested under the supervision of the Plan Administrator.

After reviewing expected short-term and long-term cash flow requirements of the plan, as well as considering the investment time horizon and risk tolerance level believed to be appropriate for the invested assets, the following set of objectives has been established:

1. Preservation of capital.
2. Pay all benefit and expense obligations when due.
3. Achieve a funding cushion to reduce the impact of possible future downturns in the plan's funded status.
4. Achieve or exceed actuarial earnings assumptions.
5. Maximize the return on assets earned through the acceptance of prudent and reasonable levels of risk.
6. Control risk through reasonable and rational diversification of the assets into various asset classes.
7. Establish policies based on total return and expected projected payouts rather than current income.
8. Contain costs of administering and managing the portfolio.

IV. CONTROL PROCEDURES

Disclosure

Any member of the Plan Administrators, Trustee or Investment Consultant must make full disclosure of any potential conflicts of interest annually (or more frequently, as conditions warrant), including any financial gain that may be received as a result of investment decisions made by those entities. This disclosure should be made before the investment of the plan assets governed by this Investment Policy Statement. Disclosure should be in written form and presented to the other members of the Plan Administrators.

Delegation of Authority

The NYSERDA Board shall be responsible for approving any changes to this Investment Policy Statement, and shall be responsible for reviewing the implementation of the Investment Policy Statement not less frequently than annually. The NYSERDA Board hereby delegates certain responsibilities in this Investment Policy Statement to professional experts in certain fields, who shall act in a fiduciary capacity.

These responsibilities include, but are not limited to:

Plan Administrator: The Plan Administrator is responsible for the investment of the plan assets, and selecting Funds. The Plan Administrator will have discretion to purchase, sell or hold the investment options that will be used to meet the Plan's investment objectives, consistent with the recommendations and advice of the Investment Consultant. An Authorized Agent will provide written instructions to the Trustee for the purchase or sale of Trust investments, consistent with investment decisions made by the Plan Administrator. An Authorized Agent shall also provide written approval to make payments of Benefits from the Trust.

Investment Consultant: The Investment Consultant will be responsible for: providing recommendations to the Plan Administrator for the selection of Funds, consistent with the Investment Policy Statement objectives and guidelines; reviewing the performance of such Funds over time; periodically reviewing and providing recommendations to the Plan Administrator for revisions to the Investment Policy Statement; and other tasks as deemed appropriate by the Plan Administrator.

Trustee: The Trustee will perform monthly accounting of all assets owned, purchased or sold, as well as movement of assets into and out of the Plan account. The Trustee will maintain possession of securities owned by the Plan, collect dividend and interest payments, redeem maturing securities, and effect receipt and delivery following purchases and sales. The Trustee will also be responsible for the payments to such persons and for such purposes in order to provide benefits to plan participants and the remittance of any tax related payments to the applicable State and Federal bodies.

Responsibilities

Plan Administrator

The Plan Administrator is charged with the responsibility for the management of the assets of the Plan. The Plan Administrator shall discharge its duties solely in the interest of the Plan, with the care, skill, prudence and diligence that a prudent person, acting in a like capacity and familiar with such matters should use in the circumstances then prevailing.

The specific responsibilities of the Plan Administrator relating to the investment management of the fund include:

1. Provide oversight on the actions of the Investment Consultant, including, but not limited to approving or disapproving actions of the Investment Consultant.
2. Prudently and diligently selecting qualified investment professionals, including Funds and the Investment Consultant.
3. Developing and enacting proper control procedures: For example, replacing Fund(s) due to fundamental change in investment management process, or failure to comply with established guidelines.
4. Exercising investment discretion (including holding cash equivalents as an alternative) within the IPS objective and guidelines set forth herein.

Investment Consultant

The Investment Consultant's role is that of a non-discretionary advisor to the Plan Administrator. Investment advice concerning the investment management of the fund assets will be offered by the Investment Consultant and will be consistent with the investment objectives, policies, guidelines and constraints as established in this statement.

The Investment Consultant shall act as an advisor to the Plan Administrator with regard to the definition of asset classes, asset allocation ranges, acceptable investments and monitoring of the performance of the Trust. Specific responsibilities include:

1. Communicating financial needs of the fund to the Funds in a timely manner.
2. Determining the fund's risk tolerance and investment horizon, and communicating these to the appropriate parties.
3. Defining acceptable asset classes and allocation ranges for the investment of Plan assets.
4. Assisting the Plan Administrator in the development of the investment policy statement and reviewing Funds implementation.
5. Provide for the regular evaluation of the performance of the Funds to assure adherence to policy guidelines and monitoring investment objective progress.
6. Conducting Funds searches when requested by the Plan Administrator.
7. Selecting Funds candidates for approval of the Plan Administrator.
8. Providing "due diligence" or research on Funds.
9. Monitoring the performance of the Fund(s) to provide the Plan Administrator with the ability to determine the progress toward the investment objectives.
10. Communicating matters of policy, manager research, and manager performance to the Plan Administrator.

11. Reviewing the Fund's investment history, historical capital markets performance and the contents of this investment policy statement to any newly appointed members of the Plan Administrator.
12. Providing the Plan Administrator a report of their decisions and actions at least annually.

V. INVESTMENT GUIDELINES

Investment Horizon: The investment guidelines are based on the expectation that the investment horizon will be many years in length. Short-term variability in returns should be expected.

Because of this, the Plan's strategic asset allocation is established with long-term perspective in mind.

The Plan is required to pay post-retirement medical premiums as they come due. Therefore, sufficient liquid reserves must be available to satisfy this obligation.

Risk Tolerances: It is understood by the Plan Administrator that achieving the Plan's investment objectives is not guaranteed and there will be time periods for which these objectives will not be met. The Plan Administrator also recognizes that some risk must be assumed to achieve the Plan's long-term investment objectives and accepts the inevitable fluctuations in returns that will occur. While it is understood that a certain level of risk is expected in the Plan's portfolio, the ability to withstand short and intermediate term variability was specifically considered in the development of the IPS risk tolerances. Factors considered were:

1. NYSERDA is in an industry that should experience milder fluctuations than the general economy.
2. NYSERDA's intent to fund the annual contribution each year should provide ample liquidity.
3. Future projected benefit payments and expenses of the Plan.

As a result of the above-named considerations, the Plan Administrators believes that the Plan can tolerate some interim variability in market value and rates of return in order to achieve its long-term objectives.

Liquidity Policy: The trust will be required to provide periodic distributions in the form of retirement benefit payments to participants. The actuary provides annual assessments of the funding requirements and payments necessary to meet the plans benefit obligations.

Rebalancing: The percentage allocation to each asset class may vary based on the table within the Overall Asset Allocation Policy section on the following page of this IPS, depending on market conditions. When necessary and/or available, cash inflows/outflows will be deployed in a manner consistent with the strategic asset allocation of the Plan as stated in this Policy. If the Plan Administrator judges cash flows to be insufficient to bring the Plan within the strategic allocation ranges, the Plan Administrator shall decide whether to effect transactions to bring the strategic allocation within the threshold ranges (strategic allocation). The Plan Administrator retains the right to rebalance the portfolio at its discretion.

Social Responsibility: The Plan Administrator will put the economic interests of the Plan first when considering alternative investment options and, in consultation with the Investment Consultant, shall consider Funds which minimize investments in the fossil-fuel industry, provided that they generally meet performance and risk criteria where available and are appropriate alternative Fund investments.

Overall Asset Allocation Policy

Based on the Plan's time horizon, risk tolerances, liquidity needs, and asset class preferences and constraints, an efficient or optimal portfolio was identified.

This allocation shall be reviewed at least annually and modified as necessary to meet the needs of the Plan Administrators. The strategic asset allocation of the Plan is as follows:

Asset Class	Range	Target
Cash	0% - 6%	1%
Short Term Bonds	1% - 6%	1%
Intermediate Term Bond	9% - 19%	14%
Inflation Protected Securities	5%-15%	10%
Long Term Bond	1% - 11%	6%
High Yield Bonds	7- 17%	12%
Large Cap	5%-26%	15%
Mid Cap	1%-11%	1%
Small Cap	3%-16%	6%
Foreign Developed Equity	10% - 20%	15%
Emerging Markets Equity	10% - 20%	15%
Global Real Estate	1% - 6%	1%
Non-US bonds	1% - 6%	1%

The above ranges will be considered the long-term or policy allocation. The current "Policy" is the target for such respective Asset Class of investment. Any deviations beyond the "Range" must have prior approval by the Plan Administrator, unless caused by market actions. Any deviations will be cured within 90 days of discovery.

The Plan will diversify its investment portfolio to avoid incurring unreasonable risks.

The only allowable investments of the Plan will be in cash and equivalents and Funds:

Cash Policy

Cash equivalents will be invested in an appropriate cash-like fund. Returns should be equal to or better than the 90-day Treasury Bill Index (3-month).

Money market mutual funds may be used, so long as these funds meet the high standards suitable for funds of this nature.

Fixed Income Policy

Investment Objectives

These assets are to be invested in a Fund of high-grade index or active fixed income portfolio subject to the limitations set forth below. The investment objectives of the fixed income portion in order of importance are:

1. Preservation of capital.

2. Provide for regular dividend and or interest payments.
3. Maintenance of liquidity to provide for recurring benefit payments.
4. Stability of Returns – Obtain fairly consistent annual returns.

Investment Guidelines

Generally, the Fixed Income portion of the Trust shall be comprised of high-grade, intermediate-term, fixed income Funds. While high levels of risk are to be avoided, as evidenced by Funds with high volatility and low quality rated securities, the assumption of a moderate and reasonable risk may be warranted and encouraged in order to achieve satisfactory long-term results consistent with the objectives of the Plan. The following restrictions apply to the fixed income holdings of the Plan:

1. No more than 20 percent of the market value of any fixed income Fund shall be rated less than single A quality.
2. The exposure of any fixed income Fund to any one issuer, other than securities of the U.S. government or agencies, shall not exceed 10 percent of the market value of the fixed income fund.
3. No more than 25 percent of any fixed income Fund shall be invested in one industry category, other than securities of the U.S. government.

Equity Policy

Investment Objectives

Equity assets are to be invested in Funds. Within this framework, the investment objectives of the equity portion are

1. Long Term Growth of Capital – Assets, exclusive of contributions and withdrawals, should grow in the long run and earn returns equal to the market while risk should be less than the market.
2. Follow a predefined market style (e.g. large cap vs. small cap) strategy.

Investment Guidelines

The Plan's equity assets will be managed in accordance with the following:

1. All Funds selected for the equity portion must have a readily ascertainable market value and must be readily marketable.
2. Fund investments must be U.S. dollar denominated.
3. Not more than 25 percent of the market value of any Fund should be invested in any one industry as defined by Standard and Poors.
4. A Fund's investment in any single company should not exceed 10 percent of the Plan's assets.
5. Funds selected will be diversified and generally conform to the above-mentioned industry and company guidelines.

VI. SELECTION OF FUNDS

The Plan Administrator, with the assistance of the Investment Consultant, will select Funds meeting the following minimum criteria:

1. Is a bank, insurance company, investment management company, or investment advisor as defined by the Registered Investment Advisers Act of 1940.
2. Provide at a minimum, historical quarterly performance numbers calculated on a time-weighted basis, based on a composite of all the fully discretionary accounts of similar investment style, and reported net and gross of fees.
3. Provide performance evaluation reports prepared by an objective third party that illustrate the risk/return profile of the Funds relative to other managers of like investment style.
4. Provide detailed information on the history of the firm, key personnel, key clients, fee schedule, and support personnel. This information can be supplied by a third party vendor comparable to Lipper or Morningstar.
5. Clearly articulate the investment strategy that will be followed and document that the strategy has been successfully adhered to over time.
6. Ranked within the top 50 percent of their respective styles on the Investment Consultant's internal ranking program, as well as rankings using widely used third-party sources (such as Morningstar).
7. Some of the measures that may be included in the statistical analysis of a Fund would be:
 - a. *Performance* – historical annualized average return for 1 year, 3year, and 5year periods
 - b. *Return Rank* – the ranking of the Fund's performance relative to other funds in its asset category
 - c. *Alpha* – a measure of fund performance compared to its expected return
 - d. *Beta* – the general level of influence a fund's market has over its performance
 - e. *Standard Deviation* – a measure of a fund's variation of returns over time
 - f. *Sharpe Ratio* – a measure of a fund's risk-adjusted return compared to its risk
 - g. *Duration* – a measure of sensitivity to interest rate fluctuations over time
 - h. *Carbon Metrics* – a measure of the Fund's exposure to carbon risk, including the percentage of Fund investments invested in fossil fuels, as may be reported by a third party source (Morningstar, fossilfreefunds.org)

VII. INVESTMENT EVALUATION

Not more than 90 days after the end of each calendar quarter, the Investment Consultant shall report investment positions and performance results to the NYSERDA Board and the Plan Administrator, based on performance reported by each Funds, to monitor and evaluate progress toward the attainment of longer-term targets.

The target rate of return for the Plan has been based on the assumption that future real returns will approximate the long-term rates of return experienced for each asset class in the IPS. The real rate of return is the return in excess of the anticipated rate of inflation as measured by the Consumer Price Index (CPI).

Total Plan Portfolio: Over a complete business cycle, the Plan's overall annualized total return (net of expenses) should perform at or above the weighted average of a customized index comprised of market

indexes weighted by the strategic asset allocation of the Plan. This customized index is composed of the following benchmarks and weights:

Index	Target
Bank of America ML 91-day TBill Auction Avg	1%
BBgBarc US 1-5 Yr Gov/Credit Fl Adj TR	3%
BBgBarc US Govt/Credit 5-10 Yr TR	14%
BBgBarc US Treasury US TIPS TR	10%
BB Long Government/Credit	6%
BB High Yield Corporate Bond	12%
CRSP US Large Cap TR	15%
CRSP US Mid Cap TR	1%
CRSP US Small Cap TR	6%
FTSE Developed Ex US	15%
FTSE EM AC China A Incl (US RIC)	15%
FTSE EPRA/NAREITGlobal NR	1%
BBgBarc Global Agg Float Adj TR	1%

Individual Funds: During its periodic meetings but not less than annually, the Plan Administrator, with consultation from the Investment Consultant, will review the following specifics with regard to each Fund:

1. The Fund's adherence to their prospectus.
2. Material changes in the Fund's organization, investment philosophy, and/or personnel.
3. Performance compared against an appropriate peer group and benchmark, as listed in the Executive Summary of this IPS, over various time periods.

The Plan Administrator expects the following criteria to be met by each Fund. If a manager fails to meet these, a further review of the manager will be warranted. The Plan Administrator reserves the right to replace an Funds at their discretion at any time.

1. The Plan Administrator with recommendation from the Investment Consultant may consider replacing an actively managed Fund if the Return Rank versus its peer group remains in the bottom 50 percent for four consecutive quarters versus its peer group and if statistical analysis concludes that the Fund's level of return does not provide an acceptable ratio as compared to its general level of risk.
2. The Fund's risk over 3 and 5 years, as measured by the Relative Standard Deviation, should be equal to or less than 1 when compared to the Fund's appropriate benchmark. If the Relative Standard Deviation is greater than 1, an increase in performance is expected.
3. The Fund's Sharpe ratio over 3 and 5 years should be ranked above the peer group median.
4. The Fund's Alpha should be positive over a 3 and 5 year period and should be ranked above the peer group median.
5. For passive-index investments, a Beta between 0.95 and 1.05 and a Tracking Error from 0 to 1 when compared to the index the Fund is designed to follow. Each statistic should be measured over 3 and 5 year time periods.

6. The Plan Administrator may consider a Fund for replacement when the Funds or policy of the Fund is changed. In addition, if there are any substantial transactions with regard to the Fund management company or holding, or other types of instabilities in the Fund company, replacement will be considered.
7. The Plan Administrator may also consider replacing a Fund if its relative performance over a complete market cycle trails its benchmark.

Resolution No. _____

RESOLVED, that the “NYSERDA OPEB Trust Investment Report” for the year ended March 31, 2021, as presented at this June 22, 2021 meeting is hereby approved by the Board as the annual investment report of the NYSERDA OPEB Trust required by Section 2800 and Section 2925(6) of the Public Authorities Law; and

BE IT FURTHER RESOLVED, that the “Policy Statement for the NYSERDA OPEB Trust (Retiree Health Insurance Benefits Trust)” as presented at this June 22, 2021 meeting, is hereby approved by the Board.

Resolution No. ____

RESOLVED, the Members authorize the President and CEO to retain the firm of KPMG, LLP as independent auditors for the Authority and for NY Green Bank for the fiscal year ending March 31, 2022.

NEW YORK STATE ENERGY RESEARCH
AND DEVELOPMENT AUTHORITY

ANNUAL BOND SALES REPORT

Fiscal Year Ended March 31, 2021

TABLE OF CONTENTS

List of issuances, conversions, and refundings.

List of outstanding bonds as of March 31, 2021 (see separate attachment)

NYSDA
 BOND SALES REPORT
 Fiscal year ended March 31, 2021

ISSUANCES

Company/Series	Date Issued	Date of Maturity	Amount Issued (\$000s)	Savings (\$000s)	Initial Interest Rate Mode	Initial Interest Rate	M/WBE Participation
Residential Solar and Energy Efficiency Financing Green Revenue Bonds, Series 2020A	10/14/2020	10/01/2036	\$16,690	NA	Fixed	1.5% - 3.4%	YES

CONVERSIONS

Company/Series	Date Converted	Date of Maturity	Principal Amount (\$000s)	Additional Savings (\$000s)	Initial Interest Rate Mode	Interest Rate	M/WBE Participation
NYS Electric & Gas Corporation – Series 1994B	05/01/2020	02/01/2029	\$37,500	NA	Fixed	1.61%	NA
NYS Electric & Gas Corporation – Series 1994C	05/01/2020	06/01/2029	\$63,500	NA	Fixed	1.61%	NA
NYS Electric & Gas Corporation – Series 2004A	05/01/2020	12/01/2027	\$34,000	NA	Fixed	1.53%	NA
NYS Electric & Gas Corporation – Series 2005A	05/01/2020	07/01/2026	\$65,000	NA	Fixed	1.4%	NA

REFUNDINGS

Company/Series	Date Issued	Date of Maturity	Amount Issued (\$000s)	Savings (\$000s)	Initial Interest Rate Mode	Initial Interest Rate	M/WBE Participation
None							

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
BONDS OUTSTANDING AS OF MARCH 31, 2021

<u>Company</u>	<u>Project description</u>	<u>Series</u>	<u>CUSIP</u>	<u>Date Closed</u>	<u>Date Issued</u>	<u>Maturity</u>	<u>Amount Issued (000's)</u>	<u>Amount Outstanding (000's)</u>
Central Hudson Gas & Electric Co.	Pollution Control Refunding Revenue Bonds	1999 B	649845 EW 0	8/3/1999	7/27/1999	7/1/2034	\$33,700	\$33,700
Central Hudson Gas & Electric Co. Total							\$33,700	\$33,700
Consolidated Edison Company	Facilities Revenue Bonds	2004C-1	64984E DF9	11/12/2004	11/5/2004	11/1/2039	\$33,000	\$33,000
Consolidated Edison Company	Facilities Revenue Bonds	2004C-2	64984E DE2	11/12/2004	11/5/2004	11/1/2039	\$33,000	\$33,000
Consolidated Edison Company	Facilities Revenue Bonds	2004C-3	64984E DD4	11/12/2004	11/5/2004	11/1/2039	\$33,000	\$33,000
Consolidated Edison Company	Facilities Revenue Bonds	2005A-1	649848E DJ1	5/26/2005	5/26/2005	5/1/2039	\$42,100	\$42,100
Consolidated Edison Company	Facilities Revenue Bonds	2005A-2	649848E DG7	5/26/2005	5/26/2005	5/1/2039	\$42,100	\$42,100
Consolidated Edison Company	Facilities Revenue Bonds	2005A-3	649848E DH5	5/26/2005	5/26/2005	5/1/2039	\$42,100	\$42,100
Consolidated Edison Company	Facilities Revenue Bonds	2010A	64984E DN2	11/16/2010	11/9/2010	6/1/2036	\$224,600	\$224,600
Consolidated Edison Company Total							\$449,900	\$449,900
KeySpan Generation LLC	Electric Facilities Revenue Bonds	1997A	649841 CR 2	12/30/1997	12/30/1997	12/1/2027	\$24,880	\$24,880
KeySpan Generation LLC	Pollution Control Refunding Rev Bonds	1999A	649845 FAT	10/27/1999	10/20/1999	10/1/2028	\$41,125	\$41,125
KeySpan Generation LLC Total							\$66,005	\$66,005
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1985B	649845 FT6	12/26/1985	12/26/1985	12/1/2025	\$37,500	\$37,500
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1985C	649845 FU3	12/26/1985	12/26/1985	12/1/2025	\$37,500	\$37,500
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1986A	649845 FS8	12/18/1986	12/18/1986	12/1/2026	\$50,000	\$50,000
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1987A	649845 FV1	3/26/1987	3/26/1987	3/1/2027	\$25,760	\$25,760
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1987B-1	649845 FK5	7/16/1987	7/16/1987	7/1/2027	\$68,200	\$68,200
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1987B-2	649845 FM1	7/16/1987	7/16/1987	7/1/2027	\$25,000	\$25,000
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	1988A	649845 FE9	12/22/1988	12/22/1988	12/1/2023	\$69,800	\$69,800
Niagara Mohawk Power Corporation	Pollution Control Revenue Bonds	2004A	649845 GA6	5/27/2004	5/19/2004	7/1/2029	\$115,705	\$115,705
Niagara Mohawk Power Corporation Total							\$429,465	\$429,465
NYS Electric & Gas Corporation	Fixed Rate Pollution Control Refunding Revenue Bonds	1994B	649845 HA5	2/23/1994	2/23/1994	2/1/2029	\$37,500	\$37,500
NYS Electric & Gas Corporation	Fixed Rate Pollution Control Refunding Revenue Bonds	1994C	649845 GZ1	6/15/1994	6/15/1994	6/1/2029	\$63,500	\$63,500
NYS Electric & Gas Corporation	Adj./Fixed Rate Pollution Control Refunding Revenue Bonds	1994 D	649845 HD9	10/25/1994	10/25/1994	10/1/2029	\$74,000	\$74,000
NYS Electric & Gas Corporation	Fixed Rate Pollution Control Refunding Revenue Bonds	2004A	649845 HB3	8/26/2004	8/26/2004	12/1/2027	\$34,000	\$34,000
NYS Electric & Gas Corporation	Pollution Control Revenue Bonds	2004C	649845 HH0	8/26/2004	8/26/2004	4/1/2034	\$100,000	\$100,000
NYS Electric & Gas Corporation	Fixed Rate Pollution Control Refunding Revenue Bonds	2005 A	649845 HC1	5/27/2005	5/18/2005	7/1/2026	\$65,000	\$65,000
NYS Electric & Gas Corporation Total							\$374,000	\$374,000
Rochester Gas & Electric Company	Pollution Control Revenue Bonds	1997A	649845 HE7	8/19/1997	8/1/1997	8/1/2032	\$34,000	\$34,000
Rochester Gas & Electric Company	Pollution Control Revenue Bonds	1997B	649845 HF4	8/19/1997	8/1/1997	8/1/2032	\$34,000	\$34,000
Rochester Gas & Electric Company	Pollution Control Revenue Bonds	1997C	649845 HK3	8/19/1997	8/1/1997	8/1/2032	\$23,900	\$23,900
Rochester Gas & Electric Company	Pollution Control Revenue Bonds	2004A	649845 HG2	8/26/2004	8/26/2004	5/15/2032	\$10,500	\$10,500
Rochester Gas & Electric Company	Pollution Control Revenue Bonds	2004B	649845 HJ6	8/26/2004	8/26/2004	5/15/2032	\$50,000	\$50,000
Rochester Gas & Electric Company Total							\$152,400	\$152,400
Subtotal - Conduit Utility Bonds							\$1,505,470	\$1,505,470
Green - Jobs Green New York Program Financing:								
Residential Energy Efficiency Financing Revenue Bonds, Series 2013A								
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAA6	8/1/2013	8/1/2013	7/1/2014	\$2,195	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAB4	8/1/2013	8/1/2013	7/1/2015	\$2,080	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAC2	8/1/2013	8/1/2013	7/1/2016	\$2,105	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAD0	8/1/2013	8/1/2013	7/1/2017	\$1,930	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAE8	8/1/2013	8/1/2013	7/1/2018	\$1,775	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAF5	8/1/2013	8/1/2013	7/1/2019	\$1,755	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAG3	8/1/2013	8/1/2013	7/1/2020	\$1,800	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAH1	8/1/2013	8/1/2013	7/1/2021	\$1,780	\$1,780
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAJ7	8/1/2013	8/1/2013	7/1/2022	\$1,590	\$1,590
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAK4	8/1/2013	8/1/2013	7/1/2023	\$1,445	\$1,445
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAL2	8/1/2013	8/1/2013	7/1/2024	\$1,385	\$1,385
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAL2	8/1/2013	8/1/2013	7/1/2025	\$1,350	\$1,350
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAL2	8/1/2013	8/1/2013	7/1/2026	\$1,375	\$1,375
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAL2	8/1/2013	8/1/2013	7/1/2027	\$1,075	\$1,075
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2013A	64985LAL2	8/1/2013	8/1/2013	7/1/2028	\$660	\$660
NYS Energy Res. & Development Auth. Total							\$24,300	\$10,660
Residential Energy Efficiency Financing Revenue Bonds, Series 2015A								
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2016	\$2,420	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2017	\$3,385	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2018	\$3,408	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2019	\$3,410	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2020	\$3,305	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2021	\$3,120	\$3,120
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2022	\$3,100	\$3,100
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2023	\$3,160	\$3,160
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2024	\$3,200	\$3,200
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2025	\$3,200	\$3,200
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2026	\$3,155	\$3,155
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2027	\$3,180	\$3,180
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2028	\$3,250	\$3,250
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2029	\$3,040	\$3,040
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2015A	not applicable	8/6/2015	8/20/2015	7/1/2030	\$2,025	\$2,025
NYS Energy Res. & Development Auth. Total							\$46,358	\$30,430
Residential Solar Loan Revenue Bonds, Series 2015								
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	10/29/2015	10/29/2015	3/1/2026	\$12,812	\$1,420
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	2/10/2016	2/10/2016	6/1/2023	\$1,005	\$0
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	2/10/2016	2/10/2016	6/1/2026	\$6,058	\$4,197
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	4/8/2016	4/8/2016	9/1/2023	\$1,592	\$0
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	4/8/2016	4/8/2016	9/1/2026	\$6,136	\$4,286
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	7/11/2016	7/11/2016	12/1/2023	\$1,788	\$0
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	7/11/2016	7/11/2016	12/1/2026	\$7,017	\$5,026
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	9/28/2016	9/28/2016	3/1/2024	\$1,256	\$0
NYS Energy Res. & Development Auth.	Residential Solar Loan Revenue Bonds	2015A	not applicable	9/28/2016	9/28/2016	3/1/2027	\$5,011	\$3,638
NYS Energy Res. & Development Auth. Total							\$42,675	\$18,567
Residential Energy Efficiency Financing Revenue Bonds, Series 2016A								
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2017	\$1,045	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2018	\$1,585	\$0

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
BONDS OUTSTANDING AS OF MARCH 31, 2021

<u>Company</u>	<u>Project description</u>	<u>Series</u>	<u>CUSIP</u>	<u>Date Closed</u>	<u>Date Issued</u>	<u>Maturity</u>	Amount	
							Issued (000's)	Outstanding (000's)
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2019	\$1,600	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2020	\$1,620	\$0
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2021	\$1,635	\$1,635
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2022	\$1,505	\$1,505
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2023	\$1,460	\$1,460
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2024	\$1,490	\$1,490
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2025	\$1,520	\$1,520
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2026	\$1,555	\$1,555
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2027	\$1,565	\$1,565
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2028	\$1,595	\$1,595
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2029	\$1,635	\$1,635
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2030	\$1,680	\$1,680
NYS Energy Res. & Development Auth.	Residential Energy Efficiency Financing Revenue Bonds	2016A	not applicable	9/22/2016	9/22/2016	7/1/2031	\$1,690	\$1,690
NYS Energy Res. & Development Auth. Total							\$23,180	\$17,330
Residential Solar Loan Green Revenue Bonds, Series 2018A								
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2020	\$1,600	\$0
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2021	\$1,500	\$1,500
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2022	\$1,500	\$1,500
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2023	\$1,300	\$1,300
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2024	\$1,100	\$1,100
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2025	\$1,100	\$1,100
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2026	\$1,000	\$1,000
NYS Energy Res. & Development Auth.	NYSERDA Residential Financing Green Revenue Bonds	2018A		3/21/2018	3/21/2018	4/1/2034	\$9,400	\$4,895
NYS Energy Res. & Development Auth. Total							\$18,500	\$12,395
Residential Solar and Energy Efficiency Loan Green Revenue Bonds, Series 2019A								
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAA2	3/21/2019	3/21/2019	4/1/2020	\$1,165	\$0
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAB0	3/21/2019	3/21/2019	4/1/2021	\$1,385	\$1,385
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAC8	3/21/2019	3/21/2019	4/1/2022	\$1,320	\$1,320
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAD6	3/21/2019	3/21/2019	4/1/2023	\$1,255	\$1,255
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAE4	3/21/2019	3/21/2019	4/1/2024	\$1,185	\$1,185
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAF1	3/21/2019	3/21/2019	4/1/2025	\$1,095	\$1,095
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAG9	3/21/2019	3/21/2019	4/1/2026	\$1,045	\$1,045
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAH7	3/21/2019	3/21/2019	4/1/2027	\$995	\$995
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAJ3	3/21/2019	3/21/2019	4/1/2028	\$820	\$820
NYS Energy Res. & Development Auth.	NYSERDA Green Jobs Residential Solar Level and Ener	2019A	64986RAK0	3/21/2019	3/21/2019	4/1/1935	\$5,245	\$2,515
NYS Energy Res. & Development Auth. Total							\$15,510	\$11,615
Residential Solar and Energy Efficiency Loan Green Revenue Bonds, Series 2020A								
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAL8	10/14/2020	10/14/2020	10/1/2021	\$1,905	\$1,905
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAM6	10/14/2020	10/14/2020	10/1/2022	\$2,065	\$2,065
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAN4	10/14/2020	10/14/2020	10/1/2023	\$1,820	\$1,820
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAP9	10/14/2020	10/14/2020	10/1/2024	\$1,635	\$1,635
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAQ7	10/14/2020	10/14/2020	10/1/2025	\$1,505	\$1,505
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAR5	10/14/2020	10/14/2020	10/1/2026	\$1,370	\$1,370
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAS3	10/14/2020	10/14/2020	10/1/2027	\$1,210	\$1,210
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAT1	10/14/2020	10/14/2020	10/1/2028	\$1,045	\$1,045
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAU8	10/14/2020	10/14/2020	10/1/2029	\$895	\$895
NYS Energy Res. & Development Auth.	NYSERDA Residential Solar and Energy Efficiency Finan	2020A	64986RAV6	10/14/2020	10/14/2020	10/1/2036	\$3,240	\$3,240
							\$16,690	\$16,690
Subtotal - Green Jobs - Green New York Program Financing							\$187,212	\$117,687
Grand Total							\$1,692,682	\$1,623,157

Resolution No. ____

RESOLVED, that the Authority's Annual Bond Sales Report for the fiscal year April 1, 2020 through March 31, 2021, and the information contained therein, as presented at this June 22, 2021 meeting is hereby approved by the Board for submission pursuant to Section 2800 of the Public Authorities Law.

Resolution No. ____

RESOLVED, that pursuant to the Authority's Internal Control Manual, the Authority hereby appoints Peter V. Mahar as Acting Internal Control Officer of the Authority, effective June 21, 2021, to serve at the pleasure of the Authority and to perform the duties of the Internal Control Officer as set forth in the Internal Control Manual until such time as a permanent Internal Control Officer is appointed by the Authority.

GOVERNANCE COMMITTEE CHARTER

Established April 3, 2006

Purposes

Pursuant to Article V, Section 7 of the Authority's By-laws, the purposes of the Committee are to: keep the Members informed of current best practices of corporate governance, review and advise on corporate governance trends, update the Authority's corporate governance principles, as necessary, and advise appointing authorities on the skills and qualifications required of Members.

Powers of the Committee

The Committee will:

- develop qualifications applicable to Members;
- meet with and obtain advice and assistance from Authority staff, including in-house counsel, and any other persons having special competencies, including legal, accounting or other consultants as the Committee deems necessary to fulfill its responsibilities;
- retain, at the Authority's expense, such outside counsel, experts and other advisors as the Committee may deem appropriate, as approved by the Members;
- conduct or authorize investigations into any matters within its scope of responsibility; and
- seek any assistance it requires from Authority employees.

The Committee shall have sufficient resources to carry out its duties.

Composition and Selection

The Committee shall be established as set forth in and pursuant to Article V, Section 7 of the Authority's By-laws. The Committee shall be a standing advisory committee of the Authority. The Committee shall have not fewer than three nor more than six Members. The Committee shall consist of not less than three independent Members who shall constitute a majority on the Committee and who shall possess the necessary skills to understand the duties and function of the Committee, provided, however, that in the event that there are less than three independent Members, the Members may appoint non-independent Members,

provided that the independent Members constitute a majority of the Members of the Committee. In addition, the membership of the Committee shall include the Chair of the Authority who shall serve ex-officio and who shall enjoy all the rights and privileges of membership, including the right to vote. A majority of the members of the Committee then in office, not including the Chair of the Authority, gathered together in the presence of each other or through the use of videoconferencing, shall constitute a quorum, and the Chair of the Authority if present shall be counted toward a quorum.

The Members of the Authority will appoint the Chair of the Committee.

To the extent practicable, Committee members should be familiar with matters pertaining to governance, as it relates to public authorities and comparable corporations.

Meetings

The Committee will meet, as necessary, and special meetings may be called in accordance with the By-laws. Members of the Committee are expected to attend each committee meeting, in person or by video conference. The Committee may invite other individuals to attend meetings and provide pertinent information, as necessary.

The Committee will meet at least annually to discuss the developments in the area of good governance principles and practices, including enactment of legislation that may affect governance.

Notices of meetings will be prepared in accordance with the By-laws and agendas and briefing materials will be prepared and provided to the Committee members. To the extent practicable, briefing materials will be provided to the Committee members not less than 5 business days prior to each meeting. Minutes of each meeting will be maintained in a central location at the Authority's principal office.

Meetings shall be conducted in accordance with the open meetings law.

Responsibilities

The Committee will be responsible for reporting to the Members on significant and material matters related to: (a) identifying individuals qualified to become Members of the Authority, consistent with the qualifications established in its enabling statute and any other established criteria; (b) developing, reviewing, monitoring, and re-evaluating the Authority's governance principles and practices and, when appropriate, recommending changes to such principles and practices; (c) reviewing ethics standards and whistle blower protections; (d) developing and recommending performance criteria and an evaluation process to be used in

evaluating the Board's functioning as a whole and coordinating and overseeing such evaluation; and (e) performing such other functions as may be assigned.

Identifying Member Qualifications. The Committee will:

- consistent with the Authority's governance principles and the statutory requirements for Members, evaluate the needs of the Board to determine the qualifications of individuals suited to enhance the composition of the Board;
- assist in attracting candidates who meet Member qualifications and review the qualifications of persons identified to the Committee as prospective members of the various Authority committees and of the Board, as requested; and
- develop and provide recommendations regarding Member education, including but not limited to, new Member orientation and training to be obtained from State-approved or other trainers.

Governance Principles and Practices. The Committee will:

- develop, review, monitor, and re-evaluate no less than annually, and recommend such changes to governance principles and practices including those that address transparency, independence, accountability, fiduciary responsibilities, and management oversight, as it deems appropriate; and
- monitor compliance with the governance principles and practices.

Ethics Standards and Whistle Blower Protection. The Committee will:

- develop, review on a regular basis, and update as necessary the Authority's Code of Conduct and written policies regarding conflicts of interest, such code and policies to be no less stringent than the laws, rules, regulations, and policies applicable to State officers and employees;
- review and make recommendations as appropriate on revisions to the Authorities By-laws regarding the conduct of Board business; and
- periodically review and make recommendations, if necessary, on revisions to the Authority's written policies regarding the protection of whistle blowers.

Other Responsibilities. The Committee will:

- review compensation and related policies and procedures;

- review and recommend for approval such governance-related reports, guidelines, policies, procedures, assessments, and reports as may be required; and
- perform such other functions as may be assigned to it from time to time.

AUDIT AND FINANCE COMMITTEE CHARTER

Established September 18, 1980

Purposes

Pursuant to Article V, Section 5, of the Authority's By-laws, the purposes of the Audit and Finance Committee are to recommend the hiring of a certified independent auditing firm, including the compensation to be paid, and provide direct oversight of the performance of the independent audit performed; review the annual financial statements of the Authority prior to submission for approval to the Members of the Authority; and may examine and consider such other matters in relation to the internal and external audit of the Authority's accounts, the Authority's financings, and in relation to the financial affairs of the Authority and its accounts as the Audit and Finance Committee may determine to be desirable.

Powers of the Committee

The Committee will:

- recommend appointment, including compensation, and oversee the work, of the independent auditors and, as appropriate, any other public accounting firm employed by the Authority;
- conduct or authorize investigations into any matters within its scope of responsibility;
- seek any information it requires from Authority employees; and
- meet with Authority staff and independent auditors, as necessary.

The Committee shall have sufficient resources to carry out its duties.

Composition of Committee and Selection of Members

The Committee shall be established as set forth in and pursuant to Article V, Section 5, of the Authority's By-laws. The Committee shall be a standing advisory committee of the Authority. The Committee shall have not fewer than three nor more than six Members. The Committee shall consist of not less than three independent Members who shall constitute a majority on the Committee and who shall possess the necessary skills to understand the duties and function of the Committee, provided however, that in the event that there are less than three independent Members, the Members may appoint non-independent Members, provided that the independent Members constitute a majority of the Members of the Committee. In addition, the membership of

the Committee shall include the Chair of the Authority who shall serve ex-officio and who shall enjoy all the rights and privileges of membership, including the right to vote. A majority of the members of the Committee then in office, not including the Chair of the Authority, gathered together in the presence of each other or through the use of videoconferencing, shall constitute a quorum, and the Chair of the Authority if present shall be counted toward a quorum.

The Members of the Authority will appoint the Chair of the Committee.

Committee members shall be familiar with corporate financial and accounting practices and at least one should be a financial expert. The financial expert should have: (a) an understanding of generally accepted accounting principles and financial statements; (b) experience in preparing or auditing financial statements of comparable entities; (c) experience in applying such principles in connection with the accounting for estimates, accruals and reserves; (d) experience with internal accounting controls; and (e) an understanding of audit committee functions.

Meetings

The Committee will meet, as necessary, and special meetings may be called in accordance with the Bylaws. Members of the Committee are expected to attend each committee meeting, in person or by video conference. The Committee may invite other individuals to attend meetings and provide pertinent information, as necessary.

The Committee will meet with the independent auditor at least annually to discuss the financial statements of the Authority.

Notices of meetings will be prepared in accordance with the By-laws and agendas and briefing materials will be prepared and provided to the Committee members. To the extent practicable, briefing materials will be provided to the Committee members not less than 5 business days prior to each meeting. Minutes of each meeting will be maintained in a central location at the Authority's principal office.

Meetings will be conducted in accordance with the open meetings law.

Responsibilities

The Committee will be responsible for reporting on significant material matters related to its: (a) oversight of the independent auditors and financial statements; (b) oversight of internal audit activities; (c) oversight of management's internal controls, compliance, and risk assessment practices; (d) review and approval or recommendations concerning approval of Authority financings; (e) review and recommendations concerning approval of investments and investment activities; (f) oversight of special investigations and whistle blower policies; and (g) review or

oversight of any other issues related to the audit and finance practices of the Authority as it deems appropriate.

Independent Auditors and Financial Statements. The Committee will:

- recommend appointment and approval of compensation and oversee independent auditors retained by the Authority and approve all audit services provided by the independent auditor;
- review the procedures for the selection of the independent auditor to ensure compliance with procurement guidelines, internal controls, and any other applicable requirements. The independent auditors will be prohibited from providing non-audit services unless having received previous written approval from the Committee (Non-audit services include tasks that directly support operations, such as bookkeeping or other services related to the accounting records or financial statements of the Authority, financial information systems design and implementation, appraisal or valuation services, actuarial services, investment banking services, and other tasks that may involve performing management functions or making management decisions);
- review audited financial statements and the associated management letter, and report on internal controls and all other auditor communications;
- review significant accounting and reporting issues, new accounting requirements and understand their impact on the financial statements;
- meet with the independent audit firm and discuss any significant issues that may have surfaced during the course of the audit; and
- review and discuss any significant risks reported in the independent audit findings and recommendations and assess the responsiveness and timeliness of management's follow-up activities.

Internal Audit and Other Activities. The Committee will:

- approve the Internal Audit Section of the Internal Control Manual and the risk-based audit plan on an annual basis;
- provide input to the Director of Internal Audit on the internal audit function's budget and resource plan;
- review with management and the Director of Internal Audit, the audit plans and

activities of the internal audit function.

- approve significant changes to the risk-based audit plan;
- receive communications from the Director of Internal Audit regarding internal audit's performance related to the audit plan and other matters;
- approve the appointment or removal of the Director of Internal Audit;
- ensure that the internal audit function is organizationally independent from operations and that the Director of Internal Audit confirms, at least annually, the organizational independence of the internal audit activity;
- make appropriate inquiries of management and the Director of Internal Audit to determine whether there are inappropriate scope or resource limitations;
- review the internal audit function's conformance with the Institute of Internal Auditors' International Professional Practices Framework, including the Definition of Internal Auditing, the Core Principles for the Professional Practice of Internal Auditing, the Code of Ethics, and the *International Standards for the Professional Practice of Internal Auditing* (the *Standards*), and action plans to address any significant conformance issues;
- as permitted by law, meet separately with the Director of Internal Audit to discuss any matters that the Audit and Finance Committee or the Director of Internal Audit believes should be discussed privately;
- review the results of audit activities and approve procedures for implementing accepted recommendations of the internal auditor; and
- review the results of audits performed by the Office of the State Comptroller and any other federal or State agencies, and procedures for implementing accepted recommendations of the audit.

Internal Controls, Compliance, and Risk Assessment Practices. The Committee will:

- review internal controls policies and procedures; and
- review annually management's assessment of the effectiveness of internal controls; and review the report on compliance with internal controls by the independent auditors as a part of the financial audit engagement.

Authority Financings. The Committee will:

- review and approve declarations of intent;
- review Authority financings;
- review and make recommendations concerning proposals for issuance of debt; and
- review and make recommendations concerning approval of an annual bond sale report.

Investment Activities. The Committee will:

- require the Authority's independent auditors to perform a review of, and issue a report on, the Authority's compliance with Section 201.3 of Title Two of the New York Codes, Rules, and Regulations, under *Government Auditing Standards* issued by the Comptroller General of the United States;
- review the quarterly, annual, and any other periodic investment reports, and recommend to the Members of the Authority such changes in the annual investment report as it deems necessary or appropriate; and
- review not less frequently than annually the Investment Guidelines, and recommend to the Members of the Authority such changes in the Investment Guidelines as it deems necessary or appropriate.

Special Investigations. The Committee will:

- ensure availability of an appropriate confidential mechanism for individuals to report suspected fraudulent activities, allegations of corruption, fraud, criminal activity, conflicts of interest or abuse by the directors, officers, or employees and any persons having business dealings with the Authority, and breaches of internal control;
- review and recommend approval of procedures for the receipt, retention, investigation and referral of complaints concerning accounting, internal controls, and auditing to the appropriate body;
- request and oversee special investigations as needed and refer specific issues to the appropriate body for further investigation; and
- review all reports delivered to it by the Inspector General and serve as a point of

contact with the Inspector General.

Other Responsibilities. The Committee will:

- report significant material issues to the Members;
- obtain information and assess training needs to enhance the Committee members' understanding of the role of internal audits and the independent auditor, the risk management process, internal controls, and familiarity with financial reporting standards;
- review the Committee's charter, reassess its adequacy, and recommend proposed changes, as it deems appropriate; and
- review and make recommendations concerning approval of the annual report required by the Public Authorities Law and such other guidelines, policies, procedures, and reports, as deemed appropriate.

PROGRAM PLANNING COMMITTEE CHARTER

Established September 20, 1983

Purposes

Pursuant to Article V, Section 5 of the Authority's By-Laws, the purposes of the Committee are to: review the annual updating of the Authority's Strategic Program Plan; and preparation of the portions of the Authority's annual budget related to the energy research and innovation, market development, clean energy financing, and other related programs and initiatives; provide guidance to the Authority's officers and employees in the preparation of those plans and those portions of the budget; and shall consider such other matters related to the Authority's innovation, market development, clean energy financing, and other related programs and initiatives as the officers of the Authority may refer to the Committee.

Powers of the Committee

The Committee will:

- review the annual update of the Authority's Strategic Program Plan;
- review preparation of the portions of the Authority's annual budget related to innovation, market development, clean energy financing, and other related programs and initiatives;
- consider such other matters related to the Authority's innovation, market development, clean energy financing, and other related programs and initiatives as the officers of the Authority may refer to the Committee; and
- meet with and request information from Authority staff as necessary.

The Committee shall have sufficient resources to carry out its duties.

Composition and Selection

The Committee shall be established as set forth in and pursuant to Article V, Section 5 of the Authority's By-Laws. The Committee shall be a standing advisory committee of the Authority. The Committee shall have not fewer than three nor more than six Members, who shall be elected from among the Members of the Authority other than the Chair. A majority of these committee members shall be other than Members of the Authority who serve ex-officio. In addition, the membership of the Committee shall include the Chair of the Authority, who shall serve ex-officio and who shall enjoy all the rights and privileges of membership, including the right to vote. A majority of the members of the Committee then in office, not including the

Chair, gathered together in the presence of each other or through the use of videoconferencing, shall constitute a quorum, and the Chair of the Authority if present shall be counted toward a quorum.

The Members of the Authority will appoint the Chair of the Committee.

Meetings

The Committee will meet, as necessary, and special meetings may be called in accordance with the By-laws. Members of the Committee are expected to attend each committee meeting, in person or by video conference. The Committee may invite other individuals to attend meetings and provide pertinent information, as necessary.

Notices of meetings will be prepared in accordance with the By-Laws and agendas and briefing materials will be prepared and provided to the Committee members. To the extent practicable, briefing materials will be provided to the Committee members not less than 5 business days prior to each meeting. Minutes of each meeting will be maintained in a central location at the Authority's principal office.

Meetings shall be conducted in accordance with the open meetings law.

Responsibilities

The Committee will be responsible for reporting to the Members on significant and material matters related to: (a) the annual updating of the Authority's Strategic Program Plan; (b) the preparation of the portions of the Authority's annual budget related to innovation, market development, clean energy financing, and other related programs and initiatives; (c) such other matters related to the Authority's innovation, market development, clean energy financing, and other related programs and initiatives as the officers of the Authority may refer to the Committee;

Review the annual update of the Strategic Program Plan. The Committee will:

- review the process Authority staff uses to prepare the Strategic Program Plan;
- review a draft of the Strategic Program Plan document; and
- recommend such revisions to the process and document as the Members deem necessary.

Review the preparation of the portions of the Authority's annual budget related to innovation, market development, clean energy financing, and other related programs and initiatives. The Committee will:

- review drafts of the annual spending plan and the annual operating budget with respect to the program areas within its jurisdiction;
- recommend such revisions to these documents as the Members deem necessary;
- review any proposed revisions by the Officers to the operating budget with respect to the program areas within its jurisdiction.

Provide guidance in the preparation of plans and budgets. The Committee will:

- receive reports from Authority staff concerning progress in the various program areas within its jurisdiction;
- make such recommendations with respect to program direction and planning as the Members deem necessary.

Consider such other matters related to the Authority's programs within its jurisdiction as the officers of the Authority may refer to the Committee. The Committee will:

- receive reports on any other matters with respect to the program areas within its jurisdiction as the officers of the Authority may refer to the Committee and make such recommendations on such matters as the Members deem necessary.

WASTE AND FACILITIES MANAGEMENT COMMITTEE CHARTER

Established September 21, 1987

Purposes

Pursuant to Article V, Section 6 of the Authority's By-laws, the purposes of the Waste and Facilities Management Committee are to: review the Authority's program and plans for management of the Western New York Nuclear Service Center, including the West Valley Demonstration Project, and for radioactive waste policy and nuclear coordination; to review the preparation of the Authority's annual West Valley site management program and radioactive waste policy and nuclear coordination budgets; to provide guidance to the Authority's officers and employees in the preparation of the plans and in preparation of such annual program budgets; and to consider such other matters related to West Valley site management and radioactive waste policy and nuclear coordination as the officers of the Authority may refer to the Committee.

Powers of the Committee

The Committee will:

- review the Authority's program and plans for management of the Western New York Nuclear Service Center, including the West Valley Demonstration Project, and for radioactive waste policy and nuclear coordination;
- review the preparation of the Authority's annual West Valley site management program and radioactive waste policy and nuclear coordination budgets;
- provide guidance to the Authority's officers and employees in the preparation of the plans and in preparation of such annual program budgets;
- consider such other matters related to West Valley site management and radioactive waste policy and nuclear coordination as the officers of the Authority may refer to the Committee;
- review the Authority's plans and provide guidance for the facilities unrelated to operations owned by or under the control of the Authority; and
- meet with and seek assistance from Authority staff as necessary.

The Committee shall have sufficient resources to carry out its duties.

Composition and Selection

The Committee shall be a standing advisory committee of the Authority. The Committee shall have not fewer than three nor more than six members, who shall be elected from among the Members of the Authority other than the Chair. A majority of these committee members shall be other than Members of the Authority who serve ex-officio. In addition, the membership of the Committee shall include the Chair of the Authority, who shall serve ex-officio and who shall enjoy all the rights and privileges of membership, including the right to vote. A majority of the members of the Committee then in office, not including the Chair of the Authority, gathered together in the presence of each other or through the use of videoconferencing, shall constitute a quorum, and the Chair of the Authority if present shall be counted toward a quorum.

The Members of the Authority will appoint the Chair of the Committee.

Meetings

The Committee will meet, as necessary, and special meetings may be called in accordance with the By-laws. Members of the Committee are expected to attend each committee meeting, in person or by video conference. The Committee may invite other individuals to attend meetings and provide pertinent information, as necessary.

Notices of meetings will be prepared in accordance with the By-laws and agendas and briefing materials will be prepared and provided to the Committee members. To the extent practicable, briefing materials will be provided to the Committee members not less than 5 business days prior to each meeting. Minutes of each meeting will be maintained in a central location at the Authority's principal office.

Meetings shall be conducted in accordance with the open meetings law.

Responsibilities

The Committee will be responsible for reporting to the Members on significant and material matters related to: (a) the Authority's program and plans for management of the Western New York Nuclear Service Center, including the West Valley Demonstration Project, and for radioactive waste policy and nuclear coordination; (b) the preparation of the Authority's annual West Valley site management program and radioactive waste policy and nuclear coordination budgets; ~~and~~ (c) such other matters related to West Valley site management and radioactive waste policy and nuclear coordination as the officers of the Authority may refer to the Committee; and (d) the exercise of oversight over Authority facilities unrelated to operations that are either owned by or under the control of the Authority.

Review the Authority's program and plans for management of the Western New York Nuclear Service Center, including the West Valley Demonstration Project, and for

radioactive waste policy and nuclear coordination. The Committee will:

- receive and review reports from the Authority's officers and staff regarding the program and plans;
- recommend such revisions to the program and plans as the Committee deems necessary.

Review the preparation of the Authority's annual West Valley site management program and radioactive waste policy and nuclear coordination budgets. The Committee will:

- review drafts of the annual spending plan and the annual operating budget with respect to the West Valley site management and radioactive waste policy and nuclear coordination programs;
- recommend such revisions to these documents as the Members deem necessary;
- review any proposed revisions by the Officers to the operating budget with respect to the West Valley site management and radioactive waste policy and nuclear coordination programs.

Consider such other matters related to the Authority's programs within its jurisdiction as the officers of the Authority may refer to the Committee. The Committee will:

- receive reports on any other matters with respect to the West Valley site management and radioactive waste policy and nuclear coordination programs as the officers of the Authority may refer to the Committee and make such recommendations on such matters as the Members deem necessary.

Exercise oversight over Authority facilities unrelated to operations that are either owned by or under the control of the Authority:

- receive reports related to Authority facilities unrelated to operations that are either owned by or under the control of the Authority, and make such recommendations on such matters as the Members deem necessary.

Resolution No. _____

RESOLVED, the Audit and Finance Committee Charter, the Governance Committee Charter, the Program Planning Committee Charter, and the Waste and Facilities Management Committee Charter, as presented to the Members for consideration at this June 22, 2021 meeting, with such non-substantive, editorial changes and grammatical changes as the President and Chief Executive Officer, in their discretion, may deem necessary or appropriate, are approved..

New York State Energy Research and Development Authority

Operations, Accomplishments, Mission Statement, and Performance Measurement Annual Report

Fiscal Year Ended March 31, 2021

Final Report | June 2021

NYSERDA's Promise to New Yorkers:

NYSERDA provides resources, expertise, and objective information so New Yorkers can make confident, informed energy decisions.

Our Vision:

New York is a global climate leader building a healthier future with thriving communities; homes and businesses powered by clean energy; and economic opportunities accessible to all New Yorkers.

Our Mission:

Advance clean energy innovation and investments to combat climate change, improving the health, resiliency, and prosperity of New Yorkers and delivering benefits equitably to all.

New York State Energy Research and Development Authority
Operations, Accomplishments, Mission Statement,
and Performance Measurement
Annual Report

Fiscal Year Ended March 31, 2021

Pursuant to Public Authorities Law Section 2800(1)

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1 NYSERDA

The New York State Energy Research and Development Authority (NYSERDA) is governed by a board consisting of 13 members, including the Commissioner of the Department of Transportation, the Commissioner of the Department of Environmental Conservation, the Chair of the Public Service Commission, and the President and CEO of the Power Authority of the State of New York, who serve ex officio. The remaining nine members are appointed by Governor Andrew M. Cuomo of the State of New York with the advice and consent of the Senate and include, as required by statute, an engineer or research scientist, an economist, an environmentalist, a consumer advocate, an officer of a gas utility, an officer of an electric utility, and three at-large members.

2 Mission, Vision, and Promise

Our Vision: New York is a global climate leader building a healthier future with thriving communities; homes and businesses powered by clean energy; and economic opportunities accessible to all New Yorkers.

Our Mission: Advance clean energy innovation and investments to combat climate change, improving the health, resiliency, and prosperity of New Yorkers and delivering benefits equitably to all.

Our Promise: NYSERDA provides resources, expertise, and objective information so New Yorkers can make confident, informed energy decisions.

3 Operational Changes and New Initiatives

This section begins with information on major clean energy policies that were enacted in 2020 and represent key drivers and context for NYSERDA's programs. This section continues to include a description of each of NYSERDA's new initiatives. Several of the new initiatives this year modify and build upon prior offerings.

3.1 Climate Leadership and Community Protection Act (Climate Act)

The Climate Leadership and Community Protection Act (Climate Act), signed July 2019 and effective January 1, 2020, is the most aggressive climate change program in the nation and puts the State on a path to complete carbon-neutrality across all sectors of the economy, including power generation, transportation, buildings, industry, and agriculture. The Climate Act has set the State target to reduce greenhouse gas (GHG) emissions from all anthropogenic sources 85 percent below 1990 levels by the year 2050, including an interim target of a zero-carbon emissions electricity sector by the year 2040 and mandates the following:

- Six thousand megawatts (MW) of solar by 2025
- Seventy percent renewable electricity by 2030
- Nine thousand MW of offshore wind by 2035
- Carbon-free electricity by 2040
- Three thousand MW of Energy Storage by 2030
- Disadvantaged communities shall receive no less than thirty-five percent of the overall benefits of spending on clean energy and energy efficiency programs, projects, or investments

With these goals, New York State is undertaking one of the most aggressive clean energy agendas in the nation. Through the CEF and its other portfolios, NYSERDA works to foster the transformation of markets, pushing them to accurately value clean energy, energy efficiency, and resilience while encouraging competition and innovation that delivers value to consumers so that the State can achieve its aggressive clean energy goals.

3.2 Offshore Wind

In January 2020, NYSERDA submitted a petition with the Public Service Commission (PSC) requesting authority to conduct a 2020 solicitation for at least 1,000 MW of Offshore Wind Renewable Energy Credits, with flexibility to accept bids up to 2,500 MW. On April 23, 2020, the PSC issued an order approving NYSERDA's petition. In its evaluation process, NYSERDA will consider the costs and

benefits of procuring from a range of project proposals that may reflect a variety of project capacities and economic benefit proposals, including port infrastructure investments. In July 2021, NYSERDA issued the second solicitation¹ seeking to procure Offshore Wind Renewable Energy Certificates associated with 1,000 megawatts or more of offshore wind energy, coordinated with a potential \$400 million opportunity in public and private investments in port infrastructure.

In January 2021,² NYSERDA has initially selected two offshore wind projects for contract negotiation under its second solicitation for offshore wind, Empire Wind 2 and Beacon Wind from Equinor Wind US LLC (Equinor). Combined, the projects total nearly 2,500 megawatts and leverage almost \$3 of private funding for every \$1 of public funding for a combined \$644 million in investments for resilient port facilities in the Capital Region and Brooklyn.

3.3 NY-Sun

In May 2020, the Public Service Commission issued an Order Extending and Expanding Distributed Solar Incentives that authorizes an additional \$573 million in funding for NY-Sun to support the new State goal of installing 6 gigawatts (GW) of solar capacity by 2025. The Order included \$135 million for projects benefitting low- to moderate-income (LMI) customers, affordable housing, environmental justice communities, and disadvantaged communities, referred to as the Solar Energy Equity Framework. The Order also included 1,810 MW of new capacity for Commercial/Industrial solar, and a Community Solar-specific incentive adder called the Community Adder.

3.4 Low- and Moderate-Income Households

Pursuant to the January 16, 2020 Order Authorizing Utility Energy Efficiency and Building Electrification Portfolios through 2025, and subsequent LMI Joint Implementation Plan, NYSERDA, and New York State Utilities have developed a Statewide LMI Portfolio, inclusive of CEF and utility investments with the objectives of increasing the impact of ratepayer funds, improving customer experience, and reaching more LMI households and affordable multifamily buildings with energy efficiency services. Through this framework, NYSERDA and the State's investor-owned utilities

¹ Purchase of Offshore Wind Renewable Energy Certificates; Request for Proposals ORECRFP20-1. <https://portal.nyserda.ny.gov/servlet/servlet.FileDownload?file=00Pt0000000OPfCVEA1>

² NYSERDA 2021 Announcements. <https://www.nyserda.ny.gov/About/Newsroom/2021-Announcements/2021-01-13-Governor-Cuomo-Outlines-2021-Agenda-Reimagine-Rebuild-Renew>

will increase access to energy efficiency and clean energy solutions for LMI households and affordable multifamily buildings. Over the course of 2020, while still implementing current LMI programs, NYSERDA worked with the utilities to develop and refine LMI offerings with new and modified initiatives expected in 2021.

The NYSERDA portion of the LMI Joint Implementation Plan includes standard-offer incentive programs such as EmPower New York and the Multifamily Performance Program which provide incentives to offset the cost of accessing clean energy solutions; investments in longer-term market development through initiatives such as RetrofitNY and the Healthy Homes Value-Based Payments Pilot; and outreach and education initiatives such as the Low-Income Forum on Energy (LIFE) and its new Clean Energy Hub.

On-going CEF allocations to LMI initiatives are made as part of the Statewide LMI Portfolio in a manner that is complementary to utility investments to increase the impact of ratepayer funds allocated to the LMI market segment and to deliver benefits to disadvantaged communities. The CEF LMI portfolio is informed by stakeholder engagements, demonstrated market needs, and the ongoing work with the New York State utilities under New Efficiency: New York and guided by the principles in the Climate Act.

3.5 New York Clean Heat

Pursuant to the January 16, 2020 Order Authorizing Utility Energy Efficiency and Building Electrification Portfolios through 2025, NYSERDA developed a Statewide Clean Heating and Cooling Portfolio, inclusive of CEF and utility investments, with the objectives to provide customers, contractors, and other heat pump solution providers a consistent experience and business environment throughout New York State. Whereas prior to the NYS Clean Heat Program, NYSERDA and some utilities offered separate and varying heat pump programs, the program represents a shift to a consistent statewide heat pump program designed to achieve the State's ambitious heat pump goals and build the market infrastructure for a low-carbon future. The NYS Clean Heat program includes a range of initiatives to advance the adoption of efficient electric heat pump systems for space and water heating applications throughout New York State. The program offers the suite of incentives provided by the NY Electric Utilities to support customer adoption of eligible heat pump technologies—both cold climate air source and ground source systems, as well as their promotion and pricing by contractors

and other heat pump solution providers. The program is implemented in coordination with a portfolio of NYSERDA-led market development initiatives which aim to build market capacity to deliver building electrification solutions. The market development efforts include support for training and qualification of contractors, processes to assure quality installations, and marketing and education to help customers understand and select among options and to operate systems optimally.

3.6 Clean Energy Fund

During 2020, NYSERDA accomplished several key milestones related to the ongoing CEF, including the development and launch of two new initiatives: Multifamily and Heat Pumps Phase 2, as well as modifications to several existing initiatives. This section describes the evolution of the CEF portfolio, which began with the first initiatives introduced in 2016³ and continued to build in 2020.

In positioning the organization for success under the CEF, NYSERDA is continuing to streamline operations to become more responsive, adaptive, and easier to engage. NYSERDA also orients more towards a strategic, market-based approach to identify and size market opportunities as well as to uncover and address customer value propositions in a meaningful way. At this time, the CEF is undergoing a review by the Public Service Commission, as planned to occur periodically during the CEF 10-year timeframe. The review of the CEF is intended to assess the effectiveness of CEF investments and lay the groundwork for the next phase of the CEF toward meeting the long-term portfolio goals.

In this context, on December 29, 2020 NYSERDA filed a petition⁴ with the Commission requesting authorization for optimization and continuation of the portfolio. Specifically, the petition presents NYSERDA's overall vision for optimizing the CEF in light of major drivers of change, focusing on specific elements of the portfolio requiring adjustment and refinement going forward, and therefore, consideration before the Commission. This vision for CEF optimization seeks to calibrate the portfolio to reflect the many noteworthy policy and market changes that have occurred since the launch of the CEF in 2016, including the historic passage of the Climate Act, while also preserving vital continuity and stability within the CEF portfolio and with New York State's broader New Efficiency: New York

³ <http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={B23BE6D8-412E-4C82-BC58-9888D496D216}>

⁴ Petition Regarding Clean Energy Fund Triennial Review <http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={4E13BDD5-2FB9-4F65-B7E5-C2D25A20F2DD}>

framework. As part of this review, the petition proposes reviewing and resetting the high-level goals of the CEF to align with achieving the Climate Act mandates. The newly proposed goals, which are part of the consideration in the CEF review, will build upon progress to date and further strengthen all four CEF portfolios and their prominent role in meeting the State’s aggressive clean energy agenda.

The following sections provide a description of new CEF initiatives approved in the reporting year, although some, but not all, have been launched in this period.

3.6.1 Clean Heating and Cooling

The Clean Heating and Cooling chapter, formerly Renewable Heating and Cooling, was modified in 2020 to add the Heat Pumps Phase 2 (2020) initiative, which supports the NYS Clean Heat Program goals established in the Public Service Commission January 2020 Order. These initiatives will seek to rapidly accelerate market capacity and adoption of heat pumps across New York State. Starting in quarter 2 2020, the utilities began administering the NYS Clean Heat Statewide Heat Pump incentive program. To achieve the heat pump goals and build the market infrastructure for a low-carbon future, the utility incentive program will be paired with market development initiatives implemented by NYSERDA. NYSERDA will invest approximately \$230 million in market enabling initiatives funded through Clean Energy Fund (CEF). Across its component initiatives, the NYS Clean Heat Market Development Plan aims to build market capacity to deliver building electrification solutions—including cold climate air source heat pumps (ASHP), water and ground source heat pumps (GSHP), and heat pump water heaters (HPWH)—in order to meet the following central goals by 2025:

- Help achieve the State’s energy savings targets from the installation of heat pumps.
- Increase the pool of skilled labor needed to grow a quality-oriented industry, training 14,000 workers across the heat pump supply chain, including 4,200 workers to sell, design, and install systems.
- Reduce the cost of heat pump installations by at least 25 percent.
- Increase stocking of heat pumps by 50 percent above 2019 industry shipments and increase penetration of high-performance cold climate heat pumps to 90 percent of all heat pumps shipped for space conditioning in New York State.

3.6.2 Multisector Solutions

NYSERDA administers six initiatives aimed at broadly supporting the development and deployment of clean and renewable sources of energy, a more efficient and responsive grid, and more energy-efficient buildings across more than one targeted market segment. These multisector solutions will address cross-cutting barriers and opportunities applicable to multiple sectors, including reducing soft costs, providing technical assistance, and increasing confidence in clean energy solutions. There are six active initiatives: Technical Services, Market Challenges, Clean Energy Siting and Soft Cost Reduction, Information Products and Brokering, Pay for Performance (P4P), and Consumer Awareness. During 2020, Market Challenges, the initiative previously approved as Commercial and Industrial Carbon Challenge, has been expanded to encompass a new sub-component called “Empire Building Challenge” which is aimed at supporting the broader NY Clean Heat Market Development Plan. The Consumer Awareness initiative has been rescoped to coincide with a similar effort being undertaken in support of the NY Clean Heat effort; the plan contained here will no longer have a statewide focus. Technical Services has been revised to add funding to continue Commercial sector support and additional funding to expand support for the Multifamily sector. The Clean Energy Siting & Soft Cost Reduction initiative has been revised—adding activities to support the initial launch of the Build Ready program, in accordance with the Accelerated Renewable Energy Growth and Community Benefit Act.

3.6.3 Building Innovation

The NextGen HVAC initiative was originally approved in 2016 and focuses primarily on commercialization of advanced building technologies, technology validation to drive market impact, and fostering strategic partnerships between market participants, manufacturers, and the innovation community. The goal of the initiative is to develop, demonstrate, commercialize, and de-risk solutions that can deliver better performance and cost reductions. The initiative was modified in 2020 to add an additional \$15 million for building electrification activities as part of the NY Clean Heat Market Development Plan.

3.6.4 Multifamily

The multifamily initiative was approved for \$37 million during 2020 to provide resources to help building owners and managers better understand how to plan for and implement low-carbon projects, demonstrate and de-risk the installation and performance of low-carbon solutions such as building

electrification, integrated heating, ventilation and air conditioning (HVAC) systems, and advanced envelope solutions in a variety of multifamily building types, and quantify the non-energy benefits of low-carbon technologies to build confidence in the ability of low-carbon projects to drive property value beyond reduced utility bills.

These activities support the NYS Climate Leadership and Community Protection Act (Climate Act) by focusing on the tools and technologies needed to decarbonize New York State's existing multifamily building stock in support of our carbon reduction targets. These activities also help achieve NYS State Energy Plan goals to reduce GHG emissions through increasing awareness and consideration of energy efficiency technologies. The program will leverage and expand the reach of other NYSED and utility programs to help make low-carbon projects more cost-effective for multifamily owners and managers.

3.6.5 Workforce Development and Training

The Buildings Operations and Maintenance Partnerships initiative (formerly Workforce Development and Industry Partnerships) was originally approved in 2016 to help identify worker skill needs, inform investments in skills and talent development, support career pathways, and develop the training infrastructure needed to better link supply and demand in the labor market. The initiative was modified in 2020 to provide more funding for additional projects serving workforce training needs for large building portfolios, including operations and maintenance of building electrification systems as appropriate. The Talent Pipeline initiative (formerly Clean Technology and Energy Efficiency Talent Pipeline) was approved in 2018 as a proactive approach to defining, attracting, and developing the right mix of critical talent that is responsive to industry needs and market demand. Through increasing training capacity, incentivizing businesses to train new hires through on-the-job training, and supporting an internship program, the initiative will ensure that the State has the skilled workers necessary to meet clean energy and energy efficiency business needs. The initiative was modified in 2020 to add funding to support NY Clean Heat including targeted training in the following areas: critical needs related to NY Clean Heat incentive programs; a new career pathway training program for new workers from priority populations; new building electrification training programs and increased training capacity for designers, installers, technical sales staff and associated professional service workers; and increased incentives for companies hiring new heat pump workers.

3.6.6 Large Scale Renewables

The Offshore Wind Pre-Development and Offshore Wind Master Plan initiatives were originally approved in 2016 to focus on reducing the cost of OSW in New York State, as the State has specified its intention to work toward a meaningful long-term commitment to develop the OSW resource to maximize the energy, climate, and economic value. During 2020, the Office of Renewable Energy Siting (ORES) Support initiative was approved for \$9 million to support ORES, which was created to streamline the permitting process for large scale renewables in the State, resulting in faster turnaround times, reduced costs, and increased clarity with respect to technical and legal project requirements.

3.6.7 Commercial

NYSERDA administers four initiatives aimed to enable business models in the commercial sector that can broadly impact a diversity of buildings, owners, tenants, and businesses. The commercial strategy is anchored by decision-makers in their ability to more easily determine options and have confidence in investment decisions. NYSERDA's efforts in reducing soft costs and time frames and supporting credentialing, matchmaking, and quality assurance in the marketplace will pave the way for increased investment opportunities and more affirmative investment decisions. In early 2021, the Energy Management Technology initiative sunset its Real Time Energy Management program for commercial and industrial sectors due to high levels of market uptake and achievement of market exit goals. New funds were added to this initiative to continue to support market growth of Real Time Energy Management in Multifamily buildings. In addition, funds were added to this initiative for a new program "RTEM + Tenants" that will support energy management systems and services in leased commercial office spaces. To enable this new "RTEM + Tenants" investment, the Real Estate Tenant initiative funding was reduced by \$10 million, with these funds transferred to the Energy Management Technology initiative.

3.6.8 Innovation Capacity and Business Development

The Cleantech Startup Growth, Manufacturing Corps, and Novel Business Model initiatives were originally approved in 2017 to support a vibrant, self-sustaining clean energy technology innovation ecosystem that will accelerate the growth and scale of new business ventures focused on serving the clean energy market in New York State. Activities are designed to catalyze innovative and entrepreneurial activity in the State from the ideation stage accelerating that stage toward the development of solutions to meet market-defined clean energy technology needs and opportunities, while also providing the support infrastructure and mentorship to build the entrepreneurial and management skills necessary to increase

the likelihood of the commercial success of the ventures. During 2020 and early 2021, the Cleantech Startup Growth initiative was modified. The first update modified the Ignition Grant program and increased funding to support successful early-stage entrepreneurs providing direct financial support in response to the COVID-19 economic crisis. The second update modified the Proof of Concept Centers program and funding to reflect the Corporate Challenges' model, increased funding to extend incubator operations for an additional year and added the Carbontech Development Initiative program. The Carbontech Development Initiative will fund research, technology transfer, and commercialization of carbontech solutions and entrepreneurial fellowships. It is designed to bring carbontech innovations from lab to market (e.g., building materials, fuels, and chemicals) and, in turn, bring economic benefits to New York State.

4 Program Accomplishments

NYSERDA's activities are focused on achieving the five strategic goals/outcomes titled, Efficient Use of Energy, Renewable and Diverse Energy Supplies, Clean Energy Economy, A Cleaner Environment, and Contract and Cycle Time/Accessibility, as shown in Table 1. NYSERDA's 2020 accomplishments are organized and reported in alignment with these five strategic outcomes. The accomplishments are stated in a cross-program manner, and notably, include results spanning pre-CEF and CEF initiatives.

Table 1. Mission, Vision, Outcomes

Mission	Advance innovative energy solutions in ways that improve the State's economy and environment.				
Vision	Advance clean energy innovation and investments to combat climate change, improving the health, resiliency, and prosperity of New Yorkers, and delivering benefits equitably to all.				
Stakeholders	New York State energy users, businesses, and institutions engaged in the clean energy economy.				
Core Value	NYSERDA will serve as a source of objective, credible information.				
Strategic Goals/Outcomes	Efficient Use of Energy NYSERDA reduces market barriers and spurs demand for energy solutions that reduce the energy consumption and increase the energy efficiency of New York State's residents and businesses.	Renewable and Diverse Energy Supplies NYSERDA diversifies New York State's portfolio of energy resources by accelerating development of renewable and distributed generation resources.	Clean Energy Economy NYSERDA catalyzes technology innovation, new business opportunities, and private investment in clean energy in New York State.	A Cleaner Environment NYSERDA enables markets for new clean energy products and services that can produce meaningful reductions in the environmental impact of energy production and use.	Contract and Cycle Time, Accessibility NYSERDA is responsive to customer needs by delivering accurate and timely information, services, and programs.

Tables 2 through 7 provide performance information for each of the five outcomes, including data that describes NYSERDA's annual incremental commitment performance for calendar year 2020, cumulative commitments through December 2020, and the total cumulative acquired progress achieved through December 2020.

Targets for calendar year 2021 are also provided for performance measures, when possible. Beginning this year, NYSERDA's targets are expressed on an acquired basis (i.e., having to do with when funds are expended and projects completed), as acquired-based targets are consistent with NYSERDA proposed targets for the Clean Energy Fund. Acquired-based targets place a greater emphasis on putting money to work in the market and completing projects in a timely manner.

Each metrics table contains three columns on the left side representing a commitment-based view and two columns on the right side representing the acquired-based view.

- The column Target CY 2020 Commitments Addition represents the expected target NYSERDA set in the prior year for additional commitments made during this calendar year.
- The column Achieved CY 2020 Commitments Addition represents the commitments NYSERDA achieved during this calendar year.
- The column Cumulative Committed Benefits at End of 2020 represents NYSERDA's total benefits expected from projects committed through 2020, representing the current pipeline.
- The column Cumulative Acquired Benefits at End of CY 2020 represents the total benefits NYSERDA achieved from projects that have been completed through 2020.
- The column Acquired Target CY 2021 Addition represents NYSERDA's expected target for new acquired benefits achieved during the calendar year.

The quantitative performance measurement data are supplemented with contextual information, as needed and when available, and highlights of additional 2020 accomplishments.

While the listed performance measures are used to evaluate NYSERDA's progress toward goals, many of the measures are influenced by factors that are out of NYSERDA's direct control, such as economic conditions, changes in energy markets and prices, and federal and State policy and funding decisions. The measures are intended to serve as indicators of progress in the context of these external factors.

4.1 Acknowledging COVID-19 Impact

NYSERDA's forecasts have typically been completed annually during the first two months of the new calendar year so they can be fully informed by how the last calendar year ended. As such, the forecasts developed in early 2020, including the 2020 targets in this report, were completed prior to the COVID-19 pandemic and the resulting New York on PAUSE Executive Order and subsequent executive orders which placed a priority on the safety and health of every New Yorker. As a result, NYSERDA paused all on-site work conducted by contractors for all clean energy programs through May 15, 2021. Even as field work resumes, the pandemic will have had

far-reaching impacts on the economy significantly affecting both residents and businesses, with the full extent of this impact still unknown. NYSERDA is committed to driving continued progress toward NYS's clean energy goals and is examining ways that programs can support those goals while also aiding in the State's economic recovery. At this time, the targets in this report for 2020 represent pre-pandemic expectations, with the recognition that actual progress must be viewed in the unprecedented context of 2020 that it will be necessary to account for the lasting impact of the pandemic in future targets once the full impact and all elements of the recovery are better understood.

Table 2 presents NYSERDA's progress toward the efficient use of energy performance measures.

During 2020, NYSERDA's prior round of programs under the Energy Efficiency Portfolio Standard wrapped up, while current initiatives and modifications to existing initiatives under CEF continue to be ramp up. Performance against energy efficiency delivery targets shown in Table 2 (electricity and fuel saved) fell short of the targets for both MWh, MMBtu, and energy bill savings. Many CEF programs that involved customer-facing activities or in-facility engagement ceased operation for at least an entire quarter of 2020. While the pause and renewal of engagement varied across the portfolio, nearly all programs were impacted in terms of their progress toward these targets. Note that the switch from committed to acquired targets for 2021, and the timing stage of most of NYSERDA's energy efficiency programs, is partly responsible for the lowered target values seen in the table.

Table 2. Performance Measures—Efficient Use of Energy

Performance Measures	Commitment Pipeline			Acquired Benefits	
	Target CY 2020 Addition ^c	Achieved CY 2020 Addition	Total (Cumulative) at the End of CY 2020	Total (Cumulative) at the End of CY 2021	Target CY 2021 Addition
Electricity^a (GWh) saved annually due to improved energy efficiency in New York State's buildings and facilities.	922.2	575	1,860	8,436 ^b	565
Fossil Fuels^a (MMBtu) saved (in millions) annually due to improved energy efficiency in New York State's buildings and facilities.	4.5	2.4	7.1	24.7	2.9
Energy Bill Savings Annual direct energy bill savings realized by participating customers (all programs).	\$159	\$117	\$313	\$1,600	\$95

^a Starting in 2016, targets and achieved values include, consistent with NYSERDA CEF reporting, only the energy savings from CHP systems, electric vehicles, air source heat pumps, fuel cells and ground source heat pumps. However, CO₂e emission reductions and customer bill savings are fully net, accounting for both the energy savings and the energy use of these measures.

^b The system benefit charge (SBC) was authorized in 1998 and NYSERDA began programs the following year. Substantial installations had taken place beginning in 2001 and based on an average 16-year measure life, NYSERDA's 501-GWh installations will be "retired" in 2020. These amounts and the associated emission reduction and customer bill savings have been netted out of the Total Acquired Benefits at End of CY 2020 values reported.

^c As noted, the forecasts driving NYSERDA's targets were completed in early 2020, prior to the novel coronavirus pandemic. The target has not been adjusted in any way to account for the NY on PAUSE Executive Order or the expected economic impact associated with the pandemic. Actual performance will be monitored and reported but is expected to vary from the target.

Table 2a. Comparison Points—Efficient Use of Energy

Comparison Points	
Electricity (GWh)	2019 statewide annual sales of electricity—145,600 GWh ^a
Fossil Fuels (MMBtu)	2017 statewide annual (residential, commercial, industrial) natural gas and petroleum usage—1,093 million MMBtu ^a
Number of New York State households served	2019 occupied housing units in NYS—7,446,812
Number of commercial and industrial customers served	2019 business establishments in NYS—547,315 ^c

^a NYSERDA, Patterns and Trends, Energy Information Administration (EIA), 2021

^b American Community Survey

^c U.S. Census Bureau: State and County Quickfacts

Additional highlights for strategic goal/outcome Efficient Use of Energy:

- Since 1998, NYSERDA-administered energy efficiency programs have saved enough electricity to power more than 1.47 million homes each year and enough natural gas, propane, oil, and other heating fuels to heat 347,800 homes each year.
- More than 958,000 households and 42,100 commercial, industrial, and institutional customers reduced their energy use and annual energy bills by participating in NYSERDA programs since 1998.

The contribution from renewable energy resources to meet New York State’s electric load rose to 27 percent in 2019 from the adjusted 2014 baseline of 25.3 percent, an overall increase of 1.7 percent. Table 3 presents NYSERDA’s progress toward the renewable and diverse energy performance measures. Under the CES, NYSERDA was ordered to conduct regularly scheduled solicitations for the long-term procurement of qualifying renewable energy certificates (REC) to achieve anticipated and minimum results for the years 2017–2021. In January of 2020, the Public Service Commission (PSC) amended the form of NYSERDA’s Renewable Energy Standard (RES) procurements by formally adopting the Index REC price structure that has been successfully employed by the Offshore Wind program to NYSERDA’s land-based, large-scale renewable procurements, which was offered for the first time under its 2020 request for proposal. NYSERDA also sought interest, as directed by the Public Service Commission’s November 20, 2020 Order Authorizing Voluntary Modification of Certain Tier 1 Agreements, from existing eligible Renewable Portfolio Standard and RES Sellers (REC Counterparties) whose projects had not yet commenced commercial operation as of August 10, 2020 to participate in a conversion process. The conversion process allows for a voluntary modification to their existing Tier 1 REC agreements from a fixed as-bid REC price (Fixed REC) to a variable-priced Index REC pricing structure. This voluntary conversion is a one-time process and will not be re-offered in the future. Results from interested parties will be forthcoming.

The fourth RES solicitation, and the first to utilize the innovative Index REC structure, was issued in July of 2020 and resulted in 22 agreements expected to contribute 4.1 million MWh of new renewable energy once operational. The index structure resulted in highly competitive bids and have brought down expected REC costs to more than 40 percent lower than those projects awarded in 2019.

In addition to the New York State's baseline of renewable energy, the State will see significant contributions over the coming years as the result of several procurement programs, including annual solicitations for new large-scale renewables, Tier 4, offshore wind, solar incentive programs, and other State procurements. New York State has a contracted pipeline of more than 33,000 GWh of renewable generation projects.

Renewable and Diverse energy exceeded the targets due primarily to Large Scale Renewable (LSR) and NY-Sun commitments in 2020 that were significantly greater than expected. The NY Sun commitments were driven primarily by the State's expanding community solar market. In 2020, NYS completed the most community solar projects in the country, and the State's large pipeline of community solar projects continues to grow, supported by positive signals to developers, including the Phase Two Value Stack Order and NY's 6 GW commitment to distributed PV. LSR can be attributed to the increased overall procurement amount in excess of the anticipated target from the 2020 CES solicitation.

Note that the switch from committed to acquired targets for 2021, and the timing stage of most of NYSERDA's renewable energy investments, is the main reason for the lowered target values seen in the table.

Table 3. Performance Measures—Renewable and Diverse Energy

Performance Measures	Commitment Pipeline			Acquired Benefits	
	Target CY 2020 Addition ^a	Achieved CY 2020 Addition	Total (Cumulative) at the End of CY 2020	Total (Cumulative) at the End of CY 2020	Target CY 2021 Addition
Renewable resources electricity produced					
1) Annual Electricity Production (GWh) delivered to wholesale power market from incentivized installations ^c	5,770	13,515	30,530	2,685 ^b	250
2) Annual Electricity Production (GWh) from on-site installations	1,282	1,656	2,631	2,738	748
Solar PV capacity (GW) from all NYSERDA funded solar PV programs, including NY-Sun 6 GW goal^c	0.8	1.3	2.1	2.1	0.5

^a As noted, the forecasts driving NYSERDA's targets were completed in early 2020, prior to the novel coronavirus pandemic. The target has not been adjusted in any way to account for the NY on PAUSE Executive Order or the expected economic impact associated with the pandemic. Actual performance will be monitored and reported but is expected to vary from the target.

^b Amount is net of any NYSERDA-contracted facilities which have reached their terminus year, after which NYSERDA no longer has the rights to claim the attributes of their generation.

^c NYSERDA does not, by filing this report, make any claim to the environmental attributes associated with the megawatt-hours. NYSERDA has relinquished all such rights and disavows any and all rights to any environmental claims or renewable energy to which it had made claims under previous policies.

Table 3a: Comparison Points—Renewable and Diverse Energy

Comparison Points	
New York Load Served by Renewables^a	2019 Renewable Energy Serving Load—27.0% (41,340 GWh)

^a CES Annual Progress Report—2019 <https://www.nyserdanyny.gov/-/media/Files/Programs/Clean-Energy-Standard/2021-CES-2019-Annual-Progress-Report-Compliance-Year.pdf>

Additional highlights for strategic goal/outcome Renewable and Diverse Energy supplies:

- NYSERDA is currently supporting 138 large-scale renewable generation projects representing 11,372 MW of renewable generation capacity. There are 49 facilities operating with the remainder of the projects under design and construction.
- NYSERDA is also supporting four offshore wind generating projects, which are both under design and construction, and once operating will represent 4,186 MW of renewable capacity.

- NYSEDA's Retail Energy Storage Incentive Program, launched in 2019, saw the second completed project in the Retail Storage Incentive Program, with a 490-kW project completed in Yorktown Heights. Block 2 of the New York City retail incentive program was fully allocated, triggering the opening of Block 3, which was fully allocated in only four months. These two blocks funded a total of 10 new battery energy storage projects in New York City, totaling 34.3 MW and 132 MWh of capacity. NYSEDA opened a \$15m block for retail storage projects in Westchester, with over \$5m already allocated.

Table 4 presents NYSEDA's progress toward the clean energy economy performance measures.

The 2020 target for leveraged funding was significantly exceeded, largely due to procurement activities by NYSEDA associated with the successful issuance of the fourth RES solicitations in 2020.

Combined with the renewable energy projects previously announced under Governor Cuomo's Clean Energy Standard, New York State has now awarded 91 projects worth more than \$4 billion.

Note that the switch from committed to acquired targets for 2021, and the timing stage of most of NYSEDA's investments, is the main reason for the lowered target values seen in the table.

Table 4. Performance Measures—Clean Energy Economy

Performance Measures	Commitment Pipeline			Acquired Benefits	
	Target CY 2020 Addition ^c	Achieved CY 2020 Addition	Total (Cumulative) at the End of CY 2020	Total (Cumulative) at the End of CY 2021	Target CY 2021 Addition
Total funding leveraged from all NYSEDA investments (\$million)^{a,b}	\$8,457	\$12,692	\$31,546	\$17,184	\$1,676

a NYSEDA's data set for leveraged funds began in 2010.

b Data collection for leveraged funds associated with NYSEDA's Technology and Business Development programs is an ongoing effort and the reported values included in this figure represent incomplete data that will be supplemented in future years.

d As noted, the forecasts driving NYSEDA's targets were completed in early 2020, prior to the novel coronavirus pandemic. The target has not been adjusted in any way to account for the NY on PAUSE Executive Order or the expected economic impact associated with the pandemic. Actual performance will be monitored and reported but is expected to vary from the target

Additional highlights for strategic goal/outcome Clean Energy Economy:

- As a component of the leveraged funding presented in Table 4, NYSEDA's investment in technology and business development has leveraged \$610 million in 2020 for a total of \$1,703 million through the end of calendar year 2020.
- As a result of NYSEDA's technology and business development investments:
 - There are more than 566 new and improved clean energy products in the market (including 88 new products added in 2020) in all end-use energy sectors from high efficiency furnaces to advanced lighting controls and hybrid electric buses.

- There are 100 new clean energy products in development with support from NYSERDA.
- Annual sales of products developed with NYSERDA support have reached approximately \$2,557 million.
- There are currently 91 clean energy businesses receiving financial support.
- NYSERDA's incubator program, which supports six cleantech incubators across the State, assisted 349 clients and helped these startups raise more than \$798 million in private and non-NYSERDA public investment, while generating and retaining, 2,100 jobs and bringing dozens of new clean energy and clean technology products to the market.

Table 5 presents NYSERDA's progress toward cleaner environment performance measures. The 2020 target for carbon reductions was exceeded (achieving 192 percent) and can be attributed to the increased overall procurement amount in excess of the anticipated target from the 2020 CES solicitation. Note that the switch from committed to acquired targets for 2021, and the timing stage of most of NYSERDA's investments, is the main reason for the lowered target values seen in the table.

Table 5. Performance Measures—A Cleaner Environment

Performance Measures	Commitment Pipeline			Acquired Benefits	
	Target CY 2020 Addition ^a	Achieved CY 2020 Addition	Total (Cumulative) at the End of CY 2020	Total (Cumulative) at the End of CY 2021	Target CY 2021 Addition
CO₂ equivalent emission reductions due to NYSERDA's energy efficiency, renewable, and diverse energy programs (annual metric tons) (All programs)	4,208,209	8,008,442	17,645,377	8,802,549	883,021

- a As noted, the forecasts driving NYSERDA's targets were completed in early 2020, prior to the novel coronavirus pandemic. The target has not been adjusted in any way to account for the NY on PAUSE Executive Order or the expected economic impact associated with the pandemic. Actual performance will be monitored and reported but is expected to vary from the target

Table 5a. Comparison Points—A Cleaner Environment

Comparison Points	
CO₂ emission reductions^a	2018 annual NYS power sector emissions—24 million metric tons CO ₂

- a (1) U.S. Energy Information Administration. "Table 4. 2018 State energy-related carbon dioxide emissions by sector." <https://www.eia.gov/environment/emissions/state/>. Includes emissions from in-state power generation only. GHG emissions associated with imported power as well as the upstream impacts of fossil fuel extraction, processing, and transportation are being assessed in collaboration with DEC as part of a separate GHG emissions reporting process established by the Climate Act.

Energy-related environmental policies in 2020 informed by NYSERDA reports/studies:

- In response to stakeholder concerns, the Environmental Research Program advanced an effort to understand and balance agricultural lands and practices with advancing renewable energy development. This included development of a mitigation payment structure adopted by the Large-Scale Renewables and NY-Sun Programs to discourage development on high-quality mineral soils, contracting a series of research projects to understand the implications of solar development on agricultural lands and practices, and the initial formation of an Agricultural Technical Working Group to inform additional actions.
- The Environmental Research Program participated in the Adaptation and Resilience Working Group of the Climate Action Council. This working group is developing recommendations regarding climate change resilience, which will be presented for potential inclusion in the scoping plan being developed by the Council.
- The Environmental Research Program initiated projects focused on monitoring methane and other GHGs from compressor stations, landfills, and other sources. These build on the existing network of long-term monitors that will assist in developing and refining New York State's inventory in support of its Methane Reduction Plan.
- Integrated-Duty Cycle (IDC) test protocol for cordwood stoves was approved by United States Environmental Protection Agency (EPA) as a Broadly Applicable Alternative Test Method for certifying stoves. The IDC protocol was developed with support from the Environmental Research Program and evaluates efficiency and emissions performance under more realistic in-use conditions than EPA's current test protocol.
- Bureau of Ocean Energy Management adopted the output from an Environmental Research Program sponsored fishing transit workshop developed in cooperation with the Responsible Offshore Development Alliance and the New York State Department of Environmental Conservation to understand how fishermen navigate the New York Bight. This is the first-time transit lanes have been included in a draft Proposed Sales Notice, helping to assure safe and efficient transit through offshore wind developments prior to leasing.
- The Environmental Research Program developed baseline standards and best management practices for offshore wind environmental and fisheries mitigation plans and required proposers to NYSERDA's offshore wind procurement to adhere to these standards. Additionally, the procurement required financial support for monitoring of wildlife and key commercial fish stocks and advanced discussions with stakeholders regarding what should be in these plans. These approaches support transparency and productive stakeholder engagement to advance responsible offshore wind development, some of which has been emulated by regional states.

Tables 6 and 7 present NYSERDA's progress toward the contract and cycle-time performance measures, which NYSERDA assesses in terms of invoice payment and contract processing timelines. NYSERDA maintained its long-standing record of strong performance regarding prompt payment of invoices. Overall Cycle Time for the Authority remained consistent with the prior year, which has seen a reduction in cycle times across most solicitation types due to process improvements. The increase in open enrollment and task-work orders was due to some outstanding open enrollment contracts finally being signed.

Table 6. Performance Measures—Contract and Cycle Time (Invoicing)

CONTRACT AND CYCLE TIME—INVOICING <i>NYSERDA is responsive to customer needs—delivering accurate and timely information, services, and programs.</i>					
Performance Measures	CY 2018	CY 2019	TARGET CY 2019	CY 2020	TARGET CY 2021
Invoice payment:					
1) Number of invoices paid within 30 days	72,053 invoices	79,756 invoices	***a	71,440 invoices	***a
2) Percent of payments made within 30 days	99.99%	99.99%	100%	99.99%	100%

^a The measure will be monitored and reported but a target has not been set. NYSERDA elected not to establish a target in cases where the measure is a function of a parameter that cannot be reliably predicted (e.g., energy costs) or in cases where the metric is new to NYSERDA.

Table 7. Performance Measures—Contract and Cycle Time (Solicitations)

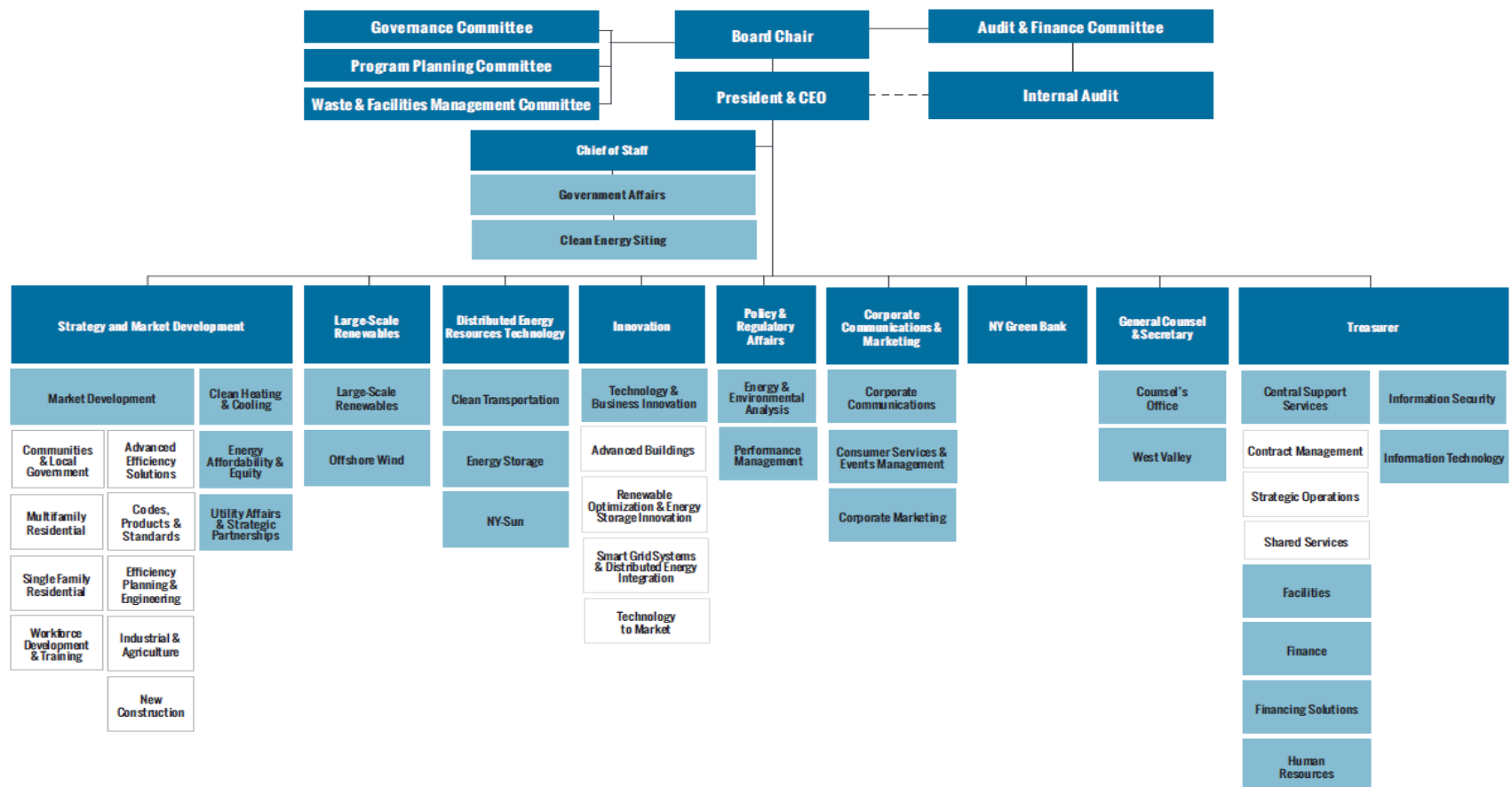
CONTRACT AND CYCLE TIME—SOLICITATIONS <i>NYSERDA is responsive to customer needs—delivering accurate and timely information, services, and programs.</i>				
Performance Measures	CY 2019 Median Total No. of Weeks	Target CY 2020	CY 2020 Median Total No. of Weeks	Target CY 2021
Contract Processing Time—Median time to Process (Weeks):				
1) Due Date Solicitations	33.14	32	29	30
2) Open Enrollment and Task-Work Orders	1	2	2.29	2
3) Open Enrollment (Automated) ^a	0.43	1	0.43	1
4) All Other Actions (Direct Contracts and Contract Modifications)	1.86	2	1.29	2

^a The contracting process for Residential NY-Sun projects has been automated, leading to reduced cycle times. As these processes are fundamentally different than other open enrollment solicitations, we have broken them out into their own category.

5 Program Units

This section includes NYSEERDA's program units, as represented on the organization chart below. Each unit includes a brief overview. The organization units not described in this section, but included on the organization chart are operational and administrative rather than program units.

Figure 1. NYSEERDA Organizational Structure



5.1 Communities and Local Government

The Communities and Local Government unit provides a unified approach toward local energy action to better serve local communities' needs and to advance clean energy policies statewide. The program is intended to implement a common framework that enables communities to embed clean energy into their planning, help facilitate and prioritize implementation, and recognize/showcase community energy and sustainability actions.

5.2 Multifamily Residential

The Multifamily Residential unit works to overcome market barriers in the multifamily sector (residential structures containing five or more dwelling units), pursuing strategies to create awareness and demand for energy-efficient and high-performance buildings; increase market capacity to deliver energy services; stimulate consumer demand for energy and environmentally friendly buildings through clear and widely used labeling; expand the pool of trusted energy professionals serving the needs of multifamily building owners by increasing their technical skill sets and tools; demonstrate viability of deep energy retrofits in multifamily affordable housing stock; and further the emergence of performance contracting.

5.3 Single-Family Residential

The Single-Family Residential unit works to overcome market barriers towards the development of a robust, self-sustaining, market-based energy efficiency industry for the existing homes sector (residential structure containing one to four dwelling units) and facilitates the growth of demand for energy services.

5.4 Workforce Development and Training

The Workforce Development and Training unit enables workforce development and training where the lack of a trained workforce inhibits growth in a particular energy industry, or where training is needed to perform critical functions; establishes energy training as a permanent and sustainable part of the community infrastructure; and enables growth of jobs in disadvantaged communities.

5.5 Advanced Efficiency Solutions

The Advanced Efficiency Solutions team is a cross-sectoral group whose primary goal is to accelerate the adoption of energy efficiency and electrification in buildings by increasing private sector investment in building decarbonization technologies and services. Initiatives run by the Advanced Efficiency Solutions team advance commercially available energy efficiency, electrification, and load flexibility solutions that deliver carbon reductions.

5.6 Codes, Products, and Standards

The Codes, Products, and Standards team promotes energy efficiency across sectors through regulatory and supply chain interventions. The team supports building energy code advancement, education, and compliance, working with actors across the construction market, including building owners, developers, and elected officials, with a goal of promoting zero carbon or even net-carbon positive building performance. The team also drives the promotion of improved product and appliance standards, along with the adoption and expansion of building energy and water benchmarking. The team continues to find ways to improve the efficiency and success of program delivery models, including the promotion of a statewide supply chain.

5.7 Efficiency Planning and Engineering

The Efficiency Planning and Engineering Team is an inter-disciplinary group with the primary goal of increasing clean energy investment in commercial buildings. To accomplish this objective the Team engages with commercial stakeholders, sector organizations, service providers and customers to deliver monetary and informational incentives. Sector specific initiatives such as REV Campus Challenge, Commercial Tenant, and the P-12 Initiative are examples of targeted efforts the team focuses on.

5.8 Industrial and Agriculture

The Industrial and Agriculture unit looks to advance the latest technologies and techniques to drive the adoption of energy efficiency and process improvements through new strategies, including optimizing energy use and productivity as well as providing credible information toward integrating clean energy into the business mission of the industrial and agriculture sectors.

5.9 New Construction

The New Construction unit works across all sectors, including low- to moderate-income (LMI) households, to build market capacity, demonstrate value propositions, and disseminate credible information to drive the market to deep energy savings and zero-net energy performance in construction and substantial renovation projects. This includes strategies to improve contracting, design and construction practices, and promote zero- and positive-net carbon construction and renovation practices that maximize cost-effective carbon reductions.

5.10 Clean Heating and Cooling

The Clean Heating and Cooling unit will seek to enable a self-sustaining market for clean heating and cooling solutions, helping to increase the viability of net zero energy buildings in the State. Solar thermal, biomass heating, and air and ground source heat pump systems will be explored. Clean heating and cooling will address barriers to market growth, including low-customer awareness and confidence, limited trained service providers, high-upfront costs, significant soft costs, variable performance data, and lack of affordable financing solutions.

5.11 Energy Affordability and Equity

The Energy Affordability and Equity unit develops strategies and proposes policy, coordinating across all sectors and various State organizations to streamline and improve the effectiveness of energy services delivery to low- to moderate-income households. The unit also manages single-family residential energy efficiency incentive programs.

5.12 Utility Affairs and Strategic Partnerships

The Utility Affairs and Strategic Partnerships unit manages the Authority's utility engagement strategy under REV, oversees the funding agreement with the Long Island Power Authority, and leads the development of a \$50 million energy efficiency Pay-for-Performance partnership program with National Grid and Con Edison.

5.13 Large-Scale Renewables

The Large-Scale Renewables unit will sustain and expand the penetration of large-scale renewables in the State and support the development of the next frontier of renewable resources, including offshore wind. The program will document New York State's progress toward its renewable goals and facilitate

New York State’s renewable voluntary market through the management of the New York Generation Attribute Tracking System. The program will also provide stakeholder outreach, technical, and pre-development assistance to increase acceptance and reduce soft costs associated with the development of these assets as well as assess alternate energy market valuation and transmission solutions for renewables. The team will also manage over \$1 billion in existing Renewable Portfolio Standard Main Tier contracts, actively execute its Renewable Energy Standard procurement and contracting role, including management of the nearly \$4 billion in agreements awarded over the past three years, and execute on its offshore wind procurement responsibilities as assigned by the New York State Public Service Commission.

5.14 Offshore Wind

The Offshore Wind unit will support the Large-Scale Renewables program through the expansion of offshore wind technology in the State. The unit will document New York State’s progress toward its offshore wind development goals and provide stakeholder outreach and technical and pre-development assistance to increase acceptance and reduce soft costs associated with the development of these assets. Additionally, the team will actively execute regular generation project procurements for the State as authorized by the New York State Public Service Commission to acquire offshore wind renewable energy credits (ORECs).

5.15 Clean Transportation

The Clean Transportation unit will develop and implement programs to expand the adoption of clean transportation options in New York State and support the development and demonstration of new clean transportation technologies. The unit will craft innovative approaches to expedite market adoption of electric vehicles and clean mobility options, removing barriers to increased clean transportation use such as cost, awareness, ease of access, and availability of financing. Additionally, the unit will work with public and private partners to develop and demonstrate novel technologies and business models that address key barriers to clean transportation market expansion in NYS.

5.16 Energy Storage

The Energy Storage unit will develop and implement a robust energy storage strategy that removes the most impactful barriers preventing adoption in the electric grid, buildings, and transportation sectors. This will enable renewable generation to be used as “flexible resources,” increase electric system utilization and resiliency, flatten peak demand, and reduce petroleum dependence to help achieve the

State's GHG reduction goals. Initiatives will include targeting soft costs to reduce total installed cost, validating new financing and ownership models, participating in ratemaking and tariff design, removing safety and performance uncertainty, and developing and demonstrating new products and integrated systems—including microgrids. These strategies will be delivered in conjunction with public and private organizations as well as other NYSERDA teams.

5.17 NY-Sun

The NY-Sun unit has a multifaceted approach that aims to lower energy costs for all New Yorkers by increasing solar power capacity and the efficiency and reliability of the electric grid. Public-private partnerships help make installing solar technology more affordable for New Yorkers while scaling up the State's solar industry. In addition to the Solar Electric Program, the NY-Sun initiative has programs to help lower statewide solar soft costs, including training for installers and public officials, a standardized permitting and interconnection process, customer aggregation, and consumer education.

5.18 Technology and Business Innovation

The Technology and Business Innovation unit facilitates the research, development, and commercialization of new and innovative clean energy technologies that when deployed at scale will deliver meaningful reductions in GHG emissions. Technology and Business Innovation employs a comprehensive strategy that integrates and leverages direct investment in startup and established clean energy companies, establishes sustainable multiuse assets in the State, and fully engages important stakeholders such as researchers, established corporate entities, and the investment community. Technology and Business Innovation's direct investments help to determine technical feasibility, assess market opportunities, achieve key product development milestones, and validate new technologies at scale in real-world applications. Strategic investments in statewide multiuse assets provide business incubation, manufacturing support, mentorship, and access to private sector investors and potential development and commercialization partners. Technology and Business Innovation's overall strategy contributes toward the growth of a vibrant clean energy business ecosystem that delivers solutions to the State's pressing environmental, energy, and economic needs.

Technology and Business Innovation has five teams focused on the following areas:

- **Smart Grid Systems and Distributed Energy Integration:** Accelerate the evolution to a smarter more integrated grid that allows for new value-added services in pursuit of efficiency, sustainability, reliability, resiliency, and affordability.

- **Renewable Resource Optimization:** Accelerate market adoption and realization of grid and consumer benefits from distributed and renewable resources.
- **Buildings:** Accelerate development of technologies and systems that can enable net zero energy buildings, deep energy efficiency retrofits and smart buildings—providing value and comfort to occupants and owners.
- **Innovation Capacity and Business Development:** Catalyze and enable a vibrant, self-sustaining cleantech innovation ecosystem that will accelerate the pace and scale of clean energy and make NYS the place for innovation.

5.19 Energy and Environmental Analysis

The Energy and Environmental Analysis unit assists State policy decision-makers and stakeholders by objectively:

- Identifying and evaluating policy alternatives for addressing vital public needs related to the production, delivery, and use of energy as well as development of new technologies.
- Assessing the impact of energy and environmental policies, programs, and technologies on the State's residents, businesses, environment, and energy systems.
- Providing market intelligence across all energy and fuel types, including all energy systems, market participants, and customer sectors.
- Assessing operational status of energy delivery and fuel storage infrastructure components and advising corrective actions as necessary to expedite return to full operational capacity.
- Assessing retail petroleum fuels and natural gas prices, supplies, and production to enable analyses of and response to market conditions.
- Providing energy-related environmental accountability through analysis of long-term monitoring records and modeling.
- Evaluating the effectiveness of energy-related environmental protection strategies to support regulatory processes.
- Helping prioritize opportunities for mitigation and identifying cross-sector pollution control strategies.
- Coordinating the State's activities on nuclear energy matters, including the regulation of radioactive materials, and monitoring low-level radioactive waste generation and management.
- Fostering informed energy planning through economic analysis and modeling of energy and environmental issues.

5.20 Financing Solutions

The Financing Solutions unit will develop strategies for mobilizing private capital and market-based financing solutions to support scaled investments in clean energy across sectors and technology areas.

NYSERDA, a public benefit corporation, offers objective information and analysis, innovative programs, technical expertise, and support to help New Yorkers increase energy efficiency, save money, use renewable energy, and reduce reliance on fossil fuels. NYSERDA professionals work to protect the environment and create clean-energy jobs. NYSERDA has been developing partnerships to advance innovative energy solutions in New York State since 1975.

To learn more about NYSERDA's programs and funding opportunities, visit nyserda.ny.gov or follow us on Twitter, Facebook, YouTube, or Instagram.

**New York State
Energy Research and
Development Authority**

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State of New York

Andrew M. Cuomo, Governor

New York State Energy Research and Development Authority

Richard L. Kauffman, Chair | Doreen M. Harris, President and CEO

Resolution No. ____

RESOLVED, that the Authority's Operations and Accomplishments and Mission Statement and Measurements Annual Report as presented at this June 22, 2021 meeting is adopted and approved for submission under Section 2800 of the Public Authorities Law.

NEW YORK STATE ENERGY RESEARCH
AND DEVELOPMENT AUTHORITY

**ANNUAL REPORT ON
ACQUISITION AND DISPOSITION OF
REAL AND PERSONAL PROPERTY**

For the Fiscal Year Ended March 31, 2021

Section 1. Real Property Acquired in the Name of NYSERDA April 1, 2020 through March 31, 2020

There were no acquisitions of real property during the fiscal year.

Section 2. Real Property Held in the Name of NYSERDA as of March 31, 2021

- A parcel of land (approximately 3.67 acres) and a building (two-story office building with partial basement containing approximately 66,000 square feet of gross area) located at 17 Columbia Circle, Albany, Albany County, New York.
- A parcel of land (approximately 3,300 acres) known as the Western New York Nuclear Service Center located in West Valley, Cattaraugus County, New York.
- A parcel of land (approximately 280-acres) known as the Saratoga Technology + Energy Park® (STEP®), formerly known as the Saratoga Research and Development Center, which includes one +/-23,600 gross square foot building and one +/-43,300 gross square foot state-of-the-art workforce training facility, both owned by NYSERDA, one +/-105,000 square foot of building owned by the United Group of companies, pursuant to a ground lease, located in Malta, Saratoga County, New York, one +/- 130,000 square foot building owned by STEP Tech, LLC, pursuant to a ground lease, located in Malta, Saratoga County, New York, and one +/- 10,000 square foot parcel pursuant to a ground lease improved with a cellular tower owned by Verizon Wireless, located in Malta, Saratoga County, New York.
- A parcel of underwater land and an outfall structure for the release of cooling water located at the Indian Point Nuclear Generating Station, Village of Buchanan, Westchester County, New York, upon which is located. The land and structure is leased to the facility owner, Entergy (successor to Consolidated Edison Company of New York, Inc.), pursuant to a Lease dated July 1, 1971 with NYSERDA (successor to the New York State Atomic and Space Development Authority) scheduled to expire, subject to a renewal option, on March 31, 2027.
- Approximately 10,059 rentable square feet of office space at a building located at 1333 Broadway, Suite 300, New York, NY pursuant to a Lease with ESRT 1333 Broadway, LLC dated December 19, 2019 and initially expiring October 2027. Monthly rent payments will be \$52,908.75 beginning on the rent commencement date through and including the fourth anniversary and will increase to \$55,324.50 a month thereafter through the expiration date.
- Approximately 21,386 rentable square feet of office space at a building located at 1359 Broadway (19th floor), New York, NY pursuant to an Agreement of Sublease dated November 13, 2013 with LF USA, Inc. and LF North America Holdings Co. expiring October 30, 2027.
- Approximately 4,618 rentable square feet of office space at a building located at 1359 Broadway, Suite 1540, New York, NY pursuant to an Agreement of Lease with ESRT 1359 Broadway, L.L.C. dated August 15, 2018 expiring October 31, 2027, of which approximately 3,752 rentable square feet is subleased to New York City Economic Efficiency Corporation pursuant to a Sublease Agreement dated September 1, 2018 and initially expiring September 29, 2023.
- Approximately 29,941 rentable square feet of office space at a building located at 15 Columbia Circle, Albany, NY pursuant to a Lease with Columbia Circle Associates, LLC dated December 1, 2007, as amended, expiring June 30, 2024.
- Approximately 2,829 rentable square feet of office space at a building located at 726 Exchange Street, Buffalo, NY pursuant to a Lease with LCo Building, LLC dated February 16, 2007, as amended, expiring October 31, 2022.
- Approximately 4,824 rentable square feet of office space at a building located at Rt. 219 and County Road 53, Ashford, NY pursuant to a Lease with Peter L. Krog, Edwin Lorenz and Kenneth Lorenz dated February 1, 2016 expiring May 31, 2021.

Section 3. Personal Property with a Value in Excess of \$5,000 and Real Property Disposed of During the Fiscal Year Ended March 31, 2021

There was one disposition of real property and no dispositions of personal property with a value in excess of \$5,000.

Acquirer	Description	Price Received
Global Foundries U.S., Inc.	On July 31, 2020, NYSERDA entered into a 3-year Option to Purchase Agreement with Global Foundries for 65.67 acres at the Saratoga Technology + Energy Park (STEP).	\$70,560 option price received, to be credited against the purchase price. If the Option to Purchase is exercised, Global Foundries will pay \$2,298,450. The option value and exercise price were determined to be at market value through independent appraisal.

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY
REAL PROPERTY ACQUISITION POLICY GUIDELINES
JUNE 2021

I. INTRODUCTION

- A. Pursuant to Public Authorities Law, the New York State Energy Research and Development Authority's ("NYSERDA") purposes include, but are not limited to, developing and implementing new energy technologies consistent with economic, social and environmental objectives; developing and encouraging energy conservation technologies; promoting, developing, encouraging and assisting in the acquiring, constructing, improving, maintaining, equipping and furnishing of industrial, manufacturing, warehousing, commercial, research and industrial pollution facilities at the Saratoga Research and Development Center; promoting, developing, encouraging and assisting special energy projects and thereby advancing job opportunities, health, general prosperity and economic welfare of the people of the state of New York; and stewardship of the State's interests at the Western New York Nuclear Service Center.
- B. Pursuant to the Public Authorities Law, which enumerates the General Powers NYSERDA may exercise in furtherance of its broad purposes, NYSERDA has the General Power to purchase, receive, lease or otherwise acquire, to hold in the name of the state or otherwise, and to sell, convey mortgage, lease, pledge or otherwise dispose of, upon such terms and conditions as the authority may deem advisable, real and personal property, together with such rights and privileges as may be incidental and appurtenant thereto. This policy shall apply to any acquisition of real property by NYSERDA.
- C. Notwithstanding the terms of any other section of these Guidelines, applicable Property may be acquired in accordance with the provisions of Section 1902 of Title 9-B of Article 8 of the Public Authorities Law.
- D. The Treasurer is the Contracting Officer who will be responsible for compliance with, and enforcement of, this policy.

II. GENERAL

- A. All acquisitions of real property shall comply with applicable State, federal and local law, including but not limited to Chapter 766 of the Laws of New York 2005, better known as the Public Authorities Accountability Act ("PAAA"), the State Environmental Quality Review Act ("SEQRA"), the Eminent Domain Procedure Law ("EDPL"), any requirements of NYSERDA's board resolutions, and any other applicable state and local law or regulation.
- B. The President and CEO or their designee is hereby authorized to negotiate the terms and conditions of the acquisition of real property necessary to accomplish NYSERDA's purposes and consistent with this policy. NYSERDA may acquire in the name of NYSERDA or in the name of the state or otherwise, by purchase or condemnation, gift or

grant, real property or rights therein, including but not limited to by lease, license and easement, on terms necessary or convenient in furtherance of its purposes. The Contracting Officer and any other Officer authorized by resolution may execute such documents for the transfer of title or other interest in real property and take such other action as is required or deemed necessary and proper to acquire such real property.

- C. NYSERDA shall maintain a record for each transaction that documents its compliance with this policy.
- D. NYSERDA shall maintain a system of inventory for all real property under its control.
- E. NYSERDA shall procure any outside professional services, such as title insurance and commercial real estate brokerage services, as necessary, pursuant to the NYSERDA's Contracting Guidelines.

III. IMPLEMENTATION

- A. The President and CEO or their designee shall identify real property necessary for NYSERDA's purposes.
- B. Once potentially acceptable real property has been identified, such real property shall be evaluated internally under the direction of the President and CEO of NYSERDA. When necessary or convenient, the President and CEO may seek participation from NYSERDA staff, real estate counsel, engineering, environmental, archeological, and other consultants, title insurance company and a licensed commercial real estate broker or brokers. Any evaluation of the affected real property shall examine such issues as ownership; zoning; road access, including access to interstates; easements and other encumbrances; parcel history; recent sales history; proximity to environmentally compromised areas; potential government funding sources for parcel remediation or developments; market availability; recent appraisals; brokerage arrangements; existing tenants and the terms and conditions of their leases.
- C. Prior to contract for the acquisition of real property, NYSERDA shall obtain either a broker's market analysis or two appraisals by Members of the Appraisal Institute ("AMI") or other reasonable and professionally prepared valuation projections consistent with then-current industry standards and practices. If the two appraisals are materially different, NYSERDA may attempt to reconcile the differences in value through determining an average of the two appraisals, or by seeking a third review appraisal which shall constitute the final determination of value. The contract price for acquiring real property is subject to the approval of the President and CEO of NYSERDA.
- D. NYSERDA may enter into a contract to obtain the right to enter onto real property being considered for acquisition for the purposes of conducting archeological, environmental, geotechnical and any other relevant studies and investigations of such property, either separately or as part of a contract for acquisition of such property.

IV. APPROVAL AND REPORTING REQUIREMENTS

- A. This policy will be submitted to the Members annually for review and approval.
- B. The Authority will post the policy on its internet website and will maintain such site until the policy for the following year is approved.
- C. The Contracting Officer will annually prepare a report that will be submitted to the Members listing all real property acquired during such period. Such report will include the name of the parties to the transaction and the price paid by the Authority as well as the terms of the lease term if applicable.
- D. The Authority shall maintain accountability and a system of internal controls for all Property under its control. The Contracting Officer will conduct no less than a biennial inventory of Property and reconcile such inventory against accounting records maintained for such Property. The Contracting Officer will also periodically inventory Property to determine which Property should be disposed of and will transfer or dispose of such Property as promptly as possible in accordance with the Authority's Property Disposition Guidelines.

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

GUIDELINES, OPERATIVE POLICY AND INSTRUCTIONS FOR THE DISPOSAL OF
REAL AND PERSONAL PROPERTY

June 2021

Article I. Purpose and Applicability

1.0 These Guidelines for the Disposal of Real and Personal Property (“Guidelines”), adopted pursuant to Public Authorities Law Sections 2895, 2896, and 2897, set forth the operative policies of the Authority and instructions to its officers and employees regarding the disposal of real and personal property.

1.1 These Guidelines apply to any disposal of real or personal property taking place after they become effective pursuant to the provisions of Section 1853 of the Public Authorities Law.

Article II. Definitions

2.0 As used in these Guidelines, “Contracting Officer” means the Treasurer appointed herein by the Members to be responsible for the disposition of Property.

2.1 As used in these Guidelines, “dispose”, “disposal” or “disposition” means the transfer of title or any other beneficial interest in personal or real property in accordance with Section 2897 of the Public Authorities Law.

2.2 As used in these Guidelines, “Property” means personal property in excess of five thousand dollars in value, real property, and any inchoate or other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation of another party.

Article III. Designation of the Contracting Officer; Accountability for Property

3.0 The Treasurer is the Contracting Officer who will be responsible for compliance with, and enforcement of, these Guidelines.

3.1 The Authority shall maintain accountability and a system of internal controls for all Property under its control. The Contracting Officer will conduct no less than a biennial inventory of Property and reconcile such inventory against accounting records maintained for such Property. The Contracting Officer will also periodically inventory Property to determine which Property should be disposed of and will transfer or dispose of such Property as promptly as possible in accordance with Article IV below. The Contracting Officer and any other Officer authorized by resolution may execute such documents for the transfer of title or other interest in Property and take such other action as is required or deemed necessary and proper to dispose of such Property.

Article IV. Method of Disposal of Property Including Use and Awarding of Contracts for Disposal

4.0 Except as otherwise provided herein, Property may be disposed of for not less than its fair market value by sale, exchange, or transfer for cash, credit, or other property, with or without warranty, and upon such terms and conditions as the Contracting Officer deems proper. No disposition of real property, or any interest in real property, will be made unless an appraisal of the value of such property has been made by an independent appraiser and included in the record of the transaction, and, provided further, that no disposition of any other property, which because of its unique nature or the unique circumstances of the proposed transaction is not readily valued by reference to an active market for similar property, shall be made without a similar appraisal.

4.1 When it is deemed advantageous to the State, the Authority may enter into an agreement with the Commissioner of General Services for the disposal of Property pursuant to which such Commissioner may dispose of Property of the Authority under terms and conditions agreed to by the Authority and such Commissioner. In disposing of the Property, the Commissioner of General Services will be bound by the terms of these Guidelines and references to the Contracting Officer will be deemed to refer to such Commissioner.

4.2 A deed, bill of sale, lease, or other instrument executed by or on behalf of the Authority, purporting to transfer title or any interest in Property of the Authority will be conclusive evidence of compliance with the Guidelines insofar as concerns title or other interest of any bona fide grantee or transferee who has given valuable consideration for such title or other interest and has not received actual or constructive notice of lack of such compliance prior to closing.

4.3 All disposals or contracts for disposal of Property made or authorized by the Contracting Officer will be made after publicly advertising for bids, except as otherwise provided below in Sections 4.4 and 4.5. Whenever public advertising for bids is required under these Guidelines: (i) the advertisement for bids shall be made at such time prior to the disposal or contract, through such methods, and on such terms and conditions as will permit, full and free competition consistent with the value and nature of the Property; (ii) all bids shall be publicly disclosed at the time and place stated in the advertisement; and (iii) the award will be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the State, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.

4.4 Disposals and contracts for disposal of Property may be negotiated or made by public auction without public advertisement, but subject to obtaining such competition as is feasible under the circumstances, if: (i) the personal property involved has qualities separate from the utilitarian purpose of such property, such as artistic quality, antiquity, historical significance, rarity, or other quality of similar effect, that would tend to increase its value, or if the personal property is to be sold in such quantity that, if it were disposed of through public advertisement, would adversely affect the State or local market for such personal property, and the estimated fair market value of such personal property and other satisfactory terms of disposal can be obtained by negotiation; (ii) the fair market value of Property does not exceed fifteen thousand dollars; (iii) bid prices after advertising therefor are not reasonable, either to all or some part of

the Property, or have not been independently arrived at in open competition; (iv) the disposal will be to the State or any political subdivision, and the estimated fair market value of the Property and other satisfactory terms of disposal are obtained by negotiation; (v) under those circumstances permitted provided below by Section 4.6; or (vi) such action is otherwise authorized by law.

4.5 An explanatory statement will be prepared of the circumstances of each disposal by negotiation of: (i) any personal property which has an estimated fair market value in excess of fifteen thousand dollars; (ii) any real property that has an estimated fair market value in excess of one hundred thousand dollars, except that any real property disposed of by lease or exchange will only be subject to clauses (iii) and (iv) below; (iii) any real property disposed of by lease, if the estimated annual rent over the term of the lease is in excess of fifteen thousand dollars; (iv) any real property or real and related personal property disposed of by exchange, regardless of value, or any such real property or real and related personal property any part of the consideration for which is real property.

4.6 Disposal of Property for less than fair market value.

a. No asset owned, leased or otherwise in the control of the Authority may be sold, leased, or otherwise alienated for less than its fair market value except if:

(i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the asset will remain with the government or any other public entity;

(ii) the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

(iii) in the event the Authority seeks to transfer an asset for less than its fair market value to other than a governmental entity, which disposal would not be consistent with the Authority's mission, purpose or governing statutes, the Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate, or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate, and Assembly, the Authority may effectuate such transfer.

b. In the event a below fair market value asset transfer is proposed, the following information must be provided to the Members and the public:

(i) a full description of the asset;

(ii) an appraisal of the fair market value of the asset and any other information establishing the fair market value sought by the Members;

(iii) a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer,

the benefits, if any, to the communities in which the asset is situated as are required by the transfer;

(iv) a statement of the value to be received compared to the fair market value;

(v) the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this subsection, a statement of the value to the private party; and

(vi) the names of other private parties who have made an offer for such asset, the value offered, and the purpose for which the asset was sought to be used.

c. Before approving the disposal of any property for less than fair market value, the Members shall consider the information described in paragraph b of this section and make a written determination that there is no reasonable alternative to the proposed below market transfer that would achieve the same purpose of such transfer.

4.7 Notwithstanding the terms of any other section of this Article, Property may be acquired and disposed of in accordance with the provisions of Section 1902 of Title 9-B of Article 8 of the Public Authorities Law.

Article V. Approval of Guidelines: Monitoring and Reporting on Disposal of Property

5.0 These Guidelines will be submitted to the Members annually for review and approval.

5.2 On or before March 31, of each year, the Authority will submit the most recently reviewed and approved Guidelines to the Comptroller. At the time of filing of such Guidelines, the Authority will post the Guidelines on its internet website and will maintain such site until the Guidelines for the following year are approved.

5.3 The Contracting Officer will annually prepare a report listing all real property of the Authority and a full description of all real and personal property disposed of during such period. Such report will include the name of the purchaser of such Property and the price received. Such report will be submitted to the Members for review and approval for submission to the Comptroller, the Director of the Budget, the Commissioner of General Services, the Legislature (i.e., the New York State Assembly Administrative Regulation Revision Commission, the New York State Senate Administrative Regulation Revision Commission, Majority Leader of The Senate, and the Speaker of the Assembly), and the Authorities Budget Office.

5.4 Each explanatory statement prepared pursuant to Section 4.5 of the Guidelines will be transmitted to the Comptroller, the Director of the Budget, the Commissioner of General Services, the Legislature (i.e., the New York State Assembly Administrative Regulation Revision Commission, the New York State Senate Administrative Regulation Revision Commission, Majority Leader of The Senate, and the Speaker of the Assembly), and the Authorities Budget Office not less than ninety days in advance of such disposal, and a copy thereof will be preserved in the files of the Authority.

Resolution No. ____

RESOLVED, that the “Real Property Acquisition Policy Guidelines (June 2021),” as presented at this June 22, 2021 meeting, are approved and adopted;

BE IT FURTHER RESOLVED, that the “Guidelines, Operative Policy and Instructions for the Disposal of Real and Personal Property (June 2021),” as presented at this meeting, are approved and adopted; and

BE IT FURTHER RESOLVED, that the Annual Report on Disposition of Real and Personal Property (June 2021), as presented at this meeting, is adopted and approved as the Annual Report on Disposition of Real and Personal Property required by Section 2800 of the Public Authorities Law.

2020-2021 ANNUAL CONTRACTS REPORT

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

This annual report on procurement contracts has been prepared and approved pursuant to Section 2879 of the Public Authorities Law. The report covers the period April 1, 2020 through March 31, 2021.

Section 2879 of the Public Authorities Law provides that a public authority's procurement contracts guidelines shall set forth, among other things, areas of responsibility and oversight requiring the use of procurement and program contracts; the reasons for use of such contracts; requirements regarding selection of contractors, including circumstances relating to waiver of competition and provisions on board approval and annual review of contracts involving services to be rendered over a period greater than a year; provisions for the publication of a notice of proposed procurement contracts prior to award and the criteria for exemption from such publication; provisions applicable to participation by minority and women-owned business enterprises, service disabled veteran-owned business enterprises and by New York State business enterprises and New York State residents; a listing of types of provisions to be contained in procurement contracts; and provisions on such contracts which involve former officers or employees. This section of the law also includes requirements for periodic staff reports to the Members on procurement contracts and an annual report on such contracts by each public authority to the Division of the Budget, with copies to the Department of Audit and Control and the Legislature's fiscal committees.

A copy of the New York State Energy Research and Development Authority's ("Authority") procurement contract guidelines operative policy and instructions (Guidelines) is included in this Annual Report. These Guidelines comport with the requirements of Public Authorities Law Section 2879 for procurement contracts. Some key features of the Guidelines are described below.

Article III of the Guidelines outlines requirements for competitive selection of contractors, including factors to be considered in comparing offerers and requirements for documentation of selection processes. As permitted by PAL Section 2879, the Guidelines provide for the Members to waive competitive selection when waiver is in the best interest of the Authority. Section 3.2 waives competitive selection in certain limited circumstances. Section 3.3 of the Guidelines requires contract approval and annual review by the Members when the performance of personal services will extend more than one year.

Article VII contains provisions for promoting participation in procurement contracts by New York State businesses and New York State residents.

Article VIII provides for the submission of a notice for publication to the Commissioner of the Department of Economic Development providing notification and bidding information on procurement contract actions prior to their award. It also sets forth the criteria under which a procurement action is exempt from publication.

Article IX of the Guidelines provides for reports on procurement contracts to be made to the Members at the regularly scheduled meetings of the Members. It also requires Member approval

at the June meeting of an annual report to the Division of the Budget, with copies to be submitted to the Department of Audit and Control and the Legislature's fiscal committees and made available to the public.

AMENDMENTS TO THE PROCUREMENT AND PROGRAM CONTRACT GUIDELINES

Changes to the Procurement Contract Guidelines from those the Members approved in June 2020 include:

- September 2020 updated The Guidelines to allow for the waiving of procedures for selecting contractors when specified criteria are met, specifying that either an Authority Officer, or the Director of Contract Management, to the extent of each of their respective encumbrance authority pursuant to the Authority's Bylaws can approve such actions. The Guidelines are also being updated such that Memberships and Sponsorships follow the same procedures as other non-competitive actions.

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

PROCUREMENT AND PROGRAM CONTRACT GUIDELINES, OPERATIVE POLICY
AND INSTRUCTIONS

June 2020

Article I. Purpose and Applicability

1.0 These Procurement and Program Contract Guidelines ("Guidelines"), adopted pursuant to Public Authorities Law Sections 1854, 1855, and 2879, set forth the operative policies of the Authority and instructions to its officers and employees regarding the use, awarding, monitoring, and reporting of Procurement and Program Contracts.

1.1 These Guidelines apply to any Procurement or Program Contract entered into after they become effective pursuant to the provisions of Section 1853 of the Public Authorities Law.

Article II. Definitions

2.0 As used in these Guidelines, "Procurement Contract" means a written agreement for the Authority's acquisition of goods or services, in the actual or estimated amount of \$5,000 or more.

2.1 As used in these Guidelines, "Program Contract" means a contract in the actual or estimated amount of \$5,000 or more that is not a Procurement Contract. Program Contracts may include but are not limited to grants, investments, awards, incentives, memberships and other arrangements by which the Authority provides financial assistance to fund a third party's performance of work in furtherance of the mission of the Authority. Program Contracts shall also include: memberships in industry groups, professional societies and similar cooperative associations, and any cooperative projects conducted or sponsored by such organizations; and direct purchase advertising with radio, television or print media regarding program activities. Loans, guarantees, letters of credit and other contractual arrangements executed by Green Bank are not Program Contracts for purposes of these Guidelines; the consideration and approval of such transactions are governed by the relevant provisions of the Authority's Internal Control Manual and by Green Bank's internal rules and guidelines. Contracts for interests in real property are governed by separate Board approved Guidelines for Real Property.

2.2 As used in these Guidelines, "Other Administrative Contracts" mean Procurement Contracts to provide services to support the Authority's administrative functions for a fee, commission, or other compensation by a person or organization that is not performing that work as an officer or employee of the Authority, another public corporation, or a state agency. Other Administrative Contracts include, but are not limited to, equipment maintenance contracts, leases, insurance, telecommunications, or other similar services, but do not include Personal Services Contracts.

2.3 As used in these Guidelines, "Contractor" means a person or organization that enters into a Procurement or Program Contract with the Authority.

2.4 As used in these Guidelines, "Minority Business Enterprise" means any business enterprise certified by the Division of Minority and Women-Owned Business Development as a Minority Business Enterprise.

2.5 As used in these Guidelines, "Women-Owned Business Enterprise" means a business enterprise certified by the Division of Minority and Women-Owned Business Development as a Women-Owned Business Enterprise.

2.6 As used in these Guidelines, "New York State Business Enterprise" means a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange goods which are sought by the Authority and which are substantially manufactured, produced, or assembled in New York State or services which are sought by the Authority and which are substantially performed in New York State.

2.7 As used in these Guidelines, "New York State Small Business Enterprise" means a company that is a resident to New York State, independently owned and operated, with 100 or fewer employees, and not dominant in its field. See New York State Finance Law.

2.8 As used in these Guidelines, "Service-Disabled Veteran-Owned Business Enterprise (SDVOB)" means any business that is certified by the Office of General Services Division of Service-Disabled Veterans' Business Development as a certified service-disabled veteran-owned business enterprise.

2.9 As used in these Guidelines, a "New York State Resident" means a natural person who maintains a fixed, permanent, and principal home within New York State and to which such person, whenever temporarily located, always intends to return.

2.10 As used in these Guidelines, "Competitive Selection Method" shall include:

- i. solicitations, including program opportunity notices, requests for proposals, requests for information, requests for quotations and requests for qualifications;
- ii. subscription program offers;
- iii. for administrative purchases, if the issuance of a solicitation is reasonably expected to result in no more than nominal savings, obtaining at a minimum three (3) quotations from different providers or firms;
- iv. Procurement or Program Contracts made pursuant to centralized contracts let by other public entities pursuant to a competitive process, including those contracts of the New York State Office of General Services;
- v. procurement of commodities from a Contractor where the price to be charged by the Contractor is less than that which would be charged for the purchase substantially similar in function, form or utility made pursuant to a centralized contract let by the New York

- State Office of General Services or when other contract terms such as delivery or warranty terms are more economically beneficial;
- vi. processes to acquire or award applicable personal or real property in accordance with the provisions of Section 1902 of Title 9-B of Article 8 of the Public Authorities Law; or
 - vii. any other competitive method of selection that is consistent with these guidelines.

Article III. Requirements Regarding Selection of Contractors

3.0 Unless otherwise directed by law or order in the form of an authorization and direction to use funds in a certain manner¹, the Authority shall use its best efforts to secure offers from Contractors by using a Competitive Selection Method and to select from such offerers the Contractor offering the most favorable terms, weighing expected ability to perform against projected costs. To this end, the Authority shall:

- (a) solicit offers in writing from several persons or organizations known, believed, or reputed to be qualified in the area of supplying the goods, personal services, Authority program work, or other administrative services to be provided or performed, except that for administrative purchases authorized by Section 2.10 (iii), such quotations may be secured by telephone solicitations where time constraints do not permit securing a written bid and for procurement of catalog and other items generally purchased in the ordinary course of business or which do not require a detailed bid or description of materials or specifications.
- (b) evaluate those offers received on the basis of such information as the Authority has received on, as may be relevant, each offerer's technical or professional qualifications, financial stability, past performance for the Authority, staff, equipment, and facilities availability, experience, reputation, and other factors bearing on expected capability and quality of performance, possible conflicts of interest, and contract price or fee structure; and
- (c) document the processes by which a Contractor is selected, by making a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative services sought, the name of each person or organization submitting, or requested to submit, a bid or proposal, the price or fee bid, and the basis for selection of the Contractor.

3.1 In any instance, the members of the Authority may, by resolution, waive the use of the procedure for selecting Contractors outlined in Section 3.0 if they determine such waiver to be in the best interest of the Authority.

3.2 The members of the Authority, upon adoption of these Guidelines, hereby waive use of the procedures for selecting Contractors outlined in section 3.0 in those instances when:

¹ For a avoidance of doubt, this provision is included to acknowledge that the Authority's contracting guidelines do not apply in instances where the Authority is directed by law or order to use funds in a certain manner.

- a) the goods, personal services, Authority program work, or other administrative services involved are expected to cost \$50,000 or less;
- b) the goods, personal services, or other administrative services involved are expected to cost \$500,000 or less and are procured from a Minority Business Enterprise or Women-Owned Business Enterprise; a New York State Small Business Enterprise; or a Certified Service-Disabled Veteran-Owned Business Enterprise.
- c) for any Authority program work, the contract is based upon an unsolicited proposal, submitted at the sole initiative of the offerer, not duplicating work then being performed or in the project planning request stage, and involving unique, innovative, or unusual meritorious methods or ideas;
- d) an Authority Officer determines that:
 - i. the interest of the Authority for timely obtainment of the goods, personal services, Authority program work, or other administrative services involved precludes selection of a Contractor pursuant to such procedure; or,
 - ii. even though two or more Contractors could supply the required goods, personal services, Authority program work, or other administrative service, one particular Contractor has unique or exceptionally scarce qualifications or experience, specialized equipment, or facilities not readily available from other sources, or patents, copyrights, or proprietary data; or,
 - iii. there is only one source for a required good, personal service, Authority program work, or other administrative service; or,
 - iv. the contract is for goods, personal services or other administrative services and is based upon a contract awarded by the United States government, or any state or any political subdivision thereof, in accordance with the requirements of New York State Finance Law and the criteria established by the New York State Office of General Services (aka piggybacking); or,
 - v. the contract is for a membership in an industry group, professional society, or similar cooperative association the work of which has been determined by an Officer of the authority to be aligned with the strategy of the Authority and membership in which will benefit the Authority's mission; or
 - vi. the contract is for a sponsorship or procurement of space or services in relation to an industry or other mission-related conference, symposium or other similar event, the topic of which has been determined by an Officer of the Authority to be aligned with the strategy of the Authority and participation will benefit the Authority's mission.

provided, that in those instances the Authority shall nonetheless make a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative service involved, the name of the Contractor, the contract price or fee, and the basis for waiver and selection of the Contractor.

3.3 Board Approval of Procurement and Program Contracts

(a) Approval of the members of the Authority, either by prior action, when time permits, or by ratification at the next meeting of the Authority shall be required for any:

- i. Procurement Contract which provides for a period of performance by the Contractor in excess of one year;
- ii. Program Contract that is the result of a competitive process and which provides an estimated or actual amount of \$3 Million or more; and,
- iii. Program Contract that is the result of a noncompetitive process and which provides an estimated or actual amount greater than \$1 Million.

3.4 Independent auditors for the Authority shall be retained only with the prior approval of the members of the Authority.

3.5 The Authority may use its Competitive Selection Methods to select multiple Contractors to provide goods, personal services, Authority program work, and other administrative services. Individual task work orders may be awarded to these Contractors on an as-needed basis.

Article IV. Compliance with State Finance Law Sections 139-j and 139-k in the Selection of Contractors; Contractor Responsibilities and Types of Contract Provisions

4.0 The Authority shall comply with the requirements of State Finance Law Sections 139-j and 139-k in its selection of Contractors. Solicitations shall identify NYSERDA's Designated Contacts, require bidders to disclose any prior determination of non-responsibility, and to provide the other information required by State Finance Law Sections 139-j and 139-k.

The responsibilities of a Contractor, including continuing responsibilities under State Finance Law Sections 139-j and 139-k, shall be detailed in the contract. Contract provisions shall address the nature and scope of the goods, personal services, or Authority program work to be provided; the standard for performance, if practical; the duration of the work; any relevant time requirements or deadlines; and the amount of compensation to be paid or the price, rates, or fees which will be used to determine such compensation. Personal Services Contracts and Program Contracts shall also include provisions on monitoring of the work to be performed; provisions governing the Contractor's use of Authority supplies, facilities, or personnel, if permitted; any provisions required by applicable law; and any other provisions the Authority deems necessary or desirable.

Article V. Minority and Women-Owned Business Enterprises

5.0 It is the policy of the Authority to foster and promote participation of Minority Business Enterprises and Women-Owned Business Enterprises in its procurements and the development of such enterprises and to facilitate a fair share of the awarding of Procurement Contracts to such enterprises.

The MWBE rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 15-A of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project. Article 15-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Minority and Women-Owned Business Development to certify and decertify Minority Business Enterprises and Women-Owned Business Enterprises through a single process that meets applicable state and federal requirements.

On an annual basis, the Authority shall establish appropriate statewide goals for participation by Minority or Women-Owned Business Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority pursuant to § 313(1-b) of the Executive Law. In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Minority and Women's Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum

feasible portion of the goals it establishes and that will eliminate barriers to participation by Minority and Women-Owned Business Enterprises. This includes, but is not limited to:

- (a) The Authority shall maintain measures and procedures, as detailed in the Master Goal Plan, to ensure that certified businesses shall be given the opportunity for maximum feasible participation in the performance of appropriate state contracts and to assist in the Authority's identification of those state contracts for which certified businesses may best bid to actively and affirmatively promote and assist their participation.
- (b) The Authority will consult with the Division of Minority and Women's Business Development for assistance in identifying Minority Business Enterprises or Women-Owned Business Enterprises, and refer to any known list maintained by any other State department or agency that identifies such enterprises by area of expertise.
- (c) With the assistance of the Director of Contract Management, the Authority shall maintain its own list of such enterprises, including professional firms that have expressed an interest in doing business with the Authority, and shall ensure that the list is updated regularly.
- (d) The Authority shall provide notice of procurement opportunities to professional and other organizations that serve Minority and Women-Owned Business Enterprises providing the types of services the Authority procures, and solicit or request offers from Minority and Women-Owned Businesses known to have experience in the type of goods, personal services, and other administrative services involved.
- (e) Each solicitation document for a qualified solicitation shall set forth the expected degree of Minority and Women-Owned Business Enterprise participation, and the Authority shall provide to each prospective contractor a current list of certified Minority and Women-Owned Business Enterprises. The expected degree of Minority and Women-Owned Business Enterprise participation shall be based, in part, on (i) the potential subcontract opportunities available in the prime procurement contract; and (ii) the availability of certified Minority and Women-Owned Business Enterprises to respond competitively to the potential subcontract opportunities.
- (f) Written solicitations or requests for bids or proposals for Procurement Contracts will include a statement of the Authority's affirmative action policy.
- (g) Allow bidders to count the Minority and Women-Owned Business Enterprise portion of a joint venture towards meeting its minority business enterprise goal.
- (h) For each qualified contract, the Authority shall: (i) consider, where practicable, the severability of construction projects and other bundled contracts; (ii) evaluate the appropriateness of the goal established in the Master Goal Plan; (iii) consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business Enterprises which effectuates the purpose of the Authority's policy; and (iv)

consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

The Authority may waive obligations of a contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of Article 9 of the Public Authorities Law relative to the waiver provisions contained in § 313(6) and (7) of the Executive Law. In addition, the Authority shall verify that Minority and Women-Owned Business Enterprises listed in a successful bid are actually participating to the extent listed in the project for which the bid was submitted.

The President and CEO of the Authority has general policy responsibility for the Authority's Minority and Women-Owned Business Enterprise program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Treasurer, shall manage day-to-day responsibilities for M/WBE reporting and implementation. The Authority Counsel's Office shall be responsible for M/WBE legislation oversight and compliance.

Through its program, the Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified procurement contracts, as identified by the Director of Contract Management, to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified procurement contracts with the Authority.

Article VI. Contracts with Former Officers or Employees

6.0 Contracts with former officers or employees of the Authority shall be permitted where the goods, personal services, Authority program work, or other administrative services are to the best advantage of the Authority and the contractual relationship is not otherwise prohibited by law or Authority policy. In particular, any former officer or employee of the Authority shall be held to the restrictions set forth in Public Authorities Law Section 73(8) on receiving compensation for any services rendered with respect to specified types of cases, proceedings, applications, matters, and transactions.

Article VII. New York State Business Enterprises and New York State Residents

7.0 It is the policy of the Authority to promote participation in qualified Procurement or Program Contracts by New York State Business Enterprises and New York State Residents, by encouraging them to compete through measures including, but not limited to:

- (a) Collecting and consulting the specifications of New York State Business Enterprises in developing any specifications for any Procurement or Program Contract for the purchase of goods where possible, practicable, feasible, and consistent with open bidding, except for Procurement or Program Contracts for which the Authority would be expending funds received from another state. The Authority will, where feasible, make use of the stock order specification forms prepared by the Commissioner of General Services and, where necessary, consult with the Commissioner of General Services in developing such specifications and making such determinations.
- (b) With the cooperation of the Department of Economic Development and through cooperative efforts with Contractors, providing for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on qualified Procurement Contracts in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by Contractors with such notification. Once awarded the contract, such Contractors shall document their efforts to encourage the participation of New York State Business Enterprises as subcontractors and suppliers on such qualified Procurement Contracts. Documented efforts by a successful Contractor shall consist of and be limited to showing that such Contractor has (a) solicited bids, in a timely and adequate manner, from New York State Business Enterprises, including certified minority and women-owned businesses, or (b) contacted the New York State Department of Economic Development to obtain listings of New York State Business Enterprises, or (c) placed notices for subcontractors and suppliers in newspapers, journals, and other trade publications circulated in New York State, or (d) participated in bidder outreach conferences. If the Contractor determines that New York State Business Enterprises are not available to participate on the contract as subcontractors or suppliers, the Contractor shall provide a statement indicating the method by which such determination was made. If the Contractor does not intend to use subcontractors on the contract, the Contractor shall provide a statement verifying such intent.
- (c) Except for Procurement Contracts for which the Authority would be expending funds received from another state, including in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development, including the directory of certified minority and women-owned businesses, and that it is the policy of New York State to encourage the use of New York State subcontractors and suppliers and to promote the participation of minority and women-owned businesses, where possible, in the procurement of goods and services.
- (d) With the cooperation of the Community Services Division of the Department of Labor and through cooperative efforts with Contractors, providing for the notification of New York State residents of employment opportunities arising in New York State out of Procurement Contracts in an amount estimated to be equal to or greater than one million dollars; and promulgating procedures that will assure compliance by Contractors with notification by requiring Contractors to submit post-award compliance reports documenting their efforts to

provide such notification through listing any such positions with the Community Services Division or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.

- (e) Including in each set of documents soliciting bids on qualified Procurement Contracts a statement notifying potential bidders in foreign countries that the Authority may assign or otherwise transfer offset credits created by such Procurement Contract to third parties located in New York State; providing for the assignment or other form of transfer of offset credits created by such Procurement Contracts, directly or indirectly, to third parties located in New York State, in accordance with the written directions of the Commissioner of Economic Development; and providing for the Authority otherwise to cooperate with the Department of Economic Development in efforts to get foreign countries to recognize offset credits assigned or transferred to third parties located in New York State created by such Procurement Contracts.
- (f) Promulgating procedures that will assure compliance with the federal Equal Employment Opportunity Act of 1972 (Pub. L. 92-261), as amended, by Contractors.

The Authority shall notify the Commissioner of Economic Development of the expected award of any Procurement or Program Contract for the purchase of goods, personal services, Authority program work, or other administrative services from a foreign business enterprise in an amount equal to or greater than one million dollars (\$1,000,000) simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement or Program Contract until at least fifteen (15) days have elapsed, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the foreign business enterprise, a brief description of the goods or services to be obtained pursuant to the proposed Procurement Contract, the amount of the proposed Procurement Contract, the term of the proposed Procurement Contract, and the name of the individual at the foreign business enterprise or person acting on behalf of the same who is principally responsible for the proposed Procurement Contract. For the purposes of this subdivision, “foreign business enterprise” means a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods which are sought by the Authority and which are substantially produced outside New York State, or services, other than construction services, sought by the Authority and which are substantially performed outside New York State. For purposes of construction services, a foreign business enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside of New York State.

Article VIII. Publication of Notice of Procurement and Program Contracts

8.0 Except as provided in Section 9.1 below, prior to awarding any Procurement or Program Contract, the Authority shall submit to the Commissioner of the Department of Economic Development information on: (i) the contract identification number; (ii) a brief description of the goods or services sought, the location where goods are to be delivered or services provided and

the contract term; (iii) the address where bids or proposals are to be submitted; (iv) the date when bids or proposals are due; (v) a description of any eligibility or qualification requirement or preference; (vi) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture, or co-production arrangement; (vii) any other information deemed useful to potential Contractors; and (viii) the name, address, and telephone number of the person to be contacted for additional information. Such information shall be submitted in sufficient time to allow a minimum of fifteen business days between publication of such notice and the date on which a bid or proposal is due, except where a shorter period is specifically authorized by law.

8.1 The provisions of Section 9.0 shall not apply to Procurement or Program Contracts: (i) awarded on an emergency or critical basis, or on the basis that publication is otherwise not feasible, such as those described in Sections 4.2 and 2.11 (ii) - (vi) of these Guidelines; (ii) being re-bid or re-solicited for substantially the same goods or services, within forty-five business days after the date bids or proposals were originally due; or (iii) any other procurements the Commissioner of the Department of Economic Development has determined do not require publication.

Article IX. Reporting of Procurement and Program Contracts

9.0 Procurement Contracts

- (a) As required by Public Authorities Law Section 2879, the Authority shall prepare for approval by the members at their June meeting, or at least annually, a report on Procurement Contracts, for the fiscal year ending March 31 of each year, which report shall include:
 - i. The Procurement Contract Guidelines;
 - ii. An explanation of the Guidelines and any amendments since the last annual report on Procurement Contracts;
 - iii. A list of all Procurement Contracts entered into and active during the reporting period. This will include identification of:
 - a. All contracts with New York business enterprises;
 - b. All contracts with foreign business enterprises;
 - c. All contracts with certified Minority or Women-Owned Business Enterprises;
 - d. All contracts with certified Service-Disabled Veteran-Owned Business Enterprises;
 - e. The subject matter and value thereof;
 - iv. A summary of all Procurement Contracts which were exempt from the publication requirements under article 4-c of the economic development law, the basis for any such exemption and the status of existing Procurement Contracts.
- (b) The members' approval of this report, which will include a list of all Procurement Contracts entered into during the prior year which provide for a period of performance by the

contractor in excess of one year or which have continued for over one year, will be the members' approval of such individual Procurement Contracts, as required by Public Authorities Law Section 2879(3)(b)(iii).

Upon approval by the members of the Authority, the Authority shall submit the annual report on Procurement Contracts to the Division of the Budget, with copies to the Senate Finance Committee, the Assembly Ways and Means Committee, the Department of Audit and Control, and the Department of Economic Development.

9.1 Program Contracts

- (a) The Authority shall prepare for review by the members at their June meeting, or at least annually, a report on Program Contracts, which report shall include description of the program contracting performed by the fiscal year, broken down by portfolio and program, that is sufficient to provide to the members an accurate reflection of the kinds and level of investment made by each of the Authority's programmatic portfolios and programs. Separate from this requirement, the Authority will also make available upon request to the members, a copy of the semi-annual reports that are required to be prepared under Public Authorities Law Section 1867(7).

9.2 The Authority shall make copies of its reports on Procurement and Program Contracts available on its website.

Article X. Miscellaneous Provisions

10.0 No violation of or failure to comply with the provisions of these Guidelines shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract; nor shall any provision of these Guidelines or any violation of or failure to comply with such provisions be the basis for any claim against the Authority or any member, officer, or employee of the Authority.

10.1 The members of the Authority may, from time to time, amend these Guidelines, provided that no such amendment shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract.

Article XI. Service-Disabled Veteran-Owned Business Enterprises

11.0 It is the policy of the Authority to increase participation of Certified Service-Disabled Veteran-Owned Business (SDVOB) Enterprises in its procurements.

The SDVOB rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d) of New York State Executive Law. State Contract is defined

as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project.

Article 17-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified SDVOB Enterprises in qualified Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified SDVOB Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified SDVOB Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Service-Disabled Veterans' Business Development (DSDVD) within the New York State Office of General Services to certify and decertify eligible SDVOB Enterprises through a process that meets applicable state requirements.

On an annual basis, the Authority shall establish appropriate agency-specific goals for participation by SDVOB Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of SDVOB Enterprises as subcontractors and suppliers by entities having qualified State Contracts with the Authority pursuant to Article 17-B of the Executive Law and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d). In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Service-Disabled Veterans' Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by SDVOB enterprises.

The Authority has three primary options for using SDVOB Enterprises in its procurements: SDVOB Set Asides; SDVOB Contract Goal Setting; and, SDVOB Discretionary Purchasing. It is up to the Authority to determine which option, or combination of options, can best achieve the Authority goals in the Master Goal Plan.

(a) SDVOB Set Asides

- i. Set-asides permit the reservation in whole or in part of certain procurements by the Authority for SDVOBs when more than one SDVOB Enterprise is available and can provide the necessary construction, construction services, non-construction related services (including finance/bond management), technology, commodities, products and other classifications that will facilitate meeting the goal and meeting the Authority's form, function and utility.
- ii. SDVOB Contract Goal Setting
 - i. A required percentage of SDVOB participation may be placed on qualified procurements. Any contract that conforms to the definition of State Contract in Article 17-B of the Executive Law, and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d), unless exempt or excluded, may be assessed for SDVOB participation goals.
- iii. SDVOB Discretionary Purchasing
 - i. SDVOB Enterprises may be chosen when making discretionary purchases up to \$200,000, pursuant to Section 4.2(b) of these Guidelines and State Finance Law.

(b) Waivers

- i. An SDVOB waiver is a reduction or elimination of the SDVOB goal that has been established for a qualified contract. The Authority may determine to make such a waiver in the event that it determines that such a waiver is necessary; moreover, it may grant a partial or total waiver of goal requirements established on a State Contract upon the submission of a waiver form by a contractor, documenting good faith efforts, as defined in the regulations, by the contractor to meet the goal requirements of the State Contract. The Authority shall consider the factors set out in the regulations in making its determination to grant or deny the waiver request.

The President and CEO of the Authority has general policy responsibility for the Authority's SDVOB Program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Chief Operating Officer, shall manage day-to-day responsibilities for SDVOB reporting and implementation. The Authority Counsel's Office shall be responsible for SDVOB legislation oversight and compliance.

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

PROCUREMENT AND PROGRAM CONTRACT GUIDELINES, OPERATIVE POLICY
AND INSTRUCTIONS

June 2021

Article I. Purpose and Applicability

1.0 These Procurement and Program Contract Guidelines ("Guidelines"), adopted pursuant to Public Authorities Law Sections 1854, 1855, and 2879, set forth the operative policies of the Authority and instructions to its officers and employees regarding the use, awarding, monitoring, and reporting of Procurement and Program Contracts.

1.1 These Guidelines apply to any Procurement or Program Contract entered into after they become effective pursuant to the provisions of Section 1853 of the Public Authorities Law.

Article II. Definitions

2.0 As used in these Guidelines, "Procurement Contract" means a written agreement for the Authority's acquisition of goods or services, in the actual or estimated amount of \$5,000 or more.

2.1 As used in these Guidelines, "Program Contract" means a contract in the actual or estimated amount of \$5,000 or more that is not a Procurement Contract. Program Contracts may include but are not limited to grants, investments, awards, incentives, memberships and other arrangements by which the Authority provides financial assistance to fund a third party's performance of work in furtherance of the mission of the Authority. Program Contracts shall also include: memberships in industry groups, professional societies and similar cooperative associations, and any cooperative projects conducted or sponsored by such organizations; and direct purchase advertising with radio, television or print media regarding program activities. Loans, guarantees, letters of credit and other contractual arrangements executed by Green Bank are not Program Contracts for purposes of these Guidelines; the consideration and approval of such transactions are governed by the relevant provisions of the Authority's Internal Control Manual and by Green Bank's internal rules and guidelines. Contracts for interests in real property are governed by separate Board approved Guidelines for Real Property.

2.2 As used in these Guidelines, "Other Administrative Contracts" mean Procurement Contracts to provide services to support the Authority's administrative functions for a fee, commission, or other compensation by a person or organization that is not performing that work as an officer or employee of the Authority, another public corporation, or a state agency. Other Administrative Contracts include, but are not limited to, equipment maintenance contracts, leases, insurance, telecommunications, or other similar services, but do not include Personal Services Contracts.

2.3 As used in these Guidelines, "Contractor" means a person or organization that enters into a Procurement or Program Contract with the Authority.

2.4 As used in these Guidelines, "Minority Business Enterprise" means any business enterprise certified by the Division of Minority and Women-Owned Business Development as a Minority Business Enterprise.

2.5 As used in these Guidelines, "Women-Owned Business Enterprise" means a business enterprise certified by the Division of Minority and Women-Owned Business Development as a Women-Owned Business Enterprise.

2.6 As used in these Guidelines, "New York State Business Enterprise" means a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange goods which are sought by the Authority and which are substantially manufactured, produced, or assembled in New York State or services which are sought by the Authority and which are substantially performed in New York State.

2.7 As used in these Guidelines, "New York State Small Business Enterprise" means a company that is a resident to New York State, independently owned and operated, with 100 or fewer employees, and not dominant in its field. See New York State Finance Law.

2.8 As used in these Guidelines, "Service-Disabled Veteran-Owned Business Enterprise (SDVOB)" means any business that is certified by the Office of General Services Division of Service-Disabled Veterans' Business Development as a certified service-disabled veteran-owned business enterprise.

2.9 As used in these Guidelines, a "New York State Resident" means a natural person who maintains a fixed, permanent, and principal home within New York State and to which such person, whenever temporarily located, always intends to return.

2.10 As used in these Guidelines, "Competitive Selection Method" shall include:

- i. solicitations, including program opportunity notices, requests for proposals, requests for information, requests for quotations and requests for qualifications;
- ii. subscription program offers;
- iii. for administrative purchases, if the issuance of a solicitation is reasonably expected to result in no more than nominal savings, obtaining at a minimum three (3) quotations from different providers or firms;
- iv. Procurement or Program Contracts made pursuant to centralized contracts let by other public entities pursuant to a competitive process, including those contracts of the New York State Office of General Services;
- v. procurement of commodities from a Contractor where the price to be charged by the Contractor is less than that which would be charged for the purchase substantially similar in function, form or utility made pursuant to a centralized contract let by the New York

- State Office of General Services or when other contract terms such as delivery or warranty terms are more economically beneficial;
- vi. processes to award agreements for disposition of personal or real property in accordance with the provisions of Section 1902 of Title 9-B of Article 8 of the Public Authorities Law; or
 - vii. any other competitive method of selection that is consistent with these guidelines.

Article III. Requirements Regarding Selection of Contractors

3.0 Unless otherwise directed by law or order in the form of an authorization and direction to use funds in a certain manner¹, the Authority shall use its best efforts to secure offers from Contractors by using a Competitive Selection Method and to select from such offerers the Contractor offering the most favorable terms, weighing expected ability to perform against projected costs. To this end, the Authority shall:

- (a) solicit offers in writing from several persons or organizations known, believed, or reputed to be qualified in the area of supplying the goods, personal services, Authority program work, or other administrative services to be provided or performed, except that for administrative purchases authorized by Section 2.10 (iii), such quotations may be secured by telephone solicitations where time constraints do not permit securing a written bid and for procurement of catalog and other items generally purchased in the ordinary course of business or which do not require a detailed bid or description of materials or specifications.
- (b) evaluate those offers received on the basis of such information as the Authority has received on, as may be relevant, each offerer's technical or professional qualifications, financial stability, past performance for the Authority, staff, equipment, and facilities availability, experience, reputation, and other factors bearing on expected capability and quality of performance, possible conflicts of interest, and contract price or fee structure; and
- (c) document the processes by which a Contractor is selected, by making a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative services sought, the name of each person or organization submitting, or requested to submit, a bid or proposal, the price or fee bid, and the basis for selection of the Contractor.

3.1 In any instance, the members of the Authority may, by resolution, waive the use of the procedure for selecting Contractors outlined in Section 3.0 if they determine such waiver to be in the best interest of the Authority.

3.2 The members of the Authority, upon adoption of these Guidelines, hereby waive use of the

¹ For a avoidance of doubt, this provision is included to acknowledge that the Authority's contracting guidelines do not apply in instances where the Authority is directed by law or order to use funds in a certain manner.

procedures for selecting Contractors outlined in section 3.0 in those instances when:

- a) the goods, personal services, Authority program work, or other administrative services involved are expected to cost \$50,000 or less;
- b) the goods, personal services, or other administrative services involved are expected to cost \$500,000 or less and are procured from a Minority Business Enterprise or Women-Owned Business Enterprise; a New York State Small Business Enterprise; or a Certified Service-Disabled Veteran-Owned Business Enterprise.
- c) for any Authority program work, the contract is based upon an unsolicited proposal, submitted at the sole initiative of the offerer, not duplicating work then being performed or in the project planning request stage, and involving unique, innovative, or unusual meritorious methods or ideas;
- d) an Authority Officer or the Director of Contract Management, to the extent of each of their respective encumbrance authority pursuant to the Authority's Bylaws, determines that:
 - i. the interest of the Authority for timely obtainment of the goods, personal services, Authority program work, or other administrative services involved precludes selection of a Contractor pursuant to such procedure; or,
 - ii. even though two or more Contractors could supply the required goods, personal services, Authority program work, or other administrative service, one particular Contractor has unique or exceptionally scarce qualifications or experience, specialized equipment, or facilities not readily available from other sources, or patents, copyrights, or proprietary data; or,
 - iii. there is only one source for a required good, personal service, Authority program work, or other administrative service; or,
 - iv. the contract is for goods, personal services or other administrative services and is based upon a contract awarded by the United States government, or any state or any political subdivision thereof, in accordance with the requirements of New York State Finance Law and the criteria established by the New York State Office of General Services (aka piggybacking); or,
 - v. the contract is for a membership in an industry group, professional society, or similar cooperative association the work of which is aligned with the strategy of the Authority and membership in which will benefit the Authority's mission; or
 - vi. the contract is for a sponsorship or procurement of space or services in relation to an industry or other mission-related conference, symposium or other similar event, the topic

of which is aligned with the strategy of the Authority and participation will benefit the Authority's mission; or

- vii. processes to enter into agreements to acquire applicable personal or real property in accordance with the provisions of Section 1902 of Title 9-B of Article 8 of the Public Authorities Law,

provided, that in those instances the Authority shall nonetheless make a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative service involved, the name of the Contractor, the contract price or fee, and the basis for waiver and selection of the Contractor.

3.3 Board Approval of Procurement and Program Contracts

- (a) Approval of the members of the Authority, either by prior action, when time permits, or by ratification at the next meeting of the Authority shall be required for any:
 - i. Procurement Contract which provides for a period of performance by the Contractor in excess of one year;
 - ii. Program Contract that is the result of a competitive process and which provides an estimated or actual amount of \$3 Million or more; and,
 - iii. Program Contract that is the result of a noncompetitive process and which provides an estimated or actual amount greater than \$1 Million.

3.4 Independent auditors for the Authority shall be retained only with the prior approval of the members of the Authority.

3.5 The Authority may use its Competitive Selection Methods to select multiple Contractors to provide goods, personal services, Authority program work, and other administrative services. Individual task work orders may be awarded to these Contractors on an as-needed basis.

Article IV. Compliance with State Finance Law Sections 139-j and 139-k in the Selection of Contractors; Contractor Responsibilities and Types of Contract Provisions

4.0 The Authority shall comply with the requirements of State Finance Law Sections 139-j and 139-k in its selection of Contractors. Solicitations shall identify NYSERDA's Designated Contacts, require bidders to disclose any prior determination of non-responsibility, and to provide the other information required by State Finance Law Sections 139-j and 139-k.

The responsibilities of a Contractor, including continuing responsibilities under State Finance Law Sections 139-j and 139-k, shall be detailed in the contract. Contract provisions shall address the nature and scope of the goods, personal services, or Authority program work to be provided; the standard for performance, if practical; the duration of the work; any relevant time requirements or deadlines; and the amount of compensation to be paid or the price, rates, or fees which will be used

to determine such compensation. Personal Services Contracts and Program Contracts shall also include provisions on monitoring of the work to be performed; provisions governing the Contractor's use of Authority supplies, facilities, or personnel, if permitted; any provisions required by applicable law; and any other provisions the Authority deems necessary or desirable.

Article V. Minority and Women-Owned Business Enterprises

5.0 It is the policy of the Authority to foster and promote participation of Minority Business Enterprises and Women-Owned Business Enterprises in its procurements and the development of such enterprises and to facilitate a fair share of the awarding of Procurement Contracts to such enterprises.

The MWBE rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 15-A of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project. Article 15-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Minority and Women-Owned Business Development to certify and decertify Minority Business Enterprises and Women-Owned Business Enterprises through a single process that meets applicable state and federal requirements.

On an annual basis, the Authority shall establish appropriate statewide goals for participation by Minority or Women-Owned Business Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of Minority and Women-Owned Business Enterprises as

subcontractors and suppliers by entities having qualified procurement contracts with the Authority pursuant to § 313(1-b) of the Executive Law. In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Minority and Women's Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by Minority and Women-Owned Business Enterprises. This includes, but is not limited to:

- (a) The Authority shall maintain measures and procedures, as detailed in the Master Goal Plan, to ensure that certified businesses shall be given the opportunity for maximum feasible participation in the performance of appropriate state contracts and to assist in the Authority's identification of those state contracts for which certified businesses may best bid to actively and affirmatively promote and assist their participation.
- (b) The Authority will consult with the Division of Minority and Women's Business Development for assistance in identifying Minority Business Enterprises or Women-Owned Business Enterprises, and refer to any known list maintained by any other State department or agency that identifies such enterprises by area of expertise.
- (c) With the assistance of the Director of Contract Management, the Authority shall maintain its own list of such enterprises, including professional firms that have expressed an interest in doing business with the Authority, and shall ensure that the list is updated regularly.
- (d) The Authority shall provide notice of procurement opportunities to professional and other organizations that serve Minority and Women-Owned Business Enterprises providing the types of services the Authority procures, and solicit or request offers from Minority and Women-Owned Businesses known to have experience in the type of goods, personal services, and other administrative services involved.
- (e) Each solicitation document for a qualified solicitation shall set forth the expected degree of Minority and Women-Owned Business Enterprise participation, and the Authority shall provide to each prospective contractor a current list of certified Minority and Women-Owned Business Enterprises. The expected degree of Minority and Women-Owned Business Enterprise participation shall be based, in part, on (i) the potential subcontract opportunities available in the prime procurement contract; and (ii) the availability of certified Minority and Women-Owned Business Enterprises to respond competitively to the potential subcontract opportunities.
- (f) Written solicitations or requests for bids or proposals for Procurement Contracts will include a statement of the Authority's affirmative action policy.
- (g) Allow bidders to count the Minority and Women-Owned Business Enterprise portion of a joint venture towards meeting its minority business enterprise goal.

- (h) For each qualified contract, the Authority shall: (i) consider, where practicable, the severability of construction projects and other bundled contracts; (ii) evaluate the appropriateness of the goal established in the Master Goal Plan; (iii) consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business Enterprises which effectuates the purpose of the Authority's policy; and (iv) consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

The Authority may waive obligations of a contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of Article 9 of the Public Authorities Law relative to the waiver provisions contained in § 313(6) and (7) of the Executive Law. In addition, the Authority shall verify that Minority and Women-Owned Business Enterprises listed in a successful bid are actually participating to the extent listed in the project for which the bid was submitted.

The President and CEO of the Authority has general policy responsibility for the Authority's Minority and Women-Owned Business Enterprise program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Treasurer, shall manage day-to-day responsibilities for M/WBE reporting and implementation. The Authority Counsel's Office shall be responsible for M/WBE legislation oversight and compliance.

Through its program, the Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified procurement contracts, as identified by the Director of Contract Management, to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified procurement contracts with the Authority.

Article VI. Contracts with Former Officers or Employees

6.0 Contracts with former officers or employees of the Authority shall be permitted where the goods, personal services, Authority program work, or other administrative services are to the best advantage of the Authority and the contractual relationship is not otherwise prohibited by law or Authority policy. In particular, any former officer or employee of the Authority shall be held to the restrictions set forth in Public Authorities Law Section 73(8) on receiving compensation for any services rendered with respect to specified types of cases, proceedings, applications, matters, and transactions.

Article VII. New York State Business Enterprises and New York State Residents

7.0 It is the policy of the Authority to promote participation in qualified Procurement or Program Contracts by New York State Business Enterprises and New York State Residents, by encouraging them to compete through measures including, but not limited to:

- (a) Collecting and consulting the specifications of New York State Business Enterprises in developing any specifications for any Procurement or Program Contract for the purchase of goods where possible, practicable, feasible, and consistent with open bidding, except for Procurement or Program Contracts for which the Authority would be expending funds received from another state. The Authority will, where feasible, make use of the stock order specification forms prepared by the Commissioner of General Services and, where necessary, consult with the Commissioner of General Services in developing such specifications and making such determinations.
- (b) With the cooperation of the Department of Economic Development and through cooperative efforts with Contractors, providing for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on qualified Procurement Contracts in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by Contractors with such notification. Once awarded the contract, such Contractors shall document their efforts to encourage the participation of New York State Business Enterprises as subcontractors and suppliers on such qualified Procurement Contracts. Documented efforts by a successful Contractor shall consist of and be limited to showing that such Contractor has (a) solicited bids, in a timely and adequate manner, from New York State Business Enterprises, including certified minority and women-owned businesses, or (b) contacted the New York State Department of Economic Development to obtain listings of New York State Business Enterprises, or (c) placed notices for subcontractors and suppliers in newspapers, journals, and other trade publications circulated in New York State, or (d) participated in bidder outreach conferences. If the Contractor determines that New York State Business Enterprises are not available to participate on the contract as subcontractors or suppliers, the Contractor shall provide a statement indicating the method by which such determination was made. If the Contractor does not intend to use subcontractors on the contract, the Contractor shall provide a statement verifying such intent.
- (c) Except for Procurement Contracts for which the Authority would be expending funds received from another state, including in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development, including the directory of certified minority and women-owned businesses, and that it is the policy of New York State to encourage the use of New York State subcontractors and suppliers and to promote the participation of minority and women-owned businesses, where possible, in the procurement of goods and services.

- (d) With the cooperation of the Community Services Division of the Department of Labor and through cooperative efforts with Contractors, providing for the notification of New York State residents of employment opportunities arising in New York State out of Procurement Contracts in an amount estimated to be equal to or greater than one million dollars; and promulgating procedures that will assure compliance by Contractors with notification by requiring Contractors to submit post-award compliance reports documenting their efforts to provide such notification through listing any such positions with the Community Services Division or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.
- (e) Including in each set of documents soliciting bids on qualified Procurement Contracts a statement notifying potential bidders in foreign countries that the Authority may assign or otherwise transfer offset credits created by such Procurement Contract to third parties located in New York State; providing for the assignment or other form of transfer of offset credits created by such Procurement Contracts, directly or indirectly, to third parties located in New York State, in accordance with the written directions of the Commissioner of Economic Development; and providing for the Authority otherwise to cooperate with the Department of Economic Development in efforts to get foreign countries to recognize offset credits assigned or transferred to third parties located in New York State created by such Procurement Contracts.
- (f) Promulgating procedures that will assure compliance with the federal Equal Employment Opportunity Act of 1972 (Pub. L. 92-261), as amended, by Contractors.

The Authority shall notify the Commissioner of Economic Development of the expected award of any Procurement or Program Contract for the purchase of goods, personal services, Authority program work, or other administrative services from a foreign business enterprise in an amount equal to or greater than one million dollars (\$1,000,000) simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement or Program Contract until at least fifteen (15) days have elapsed, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the foreign business enterprise, a brief description of the goods or services to be obtained pursuant to the proposed Procurement Contract, the amount of the proposed Procurement Contract, the term of the proposed Procurement Contract, and the name of the individual at the foreign business enterprise or person acting on behalf of the same who is principally responsible for the proposed Procurement Contract. For the purposes of this subdivision, "foreign business enterprise" means a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods which are sought by the Authority and which are substantially produced outside New York State, or services, other than construction services, sought by the Authority and which are substantially performed outside New York State. For purposes of construction services, a foreign business enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside of New York State.

Article VIII. Publication of Notice of Procurement and Program Contracts

8.0 Except as provided in Section 9.1 below, prior to awarding any Procurement or Program Contract, the Authority shall submit to the Commissioner of the Department of Economic Development information on: (i) the contract identification number; (ii) a brief description of the goods or services sought, the location where goods are to be delivered or services provided and the contract term; (iii) the address where bids or proposals are to be submitted; (iv) the date when bids or proposals are due; (v) a description of any eligibility or qualification requirement or preference; (vi) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture, or co-production arrangement; (vii) any other information deemed useful to potential Contractors; and (viii) the name, address, and telephone number of the person to be contacted for additional information. Such information shall be submitted in sufficient time to allow a minimum of fifteen business days between publication of such notice and the date on which a bid or proposal is due, except where a shorter period is specifically authorized by law.

8.1 The provisions of Section 9.0 shall not apply to Procurement or Program Contracts: (i) awarded on an emergency or critical basis, or on the basis that publication is otherwise not feasible, such as those described in Sections 4.2 and 2.11 (ii) - (vi) of these Guidelines; (ii) being re-bid or re-solicited for substantially the same goods or services, within forty-five business days after the date bids or proposals were originally due; or (iii) any other procurements the Commissioner of the Department of Economic Development has determined do not require publication.

Article IX. Reporting of Procurement and Program Contracts

9.0 Procurement Contracts

- (a) As required by Public Authorities Law Section 2879, the Authority shall prepare for approval by the members at their June meeting, or at least annually, a report on Procurement Contracts, for the fiscal year ending March 31 of each year, which report shall include:
- i. The Procurement Contract Guidelines;
 - ii. An explanation of the Guidelines and any amendments since the last annual report on Procurement Contracts;
 - iii. A list of all Procurement Contracts entered into and active during the reporting period. This will include identification of:
 - a. All contracts with New York business enterprises;
 - b. All contracts with foreign business enterprises;
 - c. All contracts with certified Minority or Women-Owned Business Enterprises;
 - d. All contracts with certified Service-Disabled Veteran-Owned Business Enterprises;
 - e. The subject matter and value thereof;

- iv. A summary of all Procurement Contracts which were exempt from the publication requirements under article 4-c of the economic development law, the basis for any such exemption and the status of existing Procurement Contracts.
- (b) The members' approval of this report, which will include a list of all Procurement Contracts entered into during the prior year which provide for a period of performance by the contractor in excess of one year or which have continued for over one year, will be the members' approval of such individual Procurement Contracts, as required by Public Authorities Law Section 2879(3)(b)(iii).

Upon approval by the members of the Authority, the Authority shall submit the annual report on Procurement Contracts to the Division of the Budget, with copies to the Senate Finance Committee, the Assembly Ways and Means Committee, the Department of Audit and Control, and the Department of Economic Development.

9.1 Program Contracts

- (a) The Authority shall prepare for review by the members at their June meeting, or at least annually, a report on Program Contracts, which report shall include description of the program contracting performed by the fiscal year, broken down by portfolio and program, that is sufficient to provide to the members an accurate reflection of the kinds and level of investment made by each of the Authority's programmatic portfolios and programs. Separate from this requirement, the Authority will also make available upon request to the members, a copy of the semi-annual reports that are required to be prepared under Public Authorities Law Section 1867(7).

9.2 The Authority shall make copies of its reports on Procurement and Program Contracts available on its website.

Article X. Miscellaneous Provisions

10.0 No violation of or failure to comply with the provisions of these Guidelines shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract; nor shall any provision of these Guidelines or any violation of or failure to comply with such provisions be the basis for any claim against the Authority or any member, officer, or employee of the Authority.

10.1 The members of the Authority may, from time to time, amend these Guidelines, provided that no such amendment shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract.

Article XI. Service-Disabled Veteran-Owned Business Enterprises

11.0 It is the policy of the Authority to increase participation of Certified Service-Disabled Veteran-Owned Business (SDVOB) Enterprises in its procurements.

The SDVOB rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d) of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project.

Article 17-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified SDVOB Enterprises in qualified Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified SDVOB Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified SDVOB Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Service-Disabled Veterans' Business Development (DSDVD) within the New York State Office of General Services to certify and decertify eligible SDVOB Enterprises through a process that meets applicable state requirements.

On an annual basis, the Authority shall establish appropriate agency-specific goals for participation by SDVOB Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of SDVOB Enterprises as subcontractors and suppliers by entities having qualified State Contracts with the Authority pursuant to Article 17-B of the Executive Law and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d). In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Service-Disabled Veterans' Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by

SDVOB enterprises.

The Authority has three primary options for using SDVOB Enterprises in its procurements: SDVOB Set Asides; SDVOB Contract Goal Setting; and, SDVOB Discretionary Purchasing. It is up to the Authority to determine which option, or combination of options, can best achieve the Authority goals in the Master Goal Plan.

(a) SDVOB Set Asides

- i. Set-asides permit the reservation in whole or in part of certain procurements by the Authority for SDVOBs when more than one SDVOB Enterprise is available and can provide the necessary construction, construction services, non-construction related services (including finance/bond management), technology, commodities, products and other classifications that will facilitate meeting the goal and meeting the Authority's form, function and utility.
- ii. SDVOB Contract Goal Setting
 - i. A required percentage of SDVOB participation may be placed on qualified procurements. Any contract that conforms to the definition of State Contract in Article 17-B of the Executive Law, and as specifically applied to NYSEDA in Article 17-B Section 369-h(6)(d), unless exempt or excluded, may be assessed for SDVOB participation goals.
- iii. SDVOB Discretionary Purchasing
 - i. SDVOB Enterprises may be chosen when making discretionary purchases up to \$200,000, pursuant to Section 4.2(b) of these Guidelines and State Finance Law.

(b) Waivers

- i. An SDVOB waiver is a reduction or elimination of the SDVOB goal that has been established for a qualified contract. The Authority may determine to make such a waiver in the event that it determines that such a waiver is necessary; moreover, it may grant a partial or total waiver of goal requirements established on a State Contract upon the submission of a waiver form by a contractor, documenting good faith efforts, as defined in the regulations, by the contractor to meet the goal requirements of the State Contract. The Authority shall consider the factors set out in the regulations in making its determination to grant or deny the waiver request.

The President and CEO of the Authority has general policy responsibility for the Authority's SDVOB Program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Chief Operating Officer, shall manage day-to-day responsibilities for SDVOB reporting and implementation. The Authority Counsel's Office shall be responsible for SDVOB legislation oversight and compliance.

ANNUAL CONTRACTS REPORT : Section 1 Summary 4/1/2020 to 3/31/2021

Category	Report Type	Type	Count of Contracts	Committed this period
PCMT	Procurement	Competitive	303	\$96,823,176
		Discretionary	164	\$8,728,146
		Non-Competitive	30	\$3,643,674
	Total	497	\$109,194,996	
PGRM	Program	Competitive	3,414	\$3,008,641,921
		Discretionary	58	\$3,857,918
		Non-Competitive	30	\$4,507,617
	Total	3,502	\$3,017,007,457	
Grand Total			3,999	\$3,126,202,452

Section 1 Summary - Percentages

% Contract Counts Competitively	% of \$Committed this period Competitively
98.41%	99.74%

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1				Project Description	Date	Committed this	Committed to Date	Amt Paid this	Amt Paid to Date
Category	Initiative	Contract ID	Type	Year	Contractor			period	Period	Period	Period
PCMT	>200KW PV	104259	Competitive	Y	Hodgson Russ LLP	RFP 3300 & RFP 3776 Outside Co	9/27/2016		\$315,000	\$955	\$89,185
PCMT	>200KW PV	104266	Competitive	Y	Couch White, LLP	RFP 3300 Outside Counsel Servi	9/27/2016		\$111,313		\$7,233
PCMT	>200KW PV	112974	Discretionary	Y	CHA Consulting Inc.	SEOR Services	4/21/2017		\$50,000		\$11,467
PCMT	>200KW PV	156843	Competitive	N	Institute for Building Technology and Sa	TWO 3 CAT 1 NY-Sun Large C&I	8/11/2020	\$331,800	\$331,800	\$90,394	\$90,394
PCMT	>200KW PV	161436	Discretionary	N	CHA Consulting Inc.	DC for SEOR Assistance	12/1/2020	\$10,000	\$10,000	\$8,457	\$8,457
PCMT	>200KW PV	165311	Competitive	N	Energy and Environmental Economics Inc.	TWO#27 CValue&Solar W/ht Papers	3/8/2021	\$255,000	\$255,000		
PCMT	ADVANCED ENERGY CODES	38888	Competitive	Y	Institute for Building Technology and Sa	Energy Code Training: EPro	1/10/2014		\$897,133	\$8,109	\$830,883
PCMT	ADVANCED ENERGY CODES	62667	Competitive	Y	Building Media, Inc.	Energy Code Training and Suppo	4/16/2015		\$349,921		\$214,629
PCMT	ADVANCED ENERGY CODES	64597	Competitive	Y	ICF Resources, LLC	ECODE Microsite	5/13/2015		\$249,961	\$22,092	\$180,740
PCMT	Advancing Ag Enrgy Technologie	148164	Competitive	Y	C.J. Brown Energy, P.C.	AAET - Tech Review - CJ Brown	11/26/2019		\$9,320	\$2,606	\$8,397
PCMT	Advancing Ag Enrgy Technologie	150947	Competitive	Y	Arcadis U.S., Inc.	AAET Tech Review Arcadis TWO	2/4/2020		\$5,000	\$2,867	\$2,867
PCMT	AGRICULTURE ENERGY EFFICIENCY	35743	Competitive	Y	Ensava, Inc.	AEEP Implementation Contractor	9/26/2013		\$4,217,605	\$301,644	\$3,789,693
PCMT	Air Source Heat Pumps	115212	Discretionary	N	Northeast Energy Efficiency Partnerships	NEEP 2017 Membership	6/27/2017		\$109,000	\$0	\$109,000
PCMT	Air Source Heat Pumps	134780	Discretionary	N	Frontier Energy, Inc.	Develop ASHP Pro Forma Tool	12/5/2018		\$43,980		\$43,903
PCMT	Air Source Heat Pumps	145373	Competitive	N	Frontier Energy, Inc.	TWO - ASHP Pro Forma	9/26/2019		\$47,000	\$13,138	\$46,988
PCMT	Air Source Heat Pumps	150442	Discretionary	N	Walter Snyder Printer, Inc.	Printing and Mailing services	1/21/2020		\$7,000	\$2,805	\$6,569
PCMT	Air Source Heat Pumps	153829	Discretionary	Y	Owahgena Consulting, Inc.	Heat Pump Technical Assistance	4/30/2020	\$156,413	\$156,413	\$105,126	\$105,126
PCMT	Air Source Heat Pumps	158299	Competitive	N	Randstad North America, Inc.	Payroll Services -CHC TWO#29	9/16/2020	\$16,000	\$16,000	\$14,862	\$14,862
PCMT	Air Source Heat Pumps	158961	Competitive	Y	The Cadmus Group, LLC	TWO #9 Whole House ASHP	10/1/2020	\$50,000	\$50,000	\$7,823	\$7,823
PCMT	ANAEROBIC DIGESTER	29202	Competitive	Y	L&S Energy Services, Inc.	RPS-CST Quality Assurance/Qual	9/17/2012		\$200,000		\$47,469
PCMT	ANAEROBIC DIGESTER	29204	Competitive	Y	Wendel WD Architecture, Engineering,	RPS-CST Quality Assurance/Qual	9/17/2012		\$71,292	\$2,500	\$67,744
PCMT	Behavior Support for Pilots	127000	Discretionary	Y	Action Research Inc.	Behavior Support for Pilots	5/17/2018		\$50,000		
PCMT	BR: Program Implementation	157999	Competitive	Y	Bond, Schoeneck & King, PLLC	BR-Outside Legal	9/10/2020	\$100,000	\$100,000	\$85,389	\$85,389
PCMT	BR: Program Implementation	159688	Competitive	Y	Sive Paget & Riesel PC	BR Outside Counsel Support	10/19/2020	\$100,000	\$100,000	\$98,356	\$98,356
PCMT	Buildings of Excellence	163101	Discretionary	N	Essense Partners Inc.	Round 2 BOE Awards Show	1/8/2021	\$45,000	\$45,000	\$27,324	\$27,324
PCMT	Campus/Technical Assistance	111668	Non-Competitive	N	Yale University	ENERGY ANALYSIS	3/17/2017		\$300,000	\$52,880	\$300,000
PCMT	Capital Planning	164468	Competitive	Y	Kelliher Samets Volk	TWO 38 MF Low Carbon	2/12/2021	\$20,060	\$20,060		
PCMT	CEF Review BC Analysis	157591	Competitive	N	Energy and Environmental Economics Inc.	TWO#25 BCA Technical Support	9/1/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	CI Carbon Challenge	150607	Discretionary	Y	Adam Hinge	CI Carbon Challenge Empire Ret	1/27/2020		\$200,000	\$77,359	\$77,359
PCMT	CI Carbon Challenge	151009	Discretionary	N	Level Agency for Infrastructure, LLC	C&I Carbon Challenge Insight	2/6/2020		\$50,000	\$40,000	\$50,000
PCMT	CI Carbon Challenge	153099	Non-Competitive	N	Jared Rodriguez	Support for EBC MF Low Carbon	4/2/2020	\$250,000	\$250,000	\$224,525	\$224,525
PCMT	CI Carbon Challenge	ADH0014470	Competitive	Y	CHA Consulting Inc.	SA.019 TWO#1 - Industrial	11/20/2020	\$302,348	\$302,348	\$0	\$0
PCMT	CI Carbon Challenge	ADH0014874	Competitive	Y	CHA Consulting Inc.	SA.030 TWO#2 - Industrial	11/20/2020	\$179,000	\$179,000	\$0	\$0
PCMT	CI Carbon Challenge	ADH0014876	Competitive	Y	CHA Consulting Inc.	SA.031 TWO#3 - Industrial	11/24/2020	\$157,500	\$157,500	\$0	\$0
PCMT	CI Carbon Challenge	ADH0014878	Competitive	Y	CHA Consulting Inc.	SA.034 TWO#5 - Industrial	11/25/2020	\$150,142	\$150,142	\$12,448	\$12,448
PCMT	CI Carbon Challenge	ADH0014886	Competitive	Y	EME Consulting Engineering Group, LLC	SA.034 TWO#4 - Industrial	11/24/2020	\$154,757	\$154,757		
PCMT	CI Enrgy Siting & Soft Cost Red	101044	Competitive	Y	Arcadis of New York, Inc.	Large Scales Renewables Techni	7/15/2016		\$632,727	\$110,781	\$486,358
PCMT	CI Enrgy Siting & Soft Cost Red	139434	Competitive	N	Arch Street Communications, Inc.	TWO 3: LSR RFP Outreach	4/23/2019		\$49,500	\$1,957	\$48,688
PCMT	CI Enrgy Siting & Soft Cost Red	142616	Competitive	Y	Randstad North America, Inc.	TS.001 - CES Coordinator	7/17/2019		\$160,542	\$7,481	\$56,248
PCMT	CI Enrgy Siting & Soft Cost Red	154360	Competitive	Y	Brattle Group Inc.	Build Ready	5/22/2020	\$150,000	\$150,000	\$7,867	\$7,867
PCMT	CI Enrgy Siting & Soft Cost Red	154790	Non-Competitive	N	TRC Energy Services	Staff Augmentation Services	6/10/2020	\$120,000	\$120,000		
PCMT	CI Enrgy Siting & Soft Cost Red	163481	Competitive	N	Consensus Building Institute, Inc.	TWO #2 A-TWG Facilitator	1/20/2021	\$149,949	\$149,949	\$11,622	\$11,622
PCMT	Clean Energy Communities	106226	Competitive	Y	Arch Street Communications, Inc.	Marketing Support for NYSDA	11/3/2016		\$604,000	\$14,163	\$597,141
PCMT	Clean Energy Communities	146720	Competitive	Y	DNV Energy Insights USA Inc.	TWO 9 - CEC	10/28/2019		\$727,961	\$467,466	\$685,062
PCMT	Clean Energy Communities	149636	Competitive	Y	Randstad North America, Inc.	Temp Services	12/30/2019		\$21,600	\$15,023	\$21,600
PCMT	Clean Energy Communities	149636	Non-Competitive	Y	Randstad North America, Inc.	Temp Services	12/30/2019	\$143,000	\$143,000	\$29,471	\$29,471
PCMT	CLEAN TRANSPORTATION	114065	Competitive	Y	Center for Sustainable Energy	Centralized Services & Support	5/25/2017		\$850,184	\$284,884	\$437,257
PCMT	CLEAN TRANSPORTATION	140105	Competitive	Y	Industrial Economics, Incorporated	TWO 2 New York State Truck - V	5/9/2019	\$1,612,767	\$2,012,767	\$326,149	\$540,082
PCMT	CLEAN TRANSPORTATION	150855	Competitive	Y	The Cadmus Group, LLC	TWO 5 Clean Transp Roadmap	1/31/2020	\$1,300,000	\$1,300,000	\$1,236,353	\$1,236,353
PCMT	Clean Transportation	156628	Competitive	Y	Wendel Energy Services, LLC	NY Truck Voucher Prg. - Wendel	8/5/2020	\$20,000	\$20,000		
PCMT	CLEAN TRANSPORTATION	164366	Discretionary	N	Resource Systems Group, Inc.	Modeling support for TAP	2/10/2021	\$20,000	\$20,000		
PCMT	CLEANER GREENER COMMUNITIES	33867	Competitive	Y	Ecology and Environment Engineering	CGC Implementation Contractor	7/16/2013		\$4,500,000	\$247,759	\$4,500,000
PCMT	CLEANER GREENER COMMUNITIES	119375	Competitive	Y	Erdman Anthony & Associates, Inc.	RFP3628FlexTechConsultUmbrella	10/17/2017		\$56,408	\$1,690	\$13,754
PCMT	CLEANER GREENER COMMUNITIES	123212	Competitive	Y	Steven Winter Associates, Inc.	RFP3628FlexTechConsultUmbrella	2/7/2018		\$169,224	\$1,445	\$30,891
PCMT	CLEANER GREENER COMMUNITIES	124442	Competitive	Y	Leidos Engineering of New York, P.C.	RFP3628FlexTechConsultUmbrella	3/20/2018		\$42,306	\$342	\$25,367
PCMT	CLEANER GREENER COMMUNITIES	120837-1	Competitive	Y	L&S Energy Services, Inc.	RFP3628FlexTechConsultUmbrella	1/30/2018		\$28,204		\$6,835
PCMT	Cleantech ICC Engage	150529	Discretionary	N	Dynamo Energy Hub	support the growth of the clea	1/23/2020		\$50,000	\$4,000	\$50,000
PCMT	Cleantech ICC Engage	151909	Discretionary	N	Wood Mackenzie Power & Renewables, Inc.	2020 GTM Sponsorship	2/28/2020		\$5,000	\$5,000	\$5,000
PCMT	Cleantech ICC Engage	154036	Discretionary	N	NECEC Institute	Cleantech Open Northeast (CTON	5/6/2020	\$200,000	\$200,000	\$173,050	\$173,050
PCMT	Cleantech ICC Engage	160596	Competitive	Y	Randstad North America, Inc.	Payroll Services Innovation	11/9/2020	\$305,897	\$305,897	\$58,354	\$58,354
PCMT	Cleantech Ignition	158224	Discretionary	N	Robert F. Parker, Jr.	Cleantech Ignition	9/15/2020		\$25,000		
PCMT	Cleantech Ignition	158224A	Discretionary	Y	RFP Enterprises LLC	RFP Enterprises LLC	1/15/2021	\$25,000	\$25,000	\$18,750	\$18,750
PCMT	Cleantech Incubator	159390	Competitive	Y	Willdan Energy Solutions	SA.062a TWO#4 Tech to Market	10/9/2020	\$328,680	\$328,680	\$57,569	\$57,569
PCMT	Cleantech Incubator	161136	Competitive	Y	Randstad North America, Inc.	Payroll Services T2M	11/19/2020	\$251,478	\$251,478	\$41,377	\$41,377
PCMT	Cleantech Startup Growth	104544A	Competitive	Y	Opinion Dynamics Corporation	RFQ3183 Umbrella Agreement	4/3/2019	\$108,669	\$422,232	\$69,177	\$178,688
PCMT	Code to Zero	104542	Competitive	Y	The Cadmus Group, LLC	RFQ3183 Umbrella Agreement	10/5/2016		\$1,577,229	\$168,552	\$1,183,213
PCMT	Code to Zero	123125	Discretionary	Y	Laurie D Kerr	New Construction Housing	2/5/2018		\$700,000	\$0	\$151,534
PCMT	Code to Zero	136436	Competitive	N	Resource Refocus LLC	TWO#1 Res Rulemaking Support	1/24/2019		\$42,232	\$0	\$38,232
PCMT	Code to Zero	136611	Competitive	N	Kelliher Samets Volk	TWO 26 Codes Marketing	1/29/2019		\$225,000	\$4,420	\$31,215
PCMT	Code to Zero	137172	Competitive	N	Harris Beach PLLC	Code to Zero	2/14/2019	\$3,000	\$23,000	\$599	\$20,556
PCMT	Code to Zero	140859	Competitive	Y	New Buildings Institute, Inc.	Task Wok Order #2	5/30/2019		\$100,000	\$630	\$1,980
PCMT	Code to Zero	146554	Discretionary	N	Trust for Conservation Innovation	BCAP Alt Enforcement Research	10/24/2019		\$47,817	\$37,597	\$41,737
PCMT	Code to Zero	152390	Competitive	Y	Willdan Energy Solutions	SA.060 TWO #3 - Codes	3/11/2020		\$825,926	\$229,998	\$229,998
PCMT	Code to Zero	153046	Discretionary	N	Green Light New York, Inc.	2020 BEEEx Sponsorship	3/31/2020	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Code to Zero	153176	Competitive	N	Harris Wiltshire & Grannis LLP	Codes to Zero Outside Counsel	4/3/2020	\$50,000	\$50,000	\$34,793	\$34,793
PCMT	Code to Zero	153604	Competitive	Y	Karpman Consulting, LLC	CRPMAN TWO#1 Training	4/20/2020	\$414,702	\$414,702	\$3,938	\$3,938
PCMT	Code to Zero	155466	Discretionary	Y	John Hans Lee	Support-Bldg Decacarbonization	7/6/2020	\$500,000	\$500,000	\$201,688	\$201,688
PCMT	Code to Zero	156223	Competitive	N	Industrial Economics, Incorporated	TWO 1 StretchtoZero	7/27/2020	\$156,869	\$156,869	\$144,879	\$144,879
PCMT	Code to Zero	158886	Competitive	Y	Newport Ventures, Inc.	TWO3 Energy Code and NYStretch	9/29/2020	\$1,150,000	\$1,150,000	\$34,744	\$34,744
PCMT	Code to Zero	161725	Competitive	N	Hodgson Russ LLP	Zoning Code/Land Use Policy	12/8/2020	\$7,000	\$7,000		
PCMT	Combined Heat and Power	122632	Competitive	Y	Energy & Resource Solutions, Inc.	RFP 3643 Tech To Market	1/16/2018	\$350,000	\$2,350,000	\$269,788	\$2,066,195

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PCMT	Combined Heat and Power	151553	Competitive	N	ICF Resources, LLC	TWO #5 - RNG Potential	2/19/2020		\$196,079		\$110,513	\$110,513
PCMT	Combined Heat and Power	157319	Competitive	N	Energy & Resource Solutions, Inc.	TWO 1 On Site Res Power	8/25/2020	\$180,000	\$180,000		\$41,795	\$41,795
PCMT	Combined Heat and Power	68174A	Competitive	Y	Frontier Energy, Inc.	CHP System Inspection and ReCo	3/29/2018		\$3,165,108		\$67,976	\$394,315
PCMT	Commercial Market Mapping	136264	Competitive	Y	Meister Consultants Group, Inc.	NYSDERDA-Utility Collaboration	1/18/2019		\$200,000		\$31,168	\$116,445
PCMT	Commercial Real Estate Ten	104539	Competitive	Y	Opinion Dynamics Corporation	RFQ3183 Umbrella Agreement	10/5/2016		\$1,285,871		\$124,020	\$574,939
PCMT	Commercial Real Estate Ten	141634	Competitive	N	DNV Energy Insights USA Inc.	TWO 1 - CRE Tenant MAR Survey	6/20/2019		\$163,589		\$652	\$115,483
PCMT	Commercial Real Estate Ten	144829	Competitive	Y	Energy & Resource Solutions, Inc.	TWO 5 CEF Comm Impact ERS	9/12/2019		\$79,920		\$503,920	\$147,874
PCMT	Commercial Real Estate Ten	155547	Competitive	Y	Green Light New York, Inc.	Energy Efficiency Training TWO	7/8/2020		\$429,822		\$51,244	\$51,244
PCMT	Commercial Real Estate Ten	157938	Discretionary	Y	NYC Climate Action Alliance Inc.	NYC Climate Action: Energy Sol	9/9/2020		\$50,000			
PCMT	Commercial Real Estate Ten	158362	Discretionary	Y	Environmental Defense Fund	Tenant Engagement - EDF	9/17/2020		\$49,888		\$17,024	\$17,024
PCMT	Commercial Real Estate Ten	ADH0014981	Competitive	N	EME Consulting Engineering Group, LLC	SA.045 TWO# 6 AES PM	2/5/2021		\$141,144			
PCMT	Community Energy Engagement	157227	Competitive	N	Industrial Economics, Incorporated	TWO1 - CEEP Evaluation	8/20/2020		\$683,267		\$86,450	\$86,450
PCMT	Community RH&C	143941	Competitive	N	Stone Environmental, Inc.	Westchester Ground Source Heat	8/20/2019		\$194,188		\$74,350	\$194,188
PCMT	Community RH&C	143941	Discretionary	N	Stone Environmental, Inc.	Westchester Ground Source Heat	8/20/2019	\$49,738	\$49,738		\$5,027	\$5,027
PCMT	Community RH&C	149287	Competitive	N	Randstad North America, Inc.	Payroll Services	12/19/2019		\$21,600		\$13,680	\$19,802
PCMT	Community RH&C	161972	Discretionary	N	University at Albany	CH&C Fellow	12/14/2020	\$23,500	\$23,500			
PCMT	Consumer Awareness	145983	Competitive	Y	Kelliher Samets Volk	KSV TWO 30 Consumer Awareness	10/10/2019		\$2,803,610		\$847,561	\$1,011,966
PCMT	Consumer Ed and Market Support	122871	Discretionary	Y	Johnstone Group, LLC	Consumer Ed and Market Support	1/25/2018		\$50,000			\$33,439
PCMT	Consumer Ed and Market Support	143940	Discretionary	N	National Home Performance Council	2020 Home Performance Conf	8/20/2019		\$50,000		\$12,500	\$50,000
PCMT	Consumer Ed and Market Support	148949	Discretionary	N	CMC Energy Services, Inc	Conduct HVAC Roundtables	12/16/2019		\$50,000		\$49,976	\$49,976
PCMT	Consumer Ed and Market Support	153189	Competitive	N	Keams & West, Inc.	TWO 1 - RMAG	4/4/2020	\$75,000	\$75,000		\$58,694	\$58,694
PCMT	Consumer Ed and Market Support	154396	Competitive	N	Steven Winter Associates, Inc.	TWO 3 - Heat Pump Pattern Book	5/26/2020	\$400,000	\$400,000		\$282,869	\$282,869
PCMT	EDI Vendor	150484	Discretionary	Y	EC Infosys, Inc.	EC Infosys - EDI Processing	1/22/2020		\$181,300		\$138,000	\$160,125
PCMT	Electric Vehicles - Innovation	150855	Non-Competitive	Y	The Cadmus Group, LLC	TWO 5 Clean Transp Roadmap	1/31/2020		\$93,592		\$0	\$0
PCMT	Electric Vehicles - Innovation	155156	Competitive	N	Nexant, Inc.	TWO #1	6/24/2020		\$293,750		\$170,363	\$170,363
PCMT	Electric Vehicles - Innovation	155197	Competitive	N	Energy and Environmental Economics Inc.	TWO#24 REV for CTR Grid Impact	6/25/2020		\$124,852		\$31,704	\$31,704
PCMT	Electric Vehicles - Innovation	132467-1	Non-Competitive	Y	Unique Electric Solutions of NYS LLC	Electric Vehicles - Innovation	11/29/2018		\$400,000			\$341,333
PCMT	Electric Vehicles - Rebate	137992	Competitive	Y	Center for Sustainable Energy	TWO #3 - Drive Clean Program	3/12/2019		\$1,331,278		\$437,829	\$699,019
PCMT	Electric Vehicles - Rebate	161722	Competitive	Y	Industrial Economics, Incorporated	TWO2 - CT Evaluation	12/7/2020	\$970,794	\$970,794		\$30,242	\$30,242
PCMT	EMERGING TECHNOLOGY / ACCELERATED CC	49526	Competitive	N	Meister Consultants Group, Inc.	REV CC - Meister CG	10/10/2014		\$499,872		\$0	\$357,763
PCMT	EMERGING TECHNOLOGY / ACCELERATED CC	37380A	Competitive	Y	Frontier Energy, Inc.	Technical Review Prgm Support	4/11/2018	\$25,050	\$459,891		\$64,086	\$157,261
PCMT	Empire Building Challenge	156748	Competitive	Y	Rocky Mountain Institute	Empire Bldg. Challenge - RMI	8/7/2020		\$179,007		\$145,139	\$145,139
PCMT	Empire Building Challenge	157468	Competitive	N	Kelliher Samets Volk	TWO 35: EBC Strat. Plan	8/28/2020		\$25,000		\$22,270	\$22,270
PCMT	Empire Building Challenge	162269	Competitive	Y	EME Consulting Engineering Group, LLC	SA.065a - TWO #12 - AES PM	12/18/2020	\$477,103	\$477,103			
PCMT	Energy Affordability and Equity	157879	Competitive	N	Apprise, Incorporated	TWO 1 LMI Commun. Solar survey	9/8/2020		\$49,974		\$41,150	\$41,150
PCMT	ENERGY ANALYSIS	30186	Competitive	Y	ICF Resources, LLC	Flex Energy Tech Analysis 2	11/9/2012		\$1,245,129			\$1,192,380
PCMT	ENERGY ANALYSIS	33465	Non-Competitive	Y	Arch Street Communications, Inc.	ENERGY ANALYSIS	6/25/2013		\$240,000			\$239,872
PCMT	ENERGY ANALYSIS	101128	Competitive	Y	ICF Resources, LLC	Tech Assist. REV Pool	7/15/2016	\$100,000	\$901,977		\$184,320	\$853,494
PCMT	ENERGY ANALYSIS	112765	Discretionary	N	Evolved Energy Research	ENERGY ANALYSIS	4/13/2017		\$45,000			\$44,235
PCMT	ENERGY ANALYSIS	114897	Discretionary	Y	Energy & Environmental Research	EEA Project and Program Review	6/19/2017		\$25,000			\$2,000
PCMT	ENERGY ANALYSIS	115210	Discretionary	Y	Resources for the Future Inc	ENERGY ANALYSIS	6/26/2017		\$50,000			
PCMT	ENERGY ANALYSIS	115215	Non-Competitive	Y	Resources for the Future Inc	Macroeconomic Methods	6/27/2017		\$50,000			\$31,454
PCMT	ENERGY ANALYSIS	123055	Competitive	Y	ICF Resources, LLC	RFQL 3685 - Flexergy 3.0	2/1/2018		\$399,883		\$135,780	\$375,226
PCMT	ENERGY ANALYSIS	124448	Discretionary	N	Max Wei	Technical Assistance for EEA	3/21/2018		\$10,000			\$600
PCMT	ENERGY ANALYSIS	127158	Competitive	Y	The Cadmus Group, LLC	RFQL 3685 R2 Umbrella	5/22/2018		\$222,332		\$100,530	\$220,854
PCMT	ENERGY ANALYSIS	135251	Competitive	Y	Industrial Economics, Incorporated	TWO 1 EE Supply Curve Analysis	12/17/2018	\$70,000	\$368,668		\$102,659	\$343,839
PCMT	ENERGY ANALYSIS	136796	Competitive	N	Energy and Environmental Economics Inc.	TWO#15 Solar PV Adoption Forec	2/4/2019		\$75,000		\$22,617	\$69,009
PCMT	ENERGY ANALYSIS	137219	Competitive	N	Energy and Environmental Economics Inc.	101127 TWO#14	2/15/2019		\$1,006,810		\$206,810	\$1,006,810
PCMT	ENERGY ANALYSIS	137234	Competitive	Y	The Cadmus Group, LLC	TWO#3 -Supply Curve Analysis	2/19/2019		\$45,000		\$34,028	\$44,995
PCMT	ENERGY ANALYSIS	137759	Competitive	Y	Kelliher Samets Volk	TWO 27: 2019 SEP Marketing	3/6/2019		\$250,000		\$13,048	\$14,323
PCMT	ENERGY ANALYSIS	138055	Competitive	Y	Four Points Group, Inc.	TWO #2 - NPP 60+	3/13/2019		\$75,000			\$74,242
PCMT	ENERGY ANALYSIS	138282	Discretionary	N	The Trustees of Columbia University	Sabin Center Monthly Reports	3/20/2019		\$5,000		\$1,250	\$5,000
PCMT	ENERGY ANALYSIS	141918	Discretionary	N	Resources for the Future Inc	ENERGY ANALYSIS	6/27/2019		\$50,000			
PCMT	ENERGY ANALYSIS	143569	Competitive	N	ICF Resources, LLC	TWO #4 - Statewide NG Study	8/9/2019	\$166,000	\$1,214,361		\$191,316	\$887,868
PCMT	ENERGY ANALYSIS	144446	Competitive	Y	Siemens Industry, Inc.	TWO #1: 100x40 Study	9/4/2019	\$865,628	\$1,665,628		\$549,489	\$694,489
PCMT	ENERGY ANALYSIS	145948	Competitive	N	Frontier Energy, Inc.	TWO - Heat Pump Analysis	10/9/2019		\$49,750		\$29,203	\$49,749
PCMT	ENERGY ANALYSIS	147152	Competitive	Y	TRC Energy Services	SA.053a TWO #20 - EEA	11/5/2019		\$253,078		\$196,208	\$229,208
PCMT	ENERGY ANALYSIS	147824	Competitive	N	General Electric International, Inc.	TWO 2 2019 T&D Reliability Upd	11/20/2019		\$49,900		\$49,900	\$49,900
PCMT	ENERGY ANALYSIS	148440	Competitive	Y	PA Consulting Group	TWO #1 Enbridge Pipeline Study	12/4/2019		\$35,000		\$28,835	
PCMT	ENERGY ANALYSIS	149949	Competitive	Y	Randstad North America, Inc.	Payroll Services	1/8/2020		\$21,600		\$8,829	\$13,713
PCMT	ENERGY ANALYSIS	150710	Discretionary	Y	ICE Data, LP	ENERGY ANALYSIS	1/29/2020	\$480	\$20,700		\$19,500	\$19,500
PCMT	ENERGY ANALYSIS	150851	Competitive	N	Eastern Research Group, Inc.	TWO #1 CLCPA GHG	1/31/2020	\$190,855	\$444,997		\$404,967	\$404,967
PCMT	ENERGY ANALYSIS	151173	Competitive	N	PA Consulting Group	TWO #2 Downstate Research	2/10/2020		\$50,000			\$49,984
PCMT	ENERGY ANALYSIS	151636	Discretionary	N	Argus Media Inc.	ENERGY ANALYSIS	2/20/2020	\$15,442	\$30,010			\$14,568
PCMT	ENERGY ANALYSIS	151905	Competitive	Y	PA Consulting Group	TWO #3 Nat. Gas Tech. Support	2/27/2020	\$200,000	\$700,000		\$683,265	\$683,265
PCMT	ENERGY ANALYSIS	152083	Competitive	Y	Energy and Environmental Economics Inc.	TWO#21 - NG Avoided Cost Tool	3/4/2020		\$150,000		\$137,757	\$137,757
PCMT	ENERGY ANALYSIS	152281	Competitive	Y	Energy and Environmental Economics Inc.	TWO#22 Bldg. Elec. Roadmap	3/9/2020	\$380,000	\$630,000		\$629,922	\$629,922
PCMT	ENERGY ANALYSIS	152456	Competitive	N	Rocky Mountain Institute	TWO# 2 Bldg. Elec. Roadmap	3/12/2020		\$50,000		\$441	\$441
PCMT	ENERGY ANALYSIS	152640	Competitive	Y	Resources for the Future Inc	TWO #1 Social Cost of Carbon	3/17/2020		\$150,000		\$46,501	\$46,501
PCMT	ENERGY ANALYSIS	153494	Non-Competitive	N	Alliance for Sustainable Energy, LLC	TWO 4 - NREL Review of BEEM	4/16/2020	\$49,995	\$49,995		\$49,995	\$49,995
PCMT	ENERGY ANALYSIS	153576	Discretionary	N	Genscape, Inc.	ENERGY ANALYSIS	4/17/2020	\$9,445	\$9,445		\$9,445	\$9,445
PCMT	ENERGY ANALYSIS	153696	Discretionary	N	IHS Global Inc.	IHS.CERA Subscription	4/24/2020	\$34,390	\$34,390		\$34,390	\$34,390
PCMT	ENERGY ANALYSIS	154102	Competitive	Y	Randstad North America, Inc.	Payroll Services Policy Dev	5/12/2020	\$164,600	\$164,600		\$41,718	\$41,718
PCMT	ENERGY ANALYSIS	155192	Competitive	Y	Energy and Environmental Economics Inc.	TWO#23 CLCPA Techno-Economic	6/25/2020	\$652,131	\$652,131		\$451,438	\$451,438
PCMT	ENERGY ANALYSIS	156291	Discretionary	N	Miles Farmer	PSC Resource Adequacy Proceedi	7/29/2020	\$80,000	\$80,000		\$15,906	\$15,906
PCMT	ENERGY ANALYSIS	156808	Competitive	Y	Abt Associates Inc.	TWO 2 formerly 30191 TWO #5	8/10/2020	\$129,763	\$129,763		\$128,869	\$128,869
PCMT	ENERGY ANALYSIS	158884	Discretionary	N	DTN, LLC	ENERGY ANALYSIS	9/29/2020	\$20,639	\$20,639		\$20,639	\$20,639
PCMT	ENERGY ANALYSIS	159684	Discretionary	N	IHS Global Inc.	OPIS Alerts and Newsletter	10/16/2020	\$6,300	\$6,300		\$5,395	\$5,395
PCMT	ENERGY ANALYSIS	161130	Competitive	Y	The Cadmus Group, LLC	TWO #10 Climate Facilitation	11/19/2020	\$925,672	\$925,672		\$348,963	\$348,963
PCMT	ENERGY ANALYSIS	161435	Competitive	N	Randstad North America, Inc.	Payroll Services Modeling & An	12/1/2020	\$21,600	\$21,600		\$10,111	\$10,111
PCMT	ENERGY ANALYSIS	162967	Non-Competitive	Y	IHS Global Inc.	IHS Markit/PointLogic Subscrip	1/5/2021	\$54,190	\$54,190		\$54,190	\$54,190

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	ENERGY ANALYSIS	164249	Competitive	N	Kelliher Samets Volk	KSVTWO 37: Climate Act Website	2/8/2021	\$46,000	\$46,000	\$18,870	\$18,870
PCMT	ENERGY ANALYSIS	164848	Competitive	N	Ove Arup & Partners Consulting Engineers	TWO1 CommBldg Elect Rdmap	2/24/2021	\$50,000	\$50,000		
PCMT	ENERGY ANALYSIS	128474-1	Discretionary	N	Bloomberg Finance LP	Bloomberg Datafeed Access	1/11/2019		\$69,780	\$23,640	\$56,384
PCMT	ENERGY ANALYSIS	147290A	Competitive	N	DNV Energy Insights USA Inc.	TWO#1 OSW Resource Planning	2/27/2020	\$452,753	\$1,252,753	\$1,078,210	\$1,207,755
PCMT	ENERGY ANALYSIS	ADH0014578	Competitive	Y	Allegis Group Holdings Inc	SA.020 TWO #5 EEA Coordinator	1/20/2021	\$15,067	\$15,067		
PCMT	Energy Storage	138090	Non-Competitive	Y	General Electric International Inc	GE MAPS Software	3/15/2019		\$391,500	\$261,000	\$391,500
PCMT	Environmental Research	30191	Competitive	Y	Abt Associates Inc.	Flex Energy Tech Analysis 2	11/9/2012		\$870,943		\$829,260
PCMT	Environmental Research	31577	Discretionary	Y	Richard E. Gibbs, Ph.D., P.E.	env program science advisor	3/15/2013		\$35,000		\$11,311
PCMT	Environmental Research	31663	Discretionary	Y	John E. Batey	science advisor support env	3/21/2013		\$35,000		\$11,475
PCMT	Environmental Research	34356	Competitive	Y	U.S. Geological Survey (Troy).	ALTM Stream Monitoring	8/7/2013		\$598,985	\$79,989	\$598,985
PCMT	Environmental Research	34356	Discretionary	Y	U.S. Geological Survey (Troy).	ALTM Stream Monitoring	8/7/2013		\$44,600	\$44,600	\$44,600
PCMT	Environmental Research	100415	Competitive	Y	Research Foundation of CUNY	Integrated Air Quality Monitor	6/29/2016		\$199,730	\$0	\$198,758
PCMT	Environmental Research	100416	Competitive	Y	Research Foundation of SUNY	Combining Measurements and Mod	6/29/2016		\$382,848	\$78,100	\$381,427
PCMT	Environmental Research	100417	Competitive	Y	Research Foundation of SUNY	IDEA-NYS air quality forecast	6/29/2016		\$408,142	\$47,146	\$381,427
PCMT	Environmental Research	103081	Discretionary	Y	Praveen Amar	Environmental Research Science	9/13/2016		\$40,000		\$24,150
PCMT	Environmental Research	103851	Competitive	Y	SUNY - ESF	Biofiltration drainage media	9/20/2016		\$292,911	\$57,340	\$230,736
PCMT	Environmental Research	103853	Competitive	Y	DNV GL Energy Services USA Inc.	Building-Resiliency Assessment	9/20/2016		\$177,965		\$169,008
PCMT	Environmental Research	103862	Competitive	Y	The Trustees of Columbia University	Tropical Cyclone Risk Model	9/20/2016		\$299,873	\$45,297	\$282,626
PCMT	Environmental Research	103918	Competitive	Y	The Trustees of Columbia University	Update building footprints	9/20/2016		\$292,829	\$79,537	\$292,829
PCMT	Environmental Research	103918	Discretionary	Y	The Trustees of Columbia University	Update building footprints	9/20/2016	\$49,843	\$97,330	\$33,310	\$33,310
PCMT	Environmental Research	104107	Discretionary	Y	Watershed Assessment Associates, LLC	Aquatic Macroinvertebrate ID	9/22/2016		\$24,000		\$14,760
PCMT	Environmental Research	123696	Discretionary	N	Kearns & West, Inc.	ETWG meeting prep & support	2/21/2018		\$49,940		\$49,625
PCMT	Environmental Research	127262	Discretionary	Y	Research Foundation of SUNY	LISTOS	5/24/2018		\$46,048	\$45,788	\$45,788
PCMT	Environmental Research	130230	Discretionary	N	EvoWorld	KEBA Analysis; TRNSYS Modeling	8/16/2018		\$24,500	\$10,000	\$14,500
PCMT	Environmental Research	134909	Discretionary	Y	Praveen Amar	Amar ENV Rsch Science Advisor	12/7/2018		\$45,000		\$31,774
PCMT	Environmental Research	137484	Discretionary	Y	University of Rochester	AOHE PON 3921	2/25/2019	\$49,057	\$49,057	\$0	\$0
PCMT	Environmental Research	137484	Competitive	Y	University of Rochester	AOHE PON 3921	2/25/2019				
PCMT	Environmental Research	137641	Competitive	N	The Cadmus Group, LLC	TWO 3 - RegionalFundingEntity	3/4/2019		\$122,615	\$11,545	\$122,610
PCMT	Environmental Research	139864	Competitive	Y	Abt Associates Inc.	TWO#1 Pathways Health	5/2/2019		\$200,000	\$70,123	\$135,999
PCMT	Environmental Research	140110	Competitive	N	Navigant Consulting Inc.	TWO 1 - Assessment of Carbon C	5/9/2019		\$250,000		\$229,023
PCMT	Environmental Research	140598	Discretionary	N	BLIX Consultancy BV	Procurement support	5/22/2019		\$50,000		\$49,446
PCMT	Environmental Research	145446	Competitive	N	Navigant Consulting Inc.	TWO #2 Equitable Cooling	9/27/2019		\$350,000		\$88,113
PCMT	Environmental Research	146630	Discretionary	N	Columbia University	Climate Info Needs Assessment	10/25/2019		\$49,910	\$48,600	\$48,600
PCMT	Environmental Research	146729	Discretionary	N	Philip Hopke	Health/Reduced Emissions	10/28/2019		\$20,000	\$3,000	\$20,000
PCMT	Environmental Research	149850	Discretionary	N	Research Foundation of SUNY	Graduate Fellowship - Biomass	1/7/2020		\$45,000	\$39,515	\$39,515
PCMT	Environmental Research	150181	Competitive	Y	Randstad North America, Inc.	Temporary Services	1/14/2020		\$96,194		\$2,795
PCMT	Environmental Research	150577	Discretionary	N	AAF Rye Hotel LLC	2020 State of the Science	1/24/2020		\$50,000		
PCMT	Environmental Research	150905	Discretionary	N	BLIX Consultancy BV	GG-Procurement Support	2/3/2020		\$33,984	\$9,912	\$17,346
PCMT	Environmental Research	151291	Discretionary	N	USGS National Center MS270	Regional Network - Soil Carbon	2/12/2020	\$35,000	\$35,000		
PCMT	Environmental Research	152319	Competitive	Y	NESCAUM	TWO #7 LISTOS Air Quality Mode	3/10/2020		\$134,500	\$51,305	\$51,305
PCMT	Environmental Research	152820	Competitive	Y	ICF Resources, LLC	TWO #6 Climate Technical Asst.	3/23/2020	\$200,000	\$200,000	\$27,326	\$27,326
PCMT	Environmental Research	152856	Competitive	Y	Industrial Economics, Incorporated	TWO#4 Climate Econ. Impact	3/24/2020		\$99,949	\$98,380	\$98,380
PCMT	Environmental Research	153679	Competitive	Y	Columbia University	TWO#1 Updating CLIMAID	4/23/2020	\$99,552	\$99,552	\$86,772	\$86,772
PCMT	Environmental Research	153938	Discretionary	N	Resource Refocus LLC	FTMY Literature Review	5/1/2020	\$49,780	\$49,780	\$47,556	\$47,556
PCMT	Environmental Research	154005	Competitive	Y	Ecology and Environment Engineering	TWO #20 G&G Survey	5/5/2020	\$84,700	\$84,700	\$61,025	\$61,025
PCMT	Environmental Research	154006	Competitive	Y	Ecology & Environment, Inc.	Owner's Engineering	5/5/2020	\$807,153	\$807,153	\$387,862	\$387,862
PCMT	Environmental Research	154265	Competitive	Y	American Solar Grazing Association, Inc.	PON 4270 PV Site Design	5/19/2020	\$198,000	\$198,000	\$1,925	\$1,925
PCMT	Environmental Research	154268	Competitive	Y	DNV GL Energy USA, Inc.	PV Solar Site Design- PON 4270	5/19/2020	\$184,725	\$184,725	\$85,680	\$85,680
PCMT	Environmental Research	154272	Competitive	Y	Cornell University	PON 4270 PV Site Design	5/19/2020	\$199,999	\$199,999		
PCMT	Environmental Research	154273	Competitive	Y	The Nature Conservancy	PV Site Design (PON 4270)	5/19/2020	\$198,000	\$198,000	\$4,441	\$4,441
PCMT	Environmental Research	154274	Competitive	Y	Tetra Tech, Inc.	PON 4270 PV Site Design	5/19/2020	\$233,000	\$233,000	\$69,042	\$69,042
PCMT	Environmental Research	154665	Discretionary	Y	Praveen Amar	Science Advisors	6/4/2020	\$48,000	\$48,000	\$38,800	\$38,800
PCMT	Environmental Research	154668	Discretionary	Y	American Society of Adaptation Professio	Climate Change Research	6/4/2020	\$49,500	\$49,500	\$14,801	\$14,801
PCMT	Environmental Research	154671	Discretionary	Y	George Hidy	Science Advisor	6/4/2020	\$48,000	\$48,000	\$1,400	\$1,400
PCMT	Environmental Research	154672	Discretionary	Y	Daniel J Jacob	Science Advisor	6/4/2020	\$48,000	\$48,000	\$11,600	\$11,600
PCMT	Environmental Research	154674	Discretionary	Y	Dr. Richard Schlesinger	Science Advisors	6/4/2020	\$48,000	\$48,000	\$5,700	\$5,700
PCMT	Environmental Research	155697	Competitive	Y	Consensus Building Institute, Inc.	TWO #1 -Facilitator	7/13/2020	\$458,295	\$458,295	\$43,271	\$43,271
PCMT	Environmental Research	155698	Competitive	Y	Eastern Research Group, Inc.	TWO #2 Coordinator Assessment	7/13/2020	\$2,625,427	\$2,625,427	\$24,432	\$24,432
PCMT	Environmental Research	156194	Discretionary	N	Stove Boat, LLC	Fishermen's Gateway	7/27/2020	\$49,000	\$49,000	\$14,040	\$14,040
PCMT	Environmental Research	156225	Competitive	Y	Fund for Public Health in New York, Inc.	Assessment for Energy Policy	7/27/2020	\$273,900	\$273,900		
PCMT	Environmental Research	156226	Competitive	Y	University of Rochester	Changes in PM Composition	7/27/2020	\$548,153	\$548,153		
PCMT	Environmental Research	156227	Competitive	Y	The Research Foundation for the State	Mobile Laboratory Measurements	7/27/2020	\$398,946	\$398,946		
PCMT	Environmental Research	156228	Competitive	Y	The Research Foundation for the State	Low-Cost AQ Sensors	7/27/2020	\$544,569	\$544,569	\$6,056	\$6,056
PCMT	Environmental Research	156808	Non-Competitive	Y	Abt Associates Inc.	TWO 2 formerly 30191 TWO #5	8/10/2020				
PCMT	Environmental Research	157021	Competitive	N	Guidehouse Inc.	TWO #3 HFC Mitigation	8/14/2020	\$300,000	\$300,000	\$279,382	\$279,382
PCMT	Environmental Research	158363	Competitive	Y	Harrisburg University of Science and Tec	spatial and temporal variation	9/17/2020	\$499,976	\$499,976		
PCMT	Environmental Research	160006	Discretionary	Y	Ithaca College	Methane emissions measurements	10/26/2020	\$49,913	\$49,913		
PCMT	Environmental Research	160536	Discretionary	Y	The Trustees of Columbia University	methane emissions measurements	11/5/2020	\$49,743	\$49,743		
PCMT	Environmental Research	161194	Competitive	Y	NESCAUM	TWO #8 AEROMMA	11/20/2020	\$523,427	\$523,427	\$1,496	\$1,496
PCMT	Environmental Research	163770	Competitive	N	ICF Resources, LLC	TWO #8 Electricity System Mode	1/28/2021	\$49,671	\$49,671		
PCMT	Environmental Research	164362	Discretionary	Y	Pace University	A-TWG facilitation services	2/10/2021	\$49,997	\$49,997		
PCMT	Environmental Research	165245	Competitive	Y	ICF Resources, LLC	TWO#9 NYS Elect System Model	3/5/2021	\$35,329	\$35,329		
PCMT	Environmental Research	165376	Competitive	Y	NESCAUM	TWO #11 Wood Heating	3/9/2021	\$399,500	\$399,500		
PCMT	Environmental Research	166005	Competitive	N	Kearns & West, Inc.	TWO 4 Learning from Experts	3/23/2021	\$49,943	\$49,943		
PCMT	Environmental Research	102959-1	Discretionary	N	Research Foundation of SUNY	Forest Biomass	5/15/2017		\$49,992	\$13,883	\$34,693
PCMT	Environmental Research	140110A	Competitive	Y	Guidehouse Inc.	TWO 1 - Assessment of Carbon C	4/6/2020	\$20,977	\$20,977	\$19,029	\$19,029
PCMT	Environmental Research	145446A	Competitive	Y	Guidehouse Inc.	TWO #2 Equitable Cooling	4/6/2020	\$261,887	\$261,887	\$133,286	\$133,286
PCMT	ESTOR: Implementation Support	155831	Competitive	N	Frontier Energy, Inc.	TWO 1 Cat 2 Energy Storage	7/15/2020	\$400,000	\$400,000	\$19,196	\$19,196
PCMT	ESTOR: Implementation Support	156844	Competitive	N	Institute for Building Technology and Sa	TWO 2 Cat 1 Energy Storage	8/11/2020	\$900,000	\$900,000	\$1,436	\$1,436
PCMT	ESTOR: Implementation Support	158361	Competitive	N	Frontier Energy, Inc.	TWO 2 Cat. 3 Energy Storage	9/17/2020	\$400,000	\$400,000	\$60,515	\$60,515
PCMT	ESTOR: Consumer Education	147151	Competitive	Y	Kelliher Samets Volk	KSV TWO 31 Energy Storage	11/5/2019	\$38,150	\$70,090	\$45,224	\$49,856

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	ESTOR:Consumer Education	157751	Competitive	N	Bond, Schoeneck & King, PLLC	Distributed Solar Incentives	9/3/2020	\$8,000	\$8,000	\$5,654	\$5,654
PCMT	ESTOR:Consumer Education	159280	Competitive	N	Hodgson Russ LLP	PSC Energy Storage Policies	10/7/2020	\$15,000	\$15,000		
PCMT	Eval MCDC Clean Transportation	149438	Discretionary	N	NMR Group, Inc.	Clean Transportation Evaluatio	12/23/2019		\$49,895	\$32,870	\$49,895
PCMT	Eval MCDC Clean Transportation	149438	Non-Competitive	N	NMR Group, Inc.	Clean Transportation Evaluatio	12/23/2019	\$11,500	\$11,500	\$11,500	\$11,500
PCMT	Eval MCDC Cross Cutting	102013	Non-Competitive	Y	Infogroup Government Division	Infogroup	8/5/2016		\$500,000	\$100,000	\$500,000
PCMT	Eval MCDC Cross Cutting	144304	Competitive	Y	D & R International Ltd	Heating & Cooling Reports	8/29/2019		\$138,600	\$23,100	\$46,200
PCMT	Eval MCDC Cross Cutting	150531	Discretionary	Y	Dodge Data & Analytics	Business Intelligence Subscrip	1/23/2020		\$46,675	\$33,287	\$33,287
PCMT	Eval MCDC Cross Cutting	154184	Discretionary	N	CoStar Realty Information, Inc.	Data Subscription Svc 2020-21	5/14/2020	\$22,062	\$22,062	\$22,062	\$22,062
PCMT	Eval MCDC EE Master Soft Cost	137083	Competitive	Y	The Cadmus Group, LLC	TWO#4 Soft Cost study	2/12/2019		\$654,886	\$34,107	\$347,039
PCMT	Eval MCDC LMI	136265	Discretionary	Y	The Cadmus Group, LLC	EVALUATION	1/18/2019		\$50,000	\$21,596	\$45,573
PCMT	Eval MCDC: MF Building Stock	160541	Competitive	Y	The Cadmus Group, LLC	Statewide Multifamily Baseline	11/5/2020	\$3,500,000	\$3,500,000		
PCMT	Eval MCDC: MF Potential Study	158124	Competitive	N	The Cadmus Group, LLC	TWO #8 EE Potential - Multifam	9/14/2020	\$250,000	\$250,000	\$225,162	\$225,162
PCMT	EVALUATION	104535	Competitive	Y	NMR Group, Inc.	RFQ 3183 NMR Group, Inc.	10/5/2016		\$297,921	\$7,087	\$288,286
PCMT	EVALUATION	104559	Competitive	Y	West Hill Energy & Computing, Inc.	RFQ3183 Umbrella Agreement	10/6/2016		\$267,150	\$2,497	\$186,966
PCMT	EVALUATION	124424	Discretionary	Y	Northeast Energy Efficiency Partnerships	NEEP CT EMV Project	3/16/2018		\$45,900	\$17,000	\$45,900
PCMT	EVALUATION	136171	Competitive	N	The Cadmus Group, LLC	TWO#2-Top Down Analysis	1/16/2019		\$238,508	\$6,931	\$160,609
PCMT	EVALUATION	143201	Competitive	N	Energy & Resource Solutions, Inc.	TWO 3 - GJGNY Audit Only Study	8/1/2019		\$117,427	\$67,398	\$117,423
PCMT	EVALUATION	143212	Competitive	N	ICF Incorporated LLC	TWO 1 - GJGNY Audit Only Impact	8/2/2019		\$49,346	\$1,751	\$43,037
PCMT	EVALUATION	143776	Competitive	Y	DNV Energy Insights USA Inc.	TWO 7 - T&MD 2014-18 Impact Ev	8/15/2019		\$201,597	\$124,073	\$201,556
PCMT	EVALUATION	146720	Non-Competitive	Y	DNV Energy Insights USA Inc.	TWO 9 - CEC	10/28/2019				
PCMT	EVALUATION	148895	Discretionary	N	Plugged In Strategies	Data Privacy and Data Access	12/12/2019		\$49,999	\$14,823	\$14,823
PCMT	EVALUATION	158124	Non-Competitive	N	The Cadmus Group, LLC	TWO #8 EE Potential - Multifam	9/14/2020				
PCMT	EVALUATION	158805	Competitive	N	Carahsoft Technology Corporation	2021 Qualtrics Software	9/25/2020	\$60,695	\$60,695	\$60,695	\$60,695
PCMT	EVALUATION	159392	Competitive	N	Guidehouse Inc.	TWO #4 PM&IP	10/9/2020	\$47,137	\$47,137	\$41,990	\$41,990
PCMT	EVALUATION	104536A	Competitive	Y	DNV Energy Insights USA Inc.	RFQ 3183 KEMA, Inc	1/18/2019		\$2,661,553	\$168,823	\$979,790
PCMT	EVPRZ:Implementation	159174	Non-Competitive	N	The Common Pool, LLC	Common Pool Contract	10/6/2020	\$600,000	\$600,000	\$500,000	\$500,000
PCMT	EVPRZ:Implementation	159335	Discretionary	N	Greenwood Strategies LLC	Clean Transportation Prize Dev	10/8/2020	\$40,000	\$40,000	\$5,500	\$5,500
PCMT	EVPRZ:Implementation	164651	Competitive	Y	TRC Energy Services	SA.071 TWO# 20 Clean Trans PM	2/18/2021	\$405,633	\$405,633		
PCMT	EXISTING FACILITIES	30238	Competitive	Y	Luthin Associates, Inc.	C&I Buildings Outreach	11/9/2012		\$7,836,261	\$0	\$7,820,628
PCMT	EXISTING FACILITIES	37347	Competitive	Y	Willdan Energy Solutions	Technical Review and Program S	11/15/2013		\$2,641,149		\$2,538,996
PCMT	FLEXIBLE TECHNICAL ASSISTANCE	37376	Competitive	Y	L&S Energy Services, Inc.	Umbrella Contract	11/15/2013		\$1,326,767	\$0	\$1,296,777
PCMT	FLEXIBLE TECHNICAL ASSISTANCE	37382	Competitive	Y	TRC Energy Services	Technical Review and Program S	11/15/2013		\$1,841,872		\$1,832,055
PCMT	FUEL NY	37366	Competitive	Y	Arcadis U.S., Inc.	Tech Review & Program Staff	11/15/2013		\$12,524,930	\$282,419	\$10,383,514
PCMT	FUEL NY	39731	Competitive	Y	Garner Environmental Services, Inc.	Fuel NY Portable Emergency Gen	2/25/2014		\$51,000,000		\$951,989
PCMT	FUEL NY	48593	Competitive	N	Buckeye Terminals, LLC	Upstate NY Strategic Fuels Res	9/25/2014	\$1,282,334	\$9,370,334	\$1,322,609	\$8,489,458
PCMT	FUEL NY	152860	Discretionary	N	IHS Global Inc.	OPIS Rack Price Subscription	3/25/2020		\$9,324	\$9,324	\$9,324
PCMT	Geothermal Incentive Program	127557	Competitive	Y	Frontier Energy, Inc.	3695 TWO Umbrella Agreement	6/4/2018	\$300,000	\$700,000	\$221,589	\$620,004
PCMT	Geothermal Incentive Program	127559	Competitive	Y	L&S Energy Services, Inc.	3695 TWO Umbrella Agreement	6/4/2018	\$50,000	\$450,000	\$4,449	\$16,839
PCMT	Geothermal Incentive Program	147082	Competitive	N	Apprise, Incorporated	TWO #3 - Survey Work	11/4/2019	\$44,339	\$83,543	\$2,382	\$39,204
PCMT	Geothermal Incentive Program	147959	Discretionary	N	ADM Associates, Inc.	Performance Monitoring and Eva	11/22/2019		\$50,000	\$2,025	\$2,025
PCMT	Geothermal Incentive Program	148235	Competitive	Y	Energy & Resource Solutions, Inc.	TWO 6 - Performance Monitoring	11/27/2019	\$414,522	\$1,543,451	\$999,778	\$1,087,200
PCMT	Geothermal Incentive Program	151119	Discretionary	Y	SBW Consulting	Heat Pump Impact Evaluation	2/7/2020		\$50,000	\$1,059	\$4,876
PCMT	Geothermal Incentive Program	151167	Discretionary	N	West Hill Energy & Computing, Inc.	Heat Pump Impact Evaluation	2/10/2020		\$50,000	\$7,391	\$7,391
PCMT	GJGNY REVOLVING LOAN FUND	60713	Competitive	Y	Hawkins Delafield & Wood LLC	Bond Counsel Services	3/13/2015		\$60,000		\$57,301
PCMT	GJGNY REVOLVING LOAN FUND	68533	Non-Competitive	Y	Bank of New York	\$3000 annual fee for 14 yrs	7/8/2015		\$57,000	\$4,000	\$28,000
PCMT	GJGNY REVOLVING LOAN FUND	71389	Non-Competitive	Y	Bank of New York	EFC REEF 2015 FEE 2015	8/19/2015		\$62,000	\$3,000	\$20,000
PCMT	GJGNY REVOLVING LOAN FUND	104094	Non-Competitive	Y	Bank of New York	GJGNY 2016 Bonds Trustee	9/21/2016		\$62,000	\$3,000	\$20,200
PCMT	GJGNY REVOLVING LOAN FUND	105451	Competitive	Y	Omnicap Group, LLC	Financial Advisory Services	10/21/2016		\$500,000		\$185,876
PCMT	GJGNY REVOLVING LOAN FUND	164873	Discretionary	Y	Sycamore Advisors, LLC	Financial Advisor	2/25/2021	\$220,000	\$220,000		
PCMT	GJGNY REVOLVING LOAN FUND	34250A	Non-Competitive	Y	Vervent Inc.	GJGNY REVOLVING LOAN FUND	11/4/2019		\$296,555	\$62,712	\$88,121
PCMT	Grid Emissions Whitepaper	159559	Competitive	N	Energy and Environmental Economics Inc.	TWO#26 Grid Emission Factors	10/15/2020	\$180,667	\$180,667	\$93,536	\$93,536
PCMT	Healthy Homes VB Payment Pilot	150497	Competitive	Y	Guidehouse Inc.	TWO 3 NYS Healthy Homes VBp	1/22/2020	\$398,960	\$398,960	\$49,911	\$49,911
PCMT	Healthy Homes VB Payment Pilot	157590	Competitive	N	Randstad North America, Inc.	Payroll Services EAE	9/1/2020	\$97,500	\$97,500	\$51,000	\$51,000
PCMT	High Performing Grid	139096	Competitive	Y	PTerra, LLC	PTerra work for ITWG	4/11/2019		\$250,000	\$81,360	\$97,560
PCMT	High Performing Grid	141164	Competitive	N	Industrial Economics, Incorporated	TWO 1 Grid Moderniz Case Study	6/7/2019		\$46,736	\$11,505	\$46,053
PCMT	High Performing Grid	149446	Competitive	N	Converge Strategies, LLC	Climate Resilience	12/23/2019		\$49,129	\$49,129	\$49,129
PCMT	Home Perf w Energy Star	107771	Competitive	Y	CLEAResult Consulting, Inc.	Centralized Services & Support	12/8/2016	\$3,517,094	\$11,711,624	\$2,900,663	\$8,650,522
PCMT	Home Perf w Energy Star	116844	Competitive	Y	CLEAResult Consulting, Inc.	Technical Support SFR	8/9/2017	\$6,588,266	\$15,516,411	\$3,753,471	\$10,248,725
PCMT	Home Perf w Energy Star	127558	Competitive	Y	Honeywell International Inc.	3695 TWO Umbrella Agreement	6/4/2018	\$651,400	\$2,531,400	\$914,581	\$2,193,179
PCMT	Home Perf w Energy Star	127604	Competitive	Y	Institute for Building Technology and Sa	3695 TWO Umbrella Agreement	6/5/2018		\$500,000		\$208,498
PCMT	Home Perf w Energy Star	128727	Discretionary	N	BPCA NYS Inc.	Tech Consulting for RES	7/6/2018		\$35,075		\$32,294
PCMT	Home Perf w Energy Star	140003	Discretionary	N	Dick Kornbluth	Home Perf w Energy Star	5/7/2019		\$50,000	\$23,362	\$23,362
PCMT	Home Perf w Energy Star	141159	Discretionary	N	BPCA NYS Inc.	Res Stakeholder Engagement	6/7/2019		\$49,000	\$10,000	\$15,128
PCMT	Home Perf w Energy Star	141322	Competitive	Y	Randstad North America, Inc.	Payroll Services	6/12/2019	\$60,000	\$123,278	\$45,192	\$75,268
PCMT	Home Perf w Energy Star	147909	Competitive	N	Randstad North America, Inc.	Payroll Services	11/21/2019		\$10,000	\$1,099	\$3,774
PCMT	Home Perf w Energy Star	148087	Competitive	Y	Kelliher Samets Volk	KSV TWO 32 LMI Website Hub	11/25/2019		\$300,000	\$144,299	\$144,299
PCMT	Home Perf w Energy Star	151096	Discretionary	N	Illume Advising LLC	Analysis Support for NYSDERDA	2/7/2020		\$50,000	\$50,000	\$50,000
PCMT	Home Perf w Energy Star	151116	Competitive	N	The Cadmus Group, LLC	Home Perf w Energy Star	2/7/2020	\$56,804	\$156,529	\$140,458	\$156,529
PCMT	Home Perf w Energy Star	159394	Discretionary	N	Illume Advising LLC	Home Perf w Energy Star	10/9/2020	\$450,000	\$450,000	\$162,803	\$162,803
PCMT	Home Perf w Energy Star	161421	Competitive	Y	Allegis Group Holdings Inc	SA.070 TWO#11 - EAE PM	11/30/2020	\$403,767	\$403,767	\$24,735	\$24,735
PCMT	Home Perf w Energy Star	163251	Discretionary	N	Christopher Lutgens	EmPower Repairs/Insulation	1/14/2021	\$6,470	\$6,470	\$6,470	\$6,470
PCMT	Home Perf w Energy Star	121807B	Competitive	Y	Uplight, Inc.	Residential Program MgtRFP3545	10/23/2019	\$1,576,144	\$1,871,965	\$457,383	\$563,189
PCMT	Housing Agencies Support	160946	Competitive	Y	Randstad North America, Inc.	Payroll Services Multifamily	11/16/2020	\$283,920	\$283,920	\$44,517	\$44,517
PCMT	Housing Agencies Support	162054	Discretionary	Y	HPDC Energy LLC	Affordable housing strategy	12/15/2020	\$499,920	\$499,920	\$28,654	\$28,654
PCMT	Industrial Process Effic	117925	Competitive	Y	CHA Consulting Inc.	Staff Augmentation Services	9/6/2017		\$1,085,787	\$481,334	\$974,887
PCMT	Industrial Process Effic	120915	Competitive	Y	Arcadis of New York, Inc.	RFP3628FlexTechConsultUmbrella	11/21/2017		\$248,500	\$5,280	\$233,511
PCMT	Industrial Process Effic	121104	Competitive	Y	CHA Consulting Inc.	Outreach Support	11/29/2017	\$248,560	\$1,535,060	\$244,056	\$1,356,940
PCMT	Industrial Process Effic	121439	Competitive	Y	O'Brien & Gere Engineers, Inc.	RFP3628FlexTechConsultUmbrella	12/7/2017		\$150,000		\$141,669
PCMT	Industrial Process Effic	132883	Competitive	N	Kelliher Samets Volk	TWO 24: CI Awareness Pilot MKT	10/22/2018		\$1,000,000		\$998,938
PCMT	Industrial Process Effic	143461	Competitive	Y	Wendel Energy Services, LLC	SEM WRRF Wendel TWO	8/7/2019		\$50,000		
PCMT	Industrial Process Effic	143462	Competitive	Y	O'Brien & Gere Engineers, Inc.	SEM WRRF O'Brien&Gere TWO	8/7/2019		\$50,000	\$9,409	\$9,409

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Period	Amt Paid to Date				
PCMT	INDUSTRIAL PROCESS EFFICIENCY	37383	Competitive	Y	CHA Consulting Inc.	Technical Review and Program S	11/15/2013		\$3,119,158				\$2,886,205				
PCMT	Informat. Products & Brokering	149303	Competitive	Y	Corelogic, Inc.	RFQL 3711 CoreLogic Bldg Data	12/20/2019		\$132,405		\$44,100		\$86,100				
PCMT	Informat. Products & Brokering	149307	Competitive	Y	Trove Predictive Data Science, Inc.	RFQL3711 TWO Bldg Assets	12/20/2019		\$300,000		\$10,000		\$10,000				
PCMT	Informat. Products & Brokering	149307A	Competitive	Y	E Source Companies LLC	RFQL3711 TWO Bldg Assets	1/29/2021	\$290,000	\$290,000								
PCMT	Innovation	154617	Competitive	N	Sive Paget & Riesel PC	NY Climate Forward Loan Prog	6/3/2020	\$50,000	\$50,000		\$16,830		\$16,830				
PCMT	Innovation	162272	Discretionary	Y	JFL Consulting LLC	Hydrogen Energy Consulting	12/18/2020	\$125,000	\$125,000		\$20,375		\$20,375				
PCMT	INNOVATION CAPACITY & BUSINESS DEVELOP	57387	Competitive	Y	Research Foundation of SUNY	EFRC co-funding	1/21/2015		\$391,000		\$29,662		\$226,901				
PCMT	K-12 SCHOOLS	141499	Competitive	Y	CHA Consulting Inc.	SA.051 TWO #6 EP&E APM	6/18/2019		\$356,265		\$173,614		\$261,679				
PCMT	K-12 SCHOOLS	141697	Competitive	Y	EME Consulting Engineering Group, LLC	SA.052 TWO #9 - EP&E	6/21/2019		\$416,643		\$172,626		\$294,225				
PCMT	K-12 SCHOOLS	ADH0014842	Competitive	Y	TRC Energy Services	SA.026a TWO#13 - EPE	11/19/2020	\$346,403	\$346,403		\$0		\$0				
PCMT	K-12 SCHOOLS	ADH0014877	Competitive	Y	CHA Consulting Inc.	SA.035 TWO#4 - EPE	11/20/2020	\$168,695	\$168,695		\$0		\$0				
PCMT	LARGE SCALE RENEWABLES	104262	Competitive	Y	Holland & Knight LLP	RFP 3300 & RFP 3776 Outside Co	9/27/2016		\$391,125				\$290,739				
PCMT	LARGE SCALE RENEWABLES	104541	Competitive	Y	Industrial Economics, Incorporated	RFQ3183 Umbrella Agreement	10/5/2016		\$186,477				\$169,613				
PCMT	Low Rise New Construction	117989	Competitive	Y	Arcadis of New York, Inc.	Staff Augmentation Services	9/8/2017	\$780,651	\$1,427,446		\$165,029		\$470,543				
PCMT	Low Rise New Construction	ADH0014924	Competitive	Y	TRC Energy Services	SA.041 TWO#14 - NCP PM	11/30/2020	\$419,522	\$419,522		\$49,338		\$49,338				
PCMT	Low-Income Forum on Energy	116343	Competitive	Y	TRC Energy Services	LIFE Implementation Services	7/26/2017		\$173,089		\$28,390		\$173,089				
PCMT	Low-Income Forum on Energy	116343	Non-Competitive	Y	TRC Energy Services	LIFE Implementation Services	7/26/2017	\$120,817	\$203,839		\$61,206		\$61,206				
PCMT	Low-Income Forum on Energy	149792	Discretionary	N	Impact by Design Inc.	Facilitation Support NYSDERDA	1/3/2020		\$49,500		\$17,031		\$21,406				
PCMT	Low-Income Forum on Energy	163674	Discretionary	Y	Trustees of University of PA	Climate Justice in NYS	1/25/2021	\$50,000	\$50,000								
PCMT	Market Tests	132045	Competitive	N	Kellihier Samets Volk	TWO 22 Building Labeling Mkt	10/3/2018		\$361,010		\$60,771		\$217,421				
PCMT	Market Tests	145268	Discretionary	N	Embertec USA, LLC	Purchase 390 Tier 2 APS	9/24/2019		\$15,207				\$8,869				
PCMT	M-Corps	165577	Discretionary	N	National Renewable Energy Laboratory	2021 Ind Grow Frm Sponsorship	3/15/2021	\$12,000	\$12,000		\$12,000		\$12,000				
PCMT	MF Market Transformation	144898	Discretionary	N	Russell Unger Consulting LLC	MF Energy Efficiency Consultin	9/13/2019		\$200,000		\$35,088		\$133,833				
PCMT	Mkt Char: Tech Assist	101127	Competitive	Y	Energy and Environmental Economics Inc.	Tech Assist, REV Pool.	7/15/2016		\$3,308,918				\$3,261,914				
PCMT	Mkt Char: Tech Assist	101127	Discretionary	Y	Energy and Environmental Economics Inc.	Tech Assist, REV Pool.	7/15/2016		\$50,000				\$6,737				
PCMT	Mkt Char: Tech Assist	101159	Competitive	Y	Navigant Consulting Inc.	Tech Assist, REV Pool	7/15/2016		\$500,000		\$28,042		\$105,251				
PCMT	Mkt Char: Tech Assist	101161	Competitive	Y	National Economic Research	Tech Assist, REV Pool	7/15/2016		\$487,000				\$335,440				
PCMT	Mkt Char: Tech Assist	133820	Discretionary	N	Grid Strategies, LLC	Bulk Power System Resource Ad.	11/9/2018		\$200,000				\$50,000				
PCMT	Mkt Char: Tech Assist	135615	Competitive	N	Energy and Environmental Economics Inc.	TWO 13 - VDER Phase 2	12/28/2018		\$350,000		\$85,650		\$340,418				
PCMT	Mkt Char: Tech Assist	135945	Competitive	N	Energy and Environmental Economics Inc.	TWO# 14 - Grid Value Model	1/9/2019		\$141,000		\$9,503		\$139,069				
PCMT	Mkt Char: Tech Assist	140796	Competitive	N	Energy and Environmental Economics Inc.	TWO #17 Heat Pump Analysis	5/29/2019		\$160,000		\$1,757		\$149,202				
PCMT	Mkt Char: Tech Assist	149225	Competitive	N	Brattle Group Inc.	TWO #1 Resource Adequacy	12/18/2019	\$138,589	\$465,589		\$465,589		\$465,589				
PCMT	Mkt Char: Tech Assist	161723	Competitive	N	Industrial Economics, Incorporated	TWO #2 Outage Damages	12/7/2020	\$45,000	\$45,000		\$44,415		\$44,415				
PCMT	Mkt Char: Tech Assist	163729	Competitive	N	Industrial Economics, Incorporated	TWO 3 Utility Outages Phase II	1/26/2021	\$299,994	\$299,994		\$147,420		\$147,420				
PCMT	Mkt Char: Tech Assist	101159A	Competitive	Y	Guidehouse Inc.	Tech Assist, REV Pool	4/6/2020	\$394,750	\$394,750		\$149,331		\$149,331				
PCMT	Multifam New Construction	ADH0014941	Competitive	Y	TRC Energy Services	SA.042 TWO#17 - NCP NYC PM	12/3/2020	\$144,632	\$144,632		\$21,934		\$21,934				
PCMT	Multifam Performance Pgm	113498	Competitive	Y	Taitem Engineering, P.C.	RFQL3434 Cat1 Biomass Boilers	5/5/2017		\$430,000				\$316,337				
PCMT	Multifam Performance Pgm	117924	Competitive	Y	Willdan Energy Solutions	Staff Augmentation Services	9/6/2017	\$215,596	\$1,119,612		\$250,090		\$746,212				
PCMT	Multifam Performance Pgm	117926	Competitive	Y	TRC Energy Services	Staff Augmentation Services	9/6/2017	\$3,203,539	\$9,136,274		\$2,222,879		\$5,720,333				
PCMT	Multifam Performance Pgm	129937	Discretionary	Y	TRC Energy Services	Program Tool Services for MPP	8/10/2018		\$50,000		\$7,817		\$45,572				
PCMT	Multifam Performance Pgm	141694	Competitive	N	Taitem Engineering, P.C.	RFQL 3434 - Standards and Qual	6/21/2019		\$464,250		\$254,006		\$464,070				
PCMT	Multifam Performance Pgm	141803	Competitive	Y	Fusco Personnel, Inc.	TS.002 Multifamily Coordinator	6/25/2019	\$50,000	\$81,325		\$18,348		\$45,845				
PCMT	MULTIFAMILY PERFORMANCE PROGRAM	91807	Discretionary	Y	Robison Energy LLC	Oil Monitoring Pilot	2/29/2016		\$50,000				\$48,655				
PCMT	Na1 OffShWind R&D Consortium	131173	Competitive	N	Alliance for Sustainable Energy, LLC	NREL for OSW Consortium	9/12/2018		\$205,000		\$25,000		\$205,000				
PCMT	Na1 OffShWind R&D Consortium	131325	Competitive	N	Carbon Trust Advisory Limited	Carbon Trust OSW Consortium	9/17/2018		\$136,000		\$15,500		\$136,000				
PCMT	Na1 OffShWind R&D Consortium	131674	Competitive	Y	The Renewables Consulting Group, LLC	RCG work for OSW Consortium	9/24/2018		\$612,897		\$24,264		\$612,897				
PCMT	New Construction- Commercial	123329	Competitive	Y	New Buildings Institute, Inc.	New Construction- Commercial	2/12/2018		\$250,000		\$0		\$235,637				
PCMT	New Construction- Commercial	145723	Competitive	Y	VHB Engineering, Surveying and	New Construction- Commercial	10/4/2019		\$50,000		\$23,814		\$48,494				
PCMT	New Construction- Commercial	152645	Non-Competitive	Y	New Buildings Institute, Inc.	CNB Roadmap Writing	3/17/2020	\$359,058	\$359,058		\$248,244		\$248,244				
PCMT	New Construction- Commercial	158216	Non-Competitive	Y	New Buildings Institute, Inc.	2021 Getting to 0 Forum-Legacy	9/15/2020	\$49,965	\$49,965								
PCMT	New Construction- Commercial	158298	Non-Competitive	Y	Egg Geo, LLC	SUNY Heat Pump Training	9/16/2020	\$50,000	\$50,000		\$19,750		\$19,750				
PCMT	New Construction- Commercial	163054	Competitive	Y	New Buildings Institute, Inc.	RFQL 3711- GTZ NY 2021 Update	1/8/2021	\$50,000	\$50,000								
PCMT	New Construction Housing	128210	Competitive	Y	Newport Ventures, Inc.	New Construction Housing	6/21/2018		\$200,000				\$112,273				
PCMT	New Construction Housing	137240	Competitive	Y	EME Consulting Engineering Group, LLC	SA.038A TWO #7 - New Con	2/19/2019	\$261,105	\$529,605		\$220,580		\$417,551				
PCMT	New Construction Housing	143617	Competitive	Y	Kellihier Samets Volk	TWO 28 Carbon Neutral Bldgs	8/12/2019		\$600,000		\$197,558		\$301,014				
PCMT	New Construction Housing	145128	Competitive	N	Rocky Mountain Institute	140390 TWO#1	9/23/2019	\$346,875	\$831,250		\$782,355		\$782,355				
PCMT	New Construction Housing	149716	Discretionary	N	The Research Foundation for the State	2020 NYS Green Building Conf	12/31/2019		\$6,000		\$6,000		\$6,000				
PCMT	New Construction Housing	149717	Discretionary	N	NESEA	2020 NESEA ProTours	12/31/2019		\$25,000				\$15,000				
PCMT	New Construction Housing	150654	Discretionary	N	Oliver Kinross Ltd.	Exhibit at NYBuild 2020	1/28/2020		\$5,895		\$5,895		\$5,895				
PCMT	New Construction Housing	152018	Discretionary	N	Community Preservation Corp.	Carbon Neutral Summit	3/2/2020		\$35,000								
PCMT	New Construction Housing	155394	Discretionary	N	The Architectural League of New York	Industry Partner Engagement	7/2/2020	\$50,000	\$50,000								
PCMT	New Construction Housing	162123	Discretionary	N	North American Passive House Network, In	NAPHN 2021	12/16/2020	\$25,000	\$25,000		\$25,000		\$25,000				
PCMT	New Construction Housing	ADH0014934	Competitive	Y	TRC Energy Services	SA.040 TWO #16 - NC PM	1/14/2021	\$132,423	\$132,423		\$0		\$0				
PCMT	NEW YORK GREEN BANK	46964	Competitive	Y	Luminate, LLC	Outside Technical and Eng. Srv	9/5/2014	\$73,440	\$115,761		\$22,904		\$65,102				
PCMT	NEW YORK GREEN BANK	98838	Competitive	Y	DealCloud Inc.	DealCloud Licensing	5/24/2016	\$216,360	\$475,465		\$115,715		\$369,870				
PCMT	NEW YORK GREEN BANK	98839	Competitive	Y	SS&C Technologies, Inc.	Fund Administration/Loan Inves	5/24/2016		\$3,000,000		\$41,246		\$1,281,746				
PCMT	NEW YORK GREEN BANK	109917	Competitive	Y	BDO USA LLP	Background Investigation Servi	2/7/2017	\$58,000	\$95,450		\$58,000		\$95,450				
PCMT	NEW YORK GREEN BANK	120012	Discretionary	N	Sunset Green Home LLC	Third-Party Capital Consulting	11/1/2017		\$250,000				\$227,798				
PCMT	NEW YORK GREEN BANK	126362	Competitive	Y	Moelis & Company Group LP	3PC raise advisory Services	5/1/2018	\$250,000	\$100,000		\$250,000		\$1,029,172				
PCMT	NEW YORK GREEN BANK	136435	Discretionary	N	Intralinks, Inc.	3PC VDR Services	1/24/2019		\$11,250		\$750		\$10,492				
PCMT	NEW YORK GREEN BANK	138377	Discretionary	Y	Proenergy Consulting LLC	NEW YORK GREEN BANK	3/27/2019	\$60,000	\$160,000		\$36,551		\$108,911				
PCMT	NEW YORK GREEN BANK	139951	Discretionary	N	Stance Renewable Risk Partners LLC		5/6/2019		\$10,000								
PCMT	NEW YORK GREEN BANK	140402	Discretionary	N	Stance Renewable Risk Partners LLC		5/21/2019		\$8,000								
PCMT	NEW YORK GREEN BANK	141636	Discretionary	N	Capital for Communities, LLC	NEW YORK GREEN BANK	6/20/2019		\$25,000		\$506		\$506				
PCMT	NEW YORK GREEN BANK	144305	Competitive	N	Citistaffing, LLC	TS.008 - NYGB Office Admin	8/29/2019		\$24,922		\$5,428		\$24,922				
PCMT	NEW YORK GREEN BANK	147618	Discretionary	N	Citadel SPV LLC		11/15/2019		\$52,500								
PCMT	NEW YORK GREEN BANK	149695	Competitive	Y	Holland & Knight LLP	RFP 12 - NYGB Legal Services	12/31/2019	\$90,299	\$216,007		\$90,299		\$216,007				
PCMT	NEW YORK GREEN BANK	149696	Competitive	Y	Nixon Peabody LLP	RFP 12 - NYGB Legal Services	12/31/2019	\$112,565	\$112,565		\$62,810		\$62,810				
PCMT	NEW YORK GREEN BANK	150761	Discretionary	N	Mendelsohn Consulting, Inc.	NYGB Strategy and Business Pla	1/30/2020	\$2,245	\$52,245		\$38,948		\$52,245				
PCMT	NEW YORK GREEN BANK	150958	Discretionary	Y	PLB Oil & Gas Consult LLC	NYGB Investment Support	2/4/2020	\$100,000	\$150,000		\$137,559		\$149,144				
PCMT	NEW YORK GREEN BANK	151060	Discretionary	N	Christopher Alan Hunter	NYGB - Level Solar	2/6/2020		\$50,000		\$7,830		\$7,830				

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PCMT	NEW YORK GREEN BANK	151297	Competitive	N	Sive Paget & Riesel PC	RFP 12- NYGB Legal Services	2/12/2020	\$80,509	\$80,509		\$80,509	\$80,509
PCMT	NEW YORK GREEN BANK	151556	Discretionary	N	Citadel SPV LLC	Executed Services Agreement	2/19/2020		\$80,000			
PCMT	NEW YORK GREEN BANK	152237	Competitive	N	Guidehouse Inc.	TWO #2 - Bulk Storage	3/6/2020		\$45,000		\$35,000	\$35,000
PCMT	NEW YORK GREEN BANK	152689	Discretionary	N	Enterprise Community Partners, Inc.	NYGB LMI Consultants	3/18/2020	\$50,000	\$50,000		\$50,000	\$50,000
PCMT	NEW YORK GREEN BANK	152717	Discretionary	Y	Andrew Seiden	NYGB Investment Support	3/19/2020	\$50,000	\$50,000		\$38,250	\$38,250
PCMT	NEW YORK GREEN BANK	152910	Competitive	Y	Guidehouse Inc.	TWO #1 - Price Forecast	3/25/2020		\$250,000		\$221,866	\$221,866
PCMT	NEW YORK GREEN BANK	153454	Non-Competitive	N	PLB Oil & Gas Consult LLC	NYGB Covid-19 PPP Consulting	4/14/2020	\$50,000	\$50,000		\$26,393	\$26,393
PCMT	NEW YORK GREEN BANK	153693	Discretionary	Y	Adviser Compliance Associates, LLC	ACA - PPP Compliance Assistanc	4/24/2020	\$50,000	\$50,000		\$23,323	\$23,323
PCMT	NEW YORK GREEN BANK	155699	Discretionary	Y	The Coalition for Green Capital	GBN 2020 Membership Dues	7/13/2020	\$7,000	\$7,000		\$7,000	\$7,000
PCMT	NEW YORK GREEN BANK	155793	Discretionary	N	Caroprese & Company	PPP Customer Acquisition	7/14/2020	\$50,000	\$50,000		\$25,494	\$25,494
PCMT	NEW YORK GREEN BANK	155981	Competitive	N	Randstad North America, Inc.	PS.026 NYGB	7/20/2020	\$99,550	\$99,550		\$74,662	\$74,662
PCMT	NEW YORK GREEN BANK	156051	Discretionary	Y	Blank Rome LLP	NYGB Legal	7/22/2020	\$50,000	\$50,000		\$29,900	\$29,900
PCMT	NEW YORK GREEN BANK	156072	Discretionary	Y	Pricewaterhouse Coopers, LLC	Daroga Tax Consulting Services	7/22/2020	\$10,000	\$10,000		\$10,000	\$10,000
PCMT	NEW YORK GREEN BANK	156156	Competitive	N	Randstad North America, Inc.	PS.027 NYGB	7/24/2020	\$245,000	\$245,000		\$138,395	\$138,395
PCMT	NEW YORK GREEN BANK	157069	Discretionary	N	Aon Risk Consultants, Inc.	Aon Risk Consulting Services f	8/17/2020	\$5,000	\$5,000			
PCMT	NEW YORK GREEN BANK	157123	Competitive	Y	DNV GL Energy Services USA Inc.		8/18/2020	\$13,920	\$13,920			
PCMT	NEW YORK GREEN BANK	157124	Competitive	Y	Energy & Resource Solutions, Inc.		8/18/2020	\$49,706	\$49,706			
PCMT	NEW YORK GREEN BANK	157226	Discretionary	N	Aon Risk Consultants, Inc.	Aon Insurance Consulting on CG	8/20/2020	\$5,000	\$5,000			
PCMT	NEW YORK GREEN BANK	157278	Discretionary	N	Andrew Seiden	NEW YORK GREEN BANK	8/21/2020	\$140,400	\$140,400		\$78,975	\$78,975
PCMT	NEW YORK GREEN BANK	157469	Discretionary	Y	Stance Renewable Risk Partners LLC		8/28/2020	\$6,500	\$6,500			
PCMT	NEW YORK GREEN BANK	157935	Discretionary	N	TPR Enterprises LLC		9/9/2020	\$18,500	\$18,500		\$0	\$0
PCMT	NEW YORK GREEN BANK	158959	Discretionary	N	TPR Enterprises LLC		10/1/2020	\$10,360	\$10,360		\$10,360	\$10,360
PCMT	NEW YORK GREEN BANK	159163	Discretionary	Y	Allison van Hee	Contractor to NY Green Bank	10/5/2020	\$50,000	\$50,000		\$9,181	\$9,181
PCMT	NEW YORK GREEN BANK	159845	Discretionary	N	Pivotal 180, LLC	NEW YORK GREEN BANK	10/21/2020	\$40,000	\$40,000		\$40,000	\$40,000
PCMT	NEW YORK GREEN BANK	160547	Discretionary	Y	Citadel SPV LLC	Financing with CCR	11/6/2020	\$140,000	\$140,000			
PCMT	NEW YORK GREEN BANK	160704	Discretionary	N	Aon Risk Consultants, Inc.	Risk Consulting for Rudarpa Tr	11/10/2020	\$5,000	\$5,000			
PCMT	NEW YORK GREEN BANK	161973	Competitive	Y	Randstad North America, Inc.	PS.038 NYGB Analyst	12/14/2020	\$199,095	\$199,095		\$24,504	\$24,504
PCMT	NEW YORK GREEN BANK	163103	Competitive	Y	Randstad North America, Inc.	Payroll Services -NYGB Analyst	1/8/2021	\$199,095	\$199,095		\$16,847	\$16,847
PCMT	NEW YORK GREEN BANK	164684	Non-Competitive	N	Kanji No Koen Consulting LLC	Tibita Kaneene with NYGB	2/19/2021	\$161,956	\$161,956			
PCMT	NEW YORK GREEN BANK	164915	Discretionary	Y	West Publishing Corporation	Westlaw Core Plan platform	2/25/2021	\$45,320	\$45,320			
PCMT	NEW YORK GREEN BANK	132478-1	Discretionary	N	Bloomberg Finance LP	Bloomberg Datedeef Access	1/11/2019		\$18,404			\$18,404
PCMT	NEW YORK GREEN BANK	132478-1	Non-Competitive	N	Bloomberg Finance LP	Bloomberg Datedeef Access	1/11/2019	\$840	\$54,840		\$27,315	\$40,815
PCMT	NEW YORK GREEN BANK	98839A	Competitive	Y	Advent Software Luxembourg S A R L	Fund Administration/Loan Inves	4/8/2020	\$1,718,254	\$1,718,254		\$475,716	\$475,716
PCMT	NEW YORK GREEN BANK	ADH0014459	Competitive	Y	Allegis Group Holdings Inc	Staff Augmentation Services	2/5/2021	\$75,266	\$75,266			
PCMT	NextGen HVAC	110961	Discretionary	N	Evoworld	NYCH: Innovation	3/2/2021		\$48,089		\$0	\$48,089
PCMT	NYCH: Comfort Home	145516	Discretionary	N	Caroline Hazard	Heat Pump Ready Participation	9/30/2019		\$50,000		\$23,264	\$49,995
PCMT	NYCH: Comfort Home	148531	Competitive	N	TRC Energy Services	Comfort Home Implementation	12/7/2019	\$299,400	\$499,380		\$257,176	\$257,176
PCMT	NYCH: Comfort Home	149954	Discretionary	N	Honeywell International Inc.	Technical Assurance	1/8/2020		\$48,000		\$14,333	\$14,333
PCMT	NYCH: Consumer Awareness	155231	Competitive	Y	Kelliher Samets Volk	KSV TWO 34 NYS Clean Heat	6/27/2020	\$9,500,000	\$9,500,000		\$392,243	\$392,243
PCMT	NYCH: Consumer Awareness	156845	Discretionary	Y	Ellen Lutz Consulting, LLC	CinHeatStatewide/UtilityCoMktg	8/11/2020	\$200,000	\$200,000		\$36,113	\$36,113
PCMT	NYCH: Critical Tools	161011	Non-Competitive	N	Northeast Energy Efficiency Partnerships	Heat Pump Spec Development	11/17/2020	\$49,760	\$49,760			
PCMT	NYCH: District	158002	Discretionary	N	Pace University	Clean Thermal District Systems	9/10/2020	\$44,000	\$44,000		\$8,382	\$8,382
PCMT	NYCH: Innovation	139812	Discretionary	N	RD & Associates Consulting LLC	Innovation Advisor	5/1/2019		\$35,475		\$10,879	\$19,323
PCMT	NYCH: LMI	158957	Discretionary	Y	Facility Strategies Group, LLC	NYCH: LMI	10/1/2020	\$32,200	\$32,200		\$32,200	\$32,200
PCMT	NYCH: LMI	159618	Competitive	N	Guidehouse Inc.	TWO 5	10/15/2020	\$397,709	\$397,709		\$266,808	\$266,808
PCMT	NYCH: LMI	159686	Competitive	Y	Vermont Energy Investment Corporation	TWO #1 LMI Electrification	10/16/2020	\$99,860	\$99,860		\$11,465	\$11,465
PCMT	NYCH: Roadmap	156627	Discretionary	Y	Owahgena Consulting, Inc.	BEEM Technical Analysis	8/5/2020	\$50,000	\$50,000		\$37,550	\$37,550
PCMT	NYCH: Roadmap	161199	Competitive	Y	New Buildings Institute, Inc.	TWO #2 Bldg Elec Roadmap Writ	11/23/2020	\$211,315	\$211,315			
PCMT	NYCH: Supply Chain	124468	Discretionary	N	Seek More LLC	Consultant for SFR Upstream	3/23/2018		\$50,000		\$0	\$37,545
PCMT	NYCH: Supply Chain	143834	Competitive	Y	Allegis Group Holdings Inc	SA.054 TWO #9 - SFR PM	8/16/2019	\$102,709	\$232,634		\$124,257	\$165,292
PCMT	NYCH: Supply Chain	161970	Discretionary	Y	Caroline Hazard	SFR Consulting Project Support	12/11/2020	\$350,000	\$350,000		\$20,986	\$20,986
PCMT	NYCH: Talent Ppln:CE and OJT	153701	Competitive	Y	Steven Winter Associates, Inc.	Steven Winter Assoc. Training	4/27/2020	\$799,999	\$799,999		\$201,321	\$201,321
PCMT	NYCH: Talent Ppln:CE and OJT	161831	Competitive	Y	BW Research Partnership	TWO# 2 JTWWG Jobs Study	12/10/2020	\$300,000	\$300,000			
PCMT	NYSERDA ADMINISTRATION	21239	Competitive	Y	Bond, Schoeneck & King, PLLC	Outside Legal Services	12/2/2010		\$50,000		\$506	\$49,422
PCMT	NYSERDA ADMINISTRATION	32004	Competitive	Y	First Columbia Property Services, LLC	Property Management Services	3/24/2016		\$1,339,311			\$1,287,451
PCMT	NYSERDA ADMINISTRATION	38185	Competitive	Y	Rafferty Enterprises, Inc.	Temp Employee Services	12/13/2013		\$1,325,899			\$1,107,856
PCMT	NYSERDA ADMINISTRATION	38185	Discretionary	Y	Rafferty Enterprises, Inc.	Temp Employee Services	12/13/2013		\$24,100			\$23,767
PCMT	NYSERDA ADMINISTRATION	38396	Competitive	Y	Northco Products, Inc.	Konica Minolta Copier	12/19/2013		\$20,000			\$19,785
PCMT	NYSERDA ADMINISTRATION	43614	Discretionary	N	Computer SOS Inc.	Miscellaneous Buffalo/West Val	7/1/2014		\$7,980			\$5,934
PCMT	NYSERDA ADMINISTRATION	62858	Competitive	Y	Marshall & Sterling, Inc.	Property Liability and Other	4/20/2015	\$4,513	\$1,119,215		\$19,814	\$1,119,215
PCMT	NYSERDA ADMINISTRATION	68677	Discretionary	Y	Commercial Investigations LLC	Background Checks	7/9/2015		\$40,000		\$5,159	\$35,933
PCMT	NYSERDA ADMINISTRATION	85023	Competitive	N	22nd Century Technologies, Inc.	Staff Augmentation	12/18/2015		\$585,000		\$49,637	\$536,210
PCMT	NYSERDA ADMINISTRATION	85025	Competitive	Y	Tech Valley Talent, LLC	RFP3143 Umbrella Agreement	12/18/2015	\$372,450	\$7,929,582		\$790,701	\$6,510,913
PCMT	NYSERDA ADMINISTRATION	85027	Competitive	N	Unique Comp Inc.	RFP3143 Umbrella Agreement	12/18/2015	\$815,082	\$7,352,317		\$882,809	\$6,073,624
PCMT	NYSERDA ADMINISTRATION	85029	Competitive	N	GCOM Software LLC	Staff Aug Umbrella Agreement	12/18/2015		\$1,645,800			\$1,221,540
PCMT	NYSERDA ADMINISTRATION	96514	Competitive	Y	Randstad North America, Inc.	Temp Services Contract.	4/21/2016		\$2,633,826			\$2,244,408
PCMT	NYSERDA ADMINISTRATION	98512	Competitive	N	NYS Office of General Services	2016-2019 Microsoft ELA 3 year	5/16/2016		\$142,080			\$139,325
PCMT	NYSERDA ADMINISTRATION	98840	Competitive	Y	KPMG LLP	Audit Services	5/24/2016		\$547,960		\$116,050	\$428,800
PCMT	NYSERDA ADMINISTRATION	102977	Competitive	N	Xerox Corp	Xerox Maintenance Agreement	8/30/2016		\$89,200		\$0	\$89,163
PCMT	NYSERDA ADMINISTRATION	104775	Discretionary	N	Employee Leasing of Greater NY	Temp Employee Services	10/11/2016		\$370,622			\$333,925
PCMT	NYSERDA ADMINISTRATION	106224	Competitive	Y	Darling Advertising Agency Inc.	Marketing Support for NYSERDA	11/3/2016		\$958,501		\$37,358	\$817,957
PCMT	NYSERDA ADMINISTRATION	107299	Competitive	Y	CLEAResult Consulting, Inc.	Phone Email & Web Support	11/28/2016	\$198,276	\$636,280		\$96,749	\$510,412
PCMT	NYSERDA ADMINISTRATION	108816	Discretionary	Y	Capital District Records Management, Inc	Media Storage & Destruction	1/9/2017		\$6,768			\$6,567
PCMT	NYSERDA ADMINISTRATION	109141	Discretionary	Y	Tully Rinckey PLLC	outside counsel services	1/18/2017	\$15,500	\$30,500			\$5,546
PCMT	NYSERDA ADMINISTRATION	110542	Competitive	N	Infosys International Inc	RFP3365 Private Cloud Hosting	2/21/2017		\$861,843			\$839,987
PCMT	NYSERDA ADMINISTRATION	110904	Discretionary	Y	NYS Office for Technology	O365 Point to Point Circuit	3/1/2017		\$22,464			\$22,330
PCMT	NYSERDA ADMINISTRATION	113027	Non-Competitive	N	NYS Office for Technology	Office 365 - 2016 - 2017	4/24/2017		\$67,766			\$65,996
PCMT	NYSERDA ADMINISTRATION	113877	Competitive	N	Randstad North America, Inc.	Temporary Services	5/18/2017		\$110,500			\$78,538
PCMT	NYSERDA ADMINISTRATION	114189	Competitive	Y	ADP, Inc.	ADP Payroll and HR Svcs 2017	5/26/2017		\$180,521			\$179,901
PCMT	NYSERDA ADMINISTRATION	114702	Competitive	N	Randstad North America, Inc.	Temporary Services	6/9/2017		\$150,000			\$107,517
PCMT	NYSERDA ADMINISTRATION	114801	Discretionary	N	Xerox Corp	Xerox Mobile Print - 3 years	6/13/2017		\$20,985			\$12,712

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	115432	Discretionary	Y	Troy Web Consulting, LLC	SEP Comment System	7/3/2017		\$14,985		\$10,598
PCMT	NYSERDA ADMINISTRATION	115913	Discretionary	N	Harris Wiltshire & Grannis LLP	NYSERDA ADMINISTRATION	7/17/2017		\$24,999		\$24,255
PCMT	NYSERDA ADMINISTRATION	117927	Competitive	Y	EME Consulting Engineering Group, LLC	Staff Augmentation Services	9/6/2017	\$728,186	\$3,634,063	\$784,911	\$1,981,387
PCMT	NYSERDA ADMINISTRATION	119371	Competitive	Y	International Business Machines	Replacement for PPSI Site	10/16/2017		\$34,129		
PCMT	NYSERDA ADMINISTRATION	119704	Discretionary	N	Arctic Air Mechanical Corp	HVAC for NY Office	10/24/2017		\$23,800	\$2,800	\$23,800
PCMT	NYSERDA ADMINISTRATION	120292	Competitive	Y	GCOM Software LLC	Salesforce	11/7/2017	\$1,440,000	\$5,491,135	\$1,425,695	\$5,129,893
PCMT	NYSERDA ADMINISTRATION	121166	Discretionary	N	NGenious Solutions Inc.	SharePoint Online Consulting	11/30/2017		\$35,000		\$23,363
PCMT	NYSERDA ADMINISTRATION	124007	Discretionary	Y	Concur Technologies, Inc.	2018-2020 Concur annual cost	3/1/2018		\$39,700	\$3,946	\$39,063
PCMT	NYSERDA ADMINISTRATION	124427	Discretionary	N	Independent Security Services, Inc.	Security Services	3/19/2018		\$5,000		\$813
PCMT	NYSERDA ADMINISTRATION	124908	Competitive	Y	First Columbia Property Services, LLC	Property Management	3/27/2018	\$464,784	\$983,829	\$206,715	\$661,061
PCMT	NYSERDA ADMINISTRATION	125834	Discretionary	Y	Level 3 Communications, LLC	NYSERDA ADMINISTRATION	4/17/2018		\$49,999		\$49,999
PCMT	NYSERDA ADMINISTRATION	125834	Non-Competitive	Y	Level 3 Communications, LLC	NYSERDA ADMINISTRATION	4/17/2018	\$144,000	\$359,001	\$134,623	\$349,126
PCMT	NYSERDA ADMINISTRATION	126194	Discretionary	Y	LexisNexis	Online Legal Research Access	4/26/2018		\$49,968	\$16,908	\$45,088
PCMT	NYSERDA ADMINISTRATION	127360	Competitive	Y	Xerox Corp	NYSERDA ADMINISTRATION	5/30/2018		\$269,102	\$66,461	\$216,506
PCMT	NYSERDA ADMINISTRATION	128475	Discretionary	Y	Diana L. McWilliams Interior Design, PLL	Capital Plan Renov. - Study	6/28/2018		\$75,000		\$51,307
PCMT	NYSERDA ADMINISTRATION	129114	Discretionary	N	Rochester Computer Recycling & Recovery,	Data Destruction Services 2 yr	7/18/2018		\$25,000	\$2,496	\$2,496
PCMT	NYSERDA ADMINISTRATION	130233	Competitive	Y	ITS-NY	Office 365 2018-2019	8/16/2018		\$96,970		\$0
PCMT	NYSERDA ADMINISTRATION	133389	Competitive	Y	mindSHIFT Technologies, Inc.	RFP 3919-Sitecore CMS Admin	11/1/2018	\$500,000	\$1,300,000	\$256,875	\$693,308
PCMT	NYSERDA ADMINISTRATION	135250	Competitive	N	Tech Valley Talent, LLC	TWO26 Server Ops	12/17/2018		\$452,438	\$194,126	\$398,708
PCMT	NYSERDA ADMINISTRATION	136123	Competitive	N	TEKsystems Inc	TWO EVConsultant	1/15/2019		\$6,800	\$2,465	\$3,910
PCMT	NYSERDA ADMINISTRATION	136124	Discretionary	N	Adirondack Area Network	2019 AA NET Recording Services	1/15/2019		\$15,000		\$14,466
PCMT	NYSERDA ADMINISTRATION	136369	Competitive	Y	Unique Comp Inc.	TWO SQL ETL Dev. NCM	1/23/2019		\$353,600	\$121,720	\$289,938
PCMT	NYSERDA ADMINISTRATION	136610	Non-Competitive	N	Metropolitan Life Insurance Company	NYSERDA ADMINISTRATION	1/29/2019		\$300,000		\$275,843
PCMT	NYSERDA ADMINISTRATION	136980	Competitive	Y	Unique Comp Inc.	TWO Sr. Systems Admin. - Bhanu	2/8/2019		\$353,600	\$172,720	\$344,760
PCMT	NYSERDA ADMINISTRATION	137225	Competitive	Y	Systems Management Planning, Inc.	Webex Services - 2019 2020	2/15/2019		\$19,116	\$12,008	\$19,116
PCMT	NYSERDA ADMINISTRATION	137525	Discretionary	Y	AmTrust North America Inc.	NYSERDA ADMINISTRATION	2/26/2019		\$42,000	\$7,330	\$38,337
PCMT	NYSERDA ADMINISTRATION	137985	Competitive	Y	Unique Comp Inc.	TWO 1 Server Op's Support	3/12/2019		\$203,775		\$139,403
PCMT	NYSERDA ADMINISTRATION	138056	Competitive	Y	Gartner Inc.	Gartner Services 2019-2020	3/13/2019		\$55,356	\$0	\$55,336
PCMT	NYSERDA ADMINISTRATION	138080	Competitive	Y	SHI, Inc.	Adobe Acrobat Pro DC - 1 yr.	3/14/2019		\$48,064		
PCMT	NYSERDA ADMINISTRATION	138374	Competitive	N	Systems Management Planning, Inc.	NET App Flash Storage 3 years	3/26/2019		\$24,205		\$20,602
PCMT	NYSERDA ADMINISTRATION	139253	Competitive	N	TVC Albany Inc.	FirstLight - Redundant for Alb	4/17/2019		\$57,600	\$19,200	\$29,655
PCMT	NYSERDA ADMINISTRATION	139255	Competitive	N	Crown Castle Fiber LLC	Primary Internet - ALB,NYC,BUF	4/17/2019		\$146,952	\$52,838	\$99,505
PCMT	NYSERDA ADMINISTRATION	140550	Competitive	Y	Fusco Personnel, Inc.	Temp Services - Marketing PM	5/21/2019		\$189,810		\$12,273
PCMT	NYSERDA ADMINISTRATION	140703	Competitive	Y	Randstad North America, Inc.	Payroll Services Web Ops	5/24/2019	\$48,165	\$102,188	\$46,724	\$83,589
PCMT	NYSERDA ADMINISTRATION	140704	Competitive	Y	Randstad North America, Inc.	Payroll Services	5/24/2019	\$48,165	\$104,307	\$46,275	\$82,324
PCMT	NYSERDA ADMINISTRATION	141158	Non-Competitive	N	First Quality Maintenance II, LLC	NYC Office Cleaning	6/7/2019		\$122,137	\$0	\$120,843
PCMT	NYSERDA ADMINISTRATION	141160	Discretionary	N	First Quality Maintenance II, LLC	NYC Office Cleaning-15th Floor	6/7/2019		\$19,292	\$0	\$19,292
PCMT	NYSERDA ADMINISTRATION	141162	Non-Competitive	N	Control Solutions Group, Inc.	HVAC Maintenance NYC Office	6/7/2019		\$10,900	\$2,725	\$10,900
PCMT	NYSERDA ADMINISTRATION	141324	Discretionary	N	Towerstream 1 Incorporated	Circuit for NYC - Towerstream	6/12/2019		\$37,500	\$11,000	\$23,339
PCMT	NYSERDA ADMINISTRATION	141364	Competitive	Y	Unique Comp Inc.	TWO Salesforce Admin. Senecal	6/13/2019		\$139,913	\$30,781	\$120,486
PCMT	NYSERDA ADMINISTRATION	141800	Discretionary	N	Employee Leasing of Greater NY	TS016 Marketing	6/25/2019	\$99,000	\$165,000	\$54,970	\$98,063
PCMT	NYSERDA ADMINISTRATION	142510	Competitive	N	Systems Management Planning, Inc.	SMP - Storage Upgrade Services	7/15/2019		\$5,600	\$3,200	\$5,600
PCMT	NYSERDA ADMINISTRATION	142614	Discretionary	N	Network Experts of New York, Inc.	WAN Redundancy Prof Services	7/17/2019		\$30,960	\$8,400	\$30,960
PCMT	NYSERDA ADMINISTRATION	142986	Competitive	N	Sive Paget & Riesel PC	FOIL related Services	7/26/2019	\$11,891	\$27,754	\$10,082	\$24,442
PCMT	NYSERDA ADMINISTRATION	143062	Competitive	N	HyperGen Inc	PeopleSoft Support Services	7/30/2019		\$2,000,000	\$1,036,086	\$1,238,375
PCMT	NYSERDA ADMINISTRATION	143221	Discretionary	N	Crown Castle Fiber LLC	Dark Fiber 15 CC 17CC -2022	8/5/2019		\$35,280	\$9,950	\$17,910
PCMT	NYSERDA ADMINISTRATION	143459	Competitive	N	Randstad North America, Inc.	Payroll Services	8/7/2019		\$21,600		\$14,867
PCMT	NYSERDA ADMINISTRATION	143614	Competitive	N	Sive Paget & Riesel PC	NYSERDA ADMINISTRATION	8/12/2019		\$5,000		\$4,049
PCMT	NYSERDA ADMINISTRATION	144160	Competitive	N	NYS Office for Technology	O365 Data Circuit 9/19 - 7/22	8/26/2019		\$11,232	\$3,869	\$4,805
PCMT	NYSERDA ADMINISTRATION	144203	Competitive	N	Kelliher Samets Volk	TWO 29 Global Paid Search	8/27/2019		\$12,000	\$2,380	\$10,880
PCMT	NYSERDA ADMINISTRATION	144623	Competitive	N	Tech Valley Talent, LLC	TWO Salesforce BA - Himmelfarb	9/9/2019		\$474,640	\$189,483	\$270,974
PCMT	NYSERDA ADMINISTRATION	144820	Competitive	N	Harris Wiltshire & Grannis LLP	Green Economy Research	9/12/2019		\$75,000		\$70,490
PCMT	NYSERDA ADMINISTRATION	144824	Competitive	N	Industrial Economics, Incorporated	Research of Indian Point Purch	9/12/2019		\$41,400	\$9,974	\$41,371
PCMT	NYSERDA ADMINISTRATION	145269	Discretionary	N	Indeed Inc.	Job Postings	9/24/2019	\$30,500	\$50,000	\$16,345	\$27,368
PCMT	NYSERDA ADMINISTRATION	145315	Competitive	Y	Bond, Schoeneck & King, PLLC	HR Outside Counsel Assistance	9/25/2019		\$25,000	\$12,197	\$22,691
PCMT	NYSERDA ADMINISTRATION	145372	Competitive	N	Randstad North America, Inc.	TS.010 - Payroll Services	9/26/2019	\$22,815	\$49,140	\$23,985	\$40,779
PCMT	NYSERDA ADMINISTRATION	145444	Discretionary	N	IESI NY Corporation	Trash Removal for the 19th Fl	9/27/2019		\$7,830	(\$93)	\$5,782
PCMT	NYSERDA ADMINISTRATION	145820	Discretionary	Y	Network Experts of New York, Inc.	Helpdesk Tech. - John Campagna	10/7/2019		\$150,000	\$0	\$20,513
PCMT	NYSERDA ADMINISTRATION	146144	Discretionary	Y	Troy Web Consulting, LLC	Troy Web Hosting - 1yr Cloud	10/15/2019		\$7,380	\$5,535	\$7,380
PCMT	NYSERDA ADMINISTRATION	146716	Discretionary	N	W & E Phillips Locksmith, Inc	NYSERDA ADMINISTRATION	10/28/2019		\$10,000	\$2,866	\$10,000
PCMT	NYSERDA ADMINISTRATION	146716	Non-Competitive	N	W & E Phillips Locksmith, Inc	NYSERDA ADMINISTRATION	10/28/2019	\$5,000	\$5,000	\$3,837	\$3,837
PCMT	NYSERDA ADMINISTRATION	146967	Discretionary	N	Towerstream 1 Incorporated	Secondary WAN Circuit - NYGB	10/31/2019		\$37,500	\$13,000	\$18,081
PCMT	NYSERDA ADMINISTRATION	147145	Competitive	Y	NYS Office of Information Technology Ser	Office 365: 4/2019 - 3/2020	11/5/2019		\$140,112	\$30,905	\$128,170
PCMT	NYSERDA ADMINISTRATION	147286	Competitive	Y	Randstad North America, Inc.	Temporary Services	11/7/2019		\$106,880		\$5,306
PCMT	NYSERDA ADMINISTRATION	147904	Competitive	N	Randstad North America, Inc.	Payroll Services	11/21/2019		\$21,600		\$8,288
PCMT	NYSERDA ADMINISTRATION	148732	Competitive	N	Spectrotel Holding Company LLC	Spectrotel Telecommunication	12/10/2019		\$37,980		
PCMT	NYSERDA ADMINISTRATION	148735	Competitive	Y	Delta Dental of New York	Delta Dental Premiums 2020-202	12/10/2019		\$675,000	\$309,168	\$414,612
PCMT	NYSERDA ADMINISTRATION	149286	Competitive	N	Randstad North America, Inc.	Payroll Services	12/19/2019	\$2,486	\$16,962	\$11,757	\$15,337
PCMT	NYSERDA ADMINISTRATION	149542	Discretionary	N	SHI, Inc.	NYSERDA ADMINISTRATION	12/27/2019		\$5,498		\$4,771
PCMT	NYSERDA ADMINISTRATION	149544	Competitive	N	Carahsoft Technology Corporation	Salesforce cloud	12/27/2019		\$1,405,719	\$83,831	\$1,405,719
PCMT	NYSERDA ADMINISTRATION	149729	Discretionary	N	Dowd-Witbeck Printing Corp.	Printing and mailing services	1/2/2020		\$11,848		\$1,680
PCMT	NYSERDA ADMINISTRATION	149845	Competitive	Y	Oracle America, Inc.	Peoplesoft - Oracle 2020 /2021	1/7/2020		\$54,227	\$53,960	\$53,960
PCMT	NYSERDA ADMINISTRATION	149846	Competitive	N	Crown Castle Fiber LLC	Primary WAN Circuit NYGB	1/7/2020		\$64,512		
PCMT	NYSERDA ADMINISTRATION	150182	Competitive	Y	Randstad North America, Inc.	Temporary Services	1/14/2020		\$106,880	\$50,685	\$58,819
PCMT	NYSERDA ADMINISTRATION	150238	Competitive	N	Harris Wiltshire & Grannis LLP	Outside Counsel for Resource A	1/15/2020		\$75,000	\$50,038	\$61,031
PCMT	NYSERDA ADMINISTRATION	150242	Competitive	Y	Randstad North America, Inc.	Temporary Services	1/15/2020		\$96,194	\$765	\$5,674
PCMT	NYSERDA ADMINISTRATION	150711	Competitive	Y	TRC Energy Services	SA.059 TWO #21 - Financing Sol	1/29/2020		\$336,473	\$177,242	\$177,242
PCMT	NYSERDA ADMINISTRATION	150772	Competitive	Y	Randstad North America, Inc.	Temp Services - Marketing	1/30/2020		\$101,537	\$47,818	\$51,858
PCMT	NYSERDA ADMINISTRATION	151000	Non-Competitive	N	First Quality Maintenance II, LLC	Janitorial Services - NYC 19th	2/5/2020		\$107,988	\$33,749	\$33,749
PCMT	NYSERDA ADMINISTRATION	151399	Competitive	N	Nixon Peabody LLP	Loan Loss Reserve PON Review	2/14/2020	\$7,016	\$12,016	\$12,016	\$12,016
PCMT	NYSERDA ADMINISTRATION	151869	Competitive	N	Dell, Inc.	DELL - Wyse 50 Thin Clients	2/26/2020		\$17,378	\$17,378	\$17,378

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	151906	Competitive	N	SHI, Inc.	SHI - Chromebooks 50 - order 1	2/27/2020	\$4,128	\$24,770	\$24,770	\$24,770
PCMT	NYSERDA ADMINISTRATION	151910	Discretionary	N	Amazon Capital Servies, Inc.	Docking Stations - Chromebooks	2/28/2020		\$8,450	\$8,450	\$8,450
PCMT	NYSERDA ADMINISTRATION	151913	Non-Competitive	N	Terri Hartwell Easter Consulting LLC	NYSERDA ADMINISTRATION	2/28/2020	\$126,000	\$126,000	\$82,955	\$82,955
PCMT	NYSERDA ADMINISTRATION	152079	Competitive	N	Randstad North America, Inc.	Payroll Services	3/3/2020	\$69,140	\$74,132	\$41,694	\$41,694
PCMT	NYSERDA ADMINISTRATION	152081	Competitive	Y	ADP, Inc.	ADP Payroll and HR Services	3/3/2020		\$210,104	\$67,304	\$67,304
PCMT	NYSERDA ADMINISTRATION	152140	Discretionary	N	Technology Professionals Group Inc.	Salesforce CRM Advisory	3/4/2020		\$84,000	\$63,000	\$63,000
PCMT	NYSERDA ADMINISTRATION	152212	Competitive	Y	Systems Management Planning, Inc.	VMware Licensing - End User	3/5/2020		\$21,244	\$21,244	\$21,244
PCMT	NYSERDA ADMINISTRATION	152236	Competitive	N	Provantage, LLC	Monitors - 26 Provantage	3/6/2020		\$5,064		
PCMT	NYSERDA ADMINISTRATION	152269	Discretionary	N	Milliman, Inc.	2020 GASB74 Liab GASB75 OPEB	3/9/2020	\$11,800	\$11,800	\$11,800	\$11,800
PCMT	NYSERDA ADMINISTRATION	152278	Discretionary	Y	Atlantic Data Security, LLC	Check Point Training	3/9/2020		\$15,000	\$4,995	\$4,995
PCMT	NYSERDA ADMINISTRATION	152384	Competitive	Y	SHI, Inc.	Adobe DC Pro Creative Cloud	3/11/2020		\$55,457	\$55,457	\$55,457
PCMT	NYSERDA ADMINISTRATION	152556	Competitive	N	Dell, Inc.	Wyse Thin Clients, Mgt Suite	3/13/2020	\$17,378	\$17,378	\$17,378	\$17,378
PCMT	NYSERDA ADMINISTRATION	152608	Competitive	Y	Marshall & Sterling, Inc.	RFP 4301 Insurance	3/16/2020	\$274,284	\$515,745	\$316,064	\$316,064
PCMT	NYSERDA ADMINISTRATION	152642	Competitive	N	Sive Paget & Riesel PC	Internal SEQRA Practice Review	3/17/2020	\$10,000	\$15,000	\$14,277	\$14,277
PCMT	NYSERDA ADMINISTRATION	152758	Competitive	N	Kelliher Samets Volk	TWO 33: Social Media Support	3/20/2020	\$100,000	\$100,000	\$82,493	\$82,493
PCMT	NYSERDA ADMINISTRATION	152822	Discretionary	Y	Randstad North America, Inc.	Temp Services	3/23/2020		\$112,223	\$50,523	\$50,523
PCMT	NYSERDA ADMINISTRATION	152996	Discretionary	N	Getty Images (US), Inc.	Getty Images Subscription	3/30/2020	\$12,564	\$12,564	\$4,188	\$4,188
PCMT	NYSERDA ADMINISTRATION	152997	Competitive	N	Siteimprove, Inc.	Site Improve - 3 years	3/30/2020	\$17,916	\$17,916	\$5,972	\$5,972
PCMT	NYSERDA ADMINISTRATION	153045	Competitive	N	Dell, Inc.	Azure Cloud Services - 3 yrs	3/31/2020	\$48,600	\$48,600	\$46,037	\$46,037
PCMT	NYSERDA ADMINISTRATION	153148	Competitive	Y	Tech Valley Talent, LLC	TWO Senior Software - Simon	4/2/2020	\$187,200	\$187,200	\$187,200	\$187,200
PCMT	NYSERDA ADMINISTRATION	153184	Discretionary	Y	APPEXTREMES, LLC	Conga Composer maintenance 1 y	4/3/2020	\$14,400	\$14,400	\$14,400	\$14,400
PCMT	NYSERDA ADMINISTRATION	153333	Competitive	N	AmTrust North America Inc.	2020 Paid Family Leave	4/9/2020	\$69,000	\$69,000	\$55,423	\$55,423
PCMT	NYSERDA ADMINISTRATION	153365	Discretionary	Y	ThunderCat Technology LLC	Test Management Jira 1 yr	4/10/2020	\$5,600	\$5,600	\$5,600	\$5,600
PCMT	NYSERDA ADMINISTRATION	153433	Competitive	N	Lincoln Life & Annuity Company of NY	2020 Long Term Disability Prem	4/13/2020	\$92,000	\$92,000	\$88,370	\$88,370
PCMT	NYSERDA ADMINISTRATION	153490	Discretionary	N	Environment & Energy Publishing, LLC	Annual Subscription E&E News	4/15/2020	\$14,120	\$14,120	\$14,120	\$14,120
PCMT	NYSERDA ADMINISTRATION	153492	Competitive	Y	Systems Management Planning, Inc.	VMware - Workspace (Airwatch)	4/15/2020	\$6,685	\$6,685	\$6,685	\$6,685
PCMT	NYSERDA ADMINISTRATION	153611	Discretionary	N	Cheryl Earley	Technical Writing Services	4/20/2020	\$44,200	\$44,200	\$16,922	\$16,922
PCMT	NYSERDA ADMINISTRATION	153641	Discretionary	Y	Fusco Personnel, Inc.	TS.015 Contract Mangament Coor	4/21/2020	\$132,990	\$132,990	\$52,770	\$52,770
PCMT	NYSERDA ADMINISTRATION	153802	Competitive	Y	Zones LLC	Webex Licenses 2020-2021 Zones	4/29/2020	\$48,380	\$48,380	\$48,380	\$48,380
PCMT	NYSERDA ADMINISTRATION	153947	Competitive	N	Unique Comp Inc.	TWO BA - Shreyas Najan	5/1/2020	\$148,200	\$148,200		
PCMT	NYSERDA ADMINISTRATION	153967	Competitive	N	Randstad North America, Inc.	Payroll Services Gov't Affairs	5/4/2020	\$64,695	\$64,695	\$31,117	\$31,117
PCMT	NYSERDA ADMINISTRATION	154032	Competitive	Y	Dell, Inc.	DELL - Wyse 100, WMS license	5/6/2020	\$34,733	\$34,733	\$34,733	\$34,733
PCMT	NYSERDA ADMINISTRATION	154055	Competitive	Y	Systems Management Planning, Inc.	vROPS for Horizon - SMP	5/7/2020	\$30,095	\$30,095	\$30,095	\$30,095
PCMT	NYSERDA ADMINISTRATION	154095	Competitive	Y	Gartner Inc.	Gartner - Membership 20 - 21	5/11/2020	\$39,164	\$39,164	\$39,164	\$39,164
PCMT	NYSERDA ADMINISTRATION	154099	Discretionary	Y	Concur Technologies, Inc.	Concur Services 2020-2022	5/11/2020	\$40,000	\$40,000	\$11,837	\$11,837
PCMT	NYSERDA ADMINISTRATION	154185	Discretionary	N	Northco Products, Inc.	Printer/Copier Konica	5/14/2020	\$29,680	\$29,680	\$2,733	\$2,733
PCMT	NYSERDA ADMINISTRATION	154188	Discretionary	Y	Systems Management Planning, Inc.	ManageEngine Desktop/ AD 1yr	5/14/2020	\$10,419	\$10,419	\$10,419	\$10,419
PCMT	NYSERDA ADMINISTRATION	154361	Non-Competitive	N	Dori Doors & Security, Inc	Doors for NYC office	5/22/2020	\$1,800	\$1,800		
PCMT	NYSERDA ADMINISTRATION	154392	Competitive	Y	Triad Technology Partners LLC	Tableau Desktop Maintenance	5/26/2020	\$70,320	\$70,320	\$70,320	\$70,320
PCMT	NYSERDA ADMINISTRATION	154398	Competitive	Y	NYS Office of Information Technology Ser	Office 365 2020 - 2021	5/26/2020	\$178,776	\$178,776	\$131,654	\$131,654
PCMT	NYSERDA ADMINISTRATION	154498	Discretionary	N	Control Solutions Group, Inc.	HVAC Maintenance NYC Office	5/29/2020	\$11,000	\$11,000	\$5,500	\$5,500
PCMT	NYSERDA ADMINISTRATION	154591	Competitive	Y	Tech Valley Talent, LLC	TWO SR. Software Engineer Anan	6/2/2020	\$173,550	\$173,550	\$104,161	\$104,161
PCMT	NYSERDA ADMINISTRATION	154670	Competitive	N	Tech Valley Talent, LLC	TWO Salesforce BA Jason Thomas	6/4/2020	\$146,640	\$146,640	\$118,032	\$118,032
PCMT	NYSERDA ADMINISTRATION	154815	Competitive	Y	Hewlett-Packard Enterprise Company	HPE Renewal Maintenance 1 yr.	6/11/2020	\$5,319	\$5,319	\$5,319	\$5,319
PCMT	NYSERDA ADMINISTRATION	154920	Discretionary	Y	Sitecore USA, Inc.	Sitecore Subscription Licensin	6/15/2020	\$49,500	\$49,500	\$49,016	\$49,016
PCMT	NYSERDA ADMINISTRATION	155080	Discretionary	N	Politico LLC	PO to cover Politico subscript	6/22/2020	\$5,000	\$5,000	\$2,500	\$2,500
PCMT	NYSERDA ADMINISTRATION	155467	Competitive	Y	Tech Valley Talent, LLC	TWO Software Dev. Mgr. Yates	7/6/2020	\$263,250	\$263,250	\$166,828	\$166,828
PCMT	NYSERDA ADMINISTRATION	155975	Competitive	Y	Provantage, LLC	Lenovo Laptops - Provantage	7/20/2020	\$5,075	\$5,075	\$5,075	\$5,075
PCMT	NYSERDA ADMINISTRATION	155978	Competitive	Y	Edman Anthony & Associates, Inc.	Building 17 FlexTech Study TWO	7/20/2020	\$25,849	\$25,849	\$13,325	\$13,325
PCMT	NYSERDA ADMINISTRATION	156012	Competitive	Y	ESRI, Incorporated	ArcGIS Desktop / Spatial 2021	7/21/2020	\$22,200	\$22,200	\$22,200	\$22,200
PCMT	NYSERDA ADMINISTRATION	156288	Competitive	Y	Systems Management Planning, Inc.	VMware Support for View 1yr	7/28/2020	\$35,617	\$35,617	\$35,617	\$35,617
PCMT	NYSERDA ADMINISTRATION	156475	Competitive	Y	Center for Internet Security Inc	CIS SM Monitoring - 3yrs	7/31/2020	\$22,320	\$22,320	\$22,320	\$22,320
PCMT	NYSERDA ADMINISTRATION	156477	Discretionary	N	AlienVault, Inc.	USM Support - Alien Vault	7/31/2020	\$10,852	\$10,852	\$10,852	\$10,852
PCMT	NYSERDA ADMINISTRATION	156630	Competitive	Y	Tech Valley Talent, LLC	TWO QA Analyst - Beth Graves	8/5/2020	\$204,750	\$204,750	\$103,724	\$103,724
PCMT	NYSERDA ADMINISTRATION	156641	Competitive	Y	NYS Office of Information Technology Ser	Office 365 - Outstanding Bal.	8/6/2020	\$79,929	\$79,929	\$55,718	\$55,718
PCMT	NYSERDA ADMINISTRATION	156642	Competitive	Y	Atlantic Data Security, LLC	Checkpoint for 1333 Broadway	8/6/2020	\$89,231	\$89,231	\$89,231	\$89,231
PCMT	NYSERDA ADMINISTRATION	156841	Discretionary	Y	T-REX Group, Inc.	T-Rex Analytics Platform	8/11/2020	\$40,000	\$40,000	\$20,000	\$20,000
PCMT	NYSERDA ADMINISTRATION	156902	Discretionary	N	Applied Design Initiative LLC	Installation of security doors	8/12/2020	\$45,110	\$45,110	\$18,868	\$18,868
PCMT	NYSERDA ADMINISTRATION	156954	Competitive	N	Commercial Cleaning NY Sunshine, Inc.	Janitorial Cleaning	8/13/2020	\$75,600	\$75,600	\$56,700	\$56,700
PCMT	NYSERDA ADMINISTRATION	156956	Discretionary	N	Allard Communications	Communication Consultant	8/13/2020	\$195,000	\$195,000	\$77,500	\$77,500
PCMT	NYSERDA ADMINISTRATION	156957	Discretionary	N	TS Media Consulting, LLC	Communications Consultant	8/13/2020	\$166,725	\$166,725	\$82,683	\$82,683
PCMT	NYSERDA ADMINISTRATION	156959	Discretionary	N	Coleman Wallace Communications LLC	Communication Consultant	8/13/2020	\$109,824	\$109,824	\$35,496	\$35,496
PCMT	NYSERDA ADMINISTRATION	157019	Discretionary	Y	Bynder LLC	WebDAM Photo Library	8/14/2020	\$26,925	\$26,925	\$8,975	\$8,975
PCMT	NYSERDA ADMINISTRATION	157311	Discretionary	Y	New York State Forum for IRM	NYS Forum Membership 20 - 21	8/24/2020	\$5,000	\$5,000	\$5,000	\$5,000
PCMT	NYSERDA ADMINISTRATION	157592	Discretionary	N	Yorkson Legal Inc	TS Legal	9/1/2020	\$24,638	\$24,638	\$20,258	\$20,258
PCMT	NYSERDA ADMINISTRATION	157686	Non-Competitive	Y	inMotion, Inc.	inMotion Subscription	9/2/2020	\$84,848	\$84,848	\$28,283	\$28,283
PCMT	NYSERDA ADMINISTRATION	157825	Competitive	N	Randstad North America, Inc.	Payroll Services Perl Mgmt	9/8/2020	\$43,200	\$43,200	\$18,802	\$18,802
PCMT	NYSERDA ADMINISTRATION	157932	Discretionary	N	Control Solutions Group, Inc.	HVAC Maintenance NYC Office	9/9/2020	\$6,600	\$6,600	\$6,600	\$6,600
PCMT	NYSERDA ADMINISTRATION	158005	Competitive	Y	Kelliher Samets Volk	KSV TWO 36 Website User Exper	9/10/2020	\$16,830	\$16,830	\$15,768	\$15,768
PCMT	NYSERDA ADMINISTRATION	158503	Competitive	N	Insight Public Sector, Inc.	Chromebooks - 30 for staff	9/21/2020	\$16,440	\$16,440	\$16,364	\$16,364
PCMT	NYSERDA ADMINISTRATION	158586	Competitive	N	SHI, Inc.	Monitors for NYGB 1333 Brdway	9/22/2020	\$22,282	\$22,282	\$11,866	\$11,866
PCMT	NYSERDA ADMINISTRATION	158873	Discretionary	Y	ThunderCat Technology LLC	Atlassian Licenses - Jira	9/28/2020	\$55,965	\$55,965	\$55,965	\$55,965
PCMT	NYSERDA ADMINISTRATION	158956	Competitive	N	Atlantic Data Security, LLC	Checkpoint Professional Servic	10/1/2020	\$16,650	\$16,650		
PCMT	NYSERDA ADMINISTRATION	159169	Competitive	Y	Zones LLC	SMARTnet - Maintenance 20 - 21	10/5/2020	\$26,909	\$26,909	\$26,909	\$26,909
PCMT	NYSERDA ADMINISTRATION	159183	Competitive	N	Sive Paget & Riesel PC	GJGNY Loan Offering Assistance	10/6/2020	\$12,000	\$12,000	\$5,198	\$5,198
PCMT	NYSERDA ADMINISTRATION	159492	Competitive	Y	Verizon Wireless	Verizon Wireless Cellular Serv	10/13/2020	\$90,111	\$90,111		
PCMT	NYSERDA ADMINISTRATION	159502	Competitive	N	MVP Consulting Plus, Inc.	20-21 License Renewal for SAS	10/14/2020	\$10,473	\$10,473	\$10,473	\$10,473
PCMT	NYSERDA ADMINISTRATION	159546	Competitive	N	Charter Communications Holdings, LLC	Dedicated Internet Access - WV	10/14/2020	\$35,964	\$35,964		
PCMT	NYSERDA ADMINISTRATION	159609	Discretionary	Y	ThunderCat Technology LLC	Checkmarx - Licensing and Supp	10/15/2020	\$61,606	\$61,606	\$57,669	\$57,669
PCMT	NYSERDA ADMINISTRATION	159689	Discretionary	N	Stockholm Environment Institute U.S., In	LEAP Licenses for 5 users	10/19/2020	\$5,000	\$5,000	\$5,000	\$5,000
PCMT	NYSERDA ADMINISTRATION	159691	Competitive	Y	CVENT, Inc.	Event Management/Virtual Event	10/19/2020	\$186,480	\$186,480	\$91,310	\$91,310

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	159710	Competitive	Y	TRC Energy Services	SA.069 TWO#19 - Contracts	10/20/2020	\$292,929	\$292,929	\$46,509	\$46,509
PCMT	NYSERDA ADMINISTRATION	159901	Discretionary	Y	Troy Web Consulting, LLC	Troy Web Hosting - Cloud for 1	10/22/2020	\$7,380	\$7,380	\$1,845	\$1,845
PCMT	NYSERDA ADMINISTRATION	159904	Competitive	Y	Zones LLC	G9 HP Server Support - 20-21	10/22/2020	\$20,038	\$20,038	\$20,038	\$20,038
PCMT	NYSERDA ADMINISTRATION	159966	Competitive	N	Systems Management Planning, Inc.	SMP - HPE DL3610 Storage	10/23/2020	\$14,523	\$14,523	\$14,523	\$14,523
PCMT	NYSERDA ADMINISTRATION	159970	Discretionary	Y	OwnBackup Inc.	Own backupSalesforce KeyMgt	10/23/2020	\$32,383	\$32,383	\$32,383	\$32,383
PCMT	NYSERDA ADMINISTRATION	160000	Competitive	N	W. W. Grainger, Inc.	Air Purifier equipment	10/26/2020	\$8,946	\$8,946	\$8,946	\$8,946
PCMT	NYSERDA ADMINISTRATION	160202	Discretionary	Y	Network Experts of New York, Inc.	Network Consulting Services	10/29/2020	\$10,860	\$10,860	\$8,574	\$8,574
PCMT	NYSERDA ADMINISTRATION	160477	Competitive	Y	Info-Tech Research Group Inc.	1-yr Info-Tech Research Group	11/4/2020	\$34,458	\$34,458	\$34,458	\$34,458
PCMT	NYSERDA ADMINISTRATION	160597	Competitive	Y	Direct Packet Inc.	Video Service Renewal - Cisco	11/9/2020	\$29,341	\$29,341	\$29,341	\$29,341
PCMT	NYSERDA ADMINISTRATION	161018	Competitive	N	Carter Ledyard & Milburn LLP	Indian Point PAAA advice	11/17/2020	\$15,179	\$15,179	\$15,179	\$15,179
PCMT	NYSERDA ADMINISTRATION	161086	Discretionary	Y	Randstad North America, Inc.	Temp Services Marketing	11/18/2020	\$141,183	\$141,183	\$21,821	\$21,821
PCMT	NYSERDA ADMINISTRATION	161238	Discretionary	Y	Walter Snyder Printer, Inc.	External Program Printing	11/24/2020	\$30,000	\$30,000	\$941	\$941
PCMT	NYSERDA ADMINISTRATION	161240	Discretionary	Y	Benchmark Printing, Inc.	External Program Printing	11/24/2020	\$8,000	\$8,000	\$694	\$694
PCMT	NYSERDA ADMINISTRATION	161296	Discretionary	Y	Fort Orange Press Incorporated	Program External Printing	11/24/2020	\$5,000	\$5,000		
PCMT	NYSERDA ADMINISTRATION	161298	Discretionary	Y	Northco Products, Inc.	Program External Printing	11/24/2020	\$12,000	\$12,000	\$285	\$285
PCMT	NYSERDA ADMINISTRATION	161301	Discretionary	Y	Dowd-Witbeck Printing Corp.	Program External Printing	11/24/2020	\$12,000	\$12,000	\$1,665	\$1,665
PCMT	NYSERDA ADMINISTRATION	161424	Competitive	Y	Carahsoft Technology Corporation	Salesforce 2021 Licensing	11/30/2020	\$1,509,403	\$1,509,403	\$1,509,403	\$1,509,403
PCMT	NYSERDA ADMINISTRATION	161628	Competitive	Y	Randstad North America, Inc.	Payroll Services SS APM	12/4/2020	\$187,590	\$187,590	\$20,054	\$20,054
PCMT	NYSERDA ADMINISTRATION	161629	Competitive	N	SHI, Inc.	Monitors - Return to Work 26	12/4/2020	\$5,477	\$5,477		
PCMT	NYSERDA ADMINISTRATION	161828	Competitive	Y	Gartner Inc.	Gartner 2021	12/9/2020	\$39,164	\$39,164	\$32,637	\$32,637
PCMT	NYSERDA ADMINISTRATION	161913	Competitive	N	SHI, Inc.	Monitor Docks 1333 - 2 EOL	12/10/2020	\$12,474	\$12,474	\$12,474	\$12,474
PCMT	NYSERDA ADMINISTRATION	161974	Discretionary	Y	Low-Level Radioactive Waste Forum, Inc.	LLRW Forum Membership Fee 2021	12/14/2020	\$5,000	\$5,000	\$5,000	\$5,000
PCMT	NYSERDA ADMINISTRATION	162058	Discretionary	Y	Tech Valley Talent, LLC	SecureAuth Renewal 2021-2022	12/15/2020	\$41,949	\$41,949	\$41,949	\$41,949
PCMT	NYSERDA ADMINISTRATION	162061	Competitive	N	Provantage, LLC	APC Smart UPS Power Supply	12/15/2020	\$6,965	\$6,965	\$6,965	\$6,965
PCMT	NYSERDA ADMINISTRATION	162124	Discretionary	N	TestingXperts Inc.	Website Accessibility Audit	12/16/2020	\$10,000	\$10,000	\$10,000	\$10,000
PCMT	NYSERDA ADMINISTRATION	162301	Competitive	N	Systems Management Planning, Inc.	Cloud Services - SMP	12/21/2020	\$19,850	\$19,850		
PCMT	NYSERDA ADMINISTRATION	162337	Discretionary	Y	Arctic Air Mechanical Corp	HVAC Preventative Maintenance	12/21/2020	\$16,800	\$16,800	\$1,400	\$1,400
PCMT	NYSERDA ADMINISTRATION	162423	Competitive	N	Lincoln Life & Annuity Company of NY	Insurance SLT/PFL 2021-22	12/22/2020	\$231,617	\$231,617	\$40,385	\$40,385
PCMT	NYSERDA ADMINISTRATION	162816	Competitive	N	Charter Communications Holdings, LLC	West Valley - Fiber Installati	12/30/2020	\$8,910	\$8,910		
PCMT	NYSERDA ADMINISTRATION	163249	Discretionary	Y	ThunderCat Technology LLC	Rightstar Scanning license	1/13/2021	\$5,460	\$5,460	\$5,460	\$5,460
PCMT	NYSERDA ADMINISTRATION	163305	Discretionary	Y	Promantek Inc.	Employee Web-based tool	1/14/2021	\$42,309	\$42,309		
PCMT	NYSERDA ADMINISTRATION	163347	Competitive	N	Harris Beach PLLC	On Bill Financing Doc	1/15/2021	\$10,000	\$10,000		
PCMT	NYSERDA ADMINISTRATION	163723	Competitive	Y	SHI, Inc.	Embarcadero ER/Studio Support	1/26/2021	\$18,208	\$18,208		
PCMT	NYSERDA ADMINISTRATION	163907	Discretionary	Y	Maureen Data Systems, Inc.	VMWare Airwatch - 2021 - 2022	2/1/2021	\$7,020	\$7,020		
PCMT	NYSERDA ADMINISTRATION	163981	Competitive	N	Unique Comp Inc.	TWO Sr. Software Engin. -Bhanu	2/2/2021	\$176,800	\$176,800		
PCMT	NYSERDA ADMINISTRATION	163982	Competitive	N	Dell, Inc.	DELL Wyse 3040 no wifi and WMS	2/2/2021	\$17,367	\$17,367	\$17,367	\$17,367
PCMT	NYSERDA ADMINISTRATION	164257	Discretionary	Y	Milliman, Inc.	2021 GASB74 Liab GASB75 OPEB	2/9/2021	\$5,500	\$5,500		
PCMT	NYSERDA ADMINISTRATION	164321	Competitive	Y	Tech Valley Talent, LLC	TWO Sr. Software Eng - Carrick	2/9/2021	\$183,300	\$183,300	\$2,245	\$2,245
PCMT	NYSERDA ADMINISTRATION	164427	Competitive	N	Carahsoft Technology Corporation	Event Monitoring Software	2/11/2021	\$5,227	\$5,227	\$5,227	\$5,227
PCMT	NYSERDA ADMINISTRATION	164556	Competitive	Y	ePlus inc	nVidia VDI Licensing	2/17/2021	\$17,760	\$17,760	\$17,760	\$17,760
PCMT	NYSERDA ADMINISTRATION	164565	Competitive	N	Hodgson Russ LLP	EmpPower Claim Costs	2/17/2021	\$5,000	\$5,000		
PCMT	NYSERDA ADMINISTRATION	164719	Discretionary	Y	ThunderCat Technology LLC	Docusign Subscription 21 - 22	2/23/2021	\$94,115	\$94,115	\$94,115	\$94,115
PCMT	NYSERDA ADMINISTRATION	165148	Competitive	Y	TRC Energy Services	SA.072 TWO #25 NY-SUN Sr. PM	3/3/2021	\$557,709	\$557,709		
PCMT	NYSERDA ADMINISTRATION	165194	Competitive	N	Dell, Inc.	Microsoft EA 3 years - 2021-20	3/4/2021	\$426,549	\$426,549	\$66,246	\$66,246
PCMT	NYSERDA ADMINISTRATION	165542	Discretionary	Y	APPEXTREMES, LLC	Conga Composer Maintenance 1yr	3/12/2021	\$14,400	\$14,400		
PCMT	NYSERDA ADMINISTRATION	165576	Competitive	N	COGENT Infotech Corporation	TWO 1 Asst. BA Ops - Nurul	3/15/2021	\$196,560	\$196,560		
PCMT	NYSERDA ADMINISTRATION	165738	Competitive	Y	Tech Valley Talent, LLC	TWO # 3 Salesforce BA-Brochste	3/17/2021	\$195,520	\$195,520		
PCMT	NYSERDA ADMINISTRATION	165739	Competitive	Y	Tech Valley Talent, LLC	TWO Sr. Software Dev. M Simon	3/17/2021	\$187,200	\$187,200		
PCMT	NYSERDA ADMINISTRATION	165813	Competitive	N	Insight Public Sector, Inc.	Eset Endpoint Antivirus - 3 yr	3/18/2021	\$8,723	\$8,723		
PCMT	NYSERDA ADMINISTRATION	165885	Competitive	N	ePlus inc	VMWare Support for 1 year	3/19/2021	\$53,382	\$53,382		
PCMT	NYSERDA ADMINISTRATION	110542A	Competitive	Y	Rai Technologies Inc.	Modification - Name Change	9/13/2019		\$553,195	\$371,949	\$550,553
PCMT	NYSERDA ADMINISTRATION	130233-1	Competitive	Y	NYS Office for Technology	Office 365 2018-2019	12/12/2018		\$96,697		\$89,581
PCMT	NYSERDA ADMINISTRATION	133389A	Competitive	Y	Ricoh USA Inc	RFP 3919-Sitecore CMS Admin	10/6/2020	\$606,692	\$606,692	\$398,188	\$398,188
PCMT	NYSERDA ADMINISTRATION	STD11181	Competitive	Y	Rosenblum Property Services, LLC	STEP Property Management Servi	6/9/2009		\$2,292,665		\$1,530,741
PCMT	NYSERDA Fixed Asset	24708	Discretionary	N	ASKCO Electric Supply Co Inc	Upgrade NYSERDA meters	10/13/2011		\$16,554		\$16,554
PCMT	NYSERDA Fixed Asset	27625	Discretionary	N	LCO Buildings LLC	Office Buildout at Buffalo Reg	5/1/2012		\$6,853		\$6,853
PCMT	NYSERDA Fixed Asset	28043	Competitive	N	Verizon Network Integration Corp.	Auto Attendant	6/12/2012		\$6,274		\$6,274
PCMT	NYSERDA Fixed Asset	34467	Discretionary	N	Gard Tec Security Svcs., Inc.	Security System for 15 Columbi	8/13/2013		\$14,220		\$14,220
PCMT	NYSERDA Fixed Asset	34468	Discretionary	N	Gard Tec Security Svcs., Inc.	15 Columbia Circle Office Secu	8/13/2013		\$14,055		\$14,055
PCMT	NYSERDA Fixed Asset	43567	Discretionary	N	Phire, Inc	Phire - PeopleSoft Renewal - 3	6/30/2014		\$41,715		\$41,715
PCMT	NYSERDA Fixed Asset	45875	Discretionary	N	Network Experts of New York, Inc.	Albany: Redundant Checkpoint H	8/19/2014		\$29,872		\$29,872
PCMT	NYSERDA Fixed Asset	57885	Non-Competitive	N	Performance Systems Development	TREAT Site License for VMWARE	1/28/2015		\$8,000		\$8,000
PCMT	NYSERDA Fixed Asset	60292	Competitive	Y	ESRI, Incorporated	ESRI Arc Server - 1 year	3/6/2015		\$32,643		\$32,643
PCMT	NYSERDA Fixed Asset	64491	Competitive	N	Xerox Corp	Copier Purchase	5/12/2015		\$15,674		\$15,674
PCMT	NYSERDA Fixed Asset	78360	Non-Competitive	Y	Performance Systems Development	Treat Site Licenses - 16	10/20/2015		\$6,400		\$6,400
PCMT	NYSERDA Fixed Asset	97272	Competitive	N	Systems Management Planning, Inc.	NetApp Flash Storage for VDI	4/29/2016		\$95,359		\$95,359
PCMT	NYSERDA Fixed Asset	101670	Discretionary	N	Gard Tec Security Svcs., Inc.	Security for West Valley offic	7/28/2016		\$9,657		\$9,657
PCMT	NYSERDA Fixed Asset	104106	Competitive	Y	nrastructure	Tintri Flash Storage - Renewal	9/22/2016		\$7,548		\$7,548
PCMT	NYSERDA Fixed Asset	104430	Competitive	N	nrastructure	Network Upgrade - Cisco	9/29/2016		\$253,987		\$253,987
PCMT	NYSERDA Fixed Asset	108822	Competitive	N	Business Environments	workstations	1/9/2017		\$19,600		\$19,600
PCMT	NYSERDA Fixed Asset	110325	Competitive	N	nrastructure	Equipment to Support Network	2/15/2017		\$8,280		\$8,280
PCMT	NYSERDA Fixed Asset	110813	Competitive	N	Netanium Inc	Checkpoint Firewall Upgrade	2/28/2017		\$27,627		\$27,627
PCMT	NYSERDA Fixed Asset	111002	Competitive	N	Netanium Inc	Check Point Webair for NEIS	3/3/2017		\$73,524		\$73,524
PCMT	NYSERDA Fixed Asset	114058	Competitive	N	Business Environments	sit/stand stations	5/23/2017		\$29,952		\$29,952
PCMT	NYSERDA Fixed Asset	116664	Competitive	N	nrastructure	2 HP VDI Servers	8/3/2017		\$37,136		\$37,136
PCMT	NYSERDA Fixed Asset	117765	Discretionary	Y	AlienVault, Inc.	Security Internet Events Mgt.	8/31/2017		\$48,356		\$48,356
PCMT	NYSERDA Fixed Asset	119645	Competitive	N	Systems Management Planning, Inc.	NetApp 2620 Storage Array	10/23/2017		\$27,278		\$27,278
PCMT	NYSERDA Fixed Asset	119825	Discretionary	N	ClipperCreek, Inc.	EV Charging Stations	10/26/2017		\$6,873		\$6,873
PCMT	NYSERDA Fixed Asset	124906	Competitive	N	Zones LLC	RFP 3670 VOIP	3/27/2018		\$319,735		\$164,940
PCMT	NYSERDA Fixed Asset	126539	Discretionary	N	FM Office Express	Sit Stand Desks	5/7/2018		\$19,368		\$19,368
PCMT	NYSERDA Fixed Asset	139099	Competitive	N	Maquire Cars LLC		4/12/2019		\$28,887		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA Fixed Asset	141931	Discretionary		ABH Capital Management, LLC	VDI Server	6/28/2019		\$7,607		\$7,607
PCMT	NYSERDA Fixed Asset	143209	Discretionary	N	ABH Capital Management, LLC	Virtual Server 2	8/1/2019		\$7,327		\$7,327
PCMT	NYSERDA Fixed Asset	146023	Discretionary		Applied Design Initiative LLC		10/11/2019		\$16,320	\$3,274	\$15,540
PCMT	NYSERDA Fixed Asset	150241	Competitive	Y	SHI, Inc.	Pure Storage	1/15/2020		\$46,211		\$46,211
PCMT	NYSERDA Fixed Asset	150655	Discretionary	Y	Tech Valley Talent, LLC	SecureAuth Appliance upgrade	1/28/2020		\$5,420		\$5,420
PCMT	NYSERDA Fixed Asset	151010	Discretionary	N	Davies Office Refurbishing, Inc.	Furniture	2/6/2020		\$5,323		\$5,323
PCMT	NYSERDA Fixed Asset	151637	Non-Competitive	N	The Walters Company Air Conditioning, In	HVAC Replacement	2/20/2020		\$99,156	\$99,156	\$99,156
PCMT	NYSERDA Fixed Asset	151867	Discretionary	N	ABH Capital Management, LLC	VDI Server for Interns	2/26/2020		\$9,999		\$9,999
PCMT	NYSERDA Fixed Asset	152553	Discretionary	N	Clarus Glassboards, LLC	Purchase of Furniture	3/13/2020	\$5,801	\$5,801	\$5,801	\$5,801
PCMT	NYSERDA Fixed Asset	152611	Competitive	N	Knoll, Inc.	Furniture Purchase	3/16/2020	\$47,685	\$47,685		
PCMT	NYSERDA Fixed Asset	153100	Competitive	Y	Knoll, Inc.	Furniture for Green Bank Offi	4/2/2020	\$185,548	\$185,548	\$185,548	\$185,548
PCMT	NYSERDA Fixed Asset	154361	Discretionary	N	Dori Doors & Security, Inc	Doors for NYC office	5/22/2020	\$23,165	\$23,165	\$11,583	\$11,583
PCMT	NYSERDA Fixed Asset	155601	Discretionary	N	Offshore Electric Corp.	Security Doors for the NYC Off	7/9/2020	\$12,000	\$12,000	\$12,000	\$12,000
PCMT	NYSERDA Fixed Asset	156544	Discretionary	N	Teichsign LTD	Install of new Office signage	8/3/2020	\$10,855	\$10,855	\$10,855	\$10,855
PCMT	NYSERDA Fixed Asset	157749	Discretionary	Y	Complete Cabling Solutions LLC	Complete Cabling NYGB 1333	9/3/2020	\$25,896	\$25,896	\$25,896	\$25,896
PCMT	NYSERDA Fixed Asset	160150	Discretionary	N	M. Zion Security Systems Corp	Card Access Security System	10/28/2020	\$23,245	\$23,245	\$1,710	\$1,710
PCMT	NYSERDA Fixed Asset	160488	Competitive	Y	Network Craze Technologies	Network Equip.NYGB.1333.NYC	11/4/2020	\$56,645	\$56,645	\$56,645	\$56,645
PCMT	NYSERDA Fixed Asset	160546	Discretionary	N	Architectural Flooring Resource, Inc.	New Carpet/Cleaning NYGB	11/6/2020	\$23,285	\$23,285		
PCMT	NYSERDA Fixed Asset	164563	Competitive	N	ePlus inc	Server Racks for datacenter	2/17/2021	\$5,085	\$5,085		
PCMT	NYSERDA Fixed Asset	164564	Discretionary	N	ThunderCat Technology LLC	VDI Project Network Switches	2/17/2021	\$43,200	\$43,200		
PCMT	NYSERDA Fixed Asset	164720	Non-Competitive	N	Southworth-Milton Inc.	Remote Asset Monitoring System	2/23/2021	\$5,173	\$5,173		
PCMT	NYSERDA Fixed Asset	165195	Competitive	Y	ePlus inc	VDI Server Cluster Hardware	3/4/2021	\$192,536	\$192,536		
PCMT	NYSERDA Fixed Asset	165883	Competitive	N	Zones LLC	VOIP - Hardware Purchase	3/19/2021	\$130,508	\$130,508		
PCMT	NYSERDA Fixed Asset	152611A	Competitive	N	Office Resource, Inc	Furniture Purchase	10/1/2020	\$47,685	\$47,685	\$47,685	\$47,685
PCMT	NY-SUN	106225	Competitive	Y	Kelliher Samets Volk	Marketing Support for NYSEDA	11/3/2016		\$13,999,772	\$1,484,015	\$8,908,855
PCMT	NY-SUN	141696	Discretionary	N	Sustainable CUNY CUNY/RF	2019 Solar Storage Summit	6/21/2019		\$10,000		
PCMT	NY-SUN	156842	Competitive	N	Institute for Building Technology and Sa	TWO 4 NYSUN Res NonRes	8/11/2020	\$1,014,000	\$1,014,000	\$516,800	\$516,800
PCMT	NY-SUN	156849	Competitive	N	Institute for Building Technology and Sa	TWO 1 Cat 2 NY-SUN	8/11/2020	\$100,000	\$100,000		
PCMT	NY-SUN	161021	Discretionary	N	Interstate Renewable Energy Council, Inc	LI Community Solar Pgm Dev	11/17/2020	\$21,635	\$21,635	\$10,309	\$10,309
PCMT	Off-Shore Wind Master Plan	104258	Competitive	Y	Sive Paget & Riesel PC	RFP 3300 & RFP 3776 Outside Co	9/27/2016	\$100,000	\$1,313,490	\$52,725	\$1,025,761
PCMT	Off-Shore Wind Master Plan	111606	Competitive	Y	Ecology and Environment Engineering	RFP3462 Umbrella Agreement	3/16/2017		\$2,665,560	\$30,742	\$2,441,515
PCMT	Off-Shore Wind Pre-Dev Act	111611	Competitive	Y	Quanta Technology LLC	RFP3462 Umbrella Agreement	3/16/2017		\$255,000		\$227,092
PCMT	Off-Shore Wind Pre-Dev Act	118972	Competitive	N	BioDiversity Research Institute	BRI: TWO umbrella agreement	10/2/2017		\$602,026		\$593,267
PCMT	Off-Shore Wind Pre-Dev Act	136703	Competitive	N	Ecology and Environment Engineering	TWO#17 Fishing Lines	1/31/2019		\$97,600		\$85,354
PCMT	Off-Shore Wind Pre-Dev Act	136704	Competitive	N	Ecology and Environment Engineering	TWO#18 G&G surveys	1/31/2019		\$84,700		
PCMT	On-Site Energy Manager	132468	Competitive	Y	Kelliher Samets Volk	TWO 23: Industrial Mktg	10/12/2018		\$600,000	\$219,537	\$484,454
PCMT	On-Site Energy Manager	134956	Competitive	N	The Cadmus Group, LLC	Task Order #3 from 104542	12/10/2018		\$139,971		\$134,350
PCMT	On-Site Energy Manager	164561	Competitive	Y	Michaels Energy, Inc.	TWO 1 - EMP Impact Evaluation	2/17/2021	\$587,431	\$587,431		
PCMT	OREC: Technical Support	111614	Competitive	Y	Levitan & Associates, Inc.	RFP3462 Umbrella Agreement	3/16/2017		\$1,445,000		\$1,377,267
PCMT	OREC: Technical Support	124951	Competitive	Y	Harris Wiltshire & Grannis LLP	RFP3776 Outside Counsel Serv	3/28/2018		\$334,550	\$73,087	\$129,618
PCMT	OREC: Technical Support	147772	Competitive	Y	Ecology and Environment Engineering	TWO#19 SEIS for CLCPA	11/19/2019		\$246,058	\$139,559	\$229,467
PCMT	OREC: Technical Support	150572	Competitive	N	Tetra Tech MA, Inc.	TWO#1 OSW Fisheries WG	1/24/2020		\$330,000	\$176,348	\$181,484
PCMT	OREC: Technical Support	151865	Competitive	N	BioDiversity Research Institute	BRI TWO1 ETWG Support	2/26/2020		\$338,483	\$315,341	\$338,442
PCMT	OREC: Technical Support	153098	Competitive	N	ICF Resources, LLC	TWO 7, OSW Resiliency Study	4/1/2020	\$49,993	\$49,993	\$49,582	\$49,582
PCMT	OREC: Technical Support	158219	Competitive	Y	Kearns & West, Inc.	TWO 3 Facilitation OREC/RFP20	9/15/2020	\$50,000	\$50,000	\$39,089	\$39,089
PCMT	OREC: Technical Support	163476	Competitive	Y	Holland & Knight LLP	OSW Reg Matters - Cable Coord	1/19/2021	\$100,000	\$100,000		
PCMT	OREC: Technical Support	163477	Competitive	Y	Sive Paget & Riesel PC	OSW Regulatory Matters	1/19/2021	\$100,000	\$100,000		
PCMT	OREC: Technical Support	163997	Competitive	N	BioDiversity Research Institute	BRI TWO #5 E-TWG Services	2/3/2021	\$370,131	\$370,131	\$34,201	\$34,201
PCMT	ORES Support	154957	Non-Competitive	N	Randstad North America, Inc.	Staff Aug - ORES Support	6/16/2020	\$120,000	\$120,000	\$103,600	\$103,600
PCMT	OTHER PROGRAM AREA	30712	Competitive	Y	The Research Foundation for the State	CNSE Net Zero Project	12/21/2012		\$1,000,000		\$670,000
PCMT	OTHER PROGRAM AREA	30717	Competitive	Y	Village of Skaneateles	Skaneateles Village Hall NZEB	12/21/2012		\$546,493		\$540,980
PCMT	OTHER PROGRAM AREA	30728	Competitive	Y	Watertown, City of	Sludge permitting and design	12/21/2012		\$585,646		\$485,827
PCMT	OTHER PROGRAM AREA	70333	Competitive	Y	Cornell University	76West Building a Clean Energy	8/3/2015		\$4,632,913	\$113	\$3,806,191
PCMT	OTHER PROGRAM AREA	99297	Competitive	Y	Center for Sustainable Energy	ChargeNY ZEV Rebate Imp Contra	6/2/2016		\$956,971	\$285	\$817,894
PCMT	OTHER PROGRAM AREA	100693	Discretionary	N	Essense Partners Inc.	ZEV Marketing Support	7/8/2016		\$197,900	\$2,170	\$197,891
PCMT	OTHER PROGRAM AREA	104260	Competitive	Y	Bond, Schoeneck & King, PLLC	RFP 3300 Outside Counsel Servi	9/27/2016		\$298,555	\$630	\$281,709
PCMT	OTHER PROGRAM AREA	106227	Competitive	Y	Essense Partners Inc.	Marketing Support for NYSEDA	11/3/2016	\$23,760	\$2,887,330	\$424,009	\$2,437,344
PCMT	OTHER PROGRAM AREA	106541	Competitive	Y	BW Research Partnership	New York Clean Energy Jobs Stu	11/9/2016		\$459,962		\$396,642
PCMT	OTHER PROGRAM AREA	107800	Discretionary	N	Amerex Brokers LLC	OTHER PROGRAM AREA	12/13/2016		\$49,999		\$21,610
PCMT	OTHER PROGRAM AREA	109345	Discretionary	N	Electric Energy Services & Advisors, LLC	OTHER PROGRAM AREA	1/24/2017		\$35,000		\$25,460
PCMT	OTHER PROGRAM AREA	112570	Discretionary	Y	Benchmark Printing, Inc.	Printing Services - EV Rebate	4/7/2017		\$44,500	\$1,396	\$34,813
PCMT	OTHER PROGRAM AREA	116896	Competitive	Y	United Way of Long Island	CEEP Long Island (UWLI)	8/10/2017		\$420,000	\$81,384	\$308,760
PCMT	OTHER PROGRAM AREA	118163	Competitive	Y	D.L. English Consulting, Inc.	Indian Point Consultant	9/13/2017		\$356,475		\$355,904
PCMT	OTHER PROGRAM AREA	129761	Competitive	Y	Four Points Group, Inc.	RFQL 3685 R2	8/6/2018		\$284,927	\$60,235	\$139,426
PCMT	OTHER PROGRAM AREA	138830	Competitive	Y	Cornell University	76West TWO 29	4/3/2019		\$182,690		\$137,505
PCMT	OTHER PROGRAM AREA	139347	Competitive	N	Cornell University	76West TWO 27	4/21/2019		\$199,573	\$4,521	\$168,571
PCMT	OTHER PROGRAM AREA	140006	Competitive	N	Randstad North America, Inc.	Payroll Services - Innovation	5/7/2019	\$130,000	\$199,765	\$52,876	\$83,294
PCMT	OTHER PROGRAM AREA	140746	Competitive	Y	Akimeka, LLC	TWO#2 Resilience Assistance	5/28/2019		\$200,000	\$49,581	\$199,492
PCMT	OTHER PROGRAM AREA	140909	Competitive	Y	Cornell University	76West TWO 28	5/31/2019		\$116,440		\$82,661
PCMT	OTHER PROGRAM AREA	145982	Competitive	Y	NESCAUM	TWO #6 VOCs on Staten Island	10/10/2019		\$397,500	\$206,428	\$292,963
PCMT	OTHER PROGRAM AREA	147285	Competitive	N	Cornell University	76West TWO 31	11/7/2019		\$85,637	\$47,068	\$47,068
PCMT	OTHER PROGRAM AREA	150033	Competitive	Y	Cornell University	70333 TWO 32	1/9/2020		\$905,999	\$560,319	\$560,319
PCMT	OTHER PROGRAM AREA	152021	Non-Competitive	N	Yue Chen	Consulting contract	3/2/2020		\$48,000	\$48,000	\$48,000
PCMT	OTHER PROGRAM AREA	153607	Discretionary	N	ADL Ventures LLC	ADL Ventures Consulting Contra	4/20/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	OTHER PROGRAM AREA	153609	Competitive	N	Center for Carbon Removal	Carbon180 Consulting Contract	4/20/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	OTHER PROGRAM AREA	154215	Discretionary	N	Michael Lightman	Michael Consulting Contract	5/15/2020	\$49,999	\$49,999	\$19,500	\$19,500
PCMT	OTHER PROGRAM AREA	158365	Discretionary	N	PitchBook Data, Inc.	OneYear Pitchbook Subscription	9/17/2020	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	OTHER PROGRAM AREA	158949	Discretionary	N	VRS Meetings and Events, Inc.	76West Award's Event A/V Servi	9/30/2020	\$26,500	\$26,500	\$26,500	\$26,500
PCMT	OTHER PROGRAM AREA	162587	Discretionary	N	CTG-I LLC	CTG i3 subscription	12/28/2020	\$8,925	\$8,925	\$8,925	\$8,925
PCMT	OTHER PROGRAM AREA	154215A	Discretionary	N	The Lightman Company, LLC	Michael Consulting Contract	9/1/2020	\$30,499	\$30,499	\$26,000	\$26,000
PCMT	OTHER PROGRAM AREA	70365A	Discretionary	N	Essense Partners Inc.	76West Marketing Consultant	12/8/2016		\$40,026		\$40,022

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Pay for Performance	144049	Competitive	Y	Allegis Group Holdings Inc	SA.055 TWO #10 - P4P PM	8/22/2019	\$39,248	\$392,220	\$131,362	\$198,710
PCMT	Pay for Performance	144450	Competitive	Y	Energy & Resource Solutions, Inc.	TWO 4 - P4P Event Evaluation	9/4/2019	\$53,716	\$213,716	\$4,750	\$4,750
PCMT	Pay for Performance	153574	Competitive	Y	Robert K. Harmon & Company LLC	P4P - MEETS TWO	4/17/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	Pay for Performance	160149	Competitive	Y	Randstad North America, Inc.	Payroll Services Utility Affai	10/28/2020	\$353,613	\$353,613	\$66,426	\$66,426
PCMT	Pay for Performance	160203	Competitive	Y	Randstad North America, Inc.	Payroll Services Utility Affai	10/30/2020	\$273,000	\$273,000	\$45,122	\$45,122
PCMT	POLICY DEVELOPMENT	36995	Competitive	N	NYS Office of General Services	POLICY DEVELOPMENT	11/8/2013		\$150,000		\$140,116
PCMT	POLICY DEVELOPMENT	141637	Competitive	N	NYS Office of General Services	2019 NYS DPS Printing Services	6/20/2019		\$25,000		\$20,346
PCMT	POLICY DEVELOPMENT	145204	Competitive	N	The Cadmus Group, LLC	TWO 4 - RegionalFundingEntity	9/23/2019	\$34,950	\$103,310	\$64,667	\$81,221
PCMT	POLICY DEVELOPMENT	153579	Competitive	Y	NYS Office of General Services	NYS DPS Printing Services	4/17/2020	\$50,000	\$50,000	\$25,619	\$25,619
PCMT	POLICY DEVELOPMENT	157327	Competitive	N	Guidehouse Inc.	TWO #3 - Natural Gas Price For	8/26/2020	\$15,335	\$15,335	\$15,335	\$15,335
PCMT	POLICY DEVELOPMENT	158430	Competitive	N	Guidehouse Inc.	TWO #4 - VDER Alt 3 Forecast A	9/18/2020	\$12,046	\$12,046		
PCMT	POLICY DEVELOPMENT	161189	Competitive	N	Guidehouse Inc.	TWO #5 - NG and REC Price Fore	11/20/2020	\$9,926	\$9,926		
PCMT	POLICY DEVELOPMENT	164371	Discretionary	Y	Adewale Aremu Adesanya	Havenergy Technical Assistance	2/10/2021	\$500,000	\$500,000		
PCMT	Prof & Expert Engagement	152272	Discretionary	N	Alliance to Save Energy	2020 ASE Membership	3/9/2020	\$35,000	\$35,000	\$35,000	\$35,000
PCMT	Prof & Expert Engagement	152273	Discretionary	N	Business Network for Maryland Offshore W	2020 Business Network OSW Memb	3/9/2020	\$5,050	\$5,050	\$5,050	\$5,050
PCMT	Prof & Expert Engagement	152936	Discretionary	N	Green Light New York, Inc.	2020 BEEx Membership	3/26/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	Prof & Expert Engagement	153638	Discretionary	N	The Business Council of NYS, Inc.	BCNYS-NYSERDA webinars	4/21/2020	\$5,000	\$5,000	\$5,000	\$5,000
PCMT	Prof & Expert Engagement	154209	Discretionary	N	NESCAUM	2020 ZEV MOU/Task Force	5/15/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	Prof & Expert Engagement	154691	Discretionary	N	Consortium for Energy Efficiency, Inc.	Industrial Process Efficiency	6/5/2020	\$48,000	\$48,000	\$48,000	\$48,000
PCMT	Prof & Expert Engagement	155273	Discretionary	N	Clean Energy States Alliance	CESA Membership 2020-21	6/29/2020	\$68,500	\$68,500	\$68,500	\$68,500
PCMT	Prof & Expert Engagement	156590	Discretionary	N	Northeast Organic Farming Association of	NOFA - NY Membership-2020	8/4/2020	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Prof & Expert Engagement	157184	Discretionary	N	Efficiency Forward, Inc.	2020 Designlights Sponsorship	8/19/2020	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Prof & Expert Engagement	158504	Discretionary	N	Rensselaer Polytechnic Institute-LRC	Partner Program Membership	9/21/2020	\$60,000	\$60,000		
PCMT	Prof & Expert Engagement	158505	Discretionary	N	Rensselaer Polytechnic Institute-LRC	LRC Assist membership	9/21/2020	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Prof & Expert Engagement	160598	Discretionary	N	The Business Council of NYS, Inc.	Membership Business Council	11/9/2020	\$6,705	\$6,705	\$6,705	\$6,705
PCMT	Prof & Expert Engagement	164323	Discretionary	N	ACEEE	2021 Membership	2/10/2021	\$12,000	\$12,000	\$12,000	\$12,000
PCMT	Prof & Expert Engagement	164426	Discretionary	N	ACEEE	ASAP 2021 sponsorship	2/11/2021	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Prof & Expert Engagement	164550	Discretionary	N	New Buildings Institute, Inc.	2020 NBI Membership	2/17/2021	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Prof & Expert Engagement	164553	Discretionary	N	New Buildings Institute, Inc.	2021 NBI Membership	2/17/2021	\$25,000	\$25,000	\$25,000	\$25,000
PCMT	Pub Transit & Elect Rail	140315	Competitive	Y	Randstad North America Inc	TS.003 TBI Coordinator	5/15/2019		\$111,440	\$53,296	\$95,778
PCMT	Pub Transit & Elect Rail	150368	Competitive	Y	Randstad North America, Inc.	Temp Services -	1/17/2020		\$120,823	\$42,691	\$50,787
PCMT	Pub Transit & Elect Rail	156476	Competitive	Y	TRC Energy Services	SA.063 TWO #22 - T2M	7/31/2020	\$265,372	\$265,372	\$52,640	\$52,640
PCMT	Real Time Enrgy Management	117928	Competitive	Y	Allegis Group Holdings Inc	Staff Augmentation Services	9/6/2017	\$392,253	\$2,066,986	\$499,310	\$1,411,743
PCMT	Real Time Enrgy Management	139261	Competitive	Y	Allegis Group Holdings Inc	SA.043a TWO #7 - RTEM	4/17/2019		\$256,080	\$119,577	\$231,347
PCMT	Real Time Enrgy Management	143835	Competitive	Y	EME Consulting Engineering Group, LLC	SA.056 TWO #10 - RTEM MF	8/16/2019	\$146,463	\$335,130	\$145,417	\$223,479
PCMT	Real Time Enrgy Management	164576	Competitive	Y	EME Consulting Engineering Group, LLC	SA.073 TWO#13 AES PM	2/17/2021	\$405,633	\$405,633		
PCMT	REC:CES REC System Dev Costs	142287	Discretionary	N	Levitant & Associates, Inc.	RESRFP19-1 TEP Support	7/9/2019		\$48,960		\$48,811
PCMT	REC:CES REC System Dev Costs	143059	Competitive	Y	Fusco Personnel, Inc.	TS.007/TS.016 - Office Adm LSR	7/30/2019		\$107,671	\$53,576	\$82,414
PCMT	REC:CES REC System Dev Costs	146819	Competitive	N	Brattle Group Inc.	TWO #4 Large Scale Hydropower	10/29/2019	\$300,000	\$889,000	\$267,267	\$691,583
PCMT	REC:CES REC System Dev Costs	150771	Competitive	N	Brattle Group Inc.	TWO 5 LSR Siting analysis	1/30/2020	\$350,000	\$700,000	\$630,858	\$630,858
PCMT	REC:CES REC System Dev Costs	153678	Competitive	Y	Unique Comp Inc.	TWO Salesforce Dev. - Asrith	4/23/2020	\$243,880	\$243,880	\$110,684	\$110,684
PCMT	REC:CES REC System Dev Costs	154134	Discretionary	N	Levitant & Associates, Inc.	Technical Advisory&Research	5/12/2020	\$49,500	\$49,500	\$49,500	\$49,500
PCMT	REC:CES REC System Dev Costs	154545	Discretionary	N	Wood Mackenzie Power & Renewables, Inc.	NaM Power & Renewables / Edge	6/1/2020	\$50,000	\$50,000	\$50,000	\$50,000
PCMT	REC:CES REC System Dev Costs	158291	Discretionary	Y	Sustainable Energy Advantage, LLC	REMO Subscription	9/16/2020	\$38,000	\$38,000	\$20,000	\$20,000
PCMT	Remote Energy Management	147433	Competitive	Y	Rocky Mountain Institute	Technical support for NYSEERDA	11/12/2019		\$250,000	\$250,000	\$250,000
PCMT	RENEWABLE HEAT NY	101132	Competitive	Y	NESCAUM	Tech Assist, REV Pool	7/15/2016		\$246,284	\$32,725	\$246,284
PCMT	RENEWABLE HEAT NY	157273	Discretionary	N	Innovative Natural Resource Solutions, L	Wood Chip Assessment	8/21/2020	\$49,500	\$49,500	\$30,000	\$30,000
PCMT	RENEWABLE HEAT NY	159676	Competitive	Y	Randstad North America, Inc.	Payroll Services_CHC	10/16/2020	\$234,000	\$234,000	\$45,000	\$45,000
PCMT	RENEWABLE HEAT NY	162801	Competitive	Y	NESCAUM	TWO #10 Air Quality	12/30/2020	\$835,000	\$835,000		
PCMT	Retrofit NY	111167	Discretionary	N	Jasper Van den Munckhof	RetrofitNY advisement	3/7/2017		\$50,000		\$24,011
PCMT	Retrofit NY	126881	Discretionary	Y	Forsyth Street Advisors, LLC	Retrofit NY	5/15/2018		\$50,000		\$45,500
PCMT	Retrofit NY	128063	Discretionary	N	Integrative Design Collaborative, INC	Retrofit NY	6/19/2018		\$50,000		\$34,091
PCMT	Retrofit NY	128084	Discretionary	N	7group, LLC	Retrofit NY	6/20/2018		\$50,000		\$47,683
PCMT	Retrofit NY	130604	Discretionary	N	Thornton Tomasetti, Inc.	Retrofit NY	8/30/2018		\$18,000		\$12,000
PCMT	Retrofit NY	132545	Non-Competitive	Y	Stichting Energiesprong	Retrofit NY	10/15/2018		\$200,000		\$37,993
PCMT	Retrofit NY	137953	Discretionary	N	CEC Stuyvesant Cove, Inc.	RetrofitNY Solar Business Case	3/11/2019		\$35,000	\$2,072	\$27,281
PCMT	Retrofit NY	139894	Discretionary	Y	Steven Winter Associates, Inc.	Solution Optimization Consulta	5/3/2019		\$50,000	\$12,195	\$34,778
PCMT	Retrofit NY	143625	Discretionary	Y	Energy Infrastructure Partners LLC	Demand Pipeline Consultant	8/12/2019		\$200,000	\$116,343	\$173,362
PCMT	Retrofit NY	144670	Competitive	Y	EME Consulting Engineering Group, LLC	SA.057 TWO #11 - Multifamily	9/10/2019		\$297,788	\$118,556	\$174,786
PCMT	Retrofit NY	158806	Discretionary	N	NESEA	2020 BuildingEnergy NYC Conf	9/25/2020	\$26,000	\$26,000	\$26,000	\$26,000
PCMT	REV Campus Challenge	121106	Competitive	Y	Meister Consultants Group, Inc.	REV Campus Challenge	11/29/2017		\$586,265	\$86,240	\$408,463
PCMT	REV Campus Challenge	135754	Competitive	Y	Ecology and Environment Engineering	Commercial Market Engagement	1/3/2019		\$266,950	\$0	\$142,170
PCMT	REV Campus Challenge	137653	Competitive	Y	Rochester Institute of Technology	REV CC ETL_Rd. 2	3/4/2019		\$999,664	\$235,337	\$235,337
PCMT	REV Campus Challenge	138947	Competitive	Y	SUNY - ESF	SUNY ESF - Energy Master Plan	4/8/2019		\$18,112	\$18,112	\$18,112
PCMT	REV Campus Challenge	144828	Competitive	Y	DNV Energy Insights USA Inc.	TWO 8 CEF Comm Impact DNV	9/12/2019	\$9,820	\$382,820	\$74,451	\$102,524
PCMT	REV Campus Challenge	154094	Competitive	Y	Sustainable Endowment Institute	GRITS Goals Development - SEI	5/11/2020	\$50,000	\$50,000	\$39,994	\$39,994
PCMT	REV Campus Challenge	154547	Competitive	Y	The Cadmus Group, LLC	REV Campus Challenge Market Ev	6/1/2020	\$693,083	\$693,083	\$125,215	\$125,215
PCMT	REV Campus Challenge	135754A	Non-Competitive	Y	WSP USA Corp.	Commercial Market Engagement	12/11/2020	\$204,685	\$204,685		
PCMT	Rmve Barriers Dist Enrgy Storg	123419	Discretionary	N	Climate Policy Initiative	Rmve Barriers Dist Enrgy Storg	2/14/2018		\$48,900		\$28,098
PCMT	Rmve Barriers Dist Enrgy Storg	123420	Discretionary	N	Center for Renewables Integration	Rmve Barriers Dist Enrgy Storg	2/14/2018		\$37,900		\$37,268
PCMT	Rmve Barriers Dist Enrgy Storg	127932	Discretionary	N	Wadsworth Energy LLC	Rmve Barriers Dist Enrgy Storg	6/15/2018		\$49,900		\$49,680
PCMT	Rmve Barriers Dist Enrgy Storg	138727	Competitive	N	Energy and Environmental Economics Inc.	TWO #16 Clean Peaks Study	4/1/2019		\$225,000		\$184,056
PCMT	Rmve Barriers Dist Enrgy Storg	140810	Discretionary	Y	PGR Group LLC	BESS Tech Support for NYSEERDA	5/29/2019		\$200,000		\$20,437
PCMT	Rmve Barriers Dist Enrgy Storg	142081	Competitive	Y	Wadsworth Energy LLC	TWO #1 MDIWG Support	7/2/2019		\$50,000	\$15,900	\$49,917
PCMT	Rmve Barriers Dist Enrgy Storg	145717	Competitive	N	Harris Wiltshire & Grannis LLP	Cluster Study Tariff Research	10/4/2019		\$6,000		\$3,413
PCMT	Rmve Barriers Dist Enrgy Storg	159704	Competitive	Y	Wadsworth Energy LLC	TWO #2 MDIWG Support	10/20/2020	\$75,000	\$75,000	\$12,525	\$12,525
PCMT	SARATOGA TECHNOLOGY & ENERGY PARK	58912	Competitive	N	NYS Office of General Services	Permitting Services, GE, 107 H	2/17/2015		\$11,500	\$8,885	\$11,500
PCMT	SARATOGA TECHNOLOGY & ENERGY PARK	104265	Competitive	Y	Harris Beach PLLC	RFP 3300 Outside Counsel Servi	9/27/2016		\$154,606	\$18,836	\$136,749
PCMT	SARATOGA TECHNOLOGY & ENERGY PARK	107762	Competitive	Y	Rosenblum Property Services, LLC	STEP Property Mgmt Services	12/7/2016	\$49,490	\$939,065	\$205,631	\$649,319
PCMT	SARATOGA TECHNOLOGY & ENERGY PARK	142233	Competitive	Y	Rosenblum Property Services, LLC	SARATOGA TECHNOLOGY & ENERGY PARK	7/8/2019		\$57,460		\$57,031
PCMT	Small Wind	135480	Competitive	Y	Buffalo Renewables, Inc.	Wind Turbine Incentive	12/24/2018		\$47,700		

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Small Wind	141317	Competitive	Y	Buffalo Renewables, Inc.	WWind Turbine Incentive	6/12/2019		\$87,150		
PCMT	SMART GRID SYSTEMS	59850	Competitive	Y	Cornell University-Office of Sponsored P	Anaerobic Digestion Assistance	3/2/2015		\$750,000	\$132,795	\$631,656
PCMT	Standards and Quality Assurance	141211	Discretionary	Y	Honeywell International Inc.	SOA HPWES/EmPower in LI	6/10/2019	\$25,000	\$50,000	\$13,600	\$25,000
PCMT	Strategic Energy Manager	104532	Competitive	Y	Apprise, Incorporated	RFQ3183 Umbrella Agreement	10/5/2016	\$19,578	\$344,206		\$158,889
PCMT	Strategic Energy Manager	137242	Competitive	N	Arcadis of New York, Inc.	SA.046 TWO #4 - EPE	2/19/2019	\$277,661	\$530,213	\$145,791	\$240,981
PCMT	Strategic Energy Manager	137243	Competitive	Y	TRC Energy Services	SA.047 TWO #19 - EPE	2/19/2019	\$322,016	\$591,194	\$142,752	\$270,820
PCMT	Strategic Energy Manager	ADH0014980	Competitive	Y	EME Consulting Engineering Group, LLC	SA.044 TWO#5 EPE PM	2/5/2021	\$198,123	\$198,123		
PCMT	Subscription/Data Access	102013	Discretionary	Y	Infogroup Government Division	Infogroup	8/5/2016	\$12,500	\$12,500	\$12,500	\$12,500
PCMT	Subscription/Data Access	135794	Discretionary	Y	American Council for an Energy Efficient	Research Support for NYSDERDA	1/4/2019		\$50,000	\$25,000	\$50,000
PCMT	Subscription/Data Access	148096	Non-Competitive	Y	American Council for an Energy Efficient	Subscription/Data Access	11/25/2019		\$70,000		
PCMT	Subscription/Data Access	153238	Discretionary	Y	Global Market Insights Inc.	Global Heat Pump Market report	4/6/2020	\$5,720	\$5,720	\$5,720	\$5,720
PCMT	Subscription/Data Access	160333	Discretionary	Y	ACEEE	ACEEE sponsored research	11/2/2020	\$40,000	\$40,000		
PCMT	Subscription/Data Access	160881	Discretionary	N	Wood Mackenzie Power & Renewables, Inc.	GTM Squared, Solar and Wind	11/14/2020	\$109,648	\$109,648		
PCMT	Subscription/Data Access	163983	Non-Competitive	N	E Source Companies LLC	One-Year Subscription	2/2/2021	\$65,521	\$65,521	\$65,521	\$65,521
PCMT	Subscription/Data Access	132476-1	Discretionary	N	Bloomberg Finance LP	Bloomberg Datafeed Access	1/11/2019	\$23,640	\$69,780	\$23,640	\$59,536
PCMT	Talent Pipeline:CE and OJT	130548	Competitive	Y	Kelliher Samets Volk	TWO 21 WFD Talent Pipeline Mkt	8/27/2018		\$600,000	\$28,760	\$28,760
PCMT	Talent Pipeline:CE and OJT	154034	Competitive	Y	BW Research Partnership	TWO# 1 CE Industry Report	5/6/2020	\$359,205	\$359,205	\$157,905	\$157,905
PCMT	Talent Pipeline:CE and OJT	154054	Non-Competitive	N	Interplay Learning Inc.	On-Line Training Subscription	5/7/2020	\$100,000	\$100,000	\$53,600	\$53,600
PCMT	Talent Pipeline:CE and OJT	154959	Non-Competitive	N	Building Performance Institute, Inc.	COVID Energy Audit Procedures	6/16/2020	\$115,000	\$115,000	\$70,400	\$70,400
PCMT	Talent Pipeline:CE and OJT	155911	Non-Competitive	Y	Research Foundation of The City	TWO Umbrella Agreement #148934	7/17/2020	\$182,157	\$182,157	\$40,599	\$40,599
PCMT	Technical Services	136881	Competitive	Y	L&S Energy Services, Inc.	Tech Review - OsEM	2/6/2019		\$100,522	\$22,615	\$42,961
PCMT	Technical Services	138946	Competitive	Y	EME Consulting Engineering Group, LLC	SA.036c TWO #8 - EP&E	4/8/2019		\$396,850	\$158,045	\$308,176
PCMT	Technical Services	139043	Competitive	Y	R.W. Beck Group, Inc.	StakeholderEngagement Outreach	4/10/2019		\$242,915	\$27,154	\$113,821
PCMT	Technical Services	155980	Discretionary	Y	Ensava, Inc.	CEA Db&Benchmark Tool Creation	7/20/2020	\$49,956	\$49,956	\$14,674	\$14,674
PCMT	Technical Services	156483	Competitive	Y	Noresco LLC	IAQ - Tech Review - NORESO	7/31/2020	\$71,400	\$71,400		
PCMT	Technical Services	156592	Discretionary	N	Impact Infrastructure, Inc.	Building-Level Value Analysis	8/4/2020	\$50,000	\$50,000	\$49,950	\$49,950
PCMT	Technical Services	156896	Competitive	Y	TRC Energy Services	SA.061 TWO#23 - Multifamily	8/12/2020	\$289,255	\$289,255	\$87,925	\$87,925
PCMT	Technical Services	157752	Non-Competitive	Y	Cornell University	NYS CEA Database GLASE	9/3/2020	\$224,817	\$224,817		
PCMT	Technical Services	162057	Competitive	Y	American Society of Heating,Refrigeratin	ASHRAE - EPE Team Support TWO	12/15/2020	\$105,000	\$105,000	\$38,129	\$38,129
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	53151	Non-Competitive	Y	GZA GeoEnvironmental of New York	Trench 14 Investigation	11/24/2014		\$692,777	\$37,828	\$663,360
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	61280	Non-Competitive	Y	MJW Technical Services, Inc.	Radiation Protection Support	3/24/2015				
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	61280	Competitive	Y	MJW Technical Services, Inc.	Radiation Protection Support	3/24/2015		\$3,314,798	\$32,440	\$2,342,206
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	69474	Competitive	Y	Seven Springs West LLC	Operations and Maintenance Sup	7/22/2015		\$3,110,000	\$120,732	\$2,640,265
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	69939	Competitive	Y	AECOM Technical Services Inc.	Environmental Monitoring Suppo	7/28/2015	\$214,592	\$2,789,019	\$407,453	\$2,391,423
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	93559	Non-Competitive	Y	Peter L. Krog	Ashford Office Complex Lease	3/21/2016		\$458,280	\$91,656	\$450,642
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	104075	Competitive	Y	Enercon Services Inc	RFP 3305 Licence Consultation	9/21/2016		\$402,676	\$23,238	\$389,756
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	104264	Competitive	Y	Arnold & Porter Kave Scholer LLP	RFP 3300 Outside Counsel Servi	9/27/2016		\$50,000	\$1,161	\$31,918
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	105183	Competitive	Y	McMahon and Mann Consulting Engineers	Engineering Services WV	10/20/2016		\$750,000	\$36,353	\$354,405
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	107662	Non-Competitive	Y	U.S. Nuclear Regulatory Commission	PO Payment of NRC License Fees	12/6/2016	\$100,000	\$350,000	\$16,000	\$210,892
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	113450	Competitive	Y	Chenango Contracting, Inc.	RFP 3456	5/4/2017		\$1,238,102		\$1,121,488
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	118436	Competitive	Y	The Logue Group	WEST VALLEY DEVELOPMENT PROGRAM	9/22/2017		\$413,446	\$24,157	\$111,375
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	123699	Discretionary	Y	Brenda Sayers	Janitorial contract	2/21/2018		\$48,720	\$12,230	\$36,330
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	143065	Discretionary	Y	McMahon and Mann Consulting Engineers	2019-2020 Annual Surveys	7/31/2019		\$10,000	\$4,076	\$8,294
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	143573	Competitive	N	McMahon and Mann Consulting Engineers	TWO 2 - Evaluation of Mitigati	8/9/2019	\$2,000	\$117,000	\$24,463	\$116,922
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	143574	Competitive	N	McMahon and Mann Consulting Engineers	TWO 3 - Trench Cap Drainage Ma	8/9/2019		\$55,000		\$9,528
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	143738	Competitive	N	Enercon Services Inc	TWO 1 - Review of Draft Regula	8/14/2019		\$49,985	\$8,447	\$39,040
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	145115	Competitive	N	McMahon and Mann Consulting Engineers	TWO #5 - Catch Basin	9/19/2019		\$20,000		\$18,934
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	150902	Discretionary	Y	InStream, LLC	microfilm reader/scanner	2/3/2020		\$9,945		\$9,645
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	153048	Competitive	Y	McMahon and Mann Consulting Engineers	TWO #6 Engineering Services	3/31/2020	\$250,000	\$250,000	\$149,455	\$149,455
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	154922	Discretionary	Y	NYS Department of Health	RML Triennial Bill	6/15/2020	\$5,500	\$5,500	\$5,500	\$5,500
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	155706	Competitive	Y	Joseph R Chimera	Ops and Maintenance	7/13/2020	\$1,500,000	\$1,500,000	\$168,712	\$168,712
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	158278	Competitive	Y	Axis Geospatial	2020 WYNSC LIDAR Mapping	9/16/2020	\$287,568	\$287,568	\$186,919	\$186,919
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	159554	Competitive	N	Stantec Consulting Services, Inc.	WVSMP ENV. Support Services	10/14/2020	\$829,696	\$829,696		
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	PO9602	Competitive	N	The Garrick Co., LLC	Probabilistic Evaluation	6/17/2009	\$25,000	\$624,231	\$57,595	\$617,385
PCMT	Workforce Industry Partnership	121174	Discretionary	Y	Steven Winter Associates, Inc.	NYC Retrofit Demo	11/30/2017		\$49,830	\$1,629	\$39,584
PCMT	ZEC:CES ZEC System Dev Costs	158587	Competitive	N	Carahsoft Technology Corporation	Salesforce Communities license	9/22/2020	\$13,536	\$13,536	\$13,536	\$13,536
PCMT	ZEC:CES ZEC System Dev Costs	163482	Competitive	Y	Tech Valley Talent, LLC	TWO 1 Salesforce Dev. Rajesh K	1/20/2021	\$177,450	\$177,450	\$14,488	\$14,488
GRM	<200KW PV	95941	Competitive	Y	Eastern Energy Systems Inc.	NY-Sun	4/15/2016		\$8,930		
GRM	<200KW PV	101680	Competitive	Y	Safari Energy LLC	<200KW PV	8/2/2016		\$47,000		
GRM	<200KW PV	117353	Competitive	Y	Bright Power, Inc.	NY Sun	8/21/2017		\$36,476	\$9,119	\$36,476
GRM	<200KW PV	118998	Competitive	Y	Monolith Solar Associates, LLC	NY Sun	10/3/2017		\$63,500		
GRM	<200KW PV	121488	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/8/2017		\$116,000		
GRM	<200KW PV	122049	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/26/2017		\$72,397		
GRM	<200KW PV	122052	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/26/2017		\$116,000		
GRM	<200KW PV	122056	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/26/2017		\$49,875		
GRM	<200KW PV	122063	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/26/2017		\$55,975		
GRM	<200KW PV	122065	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	12/26/2017		\$111,575		
GRM	<200KW PV	122491	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/11/2018		\$44,919		
GRM	<200KW PV	122492	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/11/2018		\$42,453		
GRM	<200KW PV	122496	Competitive	Y	SuNation Solar Systems, Inc.	NY Sun	1/11/2018		\$6,930	\$6,930	\$6,930
GRM	<200KW PV	122507	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/11/2018		\$36,212		
GRM	<200KW PV	122520	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/11/2018		\$99,547		
GRM	<200KW PV	122774	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$37,432		
GRM	<200KW PV	122782	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$61,720	\$57,548	\$57,548
GRM	<200KW PV	122789	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$75,382	\$75,382	\$75,382
GRM	<200KW PV	122790	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$55,648	\$55,275	\$55,275
GRM	<200KW PV	122793	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$89,044		
GRM	<200KW PV	122796	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$99,670	\$85,865	\$85,865
GRM	<200KW PV	122799	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$14,662	\$14,662	\$14,662
GRM	<200KW PV	122805	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$70,828		
GRM	<200KW PV	122808	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	1/23/2018		\$29,842		

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	123860	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	2/27/2018		\$45,929		
PGRM	<200KW PV	123866	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	2/27/2018		\$45,024		
PGRM	<200KW PV	123868	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	2/27/2018		\$112,500		
PGRM	<200KW PV	123874	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	2/27/2018		\$39,446		
PGRM	<200KW PV	124393	Competitive	Y	NYS Office of Parks, Recreation and	NY Sun	3/13/2018		\$5,952	\$5,952	\$5,952
PGRM	<200KW PV	125070	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$75,000		
PGRM	<200KW PV	125071	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$48,000		
PGRM	<200KW PV	125072	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$58,500		
PGRM	<200KW PV	125073	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$26,820		
PGRM	<200KW PV	125091	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$75,000		
PGRM	<200KW PV	125106	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$24,240		
PGRM	<200KW PV	125110	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/2/2018		\$54,240		
PGRM	<200KW PV	125464	Competitive	Y	Empower CES, LLC	NY Sun	4/10/2018		\$75,000	\$75,000	\$75,000
PGRM	<200KW PV	125511	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/10/2018		\$22,320		
PGRM	<200KW PV	125512	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/10/2018		\$18,300		
PGRM	<200KW PV	125534	Competitive	Y	Empower CES, LLC	NY Sun	4/11/2018		\$75,000	\$75,000	\$75,000
PGRM	<200KW PV	125563	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/11/2018		\$14,760		
PGRM	<200KW PV	125564	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/11/2018		\$27,780		
PGRM	<200KW PV	125565	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/11/2018		\$17,880		
PGRM	<200KW PV	125567	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/11/2018		\$28,800		
PGRM	<200KW PV	125568	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	4/11/2018		\$23,040		
PGRM	<200KW PV	125712	Competitive	Y	Upstate Alternative Energy	NY Sun	4/16/2018		\$6,840	\$6,840	\$6,840
PGRM	<200KW PV	125713	Competitive	Y	Harvest Power, LLC	NY Sun	4/16/2018		\$6,900	\$6,900	\$6,900
PGRM	<200KW PV	125729	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/16/2018		\$37,560		
PGRM	<200KW PV	125740	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/16/2018		\$30,000		
PGRM	<200KW PV	125751	Competitive	Y	Harvest Power, LLC	NY Sun	4/16/2018		\$21,938		
PGRM	<200KW PV	125754	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/16/2018		\$42,360		
PGRM	<200KW PV	125755	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/16/2018		\$32,520		
PGRM	<200KW PV	125766	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/16/2018		\$54,240		
PGRM	<200KW PV	125790	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/17/2018		\$36,000		
PGRM	<200KW PV	125795	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/17/2018		\$40,200		
PGRM	<200KW PV	125811	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/17/2018		\$43,800		
PGRM	<200KW PV	125827	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	4/17/2018		\$17,400		
PGRM	<200KW PV	125869	Competitive	Y	Bright Power, Inc.	NY Sun	4/18/2018		\$5,760		
PGRM	<200KW PV	126552	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/8/2018		\$75,000		
PGRM	<200KW PV	126568	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/8/2018		\$75,000		
PGRM	<200KW PV	126569	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/8/2018		\$60,960		
PGRM	<200KW PV	127007	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$25,328		
PGRM	<200KW PV	127018	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$38,264		
PGRM	<200KW PV	127026	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$65,000		
PGRM	<200KW PV	127027	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$23,480		
PGRM	<200KW PV	127029	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$19,712		
PGRM	<200KW PV	127032	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$27,176		
PGRM	<200KW PV	127035	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$21,632		
PGRM	<200KW PV	127044	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$19,712		
PGRM	<200KW PV	127045	Competitive	Y	SUNRISE ELECTRICAL SERVICES	NY Sun	5/18/2018		\$17,248		
PGRM	<200KW PV	127202	Competitive	Y	SuNation Solar Systems, Inc.	NY Sun	5/23/2018		\$23,963		
PGRM	<200KW PV	128298	Competitive	Y	Siemens Industry, Inc.	NY Sun	6/25/2018		\$75,443	\$75,443	\$75,443
PGRM	<200KW PV	128299	Competitive	Y	Siemens Industry, Inc.	NY Sun	6/25/2018		\$78,435	\$78,435	\$78,435
PGRM	<200KW PV	128300	Competitive	Y	Siemens Industry, Inc.	NY Sun	6/25/2018		\$40,635	\$40,635	\$40,635
PGRM	<200KW PV	128306	Competitive	Y	Siemens Industry, Inc.	NY Sun	6/25/2018		\$38,115	\$38,115	\$38,115
PGRM	<200KW PV	129086	Competitive	Y	770 Electric Corp.	NY Sun	7/18/2018		\$29,862	\$29,754	\$29,754
PGRM	<200KW PV	129561	Competitive	Y	Siemens Industry, Inc.	NY Sun	7/31/2018		\$241,448	\$241,447	\$241,447
PGRM	<200KW PV	129856	Competitive	Y	NYS Office of Parks, Recreation and	<200KW PV	8/8/2018		\$13,455		
PGRM	<200KW PV	134752	Competitive	Y	US Energy Concierge Inc	NY Sun	12/5/2018		\$7,655	\$7,655	\$7,655
PGRM	<200KW PV	135218	Competitive	Y	Solar Energy Systems, LLC	NY Sun	12/17/2018		\$15,330	\$15,330	\$15,330
PGRM	<200KW PV	135321	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	12/19/2018		\$43,416		
PGRM	<200KW PV	135323	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	12/19/2018		\$47,424		
PGRM	<200KW PV	135658	Competitive	Y	Empower CES, LLC	NY Sun	1/2/2019		\$112,500		
PGRM	<200KW PV	136352	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	1/23/2019		\$112,500		
PGRM	<200KW PV	136460	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	1/25/2019		\$10,044	\$10,044	\$10,044
PGRM	<200KW PV	136493	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	1/28/2019		\$5,688		
PGRM	<200KW PV	136803	Competitive	Y	SunPower SolarProgram 1, LLC	PON 2112 NY SUN	2/5/2019		\$112,500	\$112,500	\$112,500
PGRM	<200KW PV	136821	Competitive	Y	General Electric International Inc	PON 2112 NY SUN	2/5/2019		\$334,152		
PGRM	<200KW PV	137000	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$30,060		
PGRM	<200KW PV	137007	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$30,600		
PGRM	<200KW PV	137011	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$39,960		
PGRM	<200KW PV	137012	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$25,020		
PGRM	<200KW PV	137015	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$20,160		
PGRM	<200KW PV	137017	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$38,520		
PGRM	<200KW PV	137018	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$41,040		
PGRM	<200KW PV	137021	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$39,420		
PGRM	<200KW PV	137023	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$39,420		
PGRM	<200KW PV	137027	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$60,600		
PGRM	<200KW PV	137028	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$48,600		
PGRM	<200KW PV	137029	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$53,100		
PGRM	<200KW PV	137030	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$33,507	\$33,507	\$33,507
PGRM	<200KW PV	137031	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$112,500		
PGRM	<200KW PV	137032	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$36,026	\$36,026	\$36,026
PGRM	<200KW PV	137033	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019	\$79,515	\$79,515		
PGRM	<200KW PV	137034	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$30,003	\$30,003	\$30,003

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		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	<200KW PV	137035	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$31,700	\$31,700	\$31,700
PGRM	<200KW PV	137036	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$30,989	\$30,989	\$30,989
PGRM	<200KW PV	137037	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	2/11/2019		\$12,240		
PGRM	<200KW PV	137064	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	2/12/2019		\$28,080		
PGRM	<200KW PV	137089	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/13/2019		\$49,980	\$49,980	\$49,980
PGRM	<200KW PV	137294	Competitive	Y	Solar Energy LLC	PON 2112 NY SUN	2/20/2019		\$289,575		
PGRM	<200KW PV	137295	Competitive	Y	Solar Energy LLC	PON 2112 NY SUN	2/20/2019		\$289,575		
PGRM	<200KW PV	137304	Competitive	Y	Solar Energy LLC	PON 2112 NY SUN	2/20/2019		\$276,413		
PGRM	<200KW PV	138328	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	3/21/2019		\$57,888	\$57,888	\$57,888
PGRM	<200KW PV	138768	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	4/2/2019		\$5,376		
PGRM	<200KW PV	138978	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	4/9/2019		\$5,164		
PGRM	<200KW PV	139674	Competitive	Y	QwikSolar, LLC	PON 2112 NY SUN	4/29/2019		\$5,880	\$5,880	\$5,880
PGRM	<200KW PV	139773	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	5/1/2019		\$14,040		
PGRM	<200KW PV	139996	Competitive	Y	CNY Solar, Inc.	<200KW PV	5/7/2019		\$7,880		
PGRM	<200KW PV	140134	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	5/10/2019		\$5,150		
PGRM	<200KW PV	140265	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/15/2019		\$6,060		
PGRM	<200KW PV	140425	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	5/21/2019		\$26,366		
PGRM	<200KW PV	140842	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	5/30/2019	\$88	\$5,338	\$5,338	\$5,338
PGRM	<200KW PV	141062	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	6/6/2019		\$10,044	\$10,044	\$10,044
PGRM	<200KW PV	141171	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	6/10/2019		\$5,513		
PGRM	<200KW PV	141284	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	6/12/2019		\$5,075		
PGRM	<200KW PV	141422	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	6/17/2019		\$5,124	\$5,124	\$5,124
PGRM	<200KW PV	141678	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	6/21/2019		\$6,015		
PGRM	<200KW PV	141860	Competitive	Y	Generate Capital Inc.	<200KW PV	6/26/2019		\$124,200		
PGRM	<200KW PV	141861	Competitive	Y	Generate Capital Inc.	<200KW PV	6/26/2019		\$231,840		
PGRM	<200KW PV	142176	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	7/8/2019		\$6,300	\$6,300	\$6,300
PGRM	<200KW PV	142224	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	7/8/2019		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	142573	Competitive	Y	Vivint Solar	PON 2112 NY SUN	7/17/2019		\$6,284		
PGRM	<200KW PV	142585	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	7/17/2019		\$111,780	\$111,780	\$111,780
PGRM	<200KW PV	142688	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	7/19/2019		\$69,120		
PGRM	<200KW PV	143039	Competitive	Y	Sun Source Technologies	<200KW PV	7/29/2019		\$160,080	\$160,080	\$160,080
PGRM	<200KW PV	143186	Competitive	Y	Frederick A Proven	PON 2112 NY SUN	8/1/2019		\$9,765		
PGRM	<200KW PV	143195	Competitive	Y	Rural Generation & Wind (Rodney Weaver)	PON 2112 NY SUN	8/1/2019		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	143198	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	8/1/2019		\$6,160	\$6,160	\$6,160
PGRM	<200KW PV	143416	Competitive	Y	Skyline Solar Inc.	PON 2112 NY SUN	8/7/2019		\$5,460		
PGRM	<200KW PV	143507	Competitive	Y	AltPOWER, Inc.	<200KW PV	8/8/2019		\$66,240		
PGRM	<200KW PV	143510	Competitive	Y	AltPOWER, Inc.	<200KW PV	8/8/2019		\$142,002		
PGRM	<200KW PV	143512	Competitive	Y	AltPOWER, Inc.	<200KW PV	8/8/2019		\$63,342		
PGRM	<200KW PV	143613	Competitive	Y	AltPOWER, Inc.	<200KW PV	8/12/2019		\$60,858		
PGRM	<200KW PV	143669	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	8/13/2019		\$5,698		
PGRM	<200KW PV	143694	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	8/14/2019		\$7,168		
PGRM	<200KW PV	143904	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	8/20/2019		\$12,420	\$12,420	\$12,420
PGRM	<200KW PV	143974	Competitive	Y	O'Connell Electric Company	<200KW PV	8/21/2019		\$88,493	\$88,493	\$88,493
PGRM	<200KW PV	144012	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	8/22/2019		\$22,032	\$0	\$0
PGRM	<200KW PV	144189	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	8/27/2019		\$11,138	\$11,138	\$11,138
PGRM	<200KW PV	144265	Competitive	Y	Isomedix Operations Inc	<200KW PV	8/28/2019		\$178,200	\$178,200	\$178,200
PGRM	<200KW PV	144266	Competitive	Y	BJ's Wholesale Club	<200KW PV	8/28/2019		\$337,212	\$337,212	\$337,212
PGRM	<200KW PV	144273	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	8/29/2019		\$6,384		
PGRM	<200KW PV	144301	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	8/29/2019		\$11,282		
PGRM	<200KW PV	144345	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	9/3/2019		\$45,045	\$45,045	\$45,045
PGRM	<200KW PV	144409	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	9/3/2019		\$8,750		
PGRM	<200KW PV	144455	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	9/5/2019		\$6,180	\$6,180	\$6,180
PGRM	<200KW PV	144585	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	9/9/2019		\$8,789		
PGRM	<200KW PV	144760	Competitive	Y	Eiger 3970 Consultants Inc.	NY-SUN	9/11/2019		\$562,215	\$557,775	\$557,775
PGRM	<200KW PV	144778	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	9/12/2019		\$7,056		
PGRM	<200KW PV	144863	Competitive	Y	Croton Energy Group, Inc.	PON 2112 NY SUN	9/13/2019		\$18,889	\$18,889	\$18,889
PGRM	<200KW PV	144947	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	9/17/2019		\$6,160		
PGRM	<200KW PV	145130	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	9/23/2019		\$13,680		\$13,167
PGRM	<200KW PV	145200	Competitive	Y	Apex Roofing, LLC	PON 2112 NY SUN	9/23/2019		\$6,496	\$6,496	\$6,496
PGRM	<200KW PV	145278	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	9/25/2019		\$6,750		
PGRM	<200KW PV	145296	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	9/25/2019		\$7,313	\$7,313	\$7,313
PGRM	<200KW PV	145376	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	9/27/2019		\$8,327	\$8,327	\$8,327
PGRM	<200KW PV	145427	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	9/27/2019		\$15,318		
PGRM	<200KW PV	145667	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	10/3/2019		\$5,544		
PGRM	<200KW PV	145777	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/7/2019		\$6,395		
PGRM	<200KW PV	146009	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	10/11/2019		\$17,019	\$17,019	\$17,019
PGRM	<200KW PV	146013	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	10/11/2019		\$8,694	\$8,694	\$8,694
PGRM	<200KW PV	146133	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	10/15/2019		\$17,820		
PGRM	<200KW PV	146193	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	10/16/2019		\$36,450	\$36,450	\$36,450
PGRM	<200KW PV	146231	Competitive	Y	SunnyMac LLC	PON 2112 NY SUN	10/17/2019		\$6,615	\$6,615	\$6,615
PGRM	<200KW PV	146335	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	10/21/2019		\$5,292	\$5,292	\$5,292
PGRM	<200KW PV	146425	Competitive	Y	Buselli Plumbing & Heating	PON 2112 NY SUN	10/22/2019		\$8,649	\$8,649	\$8,649
PGRM	<200KW PV	146653	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	10/28/2019		\$18,191	\$18,191	\$18,191
PGRM	<200KW PV	146678	Competitive	Y	CES Danbury Solar, LLC	PON 2112 NY SUN	10/28/2019		\$7,500		
PGRM	<200KW PV	146691	Competitive	Y	Upstate Alternative Energy	PON 2112 NY SUN	10/28/2019		\$6,405	\$6,405	\$6,405
PGRM	<200KW PV	146707	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	10/28/2019		\$5,723	\$5,723	\$5,723
PGRM	<200KW PV	146769	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	10/29/2019		\$5,160	\$5,160	\$5,160
PGRM	<200KW PV	147015	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/1/2019		\$6,615		
PGRM	<200KW PV	147171	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	11/6/2019		\$5,292	\$5,292	\$5,292
PGRM	<200KW PV	147251	Competitive	Y	TM Montante Solar Developments, LLC	PON 2112 NY SUN	11/7/2019		\$206,550	\$206,550	\$206,550
PGRM	<200KW PV	147316	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	11/12/2019		\$35,802		

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1						Committed this		Amt Paid this	
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	<200KW PV	147327	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	11/12/2019		\$118,422		
PGRM	<200KW PV	147349	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	11/12/2019		\$162,000		
PGRM	<200KW PV	147427	Competitive	Y	Accelerate Solar LLC	<200KW PV	11/12/2019		\$8,700	\$8,700	\$8,700
PGRM	<200KW PV	147456	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/13/2019		\$7,900		\$7,899
PGRM	<200KW PV	147466	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	11/13/2019		\$5,268	\$5,268	\$5,268
PGRM	<200KW PV	147520	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/14/2019		\$5,439	\$5,439	\$5,439
PGRM	<200KW PV	147541	Competitive	Y	Pro Custom Solar LLC	PON 2112 NY SUN	11/14/2019		\$7,728		
PGRM	<200KW PV	147571	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/15/2019		\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	147638	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	11/18/2019		\$6,066	\$5,951	\$5,951
PGRM	<200KW PV	147648	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/18/2019		\$11,880	\$11,880	\$11,880
PGRM	<200KW PV	147753	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	11/19/2019		\$5,796	\$5,796	\$5,796
PGRM	<200KW PV	147759	Competitive	Y	Halcyon, Inc.	PON 2112 NY SUN	11/19/2019		\$5,600	\$5,600	\$5,600
PGRM	<200KW PV	147761	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	11/19/2019		\$6,064		
PGRM	<200KW PV	147762	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	11/19/2019		\$141,912	\$138,299	\$138,299
PGRM	<200KW PV	147775	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	11/19/2019		\$62,500		
PGRM	<200KW PV	147776	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	11/19/2019		\$62,500		
PGRM	<200KW PV	147777	Competitive	Y	SUNRISE ELECTRICAL SERVICES	<200KW PV	11/19/2019		\$40,540		
PGRM	<200KW PV	147842	Competitive	Y	Pro Custom Solar LLC	PON 2112 NY SUN	11/21/2019		\$5,387		
PGRM	<200KW PV	148006	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/25/2019		\$5,292	\$5,292	\$5,292
PGRM	<200KW PV	148028	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	11/25/2019		\$5,208	\$5,208	\$5,208
PGRM	<200KW PV	148086	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	11/25/2019		\$652,344		
PGRM	<200KW PV	148113	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/26/2019	\$1,323	\$5,292	\$5,292	\$5,292
PGRM	<200KW PV	148129	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	11/26/2019		\$29,707	\$29,707	\$29,707
PGRM	<200KW PV	148183	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/27/2019		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	148195	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/27/2019		\$5,023		
PGRM	<200KW PV	148255	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	11/29/2019		\$5,880	\$5,880	\$5,880
PGRM	<200KW PV	148282	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	11/29/2019		\$6,825		
PGRM	<200KW PV	148329	Competitive	Y	Pro Custom Solar LLC	PON 2112 NY SUN	12/3/2019		\$5,863	\$5,863	\$5,863
PGRM	<200KW PV	148379	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	12/4/2019		\$32,400	\$32,400	\$32,400
PGRM	<200KW PV	148566	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/9/2019		\$22,140		
PGRM	<200KW PV	148618	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	12/9/2019		\$10,323	\$10,323	\$10,323
PGRM	<200KW PV	148625	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	12/9/2019		\$22,356	\$22,356	\$22,356
PGRM	<200KW PV	148643	Competitive	Y	770 Electric Corp.	PON 2112 NY SUN	12/9/2019		\$411,180		
PGRM	<200KW PV	148673	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	12/10/2019	\$5,418	\$5,418	\$5,418	\$5,418
PGRM	<200KW PV	148676	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	12/10/2019		\$153,698	\$153,698	\$153,698
PGRM	<200KW PV	148746	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/11/2019		\$5,481	\$5,481	\$5,481
PGRM	<200KW PV	148767	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	12/11/2019		\$5,569	\$5,569	\$5,569
PGRM	<200KW PV	148833	Competitive	Y	Best Energy Power	PON 2112 NY SUN	12/12/2019		\$36,924	\$36,924	\$36,924
PGRM	<200KW PV	148835	Competitive	Y	Best Energy Power	PON 2112 NY SUN	12/12/2019		\$37,944	\$37,944	\$37,944
PGRM	<200KW PV	148844	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	12/12/2019		\$22,239		
PGRM	<200KW PV	149100	Competitive	Y	Vivint Solar	PON 2112 NY SUN	12/17/2019		\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	149124	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/17/2019		\$15,840		
PGRM	<200KW PV	149229	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	12/19/2019		\$153,698		
PGRM	<200KW PV	149313	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	12/20/2019		\$8,640		
PGRM	<200KW PV	149400	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	12/23/2019		\$5,387		
PGRM	<200KW PV	149417	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	12/23/2019		\$8,547	\$8,547	\$8,547
PGRM	<200KW PV	149433	Competitive	Y	Apex Roofing, LLC	PON 2112 NY SUN	12/23/2019		\$6,048	\$6,048	\$6,048
PGRM	<200KW PV	149436	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	12/23/2019		\$6,804	\$6,804	\$6,804
PGRM	<200KW PV	149481	Competitive	Y	Next Generation Solar, LLC	<200KW PV	12/24/2019		\$8,481	\$8,481	\$8,481
PGRM	<200KW PV	149495	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	12/26/2019		\$5,513	\$5,513	\$5,513
PGRM	<200KW PV	149739	Competitive	Y	ENGIE North America Inc.	PON 2112 NY SUN	1/3/2020		\$250,614	\$250,614	\$250,614
PGRM	<200KW PV	149747	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/3/2020		\$5,292	\$5,292	\$5,292
PGRM	<200KW PV	149754	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	1/3/2020		\$12,771	\$12,771	\$12,771
PGRM	<200KW PV	149822	Competitive	Y	64 Solar LLC	PON 2112 NY SUN	1/6/2020		\$34,992	\$34,992	\$34,992
PGRM	<200KW PV	149824	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	1/6/2020		\$8,276	\$8,276	\$8,276
PGRM	<200KW PV	149828	Competitive	Y	Tri State Solar Services	PON 2112 NY SUN	1/6/2020		\$6,930		
PGRM	<200KW PV	149831	Competitive	Y	Green Volt Solutions LLC	PON 2112 NY SUN	1/6/2020		\$14,040	\$14,040	\$14,040
PGRM	<200KW PV	149856	Competitive	Y	Black Cherry Services Group, Inc.	PON 2112 NY SUN	1/8/2020		\$5,418	\$5,418	\$5,418
PGRM	<200KW PV	149862	Competitive	Y	64 Solar LLC	PON 2112 NY SUN	1/8/2020		\$91,800	\$91,035	\$91,035
PGRM	<200KW PV	149872	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	1/8/2020		\$5,175	\$5,175	\$5,175
PGRM	<200KW PV	149873	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	1/8/2020		\$66,623	\$66,623	\$66,623
PGRM	<200KW PV	149914	Competitive	Y	You Save Green Incorporated	PON 2112 NY SUN	1/8/2020		\$7,500	\$2,772	\$2,772
PGRM	<200KW PV	149930	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	1/8/2020		\$60,588	\$60,588	\$60,588
PGRM	<200KW PV	150037	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/10/2020		\$22,752	\$22,752	\$22,752
PGRM	<200KW PV	150124	Competitive	Y	Ecovis, Inc.	PON 2112 NY SUN	1/13/2020		\$7,487	\$7,486	\$7,486
PGRM	<200KW PV	150154	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	1/14/2020		\$5,346	\$5,346	\$5,346
PGRM	<200KW PV	150194	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/15/2020		\$5,402		
PGRM	<200KW PV	150200	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	1/15/2020		\$7,110	\$0	\$0
PGRM	<200KW PV	150276	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	1/16/2020		\$5,551	\$5,551	\$5,551
PGRM	<200KW PV	150338	Competitive	Y	Hudson Valley Wind Energy, LLC	PON 2112 NY SUN	1/17/2020		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	150447	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	1/22/2020		\$5,508	\$5,508	\$5,508
PGRM	<200KW PV	150449	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	1/22/2020		\$5,448	\$5,448	\$5,448
PGRM	<200KW PV	150481	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$131,580	\$131,580	\$131,580
PGRM	<200KW PV	150482	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$66,600	\$66,600	\$66,600
PGRM	<200KW PV	150483	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$76,500	\$76,500	\$76,500
PGRM	<200KW PV	150485	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$48,600	\$48,600	\$48,600
PGRM	<200KW PV	150486	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$505,197		
PGRM	<200KW PV	150487	Competitive	Y	CON EDISON SOLUTIONS, INC.	<200KW PV	1/22/2020		\$151,767		
PGRM	<200KW PV	150493	Competitive	Y	Kasselman Solar LLC	<200KW PV	1/22/2020		\$5,472		
PGRM	<200KW PV	150500	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	1/23/2020		\$6,771		
PGRM	<200KW PV	150502	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	1/23/2020	\$299	\$6,484	\$6,484	\$6,484

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	<200KW PV	150516	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	1/23/2020		\$7,500		
PGRM	<200KW PV	150524	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	1/23/2020		\$6,279	\$6,279	\$6,279
PGRM	<200KW PV	150564	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/24/2020		\$13,331	\$13,331	\$13,331
PGRM	<200KW PV	150603	Competitive	Y	Bashing Panels LLC	PON 2112 NY SUN	1/27/2020		\$5,513	\$5,513	\$5,513
PGRM	<200KW PV	150662	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	1/29/2020		\$7,722		
PGRM	<200KW PV	150671	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	1/29/2020		\$5,738	\$5,738	\$5,738
PGRM	<200KW PV	150684	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	1/29/2020		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	150757	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	1/30/2020		\$6,000	\$6,000	\$6,000
PGRM	<200KW PV	150797	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/31/2020		\$7,276	\$7,276	\$7,276
PGRM	<200KW PV	150867	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	2/3/2020		\$8,048		
PGRM	<200KW PV	150901	Competitive	Y	Renovus Energy, Inc.	<200KW PV	2/3/2020		\$7,728	\$7,728	\$7,728
PGRM	<200KW PV	151201	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	2/11/2020		\$5,400	\$5,400	\$5,400
PGRM	<200KW PV	151238	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	2/12/2020		\$5,954		
PGRM	<200KW PV	151308	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	2/13/2020		\$6,192	\$6,192	\$6,192
PGRM	<200KW PV	151351	Competitive	Y	New England Solar & Green Solutions, Inc	<200KW PV	2/13/2020		\$6,080	\$6,080	\$6,080
PGRM	<200KW PV	151389	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/14/2020		\$30,395	\$30,395	\$30,395
PGRM	<200KW PV	151429	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	2/18/2020		\$5,758	\$5,758	\$5,758
PGRM	<200KW PV	151473	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	2/18/2020		\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	151480	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	2/18/2020		\$5,152	\$5,152	\$5,152
PGRM	<200KW PV	151515	Competitive	Y	64 Solar LLC	PON 2112 NY SUN	2/19/2020		\$162,081	\$158,940	\$158,940
PGRM	<200KW PV	151529	Competitive	Y	Douglas R Jarvis	PON 2112 NY SUN	2/19/2020		\$5,082	\$5,082	\$5,082
PGRM	<200KW PV	151538	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	2/19/2020		\$8,680	\$8,680	\$8,680
PGRM	<200KW PV	151541	Competitive	Y	ADK Solar	PON 2112 NY SUN	2/19/2020		\$11,732	\$11,732	\$11,732
PGRM	<200KW PV	151547	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	2/19/2020		\$5,425	\$5,425	\$5,425
PGRM	<200KW PV	151571	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/20/2020		\$23,463	\$23,463	\$23,463
PGRM	<200KW PV	151574	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	2/20/2020		\$42,768	\$42,768	\$42,768
PGRM	<200KW PV	151600	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	2/20/2020		\$88,128		
PGRM	<200KW PV	151616	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	2/20/2020		\$9,776	\$9,776	\$9,776
PGRM	<200KW PV	151738	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/25/2020	\$459	\$20,426	\$20,426	\$20,426
PGRM	<200KW PV	151747	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	2/25/2020		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	151751	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	2/25/2020		\$6,003	\$6,003	\$6,003
PGRM	<200KW PV	151830	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	2/26/2020		\$8,288	\$8,288	\$8,288
PGRM	<200KW PV	151923	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/28/2020	\$231	\$6,122	\$6,122	\$6,122
PGRM	<200KW PV	151950	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	2/28/2020		\$6,860	\$6,860	\$6,860
PGRM	<200KW PV	152029	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/3/2020		\$5,425	\$5,425	\$5,425
PGRM	<200KW PV	152050	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/3/2020		\$6,076	\$3,696	\$3,696
PGRM	<200KW PV	152070	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	3/3/2020		\$5,880		
PGRM	<200KW PV	152118	Competitive	Y	QwikSolar, LLC	PON 2112 NY SUN	3/4/2020		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	152151	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	3/5/2020		\$7,350	\$7,350	\$7,350
PGRM	<200KW PV	152152	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/5/2020		\$5,152	\$5,152	\$5,152
PGRM	<200KW PV	152173	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	3/5/2020		\$6,370	\$6,370	\$6,370
PGRM	<200KW PV	152249	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	3/9/2020		\$6,986	\$6,986	\$6,986
PGRM	<200KW PV	152268	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	3/9/2020		\$6,448	\$6,448	\$6,448
PGRM	<200KW PV	152285	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	3/10/2020		\$5,460	\$5,460	\$5,460
PGRM	<200KW PV	152316	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	3/10/2020		\$5,460	\$5,460	\$5,460
PGRM	<200KW PV	152340	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	3/11/2020		\$6,405	\$6,405	\$6,405
PGRM	<200KW PV	152343	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	3/11/2020		\$7,325	\$7,325	\$7,325
PGRM	<200KW PV	152364	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/11/2020		\$5,748	\$5,748	\$5,748
PGRM	<200KW PV	152377	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$11,220		
PGRM	<200KW PV	152378	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$7,590	\$3,795	\$3,795
PGRM	<200KW PV	152381	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$10,230	\$10,230	\$10,230
PGRM	<200KW PV	152382	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$8,580	\$8,580	\$8,580
PGRM	<200KW PV	152383	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$11,220	\$11,220	\$11,220
PGRM	<200KW PV	152385	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$9,570	\$9,570	\$9,570
PGRM	<200KW PV	152386	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$11,220	\$11,220	\$11,220
PGRM	<200KW PV	152389	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$11,220	\$11,220	\$11,220
PGRM	<200KW PV	152391	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$8,250		
PGRM	<200KW PV	152392	Competitive	Y	Bright Power, Inc.	<200KW PV	3/11/2020		\$9,240		
PGRM	<200KW PV	152440	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$10,890		
PGRM	<200KW PV	152447	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$10,230		
PGRM	<200KW PV	152450	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$9,570		
PGRM	<200KW PV	152452	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$8,580		
PGRM	<200KW PV	152453	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$10,890		
PGRM	<200KW PV	152454	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$9,240		
PGRM	<200KW PV	152455	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$8,910		
PGRM	<200KW PV	152460	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$9,570		
PGRM	<200KW PV	152462	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$8,580		
PGRM	<200KW PV	152463	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$11,220	\$11,220	\$11,220
PGRM	<200KW PV	152464	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$9,240	\$4,620	\$4,620
PGRM	<200KW PV	152466	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$11,220	\$11,220	\$11,220
PGRM	<200KW PV	152467	Competitive	Y	Bright Power, Inc.	<200KW PV	3/12/2020		\$9,900	\$9,900	\$9,900
PGRM	<200KW PV	152501	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	3/13/2020		\$8,126	\$8,126	\$8,126
PGRM	<200KW PV	152582	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	3/16/2020		\$8,190	\$8,190	\$8,190
PGRM	<200KW PV	152595	Competitive	Y	Kasselman Solar LLC	<200KW PV	3/16/2020		\$6,992	\$6,992	\$6,992
PGRM	<200KW PV	152597	Competitive	Y	Kasselman Solar LLC	<200KW PV	3/16/2020	\$2,025	\$5,472	\$5,472	\$5,472
PGRM	<200KW PV	152601	Competitive	Y	Bright Power, Inc.	<200KW PV	3/16/2020		\$11,220		
PGRM	<200KW PV	152602	Competitive	Y	Bright Power, Inc.	<200KW PV	3/16/2020		\$9,570		
PGRM	<200KW PV	152607	Competitive	Y	Bright Power, Inc.	<200KW PV	3/16/2020		\$11,220		
PGRM	<200KW PV	152609	Competitive	Y	Bright Power, Inc.	<200KW PV	3/16/2020		\$11,220		
PGRM	<200KW PV	152616	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	3/17/2020		\$8,750		
PGRM	<200KW PV	152626	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/17/2020		\$6,918	\$6,918	\$6,918

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Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	152628	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	3/17/2020		\$31,532	\$31,531	\$31,531
PGRM	<200KW PV	152636	Competitive	Y	Bright Power, Inc.	<200KW PV	3/17/2020		\$10,230		
PGRM	<200KW PV	152637	Competitive	Y	Bright Power, Inc.	<200KW PV	3/17/2020		\$11,220		
PGRM	<200KW PV	152643	Competitive	Y	Bright Power, Inc.	<200KW PV	3/17/2020	\$9,900	\$9,900		
PGRM	<200KW PV	152647	Competitive	Y	Bright Power, Inc.	<200KW PV	3/17/2020	\$10,230	\$10,230		
PGRM	<200KW PV	152658	Competitive	Y	Frederick A Proven	PON 2112 NY SUN	3/18/2020		\$8,910	\$8,910	\$8,910
PGRM	<200KW PV	152680	Competitive	Y	Bright Power, Inc.	<200KW PV	3/18/2020	\$11,220	\$11,220		
PGRM	<200KW PV	152681	Competitive	Y	Bright Power, Inc.	<200KW PV	3/18/2020	\$10,890	\$10,890		
PGRM	<200KW PV	152682	Competitive	Y	Bright Power, Inc.	<200KW PV	3/18/2020	\$10,890	\$10,890		
PGRM	<200KW PV	152683	Competitive	Y	Bright Power, Inc.	<200KW PV	3/18/2020	\$9,900	\$9,900		
PGRM	<200KW PV	152684	Competitive	Y	Bright Power, Inc.	<200KW PV	3/18/2020	\$11,220	\$11,220		
PGRM	<200KW PV	152692	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	3/19/2020		\$15,795	\$15,795	\$15,795
PGRM	<200KW PV	152712	Competitive	Y	Bright Power, Inc.	<200KW PV	3/19/2020	\$11,220	\$11,220		
PGRM	<200KW PV	152725	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	3/20/2020		\$5,644	\$5,644	\$5,644
PGRM	<200KW PV	152728	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	3/20/2020		\$9,362		
PGRM	<200KW PV	152744	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	3/20/2020		\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	152751	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020		\$11,220		
PGRM	<200KW PV	152752	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$11,220	\$11,220		
PGRM	<200KW PV	152755	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$7,590	\$7,590		
PGRM	<200KW PV	152757	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$7,590	\$7,590		
PGRM	<200KW PV	152765	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$9,900	\$9,900		
PGRM	<200KW PV	152767	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$8,580	\$8,580	\$8,580	\$8,580
PGRM	<200KW PV	152768	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$9,900	\$9,900	\$9,900	\$9,900
PGRM	<200KW PV	152769	Competitive	Y	Bright Power, Inc.	<200KW PV	3/20/2020	\$10,230	\$10,230	\$10,230	\$10,230
PGRM	<200KW PV	152772	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	3/23/2020	\$6,332	\$6,332	\$6,332	\$6,332
PGRM	<200KW PV	152780	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	3/23/2020	\$6,221	\$6,221	\$6,221	\$6,221
PGRM	<200KW PV	152781	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/23/2020		\$5,017	\$5,017	\$5,017
PGRM	<200KW PV	152803	Competitive	Y	Bright Power, Inc.	<200KW PV	3/23/2020	\$11,220	\$11,220		
PGRM	<200KW PV	152806	Competitive	Y	Bright Power, Inc.	<200KW PV	3/23/2020	\$10,560	\$10,560		
PGRM	<200KW PV	152808	Competitive	Y	Bright Power, Inc.	<200KW PV	3/23/2020	\$9,900	\$9,900		
PGRM	<200KW PV	152818	Competitive	Y	Bright Power, Inc.	<200KW PV	3/23/2020		\$8,250		
PGRM	<200KW PV	152819	Competitive	Y	Bright Power, Inc.	<200KW PV	3/23/2020	\$48,960	\$48,960	\$48,960	\$48,960
PGRM	<200KW PV	152835	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	3/24/2020	\$93,555	\$93,555	\$93,555	\$93,555
PGRM	<200KW PV	152849	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	3/24/2020		\$30,929	\$30,929	\$30,929
PGRM	<200KW PV	152853	Competitive	Y	Kasselman Solar LLC	<200KW PV	3/24/2020	\$88	\$7,992	\$7,992	\$7,992
PGRM	<200KW PV	152854	Competitive	Y	Sustainable Energy Developments Inc.	<200KW PV	3/24/2020	\$9,960	\$9,960	\$9,960	\$9,960
PGRM	<200KW PV	152857	Competitive	Y	Bright Power, Inc.	<200KW PV	3/24/2020	\$10,890	\$10,890	\$5,445	\$5,445
PGRM	<200KW PV	152869	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	3/25/2020	\$32,292	\$32,292	\$32,292	\$32,292
PGRM	<200KW PV	152899	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	3/25/2020		\$6,832	\$6,832	\$6,832
PGRM	<200KW PV	152914	Competitive	Y	TM Montante Solar Developments, LLC	PON 2112 NY SUN	3/26/2020	\$97,686	\$97,686	\$92,259	\$92,259
PGRM	<200KW PV	152926	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	3/26/2020	\$36,720	\$36,720		
PGRM	<200KW PV	152930	Competitive	Y	QwkSolar, LLC	<200KW PV	3/26/2020	\$10,363	\$10,363	\$10,363	\$10,363
PGRM	<200KW PV	152940	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	3/27/2020		\$5,119	\$5,119	\$5,119
PGRM	<200KW PV	152947	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	3/27/2020	\$8,829	\$8,829	\$8,829	\$8,829
PGRM	<200KW PV	152958	Competitive	Y	American Energy Care, Inc.	<200KW PV	3/27/2020	\$6,802	\$6,802	\$6,802	\$6,802
PGRM	<200KW PV	152991	Competitive	Y	QwkSolar, LLC	PON 2112 NY SUN	3/30/2020	\$8,547	\$8,547	\$8,547	\$8,547
PGRM	<200KW PV	153008	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	3/31/2020		\$5,688	\$5,688	\$5,688
PGRM	<200KW PV	153023	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	3/31/2020		\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	153027	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	3/31/2020		\$6,720		
PGRM	<200KW PV	153031	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	3/31/2020	\$7,595	\$7,595	\$7,595	\$7,595
PGRM	<200KW PV	153056	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/1/2020	\$5,159	\$5,159	\$5,159	\$5,159
PGRM	<200KW PV	153068	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/1/2020	\$6,256	\$6,256	\$6,256	\$6,256
PGRM	<200KW PV	153079	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	4/1/2020	\$6,006	\$6,006		
PGRM	<200KW PV	153084	Competitive	Y	Jordan Energy and Food Enterprises LLC	PON 2112 NY SUN	4/1/2020	\$10,863	\$10,863	\$10,863	\$10,863
PGRM	<200KW PV	153136	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	4/2/2020	\$21,656	\$21,656	\$21,656	\$21,656
PGRM	<200KW PV	153154	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	4/3/2020	\$5,023	\$5,023	\$5,023	\$5,023
PGRM	<200KW PV	153160	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	4/3/2020	\$6,006	\$6,006		
PGRM	<200KW PV	153163	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	4/3/2020	\$5,642	\$5,642	\$5,642	\$5,642
PGRM	<200KW PV	153195	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	4/6/2020	\$34,128	\$34,128	\$34,128	\$34,128
PGRM	<200KW PV	153205	Competitive	Y	Vivint Solar	PON 2112 NY SUN	4/6/2020	\$6,195	\$6,195		
PGRM	<200KW PV	153269	Competitive	Y	Nickels Energy Solutions LLC	PON 2112 NY SUN	4/7/2020	\$8,736	\$8,736	\$8,736	\$8,736
PGRM	<200KW PV	153271	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/7/2020	\$5,005	\$5,005	\$5,005	\$5,005
PGRM	<200KW PV	153314	Competitive	Y	Vivint Solar	PON 2112 NY SUN	4/8/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	153322	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/8/2020	\$6,272	\$6,272	\$6,272	\$6,272
PGRM	<200KW PV	153388	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	4/13/2020	\$5,600	\$5,600	\$5,600	\$5,600
PGRM	<200KW PV	153416	Competitive	Y	Vivint Solar	PON 2112 NY SUN	4/13/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	153481	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/15/2020	\$5,574	\$5,574	\$5,574	\$5,574
PGRM	<200KW PV	153484	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	4/15/2020	\$8,750	\$8,750	\$6,431	\$6,431
PGRM	<200KW PV	153485	Competitive	Y	Bright Power, Inc.	<200KW PV	4/15/2020	\$45,000	\$45,000		
PGRM	<200KW PV	153497	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	4/16/2020	\$10,800	\$10,800	\$10,800	\$10,800
PGRM	<200KW PV	153514	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	4/16/2020	\$5,317	\$5,317	\$5,317	\$5,317
PGRM	<200KW PV	153549	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	4/17/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	153570	Competitive	Y	Bright Power, Inc.	<200KW PV	4/17/2020	\$38,880	\$38,880		
PGRM	<200KW PV	153583	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	4/20/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	153586	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	4/20/2020	\$19,148	\$19,148	\$0	\$0
PGRM	<200KW PV	153600	Competitive	Y	Bright Power, Inc.	<200KW PV	4/20/2020	\$38,520	\$38,520		
PGRM	<200KW PV	153602	Competitive	Y	Bright Power, Inc.	<200KW PV	4/20/2020	\$42,840	\$42,840		
PGRM	<200KW PV	153625	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	4/21/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	153628	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/21/2020	\$5,801	\$5,801	\$5,801	\$5,801
PGRM	<200KW PV	153650	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	4/22/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	<200KW PV	153653	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	4/22/2020	\$6,956	\$6,956	\$6,956	\$6,956

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date					
PGRM	<200KW PV	153714	Competitive	Y	Nickels Energy Solutions LLC	PON 2112 NY SUN	4/27/2020	\$5,292	\$5,292		\$5,292	\$5,292					
PGRM	<200KW PV	153716	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	4/27/2020	\$6,468	\$6,468		\$6,468	\$6,468					
PGRM	<200KW PV	153718	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	4/27/2020	\$8,640	\$8,640		\$8,640	\$8,640					
PGRM	<200KW PV	153720	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	4/27/2020	\$5,180	\$5,180		\$0	\$0					
PGRM	<200KW PV	153724	Competitive	Y	Kasselman Solar LLC	<200KW PV	4/27/2020	\$5,472	\$5,472		\$5,472	\$5,472					
PGRM	<200KW PV	153763	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	4/29/2020	\$69,822	\$69,822		\$69,822	\$69,822					
PGRM	<200KW PV	153776	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	4/29/2020	\$5,159	\$5,159		\$5,159	\$5,159					
PGRM	<200KW PV	153803	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	4/30/2020	\$10,773	\$10,773		\$10,773	\$10,773					
PGRM	<200KW PV	153812	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	4/30/2020	\$5,831	\$5,831								
PGRM	<200KW PV	153827	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$83,160	\$83,160								
PGRM	<200KW PV	153828	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$102,960	\$102,960								
PGRM	<200KW PV	153830	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$71,610	\$71,610								
PGRM	<200KW PV	153831	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$135,720	\$135,720								
PGRM	<200KW PV	153832	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$132,990	\$132,990								
PGRM	<200KW PV	153859	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$104,720	\$104,720								
PGRM	<200KW PV	153860	Competitive	Y	AltPOWER, Inc.	<200KW PV	4/30/2020	\$135,720	\$135,720								
PGRM	<200KW PV	153924	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	5/1/2020	\$7,945	\$7,945								
PGRM	<200KW PV	153935	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/1/2020	\$96,250	\$96,250								
PGRM	<200KW PV	153944	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/1/2020	\$57,750	\$57,750								
PGRM	<200KW PV	153954	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/4/2020	\$7,105	\$7,105		\$7,105	\$7,105					
PGRM	<200KW PV	153959	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	5/4/2020	\$7,056	\$7,056		\$6,832	\$6,832					
PGRM	<200KW PV	153969	Competitive	Y	Harvest Power, LLC	>200KW PV	5/4/2020	\$7,800	\$7,800								
PGRM	<200KW PV	153971	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	5/5/2020	\$5,880	\$5,880		\$5,880	\$5,880					
PGRM	<200KW PV	153994	Competitive	Y	Hudson Valley Clean Energy Inc.	<200KW PV	5/5/2020	\$97,767	\$97,767		\$97,767	\$97,767					
PGRM	<200KW PV	154008	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/6/2020	\$5,670	\$5,670		\$5,670	\$5,670					
PGRM	<200KW PV	154010	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	5/6/2020	\$99,045	\$99,045		\$99,045	\$99,045					
PGRM	<200KW PV	154035	Competitive	Y	Seed Renewables Inc.	<200KW PV	5/6/2020	\$6,264	\$6,264		\$6,264	\$6,264					
PGRM	<200KW PV	154041	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/7/2020	\$6,783	\$6,783								
PGRM	<200KW PV	154060	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/8/2020	\$8,750	\$8,750								
PGRM	<200KW PV	154082	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	5/11/2020	\$10,800	\$10,800		\$10,800	\$10,800					
PGRM	<200KW PV	154091	Competitive	Y	Kasselman Solar LLC	<200KW PV	5/11/2020	\$5,472	\$5,472		\$5,472	\$5,472					
PGRM	<200KW PV	154093	Competitive	Y	Generate Capital Inc.	<200KW PV	5/11/2020	\$120,870	\$120,870								
PGRM	<200KW PV	154096	Competitive	Y	Generate Capital Inc.	<200KW PV	5/11/2020	\$126,400	\$126,400								
PGRM	<200KW PV	154097	Competitive	Y	Generate Capital Inc.	<200KW PV	5/11/2020	\$118,105	\$118,105								
PGRM	<200KW PV	154098	Competitive	Y	Generate Capital Inc.	<200KW PV	5/11/2020	\$93,615	\$93,615								
PGRM	<200KW PV	154118	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/12/2020	\$5,390	\$5,390		\$5,390	\$5,390					
PGRM	<200KW PV	154122	Competitive	Y	Generate Capital Inc.	<200KW PV	5/12/2020	\$156,815	\$156,815								
PGRM	<200KW PV	154124	Competitive	Y	Generate Capital Inc.	<200KW PV	5/12/2020	\$80,185	\$80,185								
PGRM	<200KW PV	154126	Competitive	Y	Generate Capital Inc.	<200KW PV	5/12/2020	\$94,405	\$94,405								
PGRM	<200KW PV	154127	Competitive	Y	Generate Capital Inc.	<200KW PV	5/12/2020	\$217,645	\$217,645								
PGRM	<200KW PV	154128	Competitive	Y	Generate Capital Inc.	<200KW PV	5/12/2020	\$92,035	\$92,035								
PGRM	<200KW PV	154137	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	5/13/2020	\$5,880	\$5,880		\$5,880	\$5,880					
PGRM	<200KW PV	154139	Competitive	Y	QwikSolar, LLC	PON 2112 NY SUN	5/13/2020	\$6,720	\$6,720		\$6,720	\$6,720					
PGRM	<200KW PV	154143	Competitive	Y	QwikSolar, LLC	PON 2112 NY SUN	5/13/2020	\$6,720	\$6,720		\$6,720	\$6,720					
PGRM	<200KW PV	154171	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	5/14/2020	\$11,198	\$11,198		\$11,198	\$11,198					
PGRM	<200KW PV	154193	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	5/15/2020	\$8,048	\$8,048		\$7,938	\$7,938					
PGRM	<200KW PV	154212	Competitive	Y	Generate Capital Inc.	<200KW PV	5/15/2020	\$99,540	\$99,540								
PGRM	<200KW PV	154213	Competitive	Y	Generate Capital Inc.	<200KW PV	5/15/2020	\$150,100	\$150,100								
PGRM	<200KW PV	154232	Competitive	Y	Ecovis, Inc.	PON 2112 NY SUN	5/18/2020	\$5,255	\$5,255		\$5,255	\$5,255					
PGRM	<200KW PV	154233	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	5/18/2020	\$46,967	\$46,967		\$46,967	\$46,967					
PGRM	<200KW PV	154242	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	5/19/2020	\$5,635	\$5,635		\$5,635	\$5,635					
PGRM	<200KW PV	154252	Competitive	Y	George E Denmark II	PON 2112 NY SUN	5/19/2020	\$6,272	\$6,272		\$6,272	\$6,272					
PGRM	<200KW PV	154253	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	5/19/2020	\$246,861	\$246,861								
PGRM	<200KW PV	154254	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	5/19/2020	\$213,098	\$213,098								
PGRM	<200KW PV	154256	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	5/19/2020	\$96,480	\$96,480								
PGRM	<200KW PV	154257	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	5/19/2020	\$6,783	\$6,783								
PGRM	<200KW PV	154260	Competitive	Y	Generate Capital Inc.	<200KW PV	5/19/2020	\$126,400	\$126,400								
PGRM	<200KW PV	154262	Competitive	Y	Generate Capital Inc.	<200KW PV	5/19/2020	\$123,240	\$123,240								
PGRM	<200KW PV	154264	Competitive	Y	Generate Capital Inc.	<200KW PV	5/19/2020	\$140,620	\$140,620								
PGRM	<200KW PV	154266	Competitive	Y	Generate Capital Inc.	<200KW PV	5/19/2020	\$129,600	\$129,600								
PGRM	<200KW PV	154267	Competitive	Y	Generate Capital Inc.	<200KW PV	5/19/2020	\$225,150	\$225,150								
PGRM	<200KW PV	154308	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	5/21/2020	\$73,080	\$73,080								
PGRM	<200KW PV	154311	Competitive	Y	Ecovis, Inc.	<200KW PV	5/21/2020	\$7,392	\$7,392		\$7,392	\$7,392					
PGRM	<200KW PV	154323	Competitive	Y	Hudson Valley Clean Energy Inc.	NY-SUN	5/21/2020	\$503,213	\$503,213		\$503,213	\$503,213					
PGRM	<200KW PV	154332	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	5/22/2020	\$6,083	\$6,083		\$6,083	\$6,083					
PGRM	<200KW PV	154376	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	5/26/2020	\$5,072	\$5,072		\$5,072	\$5,072					
PGRM	<200KW PV	154384	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	5/26/2020	\$5,723	\$5,723		\$5,723	\$5,723					
PGRM	<200KW PV	154403	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	5/27/2020	\$65,664	\$65,664		\$65,664	\$65,664					
PGRM	<200KW PV	154407	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	5/27/2020	\$7,000	\$7,000		\$7,000	\$7,000					
PGRM	<200KW PV	154410	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	5/27/2020	\$5,600	\$5,600		\$5,600	\$5,600					
PGRM	<200KW PV	154419	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$116,610	\$116,610								
PGRM	<200KW PV	154421	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$82,095	\$82,095								
PGRM	<200KW PV	154423	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$87,360	\$87,360								
PGRM	<200KW PV	154424	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$110,370	\$110,370								
PGRM	<200KW PV	154425	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$131,040	\$131,040								
PGRM	<200KW PV	154426	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/27/2020	\$324,675	\$324,675								
PGRM	<200KW PV	154432	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	5/28/2020	\$7,472	\$7,472		\$7,472	\$7,472					
PGRM	<200KW PV	154443	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	5/28/2020	\$5,712	\$5,712								
PGRM	<200KW PV	154447	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	5/28/2020	\$8,064	\$8,064		\$8,064	\$8,064					
PGRM	<200KW PV	154449	Competitive	Y	Autonomous Energies LLC	PON 2112 NY SUN	5/28/2020	\$31,680	\$31,680		\$31,680	\$31,680					
PGRM	<200KW PV	154452	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	5/28/2020	\$5,390	\$5,390		\$5,390	\$5,390					

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	154453	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/28/2020	\$109,200	\$109,200		
PGRM	<200KW PV	154454	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/28/2020	\$93,405	\$93,405		
PGRM	<200KW PV	154455	Competitive	Y	AltPOWER, Inc.	<200KW PV	5/28/2020	\$58,305	\$58,305		
PGRM	<200KW PV	154465	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	5/29/2020	\$109,226	\$109,226	\$108,880	\$108,880
PGRM	<200KW PV	154480	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	5/29/2020	\$8,750	\$8,750	\$0	\$0
PGRM	<200KW PV	154482	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	5/29/2020	\$7,189	\$7,189		
PGRM	<200KW PV	154496	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	5/29/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	154509	Competitive	Y	Abundant Solar Power Inc.	PON 2112 NY SUN	6/1/2020	\$175,275	\$175,275		
PGRM	<200KW PV	154510	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	6/1/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	154514	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	6/1/2020	\$10,800	\$10,800		
PGRM	<200KW PV	154516	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/1/2020	\$79,799	\$79,799		
PGRM	<200KW PV	154518	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	6/1/2020	\$8,710	\$8,710	\$8,710	\$8,710
PGRM	<200KW PV	154534	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	6/1/2020	\$7,394	\$7,394	\$7,394	\$7,394
PGRM	<200KW PV	154535	Competitive	Y	Autonomous Energies LLC	PON 2112 NY SUN	6/1/2020	\$16,920	\$16,920	\$16,920	\$16,920
PGRM	<200KW PV	154540	Competitive	Y	Generate Capital Inc.	<200KW PV	6/1/2020	\$372,600	\$372,600		
PGRM	<200KW PV	154541	Competitive	Y	Generate Capital Inc.	<200KW PV	6/1/2020	\$110,205	\$110,205		
PGRM	<200KW PV	154542	Competitive	Y	Generate Capital Inc.	<200KW PV	6/1/2020	\$275,710	\$275,710		
PGRM	<200KW PV	154543	Competitive	Y	Generate Capital Inc.	<200KW PV	6/1/2020	\$263,408	\$263,408		
PGRM	<200KW PV	154553	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/2/2020	\$8,698	\$8,698	\$8,698	\$8,698
PGRM	<200KW PV	154555	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	6/2/2020	\$5,460	\$5,460	\$0	\$0
PGRM	<200KW PV	154558	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	6/2/2020	\$5,733	\$5,733	\$5,733	\$5,733
PGRM	<200KW PV	154565	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	6/2/2020	\$7,280	\$7,280	\$7,280	\$7,280
PGRM	<200KW PV	154568	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	6/2/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	154571	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$23,100	\$23,100		
PGRM	<200KW PV	154595	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	6/3/2020	\$5,511	\$5,511	\$5,511	\$5,511
PGRM	<200KW PV	154613	Competitive	Y	Buzzard's Ridge Group LLC	PON 2112 NY SUN	6/3/2020	\$6,405	\$6,405	\$6,405	\$6,405
PGRM	<200KW PV	154621	Competitive	Y	AltPOWER, Inc.	<200KW PV	6/3/2020	\$106,470	\$106,470		
PGRM	<200KW PV	154622	Competitive	Y	AltPOWER, Inc.	<200KW PV	6/3/2020	\$98,280	\$98,280		
PGRM	<200KW PV	154624	Competitive	Y	AltPOWER, Inc.	<200KW PV	6/3/2020	\$143,325	\$143,325		
PGRM	<200KW PV	154625	Competitive	Y	AltPOWER, Inc.	<200KW PV	6/3/2020	\$86,385	\$86,385		
PGRM	<200KW PV	154637	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	6/4/2020	\$26,334	\$26,334	\$26,334	\$26,334
PGRM	<200KW PV	154662	Competitive	Y	770 Electric Corp.	>200KW PV	6/4/2020	\$11,310	\$11,310	\$11,310	\$11,310
PGRM	<200KW PV	154663	Competitive	Y	Viola Homes Inc.	<200KW PV	6/4/2020	\$8,308	\$8,308		
PGRM	<200KW PV	154664	Competitive	Y	Viola Homes Inc.	<200KW PV	6/4/2020	\$9,078	\$9,078	\$0	\$0
PGRM	<200KW PV	154698	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	6/8/2020	\$7,643	\$7,643	\$7,643	\$7,643
PGRM	<200KW PV	154715	Competitive	Y	Distributed Solar Operations, LLC	<200KW PV	6/8/2020	\$185,256	\$185,256		
PGRM	<200KW PV	154716	Competitive	Y	Distributed Solar Operations, LLC	<200KW PV	6/8/2020	\$137,448	\$137,448		
PGRM	<200KW PV	154724	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	6/9/2020	\$8,750	\$8,750		
PGRM	<200KW PV	154737	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/9/2020	\$6,006	\$6,006		
PGRM	<200KW PV	154740	Competitive	Y	Hudson River Renewables	PON 2112 NY SUN	6/9/2020	\$6,461	\$6,461	\$6,461	\$6,461
PGRM	<200KW PV	154759	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	6/10/2020	\$7,897	\$7,897	\$7,897	\$7,897
PGRM	<200KW PV	154774	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/10/2020	\$8,624	\$8,624		
PGRM	<200KW PV	154778	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	6/10/2020	\$8,512	\$8,512	\$8,512	\$8,512
PGRM	<200KW PV	154811	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	6/11/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	154827	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/12/2020	\$6,804	\$6,804		
PGRM	<200KW PV	154830	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/12/2020	\$5,036	\$5,036	\$4,807	\$4,807
PGRM	<200KW PV	154856	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	6/12/2020	\$9,315	\$9,315	\$9,315	\$9,315
PGRM	<200KW PV	154862	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/12/2020	\$8,085	\$8,085	\$8,085	\$8,085
PGRM	<200KW PV	154881	Competitive	Y	770 Electric Corp.	>200KW PV	6/12/2020	\$15,800	\$15,800		
PGRM	<200KW PV	154886	Competitive	Y	Grid City Electric Corp	>200KW PV	6/12/2020	\$22,120	\$22,120		
PGRM	<200KW PV	154900	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	6/15/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	154929	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	6/16/2020	\$6,484	\$6,484	\$6,484	\$6,484
PGRM	<200KW PV	154970	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	6/17/2020	\$92,430	\$92,430	\$92,430	\$92,430
PGRM	<200KW PV	154984	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	6/17/2020	\$45,045	\$45,045	\$45,045	\$45,045
PGRM	<200KW PV	155011	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	6/18/2020	\$12,312	\$12,312	\$12,312	\$12,312
PGRM	<200KW PV	155059	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/22/2020	\$8,624	\$8,624	\$8,624	\$8,624
PGRM	<200KW PV	155061	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	6/22/2020	\$5,072	\$5,072	\$5,072	\$5,072
PGRM	<200KW PV	155070	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	6/22/2020	\$5,276	\$5,276	\$5,276	\$5,276
PGRM	<200KW PV	155074	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/22/2020	\$6,468	\$6,468		
PGRM	<200KW PV	155075	Competitive	Y	J&A Electrical Construction LLC	PON 2112 NY SUN	6/22/2020	\$6,801	\$6,801	\$6,801	\$6,801
PGRM	<200KW PV	155083	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	6/23/2020	\$14,216	\$14,216	\$14,216	\$14,216
PGRM	<200KW PV	155100	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	6/23/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	155103	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	6/23/2020	\$5,429	\$5,429	\$5,429	\$5,429
PGRM	<200KW PV	155106	Competitive	Y	Hudson River Renewables	<200KW PV	6/23/2020	\$6,336	\$6,336	\$6,336	\$6,336
PGRM	<200KW PV	155108	Competitive	Y	Bright Power, Inc.	>200KW PV	6/23/2020	\$218,496	\$218,496	\$218,496	\$218,496
PGRM	<200KW PV	155135	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	6/24/2020	\$233,325	\$233,325		
PGRM	<200KW PV	155150	Competitive	Y	Wiltshire Renewable Energy Systems, LLC	<200KW PV	6/24/2020	\$8,976	\$8,976	\$8,976	\$8,976
PGRM	<200KW PV	155168	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	6/25/2020	\$6,125	\$6,125	\$6,125	\$6,125
PGRM	<200KW PV	155199	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/26/2020	\$8,624	\$8,624	\$8,624	\$8,624
PGRM	<200KW PV	155204	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	6/26/2020	\$7,826	\$7,826	\$7,826	\$7,826
PGRM	<200KW PV	155207	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	6/26/2020	\$5,929	\$5,929		
PGRM	<200KW PV	155248	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	6/29/2020	\$6,524	\$6,524	\$6,524	\$6,524
PGRM	<200KW PV	155265	Competitive	Y	Upstate Alternative Energy	PON 2112 NY SUN	6/29/2020	\$6,440	\$6,440	\$6,440	\$6,440
PGRM	<200KW PV	155279	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	6/30/2020	\$6,694	\$6,694	\$6,694	\$6,694
PGRM	<200KW PV	155293	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	6/30/2020	\$6,867	\$6,867	\$6,867	\$6,867
PGRM	<200KW PV	155312	Competitive	Y	Kasselman Solar LLC	<200KW PV	6/30/2020	\$6,944	\$6,944	\$6,944	\$6,944
PGRM	<200KW PV	155313	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	6/30/2020	\$7,800	\$7,800	\$7,800	\$7,800
PGRM	<200KW PV	155314	Competitive	Y	Bright Power, Inc.	>200KW PV	6/30/2020	\$65,880	\$65,880		
PGRM	<200KW PV	155338	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	7/1/2020	\$5,117	\$5,117	\$5,117	\$5,117
PGRM	<200KW PV	155370	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	7/2/2020	\$5,828	\$5,828	\$5,828	\$5,828
PGRM	<200KW PV	155374	Competitive	Y	Green Volt Solutions LLC	PON 2112 NY SUN	7/2/2020	\$19,170	\$19,170	\$19,170	\$19,170

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PGRM	<200KW PV	155401	Competitive	Y	PlugPV, LLC	<200KW PV	7/2/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	155403	Competitive	Y	Bright Power, Inc.	>200KW PV	7/2/2020	\$73,440	\$73,440		
PGRM	<200KW PV	155405	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	7/3/2020	\$8,750	\$8,750		
PGRM	<200KW PV	155416	Competitive	Y	Bashing Panels LLC	PON 2112 NY SUN	7/3/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	155421	Competitive	Y	Sunnova Energy Corp	PON 2112 NY SUN	7/3/2020	\$5,264	\$5,264	\$5,264	\$5,264
PGRM	<200KW PV	155428	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	7/3/2020	\$6,468	\$6,468		
PGRM	<200KW PV	155457	Competitive	Y	Vivint Solar	PON 2112 NY SUN	7/6/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	155526	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	7/8/2020	\$5,320	\$5,320	\$5,320	\$5,320
PGRM	<200KW PV	155528	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	7/8/2020	\$7,938	\$7,938	\$7,938	\$7,938
PGRM	<200KW PV	155539	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	7/8/2020	\$5,987	\$5,987	\$5,987	\$5,987
PGRM	<200KW PV	155540	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	7/8/2020	\$5,394	\$5,394	\$5,394	\$5,394
PGRM	<200KW PV	155578	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	7/9/2020	\$5,310	\$5,310	\$5,310	\$5,310
PGRM	<200KW PV	155587	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	7/9/2020	\$30,951	\$30,951	\$30,951	\$30,951
PGRM	<200KW PV	155596	Competitive	Y	Accord Power, Inc.	<200KW PV	7/9/2020	\$6,240	\$6,240		
PGRM	<200KW PV	155610	Competitive	Y	Novel Approaches Solar Applications, LLC	PON 2112 NY SUN	7/10/2020	\$14,400	\$14,400	\$14,400	\$14,400
PGRM	<200KW PV	155625	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	7/10/2020	\$137,705	\$137,705		
PGRM	<200KW PV	155636	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	7/10/2020	\$5,429	\$5,429	\$5,429	\$5,429
PGRM	<200KW PV	155642	Competitive	Y	Accord Power, Inc.	>200KW PV	7/10/2020	\$66,300	\$66,300		
PGRM	<200KW PV	155650	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	7/13/2020	\$5,117	\$5,117	\$5,117	\$5,117
PGRM	<200KW PV	155654	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	7/13/2020	\$14,256	\$14,256	\$14,256	\$14,256
PGRM	<200KW PV	155670	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	7/13/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	155826	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	7/15/2020	\$9,768	\$9,768		
PGRM	<200KW PV	155835	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	7/16/2020	\$8,624	\$8,624	\$8,624	\$8,624
PGRM	<200KW PV	155859	Competitive	Y	Abundant Solar Power Inc.	PON 2112 NY SUN	7/16/2020	\$123,188	\$123,188		
PGRM	<200KW PV	155877	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155878	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155880	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155881	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155882	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155883	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155884	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	7/16/2020	\$6,468	\$6,468		
PGRM	<200KW PV	155885	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$23,400	\$23,400		
PGRM	<200KW PV	155887	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$23,760	\$23,760		
PGRM	<200KW PV	155888	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155889	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$23,760	\$23,760		
PGRM	<200KW PV	155890	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	155891	Competitive	Y	Active Solar Development, LLC	PON 2112 NY SUN	7/16/2020	\$25,920	\$25,920		
PGRM	<200KW PV	156000	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	7/21/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	156009	Competitive	Y	J&A Electrical Construction LLC	<200KW PV	7/21/2020	\$6,968	\$6,968	\$6,968	\$6,968
PGRM	<200KW PV	156039	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	7/22/2020	\$6,180	\$6,180	\$6,180	\$6,180
PGRM	<200KW PV	156043	Competitive	Y	Bright Power, Inc.	<200KW PV	7/22/2020	\$65,160	\$65,160		
PGRM	<200KW PV	156044	Competitive	Y	Bright Power, Inc.	<200KW PV	7/22/2020	\$63,720	\$63,720		
PGRM	<200KW PV	156045	Competitive	Y	Bright Power, Inc.	<200KW PV	7/22/2020	\$61,560	\$61,560		
PGRM	<200KW PV	156047	Competitive	Y	Bright Power, Inc.	<200KW PV	7/22/2020	\$63,720	\$63,720		
PGRM	<200KW PV	156084	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	7/23/2020	\$6,101	\$6,101	\$6,101	\$6,101
PGRM	<200KW PV	156086	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	7/23/2020	\$7,616	\$7,616	\$7,616	\$7,616
PGRM	<200KW PV	156100	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	7/23/2020	\$6,783	\$6,783	\$6,783	\$6,783
PGRM	<200KW PV	156109	Competitive	Y	Solar and Wind FX, Inc. (Chris Schaefer)	PON 2112 NY SUN	7/23/2020	\$8,750	\$8,750		
PGRM	<200KW PV	156143	Competitive	Y	Bright Power, Inc.	<200KW PV	7/23/2020	\$65,520	\$65,520		
PGRM	<200KW PV	156144	Competitive	Y	Bright Power, Inc.	<200KW PV	7/23/2020	\$63,720	\$63,720		
PGRM	<200KW PV	156146	Competitive	Y	Bright Power, Inc.	<200KW PV	7/23/2020	\$58,320	\$58,320	\$58,320	\$58,320
PGRM	<200KW PV	156147	Competitive	Y	Bright Power, Inc.	<200KW PV	7/23/2020	\$55,440	\$55,440		
PGRM	<200KW PV	156168	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	7/24/2020	\$233,341	\$233,341	\$233,341	\$233,341
PGRM	<200KW PV	156186	Competitive	Y	Apex Solar Power LLC	<200KW PV	7/24/2020	\$16,896	\$16,896		
PGRM	<200KW PV	156188	Competitive	Y	Bright Power, Inc.	<200KW PV	7/24/2020	\$75,960	\$75,960	\$75,960	\$75,960
PGRM	<200KW PV	156189	Competitive	Y	Bright Power, Inc.	<200KW PV	7/24/2020	\$84,240	\$84,240	\$84,240	\$84,240
PGRM	<200KW PV	156202	Competitive	Y	Vivint Solar	PON 2112 NY SUN	7/27/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	156257	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	7/28/2020	\$5,072	\$5,072	\$5,072	\$5,072
PGRM	<200KW PV	156279	Competitive	Y	Bright Power, Inc.	<200KW PV	7/28/2020	\$78,480	\$78,480	\$78,480	\$78,480
PGRM	<200KW PV	156280	Competitive	Y	Bright Power, Inc.	>200KW PV	7/28/2020	\$96,840	\$96,840		
PGRM	<200KW PV	156281	Competitive	Y	Bright Power, Inc.	>200KW PV	7/28/2020	\$137,160	\$137,160		
PGRM	<200KW PV	156282	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	7/28/2020	\$6,656	\$6,656		
PGRM	<200KW PV	156300	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	7/29/2020	\$245,412	\$245,412		
PGRM	<200KW PV	156304	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	7/29/2020	\$7,280	\$7,280	\$7,280	\$7,280
PGRM	<200KW PV	156307	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	7/29/2020	\$5,264	\$5,264		
PGRM	<200KW PV	156314	Competitive	Y	Solcius, LLC	PON 2112 NY SUN	7/29/2020	\$5,208	\$5,208	\$5,208	\$5,208
PGRM	<200KW PV	156315	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/29/2020	\$8,738	\$8,738	\$8,738	\$8,738
PGRM	<200KW PV	156321	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	7/29/2020	\$5,192	\$5,192	\$5,192	\$5,192
PGRM	<200KW PV	156322	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	7/29/2020	\$5,150	\$5,150	\$5,150	\$5,150
PGRM	<200KW PV	156344	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	7/30/2020	\$10,440	\$10,440	\$10,440	\$10,440
PGRM	<200KW PV	156346	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	7/30/2020	\$6,636	\$6,636	\$6,636	\$6,636
PGRM	<200KW PV	156361	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	7/30/2020	\$78,840	\$78,840		
PGRM	<200KW PV	156366	Competitive	Y	Powerfutions, LLC	PON 2112 NY SUN	7/30/2020	\$7,394	\$7,394	\$7,394	\$7,394
PGRM	<200KW PV	156369	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	7/30/2020	\$15,210	\$15,210		
PGRM	<200KW PV	156395	Competitive	Y	NJP Consulting Inc.	<200KW PV	7/30/2020	\$14,204	\$14,204		
PGRM	<200KW PV	156407	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	7/31/2020	\$5,184	\$5,184		
PGRM	<200KW PV	156438	Competitive	Y	Nickels Energy Solutions LLC	PON 2112 NY SUN	7/31/2020	\$8,710	\$8,710	\$8,710	\$8,710
PGRM	<200KW PV	156440	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	7/31/2020	\$5,775	\$5,775	\$5,775	\$5,775
PGRM	<200KW PV	156472	Competitive	Y	American Energy Care, Inc.	<200KW PV	7/31/2020	\$13,080	\$13,080	\$13,080	\$13,080
PGRM	<200KW PV	156473	Competitive	Y	Grid City Electric Corp	>200KW PV	7/31/2020	\$26,640	\$26,640		
PGRM	<200KW PV	156494	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	8/3/2020	\$8,750	\$8,750	\$8,750	\$8,750

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Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	156502	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/3/2020	\$5,280	\$5,280	\$5,280	\$5,280
PGRM	<200KW PV	156507	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/3/2020	\$5,082	\$5,082	\$5,082	\$5,082
PGRM	<200KW PV	156509	Competitive	Y	TM Montante Solar Developments, LLC	PON 2112 NY SUN	8/3/2020	\$325,728	\$325,728	\$325,728	\$325,728
PGRM	<200KW PV	156537	Competitive	Y	770 Electric Corp.	>200KW PV	8/3/2020	\$11,310	\$11,310	\$11,310	\$11,310
PGRM	<200KW PV	156538	Competitive	Y	Harvest Power, LLC	>200KW PV	8/3/2020	\$40,000	\$40,000		
PGRM	<200KW PV	156539	Competitive	Y	Harvest Power, LLC	>200KW PV	8/3/2020	\$36,000	\$36,000		
PGRM	<200KW PV	156540	Competitive	Y	Harvest Power, LLC	>200KW PV	8/3/2020	\$62,000	\$62,000		
PGRM	<200KW PV	156549	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/4/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	156552	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	8/4/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	156587	Competitive	Y	Eiger 3970 Consultants Inc.	NY-SUN	8/4/2020	\$221,730	\$221,730		
PGRM	<200KW PV	156596	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	8/5/2020	\$5,320	\$5,320	\$5,320	\$5,320
PGRM	<200KW PV	156600	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/5/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	156614	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	8/5/2020	\$6,440	\$6,440	\$6,440	\$6,440
PGRM	<200KW PV	156643	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/6/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	156668	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	8/6/2020	\$5,698	\$5,698	\$5,698	\$5,698
PGRM	<200KW PV	156669	Competitive	Y	Vivint Solar	PON 2112 NY SUN	8/6/2020	\$5,260	\$5,260	\$5,260	\$5,260
PGRM	<200KW PV	156671	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/6/2020	\$7,497	\$7,497	\$7,497	\$7,497
PGRM	<200KW PV	156789	Competitive	Y	Max Solar Inc	PON 2112 NY SUN	8/10/2020	\$12,960	\$12,960	\$12,960	\$12,960
PGRM	<200KW PV	156803	Competitive	Y	Bright Power, Inc.	<200KW PV	8/10/2020	\$83,520	\$83,520		
PGRM	<200KW PV	156805	Competitive	Y	Bright Power, Inc.	<200KW PV	8/10/2020	\$82,440	\$82,440		
PGRM	<200KW PV	156807	Competitive	Y	Bright Power, Inc.	>200KW PV	8/10/2020	\$73,080	\$73,080		
PGRM	<200KW PV	156838	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/11/2020	\$5,891	\$5,891	\$5,891	\$5,891
PGRM	<200KW PV	156862	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/12/2020	\$8,736	\$8,736		
PGRM	<200KW PV	156866	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	8/12/2020	\$5,467	\$5,467	\$5,467	\$5,467
PGRM	<200KW PV	156868	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/12/2020	\$6,272	\$6,272	\$6,272	\$6,272
PGRM	<200KW PV	156871	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	8/12/2020	\$7,088	\$7,088	\$7,088	\$7,088
PGRM	<200KW PV	156923	Competitive	Y	ADK Solar	PON 2112 NY SUN	8/13/2020	\$5,229	\$5,229	\$5,166	\$5,166
PGRM	<200KW PV	156971	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	8/14/2020	\$17,280	\$17,280	\$17,280	\$17,280
PGRM	<200KW PV	156982	Competitive	Y	Next Generation Solar, LLC	PON 2112 NY SUN	8/14/2020	\$5,119	\$5,119	\$5,119	\$5,119
PGRM	<200KW PV	156989	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	8/14/2020	\$96,660	\$96,660		
PGRM	<200KW PV	156990	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	8/14/2020	\$5,837	\$5,837	\$5,837	\$5,837
PGRM	<200KW PV	156997	Competitive	Y	Vivint Solar	PON 2112 NY SUN	8/14/2020	\$5,233	\$5,233	\$5,233	\$5,233
PGRM	<200KW PV	157013	Competitive	Y	Hudson River Renewables	PON 2112 NY SUN	8/14/2020	\$6,643	\$6,643	\$6,643	\$6,643
PGRM	<200KW PV	157031	Competitive	Y	ADK Solar	PON 2112 NY SUN	8/17/2020	\$5,229	\$5,229	\$5,166	\$5,166
PGRM	<200KW PV	157060	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/17/2020	\$5,236	\$5,236	\$5,236	\$5,236
PGRM	<200KW PV	157070	Competitive	Y	TM Montante Solar Developments, LLC	PON 2112 NY SUN	8/18/2020	\$335,592	\$335,592	\$325,728	\$325,728
PGRM	<200KW PV	157099	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/18/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	157106	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/18/2020	\$34,560	\$34,560		
PGRM	<200KW PV	157109	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/18/2020	\$35,640	\$35,640		
PGRM	<200KW PV	157110	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/18/2020	\$136,800	\$136,800		
PGRM	<200KW PV	157111	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	8/18/2020	\$5,346	\$5,346	\$5,346	\$5,346
PGRM	<200KW PV	157112	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/18/2020	\$183,420	\$183,420		
PGRM	<200KW PV	157113	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	8/18/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	157146	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	8/19/2020	\$7,783	\$7,783		
PGRM	<200KW PV	157169	Competitive	Y	Albany Solar Solutions L.L.C.	PON 2112 NY SUN	8/19/2020	\$8,694	\$8,694		
PGRM	<200KW PV	157195	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/20/2020	\$56,520	\$56,520		
PGRM	<200KW PV	157196	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/20/2020	\$5,688	\$5,688		
PGRM	<200KW PV	157206	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	8/20/2020	\$10,080	\$10,080	\$10,080	\$10,080
PGRM	<200KW PV	157207	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	8/20/2020	\$7,371	\$7,371	\$7,371	\$7,371
PGRM	<200KW PV	157223	Competitive	Y	Bright Power, Inc.	>200KW PV	8/20/2020	\$86,160	\$86,160		
PGRM	<200KW PV	157233	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	8/21/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	157236	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	8/21/2020	\$5,439	\$5,439	\$5,439	\$5,439
PGRM	<200KW PV	157270	Competitive	Y	Hudson River Renewables	<200KW PV	8/21/2020	\$6,248	\$6,248	\$6,248	\$6,248
PGRM	<200KW PV	157272	Competitive	Y	J Synergy Solar LLC	<200KW PV	8/21/2020	\$6,160	\$6,160	\$6,160	\$6,160
PGRM	<200KW PV	157297	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	8/23/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	157298	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	8/23/2020	\$7,434	\$7,434		
PGRM	<200KW PV	157370	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/27/2020	\$5,110	\$5,110	\$5,110	\$5,110
PGRM	<200KW PV	157389	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	8/27/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	157402	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	8/27/2020	\$8,190	\$8,190	\$8,190	\$8,190
PGRM	<200KW PV	157408	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	8/27/2020	\$43,358	\$43,358		
PGRM	<200KW PV	157423	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	8/27/2020	\$140,760	\$140,760		
PGRM	<200KW PV	157433	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	8/27/2020	\$5,600	\$5,600	\$5,600	\$5,600
PGRM	<200KW PV	157437	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	8/27/2020	\$10,260	\$10,260	\$10,260	\$10,260
PGRM	<200KW PV	157491	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	8/31/2020	\$5,745	\$5,745	\$5,745	\$5,745
PGRM	<200KW PV	157514	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	8/31/2020	\$7,035	\$7,035	\$7,035	\$7,035
PGRM	<200KW PV	157517	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	8/31/2020	\$5,268	\$5,268	\$5,268	\$5,268
PGRM	<200KW PV	157521	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	8/31/2020	\$13,961	\$13,961	\$13,961	\$13,961
PGRM	<200KW PV	157524	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	8/31/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	157528	Competitive	Y	Jordan Energy and Food Enterprises LLC	PON 2112 NY SUN	8/31/2020	\$8,073	\$8,073	\$8,073	\$8,073
PGRM	<200KW PV	157529	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	8/31/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	<200KW PV	157551	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	8/31/2020	\$5,310	\$5,310	\$5,310	\$5,310
PGRM	<200KW PV	157567	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/31/2020	\$5,968	\$5,968		
PGRM	<200KW PV	157607	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/1/2020	\$5,574	\$5,574	\$5,574	\$5,574
PGRM	<200KW PV	157614	Competitive	Y	Centrica Business Solution Services Inc	PON 2112 NY SUN	9/1/2020	\$348,840	\$348,840		
PGRM	<200KW PV	157632	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/1/2020	\$6,122	\$6,122	\$6,122	\$6,122
PGRM	<200KW PV	157683	Competitive	Y	Bright Power, Inc.	<200KW PV	9/2/2020	\$82,440	\$82,440		
PGRM	<200KW PV	157698	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/3/2020	\$6,678	\$6,678	\$6,678	\$6,678
PGRM	<200KW PV	157700	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	9/3/2020	\$336,434	\$336,434		
PGRM	<200KW PV	157706	Competitive	Y	SUNRISE ELECTRICAL SERVICES	PON 2112 NY SUN	9/3/2020	\$285,791	\$285,791		
PGRM	<200KW PV	157723	Competitive	Y	AMERESCO, Inc.	PON 2112 NY SUN	9/3/2020	\$310,680	\$310,680		
PGRM	<200KW PV	157728	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	9/3/2020	\$8,750	\$8,750	\$8,750	\$8,750

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Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	157729	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	9/3/2020	\$337,329	\$337,329		
PGRM	<200KW PV	157746	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/3/2020	\$7,366	\$7,366	\$7,366	\$7,366
PGRM	<200KW PV	157748	Competitive	Y	American Energy Care, Inc.	<200KW PV	9/3/2020	\$15,173	\$15,173	\$15,173	\$15,173
PGRM	<200KW PV	157797	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	9/4/2020	\$6,160	\$6,160	\$6,160	\$6,160
PGRM	<200KW PV	157811	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/4/2020	\$8,201	\$8,201		
PGRM	<200KW PV	157831	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	9/8/2020	\$6,174	\$6,174	\$6,174	\$6,174
PGRM	<200KW PV	157857	Competitive	Y	Vivint Solar	PON 2112 NY SUN	9/8/2020	\$5,065	\$5,065	\$5,065	\$5,065
PGRM	<200KW PV	157912	Competitive	Y	Pioneer Valley Photovoltaics Cooperative	PON 2112 NY SUN	9/9/2020	\$6,048	\$6,048	\$6,048	\$6,048
PGRM	<200KW PV	157922	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	9/9/2020	\$6,531	\$6,531		
PGRM	<200KW PV	157927	Competitive	Y	Buffalo Solar Solutions Inc	<200KW PV	9/9/2020	\$6,912	\$6,912	\$6,912	\$6,912
PGRM	<200KW PV	157931	Competitive	Y	Hudson Valley Clean Energy Inc.	<200KW PV	9/9/2020	\$10,800	\$10,800	\$10,800	\$10,800
PGRM	<200KW PV	157945	Competitive	Y	Crest Solar LLC	PON 2112 NY SUN	9/10/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	157974	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/10/2020	\$5,330	\$5,330	\$5,330	\$5,330
PGRM	<200KW PV	157975	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	9/10/2020	\$5,379	\$5,379	\$5,379	\$5,379
PGRM	<200KW PV	158014	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	9/11/2020	\$12,320	\$12,320	\$12,320	\$12,320
PGRM	<200KW PV	158050	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/11/2020	\$5,119	\$5,119	\$5,119	\$5,119
PGRM	<200KW PV	158059	Competitive	Y	Kasselman Solar LLC	<200KW PV	9/11/2020	\$7,904	\$7,904		
PGRM	<200KW PV	158076	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	9/14/2020	\$6,584	\$6,584	\$6,006	\$6,006
PGRM	<200KW PV	158079	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	9/14/2020	\$182,453	\$182,453		
PGRM	<200KW PV	158083	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	9/14/2020	\$6,160	\$6,160		
PGRM	<200KW PV	158108	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/14/2020	\$5,513	\$5,513	\$5,513	\$5,513
PGRM	<200KW PV	158123	Competitive	Y	FFP Origination, LLC	<200KW PV	9/14/2020	\$590,976	\$590,976		
PGRM	<200KW PV	158173	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/15/2020	\$7,245	\$7,245		
PGRM	<200KW PV	158180	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	9/15/2020	\$6,295	\$6,295		
PGRM	<200KW PV	158181	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	9/15/2020	\$8,694	\$8,694	\$8,694	\$8,694
PGRM	<200KW PV	158183	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	9/15/2020	\$86,751	\$86,751		
PGRM	<200KW PV	158202	Competitive	Y	Sun Source Technologies	<200KW PV	9/15/2020	\$11,520	\$11,520	\$11,520	\$11,520
PGRM	<200KW PV	158309	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	9/17/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	158310	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/17/2020	\$5,670	\$5,670	\$5,670	\$5,670
PGRM	<200KW PV	158413	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	9/18/2020	\$16,590	\$16,590		
PGRM	<200KW PV	158417	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/18/2020	\$11,471	\$11,471	\$11,471	\$11,471
PGRM	<200KW PV	158436	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	9/21/2020	\$7,675	\$7,675	\$7,675	\$7,675
PGRM	<200KW PV	158479	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/21/2020	\$8,694	\$8,694		
PGRM	<200KW PV	158481	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	9/21/2020	\$30,317	\$30,317		
PGRM	<200KW PV	158493	Competitive	Y	American Energy Care, Inc.	<200KW PV	9/21/2020	\$9,156	\$9,156	\$9,156	\$9,156
PGRM	<200KW PV	158496	Competitive	Y	770 Electric Corp.	>200KW PV	9/21/2020	\$32,400	\$32,400	\$32,400	\$32,400
PGRM	<200KW PV	158534	Competitive	Y	Fourth Coast, Inc.	PON 2112 NY SUN	9/22/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	158536	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/22/2020	\$5,005	\$5,005	\$5,005	\$5,005
PGRM	<200KW PV	158537	Competitive	Y	Next Generation Solar, LLC	PON 2112 NY SUN	9/22/2020	\$10,920	\$10,920	\$10,920	\$10,920
PGRM	<200KW PV	158541	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	9/22/2020	\$5,115	\$5,115		
PGRM	<200KW PV	158568	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	9/22/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	158595	Competitive	Y	QwkSolar, LLC	PON 2112 NY SUN	9/23/2020	\$7,280	\$7,280	\$7,280	\$7,280
PGRM	<200KW PV	158716	Competitive	Y	Max Solar Inc	PON 2112 NY SUN	9/24/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	158718	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/24/2020	\$8,750	\$8,750		
PGRM	<200KW PV	158719	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	9/24/2020	\$8,157	\$8,157		
PGRM	<200KW PV	158743	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	9/25/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	158745	Competitive	Y	Ecovis, Inc.	PON 2112 NY SUN	9/25/2020	\$13,745	\$13,745		
PGRM	<200KW PV	158747	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	9/25/2020	\$5,544	\$5,544	\$5,544	\$5,544
PGRM	<200KW PV	158789	Competitive	Y	Halcyon, Inc.	PON 2112 NY SUN	9/25/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	158798	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	9/25/2020	\$6,699	\$6,699	\$6,699	\$6,699
PGRM	<200KW PV	158801	Competitive	Y	Halcyon, Inc.	<200KW PV	9/25/2020	\$5,440	\$5,440		
PGRM	<200KW PV	158855	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/28/2020	\$5,801	\$5,801	\$5,801	\$5,801
PGRM	<200KW PV	158862	Competitive	Y	William Timkey	PON 2112 NY SUN	9/28/2020	\$5,082	\$5,082	\$5,082	\$5,082
PGRM	<200KW PV	158866	Competitive	Y	Cornell NCRE Solar 1 LLC	<200KW PV	9/28/2020	\$85,556	\$85,556		
PGRM	<200KW PV	158868	Competitive	Y	Cornell NCRE Solar 1 LLC	<200KW PV	9/28/2020	\$112,725	\$112,725		
PGRM	<200KW PV	158895	Competitive	Y	Sigora Solar	PON 2112 NY SUN	9/29/2020	\$5,264	\$5,264		
PGRM	<200KW PV	158941	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	9/29/2020	\$5,219	\$5,219	\$5,219	\$5,219
PGRM	<200KW PV	158942	Competitive	Y	Max Solar Inc	PON 2112 NY SUN	9/29/2020	\$6,160	\$6,160	\$6,160	\$6,160
PGRM	<200KW PV	158991	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	10/2/2020	\$8,512	\$8,512	\$8,512	\$8,512
PGRM	<200KW PV	159017	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	10/2/2020	\$7,140	\$7,140	\$7,140	\$7,140
PGRM	<200KW PV	159024	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	10/2/2020	\$7,497	\$7,497	\$7,497	\$7,497
PGRM	<200KW PV	159044	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	10/2/2020	\$6,804	\$6,804	\$6,804	\$6,804
PGRM	<200KW PV	159081	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	10/2/2020	\$86,688	\$86,688		
PGRM	<200KW PV	159084	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	10/2/2020	\$7,735	\$7,735	\$7,735	\$7,735
PGRM	<200KW PV	159088	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	10/2/2020	\$23,917	\$23,917		
PGRM	<200KW PV	159103	Competitive	Y	Cornell NCRE Solar 2 LLC	<200KW PV	10/2/2020	\$92,006	\$92,006		
PGRM	<200KW PV	159113	Competitive	Y	Cornell NCRE Solar 2 LLC	<200KW PV	10/2/2020	\$71,006	\$71,006		
PGRM	<200KW PV	159114	Competitive	Y	Cornell NCRE Solar 2 LLC	<200KW PV	10/2/2020	\$73,631	\$73,631		
PGRM	<200KW PV	159145	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	10/5/2020	\$5,719	\$5,719	\$5,719	\$5,719
PGRM	<200KW PV	159152	Competitive	Y	O'Connell Electric Company	PON 2112 NY SUN	10/5/2020	\$36,225	\$36,225		
PGRM	<200KW PV	159157	Competitive	Y	Paradise Energy Solutions, LLC	<200KW PV	10/5/2020	\$8,000	\$8,000	\$8,000	\$8,000
PGRM	<200KW PV	159158	Competitive	Y	Bright Power, Inc.	>200KW PV	10/5/2020	\$26,400	\$26,400		
PGRM	<200KW PV	159162	Competitive	Y	Bright Power, Inc.	>200KW PV	10/5/2020	\$122,400	\$122,400		
PGRM	<200KW PV	159164	Competitive	Y	Max Solar Inc	<200KW PV	10/5/2020	\$11,520	\$11,520	\$11,520	\$11,520
PGRM	<200KW PV	159197	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	10/7/2020	\$5,150	\$5,150	\$5,150	\$5,150
PGRM	<200KW PV	159198	Competitive	Y	Stellar Renewable Designs, LLC	PON 2112 NY SUN	10/7/2020	\$7,952	\$7,952		
PGRM	<200KW PV	159209	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	10/7/2020	\$5,387	\$5,387	\$5,387	\$5,387
PGRM	<200KW PV	159237	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	10/7/2020	\$7,350	\$7,350		
PGRM	<200KW PV	159244	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	10/7/2020	\$5,313	\$5,313	\$5,313	\$5,313
PGRM	<200KW PV	159250	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	10/7/2020	\$6,468	\$6,468	\$6,468	\$6,468
PGRM	<200KW PV	159253	Competitive	Y	O'Connell Electric Company	PON 2112 NY SUN	10/7/2020	\$17,514	\$17,514	\$17,514	\$17,514

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	159270	Competitive	Y	Erie Solar Gardens LLC	<200KW PV	10/7/2020	\$268,800	\$268,800		
PGRM	<200KW PV	159286	Competitive	Y	Siemens Industry, Inc.	PON 2112 NY SUN	10/8/2020	\$233,436	\$233,436		
PGRM	<200KW PV	159296	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	10/8/2020	\$6,720	\$6,720		
PGRM	<200KW PV	159317	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	10/8/2020	\$21,728	\$21,728		
PGRM	<200KW PV	159354	Competitive	Y	Green Visions Solar LLC	PON 2112 NY SUN	10/9/2020	\$5,460	\$5,460		
PGRM	<200KW PV	159409	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	10/13/2020	\$5,040	\$5,040	\$5,040	\$5,040
PGRM	<200KW PV	159472	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	10/13/2020	\$6,815	\$6,815	\$6,815	\$6,815
PGRM	<200KW PV	159508	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	10/14/2020	\$5,082	\$5,082	\$5,082	\$5,082
PGRM	<200KW PV	159516	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	10/14/2020	\$7,840	\$7,840		
PGRM	<200KW PV	159526	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/14/2020	\$6,143	\$6,143	\$6,143	\$6,143
PGRM	<200KW PV	159548	Competitive	Y	Bright Power, Inc.	>200KW PV	10/14/2020	\$42,240	\$42,240		
PGRM	<200KW PV	159564	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	10/15/2020	\$7,392	\$7,392	\$7,392	\$7,392
PGRM	<200KW PV	159578	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	10/15/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	159608	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	10/15/2020	\$5,200	\$5,200		
PGRM	<200KW PV	159610	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	10/15/2020	\$7,936	\$7,936	\$7,936	\$7,936
PGRM	<200KW PV	159634	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	10/16/2020	\$5,600	\$5,600	\$5,600	\$5,600
PGRM	<200KW PV	159640	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	10/16/2020	\$7,938	\$7,938	\$7,938	\$7,938
PGRM	<200KW PV	159668	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	10/16/2020	\$5,670	\$5,670	\$5,670	\$5,670
PGRM	<200KW PV	159670	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	10/16/2020	\$5,488	\$5,488		
PGRM	<200KW PV	159675	Competitive	Y	Bright Power, Inc.	>200KW PV	10/16/2020	\$83,520	\$83,520		
PGRM	<200KW PV	159679	Competitive	Y	Bright Power, Inc.	>200KW PV	10/16/2020	\$63,360	\$63,360		
PGRM	<200KW PV	159681	Competitive	Y	Bright Power, Inc.	>200KW PV	10/16/2020	\$62,280	\$62,280		
PGRM	<200KW PV	159735	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/21/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	159769	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	10/21/2020	\$6,038	\$6,038	\$6,038	\$6,038
PGRM	<200KW PV	159820	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	10/21/2020	\$6,521	\$6,521	\$6,521	\$6,521
PGRM	<200KW PV	159826	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	10/21/2020	\$13,309	\$13,309		
PGRM	<200KW PV	159854	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	10/22/2020	\$5,608	\$5,608	\$5,608	\$5,608
PGRM	<200KW PV	159872	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	10/22/2020	\$5,000	\$5,000		
PGRM	<200KW PV	159876	Competitive	Y	Sea Bright Solar, LLC	PON 2112 NY SUN	10/22/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	159877	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	10/22/2020	\$5,355	\$5,355		
PGRM	<200KW PV	159894	Competitive	Y	Ecovis, Inc.	<200KW PV	10/22/2020	\$7,488	\$7,488		
PGRM	<200KW PV	159899	Competitive	Y	Hudson Valley Clean Energy Inc.	<200KW PV	10/22/2020	\$5,520	\$5,520	\$5,520	\$5,520
PGRM	<200KW PV	159900	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	10/22/2020	\$5,952	\$5,952		
PGRM	<200KW PV	159910	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	10/23/2020	\$5,236	\$5,236	\$5,236	\$5,236
PGRM	<200KW PV	159920	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	10/23/2020	\$8,120	\$8,120		
PGRM	<200KW PV	159928	Competitive	Y	Doherty Electric, LLC	PON 2112 NY SUN	10/23/2020	\$6,255	\$6,255	\$6,255	\$6,255
PGRM	<200KW PV	159929	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	10/23/2020	\$6,762	\$6,762	\$6,762	\$6,762
PGRM	<200KW PV	159933	Competitive	Y	Skyline Solar Inc.	PON 2112 NY SUN	10/23/2020	\$6,370	\$6,370	\$6,370	\$6,370
PGRM	<200KW PV	159967	Competitive	Y	Bright Power, Inc.	>200KW PV	10/23/2020	\$81,000	\$81,000		
PGRM	<200KW PV	159968	Competitive	Y	Bright Power, Inc.	>200KW PV	10/23/2020	\$87,480	\$87,480		
PGRM	<200KW PV	159969	Competitive	Y	Bright Power, Inc.	>200KW PV	10/23/2020	\$133,980	\$133,980		
PGRM	<200KW PV	159973	Competitive	Y	Hudson River Renewables	PON 2112 NY SUN	10/26/2020	\$6,048	\$6,048		
PGRM	<200KW PV	159989	Competitive	Y	Steed Energy LLC	PON 2112 NY SUN	10/26/2020	\$6,272	\$6,272		
PGRM	<200KW PV	159994	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	10/26/2020	\$5,000	\$5,000		
PGRM	<200KW PV	159996	Competitive	Y	Renovus Energy, Inc.	NY-SUN	10/26/2020	\$181,022	\$181,022		
PGRM	<200KW PV	159997	Competitive	Y	Renovus Energy, Inc.	NY-SUN	10/26/2020	\$157,982	\$157,982		
PGRM	<200KW PV	159998	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	10/26/2020	\$5,624	\$5,624	\$5,624	\$5,624
PGRM	<200KW PV	160007	Competitive	Y	New York State Solar Farm Inc.	<200KW PV	10/27/2020	\$7,219	\$7,219	\$7,219	\$7,219
PGRM	<200KW PV	160015	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	10/27/2020	\$5,110	\$5,110	\$5,110	\$5,110
PGRM	<200KW PV	160037	Competitive	Y	Triangle Electrical Systems, Inc.	PON 2112 NY SUN	10/27/2020	\$5,628	\$5,628	\$5,628	\$5,628
PGRM	<200KW PV	160048	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	10/27/2020	\$127,140	\$127,140		
PGRM	<200KW PV	160067	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/27/2020	\$68,640	\$68,640		
PGRM	<200KW PV	160069	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/27/2020	\$45,864	\$45,864		
PGRM	<200KW PV	160072	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/27/2020	\$45,864	\$45,864		
PGRM	<200KW PV	160074	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/27/2020	\$58,968	\$58,968		
PGRM	<200KW PV	160075	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/27/2020	\$95,004	\$95,004		
PGRM	<200KW PV	160086	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	10/28/2020	\$5,198	\$5,198		
PGRM	<200KW PV	160092	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	10/28/2020	\$7,742	\$7,742		
PGRM	<200KW PV	160108	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	10/28/2020	\$8,750	\$8,750		
PGRM	<200KW PV	160116	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	10/28/2020	\$5,891	\$5,891		
PGRM	<200KW PV	160138	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/28/2020	\$134,628	\$134,628		
PGRM	<200KW PV	160147	Competitive	Y	AltPOWER, Inc.	<200KW PV	10/28/2020	\$76,128	\$76,128		
PGRM	<200KW PV	160164	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	10/29/2020	\$7,742	\$7,742	\$7,742	\$7,742
PGRM	<200KW PV	160178	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	10/29/2020	\$5,117	\$5,117	\$5,117	\$5,117
PGRM	<200KW PV	160196	Competitive	Y	770 Electric Corp.	>200KW PV	10/29/2020	\$23,010	\$23,010	\$23,010	\$23,010
PGRM	<200KW PV	160277	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	11/2/2020	\$5,544	\$5,544		
PGRM	<200KW PV	160289	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/2/2020	\$8,750	\$8,750		
PGRM	<200KW PV	160291	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/2/2020	\$6,619	\$6,619		
PGRM	<200KW PV	160310	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	11/2/2020	\$8,019	\$8,019		
PGRM	<200KW PV	160359	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	11/3/2020	\$5,530	\$5,530		
PGRM	<200KW PV	160397	Competitive	Y	3rd ROC Solar LLC	PON 2112 NY SUN	11/3/2020	\$6,944	\$6,944	\$6,944	\$6,944
PGRM	<200KW PV	160401	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/3/2020	\$6,650	\$6,650	\$6,650	\$6,650
PGRM	<200KW PV	160411	Competitive	Y	Bright Power, Inc.	>200KW PV	11/3/2020	\$127,710	\$127,710		
PGRM	<200KW PV	160413	Competitive	Y	Bright Power, Inc.	>200KW PV	11/3/2020	\$115,560	\$115,560		
PGRM	<200KW PV	160414	Competitive	Y	Hudson Valley Clean Energy Inc.	NY-SUN	11/3/2020	\$267,020	\$267,020		
PGRM	<200KW PV	160444	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/4/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	160479	Competitive	Y	Bright Power, Inc.	>200KW PV	11/4/2020	\$70,920	\$70,920		
PGRM	<200KW PV	160481	Competitive	Y	Bright Power, Inc.	>200KW PV	11/4/2020	\$86,040	\$86,040		
PGRM	<200KW PV	160498	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	11/5/2020	\$7,938	\$7,938	\$7,938	\$7,938
PGRM	<200KW PV	160499	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	11/5/2020	\$5,184	\$5,184		
PGRM	<200KW PV	160505	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	11/5/2020	\$5,493	\$5,493	\$5,493	\$5,493

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	160514	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	11/5/2020	\$7,021	\$7,021		
PGRM	<200KW PV	160516	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	11/5/2020	\$6,346	\$6,346	\$6,346	\$6,346
PGRM	<200KW PV	160519	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	11/5/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	160528	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	11/5/2020	\$5,508	\$5,508		
PGRM	<200KW PV	160544	Competitive	Y	Quixotic Systems, Inc.	>200KW PV	11/6/2020	\$203,808	\$203,808		
PGRM	<200KW PV	160570	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	11/6/2020	\$7,021	\$7,021	\$7,021	\$7,021
PGRM	<200KW PV	160572	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	11/6/2020	\$7,434	\$7,434	\$7,434	\$7,434
PGRM	<200KW PV	160590	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	11/6/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	160605	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	11/9/2020	\$6,237	\$6,237	\$3,812	\$3,812
PGRM	<200KW PV	160606	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	11/9/2020	\$9,418	\$9,418	\$9,418	\$9,418
PGRM	<200KW PV	160622	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/9/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	160634	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	11/9/2020	\$5,198	\$5,198	\$5,198	\$5,198
PGRM	<200KW PV	160662	Competitive	Y	Fingerlakes Renewables	PON 2112 NY SUN	11/10/2020	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	<200KW PV	160665	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	11/10/2020	\$7,749	\$7,749	\$7,749	\$7,749
PGRM	<200KW PV	160677	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	11/10/2020	\$5,670	\$5,670	\$5,670	\$5,670
PGRM	<200KW PV	160700	Competitive	Y	Bright Power, Inc.	>200KW PV	11/10/2020	\$84,600	\$84,600		
PGRM	<200KW PV	160701	Competitive	Y	Bright Power, Inc.	>200KW PV	11/10/2020	\$84,240	\$84,240		
PGRM	<200KW PV	160742	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	11/11/2020	\$5,376	\$5,376	\$5,376	\$5,376
PGRM	<200KW PV	160747	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	11/11/2020	\$6,867	\$6,867	\$6,867	\$6,867
PGRM	<200KW PV	160765	Competitive	Y	Bright Power, Inc.	>200KW PV	11/11/2020	\$83,880	\$83,880		
PGRM	<200KW PV	160790	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/12/2020	\$6,064	\$6,064	\$6,064	\$6,064
PGRM	<200KW PV	160804	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	11/12/2020	\$5,376	\$5,376		
PGRM	<200KW PV	160828	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/13/2020	\$6,370	\$6,370		
PGRM	<200KW PV	160838	Competitive	Y	Kool-Temp Heating & Cooling	PON 2112 NY SUN	11/13/2020	\$8,946	\$8,946		
PGRM	<200KW PV	160849	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/13/2020	\$6,650	\$6,650		
PGRM	<200KW PV	160872	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	11/13/2020	\$5,236	\$5,236	\$5,236	\$5,236
PGRM	<200KW PV	160876	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	11/13/2020	\$5,508	\$5,508		
PGRM	<200KW PV	160882	Competitive	Y	Viola Homes Inc.	PON 2112 NY SUN	11/16/2020	\$8,750	\$8,750		
PGRM	<200KW PV	160884	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	11/16/2020	\$7,161	\$7,161	\$7,161	\$7,161
PGRM	<200KW PV	160887	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	11/16/2020	\$6,307	\$6,307		
PGRM	<200KW PV	160927	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	11/16/2020	\$6,496	\$6,496		
PGRM	<200KW PV	161009	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	11/17/2020	\$5,712	\$5,712		
PGRM	<200KW PV	161020	Competitive	Y	Generate Capital Inc.	<200KW PV	11/17/2020	\$64,780	\$64,780		
PGRM	<200KW PV	161043	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/18/2020	\$5,390	\$5,390	\$5,390	\$5,390
PGRM	<200KW PV	161049	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	11/18/2020	\$8,750	\$8,750		
PGRM	<200KW PV	161084	Competitive	Y	Sol Customer Solutions LLC	<200KW PV	11/18/2020	\$784,908	\$784,908		
PGRM	<200KW PV	161087	Competitive	Y	Sol Customer Solutions LLC	<200KW PV	11/18/2020	\$298,728	\$298,728		
PGRM	<200KW PV	161127	Competitive	Y	ADK Solar	PON 2112 NY SUN	11/19/2020	\$5,712	\$5,712		
PGRM	<200KW PV	161128	Competitive	Y	Hudson River Renewables	PON 2112 NY SUN	11/19/2020	\$6,899	\$6,899	\$6,899	\$6,899
PGRM	<200KW PV	161131	Competitive	Y	Sol Customer Solutions LLC	<200KW PV	11/19/2020	\$237,312	\$237,312		
PGRM	<200KW PV	161132	Competitive	Y	Sol Customer Solutions LLC	<200KW PV	11/19/2020	\$156,708	\$156,708		
PGRM	<200KW PV	161133	Competitive	Y	Sol Customer Solutions LLC	<200KW PV	11/19/2020	\$84,420	\$84,420		
PGRM	<200KW PV	161183	Competitive	Y	Generate Capital Inc.	<200KW PV	11/20/2020	\$82,992	\$82,992		
PGRM	<200KW PV	161185	Competitive	Y	Generate Capital Inc.	<200KW PV	11/20/2020	\$109,044	\$109,044		
PGRM	<200KW PV	161190	Competitive	Y	Generate Capital Inc.	<200KW PV	11/20/2020	\$102,492	\$102,492		
PGRM	<200KW PV	161193	Competitive	Y	Generate Capital Inc.	<200KW PV	11/20/2020	\$62,088	\$62,088		
PGRM	<200KW PV	161200	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	11/23/2020	\$5,355	\$5,355		
PGRM	<200KW PV	161202	Competitive	Y	Next Generation Solar, LLC	PON 2112 NY SUN	11/23/2020	\$5,831	\$5,831		
PGRM	<200KW PV	161213	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	11/23/2020	\$5,054	\$5,054		
PGRM	<200KW PV	161245	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	11/24/2020	\$5,042	\$5,042	\$5,042	\$5,042
PGRM	<200KW PV	161311	Competitive	Y	Sun Source Technologies	PON 2112 NY SUN	11/25/2020	\$5,796	\$5,796	\$5,796	\$5,796
PGRM	<200KW PV	161356	Competitive	Y	American Energy Care, Inc.	<200KW PV	11/25/2020	\$17,789	\$17,789	\$17,789	\$17,789
PGRM	<200KW PV	161357	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	11/25/2020	\$6,802	\$6,802		
PGRM	<200KW PV	161359	Competitive	Y	Bright Power, Inc.	>200KW PV	11/25/2020	\$65,880	\$65,880		
PGRM	<200KW PV	161362	Competitive	Y	Hudson Valley Clean Energy Inc.	<200KW PV	11/25/2020	\$5,888	\$5,888	\$5,888	\$5,888
PGRM	<200KW PV	161374	Competitive	Y	American Energy Care, Inc.	<200KW PV	11/27/2020	\$6,232	\$6,232	\$6,232	\$6,232
PGRM	<200KW PV	161408	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	11/30/2020	\$8,624	\$8,624		
PGRM	<200KW PV	161409	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	11/30/2020	\$8,750	\$8,750		
PGRM	<200KW PV	161428	Competitive	Y	IRN 694 Binnewater LLC	<200KW PV	11/30/2020	\$61,583	\$61,583		
PGRM	<200KW PV	161434	Competitive	Y	Fusion Energy Services LLC	<200KW PV	12/1/2020	\$18,480	\$18,480		
PGRM	<200KW PV	161458	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	12/3/2020	\$12,800	\$12,800		
PGRM	<200KW PV	161468	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	12/3/2020	\$6,708	\$6,708	\$6,708	\$6,708
PGRM	<200KW PV	161481	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	12/3/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	161490	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	12/3/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	161496	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	12/3/2020	\$5,493	\$5,493	\$5,493	\$5,493
PGRM	<200KW PV	161522	Competitive	Y	CES Danbury Solar, LLC	PON 2112 NY SUN	12/3/2020	\$5,376	\$5,376		
PGRM	<200KW PV	161530	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/3/2020	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	161544	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	12/3/2020	\$6,883	\$6,883		
PGRM	<200KW PV	161632	Competitive	Y	770 Electric Corp.	>200KW PV	12/4/2020	\$33,060	\$33,060		
PGRM	<200KW PV	161665	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/7/2020	\$5,551	\$5,551	\$5,551	\$5,551
PGRM	<200KW PV	161697	Competitive	Y	Skyline Solar Inc.	PON 2112 NY SUN	12/7/2020	\$5,005	\$5,005	\$5,005	\$5,005
PGRM	<200KW PV	161715	Competitive	Y	Surf Clean Energy Inc	<200KW PV	12/7/2020	\$7,488	\$7,488	\$7,488	\$7,488
PGRM	<200KW PV	161755	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	12/8/2020	\$8,222	\$8,222		
PGRM	<200KW PV	161765	Competitive	Y	Apex Roofing, LLC	PON 2112 NY SUN	12/8/2020	\$6,370	\$6,370	\$6,370	\$6,370
PGRM	<200KW PV	161784	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/9/2020	\$5,355	\$5,355	\$5,355	\$5,355
PGRM	<200KW PV	161801	Competitive	Y	Renewable Rochester LLC	PON 2112 NY SUN	12/9/2020	\$6,720	\$6,720		
PGRM	<200KW PV	161818	Competitive	Y	Surf Clean Energy Inc	<200KW PV	12/9/2020	\$5,120	\$5,120		
PGRM	<200KW PV	161834	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	12/10/2020	\$5,824	\$5,824		
PGRM	<200KW PV	161860	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	12/10/2020	\$5,036	\$5,036	\$5,036	\$5,036
PGRM	<200KW PV	161881	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	12/10/2020	\$5,180	\$5,180	\$5,180	\$5,180
PGRM	<200KW PV	161894	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	12/10/2020	\$8,750	\$8,750	\$8,750	\$8,750

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	161910	Competitive	Y	Kasselman Solar LLC	<200KW PV	12/10/2020	\$5,120	\$5,120	\$5,120	\$5,120
PGRM	<200KW PV	161930	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	12/11/2020	\$8,029	\$8,029	\$8,029	\$8,029
PGRM	<200KW PV	161932	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	12/11/2020	\$8,750	\$8,750		
PGRM	<200KW PV	161938	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	12/11/2020	\$6,160	\$6,160	\$6,160	\$6,160
PGRM	<200KW PV	161945	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	12/11/2020	\$5,608	\$5,608	\$5,608	\$5,608
PGRM	<200KW PV	161987	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	12/14/2020	\$5,103	\$5,103		
PGRM	<200KW PV	161988	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	12/14/2020	\$6,020	\$6,020	\$6,020	\$6,020
PGRM	<200KW PV	162004	Competitive	Y	J&A Electrical Construction LLC	PON 2112 NY SUN	12/14/2020	\$8,288	\$8,288	\$8,288	\$8,288
PGRM	<200KW PV	162040	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	12/15/2020	\$5,159	\$5,159	\$5,159	\$5,159
PGRM	<200KW PV	162042	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	12/15/2020	\$5,628	\$5,628	\$5,628	\$5,628
PGRM	<200KW PV	162044	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	12/15/2020	\$5,320	\$5,320	\$5,320	\$5,320
PGRM	<200KW PV	162053	Competitive	Y	Seed Renewables Inc.	<200KW PV	12/15/2020	\$14,080	\$14,080	\$14,080	\$14,080
PGRM	<200KW PV	162102	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/16/2020	\$6,143	\$6,143	\$6,143	\$6,143
PGRM	<200KW PV	162116	Competitive	Y	PlugPV, LLC	<200KW PV	12/16/2020	\$10,240	\$10,240		
PGRM	<200KW PV	162117	Competitive	Y	Surf Clean Energy Inc	<200KW PV	12/16/2020	\$5,120	\$5,120		
PGRM	<200KW PV	162119	Competitive	Y	NYSS LLC	<200KW PV	12/16/2020	\$7,888	\$7,888	\$7,888	\$7,888
PGRM	<200KW PV	162198	Competitive	Y	American Energy Care, Inc.	PON 2112 NY SUN	12/17/2020	\$5,494	\$5,494		
PGRM	<200KW PV	162204	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/17/2020	\$6,143	\$6,143	\$6,143	\$6,143
PGRM	<200KW PV	162245	Competitive	Y	Jordan Energy and Food Enterprises LLC	PON 2112 NY SUN	12/18/2020	\$8,750	\$8,750		
PGRM	<200KW PV	162248	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	12/18/2020	\$5,082	\$5,082	\$5,082	\$5,082
PGRM	<200KW PV	162252	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	12/18/2020	\$23,714	\$23,714		
PGRM	<200KW PV	162255	Competitive	Y	Bright Power, Inc.	>200KW PV	12/18/2020	\$47,880	\$47,880		
PGRM	<200KW PV	162318	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/21/2020	\$6,038	\$6,038	\$6,038	\$6,038
PGRM	<200KW PV	162325	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/21/2020	\$5,005	\$5,005		
PGRM	<200KW PV	162338	Competitive	Y	Kasselman Solar LLC	<200KW PV	12/21/2020	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	<200KW PV	162395	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	12/22/2020	\$6,449	\$6,449	\$6,449	\$6,449
PGRM	<200KW PV	162398	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/22/2020	\$5,954	\$5,954		
PGRM	<200KW PV	162404	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	12/22/2020	\$5,159	\$5,159	\$5,159	\$5,159
PGRM	<200KW PV	162457	Competitive	Y	Sigora Solar	PON 2112 NY SUN	12/23/2020	\$5,040	\$5,040		
PGRM	<200KW PV	162492	Competitive	Y	Buffalo Solar Solutions Inc	PON 2112 NY SUN	12/23/2020	\$6,545	\$6,545	\$6,545	\$6,545
PGRM	<200KW PV	162495	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	12/23/2020	\$5,117	\$5,117	\$5,117	\$5,117
PGRM	<200KW PV	162509	Competitive	Y	Active Solar Development, LLC	NY-SUN	12/23/2020	\$35,664	\$35,664	\$35,664	\$35,664
PGRM	<200KW PV	162544	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	12/24/2020	\$9,920	\$9,920	\$9,920	\$9,920
PGRM	<200KW PV	162551	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	12/24/2020	\$8,085	\$8,085		
PGRM	<200KW PV	162556	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	12/24/2020	\$6,711	\$6,711		
PGRM	<200KW PV	162557	Competitive	Y	Kasselman Solar LLC	<200KW PV	12/24/2020	\$5,880	\$5,880	\$5,880	\$5,880
PGRM	<200KW PV	162569	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	12/28/2020	\$6,440	\$6,440		
PGRM	<200KW PV	162623	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/29/2020	\$6,956	\$6,956	\$6,956	\$6,956
PGRM	<200KW PV	162639	Competitive	Y	Vivint Solar	PON 2112 NY SUN	12/29/2020	\$5,915	\$5,915	\$5,915	\$5,915
PGRM	<200KW PV	162656	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	12/29/2020	\$5,255	\$5,255		
PGRM	<200KW PV	162763	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	12/30/2020	\$5,320	\$5,320	\$5,320	\$5,320
PGRM	<200KW PV	162773	Competitive	Y	Hudson Valley Clean Energy Inc.	PON 2112 NY SUN	12/30/2020	\$8,463	\$8,463		
PGRM	<200KW PV	162843	Competitive	Y	Hudson Valley Clean Energy Inc.	<200KW PV	12/31/2020	\$5,954	\$5,954		
PGRM	<200KW PV	162844	Competitive	Y	Solar Liberty Energy Systems, Inc	<200KW PV	12/31/2020	\$12,528	\$12,528		
PGRM	<200KW PV	162869	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	1/4/2021	\$5,460	\$5,460	\$5,460	\$5,460
PGRM	<200KW PV	162944	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/5/2021	\$6,048	\$6,048		
PGRM	<200KW PV	162955	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	1/5/2021	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	162959	Competitive	Y	Apex Solar Power LLC	PON 2112 NY SUN	1/5/2021	\$14,784	\$14,784		
PGRM	<200KW PV	162973	Competitive	Y	NYS Office of Parks, Recreation and	PON 2112 NY SUN	1/6/2021	\$20,286	\$20,286		
PGRM	<200KW PV	162974	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/6/2021	\$6,020	\$6,020		
PGRM	<200KW PV	162976	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	1/6/2021	\$6,468	\$6,468	\$6,468	\$6,468
PGRM	<200KW PV	163043	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/7/2021	\$5,551	\$5,551		
PGRM	<200KW PV	163046	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/7/2021	\$5,124	\$5,124	\$5,124	\$5,124
PGRM	<200KW PV	163050	Competitive	Y	American Energy Care, Inc.	<200KW PV	1/7/2021	\$10,464	\$10,464		
PGRM	<200KW PV	163078	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	1/8/2021	\$8,736	\$8,736	\$8,736	\$8,736
PGRM	<200KW PV	163084	Competitive	Y	Sunnova Energy Corp	PON 2112 NY SUN	1/8/2021	\$6,069	\$6,069	\$6,069	\$6,069
PGRM	<200KW PV	163088	Competitive	Y	Brooklyn Housing Preservation Limited Pa	>200KW PV	1/8/2021	\$34,850	\$34,850		
PGRM	<200KW PV	163089	Competitive	Y	Brooklyn Housing Preservation Limited Pa	>200KW PV	1/8/2021	\$49,300	\$49,300		
PGRM	<200KW PV	163090	Competitive	Y	Brooklyn Housing Preservation Limited Pa	>200KW PV	1/8/2021	\$170,000	\$170,000		
PGRM	<200KW PV	163091	Competitive	Y	Brooklyn Housing Preservation Limited Pa	>200KW PV	1/8/2021	\$131,325	\$131,325		
PGRM	<200KW PV	163114	Competitive	Y	Sunnova Energy Corp	PON 2112 NY SUN	1/11/2021	\$5,474	\$5,474	\$5,474	\$5,474
PGRM	<200KW PV	163146	Competitive	Y	J Synergy Solar LLC	PON 2112 NY SUN	1/11/2021	\$5,023	\$5,023		
PGRM	<200KW PV	163148	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	1/11/2021	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	163150	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	1/11/2021	\$9,758	\$9,758	\$9,758	\$9,758
PGRM	<200KW PV	163161	Competitive	Y	Brooklyn Housing Preservation Limited Pa	>200KW PV	1/11/2021	\$116,025	\$116,025		
PGRM	<200KW PV	163162	Competitive	Y	IPPSolar Integration LLC	NY-SUN	1/11/2021	\$138,465	\$138,465		
PGRM	<200KW PV	163204	Competitive	Y	1st Light Energy, Inc.	PON 2112 NY SUN	1/12/2021	\$16,415	\$16,415		
PGRM	<200KW PV	163212	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/12/2021	\$5,135	\$5,135		
PGRM	<200KW PV	163231	Competitive	Y	Renewable Rochester LLC	PON 2112 NY SUN	1/13/2021	\$5,891	\$5,891		
PGRM	<200KW PV	163243	Competitive	Y	Apex Solar Power LLC	<200KW PV	1/13/2021	\$7,800	\$7,800		
PGRM	<200KW PV	163274	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	1/14/2021	\$5,765	\$5,765	\$5,765	\$5,765
PGRM	<200KW PV	163280	Competitive	Y	Renewable Rochester LLC	PON 2112 NY SUN	1/14/2021	\$6,913	\$6,913		
PGRM	<200KW PV	163307	Competitive	Y	Kasselman Solar LLC	<200KW PV	1/14/2021	\$9,792	\$9,792	\$9,792	\$9,792
PGRM	<200KW PV	163317	Competitive	Y	Infinity Solar Systems, LLC	PON 2112 NY SUN	1/15/2021	\$7,508	\$7,508	\$7,508	\$7,508
PGRM	<200KW PV	163326	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/15/2021	\$6,711	\$6,711		
PGRM	<200KW PV	163327	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/15/2021	\$5,712	\$5,712	\$5,712	\$5,712
PGRM	<200KW PV	163337	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	1/15/2021	\$7,776	\$7,776		
PGRM	<200KW PV	163415	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	1/19/2021	\$8,750	\$8,750		
PGRM	<200KW PV	163423	Competitive	Y	Vivint Solar	PON 2112 NY SUN	1/19/2021	\$5,005	\$5,005	\$5,005	\$5,005
PGRM	<200KW PV	163434	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/19/2021	\$5,152	\$5,152		
PGRM	<200KW PV	163438	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	1/19/2021	\$5,608	\$5,608	\$5,608	\$5,608

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	163447	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	1/19/2021	\$245,700	\$245,700		
PGRM	<200KW PV	163449	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	1/19/2021	\$8,400	\$8,400		
PGRM	<200KW PV	163469	Competitive	Y	Sunnova Energy Corp	PON 2112 NY SUN	1/19/2021	\$7,140	\$7,140		
PGRM	<200KW PV	163471	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	1/19/2021	\$7,168	\$7,168		
PGRM	<200KW PV	163491	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	1/21/2021	\$17,609	\$17,609	\$17,609	\$17,609
PGRM	<200KW PV	163503	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	1/21/2021	\$5,042	\$5,042	\$5,042	\$5,042
PGRM	<200KW PV	163555	Competitive	Y	Vivint Solar	PON 2112 NY SUN	1/21/2021	\$5,712	\$5,712		
PGRM	<200KW PV	163593	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	1/22/2021	\$5,208	\$5,208	\$5,208	\$5,208
PGRM	<200KW PV	163612	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	1/22/2021	\$5,801	\$5,801		
PGRM	<200KW PV	163632	Competitive	Y	Skyline Solar Inc.	PON 2112 NY SUN	1/22/2021	\$6,029	\$6,029		
PGRM	<200KW PV	163636	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	1/22/2021	\$7,980	\$7,980		
PGRM	<200KW PV	163721	Competitive	Y	Best Energy Power	>200KW PV	1/26/2021	\$10,800	\$10,800		
PGRM	<200KW PV	163725	Competitive	Y	Best Energy Power	>200KW PV	1/26/2021	\$32,400	\$32,400		
PGRM	<200KW PV	163726	Competitive	Y	Best Energy Power	>200KW PV	1/26/2021	\$24,840	\$24,840		
PGRM	<200KW PV	163748	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	1/27/2021	\$6,300	\$6,300		
PGRM	<200KW PV	163762	Competitive	Y	Kasselman Solar LLC	<200KW PV	1/27/2021	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	<200KW PV	163843	Competitive	Y	Best Energy Power	>200KW PV	1/28/2021	\$15,120	\$15,120		
PGRM	<200KW PV	163852	Competitive	Y	Best Energy Power	>200KW PV	1/28/2021	\$21,960	\$21,960		
PGRM	<200KW PV	163854	Competitive	Y	Best Energy Power	>200KW PV	1/28/2021	\$11,160	\$11,160		
PGRM	<200KW PV	163879	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	1/29/2021	\$5,418	\$5,418		
PGRM	<200KW PV	163966	Competitive	Y	Best Energy Power	>200KW PV	2/1/2021	\$26,640	\$26,640		
PGRM	<200KW PV	163967	Competitive	Y	Best Energy Power	>200KW PV	2/1/2021	\$53,280	\$53,280		
PGRM	<200KW PV	163968	Competitive	Y	Best Energy Power	>200KW PV	2/1/2021	\$34,920	\$34,920		
PGRM	<200KW PV	163969	Competitive	Y	Best Energy Power	>200KW PV	2/1/2021	\$41,400	\$41,400		
PGRM	<200KW PV	163979	Competitive	Y	Best Energy Power	>200KW PV	2/2/2021	\$23,040	\$23,040		
PGRM	<200KW PV	163980	Competitive	Y	Best Energy Power	>200KW PV	2/2/2021	\$20,160	\$20,160		
PGRM	<200KW PV	163988	Competitive	Y	Best Energy Power	>200KW PV	2/3/2021	\$38,160	\$38,160		
PGRM	<200KW PV	163989	Competitive	Y	Best Energy Power	>200KW PV	2/3/2021	\$19,080	\$19,080		
PGRM	<200KW PV	163990	Competitive	Y	Best Energy Power	>200KW PV	2/3/2021	\$5,760	\$5,760		
PGRM	<200KW PV	164034	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	2/4/2021	\$5,712	\$5,712		
PGRM	<200KW PV	164046	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/4/2021	\$6,426	\$6,426		
PGRM	<200KW PV	164062	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	2/4/2021	\$14,655	\$14,655		
PGRM	<200KW PV	164071	Competitive	Y	Sigora Solar	PON 2112 NY SUN	2/4/2021	\$7,308	\$7,308		
PGRM	<200KW PV	164098	Competitive	Y	Fusion Energy Services LLC	PON 2112 NY SUN	2/4/2021	\$7,371	\$7,371	\$7,371	\$7,371
PGRM	<200KW PV	164122	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/4/2021	\$12,128	\$12,128		
PGRM	<200KW PV	164138	Competitive	Y	Surf Clean Energy Inc	<200KW PV	2/4/2021	\$5,440	\$5,440	\$5,440	\$5,440
PGRM	<200KW PV	164139	Competitive	Y	Best Energy Power	>200KW PV	2/4/2021	\$34,920	\$34,920		
PGRM	<200KW PV	164140	Competitive	Y	Best Energy Power	>200KW PV	2/4/2021	\$21,600	\$21,600		
PGRM	<200KW PV	164200	Competitive	Y	SunPower Capital, LLC	PON 2112 NY SUN	2/8/2021	\$5,150	\$5,150		
PGRM	<200KW PV	164210	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	2/8/2021	\$8,750	\$8,750		
PGRM	<200KW PV	164233	Competitive	Y	J Synergy Solar LLC	PON 2112 NY SUN	2/8/2021	\$6,003	\$6,003		
PGRM	<200KW PV	164243	Competitive	Y	Best Energy Power	>200KW PV	2/8/2021	\$18,360	\$18,360		
PGRM	<200KW PV	164245	Competitive	Y	Best Energy Power	>200KW PV	2/8/2021	\$15,120	\$15,120		
PGRM	<200KW PV	164317	Competitive	Y	Best Energy Power	>200KW PV	2/9/2021	\$27,000	\$27,000		
PGRM	<200KW PV	164318	Competitive	Y	Best Energy Power	>200KW PV	2/9/2021	\$21,240	\$21,240		
PGRM	<200KW PV	164319	Competitive	Y	Best Energy Power	>200KW PV	2/9/2021	\$38,160	\$38,160		
PGRM	<200KW PV	164327	Competitive	Y	Empire Solar Solutions LLC	PON 2112 NY SUN	2/10/2021	\$8,677	\$8,677	\$8,677	\$8,677
PGRM	<200KW PV	164359	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	2/10/2021	\$5,000	\$5,000		
PGRM	<200KW PV	164363	Competitive	Y	Best Energy Power	>200KW PV	2/10/2021	\$19,080	\$19,080		
PGRM	<200KW PV	164364	Competitive	Y	Best Energy Power	>200KW PV	2/10/2021	\$30,240	\$30,240		
PGRM	<200KW PV	164365	Competitive	Y	Best Energy Power	>200KW PV	2/10/2021	\$29,520	\$29,520		
PGRM	<200KW PV	164378	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/11/2021	\$6,930	\$6,930	\$6,930	\$6,930
PGRM	<200KW PV	164422	Competitive	Y	Best Energy Power	>200KW PV	2/11/2021	\$14,760	\$14,760		
PGRM	<200KW PV	164423	Competitive	Y	Best Energy Power	>200KW PV	2/11/2021	\$33,480	\$33,480		
PGRM	<200KW PV	164424	Competitive	Y	Best Energy Power	>200KW PV	2/11/2021	\$14,040	\$14,040		
PGRM	<200KW PV	164425	Competitive	Y	Best Energy Power	>200KW PV	2/11/2021	\$14,760	\$14,760		
PGRM	<200KW PV	164444	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/12/2021	\$5,313	\$5,313	\$5,313	\$5,313
PGRM	<200KW PV	164454	Competitive	Y	Sigora Solar	PON 2112 NY SUN	2/12/2021	\$6,048	\$6,048		
PGRM	<200KW PV	164458	Competitive	Y	Best Energy Power	>200KW PV	2/12/2021	\$15,840	\$15,840		
PGRM	<200KW PV	164460	Competitive	Y	Best Energy Power	>200KW PV	2/12/2021	\$106,200	\$106,200		
PGRM	<200KW PV	164461	Competitive	Y	Accord Power, Inc.	>200KW PV	2/12/2021	\$38,850	\$38,850		
PGRM	<200KW PV	164484	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	2/16/2021	\$7,504	\$7,504	\$7,504	\$7,504
PGRM	<200KW PV	164493	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	2/16/2021	\$21,294	\$21,294		
PGRM	<200KW PV	164504	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	2/16/2021	\$6,783	\$6,783		
PGRM	<200KW PV	164510	Competitive	Y	Accord Power, Inc.	>200KW PV	2/16/2021	\$57,050	\$57,050		
PGRM	<200KW PV	164511	Competitive	Y	Accord Power, Inc.	>200KW PV	2/16/2021	\$39,200	\$39,200		
PGRM	<200KW PV	164513	Competitive	Y	Accord Power, Inc.	>200KW PV	2/16/2021	\$27,650	\$27,650		
PGRM	<200KW PV	164541	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	2/17/2021	\$5,236	\$5,236		
PGRM	<200KW PV	164545	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	2/17/2021	\$119,543	\$119,543		
PGRM	<200KW PV	164551	Competitive	Y	770 Electric Corp.	>200KW PV	2/17/2021	\$60,840	\$60,840		
PGRM	<200KW PV	164552	Competitive	Y	Bright Power, Inc.	>200KW PV	2/17/2021	\$13,650	\$13,650		
PGRM	<200KW PV	164558	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	2/17/2021	\$7,100	\$7,100		
PGRM	<200KW PV	164618	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	2/18/2021	\$8,610	\$8,610		
PGRM	<200KW PV	164647	Competitive	Y	Kasselman Solar LLC	<200KW PV	2/18/2021	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	<200KW PV	164648	Competitive	Y	American Energy Care, Inc.	<200KW PV	2/18/2021	\$14,388	\$14,388		
PGRM	<200KW PV	164650	Competitive	Y	Patriot Energy Solutions Corp	<200KW PV	2/18/2021	\$5,184	\$5,184		
PGRM	<200KW PV	164662	Competitive	Y	Paradise Energy Solutions, LLC	PON 2112 NY SUN	2/19/2021	\$10,500	\$10,500		
PGRM	<200KW PV	164683	Competitive	Y	Best Energy Power	>200KW PV	2/19/2021	\$105,120	\$105,120		
PGRM	<200KW PV	164734	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	2/23/2021	\$5,236	\$5,236		
PGRM	<200KW PV	164773	Competitive	Y	PlugPV, LLC	<200KW PV	2/23/2021	\$9,216	\$9,216		
PGRM	<200KW PV	164776	Competitive	Y	Kasselman Solar LLC	<200KW PV	2/23/2021	\$10,800	\$10,800	\$10,800	\$10,800

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	<200KW PV	164843	Competitive	Y	Kasselman Solar LLC	<200KW PV	2/24/2021	\$9,152	\$9,152		
PGRM	<200KW PV	164844	Competitive	Y	Surf Clean Energy Inc	<200KW PV	2/24/2021	\$6,300	\$6,300		
PGRM	<200KW PV	164892	Competitive	Y	Eiger 3970 Consultants Inc.	PON 2112 NY SUN	2/25/2021	\$5,236	\$5,236		
PGRM	<200KW PV	164905	Competitive	Y	Kasselman Solar LLC	PON 2112 NY SUN	2/25/2021	\$6,101	\$6,101		
PGRM	<200KW PV	164908	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	2/25/2021	\$5,192	\$5,192	\$5,192	\$5,192
PGRM	<200KW PV	164948	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/26/2021	\$5,712	\$5,712		
PGRM	<200KW PV	164967	Competitive	Y	Green Hybrid Energy Solutions Inc.	<200KW PV	2/26/2021	\$8,110	\$8,110		
PGRM	<200KW PV	164977	Competitive	Y	CIR ELECTRICAL CONSTRUCTION CORP.	PON 2112 NY SUN	3/1/2021	\$5,922	\$5,922		
PGRM	<200KW PV	164987	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$5,712	\$5,712		
PGRM	<200KW PV	165018	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/1/2021	\$5,152	\$5,152		
PGRM	<200KW PV	165020	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,589	\$6,589	\$6,589	\$6,589
PGRM	<200KW PV	165021	Competitive	Y	New York State Solar Farm Inc.	PON 2112 NY SUN	3/1/2021	\$8,750	\$8,750		
PGRM	<200KW PV	165031	Competitive	Y	Alternative Power Solutions of NY, LLC	PON 2112 NY SUN	3/2/2021	\$8,750	\$8,750		
PGRM	<200KW PV	165063	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/2/2021	\$7,560	\$7,560		
PGRM	<200KW PV	165072	Competitive	Y	Apex Solar Power LLC	<200KW PV	3/2/2021	\$7,540	\$7,540		
PGRM	<200KW PV	165094	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/3/2021	\$11,305	\$11,305		
PGRM	<200KW PV	165119	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/3/2021	\$17,696	\$17,696		
PGRM	<200KW PV	165133	Competitive	Y	Croton Energy Group, Inc.	PON 2112 NY SUN	3/3/2021	\$125,433	\$125,433		
PGRM	<200KW PV	165166	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/4/2021	\$5,712	\$5,712		
PGRM	<200KW PV	165179	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/4/2021	\$5,487	\$5,487		
PGRM	<200KW PV	165207	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	3/5/2021	\$6,300	\$6,300		
PGRM	<200KW PV	165212	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	3/5/2021	\$18,428	\$18,428		
PGRM	<200KW PV	165222	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/5/2021	\$6,804	\$6,804		
PGRM	<200KW PV	165227	Competitive	Y	Allen Power, Inc.	PON 2112 NY SUN	3/5/2021	\$6,300	\$6,300		
PGRM	<200KW PV	165228	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	3/5/2021	\$8,610	\$8,610		
PGRM	<200KW PV	165260	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/8/2021	\$7,612	\$7,612		
PGRM	<200KW PV	165273	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/8/2021	\$6,384	\$6,384		
PGRM	<200KW PV	165362	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/9/2021	\$5,072	\$5,072		
PGRM	<200KW PV	165368	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/9/2021	\$5,600	\$5,600		
PGRM	<200KW PV	165370	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	3/9/2021	\$5,591	\$5,591		
PGRM	<200KW PV	165372	Competitive	Y	George E Denmark II	PON 2112 NY SUN	3/9/2021	\$5,082	\$5,082		
PGRM	<200KW PV	165401	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/10/2021	\$7,004	\$7,004		
PGRM	<200KW PV	165471	Competitive	Y	3rd ROC Solar LLC	PON 2112 NY SUN	3/11/2021	\$5,653	\$5,653		
PGRM	<200KW PV	165680	Competitive	Y	Surf Clean Energy Inc	<200KW PV	3/16/2021	\$6,336	\$6,336		
PGRM	<200KW PV	165777	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	3/18/2021	\$5,474	\$5,474		
PGRM	<200KW PV	165817	Competitive	Y	Extraterrestrial Materials Inc.	PON 2112 NY SUN	3/19/2021	\$8,610	\$8,610		
PGRM	<200KW PV	165821	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/19/2021	\$6,930	\$6,930		
PGRM	<200KW PV	165848	Competitive	Y	SolarCommunities Inc.	PON 2112 NY SUN	3/19/2021	\$6,552	\$6,552		
PGRM	<200KW PV	166047	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/24/2021	\$5,574	\$5,574		
PGRM	<200KW PV	166088	Competitive	Y	Sustainable Energy Developments Inc.	PON 2112 NY SUN	3/25/2021	\$5,390	\$5,390		
PGRM	<200KW PV	166118	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	3/26/2021	\$5,593	\$5,593		
PGRM	<200KW PV	166208	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	3/29/2021	\$5,355	\$5,355		
PGRM	<200KW PV	166239	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/30/2021	\$5,824	\$5,824		
PGRM	<200KW PV	166263	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/30/2021	\$7,938	\$7,938		
PGRM	<200KW PV	166272	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/30/2021	\$5,103	\$5,103		
PGRM	<200KW PV	166322	Competitive	Y	Trinity Heating & Air, Inc.	PON 2112 NY SUN	3/31/2021	\$5,950	\$5,950		
PGRM	<200KW PV	166339	Competitive	Y	PlugPV, LLC	PON 2112 NY SUN	3/31/2021	\$5,152	\$5,152		
PGRM	<200KW PV	166378	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/31/2021	\$5,712	\$5,712		
PGRM	<200KW PV	144012A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$22,032	\$22,032	\$22,032	\$22,032
PGRM	<200KW PV	149313A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$8,640	\$8,640		
PGRM	<200KW PV	150200A	Competitive	Y	Renewable Rochester LLC	<200KW PV	1/12/2021	\$7,110	\$7,110	\$7,110	\$7,110
PGRM	<200KW PV	153586A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$19,148	\$19,148	\$19,148	\$19,148
PGRM	<200KW PV	153720A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,180	\$5,180	\$5,180	\$5,180
PGRM	<200KW PV	154480A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	<200KW PV	154482A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$7,189	\$7,189		
PGRM	<200KW PV	154555A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,460	\$5,460	\$5,460	\$5,460
PGRM	<200KW PV	154663A	Competitive		Renewable Rochester LLC	<200KW PV	12/1/2020	\$8,308	\$8,308	\$8,308	\$8,308
PGRM	<200KW PV	154664A	Competitive		Renewable Rochester LLC	<200KW PV	12/1/2020	\$9,078	\$9,078	\$7,848	\$7,848
PGRM	<200KW PV	156407A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,184	\$5,184		
PGRM	<200KW PV	158413A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$16,590	\$16,590		
PGRM	<200KW PV	158541A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,115	\$5,115	\$5,115	\$5,115
PGRM	<200KW PV	159088A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$23,917	\$23,917		
PGRM	<200KW PV	160277A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,544	\$5,544	\$5,544	\$5,544
PGRM	<200KW PV	160359A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$5,530	\$5,530	\$5,530	\$5,530
PGRM	<200KW PV	160882A	Competitive		Renewable Rochester LLC	PON 2112 NY SUN	12/1/2020	\$8,750	\$8,750	\$8,750	\$8,750
PGRM	>200KW PV	49829	Competitive	Y	Tesla Energy Operations Inc	PV System @ Oswego County - Fu	10/15/2014		\$1,345,945		\$599,873
PGRM	>200KW PV	49840	Competitive	Y	Tesla Energy Operations Inc	PV System @ Onondaga County Ja	10/15/2014		\$927,304		\$491,641
PGRM	>200KW PV	49844	Competitive	Y	Tesla Energy Operations Inc	PV System @ Dutchess County Ai	10/15/2014		\$1,115,772	\$290,853	\$290,853
PGRM	>200KW PV	50970	Competitive	Y	IRE Solar 1, LLC	PV System @ City of Ulta 2	10/29/2014		\$252,447	\$75,587	\$216,197
PGRM	>200KW PV	50999	Competitive	Y	Mohawk Valley Solar Partners, LLC	PV System @ Mohawk Valley Comm	10/29/2014		\$1,112,950	\$342,118	\$1,022,367
PGRM	>200KW PV	51000	Competitive	Y	Twin Lantern Solar Partners, LLC	PV System @ City of Oneida	10/29/2014		\$846,673		\$763,913
PGRM	>200KW PV	51001	Competitive	Y	Rochester-Genesee Solar Partners, LLC	PV System @ Town of Ontario	10/29/2014		\$1,156,706	\$338,381	\$1,080,886
PGRM	>200KW PV	51002	Competitive	Y	Ogdensburg Solar Partners, LLC	PV System @ Ogdensburg Bridge	10/29/2014		\$510,395	\$148,188	\$301,307
PGRM	>200KW PV	51009	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Selkirk 1	10/29/2014		\$1,405,288	\$421,349	\$842,936
PGRM	>200KW PV	51010	Competitive	Y	Nextera Energy Capital Holdings Inc	General Electric 1	10/29/2014		\$1,453,956	\$518,931	\$1,453,956
PGRM	>200KW PV	51011	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Hoosick 1	10/29/2014		\$1,155,864		\$727,570
PGRM	>200KW PV	51012	Competitive	Y	Nextera Energy Capital Holdings Inc	General Electric 2	10/29/2014		\$1,192,365	\$378,372	\$1,101,790
PGRM	>200KW PV	51013	Competitive	Y	Nextera Energy Capital Holdings Inc	General Electric 3	10/29/2014		\$1,192,365	\$412,592	\$1,151,641
PGRM	>200KW PV	51014	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Selkirk 2	10/29/2014		\$1,028,111	\$352,764	\$661,197
PGRM	>200KW PV	51015	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Selkirk 3	10/29/2014		\$1,052,445	\$693,016	\$1,008,749
PGRM	>200KW PV	51016	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Selkirk 4	10/29/2014		\$1,082,862	\$356,828	\$681,687

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1						Committed this	Amt Paid this			
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date	Amt Paid to Date
PGRM	>200KW PV	51017	Competitive	Y	Nextera Energy Capital Holdings Inc	General Electric 4	10/29/2014		\$1,101,113	\$351,750	\$994,838	
PGRM	>200KW PV	51018	Competitive	Y	Nextera Energy Capital Holdings Inc	SUNY Poly Hoosick Falls 2	10/29/2014		\$1,113,280	\$387,207	\$1,081,063	
PGRM	>200KW PV	65529	Competitive	N	CON EDISON SOLUTIONS, INC.	Commercial/Industrial	5/28/2015		\$625,964		\$526,382	
PGRM	>200KW PV	66255	Competitive	Y	High Peaks Solar	Commercial/Industrial Program	6/8/2015		\$225,776	\$53,673	\$214,906	
PGRM	>200KW PV	66431	Competitive	Y	New Energy Equity LLC	Commercial/Industrial -	6/9/2015		\$850,256	\$191,971	\$788,622	
PGRM	>200KW PV	67284	Competitive	Y	New Energy Equity LLC	Commercial/Industrial	6/17/2015		\$548,945	\$140,811	\$544,981	
PGRM	>200KW PV	69598	Competitive	N	Borrego Solar Systems, Inc.	Commercial/Industrial	7/23/2015		\$1,143,635			
PGRM	>200KW PV	77669	Competitive	Y	Tesla Energy Operations Inc	NY-Sun	10/15/2015		\$137,779		\$62,922	
PGRM	>200KW PV	80889	Competitive	Y	SSA Solar of NY 2 LLC	NY-SUN	11/16/2015		\$827,844		\$737,740	
PGRM	>200KW PV	82816	Competitive	Y	S & L Electric, Inc.	NY-SUN	12/3/2015		\$267,079	\$60,399	\$236,456	
PGRM	>200KW PV	85034	Competitive	N	Tesla Energy Operations Inc	Commercial/Industrial	12/18/2015		\$775,964	\$69,813	\$489,335	
PGRM	>200KW PV	87390	Competitive	Y	Tesla Energy Operations Inc	Commercial/Industrial PV	1/15/2016		\$322,431	\$67,868	\$229,083	
PGRM	>200KW PV	87787	Competitive	Y	Tesla Energy Operations Inc	Commercial/IndustrialPV	1/22/2016		\$849,173	\$131,044	\$656,679	
PGRM	>200KW PV	87842	Competitive	Y	Tesla Energy Operations Inc	Commercial/IndustrialPV	1/22/2016		\$949,217	\$153,668	\$802,848	
PGRM	>200KW PV	90535	Competitive	Y	Aspdn Assets, Inc	Assignee/Vendor 37085	2/17/2016		\$248,863		\$232,349	
PGRM	>200KW PV	90547	Competitive	Y	General Motors of NY Inc.	Assignee/Vendor # 37085	2/18/2016		\$224,160		\$214,742	
PGRM	>200KW PV	93243	Competitive	Y	General Electric International Inc	Commercial/Industrial PV	3/17/2016		\$1,087,393	\$270,256	\$1,029,400	
PGRM	>200KW PV	93601	Competitive	Y	Bright Power, Inc.	Commercial/IndustrialPV	3/23/2016		\$462,863	\$128,028	\$354,490	
PGRM	>200KW PV	93602	Competitive	Y	Tesla Energy Operations Inc	Commercial/IndustrialPV	3/23/2016		\$124,712	\$24,571	\$116,692	
PGRM	>200KW PV	93771	Competitive	Y	New Energy Equity LLC	Commercial/Industrial PV	3/23/2016		\$458,620	\$106,083	\$434,531	
PGRM	>200KW PV	93921	Competitive	Y	Hobart and William Smith Colleges	Commercial/Industrial pv	3/24/2016		\$718,559	\$177,250	\$692,316	
PGRM	>200KW PV	93926	Competitive	Y	Cypress Creek Renewables LLC	Commercial/Industrial PV	3/24/2016		\$1,445,109			
PGRM	>200KW PV	94787	Competitive	Y	Garnet Solar Partners LLC	Commercial/Industrial PV	4/6/2016		\$758,620	\$169,675	\$712,039	
PGRM	>200KW PV	94788	Competitive	Y	Tesla Energy Operations Inc	Commercial/Industrial PV	4/6/2016		\$779,802	\$110,271	\$655,169	
PGRM	>200KW PV	95938	Competitive	Y	Tesla Energy Operations Inc	Commercial/Industrial PV	4/15/2016		\$335,681	\$72,692	\$233,955	
PGRM	>200KW PV	98133	Competitive	Y	Citizens Enterprises Corporation	Commercial/Industrial PV	5/9/2016		\$295,977	\$64,089	\$212,077	
PGRM	>200KW PV	98152	Competitive	Y	Solar Liberty Energy Systems, Inc	Commercial/IndustrialPV	5/9/2016		\$155,438			
PGRM	>200KW PV	98189	Competitive	Y	Tesla Energy Operations Inc	Commercial/Industrial PV	5/10/2016		\$232,267	\$48,879	\$165,012	
PGRM	>200KW PV	98502	Competitive	Y	Xzerta Energy Group LLC	NY-Sun commercial/Industrial	5/13/2016		\$1,068,064			
PGRM	>200KW PV	98506	Competitive	Y	Kit Renewable Energy LLC	Commercial/Industrial PV	5/13/2016		\$233,096	\$55,803	\$216,051	
PGRM	>200KW PV	98507	Competitive	Y	Kit Renewable Energy LLC	Commercial/Industrial PV	5/13/2016		\$119,472	\$29,704	\$107,689	
PGRM	>200KW PV	98816	Competitive	Y	Tesla Energy Operations Inc	Commercial/Industrial	5/18/2016		\$184,200	\$23,854	\$108,965	
PGRM	>200KW PV	99010	Competitive	Y	J. Ranck Electric, Inc.	Commercial/Industrial	5/27/2016		\$358,942	\$68,224	\$268,024	
PGRM	>200KW PV	99708	Competitive	Y	Cypress Creek Renewables LLC	NY-Sun C/I	6/10/2016		\$1,172,567			
PGRM	>200KW PV	100406	Competitive	Y	EnterSolar LLC	NY-Sun C/I	6/28/2016		\$369,636	\$85,289	\$345,594	
PGRM	>200KW PV	100411	Competitive	Y	HESP Solar, LLC	NY-Sun C&I	6/29/2016		\$262,585	\$66,097	\$260,827	
PGRM	>200KW PV	100420	Competitive	Y	General Electric International Inc	NY-Sun C/I	6/30/2016		\$325,529	\$80,119	\$325,529	
PGRM	>200KW PV	100960	Competitive	Y	Tesla Energy Operations Inc	NY-Sun C/I	7/13/2016		\$164,872	\$27,250	\$109,686	
PGRM	>200KW PV	101266	Competitive	Y	Cypress Creek Renewables LLC	NY-Sun C/I	7/19/2016		\$1,109,185			
PGRM	>200KW PV	101269	Competitive	Y	Ross Solar Group, LLC	NY-Sun C/I	7/20/2016		\$700,001		\$469,693	
PGRM	>200KW PV	101669	Competitive	Y	Ross Solar Group, LLC	NY-Sun C&I	7/28/2016		\$452,651	\$107,495	\$437,780	
PGRM	>200KW PV	102208	Competitive	Y	Ross Solar Group, LLC	NY-Sun C&I	8/15/2016		\$494,701	\$101,681	\$450,819	
PGRM	>200KW PV	102210	Competitive	Y	Cypress Creek Renewables LLC	NY-Sun C&I	8/15/2016		\$1,109,185			
PGRM	>200KW PV	102211	Competitive	Y	Ozz Solar Development Inc.	NY Sun C&I	8/15/2016		\$231,404	\$54,898	\$217,905	
PGRM	>200KW PV	102563	Competitive	Y	Dynamic Energy Solutions, LLC	NY-Sun C&I	8/17/2016		\$175,211	\$42,941	\$169,661	
PGRM	>200KW PV	102604	Competitive	Y	Sunrise Solar Solutions, LLC	NY-Sun C&I	8/23/2016		\$287,838	\$68,209	\$287,838	
PGRM	>200KW PV	102609	Competitive	Y	Cypress Creek Renewables LLC	NY-Sun C&I	8/23/2016		\$1,109,185			
PGRM	>200KW PV	102611	Competitive	Y	Cypress Creek Renewables LLC	NY-Sun C&I	8/23/2016		\$1,331,022			
PGRM	>200KW PV	106234	Competitive	Y	Lewis Custom Homes, Inc.	>200KW PV	11/4/2016		\$1,010,952			
PGRM	>200KW PV	107446	Discretionary	N	Institute for Building Technology and Sa	NY-Sun Technical Support	11/30/2016		\$45,000		\$24,798	
PGRM	>200KW PV	107456	Competitive	Y	CJ Solar 1, LLC	C&I PV Project City of Utica	12/1/2016		\$654,357	\$213,098	\$597,894	
PGRM	>200KW PV	107777	Competitive	Y	Seneca Nation	NY Sun	12/8/2016		\$705,279	\$163,562	\$633,573	
PGRM	>200KW PV	108089	Competitive	Y	OnForce Solar	NY Sun	12/16/2016		\$734,786			
PGRM	>200KW PV	108090	Competitive	Y	EnterSolar LLC	NY Sun	12/16/2016		\$432,472	\$45,679	\$343,715	
PGRM	>200KW PV	108669	Competitive	Y	EnterSolar LLC	NY Sun	1/4/2017		\$435,006	\$96,144	\$407,705	
PGRM	>200KW PV	109583	Competitive	Y	IGS Ventures, Inc.	NY Sun	1/31/2017		\$95,106	\$14,077	\$61,630	
PGRM	>200KW PV	109591	Competitive	Y	Lake Breeze Fruit Farms Inc	NY Sun	1/31/2017		\$359,253		\$220,515	
PGRM	>200KW PV	109592	Competitive	Y	Omni-Navitas - MAP-ES NY LLC	NY Sun	1/31/2017		\$887,348			
PGRM	>200KW PV	109595	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	1/31/2017		\$949,837	\$474,918	\$949,837	
PGRM	>200KW PV	109596	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	1/31/2017		\$963,029	\$246,708	\$963,029	
PGRM	>200KW PV	109841	Competitive	Y	OneEnergy Development LLC	NY Sun	2/6/2017		\$1,213,301			
PGRM	>200KW PV	110689	Competitive	Y	Swiss Re Management (US) Corporation	NY Sun	2/24/2017		\$1,703,211	\$417,356	\$1,703,210	
PGRM	>200KW PV	110694	Competitive	Y	Renovus Energy, Inc.	NY Sun	2/24/2017		\$72,097	\$16,461	\$67,817	
PGRM	>200KW PV	110941	Competitive	Y	GES MEGAELEVEN, LLC	NY Sun	3/2/2017		\$684,184	\$155,756	\$652,793	
PGRM	>200KW PV	110945	Competitive	Y	Tesla Energy Operations Inc	NY Sun	3/2/2017		\$50,023	\$15,601	\$40,613	
PGRM	>200KW PV	110957	Competitive	Y	Tesla Energy Operations Inc	NY Sun	3/2/2017		\$938,817			
PGRM	>200KW PV	110958	Competitive	Y	Tesla Energy Operations Inc	NY Sun	3/2/2017		\$883,593			
PGRM	>200KW PV	111081	Competitive	Y	EnterSolar LLC	NY Sun	3/6/2017		\$170,924	\$36,041	\$155,885	
PGRM	>200KW PV	111237	Competitive	Y	Grabinski Solar LLC	NY Sun	3/8/2017		\$1,045,803	\$260,599	\$783,500	
PGRM	>200KW PV	111239	Competitive	Y	Grand Island Solar, LLC	NY Sun	3/8/2017		\$838,706			
PGRM	>200KW PV	111245	Competitive	Y	ACM Medical Laboratory, Inc.	NY Sun	3/8/2017		\$193,402	\$36,086	\$158,836	
PGRM	>200KW PV	111433	Competitive	Y	EnterSolar LLC	NY Sun	3/13/2017		\$315,050	\$81,924	\$312,731	
PGRM	>200KW PV	111751	Competitive	Y	Golden Hill Solar, LLC	NY Sun	3/20/2017		\$992,984			
PGRM	>200KW PV	111754	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun	3/20/2017		\$992,984	\$211,457	\$707,949	
PGRM	>200KW PV	111759	Competitive	Y	OneEnergy Development LLC	NY Sun	3/20/2017		\$981,409			
PGRM	>200KW PV	111819	Competitive	Y	Mastercard International	NY Sun	3/21/2017		\$254,412	\$36,504	\$199,171	
PGRM	>200KW PV	111828	Competitive	Y	Delaware River Solar, LLC	NY Sun	3/21/2017		\$940,526			
PGRM	>200KW PV	111834	Competitive	Y	Landau Solar, LLC	NY Sun	3/21/2017		\$654,947			
PGRM	>200KW PV	112455	Competitive	Y	Halfpenny Solar LLC	NY Sun	4/5/2017		\$992,984			
PGRM	>200KW PV	112460	Competitive	Y	Red Mill Solar, LLC	NY Sun	4/5/2017		\$654,947			
PGRM	>200KW PV	112829	Competitive	Y	Green Street Power Partners LLC	NY Sun	4/17/2017		\$151,718	\$38,845	\$151,445	

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		Term > 1															
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date					
PGRM	>200KW PV	112830	Competitive	Y	McCarthy Solar, LLC	NY Sun	4/17/2017		\$654,947								
PGRM	>200KW PV	112831	Competitive	Y	Cornell University	NY Sun	4/17/2017		\$516,417		\$114,620	\$474,383					
PGRM	>200KW PV	112834	Competitive	Y	Finger Lakes Extrusion	NY Sun	4/17/2017		\$121,298		\$27,842	\$110,924					
PGRM	>200KW PV	112835	Competitive	Y	Goya Foods, Inc.	NY Sun	4/17/2017		\$303,987		\$63,482	\$281,296					
PGRM	>200KW PV	112838	Competitive	Y	Wawayanda Solar LLC	NY Sun	4/17/2017		\$214,854		\$107,427	\$107,427					
PGRM	>200KW PV	112839	Competitive	Y	Ruggirello Solar, LLC	NY Sun	4/17/2017		\$654,947								
PGRM	>200KW PV	113403	Competitive	Y	CON EDISON SOLUTIONS, INC.	NY Sun	5/3/2017		\$101,105		\$14,697	\$39,910					
PGRM	>200KW PV	113499	Competitive	Y	The Cadmus Group, LLC	RFQL3434 Cat1 NYSUN C/I	5/5/2017		\$821,655		\$1,215	\$154,802					
PGRM	>200KW PV	115586	Competitive	Y	Greenskies Renewable Energy LLC	NY Sun	7/10/2017		\$98,211		\$24,114	\$93,090					
PGRM	>200KW PV	115592	Competitive	Y	Candor Central School	NY Sun	7/10/2017		\$22,759			\$15,571					
PGRM	>200KW PV	115593	Competitive	Y	ForeFront Power, LLC	NY Sun	7/10/2017		\$45,103		\$12,216	\$34,768					
PGRM	>200KW PV	115595	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun	7/10/2017		\$654,947		\$161,764	\$489,237					
PGRM	>200KW PV	115597	Competitive	Y	Omni-Navitas - MAP-ES NY LLC	NY Sun	7/10/2017		\$601,646								
PGRM	>200KW PV	115599	Competitive	Y	UGE USA Inc.	NY Sun	7/10/2017		\$451,142								
PGRM	>200KW PV	115601	Competitive	Y	UGE USA Inc.	NY Sun	7/10/2017		\$258,008								
PGRM	>200KW PV	115602	Competitive	Y	UGE USA Inc.	NY Sun	7/10/2017		\$446,171								
PGRM	>200KW PV	115603	Competitive	Y	Nexamp Inc.	NY Sun	7/10/2017		\$524,579		\$159,404	\$421,693					
PGRM	>200KW PV	115604	Competitive	Y	Hudson Solar	NY Sun	7/10/2017		\$84,888		\$19,926	\$62,371					
PGRM	>200KW PV	116253	Competitive	Y	Generate NY Solar Community Lessor III,	NY Sun	7/25/2017		\$654,947		\$327,474	\$327,474					
PGRM	>200KW PV	116255	Competitive	Y	Freehold Solar, LLC	NY Sun	7/25/2017		\$992,984								
PGRM	>200KW PV	116256	Competitive	Y	Howland Solar, LLC	NY Sun	7/25/2017		\$992,984			\$643,268					
PGRM	>200KW PV	116260	Competitive	Y	Abundant Solar Power Inc.	NY Sun	7/25/2017		\$567,802		\$130,372	\$414,273					
PGRM	>200KW PV	116262	Competitive	Y	Abundant Solar Power Inc.	NY Sun	7/25/2017		\$567,802		\$129,671	\$413,572					
PGRM	>200KW PV	116264	Competitive	Y	Omni-Navitas - MAP-ES NY LLC	NY Sun	7/25/2017	\$582,369	\$1,184,016								
PGRM	>200KW PV	116265	Competitive	Y	Omni-Navitas - MAP-ES NY LLC	NY Sun	7/25/2017		\$601,646								
PGRM	>200KW PV	116266	Competitive	Y	Omni-Navitas - MAP-ES NY LLC	NY Sun	7/25/2017		\$601,646								
PGRM	>200KW PV	116283	Competitive	Y	USS New Scotland 1 LLC	NY Sun	7/25/2017		\$404,332								
PGRM	>200KW PV	116284	Competitive	Y	Solar Energy Systems, LLC	NY Sun	7/25/2017		\$328,176			\$248,571					
PGRM	>200KW PV	116287	Competitive	Y	Abundant Solar Power Inc.	NY Sun	7/25/2017		\$408,126		\$93,348	\$297,410					
PGRM	>200KW PV	116288	Competitive	Y	Slate Hill Solar LLC	NY Sun	7/25/2017		\$388,900								
PGRM	>200KW PV	116289	Competitive	Y	Lockenurst Pond Solar LLC	NY Sun	7/25/2017		\$530,365								
PGRM	>200KW PV	116291	Competitive	Y	Renovus Energy, Inc.	NY Sun	7/25/2017		\$237,872		\$54,354	\$228,336					
PGRM	>200KW PV	116913	Competitive	Y	Whitehaven Solar A, LLC	NY Sun-Assignment	8/10/2017		\$1,423,249								
PGRM	>200KW PV	116918	Competitive	Y	Minisink Solar LLC	NY Sun	8/10/2017		\$222,090								
PGRM	>200KW PV	116924	Competitive	Y	Nexamp Inc.	NY Sun	8/10/2017		\$413,317								
PGRM	>200KW PV	116925	Competitive	Y	Xzerta Energy Group LLC	NY Sun	8/10/2017	\$529,046	\$1,058,092								
PGRM	>200KW PV	116926	Competitive	Y	Xzerta Energy Group LLC	NY Sun	8/10/2017		\$529,046								
PGRM	>200KW PV	117467	Competitive	Y	Janiak Solar, LLC	NY Sun	8/23/2017		\$845,093								
PGRM	>200KW PV	117468	Competitive	Y	Pine Plains School District	NY Sun	8/23/2017		\$124,677			\$37,338					
PGRM	>200KW PV	117931	Competitive	Y	Tangent Energy Solutions, Inc.	NY Sun	9/7/2017		\$145,848								
PGRM	>200KW PV	117932	Competitive	Y	Tangent Energy Solutions, Inc.	NY Sun	9/7/2017		\$195,743								
PGRM	>200KW PV	117935	Competitive	Y	Tangent Energy Solutions, Inc.	NY Sun	9/7/2017		\$149,260								
PGRM	>200KW PV	117936	Competitive	Y	Tangent Energy Solutions, Inc.	NY Sun	9/7/2017		\$322,401								
PGRM	>200KW PV	117937	Competitive	Y	Brooklyn Solar One LLC	NY Sun	9/7/2017		\$303,210		\$74,136	\$300,660					
PGRM	>200KW PV	117938	Competitive	Y	Brooklyn Solar Two LLC	NY Sun	9/7/2017		\$547,570		\$128,577	\$526,447					
PGRM	>200KW PV	118444	Competitive	Y	Southport, LLC	NY Sun	9/25/2017		\$447,886			\$111,972					
PGRM	>200KW PV	118450	Competitive	Y	Solar Provider Group LLC	NY Sun	9/25/2017		\$136,387								
PGRM	>200KW PV	119403	Competitive	Y	Brodhead Solar, LLC	NY Sun	10/20/2017		\$654,947								
PGRM	>200KW PV	119404	Competitive	Y	Port Jervis Solar Project One, LLC	NY Sun	10/20/2017		\$119,980		\$22,949	\$82,939					
PGRM	>200KW PV	119407	Competitive	Y	Solar Provider Group LLC	NY Sun	10/20/2017		\$274,679								
PGRM	>200KW PV	120829	Competitive	Y	Xzerta Energy Group LLC	NY Sun	11/20/2017		\$529,046								
PGRM	>200KW PV	120830	Competitive	Y	You Save Green Incorporated	NY Sun	11/20/2017		\$58,307								
PGRM	>200KW PV	120832	Competitive	Y	NYS Department of Health	NY Sun	11/20/2017		\$89,836		\$20,278	\$65,196					
PGRM	>200KW PV	120833	Competitive	Y	East Fishkill Solar LLC	NY Sun	11/20/2017		\$310,655		\$155,327	\$155,327					
PGRM	>200KW PV	122070	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	12/26/2017		\$99,379								
PGRM	>200KW PV	122074	Competitive	Y	Abundant Solar Power Inc.	NY Sun	12/26/2017		\$189,659		\$40,108	\$134,938					
PGRM	>200KW PV	123322	Competitive	Y	Landau Solar II LLC	NY Sun	2/12/2018	\$472,782	\$948,147								
PGRM	>200KW PV	123324	Competitive	Y	Cooper Friedman Electric Supply Co. Inc.	NY Sun	2/12/2018		\$122,624								
PGRM	>200KW PV	123325	Competitive	Y	OnForce Solar	NY Sun	2/12/2018		\$643,157		\$142,800	\$617,482					
PGRM	>200KW PV	123326	Competitive	Y	Regeneron Pharmaceuticals Inc.	NY Sun	2/12/2018		\$624,240		\$152,438	\$577,709					
PGRM	>200KW PV	126303	Competitive	Y	Tesla Energy Operations Inc	NY Sun	4/30/2018		\$236,308		\$27,881	\$120,990					
PGRM	>200KW PV	127658	Competitive	Y	Socore Energy, LLC	NY Sun	6/6/2018		\$311,485		\$155,743	\$155,743					
PGRM	>200KW PV	127942	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	6/18/2018		\$59,920		\$59,920	\$59,920					
PGRM	>200KW PV	127954	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	6/18/2018		\$37,648								
PGRM	>200KW PV	127989	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	6/18/2018		\$31,760								
PGRM	>200KW PV	127990	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	6/18/2018		\$48,912								
PGRM	>200KW PV	128024	Competitive	Y	Dynamic Energy Solutions, LLC	NY Sun	6/18/2018		\$44,048								
PGRM	>200KW PV	128489	Competitive	Y	Harvest Power, LLC	NY Sun	7/2/2018		\$88,200		\$72,624	\$72,624					
PGRM	>200KW PV	129112	Competitive	Y	Citizens Chenango Solar LLC	NY Sun	7/18/2018		\$1,535,963		\$368,284	\$1,136,265					
PGRM	>200KW PV	130014	Competitive	Y	Safari Energy LLC	NY Sun	8/13/2018		\$43,524		\$43,524	\$43,524					
PGRM	>200KW PV	131575	Competitive	Y	Premier Solar Solutions LLC	NY Sun	9/24/2018		\$27,648		\$25,488	\$25,488					
PGRM	>200KW PV	131687	Competitive	Y	Florenton River LLC	NY Sun	9/25/2018		\$12,870		\$6,435	\$6,435					
PGRM	>200KW PV	131892	Competitive	Y	Safari Energy LLC	NY Sun	10/1/2018		\$39,600								
PGRM	>200KW PV	133071	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/26/2018		\$1,309,770								
PGRM	>200KW PV	133073	Competitive	Y	RER Energy Group LLC	NY Sun	10/26/2018		\$932,432								
PGRM	>200KW PV	134165	Competitive	Y	237 State Route 96 Site 1, LLC	NY Sun	11/19/2018		\$1,580,800								
PGRM	>200KW PV	134166	Competitive	Y	237 State Route 96 Site 2, LLC	NY Sun	11/19/2018		\$1,501,760								
PGRM	>200KW PV	135458	Competitive	Y	Premier Solar Solutions LLC	NY Sun	12/21/2018		\$21,681								
PGRM	>200KW PV	135524	Competitive	Y	OnForce Solar	NY Sun	12/26/2018		\$454,896								
PGRM	>200KW PV	135625	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/28/2018		\$1,503,158								
PGRM	>200KW PV	135626	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/28/2018		\$1,874,340								

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	135847	Competitive	Y	FINCHVILLE TPKE LLC	NY Sun	1/7/2019		\$749,700		
PGRM	>200KW PV	136000	Competitive	Y	Amphenol Corporation	NY Sun	1/10/2019		\$978,075	\$221,105	\$710,143
PGRM	>200KW PV	136001	Competitive	Y	Amphenol Corporation	NY Sun	1/10/2019		\$1,238,895	\$296,458	\$905,905
PGRM	>200KW PV	136002	Competitive	Y	Little Falls Solar I, LLC	NY Sun	1/10/2019		\$719,280	\$359,640	\$359,640
PGRM	>200KW PV	136003	Competitive	Y	Westtown NY 2, LLC	NY Sun	1/10/2019		\$689,430	\$344,715	\$344,715
PGRM	>200KW PV	136019	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	1/14/2019		\$205,200	\$102,600	\$102,600
PGRM	>200KW PV	136111	Competitive	Y	Harvest Power, LLC	>200KW PV	1/15/2019		\$11,271		
PGRM	>200KW PV	136112	Competitive	Y	Harvest Power, LLC	>200KW PV	1/15/2019		\$17,492	\$17,492	\$17,492
PGRM	>200KW PV	136564	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	1/29/2019		\$38,880	\$38,880	\$38,880
PGRM	>200KW PV	136831	Competitive	Y	Safari Energy LLC	>200KW PV	2/5/2019		\$372,000	\$186,000	\$186,000
PGRM	>200KW PV	136935	Competitive	Y	OYA State Route 122 LLC	NY Sun	2/7/2019		\$1,875,000		
PGRM	>200KW PV	137008	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	2/11/2019		\$44,082	\$44,082	\$44,082
PGRM	>200KW PV	137250	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/20/2019		\$10,368	\$9,120	\$9,120
PGRM	>200KW PV	137255	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/20/2019		\$10,368	\$7,752	\$7,752
PGRM	>200KW PV	137256	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/20/2019		\$10,584	\$4,560	\$4,560
PGRM	>200KW PV	137258	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/20/2019		\$10,368	\$7,980	\$7,980
PGRM	>200KW PV	137262	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/20/2019		\$11,016	\$7,752	\$7,752
PGRM	>200KW PV	137373	Competitive	Y	Quest Home Improvement Inc	PON 2112 NY SUN	2/21/2019		\$26,508		
PGRM	>200KW PV	137401	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	2/21/2019		\$1,611,090		
PGRM	>200KW PV	137402	Competitive	Y	Sparrowbush NY 1, LLC	NY Sun	2/21/2019		\$718,200		
PGRM	>200KW PV	137403	Competitive	Y	Sparrowbush NY 2, LLC	NY Sun	2/21/2019		\$374,938		
PGRM	>200KW PV	137407	Competitive	Y	CDG Huron Solar 1 LLC	NY Sun	2/21/2019		\$437,805		\$218,903
PGRM	>200KW PV	137521	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	2/26/2019		\$24,642	\$24,642	\$24,642
PGRM	>200KW PV	137821	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$1,850,648		
PGRM	>200KW PV	137823	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$1,301,198		
PGRM	>200KW PV	137824	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$1,655,438		
PGRM	>200KW PV	137825	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$1,501,031		
PGRM	>200KW PV	137826	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$1,442,813		
PGRM	>200KW PV	137828	Competitive	Y	JOGEE ROAD LLC	NY Sun	3/7/2019		\$749,700		
PGRM	>200KW PV	137928	Competitive	Y	Best Energy Power	>200KW PV	3/11/2019		\$108,536		
PGRM	>200KW PV	138306	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	3/21/2019		\$21,978		
PGRM	>200KW PV	138350	Competitive	Y	Lapeer-Cortland Solar, LLC	NY Sun	3/22/2019		\$1,500,000		
PGRM	>200KW PV	138352	Competitive	Y	McMullen Road LLC	NY Sun	3/22/2019		\$599,760		
PGRM	>200KW PV	138359	Competitive	Y	High Peaks Solar	NY Sun	3/25/2019		\$826,848		
PGRM	>200KW PV	138360	Competitive	Y	High Peaks Solar	NY Sun	3/25/2019		\$1,254,528		
PGRM	>200KW PV	138416	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	3/27/2019		\$20,424	\$20,424	\$20,424
PGRM	>200KW PV	138833	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	4/4/2019		\$77,760	\$77,760	\$77,760
PGRM	>200KW PV	138879	Competitive	Y	OnForce Solar	PON 2112 NY SUN	4/5/2019		\$74,898		
PGRM	>200KW PV	138880	Competitive	Y	OnForce Solar	PON 2112 NY SUN	4/5/2019		\$473,040		
PGRM	>200KW PV	138944	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	4/8/2019		\$17,640		
PGRM	>200KW PV	138996	Competitive	Y	Kisco River Solar, LLC	>200KW PV	4/9/2019		\$513,975	\$477,792	\$477,792
PGRM	>200KW PV	139026	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	4/10/2019		\$26,418	\$26,418	\$26,418
PGRM	>200KW PV	139438	Competitive	Y	Grid City Electric Corp	>200KW PV	4/23/2019		\$57,584	\$28,792	\$28,792
PGRM	>200KW PV	139478	Competitive	Y	Bright Power, Inc.	>200KW PV	4/24/2019		\$21,240		
PGRM	>200KW PV	139479	Competitive	Y	Bright Power, Inc.	>200KW PV	4/24/2019		\$12,600		
PGRM	>200KW PV	139481	Competitive	Y	Bright Power, Inc.	>200KW PV	4/24/2019		\$27,360		
PGRM	>200KW PV	139782	Competitive	Y	Solar Energy Systems, LLC	PON 2112 NY SUN	5/1/2019		\$39,000	\$39,000	\$39,000
PGRM	>200KW PV	140102	Competitive	Y	Bright Power, Inc.	>200KW PV	5/9/2019		\$74,432		
PGRM	>200KW PV	140323	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	5/16/2019		\$10,584		
PGRM	>200KW PV	140333	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	5/16/2019		\$11,448	\$10,032	\$10,032
PGRM	>200KW PV	140341	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	5/16/2019		\$178,920		
PGRM	>200KW PV	140378	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	5/16/2019		\$1,229,904		
PGRM	>200KW PV	140379	Competitive	Y	ELP Greenport Solar LLC	NY Sun	5/16/2019		\$1,336,000	\$668,000	\$668,000
PGRM	>200KW PV	140922	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	6/3/2019		\$59,292	\$59,292	\$59,292
PGRM	>200KW PV	140929	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	6/3/2019		\$52,887	\$52,887	\$52,887
PGRM	>200KW PV	140957	Competitive	Y	Lapeer-Cortland Solar, LLC	NY Sun	6/3/2019		\$1,500,000		
PGRM	>200KW PV	140958	Competitive	Y	Lapeer-Cortland Solar, LLC	NY Sun	6/3/2019		\$1,500,000		
PGRM	>200KW PV	140959	Competitive	Y	CON EDISON SOLUTIONS, INC.	NY Sun	6/3/2019		\$249,480	\$124,740	\$124,740
PGRM	>200KW PV	140967	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/4/2019		\$10,368	\$8,436	\$8,436
PGRM	>200KW PV	141363	Competitive	Y	Bright Power, Inc.	>200KW PV	6/13/2019		\$57,152	\$57,152	\$57,152
PGRM	>200KW PV	141462	Competitive	Y	Sol Alliance Solar Development	PON 2112 NY SUN	6/18/2019		\$28,860	\$28,860	\$28,860
PGRM	>200KW PV	141481	Competitive	Y	Sol Alliance Solar Development	PON 2112 NY SUN	6/18/2019		\$24,864	\$24,864	\$24,864
PGRM	>200KW PV	141505	Competitive	Y	Analemma Solar Corp.	PON 2112 NY SUN	6/19/2019		\$21,600		
PGRM	>200KW PV	141507	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	6/19/2019		\$126,087	\$63,043	\$63,043
PGRM	>200KW PV	141508	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	6/19/2019		\$149,877	\$74,938	\$74,938
PGRM	>200KW PV	141526	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	6/19/2019		\$63,867	\$63,867	\$63,867
PGRM	>200KW PV	141567	Competitive	Y	Grid City Electric Corp	>200KW PV	6/19/2019		\$23,760		
PGRM	>200KW PV	141569	Competitive	Y	Pepsico, Inc.	>200KW PV	6/19/2019		\$601,176	\$601,176	\$601,176
PGRM	>200KW PV	141699	Competitive	Y	Little Falls Solar, LLC	NY Sun	6/21/2019		\$589,410	\$294,705	\$294,705
PGRM	>200KW PV	141700	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	6/21/2019		\$1,018,710		
PGRM	>200KW PV	141736	Competitive	Y	Solar Star Prime 2, LLC	>200KW PV	6/24/2019		\$4,254,624	\$4,200,768	\$4,200,768
PGRM	>200KW PV	141862	Competitive	Y	Generate Capital Inc.	>200KW PV	6/26/2019		\$127,926		
PGRM	>200KW PV	141864	Competitive	Y	Generate Capital Inc.	>200KW PV	6/26/2019		\$86,112		
PGRM	>200KW PV	141894	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	6/27/2019		\$12,870		
PGRM	>200KW PV	141896	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	6/27/2019		\$10,530		
PGRM	>200KW PV	141899	Competitive	Y	Best Energy Power	PON 2112 NY SUN	6/27/2019		\$90,168		
PGRM	>200KW PV	141982	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	7/1/2019		\$266,976		
PGRM	>200KW PV	141996	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	7/1/2019		\$445,824		
PGRM	>200KW PV	141998	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	7/1/2019		\$298,080		
PGRM	>200KW PV	142006	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	7/1/2019		\$406,944	\$406,944	\$406,944
PGRM	>200KW PV	142028	Competitive	Y	Empower CES, LLC	>200KW PV	7/1/2019		\$19,712		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	142112	Competitive	Y	Susten Corp	PON 2112 NY SUN	7/3/2019		\$31,200		
PGRM	>200KW PV	142148	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	7/5/2019		\$1,446,432		
PGRM	>200KW PV	142149	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	7/5/2019		\$998,128		
PGRM	>200KW PV	142609	Competitive	Y	Generate Capital Inc.	>200KW PV	7/17/2019		\$274,068		
PGRM	>200KW PV	142611	Competitive	Y	Generate Capital Inc.	>200KW PV	7/17/2019		\$147,798		
PGRM	>200KW PV	142612	Competitive	Y	Generate Capital Inc.	>200KW PV	7/17/2019		\$96,876		
PGRM	>200KW PV	142687	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	7/19/2019		\$21,600		
PGRM	>200KW PV	142818	Competitive	Y	Bright Power, Inc.	>200KW PV	7/23/2019		\$78,536	\$78,536	\$78,536
PGRM	>200KW PV	142826	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	7/24/2019		\$15,984	\$15,552	\$15,552
PGRM	>200KW PV	142860	Competitive	Y	BJ's Wholesale Club	>200KW PV	7/24/2019		\$372,186	\$372,186	\$372,186
PGRM	>200KW PV	142861	Competitive	Y	BJ's Wholesale Club	>200KW PV	7/24/2019		\$376,326		\$374,544
PGRM	>200KW PV	142862	Competitive	Y	BJ's Wholesale Club	>200KW PV	7/24/2019		\$432,216	\$432,216	\$432,216
PGRM	>200KW PV	142863	Competitive	Y	BJ's Wholesale Club	>200KW PV	7/24/2019		\$345,276	\$345,276	\$345,276
PGRM	>200KW PV	142865	Competitive	Y	BJ's Wholesale Club	>200KW PV	7/24/2019		\$422,280	\$422,280	\$422,280
PGRM	>200KW PV	142915	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	7/25/2019		\$20,304	\$20,304	\$20,304
PGRM	>200KW PV	142950	Competitive	Y	CVE North America, Inc.	PON 2112 NY SUN	7/26/2019		\$808,320		
PGRM	>200KW PV	143089	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	8/1/2019		\$44,178	\$44,178	\$44,178
PGRM	>200KW PV	143217	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	8/5/2019		\$17,640		
PGRM	>200KW PV	143241	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	8/5/2019		\$256,074		
PGRM	>200KW PV	143242	Competitive	Y	ELP Kinderhook Solar LLC	NY Sun	8/5/2019		\$1,497,600	\$748,800	\$748,800
PGRM	>200KW PV	143243	Competitive	Y	Solitude Solar LLC	NY Sun	8/5/2019		\$1,447,200		
PGRM	>200KW PV	143244	Competitive	Y	Vil of Coxsackie Muni Solar Project One,	NY Sun	8/5/2019		\$173,880		
PGRM	>200KW PV	143256	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	8/5/2019		\$46,080		
PGRM	>200KW PV	143620	Competitive	Y	Monolith Solar Associates, LLC	NY Sun	8/12/2019		\$780,000		
PGRM	>200KW PV	143622	Competitive	Y	Saratoga Solar LLC	NY Sun	8/12/2019		\$1,254,448	\$627,224	\$627,224
PGRM	>200KW PV	144100	Competitive	Y	Bartram Solar, LLC	NY Sun	8/23/2019		\$1,198,125		
PGRM	>200KW PV	144101	Competitive	Y	Cypress Creek Renewables LLC	NY Sun	8/23/2019		\$1,124,970		
PGRM	>200KW PV	144102	Competitive	Y	Cypress Creek Renewables LLC	NY Sun	8/23/2019		\$1,124,970		
PGRM	>200KW PV	144136	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	8/26/2019		\$21,450		
PGRM	>200KW PV	144416	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	9/4/2019	\$1,215	\$31,755	\$15,877	\$15,877
PGRM	>200KW PV	144418	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	9/4/2019		\$36,300		
PGRM	>200KW PV	144431	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	9/4/2019	\$1,023	\$31,563	\$15,781	\$15,781
PGRM	>200KW PV	144528	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	9/6/2019		\$97,905		
PGRM	>200KW PV	144556	Competitive	Y	Solitude Solar LLC	NY Sun	9/6/2019		\$734,400		
PGRM	>200KW PV	144557	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	9/6/2019		\$420,810		
PGRM	>200KW PV	144559	Competitive	Y	Lodestar Energy	NY Sun	9/6/2019		\$1,472,120		
PGRM	>200KW PV	144753	Competitive	Y	Harvest Power, LLC	>200KW PV	9/11/2019		\$33,335		
PGRM	>200KW PV	144802	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	9/12/2019		\$54,300		
PGRM	>200KW PV	144806	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	9/12/2019		\$18,870		
PGRM	>200KW PV	144810	Competitive	Y	Bright Power, Inc.	>200KW PV	9/12/2019		\$67,817	\$33,908	\$33,908
PGRM	>200KW PV	144811	Competitive	Y	Bright Power, Inc.	>200KW PV	9/12/2019		\$84,800		
PGRM	>200KW PV	144875	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	9/13/2019		\$46,380		
PGRM	>200KW PV	144933	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	9/17/2019		\$34,410	\$34,410	\$34,410
PGRM	>200KW PV	144959	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	9/17/2019		\$21,978		
PGRM	>200KW PV	145028	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	9/18/2019		\$176,802		
PGRM	>200KW PV	145208	Competitive	Y	Elmbrook Solar, LLC	NY Sun	9/23/2019		\$1,498,728		
PGRM	>200KW PV	145210	Competitive	Y	Abundant Solar Power Inc.	NY Sun	9/23/2019		\$252,288		
PGRM	>200KW PV	145213	Competitive	Y	Erin Renewables, LLC	NY Sun	9/23/2019		\$587,412		
PGRM	>200KW PV	145503	Competitive	Y	AMP US HoldCo Inc	NY SUN C&I	9/30/2019		\$1,310,400		
PGRM	>200KW PV	145754	Competitive	Y	Summit Solar Company LLC	PON 2112 NY SUN	10/7/2019		\$52,392	\$25,308	\$25,308
PGRM	>200KW PV	145807	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/7/2019		\$1,480,024		
PGRM	>200KW PV	145808	Competitive	Y	Oak Hill Solar 2, LLC	NY Sun	10/7/2019		\$1,498,728		
PGRM	>200KW PV	145809	Competitive	Y	Oak Hill Solar 1, LLC	NY Sun	10/7/2019		\$1,498,728		
PGRM	>200KW PV	145811	Competitive	Y	AMERESCO, Inc.	NY Sun	10/7/2019		\$2,058,210		
PGRM	>200KW PV	145813	Competitive	Y	Psychedelic Solar LLC	NY Sun	10/7/2019		\$1,294,704		
PGRM	>200KW PV	145815	Competitive	Y	AMP US HoldCo Inc.	NY Sun	10/7/2019		\$864,864		
PGRM	>200KW PV	145816	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/7/2019		\$1,480,024		
PGRM	>200KW PV	145818	Competitive	Y	AMP US HoldCo Inc.	NY Sun	10/7/2019		\$424,200		
PGRM	>200KW PV	145819	Competitive	Y	AMP US HoldCo Inc.	NY Sun	10/7/2019		\$1,142,400		
PGRM	>200KW PV	145882	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	10/8/2019		\$51,098		
PGRM	>200KW PV	145883	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	10/8/2019		\$39,420		
PGRM	>200KW PV	145884	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	10/8/2019		\$54,164		
PGRM	>200KW PV	145971	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	10/10/2019		\$142,527	\$135,660	\$135,660
PGRM	>200KW PV	146048	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	10/15/2019		\$17,712	\$17,712	\$17,712
PGRM	>200KW PV	146141	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	10/15/2019		\$19,760		
PGRM	>200KW PV	146142	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	10/15/2019		\$19,760		
PGRM	>200KW PV	146211	Competitive	Y	Harvest Power, LLC	>200KW PV	10/16/2019		\$13,000	\$13,000	\$13,000
PGRM	>200KW PV	146290	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	10/21/2019		\$25,056		
PGRM	>200KW PV	146440	Competitive	Y	ESNY-SFSPN, LLC	>200KW PV	10/22/2019		\$288,450		
PGRM	>200KW PV	146631	Competitive	Y	AMERESCO, Inc.	NY Sun	10/25/2019		\$1,372,488		
PGRM	>200KW PV	146632	Competitive	Y	AMP US HoldCo Inc.	NY Sun	10/25/2019		\$838,656		
PGRM	>200KW PV	146633	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/25/2019		\$1,439,958		
PGRM	>200KW PV	146634	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/25/2019		\$899,964		
PGRM	>200KW PV	146635	Competitive	Y	Saturn Power Corporation	NY Sun	10/25/2019		\$1,330,560		
PGRM	>200KW PV	146636	Competitive	Y	Abundant Solar Power Inc.	NY Sun	10/25/2019		\$1,407,672		
PGRM	>200KW PV	146637	Competitive	Y	Nexamp Inc.	NY Sun	10/25/2019		\$931,288		
PGRM	>200KW PV	146638	Competitive	Y	AMP US HoldCo Inc.	NY Sun	10/25/2019		\$413,977		
PGRM	>200KW PV	146823	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	10/30/2019		\$23,310	\$23,310	\$23,310
PGRM	>200KW PV	146824	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	10/30/2019		\$41,292	\$41,292	\$41,292
PGRM	>200KW PV	146835	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	10/30/2019		\$485,514	\$242,757	\$242,757
PGRM	>200KW PV	147101	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/5/2019		\$28,560	\$28,560	\$28,560

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	147103	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/5/2019		\$25,704	\$25,704	\$25,704
PGRM	>200KW PV	147118	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/5/2019		\$16,116		
PGRM	>200KW PV	147142	Competitive	Y	Pepsi-Cola Technical Operations, Inc.	>200KW PV	11/5/2019		\$141,474	\$141,474	\$141,474
PGRM	>200KW PV	147266	Competitive	Y	LastMile Energy, LLC	PON 2112 NY SUN	11/7/2019		\$44,319	\$44,319	\$44,319
PGRM	>200KW PV	147635	Competitive	Y	UGE USA Inc.	PON 2112 NY SUN	11/18/2019		\$75,480		
PGRM	>200KW PV	147687	Competitive	Y	Dimension Energy LLC	>200KW PV	11/18/2019		\$2,384,280		
PGRM	>200KW PV	147690	Competitive	Y	DG New York CS, LLC	NY Sun	11/18/2019		\$1,275,000		
PGRM	>200KW PV	147691	Competitive	Y	Lodestar Energy	NY Sun	11/18/2019		\$887,536		
PGRM	>200KW PV	147692	Competitive	Y	West Valley Solar LLC	NY Sun	11/18/2019		\$2,250,000		
PGRM	>200KW PV	147693	Competitive	Y	West Valley Solar LLC	NY Sun	11/18/2019		\$2,250,000		
PGRM	>200KW PV	147694	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	11/18/2019		\$1,254,926		
PGRM	>200KW PV	147822	Competitive	Y	Harvest Power, LLC	>200KW PV	11/20/2019		\$5,850		
PGRM	>200KW PV	147898	Competitive	Y	Harvest Power, LLC	>200KW PV	11/21/2019		\$8,775		
PGRM	>200KW PV	147900	Competitive	Y	Harvest Power, LLC	>200KW PV	11/21/2019		\$5,850		
PGRM	>200KW PV	147901	Competitive	Y	Harvest Power, LLC	>200KW PV	11/21/2019		\$31,415		
PGRM	>200KW PV	147903	Competitive	Y	Harvest Power, LLC	>200KW PV	11/21/2019		\$50,744		
PGRM	>200KW PV	148082	Competitive	Y	Harvest Power, LLC	>200KW PV	11/25/2019		\$8,125		
PGRM	>200KW PV	148083	Competitive	Y	Harvest Power, LLC	>200KW PV	11/25/2019		\$30,805		
PGRM	>200KW PV	148154	Competitive	Y	Harvest Power, LLC	>200KW PV	11/26/2019		\$7,800		
PGRM	>200KW PV	148156	Competitive	Y	Harvest Power, LLC	>200KW PV	11/26/2019	\$90	\$5,940		
PGRM	>200KW PV	148172	Competitive	Y	William Reynolds	PON 2112 NY SUN	11/27/2019		\$29,760		
PGRM	>200KW PV	148179	Competitive	Y	William Reynolds	PON 2112 NY SUN	11/27/2019		\$22,320		
PGRM	>200KW PV	148215	Competitive	Y	Harvest Power, LLC	>200KW PV	11/27/2019		\$5,850		
PGRM	>200KW PV	148216	Competitive	Y	Harvest Power, LLC	>200KW PV	11/27/2019		\$5,200		
PGRM	>200KW PV	148218	Competitive	Y	Harvest Power, LLC	>200KW PV	11/27/2019		\$16,250		
PGRM	>200KW PV	148346	Competitive	Y	Solar Energy Systems, LLC	>200KW PV	12/3/2019		\$34,560	\$34,560	\$34,560
PGRM	>200KW PV	148347	Competitive	Y	Harvest Power, LLC	>200KW PV	12/3/2019		\$12,675		
PGRM	>200KW PV	148348	Competitive	Y	Harvest Power, LLC	>200KW PV	12/3/2019		\$28,670		
PGRM	>200KW PV	148373	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	12/4/2019		\$29,898	\$29,898	\$29,898
PGRM	>200KW PV	148417	Competitive	Y	Generate Capital Inc.	>200KW PV	12/4/2019		\$140,778		
PGRM	>200KW PV	148420	Competitive	Y	Generate Capital Inc.	>200KW PV	12/4/2019		\$141,726		
PGRM	>200KW PV	148423	Competitive	Y	Generate Capital Inc.	>200KW PV	12/4/2019		\$147,414		
PGRM	>200KW PV	148426	Competitive	Y	Generate Capital Inc.	>200KW PV	12/4/2019		\$100,014		
PGRM	>200KW PV	148491	Competitive	Y	CES Danbury Solar, LLC	PON 2112 NY SUN	12/5/2019		\$135,090		
PGRM	>200KW PV	148499	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	12/5/2019		\$18,150		
PGRM	>200KW PV	148573	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	12/9/2019		\$25,308	\$25,308	\$25,308
PGRM	>200KW PV	148648	Competitive	Y	Bright Power, Inc.	>200KW PV	12/9/2019		\$88,904	\$88,904	\$88,904
PGRM	>200KW PV	148725	Competitive	Y	Harvest Power, LLC	>200KW PV	12/10/2019		\$6,175		
PGRM	>200KW PV	148726	Competitive	Y	Harvest Power, LLC	>200KW PV	12/10/2019		\$7,800		
PGRM	>200KW PV	148782	Competitive	Y	Harvest Power, LLC	>200KW PV	12/11/2019		\$8,450		
PGRM	>200KW PV	148785	Competitive	Y	Harvest Power, LLC	>200KW PV	12/11/2019		\$23,485		
PGRM	>200KW PV	148874	Competitive	Y	Harvest Power, LLC	>200KW PV	12/12/2019		\$40,870		
PGRM	>200KW PV	149048	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$100,716		
PGRM	>200KW PV	149049	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$40,656	\$40,656	\$40,656
PGRM	>200KW PV	149056	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$64,218		
PGRM	>200KW PV	149057	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$42,966	\$42,966	\$42,966
PGRM	>200KW PV	149066	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$48,048		
PGRM	>200KW PV	149072	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$36,960	\$36,960	\$36,960
PGRM	>200KW PV	149074	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	12/17/2019		\$46,080		
PGRM	>200KW PV	149076	Competitive	Y	Grid City Electric Corp	PON 2112 NY SUN	12/17/2019		\$22,176	\$11,088	\$11,088
PGRM	>200KW PV	149080	Competitive	Y	Safari Energy LLC	PON 2112 NY SUN	12/17/2019		\$69,120		
PGRM	>200KW PV	149121	Competitive	Y	UGE USA Inc	PON 2112 NY SUN	12/17/2019		\$63,048		
PGRM	>200KW PV	149148	Competitive	Y	NY CDG 003 LLC	>200KW PV	12/17/2019		\$182,490	\$182,490	\$182,490
PGRM	>200KW PV	149149	Competitive	Y	NY CDG 001 LLC	>200KW PV	12/17/2019		\$115,656	\$115,656	\$115,656
PGRM	>200KW PV	149152	Competitive	Y	Generate Capital Inc.	>200KW PV	12/17/2019		\$188,178		
PGRM	>200KW PV	149155	Competitive	Y	Generate Capital Inc.	>200KW PV	12/17/2019		\$125,610		
PGRM	>200KW PV	149159	Competitive	Y	Generate Capital Inc.	>200KW PV	12/17/2019		\$125,610		
PGRM	>200KW PV	149161	Competitive	Y	Generate Capital Inc.	>200KW PV	12/17/2019		\$118,500		
PGRM	>200KW PV	149162	Competitive	Y	ESNY-PLD-JFKC, LLC	>200KW PV	12/17/2019		\$347,004		
PGRM	>200KW PV	149163	Competitive	Y	ESNY-PLD-JFKD, LLC	>200KW PV	12/17/2019		\$603,288		
PGRM	>200KW PV	149164	Competitive	Y	ESNY-JFK-PLDB LLC	>200KW PV	12/17/2019		\$419,832		
PGRM	>200KW PV	149166	Competitive	Y	ESNY-PLD-JFKA, LLC	>200KW PV	12/17/2019		\$373,716		
PGRM	>200KW PV	149173	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	12/18/2019		\$397,632	\$198,816	\$198,816
PGRM	>200KW PV	149208	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$39,040		
PGRM	>200KW PV	149211	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019	\$38,735	\$38,735		
PGRM	>200KW PV	149214	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$32,940		
PGRM	>200KW PV	149216	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$19,215		
PGRM	>200KW PV	149219	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$35,990		
PGRM	>200KW PV	149220	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$19,825		
PGRM	>200KW PV	149221	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$41,175		
PGRM	>200KW PV	149222	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$25,925		
PGRM	>200KW PV	149223	Competitive	Y	Harvest Power, LLC	>200KW PV	12/18/2019		\$29,280		
PGRM	>200KW PV	149274	Competitive	Y	Harvest Power, LLC	>200KW PV	12/19/2019		\$33,550		
PGRM	>200KW PV	149275	Competitive	Y	Harvest Power, LLC	>200KW PV	12/19/2019		\$36,905		
PGRM	>200KW PV	149277	Competitive	Y	Harvest Power, LLC	>200KW PV	12/19/2019		\$5,850		
PGRM	>200KW PV	149291	Competitive	Y	Harvest Power, LLC	>200KW PV	12/19/2019		\$5,200		
PGRM	>200KW PV	149405	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	12/23/2019		\$324,093	\$324,093	\$324,093
PGRM	>200KW PV	149406	Competitive	Y	You Save Green Incorporated	PON 2112 NY SUN	12/23/2019		\$483,840		
PGRM	>200KW PV	149431	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	12/23/2019		\$56,160		
PGRM	>200KW PV	149467	Competitive	Y	UGE USA Inc.	PON 2112 NY SUN	12/24/2019		\$173,160		
PGRM	>200KW PV	149480	Competitive	Y	NY CDG 002 LLC	>200KW PV	12/24/2019		\$200,976		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	149493	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	12/26/2019		\$32,448		\$32,448
PGRM	>200KW PV	149522	Competitive	Y	Silver Creek Solar, LLC	NY Sun	12/27/2019		\$1,275,000		
PGRM	>200KW PV	149523	Competitive	Y	Nexamp Inc.	NY Sun	12/27/2019		\$782,782		
PGRM	>200KW PV	149524	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/27/2019		\$1,100,498		
PGRM	>200KW PV	149525	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/27/2019		\$997,682		
PGRM	>200KW PV	149526	Competitive	Y	Lodestar Energy	NY Sun	12/27/2019		\$952,476		
PGRM	>200KW PV	149527	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/27/2019		\$1,097,102		
PGRM	>200KW PV	149528	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/27/2019		\$1,128,497		
PGRM	>200KW PV	149529	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	12/27/2019		\$327,910		
PGRM	>200KW PV	149530	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/27/2019		\$488,376		
PGRM	>200KW PV	149531	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/27/2019		\$1,200,010		
PGRM	>200KW PV	149532	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/27/2019		\$837,216		
PGRM	>200KW PV	149533	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/27/2019		\$418,608		
PGRM	>200KW PV	149534	Competitive	Y	Lodestar Energy	NY Sun	12/27/2019		\$1,275,000		
PGRM	>200KW PV	149535	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/27/2019		\$488,376		
PGRM	>200KW PV	149536	Competitive	Y	Prowind Renewables Inc.	NY Sun	12/27/2019		\$1,233,792		
PGRM	>200KW PV	149537	Competitive	Y	AMP US HoldCo Inc.	NY Sun	12/27/2019		\$1,173,245		
PGRM	>200KW PV	149597	Competitive	Y	Generate Capital Inc.	>200KW PV	12/30/2019		\$91,008		
PGRM	>200KW PV	149600	Competitive	Y	Generate Capital Inc.	>200KW PV	12/30/2019		\$142,200		
PGRM	>200KW PV	149601	Competitive	Y	Generate Capital Inc.	>200KW PV	12/30/2019		\$208,560		
PGRM	>200KW PV	149611	Competitive	Y	Generate Capital Inc.	>200KW PV	12/30/2019		\$258,804		
PGRM	>200KW PV	149616	Competitive	Y	Generate Capital Inc.	>200KW PV	12/30/2019		\$50,718		
PGRM	>200KW PV	149715	Competitive	Y	Bright Power, Inc.	>200KW PV	12/31/2019		\$77,672	\$77,672	\$77,672
PGRM	>200KW PV	149943	Competitive	Y	Grid City Electric Corp	>200KW PV	1/8/2020		\$21,900		
PGRM	>200KW PV	150130	Competitive	Y	ESNY-PLD-BRONX LLC	>200KW PV	1/13/2020		\$391,356		
PGRM	>200KW PV	150173	Competitive	Y	Astrum Solar, Inc.	PON 2112 NY SUN	1/14/2020		\$162,430		
PGRM	>200KW PV	150195	Competitive	Y	Aeonsolar	PON 2112 NY SUN	1/15/2020		\$11,376		
PGRM	>200KW PV	150235	Competitive	Y	Bright Power, Inc.	>200KW PV	1/15/2020		\$49,320		
PGRM	>200KW PV	150307	Competitive	Y	DG New York CS, LLC	NY Sun	1/16/2020		\$740,682		
PGRM	>200KW PV	150308	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	1/16/2020		\$1,065,178		
PGRM	>200KW PV	150309	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	1/16/2020		\$1,041,012		
PGRM	>200KW PV	150310	Competitive	Y	Lower Road II LLC	NY Sun	1/16/2020		\$511,877		
PGRM	>200KW PV	150311	Competitive	Y	Lower Road III LLC	NY Sun	1/16/2020		\$511,877		
PGRM	>200KW PV	150312	Competitive	Y	MyPower Corp.	NY Sun	1/16/2020		\$508,470		
PGRM	>200KW PV	150313	Competitive	Y	Delaware River Solar, LLC	NY Sun	1/16/2020		\$1,116,288		
PGRM	>200KW PV	150314	Competitive	Y	Wawayanda Solar LLC	NY Sun	1/16/2020	\$378,378	\$807,206		
PGRM	>200KW PV	150315	Competitive	Y	Prowind Renewables Inc.	NY Sun	1/16/2020		\$908,208		
PGRM	>200KW PV	150316	Competitive	Y	ForeFront Power, LLC	NY Sun	1/16/2020		\$1,049,963		
PGRM	>200KW PV	150317	Competitive	Y	Prowind Renewables Inc.	NY Sun	1/16/2020		\$987,034		
PGRM	>200KW PV	150318	Competitive	Y	Prowind Renewables Inc.	NY Sun	1/16/2020		\$1,233,792		
PGRM	>200KW PV	150436	Competitive	Y	CubeSmart TRS Inc	>200KW PV	1/21/2020		\$30,340	\$30,340	\$30,340
PGRM	>200KW PV	150438	Competitive	Y	Generate Capital Inc.	>200KW PV	1/21/2020		\$181,152		
PGRM	>200KW PV	150510	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	1/23/2020		\$17,160		
PGRM	>200KW PV	150549	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	1/24/2020		\$28,080		
PGRM	>200KW PV	150762	Competitive	Y	Solitude Solar LLC	NY Sun	1/30/2020		\$495,040		
PGRM	>200KW PV	150763	Competitive	Y	Solitude Solar LLC	NY Sun	1/30/2020		\$495,040		
PGRM	>200KW PV	150764	Competitive	Y	Solitude Solar LLC	NY Sun	1/30/2020		\$495,040		
PGRM	>200KW PV	150765	Competitive	Y	Solitude Solar LLC	NY Sun	1/30/2020		\$495,040		
PGRM	>200KW PV	150766	Competitive	Y	Solitude Solar LLC	NY Sun	1/30/2020		\$495,040		
PGRM	>200KW PV	150859	Competitive	Y	CES Danbury Solar, LLC	PON 2112 NY SUN	2/3/2020		\$111,715		
PGRM	>200KW PV	150923	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	2/4/2020		\$6,270		
PGRM	>200KW PV	150965	Competitive	Y	UGE USA Inc	PON 2112 NY SUN	2/5/2020		\$19,703		
PGRM	>200KW PV	151346	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/13/2020		\$15,075	\$15,075	\$15,075
PGRM	>200KW PV	151348	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/13/2020		\$29,648		
PGRM	>200KW PV	151357	Competitive	Y	Dimension Energy LLC	NY Sun	2/13/2020		\$566,100		
PGRM	>200KW PV	151360	Competitive	Y	Maine-Endwell Central School District	NY Sun	2/14/2020		\$680,192		
PGRM	>200KW PV	151361	Competitive	Y	Abundant Solar Power Inc.	NY Sun	2/14/2020		\$951,946		
PGRM	>200KW PV	151393	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/14/2020		\$5,775	\$5,775	\$5,775
PGRM	>200KW PV	151489	Competitive	Y	Sunwealth Project Pool 14 LLC	>200KW PV	2/18/2020		\$51,108		
PGRM	>200KW PV	151490	Competitive	Y	Sunwealth Project Pool 14 LLC	>200KW PV	2/18/2020		\$42,265	\$36,735	\$36,735
PGRM	>200KW PV	151491	Competitive	Y	American Solar Partners, LLC	>200KW PV	2/18/2020		\$22,750		
PGRM	>200KW PV	151493	Competitive	Y	Best Energy Power	>200KW PV	2/18/2020		\$81,000	\$81,000	\$81,000
PGRM	>200KW PV	151494	Competitive	Y	American Solar Partners, LLC	>200KW PV	2/18/2020		\$18,200		
PGRM	>200KW PV	151550	Competitive	Y	770 Electric Corp.	>200KW PV	2/19/2020		\$113,960	\$113,960	\$113,960
PGRM	>200KW PV	151572	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$16,150		
PGRM	>200KW PV	151578	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$6,825	\$6,825	\$6,825
PGRM	>200KW PV	151580	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$9,010		
PGRM	>200KW PV	151581	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$22,780		
PGRM	>200KW PV	151587	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$17,680		
PGRM	>200KW PV	151591	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$19,263	\$19,263	\$19,263
PGRM	>200KW PV	151595	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$31,110	\$31,110	\$31,110
PGRM	>200KW PV	151599	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$60,180	\$60,180	\$60,180
PGRM	>200KW PV	151601	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$11,900		
PGRM	>200KW PV	151606	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$28,475		
PGRM	>200KW PV	151609	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$6,800		
PGRM	>200KW PV	151610	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$19,210	\$19,210	\$19,210
PGRM	>200KW PV	151612	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$14,450		
PGRM	>200KW PV	151619	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/20/2020		\$21,250	\$21,250	\$21,250
PGRM	>200KW PV	151677	Competitive	Y	DG Northeast 1 LLC	NY Sun	2/24/2020		\$221,850		
PGRM	>200KW PV	151678	Competitive	Y	NSF Angelica Site 1, LLC	NY Sun	2/24/2020		\$1,274,728		
PGRM	>200KW PV	151679	Competitive	Y	NSF Angelica Site 2, LLC	NY Sun	2/24/2020		\$1,060,800		

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	>200KW PV	151680	Competitive	Y	NSF Angelica Site 3, LLC	NY Sun	2/24/2020		\$1,060,800		
PGRM	>200KW PV	151681	Competitive	Y	Convergent Energy and Power LP	NY Sun	2/24/2020		\$1,142,128		
PGRM	>200KW PV	151682	Competitive	Y	Convergent Energy and Power LP	NY Sun	2/24/2020		\$1,142,128		
PGRM	>200KW PV	151683	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$14,790	\$14,790	\$14,790
PGRM	>200KW PV	151684	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$9,520	\$9,520	\$9,520
PGRM	>200KW PV	151689	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$15,300		
PGRM	>200KW PV	151692	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$17,000	\$17,000	\$17,000
PGRM	>200KW PV	151694	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$5,610	\$5,610	\$5,610
PGRM	>200KW PV	151695	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$19,210	\$19,210	\$19,210
PGRM	>200KW PV	151699	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$8,400		
PGRM	>200KW PV	151703	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$33,490	\$33,490	\$33,490
PGRM	>200KW PV	151706	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$8,670	\$8,670	\$8,670
PGRM	>200KW PV	151707	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$23,953	\$23,953	\$23,953
PGRM	>200KW PV	151709	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$8,050	\$8,050	\$8,050
PGRM	>200KW PV	151710	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$16,830	\$16,830	\$16,830
PGRM	>200KW PV	151711	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$21,273		
PGRM	>200KW PV	151712	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$21,943		
PGRM	>200KW PV	151715	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$14,350	\$14,350	\$14,350
PGRM	>200KW PV	151716	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$26,130	\$26,130	\$26,130
PGRM	>200KW PV	151719	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$29,750	\$29,750	\$29,750
PGRM	>200KW PV	151720	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$21,875	\$21,875	\$21,875
PGRM	>200KW PV	151721	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$13,090	\$13,090	\$13,090
PGRM	>200KW PV	151722	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$24,990	\$24,990	\$24,990
PGRM	>200KW PV	151768	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/25/2020		\$7,000	\$7,000	\$7,000
PGRM	>200KW PV	151802	Competitive	Y	Bright Power, Inc.	>200KW PV	2/25/2020		\$104,200		
PGRM	>200KW PV	151822	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/26/2020		\$8,575	\$8,575	\$8,575
PGRM	>200KW PV	151859	Competitive	Y	Naber Electric Corp	>200KW PV	2/26/2020		\$13,584		
PGRM	>200KW PV	151880	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/27/2020		\$11,200		
PGRM	>200KW PV	151994	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	3/2/2020		\$11,088		
PGRM	>200KW PV	152015	Competitive	Y	770 Electric Corp.	>200KW PV	3/2/2020		\$46,215	\$46,215	\$46,215
PGRM	>200KW PV	152016	Competitive	Y	Bright Power, Inc.	>200KW PV	3/2/2020		\$17,280	\$17,280	\$17,280
PGRM	>200KW PV	152017	Competitive	Y	Bright Power, Inc.	>200KW PV	3/2/2020		\$8,280	\$8,280	\$8,280
PGRM	>200KW PV	152065	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/3/2020		\$63,070	\$63,070	\$63,070
PGRM	>200KW PV	152072	Competitive	Y	Bright Power, Inc.	>200KW PV	3/3/2020		\$56,500		
PGRM	>200KW PV	152106	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$22,750		
PGRM	>200KW PV	152107	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$33,250		
PGRM	>200KW PV	152109	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$21,000		
PGRM	>200KW PV	152110	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$20,125		
PGRM	>200KW PV	152119	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$23,625		
PGRM	>200KW PV	152123	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/4/2020		\$23,275		
PGRM	>200KW PV	152195	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/5/2020		\$28,000		
PGRM	>200KW PV	152201	Competitive	Y	American Solar Partners, LLC	>200KW PV	3/5/2020		\$26,000		
PGRM	>200KW PV	152334	Competitive	Y	Strata Solar, LLC	PON 2112 NY SUN	3/11/2020		\$1,048,172		
PGRM	>200KW PV	152346	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	3/11/2020		\$46,065	\$5,700	\$5,700
PGRM	>200KW PV	152411	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/12/2020		\$15,925		
PGRM	>200KW PV	152431	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/12/2020		\$34,850		
PGRM	>200KW PV	152444	Competitive	Y	Cypress Creek Renewables LLC	NY Sun	3/12/2020		\$1,200,227		
PGRM	>200KW PV	152445	Competitive	Y	AMERESCO, Inc.	NY Sun	3/12/2020	\$848,640	\$848,640		
PGRM	>200KW PV	152446	Competitive	Y	AMERESCO, Inc.	NY Sun	3/12/2020		\$1,174,173		
PGRM	>200KW PV	152481	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/13/2020		\$38,930	\$38,930	\$38,930
PGRM	>200KW PV	152505	Competitive	Y	Best Energy Power	PON 2112 NY SUN	3/13/2020		\$24,500		
PGRM	>200KW PV	152598	Competitive	Y	Solar Liberty Energy Systems, Inc	>200KW PV	3/16/2020	\$320	\$44,020		
PGRM	>200KW PV	152599	Competitive	Y	24-42 Bennett Avenue Corp	>200KW PV	3/16/2020		\$29,230	\$29,230	\$29,230
PGRM	>200KW PV	152606	Competitive	Y	Bright Power, Inc.	>200KW PV	3/16/2020	\$27,360	\$27,360		
PGRM	>200KW PV	152672	Competitive	Y	Talva Energy	PON 2112 NY SUN	3/18/2020		\$20,140		
PGRM	>200KW PV	152691	Competitive	Y	Talva Energy	PON 2112 NY SUN	3/19/2020		\$17,480		
PGRM	>200KW PV	152734	Competitive	Y	Green Hybrid Energy Solutions Inc.	PON 2112 NY SUN	3/20/2020		\$28,800	\$14,400	\$14,400
PGRM	>200KW PV	152811	Competitive	Y	Mendon Renewables, LLC	NY Sun	3/23/2020	\$1,169,068	\$1,169,068		
PGRM	>200KW PV	152812	Competitive	Y	AMERESCO, Inc.	NY Sun	3/23/2020	\$848,640	\$848,640		
PGRM	>200KW PV	152813	Competitive	Y	NSF Angelica Site 4, LLC	NY Sun	3/23/2020	\$1,274,728	\$1,274,728		
PGRM	>200KW PV	152814	Competitive	Y	Helios Energy New York 3, LLC	NY Sun	3/23/2020	\$1,000,046	\$1,000,046		
PGRM	>200KW PV	152815	Competitive	Y	BVD Solar, LLC	NY Sun	3/23/2020	\$2,151,834	\$2,151,834		
PGRM	>200KW PV	152816	Competitive	Y	Helios Energy New York 10, LLC	NY Sun	3/23/2020	\$1,005,210	\$1,005,210		
PGRM	>200KW PV	152817	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	3/23/2020	\$242,216	\$242,216		
PGRM	>200KW PV	152855	Competitive	Y	Best Energy Power	>200KW PV	3/24/2020		\$34,445		
PGRM	>200KW PV	152959	Competitive	Y	Bright Power, Inc.	>200KW PV	3/27/2020	\$64,600	\$64,600		
PGRM	>200KW PV	152969	Competitive	Y	Best Energy Power	>200KW PV	3/27/2020	\$6,125	\$6,125	\$6,125	\$6,125
PGRM	>200KW PV	152995	Competitive	Y	Bright Power, Inc.	>200KW PV	3/30/2020	\$52,000	\$52,000		
PGRM	>200KW PV	152998	Competitive	Y	Bright Power, Inc.	>200KW PV	3/30/2020	\$75,400	\$75,400		
PGRM	>200KW PV	153001	Competitive	Y	Bright Power, Inc.	>200KW PV	3/30/2020	\$96,100	\$96,100		
PGRM	>200KW PV	153044	Competitive	Y	Bright Power, Inc.	>200KW PV	3/31/2020	\$57,220	\$57,220		
PGRM	>200KW PV	153047	Competitive	Y	Bright Power, Inc.	>200KW PV	3/31/2020	\$66,940	\$66,940		
PGRM	>200KW PV	153069	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	4/1/2020	\$87,800	\$87,800	\$87,800	\$87,800
PGRM	>200KW PV	153141	Competitive	Y	Delaware River Solar, LLC	NY Sun	4/2/2020	\$1,175,040	\$1,175,040		
PGRM	>200KW PV	153143	Competitive	Y	AMERESCO, Inc.	NY Sun	4/2/2020	\$1,174,173	\$1,174,173		
PGRM	>200KW PV	153277	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/7/2020	\$53,380	\$53,380	\$53,380	\$53,380
PGRM	>200KW PV	153290	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/7/2020	\$40,120	\$40,120	\$40,120	\$40,120
PGRM	>200KW PV	153301	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/8/2020	\$167,720	\$167,720		
PGRM	>200KW PV	153324	Competitive	Y	Best Energy Power	>200KW PV	4/8/2020	\$69,450	\$69,450		
PGRM	>200KW PV	153338	Competitive	Y	NSF Chaumont Site 1, LLC	NY Sun	4/9/2020	\$1,121,973	\$1,121,973		
PGRM	>200KW PV	153339	Competitive	Y	NSF Chaumont Site 2, LLC	NY Sun	4/9/2020	\$1,121,973	\$1,121,973		

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Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	153340	Competitive	Y	NSF Chaumont Site 3, LLC	NY Sun	4/9/2020	\$1,121,973	\$1,121,973		
PGRM	>200KW PV	153341	Competitive	Y	NSF Chaumont Site 4, LLC	NY Sun	4/9/2020	\$1,121,973	\$1,121,973		
PGRM	>200KW PV	153342	Competitive	Y	NSF Chaumont Site 5, LLC	NY Sun	4/9/2020	\$675,716	\$675,716		
PGRM	>200KW PV	153345	Competitive	Y	AMERESCO, Inc.	NY Sun	4/9/2020	\$1,174,173	\$1,174,173		
PGRM	>200KW PV	153377	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	4/13/2020	\$18,170	\$18,170	\$18,170	\$18,170
PGRM	>200KW PV	153379	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	4/13/2020	\$17,250	\$17,250	\$17,250	\$17,250
PGRM	>200KW PV	153380	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	4/13/2020	\$17,480	\$17,480	\$17,480	\$17,480
PGRM	>200KW PV	153447	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/14/2020	\$52,675	\$52,675	\$52,675	\$52,675
PGRM	>200KW PV	153450	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/14/2020	\$52,093	\$52,093	\$52,093	\$52,093
PGRM	>200KW PV	153476	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/15/2020	\$35,000	\$35,000		
PGRM	>200KW PV	153511	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	4/16/2020	\$35,890	\$35,890	\$35,890	\$35,890
PGRM	>200KW PV	153561	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	4/17/2020	\$121,000	\$121,000	\$121,000	\$121,000
PGRM	>200KW PV	153591	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/20/2020	\$30,625	\$30,625		
PGRM	>200KW PV	153596	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/20/2020	\$31,500	\$31,500		
PGRM	>200KW PV	153631	Competitive	Y	Best Energy Power	PON 2112 NY SUN	4/21/2020	\$68,005	\$68,005	\$68,005	\$68,005
PGRM	>200KW PV	153640	Competitive	Y	Bright Power, Inc.	>200KW PV	4/21/2020	\$61,360	\$61,360		
PGRM	>200KW PV	153665	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	4/23/2020	\$8,225	\$8,225	\$8,225	\$8,225
PGRM	>200KW PV	153708	Competitive	Y	Astrum Solar, Inc.	PON 2112 NY SUN	4/27/2020	\$599,610	\$599,610		
PGRM	>200KW PV	153728	Competitive	Y	Bright Power, Inc.	>200KW PV	4/28/2020	\$8,424	\$8,424	\$8,424	\$8,424
PGRM	>200KW PV	153757	Competitive	Y	770 Electric Corp.	>200KW PV	4/28/2020	\$38,880	\$38,880	\$38,880	\$38,880
PGRM	>200KW PV	153779	Competitive	Y	Matrix Solar SI 586 Gulf, LLC	>200KW PV	4/29/2020	\$1,623,120	\$1,623,120		
PGRM	>200KW PV	153782	Competitive	Y	Matrix Solar SI 586 Gulf, LLC	>200KW PV	4/29/2020	\$2,570,400	\$2,570,400		
PGRM	>200KW PV	153784	Competitive	Y	Matrix Solar SI 566 Gulf, LLC	>200KW PV	4/29/2020	\$1,404,000	\$1,404,000		
PGRM	>200KW PV	153786	Competitive	Y	Matrix Solar SI 566 Gulf, LLC	>200KW PV	4/29/2020	\$765,000	\$765,000		
PGRM	>200KW PV	153787	Competitive	Y	Matrix Solar SI 526 Gulf, LLC	>200KW PV	4/29/2020	\$2,506,385	\$2,506,385		
PGRM	>200KW PV	153788	Competitive	Y	SSA Solar of NY 3 LLC	NY Sun	4/29/2020	\$1,630,255	\$1,630,255		
PGRM	>200KW PV	153794	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	4/29/2020	\$295,229	\$295,229	\$147,614	\$147,614
PGRM	>200KW PV	153795	Competitive	Y	Abundant Solar Power Inc.	NY Sun	4/29/2020	\$1,727,309	\$1,727,309		
PGRM	>200KW PV	153796	Competitive	Y	Sunvestment Energy Group NY 64 LLC	NY Sun	4/29/2020	\$690,316	\$690,316		
PGRM	>200KW PV	153797	Competitive	Y	Matrix Solar SI 526 Gulf, LLC	>200KW PV	4/29/2020	\$1,454,400	\$1,454,400		
PGRM	>200KW PV	153964	Competitive	Y	Harvest Power, LLC	>200KW PV	5/4/2020	\$25,025	\$25,025		
PGRM	>200KW PV	153968	Competitive	Y	Harvest Power, LLC	>200KW PV	5/4/2020	\$7,800	\$7,800		
PGRM	>200KW PV	153970	Competitive	Y	Harvest Power, LLC	>200KW PV	5/4/2020	\$7,800	\$7,800		
PGRM	>200KW PV	153978	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	5/5/2020	\$760,800	\$760,800		
PGRM	>200KW PV	154000	Competitive	Y	Harvest Power, LLC	>200KW PV	5/5/2020	\$10,400	\$10,400		
PGRM	>200KW PV	154205	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	5/15/2020	\$295,800	\$295,800		
PGRM	>200KW PV	154284	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	5/20/2020	\$252,800	\$252,800		
PGRM	>200KW PV	154291	Competitive	Y	Ecogy New York VIII LLC	>200KW PV	5/20/2020	\$132,720	\$132,720	\$132,720	\$132,720
PGRM	>200KW PV	154292	Competitive	Y	Ecogy Croton Community Solar LLC	>200KW PV	5/20/2020	\$151,088	\$151,088	\$151,088	\$151,088
PGRM	>200KW PV	154294	Competitive	Y	Ecogy New York II LLC	>200KW PV	5/20/2020	\$721,280	\$721,280		
PGRM	>200KW PV	154315	Competitive	Y	Solitude Solar LLC	NY Sun	5/21/2020	\$482,501	\$482,501		
PGRM	>200KW PV	154334	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	5/22/2020	\$180,000	\$180,000		
PGRM	>200KW PV	154378	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/26/2020	\$35,990	\$35,990		
PGRM	>200KW PV	154391	Competitive	Y	Ecogy New York I LLC	>200KW PV	5/26/2020	\$257,500	\$257,500		
PGRM	>200KW PV	154393	Competitive	Y	Ecogy New York VI LLC	>200KW PV	5/26/2020	\$198,860	\$198,860		
PGRM	>200KW PV	154408	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/27/2020	\$25,595	\$25,595		
PGRM	>200KW PV	154411	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/27/2020	\$23,093	\$23,093		
PGRM	>200KW PV	154412	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/27/2020	\$20,783	\$20,783		
PGRM	>200KW PV	154448	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/28/2020	\$20,590	\$20,590		
PGRM	>200KW PV	154466	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$19,096	\$19,096		
PGRM	>200KW PV	154468	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$13,860	\$13,860		
PGRM	>200KW PV	154469	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$20,590	\$20,590		
PGRM	>200KW PV	154470	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$20,590	\$20,590		
PGRM	>200KW PV	154471	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$34,835	\$34,835		
PGRM	>200KW PV	154472	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$24,248	\$24,248		
PGRM	>200KW PV	154473	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$13,860	\$13,860		
PGRM	>200KW PV	154474	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$14,784	\$14,784		
PGRM	>200KW PV	154475	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$19,096	\$19,096		
PGRM	>200KW PV	154476	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$10,780	\$10,780		
PGRM	>200KW PV	154477	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$29,445	\$29,445		
PGRM	>200KW PV	154478	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$19,712	\$19,712		
PGRM	>200KW PV	154479	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$21,938	\$21,938		
PGRM	>200KW PV	154483	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	5/29/2020	\$504,000	\$504,000		
PGRM	>200KW PV	154484	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$20,783	\$20,783		
PGRM	>200KW PV	154485	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$67,175	\$67,175		
PGRM	>200KW PV	154486	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$55,818	\$55,818		
PGRM	>200KW PV	154487	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$60,438	\$60,438		
PGRM	>200KW PV	154488	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$31,755	\$31,755		
PGRM	>200KW PV	154489	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$15,400	\$15,400		
PGRM	>200KW PV	154490	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$15,400	\$15,400		
PGRM	>200KW PV	154492	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$65,250	\$65,250		
PGRM	>200KW PV	154493	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$162,463	\$162,463		
PGRM	>200KW PV	154494	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$50,043	\$50,043		
PGRM	>200KW PV	154495	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	5/29/2020	\$11,050	\$11,050		
PGRM	>200KW PV	154500	Competitive	Y	Delaware River Solar, LLC	NY Sun	5/29/2020	\$246,240	\$246,240		
PGRM	>200KW PV	154523	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$35,220	\$35,220		
PGRM	>200KW PV	154524	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$85,078	\$85,078		
PGRM	>200KW PV	154525	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$67,560	\$67,560		
PGRM	>200KW PV	154527	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$47,733	\$47,733		
PGRM	>200KW PV	154528	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$86,618	\$86,618		
PGRM	>200KW PV	154530	Competitive	Y	Do-It-With Inc.	PON 2112 NY SUN	6/1/2020	\$45,615	\$45,615		

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	154538	Competitive	Y	Quixotic Systems, Inc.	>200KW PV	6/1/2020	\$95,920	\$95,920		
PGRM	>200KW PV	154570	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$23,100	\$23,100		
PGRM	>200KW PV	154573	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$58,495	\$58,495		
PGRM	>200KW PV	154582	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$64,655	\$64,655		
PGRM	>200KW PV	154583	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$28,105	\$28,105		
PGRM	>200KW PV	154586	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$28,105	\$28,105		
PGRM	>200KW PV	154588	Competitive	Y	Do-It-With Inc.	>200KW PV	6/2/2020	\$28,105	\$28,105		
PGRM	>200KW PV	154618	Competitive	Y	Do-It-With Inc.	>200KW PV	6/3/2020	\$18,480	\$18,480		
PGRM	>200KW PV	154619	Competitive	Y	Do-It-With Inc.	>200KW PV	6/3/2020	\$28,105	\$28,105		
PGRM	>200KW PV	154620	Competitive	Y	Do-It-With Inc.	>200KW PV	6/3/2020	\$28,105	\$28,105		
PGRM	>200KW PV	154659	Competitive	Y	770 Electric Corp.	>200KW PV	6/4/2020	\$9,360	\$9,360	\$9,360	\$9,360
PGRM	>200KW PV	154661	Competitive	Y	770 Electric Corp.	>200KW PV	6/4/2020	\$8,970	\$8,970	\$8,970	\$8,970
PGRM	>200KW PV	154666	Competitive	Y	Delaware River Solar, LLC	NY Sun	6/4/2020	\$1,186,056	\$1,186,056		
PGRM	>200KW PV	154725	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	6/9/2020	\$55,200	\$55,200		
PGRM	>200KW PV	154769	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	6/10/2020	\$67,860	\$67,860		
PGRM	>200KW PV	154770	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	6/10/2020	\$163,332	\$163,332		
PGRM	>200KW PV	154771	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	6/10/2020	\$70,824	\$70,824		
PGRM	>200KW PV	154772	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	6/10/2020	\$137,436	\$137,436		
PGRM	>200KW PV	154776	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	6/10/2020	\$244,608	\$244,608		
PGRM	>200KW PV	154955	Competitive	Y	Sunlight General Capital LLC	>200KW PV	6/16/2020	\$179,945	\$179,945		
PGRM	>200KW PV	154958	Competitive	Y	Sunlight General Capital LLC	>200KW PV	6/16/2020	\$169,725	\$169,725		
PGRM	>200KW PV	154967	Competitive	Y	CON EDISON SOLUTIONS, INC.	PON 2112 NY SUN	6/17/2020	\$119,556	\$119,556	\$119,556	\$119,556
PGRM	>200KW PV	154994	Competitive	Y	Green Street Power Partners LLC	PON 2112 NY SUN	6/18/2020	\$18,760	\$18,760		
PGRM	>200KW PV	155111	Competitive	Y	NY CDG 004 LLC	>200KW PV	6/23/2020	\$47,560	\$47,560		
PGRM	>200KW PV	155116	Competitive	Y	NY CDG 004 LLC	>200KW PV	6/23/2020	\$46,740	\$46,740		
PGRM	>200KW PV	155117	Competitive	Y	NY CDG 004 LLC	>200KW PV	6/23/2020	\$68,880	\$68,880		
PGRM	>200KW PV	155142	Competitive	Y	NY CDG 004 LLC	>200KW PV	6/24/2020	\$50,420	\$50,420		
PGRM	>200KW PV	155144	Competitive	Y	NY CDG 004 LLC	>200KW PV	6/24/2020	\$61,500	\$61,500		
PGRM	>200KW PV	155186	Competitive	Y	NY CDG 006 LLC	>200KW PV	6/25/2020	\$34,030	\$34,030		
PGRM	>200KW PV	155188	Competitive	Y	NY CDG 006 LLC	>200KW PV	6/25/2020	\$34,030	\$34,030		
PGRM	>200KW PV	155189	Competitive	Y	NY CDG 006 LLC	>200KW PV	6/25/2020	\$34,030	\$34,030		
PGRM	>200KW PV	155191	Competitive	Y	NY CDG 006 LLC	>200KW PV	6/25/2020	\$34,030	\$34,030		
PGRM	>200KW PV	155214	Competitive	Y	ESNY-IBM-Yorktown LLC	>200KW PV	6/26/2020	\$4,376,680	\$4,376,680		
PGRM	>200KW PV	155215	Competitive	Y	ESNY-KF-BRONX, LLC	>200KW PV	6/26/2020	\$1,361,675	\$1,361,675		
PGRM	>200KW PV	155267	Competitive	Y	Co-op Power, Incorporated	>200KW PV	6/29/2020	\$27,625	\$27,625		
PGRM	>200KW PV	155269	Competitive	Y	Co-op Power, Incorporated	>200KW PV	6/29/2020	\$25,350	\$25,350		
PGRM	>200KW PV	155271	Competitive	Y	Co-op Power, Incorporated	>200KW PV	6/29/2020	\$26,000	\$26,000		
PGRM	>200KW PV	155320	Competitive	Y	Co-op Power, Incorporated	>200KW PV	6/30/2020	\$26,325	\$26,325		
PGRM	>200KW PV	155321	Competitive	Y	Co-op Power, Incorporated	>200KW PV	6/30/2020	\$25,350	\$25,350		
PGRM	>200KW PV	155355	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$32,825	\$32,825		
PGRM	>200KW PV	155356	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$33,475	\$33,475		
PGRM	>200KW PV	155357	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$37,375	\$37,375		
PGRM	>200KW PV	155358	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$34,450	\$34,450		
PGRM	>200KW PV	155359	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$38,025	\$38,025		
PGRM	>200KW PV	155360	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/1/2020	\$34,450	\$34,450		
PGRM	>200KW PV	155404	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/2/2020	\$23,400	\$23,400		
PGRM	>200KW PV	155461	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/6/2020	\$37,375	\$37,375		
PGRM	>200KW PV	155462	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/6/2020	\$35,100	\$35,100		
PGRM	>200KW PV	155475	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	7/7/2020	\$8,640	\$8,640		
PGRM	>200KW PV	155499	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/7/2020	\$37,375	\$37,375		
PGRM	>200KW PV	155546	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/8/2020	\$36,400	\$36,400		
PGRM	>200KW PV	155548	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/8/2020	\$39,000	\$39,000		
PGRM	>200KW PV	155552	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/8/2020	\$38,675	\$38,675		
PGRM	>200KW PV	155555	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/8/2020	\$27,300	\$27,300		
PGRM	>200KW PV	155557	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/8/2020	\$35,750	\$35,750		
PGRM	>200KW PV	155598	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/9/2020	\$35,100	\$35,100		
PGRM	>200KW PV	155643	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/10/2020	\$27,950	\$27,950		
PGRM	>200KW PV	155644	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/10/2020	\$37,700	\$37,700		
PGRM	>200KW PV	155645	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/10/2020	\$28,600	\$28,600		
PGRM	>200KW PV	155780	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/14/2020	\$29,900	\$29,900		
PGRM	>200KW PV	155781	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/14/2020	\$32,160	\$32,160		
PGRM	>200KW PV	155782	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/14/2020	\$28,275	\$28,275		
PGRM	>200KW PV	155783	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/14/2020	\$26,650	\$26,650		
PGRM	>200KW PV	155785	Competitive	Y	DG New York CS, LLC	NY Sun	7/14/2020	\$1,125,000	\$1,125,000		
PGRM	>200KW PV	155827	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/15/2020	\$26,975	\$26,975		
PGRM	>200KW PV	155828	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/15/2020	\$23,400	\$23,400		
PGRM	>200KW PV	155830	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/15/2020	\$28,925	\$28,925		
PGRM	>200KW PV	155893	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$27,625	\$27,625		
PGRM	>200KW PV	155894	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$29,250	\$29,250		
PGRM	>200KW PV	155895	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$8,450	\$8,450		
PGRM	>200KW PV	155896	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$10,075	\$10,075		
PGRM	>200KW PV	155898	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$23,400	\$23,400		
PGRM	>200KW PV	155900	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/16/2020	\$31,850	\$31,850		
PGRM	>200KW PV	155927	Competitive	Y	Florenton River LLC	PON 2112 NY SUN	7/20/2020	\$26,386	\$26,386	\$26,386	\$26,386
PGRM	>200KW PV	155952	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	7/20/2020	\$22,440	\$22,440		
PGRM	>200KW PV	155973	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/20/2020	\$31,850	\$31,850		
PGRM	>200KW PV	155974	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/20/2020	\$23,400	\$23,400		
PGRM	>200KW PV	155977	Competitive	Y	Co-op Power, Incorporated	>200KW PV	7/20/2020	\$22,425	\$22,425		
PGRM	>200KW PV	156037	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	7/22/2020	\$28,272	\$28,272	\$28,272	\$28,272
PGRM	>200KW PV	156061	Competitive	Y	DG New York CS, LLC	NY Sun	7/22/2020	\$976,931	\$976,931		
PGRM	>200KW PV	156066	Competitive	Y	TJA-NY-Paul Rd Castleton, LLC	NY Sun	7/22/2020	\$1,081,080	\$1,081,080		

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Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	156067	Competitive	Y	Abundant Solar Power Inc.	NY Sun	7/22/2020	\$3,638,606	\$3,638,606		
PGRM	>200KW PV	156092	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	7/23/2020	\$124,488	\$124,488		
PGRM	>200KW PV	156245	Competitive	Y	Patriot Energy Solutions Corp	PON 2112 NY SUN	7/28/2020	\$7,722	\$7,722	\$7,722	\$7,722
PGRM	>200KW PV	156254	Competitive	Y	Charan Electrical Enterprises Inc.	PON 2112 NY SUN	7/28/2020	\$71,208	\$71,208	\$71,208	\$71,208
PGRM	>200KW PV	156277	Competitive	Y	Sunkeeper Solar LLC	PON 2112 NY SUN	7/28/2020	\$9,912	\$9,912		
PGRM	>200KW PV	156388	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	7/30/2020	\$226,730	\$226,730		
PGRM	>200KW PV	156624	Competitive	Y	Catalyze Holdings, LLC	>200KW PV	8/5/2020	\$110,600	\$110,600		
PGRM	>200KW PV	156646	Competitive	Y	Brooklyn SolarWorks LLC	PON 2112 NY SUN	8/6/2020	\$10,355	\$10,355	\$10,355	\$10,355
PGRM	>200KW PV	156656	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	8/6/2020	\$147,888	\$147,888		
PGRM	>200KW PV	156705	Competitive	Y	Distributed Solar Operations, LLC	PON 2112 NY SUN	8/7/2020	\$310,752	\$310,752		
PGRM	>200KW PV	156712	Competitive	Y	Distributed Solar Operations, LLC	PON 2112 NY SUN	8/7/2020	\$301,041	\$301,041		
PGRM	>200KW PV	156778	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	8/10/2020	\$269,880	\$269,880		
PGRM	>200KW PV	156953	Competitive	Y	Ecogy New York VII LLC	>200KW PV	8/13/2020	\$64,800	\$64,800		
PGRM	>200KW PV	157090	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	8/18/2020	\$7,072	\$7,072		
PGRM	>200KW PV	157091	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	8/18/2020	\$487,578	\$487,578		
PGRM	>200KW PV	157164	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	8/19/2020	\$58,756	\$58,756		
PGRM	>200KW PV	157166	Competitive	Y	Bright Power, Inc.	PON 2112 NY SUN	8/19/2020	\$18,864	\$18,864		
PGRM	>200KW PV	157168	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	8/19/2020	\$23,976	\$23,976		
PGRM	>200KW PV	157208	Competitive	Y	Venture Home Solar LLC	PON 2112 NY SUN	8/20/2020	\$10,080	\$10,080		
PGRM	>200KW PV	157357	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	8/27/2020	\$33,696	\$33,696		
PGRM	>200KW PV	157510	Competitive	Y	Solar Liberty Energy Systems, Inc	PON 2112 NY SUN	8/31/2020	\$42,924	\$42,924		
PGRM	>200KW PV	157650	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	9/2/2020	\$11,840	\$11,840		
PGRM	>200KW PV	157680	Competitive	Y	Clarkson Renewables LLC	NY Sun	9/2/2020	\$1,069,068	\$1,069,068		
PGRM	>200KW PV	157681	Competitive	Y	Delaware River Solar, LLC	NY Sun	9/2/2020	\$1,029,990	\$1,029,990		
PGRM	>200KW PV	157958	Competitive	Y	Brooklyn SolarWorks LLC	PON 2112 NY SUN	9/10/2020	\$5,119	\$5,119	\$5,119	\$5,119
PGRM	>200KW PV	158125	Competitive	Y	ESNY-FU-400WESTCHESTER, LLC	>200KW PV	9/14/2020	\$550,050	\$550,050		
PGRM	>200KW PV	158126	Competitive	Y	Dimension Energy LLC	>200KW PV	9/14/2020	\$378,000	\$378,000		
PGRM	>200KW PV	158282	Competitive	Y	Delaware River Solar, LLC	NY Sun	9/16/2020	\$1,056,354	\$1,056,354		
PGRM	>200KW PV	158283	Competitive	Y	AMP US HoldCo Inc.	NY Sun	9/16/2020	\$1,020,600	\$1,020,600		
PGRM	>200KW PV	158285	Competitive	Y	AMP US HoldCo Inc.	NY Sun	9/16/2020	\$1,020,600	\$1,020,600		
PGRM	>200KW PV	158288	Competitive	Y	Standard Solar, Inc	NY Sun	9/16/2020	\$1,055,126	\$1,055,126		
PGRM	>200KW PV	158421	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	9/18/2020	\$85,488	\$85,488		
PGRM	>200KW PV	158422	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	9/18/2020	\$82,524	\$82,524		
PGRM	>200KW PV	158715	Competitive	Y	Siemens Industry, Inc.	PON 2112 NY SUN	9/24/2020	\$518,938	\$518,938		
PGRM	>200KW PV	158758	Competitive	Y	CON EDISON SOLUTIONS, INC.	PON 2112 NY SUN	9/25/2020	\$268,704	\$268,704		
PGRM	>200KW PV	158811	Competitive	Y	CON EDISON SOLUTIONS, INC.	PON 2112 NY SUN	9/28/2020	\$385,990	\$385,990		
PGRM	>200KW PV	158971	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	10/2/2020	\$172,800	\$172,800		
PGRM	>200KW PV	159117	Competitive	Y	EDF Renewables Distributed Solutions Inc	NY Sun	10/2/2020	\$863,460	\$863,460		
PGRM	>200KW PV	159119	Competitive	Y	Home Leasing, LLC	NY Sun	10/2/2020	\$333,099	\$333,099		
PGRM	>200KW PV	159120	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	10/2/2020	\$1,006,200	\$1,006,200		
PGRM	>200KW PV	159121	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	10/2/2020	\$263,640	\$263,640		
PGRM	>200KW PV	159122	Competitive	Y	Solar Liberty Energy Systems, Inc	NY Sun	10/2/2020	\$288,660	\$288,660		
PGRM	>200KW PV	159272	Competitive	Y	SCS Penfield One 010750 Penfield, LLC	NY Sun	10/7/2020	\$921,517	\$921,517		
PGRM	>200KW PV	159276	Competitive	Y	DG New York CS, LLC	NY Sun	10/7/2020	\$910,031	\$910,031		
PGRM	>200KW PV	159277	Competitive	Y	White River Solar, LLC	NY Sun	10/7/2020	\$1,124,046	\$1,124,046		
PGRM	>200KW PV	159278	Competitive	Y	Palatine Caswell Solar, LLC	NY Sun	10/7/2020	\$750,750	\$750,750		
PGRM	>200KW PV	159334	Competitive	Y	EnterSolar LLC	>200KW PV	10/8/2020	\$162,108	\$162,108		
PGRM	>200KW PV	159336	Competitive	Y	GSPP Avenue H, LLC	>200KW PV	10/8/2020	\$95,904	\$95,904		
PGRM	>200KW PV	159338	Competitive	Y	GSPP Avenue H, LLC	>200KW PV	10/8/2020	\$95,904	\$95,904		
PGRM	>200KW PV	159339	Competitive	Y	GSPP Preston CT, LLC	>200KW PV	10/8/2020	\$58,608	\$58,608		
PGRM	>200KW PV	159340	Competitive	Y	GSPP Preston CT, LLC	>200KW PV	10/8/2020	\$138,528	\$138,528		
PGRM	>200KW PV	159388	Competitive	Y	Autonomous Energies LLC	PON 2112 NY SUN	10/9/2020	\$12,160	\$12,160		
PGRM	>200KW PV	159391	Competitive	Y	GSPP 181 Bay, LLC	>200KW PV	10/9/2020	\$87,552	\$87,552		
PGRM	>200KW PV	159576	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	10/15/2020	\$342,888	\$342,888		
PGRM	>200KW PV	159698	Competitive	Y	Niagara Depot Solar, LLC	NY Sun	10/20/2020	\$1,956,895	\$1,956,895		
PGRM	>200KW PV	159699	Competitive	Y	Claverack Creek Solar, LLC	NY Sun	10/20/2020	\$974,173	\$974,173		
PGRM	>200KW PV	159700	Competitive	Y	Catskill View Solar, LLC	NY Sun	10/20/2020	\$974,173	\$974,173		
PGRM	>200KW PV	159812	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	10/21/2020	\$329,616	\$329,616		
PGRM	>200KW PV	159815	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	10/21/2020	\$23,616	\$23,616		
PGRM	>200KW PV	159817	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	10/21/2020	\$11,692	\$11,692		
PGRM	>200KW PV	159828	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	10/21/2020	\$8,436	\$8,436		
PGRM	>200KW PV	159837	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	10/21/2020	\$18,352	\$18,352		
PGRM	>200KW PV	159960	Competitive	Y	GSPP East 91 LLC	>200KW PV	10/23/2020	\$114,552	\$114,552		
PGRM	>200KW PV	160081	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	10/28/2020	\$518,400	\$518,400		
PGRM	>200KW PV	160101	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	10/28/2020	\$8,580	\$8,580	\$8,580	\$8,580
PGRM	>200KW PV	160104	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	10/28/2020	\$113,724	\$113,724		
PGRM	>200KW PV	160272	Competitive	Y	Kamtech Restoration Corp	PON 2112 NY SUN	11/2/2020	\$121,260	\$121,260		
PGRM	>200KW PV	160404	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	11/3/2020	\$19,980	\$19,980		
PGRM	>200KW PV	160412	Competitive	Y	CubeSmart TRS Inc	>200KW PV	11/3/2020	\$39,960	\$39,960	\$39,960	\$39,960
PGRM	>200KW PV	160421	Competitive	Y	DG New York CS, LLC	NY Sun	11/3/2020	\$495,609	\$495,609		
PGRM	>200KW PV	160422	Competitive	Y	Dowmont Solar, LLC	NY Sun	11/3/2020	\$974,173	\$974,173		
PGRM	>200KW PV	160423	Competitive	Y	Cypress Creek Renewables LLC	NY Sun	11/3/2020	\$1,128,204	\$1,128,204		
PGRM	>200KW PV	160454	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/4/2020	\$82,400	\$82,400		
PGRM	>200KW PV	160457	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/4/2020	\$96,876	\$96,876		
PGRM	>200KW PV	160466	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/4/2020	\$20,880	\$20,880		
PGRM	>200KW PV	160567	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/6/2020	\$92,196	\$92,196		
PGRM	>200KW PV	160612	Competitive	Y	Sunlight General Capital LLC	PON 2112 NY SUN	11/9/2020	\$191,576	\$191,576		
PGRM	>200KW PV	160644	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/9/2020	\$56,592	\$56,592		
PGRM	>200KW PV	160651	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$44,384	\$44,384		
PGRM	>200KW PV	160675	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$68,796	\$68,796		
PGRM	>200KW PV	160676	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$86,080	\$86,080		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	160680	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$152,570	\$152,570		
PGRM	>200KW PV	160681	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$168,922	\$168,922		
PGRM	>200KW PV	160685	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$181,186	\$181,186		
PGRM	>200KW PV	160688	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$138,528	\$138,528		
PGRM	>200KW PV	160689	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/10/2020	\$98,640	\$98,640		
PGRM	>200KW PV	160717	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	11/11/2020	\$65,262	\$65,262		
PGRM	>200KW PV	160729	Competitive	Y	Venture Home Solar LLC	PON 2112 NY SUN	11/11/2020	\$7,560	\$7,560		
PGRM	>200KW PV	160868	Competitive	Y	Best Energy Power	PON 2112 NY SUN	11/13/2020	\$16,956	\$16,956		
PGRM	>200KW PV	160944	Competitive	Y	Ecogy New York XIII LLC	>200KW PV	11/16/2020	\$429,444	\$429,444		
PGRM	>200KW PV	160948	Competitive	Y	AMP US HoldCo Inc.	NY Sun	11/16/2020	\$884,520	\$884,520		
PGRM	>200KW PV	160949	Competitive	Y	AMP US HoldCo Inc.	NY Sun	11/16/2020	\$243,243	\$243,243		
PGRM	>200KW PV	160950	Competitive	Y	AMP US HoldCo Inc.	NY Sun	11/16/2020	\$1,020,600	\$1,020,600		
PGRM	>200KW PV	160951	Competitive	Y	AMP US HoldCo Inc.	NY Sun	11/16/2020	\$1,020,600	\$1,020,600		
PGRM	>200KW PV	160955	Competitive	Y	Helios Energy New York 10, LLC	NY Sun	11/16/2020	\$876,096	\$876,096		
PGRM	>200KW PV	160956	Competitive	Y	Helios Energy New York 9 LLC	NY Sun	11/16/2020	\$876,096	\$876,096		
PGRM	>200KW PV	160957	Competitive	Y	Delaware River Solar, LLC	NY Sun	11/16/2020	\$358,020	\$358,020		
PGRM	>200KW PV	160958	Competitive	Y	Delaware River Solar, LLC	NY Sun	11/16/2020	\$358,020	\$358,020		
PGRM	>200KW PV	160959	Competitive	Y	Delaware River Solar, LLC	NY Sun	11/16/2020	\$358,020	\$358,020		
PGRM	>200KW PV	160960	Competitive	Y	FFP NY Rush Project1, LLC	NY Sun	11/16/2020	\$952,333	\$952,333		
PGRM	>200KW PV	160962	Competitive	Y	NY 24 Sherman LLC	NY Sun	11/16/2020	\$899,184	\$899,184		
PGRM	>200KW PV	160963	Competitive	Y	NY 5 King LLC	NY Sun	11/16/2020	\$919,776	\$919,776		
PGRM	>200KW PV	161012	Competitive	Y	Ecogy New York XI LLC	>200KW PV	11/17/2020	\$1,060,128	\$1,060,128		
PGRM	>200KW PV	161019	Competitive	Y	Dimension Energy LLC	>200KW PV	11/17/2020	\$865,592	\$865,592		
PGRM	>200KW PV	161216	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/23/2020	\$169,884	\$169,884		
PGRM	>200KW PV	161233	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/23/2020	\$165,087	\$165,087		
PGRM	>200KW PV	161234	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/23/2020	\$975,956	\$975,956		
PGRM	>200KW PV	161237	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/23/2020	\$1,168,020	\$1,168,020		
PGRM	>200KW PV	161297	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$185,256	\$185,256		
PGRM	>200KW PV	161300	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$137,448	\$137,448		
PGRM	>200KW PV	161302	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$310,752	\$310,752		
PGRM	>200KW PV	161305	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$301,041	\$301,041		
PGRM	>200KW PV	161306	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$504,972	\$504,972		
PGRM	>200KW PV	161307	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	11/24/2020	\$775,698	\$775,698		
PGRM	>200KW PV	161396	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	11/30/2020	\$122,967	\$122,967		
PGRM	>200KW PV	161439	Competitive	Y	GSPP KR Hylan, LLC	>200KW PV	12/2/2020	\$380,952	\$380,952		
PGRM	>200KW PV	161516	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	12/3/2020	\$8,938	\$8,938	\$8,938	\$8,938
PGRM	>200KW PV	161636	Competitive	Y	CubeSmart TRS Inc	>200KW PV	12/4/2020	\$24,720	\$24,720		
PGRM	>200KW PV	161648	Competitive	Y	Delaware River Solar, LLC	NY Sun	12/7/2020	\$895,050	\$895,050		
PGRM	>200KW PV	161760	Competitive	Y	CON EDISON SOLUTIONS, INC.	PON 2112 NY SUN	12/8/2020	\$1,728,000	\$1,728,000		
PGRM	>200KW PV	161839	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	12/10/2020	\$66,690	\$66,690		
PGRM	>200KW PV	161882	Competitive	Y	Venture Home Solar LLC	PON 2112 NY SUN	12/10/2020	\$9,950	\$9,950		
PGRM	>200KW PV	161911	Competitive	Y	Distributed Solar Development, LLC	>200KW PV	12/10/2020	\$614,985	\$614,985		
PGRM	>200KW PV	161990	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	12/14/2020	\$162,840	\$162,840		
PGRM	>200KW PV	162045	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/15/2020	\$21,879	\$21,879		
PGRM	>200KW PV	162111	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	12/16/2020	\$54,639	\$54,639		
PGRM	>200KW PV	162173	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	12/17/2020	\$48,087	\$48,087		
PGRM	>200KW PV	162218	Competitive	Y	Brooklyn SolarWorks LLC	PON 2112 NY SUN	12/18/2020	\$6,188	\$6,188	\$6,188	\$6,188
PGRM	>200KW PV	162391	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	12/22/2020	\$5,985	\$5,985		
PGRM	>200KW PV	162554	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/24/2020	\$18,144	\$18,144		
PGRM	>200KW PV	162766	Competitive	Y	Amergy Solar Inc.	PON 2112 NY SUN	12/30/2020	\$7,524	\$7,524		
PGRM	>200KW PV	162985	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/6/2021	\$21,168	\$21,168		
PGRM	>200KW PV	163092	Competitive	Y	Siemens Industry, Inc.	NY Sun	1/8/2021	\$180,289	\$180,289		
PGRM	>200KW PV	163108	Competitive	Y	Bullitt Farm Solar, LLC	NY Sun	1/11/2021	\$974,130	\$974,130		
PGRM	>200KW PV	163111	Competitive	Y	National Solar Technologies	NY Sun	1/11/2021	\$3,300,000	\$3,300,000		
PGRM	>200KW PV	163226	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	1/13/2021	\$77,454	\$77,454		
PGRM	>200KW PV	163238	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	1/13/2021	\$64,800	\$64,800		
PGRM	>200KW PV	163283	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/14/2021	\$15,336	\$15,336		
PGRM	>200KW PV	163371	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$16,200	\$16,200		
PGRM	>200KW PV	163372	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$6,804	\$6,804		
PGRM	>200KW PV	163373	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$16,092	\$16,092		
PGRM	>200KW PV	163378	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$8,964	\$8,964		
PGRM	>200KW PV	163381	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$6,048	\$6,048		
PGRM	>200KW PV	163383	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$11,124	\$11,124		
PGRM	>200KW PV	163387	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$14,148	\$14,148		
PGRM	>200KW PV	163390	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$12,852	\$12,852		
PGRM	>200KW PV	163392	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$16,416	\$16,416		
PGRM	>200KW PV	163394	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$14,580	\$14,580		
PGRM	>200KW PV	163400	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$6,372	\$6,372		
PGRM	>200KW PV	163401	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/19/2021	\$6,696	\$6,696		
PGRM	>200KW PV	163420	Competitive	Y	Solar Energy Systems, LLC	PON 2112 NY SUN	1/19/2021	\$104,040	\$104,040		
PGRM	>200KW PV	163512	Competitive	Y	Best Energy Power	PON 2112 NY SUN	1/21/2021	\$20,736	\$20,736		
PGRM	>200KW PV	163600	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	1/22/2021	\$11,550	\$11,550		
PGRM	>200KW PV	163605	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	1/22/2021	\$11,550	\$11,550		
PGRM	>200KW PV	163616	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	1/22/2021	\$14,125	\$14,125		
PGRM	>200KW PV	163689	Competitive	Y	Solar Energy Systems, LLC	PON 2112 NY SUN	1/26/2021	\$19,200	\$19,200		
PGRM	>200KW PV	163702	Competitive	Y	Solar Energy Systems, LLC	PON 2112 NY SUN	1/26/2021	\$19,680	\$19,680		
PGRM	>200KW PV	163742	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	1/27/2021	\$8,100	\$8,100		
PGRM	>200KW PV	163916	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	2/1/2021	\$38,151	\$38,151		
PGRM	>200KW PV	164070	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	2/4/2021	\$21,312	\$21,312		
PGRM	>200KW PV	164102	Competitive	Y	174 Power Global NorthEast, LLC	PON 2112 NY SUN	2/4/2021	\$183,218	\$183,218		
PGRM	>200KW PV	164119	Competitive	Y	Sunrise Solar Solutions, LLC	PON 2112 NY SUN	2/4/2021	\$220,590	\$220,590		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	164250	Competitive	Y	AC Power, LLC	NY Sun	2/8/2021	\$2,918,678	\$2,918,678		
PGRM	>200KW PV	164252	Competitive	Y	Elk Street Solar LLC	NY Sun	2/8/2021	\$1,647,677	\$1,647,677		
PGRM	>200KW PV	164253	Competitive	Y	ClearPath Energy LLC	NY Sun	2/8/2021	\$494,969	\$494,969		
PGRM	>200KW PV	164256	Competitive	Y	ClearPath Energy LLC	NY Sun	2/8/2021	\$494,969	\$494,969		
PGRM	>200KW PV	164344	Competitive	Y	G&S Operations LLC	PON 2112 NY SUN	2/10/2021	\$78,273	\$78,273		
PGRM	>200KW PV	164393	Competitive	Y	Accord Power, Inc.	PON 2112 NY SUN	2/11/2021	\$16,485	\$16,485		
PGRM	>200KW PV	164398	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/11/2021	\$20,304	\$20,304		
PGRM	>200KW PV	164827	Competitive	Y	Best Energy Power	PON 2112 NY SUN	2/24/2021	\$50,436	\$50,436		
PGRM	>200KW PV	164840	Competitive	Y	ESNY-EW-STATENISLAND LLC	>200KW PV	2/24/2021	\$1,045,737	\$1,045,737		
PGRM	>200KW PV	164851	Competitive	Y	EDF Renewables Distributed Solutions Inc	NY Sun	2/24/2021	\$822,928	\$822,928		
PGRM	>200KW PV	164853	Competitive	Y	Generate Capital Inc.	NY Sun	2/24/2021	\$358,050	\$358,050		
PGRM	>200KW PV	164858	Competitive	Y	AC Power, LLC	NY Sun	2/24/2021	\$1,579,656	\$1,579,656		
PGRM	>200KW PV	164859	Competitive	Y	Standard Solar, Inc	NY Sun	2/24/2021	\$695,218	\$695,218		
PGRM	>200KW PV	164860	Competitive	Y	SL Pembroke, LLC	NY Sun	2/24/2021	\$581,645	\$581,645		
PGRM	>200KW PV	164861	Competitive	Y	Military Road Solar, LLC	NY Sun	2/24/2021	\$682,110	\$682,110		
PGRM	>200KW PV	164862	Competitive	Y	SL Pembroke, LLC	NY Sun	2/24/2021	\$727,056	\$727,056		
PGRM	>200KW PV	164864	Competitive	Y	Knox PV, LLC	NY Sun	2/24/2021	\$720,720	\$720,720		
PGRM	>200KW PV	164865	Competitive	Y	Standard Solar, Inc	NY Sun	2/24/2021	\$611,582	\$611,582		
PGRM	>200KW PV	164866	Competitive	Y	GSPP 1450 Curtis Rd, LLC	NY Sun	2/24/2021	\$700,128	\$700,128		
PGRM	>200KW PV	164868	Competitive	Y	Canisteo Solar I	NY Sun	2/24/2021	\$759,845	\$759,845		
PGRM	>200KW PV	164869	Competitive	Y	Sulphur Creek Solar, LLC	NY Sun	2/24/2021	\$720,463	\$720,463		
PGRM	>200KW PV	165413	Competitive	Y	East Light Partners PBC	NY Sun	3/10/2021	\$824,472	\$824,472		
PGRM	>200KW PV	165416	Competitive	Y	Delaware River Solar, LLC	NY Sun	3/10/2021	\$765,160	\$765,160		
PGRM	>200KW PV	165417	Competitive	Y	Community Energy Solar, LLC	NY Sun	3/10/2021	\$825,000	\$825,000		
PGRM	>200KW PV	165578	Competitive	Y	GSPP 5059 State Route 104, LLC	NY Sun	3/15/2021	\$370,656	\$370,656		
PGRM	>200KW PV	165580	Competitive	Y	FFP NY Schaghticoke Project2, LLC	NY Sun	3/15/2021	\$393,608	\$393,608		
PGRM	>200KW PV	165581	Competitive	Y	Old Mill Road Solar A, LLC	NY Sun	3/15/2021	\$739,939	\$739,939		
PGRM	>200KW PV	165582	Competitive	Y	Old Mill Road Solar B, LLC	NY Sun	3/15/2021	\$725,625	\$725,625		
PGRM	>200KW PV	165583	Competitive	Y	Tadcon Electric LLC	NY Sun	3/15/2021	\$824,967	\$824,967		
PGRM	>200KW PV	166053	Competitive	Y	SSC Oswego II LLC	NY Sun	3/24/2021	\$788,357	\$788,357		
PGRM	>200KW PV	166054	Competitive	Y	Burke Solar, LLC	NY Sun	3/24/2021	\$581,467	\$581,467		
PGRM	>200KW PV	166055	Competitive	Y	Thurston Ridge Solar, LLC	NY Sun	3/24/2021	\$728,571	\$728,571		
PGRM	>200KW PV	100014A	Competitive	Y	NY Dryden I, LLC	NY Sun c&l	9/6/2019		\$1,281,677	\$640,839	\$640,839
PGRM	>200KW PV	100016A	Competitive	Y	NY Horseheads II, LLC	NY Sun C&l	9/6/2019		\$1,281,677	\$640,839	\$640,839
PGRM	>200KW PV	100018A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY-Sun C&l Assignment	11/14/2019		\$1,172,567	\$262,185	\$848,468
PGRM	>200KW PV	100021A	Competitive	Y	FFP NY Guiderland Project1, LLC	NY-Sun C&l	1/22/2019		\$439,294	\$109,745	\$436,734
PGRM	>200KW PV	100022A	Competitive	Y	GenE Solar I LLC	NY-Sun C&l	3/13/2018		\$427,574	\$101,801	\$414,092
PGRM	>200KW PV	100410A	Competitive	Y	Green Eagle Solar II, LLC	NY-Sun C/l	4/11/2018		\$1,106,927	\$272,784	\$1,090,805
PGRM	>200KW PV	100878A	Competitive	Y	Renovus Rock, LLC	NY-Sun C&l	5/10/2017		\$286,806	\$67,361	\$275,707
PGRM	>200KW PV	101036A	Competitive	Y	MyPower Corp	>200KW PV	7/19/2019		\$1,331,022	\$338,665	\$1,004,176
PGRM	>200KW PV	101268A	Competitive	Y	MyPower Corp	NY Sun C&l	8/22/2019		\$1,109,185	\$267,831	\$822,423
PGRM	>200KW PV	101667A	Competitive	Y	MyPower Corp	NY Sun C&l	8/13/2019		\$1,331,022	\$311,345	\$976,855
PGRM	>200KW PV	102207A	Competitive	Y	AES Greece Solar, LLC	NY-Sun C&l	12/5/2017		\$125,588	\$31,013	\$123,055
PGRM	>200KW PV	102212A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&l	2/19/2020		\$1,109,185		
PGRM	>200KW PV	102212B	Competitive	Y	Sangolqui Solar, LLC	NY-Sun C&l	8/13/2020	\$1,109,185	\$1,109,185		
PGRM	>200KW PV	102609A	Competitive	Y	Fogarty Solar LLC	NY-Sun C&l	8/11/2020	\$1,109,185	\$1,109,185		
PGRM	>200KW PV	103075A	Competitive	Y	Green Eagle Solar II, LLC	NY-Sun C&l	4/5/2018		\$1,106,927	\$275,504	\$1,095,625
PGRM	>200KW PV	103076A	Competitive	Y	Green Eagle Solar II, LLC	NY-Sun C/l	4/11/2018		\$1,106,927		\$818,607
PGRM	>200KW PV	103077A	Competitive	Y	Aegis Solar, LLC	NY-Sun C&l	1/23/2019		\$1,109,185	\$261,952	\$1,044,893
PGRM	>200KW PV	103078A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&l	2/14/2020		\$1,109,185		
PGRM	>200KW PV	103078B	Competitive	Y	Donati Solar, LLC	NY-Sun C&l	8/13/2020	\$1,109,185	\$1,109,185		
PGRM	>200KW PV	104263A	Competitive	Y	West Group Law PLLC	REP 3300 Outside Counsel Servi	4/14/2017		\$50,000		
PGRM	>200KW PV	106235A	Competitive	Y	SUNSTARTER SOLAR XL LLC	NY-Sun C&l Assignment	12/17/2018		\$1,348,883	\$674,442	\$674,442
PGRM	>200KW PV	106236A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun C/l Assignment	11/25/2019		\$1,212,397	\$287,558	\$893,757
PGRM	>200KW PV	107785A	Competitive	Y	NY ENFIELD I LLC	NY-Sun C&l	4/4/2019		\$1,142,113	\$281,025	\$852,082
PGRM	>200KW PV	107787A	Competitive	Y	Norstar Solar LLC	NY-SUN C&l (Assignment)	5/29/2018		\$999,593	\$232,710	\$947,765
PGRM	>200KW PV	108089A	Competitive	Y	Fran Corp	NY-Sun C&l	6/26/2020	\$734,786	\$734,786	\$367,393	\$367,393
PGRM	>200KW PV	108091A	Competitive	Y	Spencer-Tioga Solar, LLC	NY-Sun C&l	3/16/2018		\$1,045,884	\$248,537	\$771,479
PGRM	>200KW PV	108093A	Competitive	Y	Spencer-Tioga Solar, LLC	NY-Sun C&l	3/21/2018		\$2,078,195	\$496,524	\$1,535,622
PGRM	>200KW PV	108095A	Competitive	Y	Spencer-Tioga Solar, LLC	NY-Sun C&l	3/21/2018		\$1,045,884	\$250,963	\$773,905
PGRM	>200KW PV	108097A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/16/2018		\$1,255,061	\$292,309	\$919,840
PGRM	>200KW PV	108098A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/20/2018		\$1,255,061	\$294,156	\$921,687
PGRM	>200KW PV	108099A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/14/2018		\$1,242,130	\$285,080	\$906,145
PGRM	>200KW PV	108100A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/16/2018		\$1,255,061	\$289,727	\$917,257
PGRM	>200KW PV	108670A	Competitive	Y	Spencer-Tioga Solar, LLC	NY-Sun C&l	3/21/2018		\$2,078,906	\$497,272	\$1,536,726
PGRM	>200KW PV	108671A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/21/2018		\$1,255,061	\$296,831	\$924,361
PGRM	>200KW PV	108672A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/21/2018		\$1,255,061	\$286,074	\$913,605
PGRM	>200KW PV	108675A	Competitive	Y	DRYDEN-TOMPKINS SOLAR II LLC	NY-Sun C&l	3/14/2018		\$2,271,438		
PGRM	>200KW PV	108677A	Competitive	Y	DRYDEN-TOMPKINS SOLAR II LLC	NY Sun C&l	3/13/2018		\$1,228,964		
PGRM	>200KW PV	108679A	Competitive	Y	SSA Solar of NY 4 LLC	NY-Sun C/l	10/3/2018		\$292,117	\$76,122	\$222,181
PGRM	>200KW PV	109142A	Competitive	Y	Mount Hope Solar 1, LLC	NY-Sun C&l	4/11/2018		\$1,032,960	\$285,550	\$802,031
PGRM	>200KW PV	109144A	Competitive	Y	Mount Hope Solar 2, LLC	NY-Sun C/l	4/11/2018		\$1,025,306	\$512,653	\$512,653
PGRM	>200KW PV	109145A	Competitive	Y	Green Eagle Solar IV, LLC Series I	>200KW PV	11/8/2018		\$962,410	\$253,518	\$734,723
PGRM	>200KW PV	109146A	Competitive	Y	Green Eagle Solar IV, LLC Series I	NY-Sun C&l Assignment	11/9/2018		\$962,410	\$250,600	\$731,805
PGRM	>200KW PV	109149A	Competitive	Y	DRYDEN-TOMPKINS SOLAR II LLC	NY-Sun C&l	3/14/2018		\$2,137,133		
PGRM	>200KW PV	109150A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/21/2018		\$1,871,711	\$438,318	\$1,374,173
PGRM	>200KW PV	109152A	Competitive	Y	Hope Solar Farm, LLC	NY Sun C&l	8/14/2019		\$942,474	\$471,237	\$471,237
PGRM	>200KW PV	109581A	Competitive	Y	NY Newfield IV, LLC	Assignment Agreement	7/22/2019		\$2,422,665	\$1,211,332	\$1,211,332
PGRM	>200KW PV	109585A	Competitive	Y	DRYDEN-TOMPKINS SOLAR I LLC	NY-Sun C&l	3/20/2018		\$1,871,711	\$430,464	\$1,366,320
PGRM	>200KW PV	109587A	Competitive	Y	NY Mooers I, LLC	>200KW PV	7/25/2019		\$1,194,826	\$298,682	\$896,095
PGRM	>200KW PV	109588A	Competitive	Y	NY Mooers II, LLC	NY-Sun C/l	4/19/2018		\$1,163,901	\$297,290	\$879,241

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	109589A	Competitive	Y	NY Mogens III, LLC	NY-Sun C/I	4/19/2018		\$1,163,901	\$293,699	\$875,650
PGRM	>200KW PV	109592A	Competitive	Y	State Route 52 LLC	NY Sun	1/29/2021	\$887,348	\$887,348		
PGRM	>200KW PV	109594A	Competitive	Y	CMR Solar LLC	NY-Sun C/I	6/6/2018		\$1,174,745	\$322,404	\$909,776
PGRM	>200KW PV	109597A	Competitive	Y	NY Baldwin I, LLC	NY-Sun C&I	2/14/2019		\$1,095,251	\$274,384	\$822,010
PGRM	>200KW PV	109842A	Competitive	Y	IGS Ventures, Inc.	NY Sun C/I	8/22/2018		\$189,814	\$42,996	\$186,012
PGRM	>200KW PV	110690A	Competitive	Y	Hollygrove Solar, LLC	NY-Sun C/I	3/4/2019		\$1,045,803	\$215,927	\$738,829
PGRM	>200KW PV	110695A	Competitive	Y	RRH PARMA SOLAR 1 LLC	NYSUN C&I	8/22/2018		\$1,062,226	\$217,663	\$964,146
PGRM	>200KW PV	110696A	Competitive	Y	Generate NY Community Solar I, LLC	NY-Sun C&I	12/19/2018		\$1,105,119		\$552,559
PGRM	>200KW PV	110942A	Competitive	Y	MIDDLESEX-YATES SOLAR LLC	NY-Sun C&I	3/14/2018		\$831,082	\$415,541	\$415,541
PGRM	>200KW PV	110943A	Competitive	Y	MIDDLESEX-YATES SOLAR LLC	NY-Sun C&I	3/13/2018		\$707,040	\$353,520	\$353,520
PGRM	>200KW PV	110946A	Competitive	Y	Livingston 4 Solar, LLC	NY Sun C&I	8/2/2019		\$919,860	\$459,930	\$459,930
PGRM	>200KW PV	110955A	Competitive	Y	Route 13 Solar, LLC	NY-Sun C&I	12/17/2019		\$938,817		
PGRM	>200KW PV	110956A	Competitive	Y	McLean Solar 1, LLC	NY-Sun C&I	12/17/2019		\$883,593		
PGRM	>200KW PV	110958A	Competitive	Y	Cortland-Virgil Road Solar, LLC	NY-Sun C&I	9/1/2020	\$883,593	\$883,593		
PGRM	>200KW PV	111082A	Competitive	Y	Kearsarge Johnstown 1 LLC	NY-Sun C/I	9/13/2018		\$976,413	\$171,046	\$659,252
PGRM	>200KW PV	111083A	Competitive	Y	Chenango 1 Solar, LLC	NY-Sun C&I	12/17/2019		\$1,737,513		
PGRM	>200KW PV	111085A	Competitive	Y	Johnstown 2 Solar, LLC	NY Sun C&I	8/2/2019		\$903,772	\$451,886	\$451,886
PGRM	>200KW PV	111088A	Competitive	Y	Lime Hollow Solar, LLC	NY-Sun C&I	12/17/2019		\$751,994		
PGRM	>200KW PV	111238A	Competitive	Y	GSPP Grand Island B, LLC	NY-Sun C&I	6/6/2019		\$325,615	\$162,808	\$162,808
PGRM	>200KW PV	111239A	Competitive	Y	AMP US HoldCo Inc.	NY-Sun C&I	8/10/2020	\$838,706	\$838,706	\$419,353	\$419,353
PGRM	>200KW PV	111240A	Competitive	Y	NORWICH - CHENANGO SOLAR, LLC	NY-Sun C&I	3/16/2018		\$1,689,653	\$844,827	\$844,827
PGRM	>200KW PV	111242A	Competitive	Y	NORWICH - CHENANGO SOLAR, LLC	NY-Sun C&I	3/20/2018		\$1,690,008	\$845,004	\$845,004
PGRM	>200KW PV	111243A	Competitive	Y	NORWICH - CHENANGO SOLAR, LLC	NY-Sun C&I	3/30/2018		\$1,687,663	\$843,832	\$843,832
PGRM	>200KW PV	111434A	Competitive	Y	Kearsarge Oppenheim LLC	NY-Sun C/I	9/13/2018		\$677,236	\$174,284	\$675,775
PGRM	>200KW PV	111435A	Competitive	Y	Kearsarge Johnstown 2 LLC	NY-Sun C/I	9/13/2018		\$976,413	\$236,330	\$724,536
PGRM	>200KW PV	111437A	Competitive	Y	East River Solar, LLC	NY-Sun C&I	12/17/2019		\$883,593		
PGRM	>200KW PV	111439A	Competitive	Y	Green Eagle Solar VI, LLC	NY-Sun C&I	12/5/2019		\$1,775,547	\$459,789	\$1,347,563
PGRM	>200KW PV	111752A	Competitive	Y	CSA Parma Solar 1, LLC	NY Sun C/I	8/14/2018		\$1,047,107	\$263,908	\$1,031,406
PGRM	>200KW PV	111823A	Competitive	Y	MyPower Corp	NY Sun C/I Assignment	1/19/2019		\$845,093	\$221,289	\$643,835
PGRM	>200KW PV	111828A	Competitive	Y	Generate NY Community Solar Lessor III,	NY-Sun C&I	11/12/2020	\$932,677	\$932,677	\$466,338	\$466,338
PGRM	>200KW PV	111832A	Competitive	Y	Generate NY Community Solar I, LLC	NY-Sun C&I	10/18/2019		\$926,896	\$463,448	\$463,448
PGRM	>200KW PV	111833A	Competitive	Y	NY Thompson II, LLC	NY Sun C&I	8/19/2019		\$822,961	\$214,008	\$625,488
PGRM	>200KW PV	112016A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY-Sun Full/Payee Assignment	11/13/2019		\$932,129	\$191,875	\$657,940
PGRM	>200KW PV	112457A	Competitive	Y	MyPower Corp	NY Sun C&I	8/1/2019		\$1,014,112	\$244,569	\$751,625
PGRM	>200KW PV	112837A	Competitive	Y	Salmon River Solar LLC	NY-Sun C&I	11/18/2019		\$603,651	\$301,825	\$301,825
PGRM	>200KW PV	112841A	Competitive	Y	Mecklenburg Solar LLC	NY-Sun C/I	5/8/2018		\$505,086	\$108,944	\$345,468
PGRM	>200KW PV	113404A	Competitive	Y	Spencer-Tioga Solar, LLC	NY-Sun C&I	3/21/2018		\$1,308,905	\$309,543	\$963,995
PGRM	>200KW PV	113659A	Competitive	Y	Greenville NY 1, LLC	NY-Sun C/I	6/21/2018		\$501,968	\$131,562	\$382,546
PGRM	>200KW PV	113661A	Competitive	Y	Pearl Solar II, LLC	NY-Sun C&I	1/28/2019		\$845,093	\$192,213	\$768,254
PGRM	>200KW PV	113666A	Competitive	Y	RRH PARMA SOLAR 1 LLC	NY-Sun C&I	8/22/2018		\$700,067	\$144,827	\$632,918
PGRM	>200KW PV	115600A	Competitive	Y	ZG NY Solar, LLC	NY-Sun C&I	10/19/2017		\$461,099	\$104,413	\$334,963
PGRM	>200KW PV	116257A	Competitive	Y	Pearl Solar, LLC	NY-Sun C&I	2/14/2019		\$845,093	\$179,341	\$601,888
PGRM	>200KW PV	116258A	Competitive	Y	NY RNM Project2, LLC	NY Sun C&I	4/4/2018		\$27,627	\$5,095	\$24,979
PGRM	>200KW PV	116259A	Competitive	Y	Enlighten Solar Inc	NY-Sun C&I	7/10/2019		\$10,438	\$2,664	\$7,883
PGRM	>200KW PV	116274A	Competitive	Y	FFP NY Schaghticoke Project1, LLC	NY-Sun C&I Payee Assignment	1/15/2019		\$459,736	\$119,203	\$454,886
PGRM	>200KW PV	116275A	Competitive	Y	FFP NY Watertown Project1, LLC	NY-Sun C&I Assignment	1/15/2019		\$459,736	\$111,309	\$437,651
PGRM	>200KW PV	116279A	Competitive	Y	Washingtonville Solar LLC	NY-Sun C/I Payee Assignment	1/24/2020		\$611,982		
PGRM	>200KW PV	116285A	Competitive	Y	Catlin Solar 1 LLC	NY-Sun C&I	6/18/2019	\$512,068	\$1,024,137	\$512,068	\$512,068
PGRM	>200KW PV	116290A	Competitive	Y	Catlin Solar 1 LLC	NY-Sun C&I	6/10/2019		\$512,068		
PGRM	>200KW PV	116293A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun C/I Assignment	11/14/2019		\$529,046	\$264,523	\$264,523
PGRM	>200KW PV	116294A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun Assignment	11/14/2019		\$529,046	\$138,823	\$403,346
PGRM	>200KW PV	116913A	Competitive	Y	Standard Solar, Inc	NY-Sun	4/20/2020	\$1,309,646	\$1,309,646	\$654,823	\$654,823
PGRM	>200KW PV	116915A	Competitive	Y	NY RNM Project3, LLC	NY-Sun C/I	5/3/2018		\$30,649	\$7,481	\$29,614
PGRM	>200KW PV	116920A	Competitive	Y	Green Eagle Solar VI, LLC	NY Sun C/I Assignment	12/6/2019		\$882,728		\$441,364
PGRM	>200KW PV	116922A	Competitive	Y	Green Eagle Solar VI, LLC	>200KW PV	2/27/2020		\$884,396		\$442,198
PGRM	>200KW PV	116924A	Competitive	Y	Green Eagle Solar VI, LLC	NY-Sun C/I Payee Assignment	8/5/2020	\$413,317	\$413,317		
PGRM	>200KW PV	116928A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C/I Assignment	1/17/2020		\$330,654	\$82,663	\$247,990
PGRM	>200KW PV	117463A	Competitive	Y	RIT HENRIETTA SOLAR 1, LLC	NY Sun C/I	6/21/2018		\$447,261	\$102,445	\$425,145
PGRM	>200KW PV	117466A	Competitive	Y	MyPower Corp	NY-Sun Assignment	12/13/2019		\$845,093	\$189,111	\$611,658
PGRM	>200KW PV	117934A	Competitive	Y	FFP NY Schenectady Project1, LLC	NY-Sun C&I Assignment	1/15/2019		\$459,736	\$110,431	\$449,877
PGRM	>200KW PV	117939A	Competitive	Y	GSPP SP 1 55-30 58th St., LLC	NY-Sun C&I	4/24/2019		\$559,705	\$105,380	\$385,233
PGRM	>200KW PV	118448A	Competitive	Y	AES DE RS VIII, LLC	>200KW PV	11/20/2019		\$961,155	\$480,578	\$480,578
PGRM	>200KW PV	119405B	Competitive	Y	OnForce Solar	NY Sun	1/28/2020		\$805,344	\$202,351	\$605,023
PGRM	>200KW PV	119406A	Competitive	Y	SUNSTARTER SOLAR XXXII LLC	NY-Sun C&I	2/19/2019		\$157,731	\$78,866	\$78,866
PGRM	>200KW PV	119408A	Competitive	Y	GSPP SP 2 Sing Po, LLC	NY-Sun C&I	4/25/2019		\$231,602	\$58,561	\$174,362
PGRM	>200KW PV	120830A	Competitive	Y	Bacon Triangle LLC	NY-Sun C&I	7/20/2020	\$58,307	\$58,307	\$29,153	\$29,153
PGRM	>200KW PV	120834A	Competitive	Y	Chester NY 1, LLC	NY-Sun C/I	7/25/2018		\$248,092	\$67,354	\$191,400
PGRM	>200KW PV	122068A	Competitive	Y	Generate NY Community Solar Lessor II, L	NYSun C/I Assignment	12/13/2019		\$845,093	\$442,546	\$442,546
PGRM	>200KW PV	126030A	Competitive	Y	BUILDING 36 LLC	NY-Sun Assignment	11/7/2018		\$181,460	\$44,572	\$135,303
PGRM	>200KW PV	126031A	Competitive	Y	Yorktown NY 2, LLC	NY-Sun C&I	12/13/2018		\$1,766,682		
PGRM	>200KW PV	126304A	Competitive	Y	Yorktown NY 1, LLC	NY-Sun C&I	12/13/2018		\$1,776,190		
PGRM	>200KW PV	128361A	Competitive	Y	Riley Road Windsor, LLC	NY-Sun C/I	9/20/2018		\$692,900	\$145,361	\$491,811
PGRM	>200KW PV	128363A	Competitive	Y	Westerlo NY 1, LLC	NY-Sun C&I	12/13/2018		\$689,310	\$344,655	\$344,655
PGRM	>200KW PV	128364B	Competitive	Y	Medusa NY 1 LLC	>200KW PV	11/26/2019		\$700,245	\$350,123	\$350,123
PGRM	>200KW PV	128365A	Competitive	Y	Riley Road Windsor, LLC	NY Sun C/I	9/20/2018		\$692,900	\$166,694	\$513,144
PGRM	>200KW PV	128366A	Competitive	Y	Green Eagle Solar VI, LLC	NY-Sun C&I	12/13/2019		\$1,748,959	\$874,479	\$874,479
PGRM	>200KW PV	128367A	Competitive	Y	Green Eagle Solar VI, LLC	NY-Sun C&I	12/13/2019		\$1,748,959	\$874,479	\$874,479
PGRM	>200KW PV	131206A	Competitive	Y	Middletown NY 1, LLC	NY-Sun C&I	12/12/2018		\$689,745	\$344,873	\$344,873
PGRM	>200KW PV	131207A	Competitive	Y	Middletown NY 2, LLC	NY-Sun C&I	12/12/2018		\$279,055	\$139,528	\$139,528
PGRM	>200KW PV	131208B	Competitive	Y	Walden NY 1 LLC	NY Sun C/I Assignment	11/25/2019		\$671,160	\$335,580	\$335,580
PGRM	>200KW PV	133071A	Competitive	Y	AES DE RS VI, LLC	NY-Sun C&I	3/31/2020	\$1,309,770	\$1,309,770	\$654,885	\$654,885

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	>200KW PV	133072A	Competitive	Y	AES DE RS VIII, LLC	NY-Sun C&I	3/19/2020		\$1,303,803	\$651,901	\$651,901
PGRM	>200KW PV	133073A	Competitive	Y	Sunvestment Energy Group NY 63, LLC	NY-Sun C&I	8/13/2020	\$932,432	\$932,432	\$466,216	\$466,216
PGRM	>200KW PV	135524A	Competitive	Y	174 Power Global NorthEast, LLC	>200KW PV	6/22/2020	\$454,896	\$454,896	\$454,896	\$454,896
PGRM	>200KW PV	135623A	Competitive	Y	Hamlin Solar 1, LLC	NY-Sun C&I	1/30/2020		\$1,626,885		
PGRM	>200KW PV	135623B	Competitive		Nautilus Owner 2020, LLC	NY-Sun C&I	2/11/2021	\$1,626,885	\$1,626,885		
PGRM	>200KW PV	135624A	Competitive	Y	AES DE RS VIII, LLC	NY-Sun	3/19/2020		\$1,108,608	\$554,304	\$554,304
PGRM	>200KW PV	135625A	Competitive	Y	AES DE RS VIII, LLC	NY-Sun C&I	3/31/2020	\$1,445,344	\$1,445,344	\$722,672	\$722,672
PGRM	>200KW PV	135626A	Competitive	Y	LR Wheatfield Solar 1, LLC	NY-Sun C&I	12/1/2020	\$1,574,235	\$1,574,235	\$787,118	\$787,118
PGRM	>200KW PV	136924A	Competitive	Y	Clifton Park Solar 1, LLC	NY-Sun C&I	1/30/2020		\$1,874,340		
PGRM	>200KW PV	136924B	Competitive	Y	Nautilus Owner 2020, LLC	NY-Sun C&I	2/11/2021	\$1,874,340	\$1,874,340		
PGRM	>200KW PV	136925A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun Full/Payee Assignment	1/8/2020		\$238,680	\$119,340	\$119,340
PGRM	>200KW PV	136927A	Competitive	Y	AES DE RS XI, LLC	NY-Sun C&I	3/18/2020		\$875,336	\$437,668	\$437,668
PGRM	>200KW PV	136928A	Competitive	Y	Clifton Park Solar 2, LLC	NY-Sun C&I	1/30/2020		\$747,630		
PGRM	>200KW PV	136928B	Competitive		Nautilus Owner 2020, LLC	NY-Sun C&I	2/11/2021	\$713,213	\$713,213		
PGRM	>200KW PV	136931A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun CI Full/Payee Assignmen	1/13/2020		\$329,290	\$164,645	\$164,645
PGRM	>200KW PV	136932A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	4/12/2019		\$1,572,480	\$385,091	\$1,171,331
PGRM	>200KW PV	136933A	Competitive	N	Standard Solar, Inc	NY-Sun C&I	1/15/2020		\$1,627,920	\$379,883	\$1,193,843
PGRM	>200KW PV	136934A	Competitive		Schenectady County Solar Projects 2019,	NY-Sun Full & Payee Assignment	1/8/2020		\$413,270	\$206,635	\$206,635
PGRM	>200KW PV	137400A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun Full/Payee Assignment	1/13/2020		\$1,225,224	\$612,612	\$612,612
PGRM	>200KW PV	137821A	Competitive	Y	AES DE RS X, LLC	NY-Sun CI	6/23/2020	\$1,837,316	\$1,837,316	\$918,658	\$918,658
PGRM	>200KW PV	137822A	Competitive	Y	Saranac Lake Community Solar LLC	NY-Sun C&I	3/26/2020		\$503,010		
PGRM	>200KW PV	137823A	Competitive	Y	AES DE RS X, LLC	NY-Sun CI	6/23/2020	\$1,301,198	\$1,301,198	\$650,599	\$650,599
PGRM	>200KW PV	137824A	Competitive	Y	2645 Union Street Solar, LLC	NY-Sun C&I	12/17/2020	\$1,610,820	\$1,610,820		
PGRM	>200KW PV	137825A	Competitive	Y	2675 Union Street Solar, LLC	NY-Sun C&I	12/17/2020	\$1,462,050	\$1,462,050		
PGRM	>200KW PV	137826A	Competitive	Y	2648 Union Street Solar, LLC	NY-Sun C&I	12/17/2020	\$1,405,620	\$1,405,620		
PGRM	>200KW PV	138359A	Competitive	Y	Marathon Solar Farm II, LLC	NY- Sun C&I	10/7/2020	\$788,970	\$788,970	\$394,485	\$394,485
PGRM	>200KW PV	138360A	Competitive	Y	Marathon Solar Farm, LLC	NY-Sun C&I	10/7/2020	\$1,203,384	\$1,203,384	\$601,692	\$601,692
PGRM	>200KW PV	138879A	Competitive	Y	174 Power Global NorthEast, LLC	>200KW PV	6/22/2020	\$74,898	\$74,898	\$74,898	\$74,898
PGRM	>200KW PV	138880A	Competitive	Y	174 Power Global NorthEast, LLC	>200KW PV	6/22/2020	\$473,040	\$473,040	\$441,504	\$441,504
PGRM	>200KW PV	140378A	Competitive	Y	Pendleton Solar 1, LLC	NY-Sun C&I	10/21/2020	\$1,229,904	\$1,229,904	\$614,952	\$614,952
PGRM	>200KW PV	140956A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun CI Full/Payee Assignmen	1/8/2020		\$919,360	\$459,680	\$459,680
PGRM	>200KW PV	142148A	Competitive	Y	Sugar Hill Solar 1, LLC	NY Sun	1/25/2021	\$1,446,432	\$1,446,432		
PGRM	>200KW PV	142150A	Competitive	Y	Red Hook CSA, LLC	NY-Sun C&I	9/17/2019		\$488,852	\$130,176	\$374,602
PGRM	>200KW PV	143241A	Competitive	N	AES DE RS XV, LLC	NY Sun	3/18/2021	\$256,074	\$256,074		
PGRM	>200KW PV	143243A	Competitive	Y	Standard Solar, Inc	NY-Sun C&I	4/22/2020	\$1,447,200	\$1,447,200	\$723,600	\$723,600
PGRM	>200KW PV	143620A	Competitive	Y	MSAP 13 LLC	NY Sun	2/23/2021	\$780,000	\$780,000		
PGRM	>200KW PV	143621A	Competitive	Y	Delaware River Solar, LLC	NY Sun CI Payee Assignment	1/3/2020		\$902,880	\$902,880	
PGRM	>200KW PV	143621B	Competitive	Y	NY Affon I, LLC	NY-Sun C&I	11/3/2020	\$902,880	\$902,880		
PGRM	>200KW PV	143623A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	12/13/2019		\$1,378,944	\$1,378,944	
PGRM	>200KW PV	143623B	Competitive	Y	NY Newark Valley I, LLC	NY-Sun C&I	2/25/2021	\$1,378,944	\$1,378,944		
PGRM	>200KW PV	144101A	Competitive	Y	Helios Energy New York 4, LLC	NY Sun	3/2/2021	\$1,124,970	\$1,124,970		
PGRM	>200KW PV	144102A	Competitive	Y	Helios Energy New York 11, LLC	NY Sun	3/2/2021	\$1,124,970	\$1,124,970		
PGRM	>200KW PV	145209A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	11/21/2019		\$476,064		
PGRM	>200KW PV	145209B	Competitive	Y	NY MACEDON I LLC	NY-Sun C&I	7/20/2020	\$476,064	\$476,064		
PGRM	>200KW PV	145210A	Competitive	Y	Abundant Solar Power Sk1 LLC	NYSun CI Full/Payee assignment	8/3/2020	\$252,288	\$252,288		
PGRM	>200KW PV	145212A	Competitive	Y	Delaware River Solar, LLC	NYSun CI Assignment	1/17/2020		\$1,006,020		
PGRM	>200KW PV	145212B	Competitive	Y	NY PLATTSBURGH I LLC	NY-Sun C&I	7/20/2020	\$1,006,020	\$1,006,020		
PGRM	>200KW PV	145810A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	11/20/2019		\$393,984		
PGRM	>200KW PV	145810B	Competitive	Y	NY MACEDON II LLC	NY-Sun C&I	7/20/2020	\$393,984	\$393,984		
PGRM	>200KW PV	145812A	Competitive	Y	Delaware River Solar, LLC	NY Sun CI Payee Assignment	1/3/2020		\$1,023,840		
PGRM	>200KW PV	145812B	Competitive	Y	NY DIX I LLC	NY-Sun C&I	7/20/2020	\$1,023,840	\$1,023,840		
PGRM	>200KW PV	145814A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	11/21/2019		\$799,200		
PGRM	>200KW PV	145814B	Competitive	Y	NY ONTARIO I LLC	NY-Sun C&I	7/20/2020	\$799,200	\$799,200		
PGRM	>200KW PV	145816A	Competitive	Y	AES DE RS X, LLC	NY-Sun C&I	11/30/2020	\$1,480,024	\$1,480,024		
PGRM	>200KW PV	145817A	Competitive	Y	Delaware River Solar, LLC	NY Sun CI Payee Assignment	1/3/2020		\$1,108,080		
PGRM	>200KW PV	145817B	Competitive	Y	NY MOOERS V LLC	NY-Sun C&I	7/20/2020	\$1,108,080	\$1,108,080		
PGRM	>200KW PV	146634A	Competitive	Y	1639 RT 29 Solar 2, LLC	NY Sun	1/26/2021	\$899,964	\$899,964		
PGRM	>200KW PV	147694A	Competitive	Y	AES Distributed Energy, Inc.	NY-Sun	12/3/2020	\$1,254,926	\$1,254,926		
PGRM	>200KW PV	149524A	Competitive	Y	AES Distributed Energy, Inc.	NY Sun	1/25/2021	\$1,100,498	\$1,100,498		
PGRM	>200KW PV	149525A	Competitive	Y	AES Distributed Energy, Inc.	NY-Sun C&I	10/16/2020	\$997,682	\$997,682		
PGRM	>200KW PV	149528A	Competitive	Y	AES Distributed Energy, Inc.	NY-Sun C&I	12/3/2020	\$1,128,497	\$1,128,497		
PGRM	>200KW PV	149529A	Competitive	Y	AES Distributed Energy, Inc.	NY-Sun C&I	12/3/2020	\$327,910	\$327,910		
PGRM	>200KW PV	149535A	Competitive	Y	NY ORANGE I LLC	NY-Sun C&I	7/21/2020	\$488,376	\$488,376		
PGRM	>200KW PV	150308A	Competitive	Y	AES Distributed Energy, Inc.	NY-Sun C&I	12/3/2020	\$1,065,178	\$1,065,178		
PGRM	>200KW PV	150313A	Competitive	Y	NY RUSHFORD I LLC	NY-Sun C&I	7/21/2020	\$1,116,288	\$1,116,288		
PGRM	>200KW PV	151357A	Competitive	Y	Algonquin Power Fund Inc.	NY-Sun C&I	11/10/2020	\$566,100	\$566,100		
PGRM	>200KW PV	152815A	Competitive	Y	NJR Clean Energy Ventures III Corp.	NY Sun	3/1/2021	\$2,151,834	\$2,151,834		
PGRM	>200KW PV	152817A	Competitive	Y	Fredonia Solar LLC	NY Sun	3/1/2021	\$242,216	\$242,216		
PGRM	>200KW PV	153141A	Competitive	Y	NY Bath I, LLC	NY-Sun C&I	11/10/2020	\$1,175,040	\$1,175,040		
PGRM	>200KW PV	157681A	Competitive	Y	NY Portage I, LLC	NY-Sun C&I	11/10/2020	\$1,029,990	\$1,029,990		
PGRM	>200KW PV	158282A	Competitive	Y	NY Fayette I, LLC	>200KW PV	11/10/2020	\$1,056,354	\$1,056,354		
PGRM	>200KW PV	159119A	Competitive	Y	Leenhouts Solar Farm I, LLC	NY Sun	2/11/2021	\$333,099	\$333,099		
PGRM	>200KW PV	159120A	Competitive	Y	Buffalo Climate Action LLC	NY Sun	3/16/2021	\$1,006,200	\$1,006,200		
PGRM	>200KW PV	159121A	Competitive	Y	Buffalo Climate Action LLC	NY Sun	3/1/2021	\$263,640	\$263,640		
PGRM	>200KW PV	161648A	Competitive	Y	NY Troupsburg I, LLC	NY Sun	3/15/2021	\$895,050	\$895,050		
PGRM	>200KW PV	49806A	Competitive	Y	Oppenheim Solar South, LLC	PV System @ SunyPoly	6/21/2019		\$700,777	\$245,941	\$686,159
PGRM	>200KW PV	49847A	Competitive	Y	1406RB PV LLC	Olean Gateway PV Project 1	6/24/2016		\$1,408,749	\$458,272	\$1,308,019
PGRM	>200KW PV	49848A	Competitive	Y	1406RB PV Project 2	Olean Gateway PV Project 2	6/24/2016		\$1,408,749	\$462,195	\$1,312,472
PGRM	>200KW PV	49849A	Competitive	Y	Steel Sun 2 LLC	SteelSun 2 Canisius College 1	8/20/2015		\$1,433,493	\$502,317	\$932,365
PGRM	>200KW PV	49850A	Competitive	Y	Steel Sun 2 LLC	SteelSun2 - Canisius College 2	8/20/2015		\$1,460,163	\$489,223	\$927,272
PGRM	>200KW PV	49851A	Competitive	Y	Steel Sun 2 LLC	SteelSun 2 Canisius College 3	8/20/2015		\$1,460,163	\$521,620	\$959,669

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	>200KW PV	66259A	Competitive	Y	Helios NY I, LLC	NY-Sun	1/11/2019		\$488,074		\$107,726	\$351,763
PGRM	>200KW PV	69598A	Competitive	Y	Whiteface Mountain Solar 1, LLC	NY-Sun C/ Payee Assignment	6/23/2020	\$1,109,289	\$1,109,289		\$554,644	\$554,644
PGRM	>200KW PV	71557A	Competitive	Y	NextEra Energy Capital Holdings, Inc.	NY-Sun C&I	12/18/2018		\$649,289		\$137,346	\$575,894
PGRM	>200KW PV	72671A	Competitive	Y	AES Holland Solar, LLC	NY-Sun C&I	9/8/2017		\$103,142		\$22,977	\$87,020
PGRM	>200KW PV	82812A	Competitive	Y	NY RNM Project1, LLC	NY-SUN C&I	4/16/2018		\$990,966		\$257,240	\$964,419
PGRM	>200KW PV	82814A	Competitive	Y	NY RNM Project1, LLC	NY-Sun	4/10/2018		\$990,966		\$201,383	\$943,823
PGRM	>200KW PV	87389A	Competitive	N	NY RNM Project2, LLC	NY Sun C/I	9/5/2018		\$966,061		\$221,153	\$917,616
PGRM	>200KW PV	87484A	Competitive	Y	Pamal Broadcasting Ltd	NY-Sun C/I	5/3/2018		\$889,494		\$230,147	\$661,959
PGRM	>200KW PV	88479A	Competitive	Y	Jefferson Solar, LLC	NY-Sun C&I	3/1/2018		\$882,505		\$191,633	\$602,554
PGRM	>200KW PV	90515A	Competitive	Y	NY RNM Project2, LLC	NY-SUN C&I	6/11/2018		\$1,129,911		\$214,206	\$989,164
PGRM	>200KW PV	90518A	Competitive	Y	NY RNM Project1, LLC	NY-Sun C&I (Assignment)	5/30/2018		\$990,966		\$208,168	\$897,394
PGRM	>200KW PV	90519A	Competitive	Y	NY RNM Project1A, LLC	NY-Sun	1/9/2019		\$961,842		\$243,930	\$961,842
PGRM	>200KW PV	92874A	Competitive	Y	AES Broadalbin Solar, LLC	NY Sun C/I	9/12/2018		\$542,875		\$127,797	\$524,370
PGRM	>200KW PV	92877A	Competitive	Y	NY RNM Project4, LLC	NY-SUN C&I	3/9/2018		\$800,963		\$180,800	\$746,064
PGRM	>200KW PV	92878A	Competitive	Y	AES Rochester Solar, LLC	NY-Sun C&I	10/19/2017		\$811,064		\$162,966	\$561,912
PGRM	>200KW PV	93245A	Competitive	Y	Helios NY I, LLC	NY-Sun C&I	1/11/2019		\$581,440		\$131,052	\$421,772
PGRM	>200KW PV	93253A	Competitive	Y	AES Monroe Solar A, LLC	NY Sun C/I	12/26/2017		\$900,151		\$199,366	\$844,630
PGRM	>200KW PV	93254A	Competitive	Y	AES Monroe Solar B, LLC	NY-Sun C/I	6/26/2018		\$900,151		\$203,712	\$849,915
PGRM	>200KW PV	93255A	Competitive	Y	AES Monroe Solar C, LLC	NY-Sun C/I	7/26/2018		\$1,066,679		\$213,954	\$945,655
PGRM	>200KW PV	93256A	Competitive	Y	AES Monroe Solar D, LLC	NY-Sun C/I	6/26/2018		\$1,066,679		\$261,501	\$1,029,144
PGRM	>200KW PV	93257A	Competitive	Y	AES Monroe Solar E, LLC	NY-Sun C/I	7/26/2018		\$1,066,679		\$218,311	\$960,321
PGRM	>200KW PV	93290A	Competitive	Y	AES Wawarsing Solar, LLC	NY-Sun C/I	8/3/2018		\$317,579		\$82,775	\$317,579
PGRM	>200KW PV	93772A	Competitive	Y	1406RB PV LLC	C/I MW Block Assignment	7/7/2016		\$562,271		\$132,221	\$396,036
PGRM	>200KW PV	93773A	Competitive	Y	Homeridae LLC	NY-Sun C&I	6/24/2019		\$573,833		\$133,975	\$420,892
PGRM	>200KW PV	93774A	Competitive	Y	Homeridae LLC	NY-Sun C&I	6/24/2019		\$573,833		\$134,017	\$420,933
PGRM	>200KW PV	93776A	Competitive	Y	Syncarpha Whitehall LLC	>200KW PV	11/18/2016		\$790,133		\$745,346	
PGRM	>200KW PV	93923A	Competitive	Y	Renew Solar CS4 Fund LLC	NY-Sun C&I	9/23/2019		\$1,204,258		\$301,689	\$903,818
PGRM	>200KW PV	93924A	Competitive	Y	Renew Solar CS4 Fund LLC	NY-Sun C&I	9/16/2019		\$1,204,258		\$296,823	\$989,952
PGRM	>200KW PV	93926A	Competitive	Y	Generate NY Community Solar Lessor III,	NY-Sun C/I Assignment	4/1/2020	\$1,445,109	\$1,445,109		\$722,555	\$722,555
PGRM	>200KW PV	94785A	Competitive	Y	Westtown NY 1, LLC	NY-Sun C&I	7/17/2018		\$1,075,574		\$297,897	\$835,684
PGRM	>200KW PV	94790A	Competitive	Y	Delaware River Solar, LLC	NY-Sun C&I	2/19/2020		\$1,445,109		\$722,555	\$722,555
PGRM	>200KW PV	94791A	Competitive	Y	GWE Project HoldCo 4, LLC	NY-Sun C&I	12/3/2018		\$559,837		\$125,989	\$508,524
PGRM	>200KW PV	94970A	Competitive	Y	COA Solar 2, LLC	NY-Sun C&I Payee Assignment	4/2/2019		\$792,291		\$195,146	\$591,291
PGRM	>200KW PV	96117A	Competitive	Y	Global Resource Funding Partners LLC	>200KW PV	11/14/2016		\$357,079			\$312,392
PGRM	>200KW PV	98139A	Competitive	Y	NY Delaware I, LLC	NY-Sun C/I	2/20/2018		\$1,281,677		\$301,239	\$1,215,937
PGRM	>200KW PV	98140A	Competitive	Y	NY Delaware II, LLC	NY Sun C&I	2/14/2019		\$792,310		\$206,989	\$603,144
PGRM	>200KW PV	98141A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY Sun C/I	9/23/2019		\$1,124,634		\$297,297	\$859,614
PGRM	>200KW PV	98142A	Competitive	Y	GenE Solar I LLC	NYSun C/I	1/18/2018		\$595,266		\$157,635	\$591,213
PGRM	>200KW PV	98143A	Competitive	Y	Generate NY Community Solar Lessor II, L	NY-Sun C&I	9/23/2019		\$1,087,146			\$543,573
PGRM	>200KW PV	98152A	Competitive	Y	AES DE RS VII, LLC.	NY-Sun C&I	3/27/2020	\$155,438	\$155,438		\$77,719	\$77,719
PGRM	>200KW PV	98156A	Competitive	Y	NY BIG FLATS I, LLC	NY Sun C&I	8/30/2019		\$2,563,354		\$1,281,677	\$1,281,677
PGRM	>200KW PV	98157B	Competitive	Y	Generate NY Community Solar I, LLC	NY-Sun C&I	11/7/2019		\$1,068,064		\$241,372	\$775,404
PGRM	>200KW PV	98158A	Competitive	Y	Minaville North Solar 1, LLC	Commercial/Industrial PV	8/9/2017		\$582,238		\$144,744	\$435,863
PGRM	>200KW PV	98160A	Competitive	Y	Minaville West Solar 1, LLC	NY-Sun C&I	8/11/2017		\$582,238		\$141,706	\$436,419
PGRM	>200KW PV	98161A	Competitive	Y	Minaville South Solar 1, LLC	NY-Sun C&I	8/11/2017		\$582,238		\$119,913	\$411,032
PGRM	>200KW PV	98163A	Competitive	Y	Buckley Road East Solar 1, LLC	NY-Sun C&I	8/11/2017		\$597,701		\$282,849	\$579,208
PGRM	>200KW PV	98164A	Competitive	Y	Buckley Road West Solar 1, LLC	NY-Sun C&I	8/11/2017		\$592,697		\$280,345	\$576,703
PGRM	>200KW PV	98165A	Competitive	Y	Elmwood West Solar 1, LLC	NY-Sun C&I	8/11/2017		\$592,697			\$432,341
PGRM	>200KW PV	98166A	Competitive	Y	Elmwood East Solar 1, LLC	NY-Sun C&I	8/11/2017		\$434,770		\$105,091	\$434,770
PGRM	>200KW PV	98168A	Competitive	Y	Old Stage Road Solar 1, LLC	NY-Sun C&I	8/11/2017		\$597,701		\$148,166	\$597,133
PGRM	>200KW PV	98169A	Competitive	Y	Greenmount Lane Solar 1, LLC	NY-Sun C&I	8/11/2017		\$542,553		\$125,406	\$532,772
PGRM	>200KW PV	98344A	Competitive	Y	GenE Solar I LLC	NY-Sun C/I	1/18/2018		\$595,266		\$152,631	\$586,268
PGRM	>200KW PV	98345A	Competitive	Y	GenE Solar I LLC	NY-Sun C/I	1/18/2018		\$595,266		\$149,090	\$584,403
PGRM	>200KW PV	98347A	Competitive	Y	GenE Solar I LLC	NY-Sun C/I	1/18/2018		\$595,266		\$146,004	\$578,004
PGRM	>200KW PV	98348A	Competitive	Y	GenE Solar I LLC	NY Sun C/I	1/23/2018		\$595,266		\$152,466	\$595,266
PGRM	>200KW PV	98353A	Competitive	Y	Adirondack Solar, LLC	NY-SUN C&I	6/14/2018		\$322,261		\$75,588	\$313,621
PGRM	>200KW PV	98503A	Competitive	Y	NY Baldwin II, LLC	NY-Sun C&I	11/23/2018		\$1,281,677		\$321,241	\$1,256,390
PGRM	>200KW PV	98510A	Competitive	Y	Generate NY Community Solar I, LLC	NY-SUN C&I	12/28/2018		\$1,281,677		\$334,906	\$975,745
PGRM	>200KW PV	98516A	Competitive	Y	GRE Fund III Holdco LLC	NY Sun C/I	9/4/2018		\$372,671		\$72,772	\$341,813
PGRM	>200KW PV	98521A	Competitive	Y	NY Delaware V, LLC	NY-Sun C&I	9/23/2019		\$1,087,146		\$287,091	\$830,664
PGRM	>200KW PV	98522A	Competitive	Y	NY Delaware VI, LLC	NY-Sun C&I	9/23/2019		\$1,087,146		\$284,440	\$828,013
PGRM	>200KW PV	98819A	Competitive	Y	Delaware River Solar, LLC	NY Sun C/I Assignment	1/17/2020		\$1,268,731		\$201,032	\$835,398
PGRM	>200KW PV	98833A	Competitive	Y	NY Horseheads I, LLC	NY Sun c&i	9/6/2019		\$1,281,677		\$640,839	\$640,839
PGRM	>200KW PV	99008A	Competitive	Y	Sunlight Beacon LLC	NY-Sun C/I	8/8/2018		\$418,879		\$106,838	\$418,879
PGRM	>200KW PV	99714A	Competitive	Y	Minisink Solar 1, LLC	NY-Sun C&I	1/18/2018		\$900,607		\$189,624	\$900,607
PGRM	>200KW PV	99715A	Competitive	Y	Minisink Solar 2, LLC	NY-Sun C/I	1/18/2018		\$1,092,655		\$256,968	\$1,092,655
PGRM	>200KW PV	99975A	Competitive	Y	GRE Fund III Holdco LLC	NY Sun C/I	9/14/2018		\$447,205		\$110,583	\$435,488
PGRM	76 West	131989	Competitive	N	Switched Source LLC	76 West Energy Bus Competition	10/2/2018		\$250,000		\$50,000	\$250,000
PGRM	76 West	131990	Competitive	N	Ekostinger, Inc.	76 West Energy Bus Competition	10/2/2018		\$1,000,000		\$250,000	\$1,000,000
PGRM	76 West	131992	Competitive	N	Southern Tier Technologies LLC	76 West Energy Bus Competition	10/2/2018		\$250,000		\$50,000	\$225,000
PGRM	76 West	135425	Competitive	N	PassiveLogic Inc.	76 West Energy Bus Competition	12/20/2018		\$250,000			\$200,000
PGRM	76 West	138084	Competitive	N	HUB Controls USA Inc	76West Energy Bus Competition	3/14/2019		\$500,000		\$150,000	\$350,000
PGRM	76 West	151001	Competitive	N	CleanFiber LLC	76West Clean Energy Bus. Comp	2/5/2020		\$250,000		\$150,000	\$150,000
PGRM	76 West	151914	Competitive	Y	ProsumerGrid, Inc	76 West Clean Energy	2/28/2020		\$250,000		\$100,000	\$100,000
PGRM	76 West	155599	Competitive	Y	Optimus Technologies Inc	76 West Bus.Energy Comp.	7/9/2020	\$1,000,000	\$1,000,000		\$400,000	\$400,000
PGRM	76 West	159850	Competitive	Y	Carbon Upcycling Inc	76West	10/21/2020	\$250,000	\$250,000			
PGRM	ADVANCED BUILDINGS	26552	Competitive	Y	Adirondack Historical Association	ADK Museum Pellet Demo	2/14/2012		\$312,975			\$290,291
PGRM	ADVANCED BUILDINGS	32044	Competitive	Y	ABC No Rio	NYC Commercial Passive House	4/17/2013		\$263,888			\$14,666
PGRM	ADVANCED BUILDINGS	32978	Competitive	Y	The Research Foundation for the State	Wood Pellet Heating System Dem	5/31/2013		\$211,448		\$0	\$210,418
PGRM	ADVANCED BUILDINGS	34904	Competitive	Y	HFC Hudson Fisonic Corp	Development of Fisonic Device	8/27/2013		\$422,000			\$422,000
PGRM	ADVANCED BUILDINGS	34925	Competitive	Y	Autronic Plastics, Inc.	Low Voltage Lighting System	8/27/2013		\$307,188			\$226,169

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ADVANCED BUILDINGS	39788	Competitive	N	United Semiconductors, LLC	Advanced Buildings Technology	2/26/2014		\$100,000		\$89,500
PGRM	ADVANCED BUILDINGS	39792	Competitive	Y	Vital Vio, Inc.	Advanced Buildings Technology	2/26/2014		\$349,950	\$15,000	\$317,950
PGRM	ADVANCED BUILDINGS	39798	Competitive	Y	Bluestone RRSI, LLC	Rebuild Resilient Staten Is.	2/26/2014		\$300,000		\$199,860
PGRM	ADVANCED BUILDINGS	46932	Competitive	Y	Steven Winter Associates, Inc.	Linkage-Less Burner Retrofit	9/5/2014		\$248,300	\$0	\$248,300
PGRM	ADVANCED BUILDINGS	61719	Competitive	Y	Auronic Plastics, Inc.	LED Lighting	4/1/2015		\$299,365		\$284,365
PGRM	ADVANCED BUILDINGS	61736	Competitive	Y	Syracuse University	Flame-Assisted Fuel Cell	4/1/2015		\$100,000	\$5,000	\$100,000
PGRM	ADVANCED BUILDINGS	61738	Competitive	Y	The Levy Partnership, Inc.	Building Zero Energy readyhome	4/1/2015		\$207,569		\$168,353
PGRM	ADVANCED BUILDINGS	61740	Competitive	Y	Bright Power, Inc.	PV Battery backup system demo	4/1/2015		\$326,500		\$225,000
PGRM	ADVANCED BUILDINGS	62855	Competitive	Y	Action Research Inc.	Behavior Integrated Clean Ener	4/20/2015		\$350,000		\$39,108
PGRM	ADVANCED BUILDINGS	70632	Discretionary	Y	Research Foundation of The City	IUCR Membership Agreement	8/6/2015		\$50,000		\$20,000
PGRM	ADVANCED BUILDINGS	87376	Competitive	Y	OLEDWorks LLC	Advanced Buildings Technology	1/14/2016		\$100,645	\$40,000	\$40,000
PGRM	ADVANCED BUILDINGS	87378	Competitive	Y	National Oilheat Research Alliance	Advanced Buildings Technology	1/14/2016		\$59,663		\$23,137
PGRM	ADVANCED BUILDINGS	87379	Competitive	Y	Gas Technology Institute	Advanced Buildings Technology	1/14/2016		\$188,111	\$57,000	\$149,111
PGRM	ADVANCED BUILDINGS	87392	Competitive	Y	National Oilheat Research Alliance	Tankless Coil Boilers	1/15/2016		\$40,338		\$33,308
PGRM	ADVANCED BUILDINGS	32016A	Competitive	Y	Opinion Dynamics Corporation	Pilot Evaluation Services.	4/29/2019		\$43,148		
PGRM	ADVANCED BUILDINGS	62856A	Competitive	Y	DNV Energy Insights USA Inc.	Evaluation Oversight Services	1/18/2019		\$229,844	\$0	\$94,032
PGRM	Advancing Ag Enrgy Technologie	142084	Competitive	Y	Energy Vision	Advancing Ag Energy Tech PON	7/2/2019		\$878,400	\$12,500	\$22,000
PGRM	Advancing Ag Enrgy Technologie	142085	Competitive	Y	Cornell University	Advancing Ag Energy Tech PON	7/2/2019		\$1,000,000	\$34,800	\$52,800
PGRM	Advancing Ag Enrgy Technologie	142087	Competitive	Y	Ensava, Inc.	Advancing Ag Energy Tech PON	7/2/2019		\$142,719	\$47,719	\$73,219
PGRM	Advancing Ag Enrgy Technologie	142234	Competitive	Y	Dillon Klepetar	Advancing Ag Energy Tech PON	7/8/2019		\$28,864	\$16,855	\$28,355
PGRM	Agriculture	146641	Non-Competitive	Y	GDS Associates, Inc.	Agricultural Best Practices	10/25/2019				
PGRM	Agriculture Energy Eff Pgm	119374	Competitive	Y	Adam C. Boese	RFP3628FlexTechConsultUmbrella	10/18/2017		\$801,640		\$724,140
PGRM	Agriculture Energy Eff Pgm	120137	Competitive	Y	C.J. Brown Energy, P.C.	RFP3628FlexTechConsultUmbrella	11/3/2017		\$96,749	\$11,928	\$79,264
PGRM	Agriculture Energy Eff Pgm	120837-1	Competitive	Y	L&S Energy Services, Inc.	RFP3628FlexTechConsultUmbrella	1/30/2018		\$197,580	\$5,000	\$175,080
PGRM	Air Source Heat Pumps	132474	Competitive	Y	The Levy Partnership, Inc.	NextGen HVAC Innovation	10/12/2018		\$429,857	\$127,250	\$166,250
PGRM	Air Source Heat Pumps	143679	Discretionary	N	Taiiem Engineering, P.C.	ASHP Technical Assistance	8/13/2019		\$24,989	\$5,014	\$15,514
PGRM	Air Source Heat Pumps	143682	Competitive	N	Cohen Ventures Inc	TWO1 Prod & Appliance Standard	8/13/2019	\$150,000	\$200,000	\$88,340	\$125,913
PGRM	Air Source Heat Pumps	147823	Discretionary	N	C-Action LLC	Contract with Tauris Tech	11/20/2019		\$50,000		\$8,000
PGRM	Air Source Heat Pumps	156153	Competitive	Y	The Cadmus Group, LLC	Stocking/Shelving Survey	7/23/2020	\$152,000	\$152,000	\$151,994	\$151,994
PGRM	Air Source Heat Pumps	158741	Discretionary	Y	Bruce Harley Energy Consulting LLC	Heat Pump Technical Assistance	9/24/2020	\$50,000	\$50,000	\$19,913	\$19,913
PGRM	ANAEROBIC DIGESTER	17957	Competitive	Y	Matt Brewing Co	ADG to Electricity program	1/25/2010		\$1,000,000		\$642,078
PGRM	ANAEROBIC DIGESTER	31569	Competitive	Y	Noblehurst Farms, Inc.	RPS CST ADG-to-Electricity Dai	3/15/2013		\$2,000,000	\$60,570	\$1,554,680
PGRM	ANAEROBIC DIGESTER	33429	Competitive	Y	New Hope View Farm	PON 2684 - RPS CST ADG-to-Elec	6/24/2013		\$2,000,000		\$1,506,892
PGRM	ANAEROBIC DIGESTER	42045	Competitive	Y	Patterson Farms, Inc.	Patterson Farms Scrubber	5/27/2014		\$173,152		\$111,313
PGRM	ANAEROBIC DIGESTER	42049	Competitive	Y	Aurora Ridge Dairy LLC	Aurora Ridge Dairy Scrubber	5/27/2014		\$197,666		\$116,067
PGRM	ANAEROBIC DIGESTER	43225	Competitive	Y	Woodcrest Dairy, LLC	PON 2828 - Woodcrest	6/24/2014		\$1,573,187	\$83,710	\$1,186,409
PGRM	ANAEROBIC DIGESTER	43235	Competitive	Y	Rockland County Sewer District No. 1	Rockland Cty Sewer district 1	6/24/2014		\$2,000,000		\$159,389
PGRM	ANAEROBIC DIGESTER	44538	Competitive	Y	Gloversville-Johnstown Joint Wastewater	Gloversville-Johnstown Joint W	7/23/2014		\$2,000,000		\$525,270
PGRM	ANAEROBIC DIGESTER	50910	Competitive	Y	Boxler Dairy Farms	Boxler Dairy Farms Scrubber	10/29/2014		\$168,250		\$100,250
PGRM	ANAEROBIC DIGESTER	51035	Competitive	Y	Swiss Valley Farms, Inc.	Swiss Valley Farms Scrubber	10/29/2014		\$138,833		\$100,137
PGRM	ANAEROBIC DIGESTER	51066	Competitive	Y	Lamb Farms Inc.	Lamb Farms Inc. Scrubber	10/30/2014		\$168,250		\$119,856
PGRM	ANAEROBIC DIGESTER	65351	Competitive	Y	Lamb Farms Inc.	RPS ADG - Lamb Lakeshore Dairy	5/26/2015		\$1,750,000		\$977,303
PGRM	ANAEROBIC DIGESTER	70832	Competitive	Y	Adirondack Farms LLC	RPS ADG - Adirondack	8/10/2015		\$2,000,000	\$103,970	\$1,272,210
PGRM	ANAEROBIC DIGESTER	73665	Competitive	Y	County of Oneida	RPS ADG - Oneida County Sewer	9/25/2015		\$2,000,000	\$127,864	\$457,456
PGRM	ANAEROBIC DIGESTER	100869	Competitive	Y	Agri-Energy USA, LLC	RPS CST-ADG Milk Street	7/11/2016		\$2,000,000		\$129,787
PGRM	ANAEROBIC DIGESTER	108295	Competitive	Y	Walker Farms LLC	ANAEROBIC DIGESTER	12/20/2016		\$138,833		\$93,500
PGRM	Anaerobic Digesters	106538	Competitive	Y	City of Cortland	ADG-to-Electricity Project	11/9/2016		\$2,000,000		\$532,192
PGRM	Anaerobic Digesters	106540	Competitive	Y	CH4 Generate Cayuga, LLC	ADG-to-Electricity Project	11/9/2016		\$2,000,000		\$686,716
PGRM	Anaerobic Digesters	140298	Competitive	Y	CH4 Biogas, LLC	PON 3739 CAT C	5/15/2019	\$944,065	\$959,065	\$280,775	\$280,775
PGRM	Anaerobic Digesters	140300	Competitive	Y	Noblehurst Green Energy, LLC	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140301	Competitive	Y	Global Common, LLC	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140302	Competitive	Y	Aurora Ridge Dairy LLC	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140303	Competitive	Y	Global Common, LLC	PON 3739 CAT C	5/15/2019		\$15,000	\$15,000	\$15,000
PGRM	Anaerobic Digesters	140304	Competitive	Y	CH4 Generate Cayuga, LLC	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140306	Competitive	Y	Patterson Farms, Inc.	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140307	Competitive	Y	Sunny Knoll Farms	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140308	Competitive	Y	Gardeau Crest Farm	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140309	Competitive	Y	Sheland Farms LLC	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140310	Competitive	Y	Zuber Farms	PON 3739 CAT C	5/15/2019		\$5,000		
PGRM	Anaerobic Digesters	140311	Competitive	Y	Sunnyside Farms, Inc.	PON 3739 CAT C	5/15/2019	\$551,500	\$566,500	\$15,000	\$15,000
PGRM	Anaerobic Digesters	140314	Competitive	Y	Thomas Roach	PON 3739 CAT C	5/15/2019		\$15,000		
PGRM	Anaerobic Digesters	140546	Competitive	Y	Leonard Carl Neckers Jr	PON 3739 CAT C	5/21/2019		\$15,000		
PGRM	Anaerobic Digesters	140609	Competitive	Y	BME Yellowjacket LLC	PON 3739 CAT C	5/22/2019		\$15,000		
PGRM	Anaerobic Digesters	140610	Competitive	Y	BME Yellowjacket LLC	PON 3739 CAT C	5/22/2019	\$346,750	\$361,750	\$311,532	\$311,532
PGRM	Anaerobic Digesters	140611	Competitive	Y	BME Yellowjacket LLC	PON 3739 CAT C	5/22/2019	\$362,500	\$377,500	\$341,250	\$341,250
PGRM	Anaerobic Digesters	140612	Competitive	Y	BME Yellowjacket LLC	PON 3739 CAT C	5/22/2019	\$324,700	\$334,700	\$302,230	\$302,230
PGRM	Anaerobic Digesters	140613	Competitive	Y	BME Yellowjacket LLC	PON 3739 CAT C	5/22/2019	\$299,500	\$314,500	\$284,550	\$284,550
PGRM	Anaerobic Digesters	141020	Competitive	Y	Cornell University	PON 3739 CAT B	6/4/2019		\$249,964		
PGRM	Anaerobic Digesters	141021	Competitive	Y	Research Foundation of SUNY	PON 3739 CAT B	6/4/2019		\$250,000		
PGRM	Anaerobic Digesters	141210	Competitive	Y	Wagner Farms	PON 3739 CAT B	6/10/2019		\$277,750	\$55,055	\$55,055
PGRM	Anaerobic Digesters	140303-A	Competitive	Y	Willett Dairy, LLC	Willett-Belltown Refurbishment	7/13/2020		\$745,000	\$745,000	\$462,905
PGRM	BR: Program Implementation	153323	Discretionary	Y	O3 Development, LLC	CI Enrgy Siting & Soft Cost Red	4/8/2020		\$49,999	\$49,999	\$33,550
PGRM	BR: Prospecting	163978	Discretionary	Y	Transect Inc.	Mapping Service Subscription	2/2/2021		\$45,000		
PGRM	BR: Screening	155979	Competitive	Y	PowerGEM, LLC	TWO - Build Ready - Cat 1	7/20/2020	\$300,000	\$300,000	\$22,660	\$22,660
PGRM	BR: Screening	156898	Competitive	Y	TRC Engineers, Inc.	TWO- Cat 2, BR Site Cnt. Issue	8/12/2020	\$300,000	\$300,000	\$106,803	\$106,803
PGRM	BR: Screening	156899	Competitive	Y	TRC Engineers, Inc.	Cat 3: Feasibility Design	8/12/2020	\$300,000	\$300,000	\$108,066	\$108,066
PGRM	BR: Screening	158222	Competitive	Y	TRC Engineers, Inc.	CI Enrgy Siting & Soft Cost Red	9/15/2020		\$49,999	\$30,449	\$30,449
PGRM	Buildings of Excellence	136536	Discretionary	Y	Green Light New York, Inc.	New Construction Housing	1/28/2019		\$50,000	\$12,500	\$36,500
PGRM	Buildings of Excellence	145448	Competitive	Y	Riseboro Community Partnership, Inc.	Buildings of Excellence R1	9/27/2019		\$341,003	\$341,003	
PGRM	Buildings of Excellence	145450	Competitive	Y	The Community Builders, Inc.	Buildings of Excellence R1	9/27/2019		\$637,500	\$300,000	\$300,000
PGRM	Buildings of Excellence	145451	Competitive	Y	Bruns Associates, LLC	Buildings of Excellence R1	9/27/2019		\$637,500	\$300,000	\$300,000

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	Buildings of Excellence	145453	Competitive	Y	Unique People Services, Inc.	Buildings of Excellence R1	9/27/2019	\$637,500	\$637,500		\$112,500	\$112,500
PGRM	Buildings of Excellence	145456	Competitive	Y	Galvan Initiatives Foundation, Inc.	Buildings of Excellence R1	9/27/2019	\$850,000	\$850,000			
PGRM	Buildings of Excellence	145457	Competitive	Y	Omni New York LLC	Buildings of Excellence R1	9/27/2019	\$212,500	\$212,500		\$100,000	\$100,000
PGRM	Buildings of Excellence	145458	Competitive	Y	Perdita Flats Development, LLC	Buildings of Excellence R1	9/27/2019	\$59,976	\$59,976		\$10,584	\$10,584
PGRM	Buildings of Excellence	145459	Competitive	Y	Net-Zero Development LLC	Buildings of Excellence R1	9/27/2019	\$637,500	\$637,500		\$300,000	\$300,000
PGRM	Buildings of Excellence	145460	Competitive	Y	Ithaca Neighborhood Housing Services, In	Buildings of Excellence R1	9/27/2019	\$792,438	\$792,438			
PGRM	Buildings of Excellence	145463	Competitive	Y	223 East 25th Street LLC	Buildings of Excellence R1	9/27/2019	\$167,459	\$167,459			
PGRM	Buildings of Excellence	145510	Competitive	Y	1182 Woodycrest LLC	Buildings of Excellence R1	9/30/2019	\$350,931	\$350,931			
PGRM	Buildings of Excellence	145511	Competitive	Y	First Jamaica Community & Urban	Buildings of Excellence R1	9/30/2019	\$425,000	\$425,000		\$200,000	\$200,000
PGRM	Buildings of Excellence	145512	Competitive	Y	Trinity Financial, Inc.	Buildings of Excellence R1	9/30/2019	\$637,500	\$637,500		\$112,500	\$112,500
PGRM	Buildings of Excellence	145513	Competitive	Y	Masmore Corporation	Buildings of Excellence R1	9/30/2019	\$203,082	\$203,082			
PGRM	Buildings of Excellence	145514	Competitive	Y	Stephen Taya Property Development	Buildings of Excellence R1	9/30/2019	\$33,762	\$33,762			
PGRM	Buildings of Excellence	145699	Competitive	Y	369 Manhattan LLC	Buildings of Excellence R1	10/3/2019	\$75,871	\$75,871			
PGRM	Buildings of Excellence	145700	Competitive	Y	South End Development LLC	Buildings of Excellence R1	10/3/2019	\$559,317	\$559,317			
PGRM	Buildings of Excellence	145701	Competitive	Y	Carrera RS, LLC	Buildings of Excellence R1	10/3/2019	\$425,000	\$425,000		\$200,000	\$200,000
PGRM	Buildings of Excellence	145702	Competitive	Y	Comunilife LaCentral House Dev Fund Corp	Buildings of Excellence R1	10/3/2019	\$850,000	\$850,000			
PGRM	Buildings of Excellence	145703	Competitive	Y	H.E.L.P Development Corp.	Buildings of Excellence R1	10/3/2019	\$850,000	\$850,000			
PGRM	Buildings of Excellence	145885	Competitive	Y	211 Sea Foam Properties LLC	Buildings of Excellence R1	10/8/2019	\$425,000	\$425,000			
PGRM	Buildings of Excellence	145886	Competitive	Y	Linden Terrace II Developer LLC	Buildings of Excellence R1	10/8/2019	\$850,000	\$850,000			
PGRM	Buildings of Excellence	148091	Competitive	Y	Black Mountain Architecture, PLLC	BoE R1 - Designer Incentive	11/25/2019	\$112,500	\$112,500		\$75,000	\$75,000
PGRM	Buildings of Excellence	148095	Competitive	Y	Altanova LLC	BoE Rnd 1 - Design Team Incen.	11/25/2019	\$61,929	\$61,929			
PGRM	Buildings of Excellence	148097	Competitive	Y	Stas Zakrzewski Architect PC	BoE Rnd1 - Design Team Incent.	11/25/2019	\$13,389	\$13,389			
PGRM	Buildings of Excellence	148098	Competitive	Y	GF55 Architects LLP	BoE Rnd 1 - Design Team Incent	11/25/2019	\$75,000	\$75,000		\$50,000	\$50,000
PGRM	Buildings of Excellence	148101	Competitive	Y	Stas Zakrzewski Architect PC	BoE Rnd 1 - Design Team Incent	11/25/2019	\$75,000	\$75,000			
PGRM	Buildings of Excellence	148102	Competitive	Y	Magnusson Architecture and Planning PC	BoE R1 - Design Team Incentive	11/25/2019	\$112,500	\$112,500		\$75,000	\$75,000
PGRM	Buildings of Excellence	148224	Competitive	Y	Datner Architects D.P.C	BoE Rnd 1 - Design Team Incen.	11/27/2019	\$112,500	\$112,500		\$75,000	\$75,000
PGRM	Buildings of Excellence	148226	Competitive	Y	Urban Architectural Initiatives, RA, P.C	BoE Rnd 1 - Design Team Incen.	11/27/2019	\$150,000	\$150,000			
PGRM	Buildings of Excellence	148228	Competitive	Y	Cycle Architecture PLLC	BoE Rnd 1 - Design Team Incen.	11/27/2019	\$35,838	\$35,838			
PGRM	Buildings of Excellence	148418	Competitive	Y	Magnusson Architecture and Planning PC	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$150,000	\$150,000			
PGRM	Buildings of Excellence	148422	Competitive	Y	Northeast Projects LLC	BoE Rnd 1 - Design Team InceR1	12/4/2019	\$5,958	\$5,958		\$5,958	\$5,958
PGRM	Buildings of Excellence	148425	Competitive	Y	Bright Power, Inc.	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$37,500	\$37,500		\$25,000	\$25,000
PGRM	Buildings of Excellence	148427	Competitive	Y	STREAM Collaborative Architecture + Land	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$10,584	\$10,584		\$7,056	\$7,056
PGRM	Buildings of Excellence	148428	Competitive	Y	Arquitectonica New York P.C.	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$75,000	\$75,000		\$50,000	\$50,000
PGRM	Buildings of Excellence	148432	Competitive	Y	Integral Building & Design, Inc.	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$112,500	\$112,500		\$75,000	\$75,000
PGRM	Buildings of Excellence	148434	Competitive	Y	Curtis + Ginsberg Architects LLP	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$150,000	\$150,000			
PGRM	Buildings of Excellence	148436	Competitive	Y	Sustainable Comfort, Inc	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$139,842	\$139,842			
PGRM	Buildings of Excellence	148444	Competitive	Y	Bright Power, Inc.	BoE Rnd 1 - Design Team Incen.	12/4/2019	\$112,500	\$112,500		\$75,000	\$75,000
PGRM	Buildings of Excellence	148512	Competitive	Y	James Garrison	BoE Rnd 1 - Design Team Incen.	12/5/2019	\$98,703	\$98,703			
PGRM	Buildings of Excellence	145514-A	Competitive	Y	Michael Robinson	Buildings of Excellence R1	5/13/2020	\$33,762	\$33,762		\$29,790	\$29,790
PGRM	Campus/Technical Assistance	112253	Competitive	Y	C&S Engineers, Inc.	FlexTech Consultant Selection	3/31/2017		\$13,281		\$0	\$13,256
PGRM	Campus/Technical Assistance	117361	Non-Competitive	Y	New York Power Authority,	Geothermal Campus Challenge	8/21/2017		\$1,025,000		\$132,966	\$682,356
PGRM	Campus/Technical Assistance	123500	Competitive	Y	Fordham University	Flexible Tech Assistance	2/16/2018		\$32,378		\$0	\$32,378
PGRM	Campus/Technical Assistance	135074	Competitive	N	Active Solar Development, LLC	LMI Solar Thermal Beacon	12/12/2018		\$200,000			
PGRM	Campus/Technical Assistance	135324	Discretionary	Y	Urban Green Council	Urban Green Council Electrific	12/19/2018		\$50,000		\$10,000	\$50,000
PGRM	Campus/Technical Assistance	138100	Competitive	Y	Antares Group, Inc.	FT12091-St. John's U - FTC	3/15/2019		\$12,760		\$0	\$12,760
PGRM	Campus/Technical Assistance	138906	Competitive	Y	The Radiant Store	Direct contract w/Radiant Stor	4/5/2019		\$30,252			\$23,500
PGRM	Campus/Technical Assistance	141321	Competitive	Y	Taitem Engineering, P.C.	FT12227-256SunsetLake-FTC	6/12/2019		\$9,336		\$0	\$9,336
PGRM	Campus/Technical Assistance	141804	Competitive	Y	TRC Energy Services	Four-year contract for Technic	6/25/2019		\$50,000		\$14,277	\$49,730
PGRM	Campus/Technical Assistance	142351	Competitive	Y	Plant Manor LLC	FT12236 - Alder Manor - PON	7/10/2019		\$33,750			
PGRM	Campus/Technical Assistance	142409	Competitive	Y	Bright Power, Inc.	FT12238-LeFracCity-5917Jct-FTC	7/11/2019		\$60,500		\$0	\$19,884
PGRM	Campus/Technical Assistance	142868	Competitive	Y	ICF Resources, LLC	P12 Clean Energy Screening TWO	7/24/2019		\$353,194		\$55,379	\$130,643
PGRM	Campus/Technical Assistance	143575	Competitive	N	ICF Resources, LLC	Natural Gas Constrained Area	8/12/2019	\$39,508	\$504,171		\$126,418	\$241,360
PGRM	Campus/Technical Assistance	145308	Competitive	Y	The Union League Club of NY	FT12262 - Union League - PON	9/25/2019		\$7,810			
PGRM	CI Carbon Challenge	132538	Competitive	Y	International Paper	CI Carb. Challenge: Intl. Paper	10/15/2018		\$2,800,000			\$1,606,664
PGRM	CI Carbon Challenge	132541	Competitive	Y	RED Rochester	RED C&I Carbon Challenge	10/15/2018		\$5,000,000		\$1,379,524	\$2,876,714
PGRM	CI Carbon Challenge	139098	Competitive	Y	Banks II Quan Associates, Inc.	CICC Intl. Paper Tech Review	4/11/2019		\$140,806			
PGRM	CI Carbon Challenge	140600	Competitive	Y	CHA Consulting Inc.	CICC RED Roch. Tech Review	5/22/2019		\$205,750		\$43,680	\$70,648
PGRM	CI Carbon Challenge	148524	Competitive	Y	Cornell University	C&I Carbon Challenge	12/6/2019	\$1,200,000	\$1,200,000			
PGRM	CI Carbon Challenge	148526	Competitive	Y	Greenpac Holding LLC	C&I Carbon Challenge	12/6/2019	\$2,650,000	\$2,650,000		\$1,664,049	\$1,664,049
PGRM	CI Carbon Challenge	148527	Competitive	Y	International Business Machines	C&I Carbon Challenge	12/6/2019	\$1,000,500	\$1,000,500		\$362,538	\$362,538
PGRM	CI Carbon Challenge	148529	Competitive	Y	SABIC Innovative Plastics	SABIC C&I Carbon Challenge	12/6/2019	\$500,000	\$500,000			
PGRM	CI Carbon Challenge	157467	Competitive	Y	Bergmann Associates, Architects,	CICC1902	8/28/2020	\$95,280	\$95,280		\$590	\$590
PGRM	CI Carbon Challenge	157481	Competitive	Y	Energy & Resource Solutions, Inc.	CICC1904 - IBM Corporation	8/28/2020	\$64,675	\$64,675			
PGRM	CI Carbon Challenge	157488	Competitive	Y	CHA Consulting Inc.	CICC1906 - SABIC	8/28/2020	\$40,200	\$40,200		\$8,481	\$8,481
PGRM	CI Carbon Challenge	163557	Competitive	Y	Taitem Engineering, P.C.	CICC1903 - Cornell	1/21/2021	\$89,706	\$89,706			
PGRM	CI Engy Siting & Soft Cost Red	134963	Discretionary	Y	Solas Energy Consulting U.S. Inc.	Wind Energy Siting TA	12/10/2018		\$50,000		\$0	\$17,560
PGRM	CI Engy Siting & Soft Cost Red	152648	Discretionary	Y	Town of Mount Morris	Town of Mount Morris Code TA	3/17/2020	\$29,070	\$29,070			
PGRM	CI Engy Siting & Soft Cost Red	134963A	Discretionary	Y	Studioverde, LLC	Wind Energy Siting TA	9/19/2019		\$32,440		\$7,752	\$8,712
PGRM	Clean Energy Communities	112569	Non-Competitive	Y	Rensselaer Polytechnic Institute-LRC	LED Street Lights Outreach	4/7/2017		\$50,000		\$10,370	\$36,700
PGRM	Clean Energy Communities	113506	Non-Competitive	Y	Climate Action Associates LLC	Utility Energy Registry - NY	5/5/2017		\$344,000		\$225,750	\$344,000
PGRM	Clean Energy Communities	120209	Competitive	Y	Town of Red Hook	CEC500662 - Town of Red Hook	11/6/2017		\$100,000		\$36,000	\$61,000
PGRM	Clean Energy Communities	120360	Competitive	Y	Kingston, City of	City of Kingston	11/8/2017		\$100,000			\$29,500
PGRM	Clean Energy Communities	120366	Competitive	Y	Village of Lakewood	Village of Lakewood	11/8/2017		\$100,000		\$69,375	\$100,000
PGRM	Clean Energy Communities	120370	Competitive	Y	City of Rochester	City of Rochester	11/8/2017		\$250,000			\$62,500
PGRM	Clean Energy Communities	120372	Competitive	Y	Tompkins County	CEC011216 - Tompkins County	11/8/2017		\$250,000		\$55,000	\$187,500
PGRM	Clean Energy Communities	120375	Competitive	Y	Town of Batavia	Town of Batavia	11/8/2017		\$100,000		\$75,000	\$100,000
PGRM	Clean Energy Communities	120382	Competitive	Y	Schenectady County	Schenectady County	11/8/2017		\$250,000			\$62,500
PGRM	Clean Energy Communities	120383	Competitive	Y	Town of Marletown	Town of Marletown	11/8/2017		\$50,000			
PGRM	Clean Energy Communities	120389	Competitive	Y	Ulster, County of	CEC510646 Ulster County	11/8/2017		\$250,000		\$187,500	\$250,000
PGRM	Clean Energy Communities	122220	Competitive	Y	Village of Pulaski	Village of Pulaski	1/2/2018		\$50,000		\$9,300	\$50,000
PGRM	Clean Energy Communities	122225	Competitive	Y	Town of Conewango	Town of Conewango	1/2/2018		\$50,000		\$36,375	\$49,999

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Clean Energy Communities	122230	Competitive	Y	City of Beacon	City of Beacon	1/2/2018		\$50,000		
PGRM	Clean Energy Communities	122232	Competitive	Y	Town of Amherst	Town of Amherst	1/2/2018		\$250,000	\$238,750	\$238,750
PGRM	Clean Energy Communities	122233	Competitive	Y	Town of Plattsburgh	Town of Plattsburgh	1/2/2018		\$100,000		\$81,250
PGRM	Clean Energy Communities	122234	Competitive	Y	County of Cayuga	CEC210200 - Cayuga County	1/2/2018		\$250,000		\$62,500
PGRM	Clean Energy Communities	122236	Competitive	Y	Village of Goshen	Village of Goshen	1/2/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	123509	Competitive	Y	Town of Ullyses	PON 3298 CEC	2/16/2018		\$100,000	\$75,000	\$100,000
PGRM	Clean Energy Communities	123510	Competitive	Y	City of Binghamton	City of Binghamton	2/16/2018		\$250,000	\$112,500	\$175,000
PGRM	Clean Energy Communities	123512	Competitive	Y	City of Auburn	City of Auburn	2/16/2018		\$50,000	\$37,500	\$50,000
PGRM	Clean Energy Communities	123569	Competitive	Y	Village of Canastota	PON 3298 CEC	2/20/2018		\$100,000		\$43,750
PGRM	Clean Energy Communities	123570	Competitive	Y	Town of Skaneateles	Town of Skaneateles	2/20/2018		\$50,000		
PGRM	Clean Energy Communities	123572	Competitive	Y	Seneca County	CEC300326 - Seneca County	2/20/2018		\$50,000	\$50,000	\$50,000
PGRM	Clean Energy Communities	123574	Competitive	Y	Albany, City of	City of Albany	2/20/2018		\$180,000	\$82,500	\$82,500
PGRM	Clean Energy Communities	123575	Competitive	Y	City of Cortland	PON 3298 CEC	2/20/2018		\$50,000	\$37,500	\$50,000
PGRM	Clean Energy Communities	123576	Competitive	Y	Village of Williamsville	PON 3298 CEC	2/20/2018		\$50,000		
PGRM	Clean Energy Communities	123579	Competitive	Y	Town of Mamaroneck	PON 3298 CEC	2/20/2018		\$50,000		
PGRM	Clean Energy Communities	123580	Competitive	Y	Town of Aurora	CEC101467 - Town of Aurora	2/20/2018		\$50,000	\$50,000	\$50,000
PGRM	Clean Energy Communities	123583	Competitive	Y	Cheektowaga, Town of	PON 3298 CEC	2/20/2018		\$250,000		\$62,500
PGRM	Clean Energy Communities	123584	Competitive	Y	Town of Rosendale	PON 3298 CEC	2/20/2018		\$50,000		
PGRM	Clean Energy Communities	125895	Competitive	Y	City of Canandaigua	CEC300331 - City of Canandaigua	4/18/2018		\$50,000		\$49,750
PGRM	Clean Energy Communities	125899	Competitive	Y	City of Glens Falls	CEC400008 - City of Glens Falls	4/18/2018		\$50,000	\$37,500	\$50,000
PGRM	Clean Energy Communities	125901	Competitive	Y	Town of Pittsford	CEC300390 - Town of Pittsford	4/18/2018		\$50,000	\$50,000	\$50,000
PGRM	Clean Energy Communities	125902	Competitive	Y	Village of Brockport	CEC300321 Village of Brockport	4/18/2018		\$50,000		
PGRM	Clean Energy Communities	126292	Competitive	Y	City of Geneva	CEC300343 - City of Geneva	4/30/2018		\$50,000	\$27,500	\$27,500
PGRM	Clean Energy Communities	126293	Competitive	Y	Town of Hamilton	Town of Hamilton	4/30/2018		\$50,000	\$37,500	\$50,000
PGRM	Clean Energy Communities	126294	Competitive	Y	Town of Danby	CEC001242 - Town of Danby	4/30/2018		\$99,814	\$29,829	\$29,829
PGRM	Clean Energy Communities	126295	Competitive	Y	Town of Caroline	Town of Caroline	4/30/2018		\$100,000		\$44,875
PGRM	Clean Energy Communities	126297	Competitive	Y	Town of Tonawanda	Town of Tonawanda	4/30/2018		\$150,000		\$37,500
PGRM	Clean Energy Communities	126298	Competitive	Y	Town of Windsor	Town of Windsor	4/30/2018		\$50,000	\$1,250	\$1,250
PGRM	Clean Energy Communities	127353	Competitive	Y	Town of Hillsdale	Town of Hillsdale	5/30/2018		\$80,000		\$20,000
PGRM	Clean Energy Communities	127355	Competitive	Y	Village of Saranac Lake	CEC701022 - Village of Saranac	5/30/2018		\$50,000		
PGRM	Clean Energy Communities	127357	Competitive	Y	City of Ithaca	City of Ithaca	5/30/2018	\$100,000	\$100,000	\$25,000	\$25,000
PGRM	Clean Energy Communities	127358	Competitive	Y	Village of Livonia	CEC300387 - Village of Livonia	5/30/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	129422	Competitive	Y	City of Saratoga Springs, NY	Clean Energy Communities	7/27/2018		\$50,000		
PGRM	Clean Energy Communities	129423	Competitive	Y	City of Watervliet	CEC400002 - City of Watervliet	7/27/2018		\$80,000		\$20,000
PGRM	Clean Energy Communities	129509	Competitive	Y	Village of Sodus	CEC300347 - Village of Sodus	7/30/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	129510	Competitive	Y	Town of Somers	CEC500636 - Town of Somers	7/30/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	131941	Competitive	Y	Town of Veteran	CEC001367 - Town of Veteran	10/1/2018		\$50,000		\$0
PGRM	Clean Energy Communities	131943	Competitive	Y	Town of Ithaca	CEC001218 - Town of Ithaca	10/1/2018	\$50,000	\$50,000		
PGRM	Clean Energy Communities	131947	Competitive	Y	Sullivan County	CEC510672 - County of Sullivan	10/1/2018		\$150,000		\$37,500
PGRM	Clean Energy Communities	133587	Competitive	Y	Village of West Winfield	CEC600914 - Village of West WI	11/6/2018		\$50,000	\$3,000	\$50,000
PGRM	Clean Energy Communities	133588	Competitive	Y	Town of Black Brook	CEC701091 - Town of Black Broo	11/6/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	133589	Competitive	Y	Town of Enfield	CEC001221 - Town of Enfield	11/6/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	133590	Competitive	Y	Town of Westport	CEC701035 - Town of Westport	11/6/2018		\$50,000	\$50,000	\$50,000
PGRM	Clean Energy Communities	133594	Competitive	Y	Town of Meredith	CEC001328 - Town of Meredith	11/6/2018		\$50,000		
PGRM	Clean Energy Communities	133595	Competitive	Y	City Of New York	CEC811010 - City of New York	11/6/2018	\$250,000	\$250,000		
PGRM	Clean Energy Communities	133596	Competitive	Y	Town of Middletown	CEC001299 - Town of Middletown	11/6/2018		\$50,000		\$12,500
PGRM	Clean Energy Communities	133945	Competitive	Y	City of Utica	CEC610836 - City of Utica	11/13/2018		\$250,000	\$58,634	\$250,000
PGRM	Clean Energy Communities	133947	Competitive	Y	Syracuse, City	CEC210174 - City of Syracuse	11/13/2018		\$150,000	\$61,463	\$99,713
PGRM	Clean Energy Communities	134735	Non-Competitive	Y	Energy Improvement Corporation	EIC PACE financing program	12/4/2018		\$3,690,000	\$1,430,021	\$3,037,573
PGRM	Clean Energy Communities	135951	Competitive	Y	Village of Northville	CEC600919 Village of Northvill	1/9/2019		\$50,000		
PGRM	Clean Energy Communities	135952	Competitive	Y	Town of Malone	CEC701017 Town of Malone	1/9/2019		\$50,000	\$25,000	\$50,000
PGRM	Clean Energy Communities	135953	Competitive	Y	Town of Northampton	CEC600922 Town of Northampto	1/9/2019		\$50,000	\$20,000	\$32,500
PGRM	Clean Energy Communities	135954	Competitive	Y	Village of Waterville	CEC600897 Village of Watervill	1/9/2019		\$50,000	\$37,500	\$50,000
PGRM	Clean Energy Communities	135955	Competitive	Y	Town Of Homellsville	CEC001379 Town of Homellsvi	1/9/2019		\$50,000		\$12,500
PGRM	Clean Energy Communities	136658	Competitive	Y	Town of Colton	CEC701140 - Town of Colton	1/30/2019		\$50,000	\$9,375	\$21,875
PGRM	Clean Energy Communities	136659	Competitive	Y	Sharon Springs Village	CEC600971 V Sharon Springs	1/30/2019		\$50,000		
PGRM	Clean Energy Communities	136660	Competitive	Y	Village of Montour Falls	CEC001316 V Montour Falls	1/30/2019		\$50,000	\$21,125	\$21,125
PGRM	Clean Energy Communities	136662	Competitive	Y	Town of Dickinson	CEC001263 - Town of Dickinson	1/30/2019		\$50,000		\$14,000
PGRM	Clean Energy Communities	136663	Competitive	Y	St. Lawrence County	CEC711051 St. Lawrence Co	1/30/2019		\$250,000		\$62,500
PGRM	Clean Energy Communities	136664	Competitive	Y	Chautauqua County	CEC111465 Chautauqua Co	1/30/2019		\$150,000	\$99,750	\$141,000
PGRM	Clean Energy Communities	137166	Competitive	Y	Village of Herkimer	CEC600882-Vill of Herkimer	2/14/2019		\$50,000		\$12,500
PGRM	Clean Energy Communities	140159	Competitive	Y	Town of Leyden	CEC701136-Town Leyden	5/10/2019		\$50,000		\$12,500
PGRM	Clean Energy Communities	140160	Competitive	Y	Town of Waddington	CEC701153-Town Waddington	5/10/2019		\$50,000		
PGRM	Clean Energy Communities	141933	Competitive	Y	Town of Greece	CEC310376-Town of Greece	6/28/2019		\$150,000		\$38,250
PGRM	Clean Energy Communities	142718	Competitive	Y	County of Chenango	CEC011260-Chen Cnty	7/19/2019		\$150,000	\$111,750	\$150,000
PGRM	Clean Energy Communities	146445	Competitive	Y	Town of Canaan	CEC400102-Twn of Canaan	10/22/2019		\$35,000	\$19,500	\$35,000
PGRM	Clean Energy Communities	146448	Competitive	Y	Broome County	CEC011267-Broome Cnty	10/22/2019		\$150,000	\$90,000	\$127,500
PGRM	Clean Energy Communities	150021	Competitive	Y	Jefferson County	CEC711068 - Jefferson County	1/9/2020	\$250,000	\$250,000	\$62,500	\$62,500
PGRM	Clean Energy Communities	150023	Competitive	Y	Town of Gallatin	CEC400159 - Town of Gallatin	1/9/2020	\$30,000	\$30,000	\$30,000	\$30,000
PGRM	Clean Energy Communities	150024	Competitive	Y	Franklin, County of	CEC711060 - Franklin County	1/9/2020		\$150,000	\$37,500	\$37,500
PGRM	Clean Energy Communities	152529	Competitive	Y	Montgmerly County Treasurer	CEC610859-Montgomery County	3/13/2020	\$150,000	\$150,000	\$37,500	\$37,500
PGRM	Clean Energy Communities	152531	Competitive	Y	Town of St Armand	CEC701206-Town of St. Armand	3/13/2020		\$50,000		
PGRM	Clean Energy Communities	154876	Competitive	Y	Ecology and Environment Engineering	TA Community Support Services	6/12/2020	\$763,636	\$763,636	\$165,650	\$165,650
PGRM	Clean Energy Communities	155907	Competitive	Y	Capital District Regional Planning Comm.	Clean Energy Communities	7/17/2020	\$1,668,654	\$1,668,654	\$388,295	\$388,295
PGRM	Clean Energy Communities	155908	Competitive	Y	Central New York Regional Planning and	Clean Energy Communities	7/17/2020	\$1,501,519	\$1,501,519		
PGRM	Clean Energy Communities	163479	Competitive	Y	Clinton County	Clean Energy Communities	1/20/2021	\$150,000	\$150,000		
PGRM	Clean Energy Communities	164965	Competitive	Y	The Cadmus Group, LLC	BOB Support Contractor	2/26/2021	\$1,600,000	\$1,600,000		
PGRM	Clean Energy Communities	133595A	Competitive	Y	New York City Housing Authority	CEC811010 - City of New York	9/3/2020	\$250,000	\$250,000	\$62,500	\$62,500
PGRM	Clean Energy Communities	154876A	Non-Competitive	Y	WSP USA Corp.	TA Community Support Services	12/11/2020	\$597,986	\$597,986		
PGRM	Clean Heating & Cooling	149837	Competitive	Y	NP Environmental LLC	Large-Scales GSHP Rebate	1/6/2020	\$159,336	\$159,336		
PGRM	Clean Heating & Cooling	151648	Competitive	N	ZBF Geothermal LLC	Large-Scale GSHP Rebate	2/21/2020		\$280,248		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Clean Heating & Cooling	153002	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	3/30/2020	\$16,200	\$16,200		
PGRM	Clean Heating & Cooling	153091	Competitive	Y	Geothermal Innovations, LLC	Large-Scale GSHP Rebate	4/1/2020	\$71,724	\$71,724		
PGRM	Clean Heating & Cooling	153094	Competitive	N	Buffalo Geothermal Heating	Large-Scale GSHP Rebate	4/1/2020	\$32,748	\$32,748	\$32,748	\$32,748
PGRM	CLEAN TRANSPORTATION	20039	Non-Competitive	Y	New York Battery and Energy Storage	Innovation Capacity and Business Dev.	6/28/2010		\$11,045,000		\$10,926,916
PGRM	CLEAN TRANSPORTATION	30522	Competitive	Y	Electron Coil Inc.	Lightweight Inductor	12/4/2012		\$94,096		\$93,759
PGRM	CLEAN TRANSPORTATION	31176	Competitive	Y	Bettery Corporation	NY-BEST Bench to Prototype Sol	2/14/2013		\$183,700		\$183,700
PGRM	CLEAN TRANSPORTATION	46185	Competitive	Y	Custom Electronics, Inc.,	Commercial intro of GEC	8/25/2014		\$250,000	\$0	\$245,000
PGRM	CLEAN TRANSPORTATION	46817	Competitive	Y	Niagara Frontier Transportation Authorit	Integrated Corridor Management	9/4/2014		\$299,955	\$70,460	\$293,152
PGRM	CLEAN TRANSPORTATION	46828	Competitive	N	New York State Marine	NYS Canal Marine Freight	9/4/2014		\$63,000	\$3,407	\$52,926
PGRM	CLEAN TRANSPORTATION	46834	Competitive	Y	Buckhurst, Fish, Jacquemart, Inc.	Institutionalizing Integrated	9/4/2014		\$97,490	\$1,715	\$83,613
PGRM	CLEAN TRANSPORTATION	46844	Competitive	Y	TransCore ITS LLC	Green Traffic Control Cabinet	9/4/2014		\$349,942	\$90,493	\$169,141
PGRM	CLEAN TRANSPORTATION	59890	Competitive	Y	Clean Energy	NYS Compressed Natural Gas	3/2/2015		\$197,732		
PGRM	CLEAN TRANSPORTATION	59891	Competitive	Y	Clean Energy	NYS Compressed Natural Gas	3/2/2015		\$500,000		
PGRM	CLEAN TRANSPORTATION	60673	Competitive	Y	Varta Microbattery, Inc	Advanced Battery Storage Syste	3/12/2015		\$250,000		\$196,855
PGRM	CLEAN TRANSPORTATION	64349	Competitive	Y	Autronic Plastics, Inc.	Metro Platform Light	5/11/2015		\$248,750		\$179,300
PGRM	CLEAN TRANSPORTATION	64356	Competitive	Y	Unique Technical Services, LLC	Advanced Transportation Tech	5/11/2015		\$475,432	\$7,104	\$468,779
PGRM	CLEAN TRANSPORTATION	64363	Competitive	Y	R Roonparine	Switched Reluctance Motor	5/11/2015		\$217,514		\$191,271
PGRM	CLEAN TRANSPORTATION	64366	Competitive	Y	International Electronic Machines Corp.,	Noncontact Elect. Wheel Gauge	5/11/2015		\$499,784	\$147,820	\$418,330
PGRM	CLEAN TRANSPORTATION	66267	Competitive	Y	Center for Sustainable Energy	PEV Enabling Technology Demons	6/9/2015		\$149,891	\$57,474	\$62,739
PGRM	CLEAN TRANSPORTATION	83179	Competitive	N	KLD Engineering, P.C.	ICM Framework Suffolk County	12/4/2015		\$148,757	\$12,647	\$148,757
PGRM	CLEAN TRANSPORTATION	83185	Competitive	Y	International Electronic Machines Corp.,	WISE Wheel Profile Demo	12/4/2015		\$399,463	\$10,445	\$378,700
PGRM	CLEAN TRANSPORTATION	83186	Competitive	Y	Buckhurst, Fish, Jacquemart, Inc.	Mobile New Rochelle Feasibilit	12/4/2015		\$74,900	\$6,325	\$50,126
PGRM	CLEAN TRANSPORTATION	92218	Discretionary	Y	Stem Inc	ETAC-CI Focused Demonstration	3/3/2016		\$30,000	\$0	\$0
PGRM	CLEAN TRANSPORTATION	96517	Competitive	N	M.J. Bradley & Associates	BCA of EV grid impacts	4/21/2016		\$75,287		\$75,287
PGRM	CLEAN TRANSPORTATION	96520	Competitive	N	Vermont Energy Investment Corporation	EV Dealer Incentive Program	4/21/2016		\$149,778	\$6,268	\$104,604
PGRM	CLEAN TRANSPORTATION	104512	Competitive	Y	HEVO Inc.	PON 3198 HEVO	10/4/2016		\$500,000	\$95,000	\$500,000
PGRM	CLEAN TRANSPORTATION	104513	Competitive	Y	Rensselaer Polytechnic Institute	RPI - PON 3198	10/4/2016		\$150,000	\$19,483	\$147,925
PGRM	CLEAN TRANSPORTATION	104528	Competitive	Y	Unique Electric Solutions of NYS LLC	UPS All-Electric Vehicle Demo	10/5/2016		\$499,657		\$449,388
PGRM	CLEAN TRANSPORTATION	112003	Competitive	Y	The Research Foundation for SUNY	Autonomous EV Campus Demo	3/24/2017		\$452,361	\$38,142	\$417,177
PGRM	CLEAN TRANSPORTATION	112007	Competitive	Y	iCone Products, LLC	Connecting WNY Work Zones	3/24/2017		\$331,000	\$6,800	\$298,000
PGRM	CLEAN TRANSPORTATION	112009	Competitive	N	Buffalo Niagara Medical Campus, Inc.	Buffalo Smart Corridor Plan	3/24/2017		\$74,859	\$2,429	\$74,859
PGRM	CLEAN TRANSPORTATION	112010	Competitive	N	Rochester Institute of Technology	Health Care Fleet Optimization	3/24/2017		\$70,990	\$61,937	\$70,990
PGRM	CLEAN TRANSPORTATION	112011	Competitive	Y	Center for Community Transportation	Ithaca Bikeshare Demonstration	3/24/2017		\$218,757	\$85,026	\$194,651
PGRM	CLEAN TRANSPORTATION	112012	Competitive	Y	Research Foundation of CUNY	CAVS Impacts Study	3/24/2017		\$149,990	\$1,076	\$149,957
PGRM	CLEAN TRANSPORTATION	112013	Competitive	Y	Research Foundation of CUNY	Virtual TMA Demo	3/24/2017		\$200,000	\$6,002	\$178,021
PGRM	CLEAN TRANSPORTATION	112719	Competitive	Y	Downtown Ithaca Alliance	Ithaca TDM/TMA Demonstration	4/12/2017		\$170,636	\$8,664	\$147,105
PGRM	CLEAN TRANSPORTATION	112968	Competitive	Y	Resource Systems Group, Inc.	PON 3345	4/20/2017		\$120,000		\$117,671
PGRM	CLEAN TRANSPORTATION	119769	Non-Competitive	Y	New York Power Authority,	OTHER PROGRAM AREA	10/25/2017		\$960,000		\$429,904
PGRM	CLEAN TRANSPORTATION	122424	Discretionary	Y	New York Bicycling Coalition	Training Course Development	1/9/2018		\$49,433		\$25,133
PGRM	CLEAN TRANSPORTATION	147763	Competitive	Y	Shared Mobility, Inc.	Informing Electric Micromobilit	11/19/2019	\$199,465	\$199,465	\$53,005	\$53,005
PGRM	CLEAN TRANSPORTATION	151175	Competitive	N	Orange EV LLC	NYT-VIP	2/10/2020	\$140,080	\$140,080	\$140,080	\$140,080
PGRM	CLEAN TRANSPORTATION	151231	Competitive	N	Civic Consulting NYC Inc	CLEAN TRANSPORTATION	2/11/2020	\$50,000	\$50,000	\$28,000	\$28,000
PGRM	CLEAN TRANSPORTATION	152907	Competitive	Y	Alliance for Sustainable Energy, LLC	TWO 2 - NREL TWO for Mobility	3/25/2020	\$180,000	\$180,000	\$177,377	\$177,377
PGRM	CLEAN TRANSPORTATION	153727	Discretionary	Y	Proenergy Consulting LLC	CTR Market Support	4/27/2020	\$49,995	\$49,995	\$27,143	\$27,143
PGRM	CLEAN TRANSPORTATION	153861	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153862	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153863	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153864	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153865	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153867	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153869	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$60,000	\$60,000	\$60,000	\$60,000
PGRM	CLEAN TRANSPORTATION	153870	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153871	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545	\$54,545	\$54,545
PGRM	CLEAN TRANSPORTATION	153872	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153873	Competitive	N	New Flyer of America, Inc.	NYT-VIP	4/30/2020	\$1,108,258	\$1,108,258	\$1,108,258	\$1,108,258
PGRM	CLEAN TRANSPORTATION	153875	Competitive	N	Proterra	NYT-VIP	4/30/2020	\$385,000	\$385,000		
PGRM	CLEAN TRANSPORTATION	153877	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153878	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153879	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153880	Competitive	N	The Lion Electric Co USA Inc	NYT-VIP	4/30/2020	\$185,000	\$185,000		
PGRM	CLEAN TRANSPORTATION	153881	Competitive	N	Proterra	NYT-VIP	4/30/2020	\$1,155,000	\$1,155,000		
PGRM	CLEAN TRANSPORTATION	153883	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$60,000	\$60,000	\$60,000	\$60,000
PGRM	CLEAN TRANSPORTATION	153884	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153887	Competitive	N	Proterra	NYT-VIP	4/30/2020	\$4,170,000	\$4,170,000	\$4,170,000	\$4,170,000
PGRM	CLEAN TRANSPORTATION	153888	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153889	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$60,000	\$60,000	\$60,000	\$60,000
PGRM	CLEAN TRANSPORTATION	153891	Competitive	N	Orange EV LLC	NYT-VIP	4/30/2020	\$140,080	\$140,080		
PGRM	CLEAN TRANSPORTATION	153892	Competitive	N	Orange EV LLC	NYT-VIP	4/30/2020	\$140,080	\$140,080		
PGRM	CLEAN TRANSPORTATION	153893	Competitive	N	Hunter Buffalo Peterbilt	NYT-VIP	4/30/2020	\$624,423	\$624,423		
PGRM	CLEAN TRANSPORTATION	153894	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153895	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153896	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153897	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153898	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153899	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153903	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$58,330	\$58,330		
PGRM	CLEAN TRANSPORTATION	153904	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153905	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$54,545	\$54,545		
PGRM	CLEAN TRANSPORTATION	153906	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$58,330	\$58,330		
PGRM	CLEAN TRANSPORTATION	153907	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$58,330	\$58,330		
PGRM	CLEAN TRANSPORTATION	153908	Competitive	N	Milea Truck Sales Inc	NYT-VIP	4/30/2020	\$58,330	\$58,330		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	CLEAN TRANSPORTATION	155905	Competitive	N	New Flyer of America, Inc.	NYTVP	7/16/2020	\$1,847,097	\$1,847,097	\$1,847,097	\$1,847,097
PGRM	CLEAN TRANSPORTATION	155906	Competitive	N	Unique Electric Solutions of NYS LLC	NYTVP	7/16/2020	\$125,600	\$125,600	\$125,600	\$125,600
PGRM	Clean Transportation	157179	Discretionary	Y	Fleet Maintenance Specialists Inc	NYTVP Buy American Inspection	8/19/2020	\$18,600	\$18,600		
PGRM	CLEAN TRANSPORTATION	157483	Competitive	N	Unique Electric Solutions of NYS LLC	NYTVP	8/28/2020	\$110,000	\$110,000	\$110,000	\$110,000
PGRM	CLEAN TRANSPORTATION	157486	Competitive	N	SEA Electric LLC	NYTVP	8/28/2020	\$109,250	\$109,250		
PGRM	CLEAN TRANSPORTATION	158807	Competitive	N	SEA Electric LLC	NYTVP	9/26/2020	\$870,950	\$870,950		
PGRM	CLEAN TRANSPORTATION	159706	Competitive	N	SEA Electric LLC	NYTVP	10/20/2020	\$110,000	\$110,000		
PGRM	CLEAN TRANSPORTATION	161775	Competitive	N	Unique Electric Solutions of NYS LLC	NYTVP	12/8/2020	\$110,000	\$110,000	\$110,000	\$110,000
PGRM	CLEAN TRANSPORTATION	161776	Competitive	N	Unique Electric Solutions of NYS LLC	NYTVP	12/8/2020	\$110,000	\$110,000	\$110,000	\$110,000
PGRM	CLEAN TRANSPORTATION	161778	Competitive	N	SEA Electric LLC	NYTVP	12/8/2020	\$109,250	\$109,250		
PGRM	CLEAN TRANSPORTATION	163247	Competitive	N	Don Brown Bus Sales Inc.	NYTVP	1/13/2021	\$90,000	\$90,000		
PGRM	CLEAN TRANSPORTATION	163767	Competitive	N	Unique Electric Solutions of NYS LLC	NYTVP	1/27/2021	\$120,000	\$120,000		
PGRM	CLEAN TRANSPORTATION	163769	Competitive	N	Orange EV LLC	NYTVP	1/27/2021	\$140,080	\$140,080		
PGRM	CLEAN TRANSPORTATION	165380	Competitive	N	Orange EV LLC	NYTVP	3/9/2021	\$216,160	\$216,160		
PGRM	CLEAN TRANSPORTATION	122424A	Discretionary	Y	Urban Cycling Solutions, inc.	Training Course Development	5/13/2020	\$24,300	\$24,300	\$11,166	\$11,166
PGRM	CLEAN TRANSPORTATION	153868-1	Competitive	N	Milea Truck Sales Inc	NYT-VIP	8/14/2020	\$60,000	\$60,000	\$60,000	\$60,000
PGRM	CLEAN TRANSPORTATION	153882-1	Competitive	N	Milea Truck Sales Inc	NYT-VIP	8/14/2020	\$60,000	\$60,000	\$60,000	\$60,000
PGRM	CLEAN TRANSPORTATION	38992A	Competitive	Y	re: Charge-e, Inc.	Inductive charging for e-bikes	2/1/2019		\$250,000	\$81,000	\$130,500
PGRM	CLEANER GREENER COMMUNITIES	39481	Competitive	Y	Arts Center and Theater of Schenectady,	CGC27842 - Marquee Power Proct	2/12/2014		\$2,630,639	\$110,000	\$2,595,638
PGRM	CLEANER GREENER COMMUNITIES	39483	Competitive	Y	Kingston, City of	CGC29936 - City of Kingston	2/12/2014		\$1,500,000	\$510,642	\$960,000
PGRM	CLEANER GREENER COMMUNITIES	39484	Competitive	Y	Albany County Dept. of Public Works	CGC30552 - Albany County DPW	2/12/2014		\$1,003,478		\$961,939
PGRM	CLEANER GREENER COMMUNITIES	39487	Competitive	Y	3GI CNYIP, Inc.	CGC26840 - 3GI CNYIP	2/12/2014		\$1,000,000	\$8,727	\$135,477
PGRM	CLEANER GREENER COMMUNITIES	39488	Competitive	Y	County of Suffolk	CGC28998 - Suffolk County	2/12/2014		\$1,500,000		\$111,621
PGRM	CLEANER GREENER COMMUNITIES	39489	Competitive	Y	New York City DOT	CGC29967 - NYCDOT - Category 3	2/12/2014		\$2,137,500		\$567,988
PGRM	CLEANER GREENER COMMUNITIES	39491	Competitive	Y	American Organic Energy, LLC	CGC31123 - AO Energy	2/12/2014		\$1,350,000		\$808,607
PGRM	CLEANER GREENER COMMUNITIES	39493	Competitive	Y	Genesee Biogas LLC	CGC26970 - Genesee Biogas LLC	2/12/2014		\$2,000,000		
PGRM	CLEANER GREENER COMMUNITIES	39496	Competitive	Y	Essex County Government Center	CGC31777 - Essex County	2/12/2014		\$804,508	\$53,806	\$783,008
PGRM	CLEANER GREENER COMMUNITIES	39499	Competitive	Y	Village of Waterloo	CGC27279 - Village of Waterloo	2/12/2014		\$50,000	\$2,208	\$50,000
PGRM	CLEANER GREENER COMMUNITIES	39516	Competitive	Y	Syracuse, City	CGC30900 - City of Syracuse	2/12/2014		\$300,000		\$167,716
PGRM	CLEANER GREENER COMMUNITIES	39520	Competitive	Y	City of Saratoga Springs, NY	CGC31779 - City of Saratoga	2/12/2014		\$200,000	\$50,000	\$152,566
PGRM	CLEANER GREENER COMMUNITIES	39523	Competitive	Y	Village of Cold Spring	CGC30400 - Village of Cold Sp	2/12/2014		\$62,625	\$13,300	\$34,937
PGRM	CLEANER GREENER COMMUNITIES	39525	Competitive	Y	Town of Babylon	CGC32035 - Town of Babylon	2/12/2014		\$200,000		\$127,252
PGRM	CLEANER GREENER COMMUNITIES	39526	Competitive	Y	City of Long Beach	CGC32550 - City of Long Beach	2/12/2014		\$187,500		\$124,453
PGRM	CLEANER GREENER COMMUNITIES	57240	Competitive	Y	Erie County	CGC40859 - Erie County	1/21/2015		\$250,000		\$250,000
PGRM	CLEANER GREENER COMMUNITIES	57245	Competitive	Y	Home HeadQuarters, Inc.	CGC38740 - Home Headquarters	1/21/2015		\$1,555,000		\$58,247
PGRM	CLEANER GREENER COMMUNITIES	57261	Competitive	Y	City Of New York	CGC43226 - New York City	1/21/2015		\$3,931,250	\$421,900	\$3,864,470
PGRM	CLEANER GREENER COMMUNITIES	57284	Competitive	Y	Molloy College	CGC42044 - Molloy College	1/21/2015		\$2,395,248	\$43,676	\$1,884,425
PGRM	CLEANER GREENER COMMUNITIES	57287	Competitive	Y	City of Poughkeepsie	CGC40849 - City of Poughkeepsie	1/21/2015		\$250,000		\$229,500
PGRM	CLEANER GREENER COMMUNITIES	57494	Competitive	Y	Albanese Development Corporation	CGC40329 - Albanese Dev. Corp.	1/22/2015		\$3,229,752		
PGRM	CLEANER GREENER COMMUNITIES	57591	Competitive	Y	City of Utica	CGC40774 - City of Utica	1/23/2015		\$168,750		\$118,050
PGRM	CLEANER GREENER COMMUNITIES	57598	Competitive	Y	Unchained Properties, LLC	CGC43235 - Chain Works	1/23/2015		\$250,000		\$86,500
PGRM	CLEANER GREENER COMMUNITIES	57601	Competitive	Y	Town of Smithtown	CGC39727 - Town of Smithtown	1/23/2015		\$187,500		\$83,402
PGRM	CLEANER GREENER COMMUNITIES	57602	Competitive	Y	Town of Ullyses	CGC39053 - Town of Ullyses	1/23/2015		\$40,000	\$2,250	\$40,000
PGRM	CLEANER GREENER COMMUNITIES	58083	Competitive	Y	City Of New York	CGC41973 - NYC Dept. Parks Rec	2/2/2015		\$225,000	\$82,536	\$112,536
PGRM	CLEANER GREENER COMMUNITIES	60682	Competitive	Y	Town of Mount Morris	CGC40438 - Mt Morris Comp Zoni	3/13/2015		\$45,000	\$10,975	\$10,975
PGRM	CLEANER GREENER COMMUNITIES	87410	Competitive	Y	Erie County	CGC - Erie County	1/20/2016		\$1,500,000	\$5,500	\$5,500
PGRM	CLEANER GREENER COMMUNITIES	87411	Competitive	Y	Adirondack North Country Association	CGC54038 - ANCA	1/20/2016		\$750,000	\$177,213	\$365,133
PGRM	CLEANER GREENER COMMUNITIES	87412	Competitive	Y	Rural Ulster Preservation Company	CGC54322 - RUPCO	1/20/2016		\$1,000,000		
PGRM	CLEANER GREENER COMMUNITIES	87413	Competitive	Y	Calstart	CGC54612 - CALSTART	1/20/2016		\$1,700,000		\$1,111,184
PGRM	CLEANER GREENER COMMUNITIES	87414	Competitive	Y	Affordable Housing Partnership	CGC54941 - AHP	1/20/2016		\$1,500,000	\$67,131	\$99,931
PGRM	CLEANER GREENER COMMUNITIES	87415	Competitive	Y	Unchained Properties, LLC	CGC55124 - Unchained Propertie	1/20/2016		\$1,500,000		
PGRM	CLEANER GREENER COMMUNITIES	87416	Competitive	Y	CEC Stuyvesant Cove, Inc.	CGC55294 - Solar One	1/20/2016		\$2,902,488	\$684,409	\$2,674,049
PGRM	CLEANER GREENER COMMUNITIES	87417	Competitive	Y	EV Connect Inc.	CGC55811 - EV Connect	1/20/2016		\$1,400,000		\$1,252,102
PGRM	CLEANER GREENER COMMUNITIES	87419	Competitive	Y	Central New York Regional Planning and	CGC57053 - CNY RPDB	1/20/2016		\$3,000,000	\$524,196	\$3,000,000
PGRM	CLEANER GREENER COMMUNITIES	87420	Competitive	Y	City of Buffalo	CGC57282 - City of Buffalo	1/20/2016		\$1,500,000		
PGRM	CLEANER GREENER COMMUNITIES	87422	Competitive	Y	Joule Assets Inc	CGC57954 - Joule Assets	1/20/2016		\$391,000	\$108,500	\$332,525
PGRM	CLEANER GREENER COMMUNITIES	102175	Competitive	Y	Town of Bedford	CGC62563 - Town of Bedford	8/10/2016		\$250,000	\$88,500	\$250,000
PGRM	CLEANER GREENER COMMUNITIES	120358	Competitive	Y	Town of Smithtown	Town of Smithtown	11/8/2017		\$249,300		\$110,325
PGRM	CLEANER GREENER COMMUNITIES	120359	Competitive	Y	Village of Dobbs Ferry	CEC500642 Village, Dobbs Ferry	11/8/2017		\$100,000	\$100,000	\$100,000
PGRM	CLEANER GREENER COMMUNITIES	120362	Competitive	Y	Town of Huntington	Town of Huntington	11/8/2017		\$250,000	\$45,500	\$45,500
PGRM	CLEANER GREENER COMMUNITIES	120369	Competitive	Y	Town of Hempstead, Department of Conser	CEC910525 - Town of Hempstead	11/8/2017		\$150,000		\$37,500
PGRM	CLEANER GREENER COMMUNITIES	120371	Competitive	Y	Town of Brookhaven	Town of Brookhaven	11/8/2017		\$150,000		\$94,500
PGRM	CLEANER GREENER COMMUNITIES	120376	Competitive	Y	Village of Hastings on Hudson	Hastings-on-Hudson	11/8/2017		\$50,000		\$12,500
PGRM	CLEANER GREENER COMMUNITIES	120377	Competitive	Y	Incorporated Village of Upper Brookville	Upper Brookville	11/8/2017		\$100,000	\$21,413	\$100,000
PGRM	CLEANER GREENER COMMUNITIES	120379	Competitive	Y	Incorporated Village of Roslyn Harbor	Roslyn Harbor	11/8/2017		\$50,000	\$15,000	\$35,000
PGRM	CLEANER GREENER COMMUNITIES	120380	Competitive	Y	City of Long Beach	City of Long Beach	11/8/2017		\$99,975		\$24,994
PGRM	CLEANER GREENER COMMUNITIES	120381	Competitive	Y	Incorporated Village of Oyster Bay Cove	Oyster Bay Cove	11/8/2017		\$50,000		\$42,500
PGRM	CLEANER GREENER COMMUNITIES	120384	Competitive	Y	Town of New Castle	Town of New Castle	11/8/2017		\$100,000	\$75,000	\$100,000
PGRM	CLEANER GREENER COMMUNITIES	120492	Competitive	Y	Town of Southold	Town of Southold	11/10/2017		\$100,000		\$82,000
PGRM	CLEANER GREENER COMMUNITIES	122141	Competitive	Y	Town of Ossining	Town of Ossining	12/28/2017		\$50,000	\$37,500	\$50,000
PGRM	CLEANER GREENER COMMUNITIES	122142	Competitive	Y	City of White Plains	City of White Plains	12/28/2017		\$250,000	\$187,500	\$250,000
PGRM	CLEANER GREENER COMMUNITIES	122143	Competitive	Y	Village of Garden City	Village of Garden City	12/28/2017		\$50,000		
PGRM	CLEANER GREENER COMMUNITIES	122217	Competitive	Y	City of Salamanca	City of Salamanca	1/2/2018		\$100,000		\$25,000
PGRM	CLEANER GREENER COMMUNITIES	122221	Competitive	Y	Village of Centre Island	Village of Centre Island	1/2/2018		\$50,000	\$5,250	\$17,750
PGRM	CLEANER GREENER COMMUNITIES	122222	Competitive	Y	Village of Westfield	Village of Westfield	1/2/2018		\$50,000	\$37,500	\$50,000
PGRM	CLEANER GREENER COMMUNITIES	122223	Competitive	Y	Village of Mill Neck	Village of Mill Neck	1/2/2018		\$50,000		
PGRM	CLEANER GREENER COMMUNITIES	122226	Competitive	Y	Village of Amityville	Village of Amityville	1/2/2018		\$50,000		
PGRM	CLEANER GREENER COMMUNITIES	122227	Competitive	Y	Farmingdale, Village of	Village of Farmingdale	1/2/2018		\$50,000		
PGRM	CLEANER GREENER COMMUNITIES	122228	Competitive	Y	Village of Sea Cliff	Village of Sea Cliff	1/2/2018		\$50,000	\$12,500	\$12,500
PGRM	CLEANER GREENER COMMUNITIES	135957	Competitive	Y	City of Sherrill	CEC600925 - City of Sherrill	1/9/2019		\$50,000	\$33,750	\$50,000
PGRM	CLEANER GREENER COMMUNITIES	136666	Competitive	Y	Otsego County	CEC610873 - Otsego County	1/30/2019		\$250,000	\$48,750	\$118,750

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	CLEANER GREENER COMMUNITIES	122147-1	Competitive	Y	Madison County	Madison County	11/14/2018		\$250,000		\$62,500
PGRM	CLEANER GREENER COMMUNITIES	39487A	Competitive	Y	3GI CPO, LLC	CGC 26480 - 3GI	1/19/2021	\$864,523	\$864,523		
PGRM	CLEANER GREENER COMMUNITIES	39492-1	Competitive	Y	Pace University School of Law	CGC31911 - Pace Law School	4/15/2016		\$719,900	\$10,282	\$528,500
PGRM	CLEANER GREENER COMMUNITIES	87418-1	Competitive	Y	New York City Housing Authority	CGC56950 - NYC DEP	10/31/2017		\$738,397	\$356,750	\$491,486
PGRM	CLEANER GREENER COMMUNITIES	87421A	Competitive	Y	Akimeka, LLC	Animating the EV market in NYS	5/3/2019		\$226,087	\$71,286	\$182,534
PGRM	Cleantech EIR	128064	Competitive	Y	The Trustees of Columbia University	PON 3819	6/19/2018		\$4,000,000	\$1,311,200	\$2,362,200
PGRM	Cleantech Geo Coverage	154427	Competitive	Y	Cornell University	PON 4242	5/27/2020	\$750,000	\$750,000		
PGRM	Cleantech Geo Coverage	154460	Competitive	Y	Cornell University	PON 4242	5/28/2020	\$750,000	\$750,000		
PGRM	Cleantech Geo Coverage	154461	Competitive	Y	The RF of SUNY Binghamton University	PON 4242	5/28/2020	\$750,000	\$750,000		
PGRM	Cleantech Geo Coverage	154462	Competitive	Y	City of Jamestown	PON 4242	5/28/2020	\$750,000	\$750,000		
PGRM	Cleantech Geo Coverage	110470-1	Non-Competitive	Y	The Research Foundation of SUNY at Bing	PON3413 Clean Energy Incubator	8/23/2017		\$200,000	\$162,070	\$162,070
PGRM	Cleantech ICC Engage	124254	Competitive	Y	Launch New York, Inc.	Launch NY ECO Incubator	3/9/2018		\$1,800,000	\$636,000	\$1,747,000
PGRM	Cleantech ICC Engage	138780	Non-Competitive	N	NECEC Institute	NECEC 2019 Sponsorship	4/2/2019		\$160,000		\$151,812
PGRM	Cleantech ICC Engage	139049	Discretionary	N	NECEC Institute	2019 Webinar Series	4/10/2019		\$40,000	\$16,000	\$40,000
PGRM	Cleantech ICC Engage	144308	Discretionary	N	LTM Ventures LLC	Customer Assessment	8/30/2019		\$48,500	\$17,550	\$39,050
PGRM	Cleantech ICC Engage	144375	Discretionary	N	Brazen Global Inc	Peer Groups Software	9/3/2019		\$33,885	\$4,050	\$10,935
PGRM	Cleantech ICC Engage	154506	Competitive	N	Graphenix Development Inc.	Co-Investment Fund Prgm RFQL	5/29/2020	\$500,000	\$500,000	\$490,000	\$490,000
PGRM	Cleantech ICC Engage	154546	Competitive	Y	Ekostinger, Inc.	Co-Investment Fund Prgm RFQL	6/1/2020	\$500,000	\$500,000	\$395,000	\$395,000
PGRM	Cleantech ICC Engage	154576	Competitive	N	Infinite Invention	Co-Investment Fund Prgm RFQL	6/2/2020	\$500,000	\$500,000	\$120,000	\$120,000
PGRM	Cleantech ICC Engage	154578	Competitive	N	Skyven Technologies, Inc	Co-Investment Fund Prgm RFQL	6/2/2020	\$462,500	\$462,500	\$382,500	\$382,500
PGRM	Cleantech ICC Engage	154580	Competitive	N	Enertiv Inc.	Co-Investment Fund Prgm RFQL	6/2/2020	\$500,000	\$500,000	\$420,000	\$420,000
PGRM	Cleantech ICC Engage	154581	Competitive	N	CleanFiber LLC	Co-Investment Fund Prgm RFQL	6/2/2020	\$500,000	\$500,000	\$490,000	\$490,000
PGRM	Cleantech Ignition	130369	Competitive	N	Empower Equity Inc	PON 3871 Ignition Grants	8/21/2018		\$100,000		\$50,000
PGRM	Cleantech Ignition	132619	Competitive	N	WexEnergy LLC	PON 3871 Ignition Grants	10/16/2018		\$100,000	\$25,000	\$100,000
PGRM	Cleantech Ignition	132626	Competitive	N	RE-Nuble, Inc.	PON 3871 Ignition Grants	10/16/2018		\$98,982	\$27,000	\$63,000
PGRM	Cleantech Ignition	134172	Competitive	N	Opus One Solutions USA Corp	PON 3871 Ignition Grants	11/19/2018		\$100,000		\$70,000
PGRM	Cleantech Ignition	135473	Competitive	N	Dimien Inc.	PON 3871 Ignition Grants	12/21/2018		\$100,000	\$25,000	\$100,000
PGRM	Cleantech Ignition	135477	Competitive	N	Allied Microbiota LLC	PON 3871 Ignition Grants	12/24/2018		\$100,000		
PGRM	Cleantech Ignition	138057	Competitive	Y	Skyven Technologies, Inc	PON 3871 Ignition Grants	3/13/2019		\$100,000	\$95,000	\$95,000
PGRM	Cleantech Ignition	140383	Competitive	N	Ecolectro Inc	PON 3871 Ignition Grants	5/17/2019		\$98,832		\$20,000
PGRM	Cleantech Ignition	142032	Competitive	N	Vistex Composites, LLC	PON 3871 Ignition Grants	7/1/2019		\$100,000	\$5,000	\$100,000
PGRM	Cleantech Ignition	142083	Competitive	N	ProjectEconomics, Inc.	PON 3871 Ignition Grants	7/2/2019		\$100,000	\$80,400	\$100,000
PGRM	Cleantech Ignition	144052	Competitive	N	Southern Tier Technologies LLC	PON 3871 Ignition Grants	8/22/2019		\$100,000		
PGRM	Cleantech Ignition	144053	Competitive	N	Molecular Glasses, Inc.	PON 3871 Ignition Grants	8/22/2019		\$100,000	\$60,000	\$80,000
PGRM	Cleantech Ignition	144054	Competitive	Y	Zero Energy Solutions LTD	PON 3871 Ignition Grants	8/22/2019	\$100,000	\$100,000		
PGRM	Cleantech Ignition	144103	Competitive	N	CleanFiber LLC	PON 3871 Ignition Grants	8/23/2019	\$100,000	\$100,000	\$100,000	\$100,000
PGRM	Cleantech Ignition	145016	Competitive	N	Unique Electric Solutions of NYS LLC	PON 3871 Ignition Grants	9/17/2019		\$99,960		
PGRM	Cleantech Ignition	145017	Competitive	Y	Dollande, Inc.	PON 3871 Ignition Grants	9/17/2019		\$98,130	\$85,095	\$85,095
PGRM	Cleantech Ignition	148509	Competitive	Y	HUB Controls USA Inc	PON 3871 Ignition Grants	12/5/2019		\$47,605		
PGRM	Cleantech Ignition	148510	Competitive	N	Maalka Inc	PON 3871 Ignition Grants	12/5/2019		\$100,000	\$100,000	\$100,000
PGRM	Cleantech Ignition	148655	Competitive	N	Scaniffy, Inc.	PON 3871 Ignition Grants	12/9/2019		\$99,750	\$12,000	\$58,250
PGRM	Cleantech Ignition	151221	Competitive	N	Vistex Composites, LLC	PON 3871 Ignition Grants	2/11/2020	\$85,850	\$85,850	\$77,350	\$77,350
PGRM	Cleantech Ignition	151222	Competitive	N	Combined Energies LLC	PON 3871 Ignition Grants	2/11/2020	\$89,040	\$89,040	\$35,000	\$35,000
PGRM	Cleantech Ignition	151223	Competitive	Y	RE-Nuble, Inc.	PON 3871 Ignition Grants	2/11/2020	\$29,052	\$29,052		
PGRM	Cleantech Ignition	151229	Competitive	Y	Graphenix Development Inc.	PON 3871 Ignition Grants	2/11/2020	\$100,000	\$100,000	\$100,000	\$100,000
PGRM	Cleantech Ignition	151230	Competitive	N	MICROrganic Technologies Inc.	PON 3871 Ignition Grants	2/11/2020	\$99,547	\$99,547	\$20,000	\$20,000
PGRM	Cleantech Ignition	151287	Competitive	N	Dimensional Energy	PON 3871 Ignition Grants	2/12/2020	\$100,000	\$100,000	\$95,000	\$95,000
PGRM	Cleantech Ignition	151288	Competitive	N	Ducted Wind Turbines, Inc	PON 3871 Ignition Grants	2/12/2020	\$89,600	\$89,600		
PGRM	Cleantech Ignition	153726	Competitive	Y	WexEnergy LLC	PON 3871 Ignition Grants	4/27/2020	\$100,000	\$100,000	\$25,000	\$25,000
PGRM	Cleantech Ignition	153759	Competitive	N	EagleHawk One, Inc.	PON 3871 Ignition Grants	4/28/2020	\$100,000	\$100,000	\$15,000	\$15,000
PGRM	Cleantech Ignition	158290	Discretionary	N	Michael Te Lin	Michael Te Lin consulting	9/16/2020	\$40,000	\$40,000	\$23,205	\$23,205
PGRM	Cleantech Incubator	110469	Competitive	Y	New York University	PON3413 Clean Energy Incubator	2/17/2017	\$1,500,000	\$4,000,000	\$1,017,250	\$2,209,750
PGRM	Cleantech Incubator	110472	Competitive	Y	Rochester Institute of Technology	PON3413 Clean Energy Incubator	2/17/2017		\$1,800,000	\$990,000	\$1,565,000
PGRM	Cleantech Incubator	110473	Competitive	Y	Centerstate Corporation for	PON3413 Clean Energy Incubator	2/17/2017		\$1,500,000	\$270,000	\$1,112,500
PGRM	Cleantech Incubator	110474	Competitive	Y	Long Island High Technology Incubator	PON3413 Clean Energy Incubator	2/17/2017		\$1,500,000	\$396,176	\$1,500,000
PGRM	Cleantech Incubator	110474	Non-Competitive	Y	Long Island High Technology Incubator	PON3413 Clean Energy Incubator	2/17/2017	\$300,000	\$300,000	\$183,825	\$183,825
PGRM	Cleantech Incubator	164247	Discretionary	N	GENEVA Group Inc.	Innovation Team Consultant	2/8/2021	\$50,000	\$50,000		
PGRM	Cleantech Incubator	110470-1	Competitive	Y	The Research Foundation of SUNY at Bing	PON3413 Clean Energy Incubator	8/23/2017		\$1,500,000	\$251,930	\$1,309,300
PGRM	Cleantech POC	141373	Competitive	Y	NextCorps Inc	Cleantech Accelerator	6/14/2019		\$10,000,000	\$783,000	\$783,000
PGRM	Cleantech POC	141799	Competitive	N	New Energy Nexus New York LLC	Cleantech Accelerator	6/25/2019		\$6,000,000	\$1,395,000	\$1,790,000
PGRM	Code to Zero	134351	Competitive	N	Vidaris, Inc.	TWO#1-DOS Rulemaking Support	11/26/2018		\$122,660	\$0	\$119,915
PGRM	Code to Zero	137164	Competitive	Y	New Buildings Institute, Inc.	TWO#1 Roadmap Zero Carbon Bldgs	2/14/2019		\$250,000	\$3,513	\$134,905
PGRM	Code to Zero	137652	Competitive	N	Vidaris, Inc.	TWO#3 NYStretch Cost Eff	3/4/2019		\$136,380	\$0	\$89,318
PGRM	Code to Zero	137765	Competitive	Y	Resource Refocus LLC	TWO 2 NYStretch Res CE	3/6/2019	\$25,803	\$123,978	\$19,320	\$117,495
PGRM	Code to Zero	141747	Competitive	N	Battelle Memorial Institute	BMI TWO #1-NYStretch Support	6/24/2019		\$171,000	\$60,112	\$73,505
PGRM	Code to Zero	145887	Competitive	N	New Buildings Institute, Inc.	TWO #3 - Policy Research	10/8/2019		\$85,000	\$57,629	\$75,000
PGRM	Code to Zero	148736	Competitive	Y	Performance Systems Development	PSD TWO #1 Training	12/10/2019		\$1,999,497	\$368,020	\$368,020
PGRM	Code to Zero	148812	Competitive	Y	Urban Green Council	Urban Green TWO#1 Training	12/11/2019	\$31,000	\$1,539,643	\$693,328	\$727,330
PGRM	Code to Zero	148948	Competitive	Y	Newport Ventures, Inc.	Newport TWO #1 Training	12/16/2019	\$242,964	\$642,773	\$170,518	\$170,518
PGRM	Code to Zero	149520	Competitive	N	Newport Ventures, Inc.	TWO2 NYStretch Outreach	12/27/2019		\$50,000	\$49,814	\$49,814
PGRM	Code to Zero	149521	Competitive	N	Northeast Green Building Consulting, LLC	TWO#1 NYStretch Outreach	12/27/2019		\$50,000	\$33,975	\$33,975
PGRM	Code to Zero	149538	Competitive	N	Taitem Engineering, P.C.	TWO#1 NYStretch Outreach CR	12/27/2019		\$50,000	\$23,054	\$32,293
PGRM	Code to Zero	149780	Discretionary	N	North Branch Services, LLC	NYStretch Outreach Circuit RI	1/3/2020		\$65,000	\$22,126	\$28,195
PGRM	Code to Zero	150949	Competitive	N	Vidaris, Inc.	TWO #4 Review Fan Power	2/4/2020		\$17,000	\$11,681	\$11,681
PGRM	Code to Zero	151420	Competitive	N	Noresco LLC	TWO1-ENERGY STAR NYSTRETCH	2/14/2020	\$12,000	\$27,000	\$27,000	\$27,000
PGRM	Code to Zero	155195	Competitive	N	Vidaris, Inc.	RFQL3711 Vidaris RES/COMcheck	6/25/2020	\$41,866	\$41,866	\$41,866	\$41,866
PGRM	Code to Zero	159281	Competitive	N	Noresco LLC	TWO-2 Online Training Class	10/7/2020	\$27,242	\$27,242	\$17,284	\$17,284
PGRM	Code to Zero	160706	Competitive	N	Vidaris, Inc.	TWO6-NYStretch Overlay Assist	11/10/2020	\$37,746	\$37,746	\$21,855	\$21,855
PGRM	Code to Zero	163010	Competitive	N	Steven Winter Associates, Inc.	TWO #4 - ANSI Standards	1/6/2021	\$133,000	\$133,000	\$25,991	\$25,991
PGRM	Code to Zero	164781	Competitive	N	Noresco LLC	Third-party E-Code Support	2/23/2021	\$97,210	\$97,210		
PGRM	Combined Heat and Power	22246	Competitive	Y	60 East 8th St Condo	Distributed Generation as Comb	3/23/2011		\$686,277		\$679,366

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		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	Combined Heat and Power	35138	Competitive	Y	NYU Langone Hospitals	CHP Performance Program	9/5/2013		\$2,400,000	\$1,551,539	\$2,351,539
PGRM	Combined Heat and Power	36138	Competitive	Y	Columbia University	CHP Performance Program	10/11/2013		\$2,400,000		
PGRM	Combined Heat and Power	36895	Competitive	Y	Cubit Power One Inc.	CHP Performance Program	11/1/2013		\$2,400,000		\$800,000
PGRM	Combined Heat and Power	38176	Competitive	Y	Cogeneration Contractors, Inc.	CHP Acceleration Program	12/13/2013		\$117,000	\$39,780	\$117,000
PGRM	Combined Heat and Power	38178	Competitive	Y	Cogeneration Contractors, Inc.	CHP Acceleration Program	12/13/2013		\$232,050	\$78,897	\$232,050
PGRM	Combined Heat and Power	39240	Competitive	Y	Tecogen	CHP Acceleration Program	1/29/2014		\$604,500		\$398,970
PGRM	Combined Heat and Power	40670	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Riverwalk 7	4/2/2014		\$117,000	\$39,780	\$117,000
PGRM	Combined Heat and Power	42498	Competitive	Y	Empire State Realty Trust	CHP Performance Program	6/4/2014		\$2,600,000		
PGRM	Combined Heat and Power	42623	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - 1 North 4th Place	6/9/2014		\$350,000	\$115,500	\$231,000
PGRM	Combined Heat and Power	46752	Competitive	Y	Hebrew Home for the Aged at Riverdale	CHP Performance - PON2701	9/3/2014		\$2,400,000	\$400,000	\$1,000,000
PGRM	Combined Heat and Power	52902	Competitive	Y	Aegis Energy Services Inc.	CHPA - Parc East	11/20/2014		\$148,500		\$89,100
PGRM	Combined Heat and Power	64113	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Riu Hotel Times Square	5/6/2015		\$232,050	\$78,897	\$232,050
PGRM	Combined Heat and Power	64728	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Compass Residences	5/15/2015		\$232,050		\$153,153
PGRM	Combined Heat and Power	64729	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Riu Hotel New York	5/15/2015		\$548,438	\$186,469	\$548,438
PGRM	Combined Heat and Power	68366	Competitive	Y	Tecogen	CHPA - Fulton South	7/3/2015		\$232,650		\$69,795
PGRM	Combined Heat and Power	71199	Competitive	Y	Tecogen	CHPA - Hotel Belclaire	8/14/2015		\$198,000	\$59,400	\$118,800
PGRM	Combined Heat and Power	76512	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Even Hotel	10/2/2015		\$232,050	\$78,897	\$232,050
PGRM	Combined Heat and Power	85057	Competitive	Y	Tecogen	CHPA - Hotel Gershwin & Latham	12/22/2015		\$198,000		\$59,400
PGRM	Combined Heat and Power	91665	Competitive	Y	Richmond University Medical Center	CHP Perform Incentive-PON 2701	2/26/2016		\$2,600,000		\$300,000
PGRM	Combined Heat and Power	91791	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Kings County Hospital C	2/29/2016		\$1,500,000		\$990,000
PGRM	Combined Heat and Power	99179	Competitive	Y	Tecogen	CHPA - The Brevard	5/31/2016		\$385,000	\$115,500	\$231,000
PGRM	Combined Heat and Power	101038	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Morris Avenue Apartment	7/14/2016		\$117,000	\$39,780	\$117,000
PGRM	Combined Heat and Power	101440	Competitive	Y	Tecogen	CHPA - Winston Churchill	7/25/2016		\$180,000		\$118,800
PGRM	Combined Heat and Power	102174	Competitive	Y	Tecogen	CHPA - Silver Towers	8/10/2016		\$198,000		\$59,400
PGRM	Combined Heat and Power	102195	Competitive	Y	Tecogen	CHPA - Plymouth Towers	8/11/2016		\$350,000		\$115,500
PGRM	Combined Heat and Power	102618	Competitive	Y	Tecogen	CHPA - Rivercross Tenants Corp	8/23/2016		\$761,250	\$258,825	\$761,250
PGRM	Combined Heat and Power	102625	Competitive	Y	Tecogen	CHPA - Hotel Edison	8/24/2016		\$350,000		\$115,500
PGRM	Combined Heat and Power	102960	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - 626 Flatbush	8/25/2016		\$128,700	\$51,480	\$128,700
PGRM	Combined Heat and Power	102962	Competitive	Y	Tecogen	CHPA - 205 East 92nd Street	8/26/2016		\$350,000		\$231,000
PGRM	Combined Heat and Power	103031	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Fresh Direct	9/8/2016		\$1,350,000	\$459,000	\$1,350,000
PGRM	Combined Heat and Power	103032	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Compass Residences 2A	9/8/2016		\$117,000		\$77,220
PGRM	Combined Heat and Power	103034	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Compass Residences 2B	9/8/2016		\$117,000		\$77,220
PGRM	Combined Heat and Power	103035	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Five Pointz Towers	9/8/2016		\$447,200		\$147,576
PGRM	Combined Heat and Power	103039	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - La Casa Del Mundo	9/8/2016		\$117,000		\$77,220
PGRM	Combined Heat and Power	103040	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - 1049 Fifth Avenue Condo	9/8/2016		\$364,238		\$120,199
PGRM	Combined Heat and Power	103042	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - POD Hotel	9/8/2016		\$232,050		\$153,153
PGRM	Combined Heat and Power	103049	Competitive	Y	Tecogen	CHPA - 261 Hudson	9/9/2016		\$180,000		\$118,800
PGRM	Combined Heat and Power	103052	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - NYU 370 Jay Street	9/9/2016		\$1,485,000		\$445,500
PGRM	Combined Heat and Power	103053	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Village East Towers	9/9/2016		\$232,050	\$76,577	\$153,153
PGRM	Combined Heat and Power	103055	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Memorial Sloan Ketterin	9/9/2016		\$1,485,000		\$891,000
PGRM	Combined Heat and Power	103057	Competitive	Y	Tecogen	CHPA - 520 West 30th Street	9/9/2016		\$198,000		\$118,800
PGRM	Combined Heat and Power	104252	Competitive	Y	Tecogen	CHPA - Taxi 302-02 39th St	9/26/2016		\$350,000		\$231,000
PGRM	Combined Heat and Power	104254	Competitive	Y	Tecogen	CHPA - Fairview	9/26/2016		\$561,000		\$336,600
PGRM	Combined Heat and Power	105949	Competitive	Y	Tecogen	CHPA - 260 Herkimer	10/28/2016		\$69,300		\$20,790
PGRM	Combined Heat and Power	105951	Competitive	Y	Tecogen	CHPA - Interfaith Medical Cent	10/28/2016		\$612,000		\$185,130
PGRM	Combined Heat and Power	105952	Competitive	Y	Tecogen	CHPA - Village View 1	10/28/2016		\$223,438	\$75,969	\$223,438
PGRM	Combined Heat and Power	105956	Competitive	Y	Tecogen	CHPA - Village View 3	10/28/2016		\$223,438	\$75,969	\$223,438
PGRM	Combined Heat and Power	106127	Competitive	Y	Tecogen	CHPA - Domino Building E	11/3/2016		\$350,000	\$115,500	\$231,000
PGRM	Combined Heat and Power	107455	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - London Terrace	12/1/2016		\$924,055		\$277,216
PGRM	Combined Heat and Power	107798	Competitive	Y	Citigroup Technology, Inc.	CHPA - 390 Greenwich St	12/12/2016		\$2,500,000		\$1,650,000
PGRM	Combined Heat and Power	108496	Competitive	Y	Tecogen	CHPA - Aron Hall	12/28/2016		\$180,000		\$59,400
PGRM	Combined Heat and Power	108498	Competitive	Y	Board of Managers of Cipriani Club	CHPA - 55 Wall Street	12/28/2016		\$1,393,961		\$418,189
PGRM	Combined Heat and Power	108499	Competitive	Y	Tecogen	CHPA - 3333 Broadway	12/28/2016		\$760,000	\$250,800	\$501,600
PGRM	Combined Heat and Power	110648	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Compass V	2/23/2017		\$111,150		\$36,680
PGRM	Combined Heat and Power	110698	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Morris Apartments II	2/24/2017		\$111,150		\$73,359
PGRM	Combined Heat and Power	111621	Competitive	Y	Aegis Energy Services Inc.	CHPA - The Buchanan	3/16/2017		\$171,000		\$56,430
PGRM	Combined Heat and Power	116666	Competitive	Y	Tecogen	CHPA - Caton Towers	8/3/2017		\$188,100		\$56,430
PGRM	Combined Heat and Power	121285	Competitive	Y	Tecogen	CHPA - 1249 Park Ave	12/5/2017		\$171,000	\$58,140	\$171,000
PGRM	Combined Heat and Power	121298	Competitive	Y	Tecogen	CHPA - 34-46 Vernon East	12/5/2017		\$171,000		\$56,430
PGRM	Combined Heat and Power	121300	Competitive	Y	Tecogen	CHPA - 34-46 Vernon West	12/5/2017		\$171,000		\$56,430
PGRM	Combined Heat and Power	121301	Competitive	Y	Tecogen	CHPA - 215 Pearl St	12/5/2017		\$171,000		\$56,430
PGRM	Combined Heat and Power	121302	Competitive	Y	Tecogen	CHPA - Regent Hospitality	12/5/2017		\$332,500		
PGRM	Combined Heat and Power	121304	Competitive	Y	Tecogen	CHPA - Lakeside Towers	12/5/2017		\$201,094	\$134,733	\$201,094
PGRM	Combined Heat and Power	121305	Competitive	Y	Tecogen	CHPA - Amherst	12/5/2017		\$388,125	\$131,963	\$388,125
PGRM	Combined Heat and Power	121934	Competitive	Y	Tecogen	CHPA - 555 West 23rd St	12/20/2017		\$346,500	\$138,600	\$346,500
PGRM	Combined Heat and Power	121935	Competitive	Y	Tecogen	CHPA - 60 Sutton Place	12/20/2017		\$426,938	\$128,081	\$256,163
PGRM	Combined Heat and Power	121936	Competitive	Y	Aegis Energy Services Inc.	CHPA - 63 Wall Street	12/20/2017		\$459,000		\$151,470
PGRM	Combined Heat and Power	121937	Competitive	Y	Aegis Energy Services Inc.	CHPA - 180 West End	12/20/2017		\$315,000		\$207,900
PGRM	Combined Heat and Power	121938	Competitive	Y	Aegis Energy Services Inc.	CHPA - Cannon Heights	12/20/2017		\$162,000		\$53,460
PGRM	Combined Heat and Power	121940	Competitive	Y	Aegis Energy Services Inc.	CHPA - The Promenade	12/20/2017		\$162,000		\$106,920
PGRM	Combined Heat and Power	122001	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - Huron	12/21/2017		\$1,228,080	\$405,266	\$405,266
PGRM	Combined Heat and Power	122878	Competitive	Y	Martin Energy Group Services, LLC	CHPA - VAMC Albany Samuel	1/25/2018		\$1,042,210		\$343,929
PGRM	Combined Heat and Power	125950	Competitive	Y	Tecogen	CHPA - 200 East 57th Street	4/19/2018		\$221,203		\$132,722
PGRM	Combined Heat and Power	126610	Competitive	Y	Tecogen	CHPA - Brooklyn United Methodi	5/8/2018		\$624,989	\$206,246	\$206,246
PGRM	Combined Heat and Power	126770	Competitive	Y	Tecogen	CHPA - Neptune	5/14/2018		\$1,458,000		
PGRM	Combined Heat and Power	126937	Competitive	Y	TTcogen, LLC	CHPA - LeFrak - LeFrance Paris	5/16/2018		\$42,000		\$13,860
PGRM	Combined Heat and Power	126938	Competitive	Y	TTcogen, LLC	CHPA- LeFrak - West Side Manor	5/16/2018		\$42,000		\$13,860
PGRM	Combined Heat and Power	126939	Competitive	Y	TTcogen, LLC	CHPA - LeFrak - London Leasing	5/16/2018		\$42,000		\$13,860
PGRM	Combined Heat and Power	127096	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - 1962-66 1st Ave	5/21/2018		\$791,280		\$261,122
PGRM	Combined Heat and Power	127097	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - 1952-56 1st Ave	5/21/2018		\$791,280	\$261,122	\$261,122
PGRM	Combined Heat and Power	127476	Competitive	Y	Mechanical Energy Solutions LLC	CHPA - 1540 Broadway	5/31/2018		\$1,581,964	\$522,048	\$1,044,096

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Combined Heat and Power	127607	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - 402 East 102nd Street	6/5/2018		\$432,640		\$142,771
PGRM	Combined Heat and Power	128953	Competitive	Y	IntelliGen Power Systems, LLC	CHPA - 1990 Lexington Ave	7/13/2018		\$747,320		
PGRM	Combined Heat and Power	130449	Competitive	Y	Aegis Energy Services Inc.	CHPA - Edenwald YMCA	8/23/2018		\$107,100		
PGRM	Combined Heat and Power	130451	Competitive	Y	Aegis Energy Services Inc.	CHPA - Regina Pacis	8/23/2018		\$63,000		\$41,580
PGRM	Combined Heat and Power	131518	Competitive	Y	Tecogen	CHPA - Riverview Terrace	9/19/2018		\$162,000		\$53,460
PGRM	Combined Heat and Power	132542	Competitive	Y	Aegis Energy Services Inc.	CHPA - 300 Central Park West B	10/15/2018		\$122,719	\$36,815	\$73,630
PGRM	Combined Heat and Power	133954	Competitive	Y	Sterling and Wilson Cogen Solutions, LLC	CHPA - 340 KW Wheatfield Garde	11/13/2018		\$442,560		\$146,045
PGRM	Combined Heat and Power	134958	Competitive	Y	Aegis Energy Services Inc.	CHPA - 2425 Nostrand Ave.	12/10/2018		\$94,500	\$31,185	\$62,370
PGRM	Combined Heat and Power	135137	Competitive	Y	Aegis Energy Services Inc.	CHPA - 2501 Nostrand Ave.	12/13/2018		\$42,000		\$27,720
PGRM	Combined Heat and Power	135864	Competitive	Y	2G Energy Inc.	CHPA - Building D	1/7/2019		\$714,043		\$235,634
PGRM	Combined Heat and Power	135865	Competitive	Y	2G Energy Inc.	CHPA - 2100 1st Avenue Bldg BC	1/7/2019		\$981,527		\$323,904
PGRM	Combined Heat and Power	135866	Competitive	Y	2G Energy Inc.	CHPA - 2070-2080 Housing Corp	1/7/2019		\$714,043		\$235,634
PGRM	Combined Heat and Power	136600	Competitive	N	ICF Resources, LLC	TWO 3 Hybrid Prog. Support	1/29/2019		\$59,919		\$57,808
PGRM	Combined Heat and Power	137043	Competitive	Y	ENER-G Rudox, LLC	CHPA - St. Mary's Hospital	2/11/2019		\$375,488		
PGRM	Combined Heat and Power	137233	Competitive	Y	Aegis Energy Services Inc.	CHPA - 211 Central Park West	2/19/2019		\$158,400		
PGRM	Combined Heat and Power	137238	Competitive	Y	Tecogen	CHPA - 315 East 68th	2/19/2019		\$189,922	\$127,248	\$189,922
PGRM	Combined Heat and Power	137361	Competitive	Y	Aegis Energy Services Inc.	CHPA - 1865 Broadway	2/20/2019		\$153,000		\$50,490
PGRM	Combined Heat and Power	137644	Competitive	Y	Martin Energy Group Services, LLC	CHPA-Amalgamated Housing Corp	3/4/2019		\$202,236	\$66,738	\$66,738
PGRM	Combined Heat and Power	137646	Competitive	Y	Martin Energy Group Services, LLC	CHPA-CCHM Times Square LLC	3/4/2019		\$1,550,250		
PGRM	Combined Heat and Power	137830	Competitive	Y	Aegis Energy Services Inc.	CHPA - Boro Park Center	3/7/2019		\$288,750	\$95,288	\$95,288
PGRM	Combined Heat and Power	137887	Competitive	Y	Tecogen	CHPA-485 First Ave-Phipps Hous	3/11/2019		\$126,225		\$37,868
PGRM	Combined Heat and Power	138082	Competitive	Y	ENER-G Rudox, LLC	CHPA - 250 South Street	3/14/2019		\$341,353		\$112,646
PGRM	Combined Heat and Power	138083	Competitive	Y	ENER-G Rudox, LLC	CHPA - The Rector	3/14/2019		\$341,353	\$112,646	\$225,293
PGRM	Combined Heat and Power	138086	Competitive	Y	Aegis Energy Services Inc.	CHPA - 42 Broad Street	3/14/2019		\$213,000		\$70,290
PGRM	Combined Heat and Power	138087	Competitive	Y	Aegis Energy Services Inc.	CHPA-LeFrak City-Columbia/Peru	3/14/2019		\$100,800		
PGRM	Combined Heat and Power	138089	Competitive	Y	Aegis Energy Services Inc.	CHPA-Valley View Center for Nu	3/14/2019		\$266,836		\$88,056
PGRM	Combined Heat and Power	138091	Competitive	Y	ENER-G Rudox, LLC	CHPA - MSK 444 East 68th	3/15/2019		\$1,185,896		
PGRM	Combined Heat and Power	138092	Competitive	Y	ENER-G Rudox, LLC	CHPA - MSK 415 East 68th	3/15/2019		\$1,237,500		
PGRM	Combined Heat and Power	138094	Competitive	Y	Powergen Development Group, LLC	CHPA - One Carnegie Hall	3/15/2019		\$202,236		\$66,738
PGRM	Combined Heat and Power	138095	Competitive	Y	New York University	CHPA - NYU 181 Mercer	3/15/2019		\$1,390,331		\$458,809
PGRM	Combined Heat and Power	138113	Competitive	Y	Aegis Energy Services Inc.	CHPA-North Rockland High Schoo	3/18/2019		\$84,375		\$27,844
PGRM	Combined Heat and Power	138115	Competitive	Y	Aegis Energy Services Inc.	CHPA-Centers-Triboro/DOJ	3/18/2019		\$580,800		\$191,664
PGRM	Combined Heat and Power	138117	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #1	3/18/2019		\$323,438	\$106,735	\$106,735
PGRM	Combined Heat and Power	138118	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #2	3/18/2019		\$323,438	\$106,735	\$106,735
PGRM	Combined Heat and Power	138119	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #3	3/18/2019		\$323,438	\$106,735	\$106,735
PGRM	Combined Heat and Power	138120	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #4	3/18/2019		\$323,438	\$106,735	\$106,735
PGRM	Combined Heat and Power	138122	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #5	3/18/2019		\$323,438		\$106,735
PGRM	Combined Heat and Power	138124	Competitive	Y	Tecogen	CHPA-Esplanade Gardens Bldg #6	3/18/2019		\$323,438		\$106,735
PGRM	Combined Heat and Power	138134	Competitive	Y	Sterling and Wilson Cogen Solutions, LLC	CHPA-Agbotic Project#1 LLC	3/19/2019		\$414,900		\$136,917
PGRM	Combined Heat and Power	138294	Competitive	Y	Smart Phases Inc.	CHPA - Plattsburgh Old AF Base	3/20/2019		\$1,188,388		
PGRM	Combined Heat and Power	138355	Competitive	Y	Kinderhook AD1, LLC	CHPA - Kinderhook AD1	3/25/2019		\$878,428		
PGRM	Combined Heat and Power	138361	Competitive	Y	Cogeneration Contractors, Inc.	CHPA - Fresh Meadows	3/25/2019		\$697,500	\$230,175	\$230,175
PGRM	Combined Heat and Power	138363	Competitive	Y	Tecogen	CHPA-22 South West St Tower	3/26/2019		\$178,750	\$117,975	\$117,975
PGRM	Combined Heat and Power	138366	Competitive	Y	Tecogen	CHPA-The Lucida	3/26/2019		\$178,750	\$119,763	\$178,750
PGRM	Combined Heat and Power	138367	Competitive	Y	Tecogen	CHPA-125 Broad Street	3/26/2019		\$1,317,000	\$434,610	\$434,610
PGRM	Combined Heat and Power	138368	Competitive	Y	Tecogen	CHPA-301 East 69th Street - Ma	3/26/2019		\$153,000	\$50,490	\$100,980
PGRM	Combined Heat and Power	138369	Competitive	Y	Tecogen	CHPA-Domino - Building A	3/26/2019		\$366,563	\$120,966	\$120,966
PGRM	Combined Heat and Power	138370	Competitive	Y	Tecogen	CHPA-Ballston Spa Schools	3/26/2019		\$803,086	\$265,018	\$265,018
PGRM	Combined Heat and Power	138624	Competitive	Y	Aegis Energy Services Inc.	CHPA-Alexandria	3/28/2019		\$148,500		\$44,550
PGRM	Combined Heat and Power	139486	Competitive	Y	Aegis Energy Services Inc.	CHPA-Bluestone Group - Norman	4/24/2019		\$69,300		\$20,790
PGRM	Combined Heat and Power	139809	Competitive	Y	Aegis Energy Services Inc.	CHPA-Kenmore Hall	5/1/2019		\$219,296		\$65,789
PGRM	Combined Heat and Power	140797	Competitive	Y	Aegis Energy Services Inc.	CHPA-Centers - Brooklyn	5/29/2019		\$308,000		\$101,640
PGRM	Combined Heat and Power	140801	Competitive	Y	Aegis Energy Services Inc.	CHPA-Centers - Beth Abraham 1	5/29/2019		\$308,000		
PGRM	Combined Heat and Power	140850	Competitive	Y	Tecogen	CHPA-Dorchester Towers	5/30/2019		\$467,578		\$154,301
PGRM	Combined Heat and Power	140852	Competitive	Y	Tecogen	CHPA-Normandie Court	5/30/2019		\$323,438		\$106,735
PGRM	Combined Heat and Power	140853	Competitive	Y	Tecogen	CHPA-Windsor Court	5/30/2019		\$323,438		\$106,735
PGRM	Combined Heat and Power	141015	Competitive	Y	Aegis Energy Services Inc.	CHPA-3 Hanover Square	6/4/2019		\$42,000		\$13,860
PGRM	Combined Heat and Power	141099	Competitive	Y	Tecogen	CHPA-Bedford Union Armory	6/6/2019		\$1,003,078	\$331,016	\$331,016
PGRM	Combined Heat and Power	141746	Competitive	Y	Aegis Energy Services Inc.	CHPA-The Citadel-The Salvation	6/24/2019		\$280,000		\$92,400
PGRM	Combined Heat and Power	144310	Competitive	Y	Antares Group, Inc.	ADG - Antares - TWO	8/30/2019		\$99,000		
PGRM	Combined Heat and Power	144311	Competitive	Y	Arcadis U.S., Inc.	ADG - Arcadis - TWO	8/30/2019		\$132,000	\$14,354	\$38,254
PGRM	Combined Heat and Power	144313	Competitive	Y	Frontier Energy, Inc.	ADG - Frontier - TWO	8/30/2019		\$173,000	\$2,621	\$10,436
PGRM	Combined Heat and Power	144314	Competitive	Y	L&S Energy Services, Inc.	ADG - L&S - TWO	8/30/2019		\$86,000	\$2,255	\$2,255
PGRM	Combined Heat and Power	144315	Competitive	Y	Wendel Energy Services, LLC	ADG - Wendel - TWO	8/30/2019		\$110,000	\$2,442	\$2,442
PGRM	Combined Heat and Power	144752	Competitive	Y	Aegis Energy Services Inc.	CHPA-Archer Green Apartments	9/11/2019		\$205,590	\$61,677	\$61,677
PGRM	Combined Heat and Power	144922	Competitive	Y	Aegis Energy Services Inc.	CHPA-Centers-Beth Abraham 2	9/16/2019		\$308,000		
PGRM	Combined Heat and Power	145592	Discretionary	Y	Bright Power, Inc.	Tri-tech Feasibility & Design1	10/1/2019		\$50,000	\$30,000	\$30,000
PGRM	Combined Heat and Power	145955	Competitive	Y	Thuesen Mechanical Corp	CHPA-Silo Ridge 1.2	10/10/2019		\$52,500		\$17,325
PGRM	Combined Heat and Power	146437	Competitive	Y	Tecogen	CHPA-Masaryk Towers	10/22/2019		\$459,000	\$151,470	\$151,470
PGRM	Combined Heat and Power	146438	Competitive	Y	Tecogen	CHPA-Queens Plaza Park	10/22/2019		\$1,080,000	\$356,400	\$356,400
PGRM	Combined Heat and Power	147712	Competitive	Y	Tecogen	CHPA-9 Orchard	11/18/2019		\$262,500	\$86,625	\$86,625
PGRM	Combined Heat and Power	147771	Competitive	Y	Tecogen	CHPA-Park West Village 7	11/19/2019		\$135,000	\$44,550	\$44,550
PGRM	Combined Heat and Power	147826	Competitive	Y	Livolt Cogen LLC	CHPA-2418 Olivville Ave	11/20/2019		\$8,616		\$2,843
PGRM	Combined Heat and Power	147829	Competitive	Y	Tecogen	CHPA-Newburgh Free Academy	11/20/2019		\$261,000		
PGRM	Combined Heat and Power	147906	Competitive	Y	Tecogen	CHPA-Temple Hill Academy	11/21/2019		\$135,000		
PGRM	Combined Heat and Power	147907	Competitive	Y	Tecogen	CHPA-Meadow Hill Elementary	11/21/2019		\$135,000		
PGRM	Combined Heat and Power	147908	Competitive	Y	Tecogen	CHPA-Heritage Middle School	11/21/2019		\$135,000		
PGRM	Combined Heat and Power	148435	Competitive	Y	Livolt Cogen LLC	CHPA-45 Tehama Street	12/4/2019		\$8,616		
PGRM	Combined Heat and Power	148438	Competitive	Y	Livolt Cogen LLC	CHPA-730 E 236th St	12/4/2019		\$8,616		
PGRM	Combined Heat and Power	148439	Competitive	Y	Livolt Cogen LLC	CHPA-2206 Holland Ave	12/4/2019		\$8,616		
PGRM	Combined Heat and Power	148441	Competitive	Y	Livolt Cogen LLC	CHPA-4138 Barnes Ave, Bronx	12/4/2019		\$8,616		\$2,843

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Combined Heat and Power	148443	Competitive	Y	Livolt Cogen LLC	CHPA-1985 Ocean Ave, Brooklyn	12/4/2019		\$8,616		
PGRM	Combined Heat and Power	148445	Competitive	Y	Livolt Cogen LLC	CHPA-2630 Ocean Ave, Brooklyn	12/4/2019		\$8,616		
PGRM	Combined Heat and Power	106673A	Competitive	Y	Frontier Energy, Inc.	DC Integrated Data System	2/23/2018		\$3,899,031	\$612,719	\$2,023,346
PGRM	Combined Heat and Power	120552A	Competitive	Y	ENER-G Rudox, LLC	CHPA - Calvary Hospital	8/8/2018		\$831,650		\$274,445
PGRM	Combined Heat and Power	126306-1	Competitive	Y	Tecogen	CHPA - Savoy Park II Building	3/4/2020	\$14,280	\$14,280	\$14,280	\$14,280
PGRM	Combined Heat and Power	126306-1	Competitive	Y	TTecogen, LLC	CHPA - Savoy Park II Building	6/12/2018		\$42,000		\$27,720
PGRM	Combined Heat and Power	126937A	Competitive	Y	Tecogen	CHPA - LeFrak - LeFrance Paris	2/20/2020	\$28,140	\$28,140		
PGRM	Combined Heat and Power	126938A	Competitive	Y	Tecogen	CHPA - LeFrak - West Side Mano	2/27/2020	\$28,140	\$28,140	\$13,860	\$13,860
PGRM	Combined Heat and Power	126939A	Competitive	Y	Tecogen	LeFrak - London Leasing	2/27/2020	\$28,140	\$28,140		
PGRM	Combined Heat and Power	127606-1	Competitive	Y	Ecosystem Energy Services USA Inc.	CHPA - StuyTown	6/26/2018		\$1,485,000	\$445,500	\$445,500
PGRM	Combined Heat and Power	137646A	Competitive	Y	Penn Power Group LLC	CHPA-CMH Times Square LLC	9/10/2020	\$1,550,250	\$1,550,250		
PGRM	Combined Heat and Power	147826A	Competitive	Y	RBFunding LLC	CHPA-2418 Olinville Ave	9/16/2020	\$5,773	\$5,773		
PGRM	Combined Heat and Power	37380A	Competitive	Y	Frontier Energy, Inc.	Technical Review Prgm Support	4/11/2018		\$837,313	\$84,301	\$599,653
PGRM	Combined Heat and Power	52612A	Competitive	Y	ERY Retail Podium LLC	Supplier Name Change	4/21/2017		\$2,400,000		\$600,000
PGRM	Combined Heat and Power	98520A	Competitive	Y	ENER-G Rudox, LLC	CHPA - Mima Apartments	8/8/2018		\$285,617	\$285,617	\$285,617
PGRM	Commercial Imp Assist	114901	Competitive	Y	YMCA of Greater Rochester	CIAP016 - YMCA of Greater Roch	6/20/2017		\$67,500	\$38,250	\$50,775
PGRM	Commercial Imp Assist	115613	Competitive	N	Unity Health System	CIAP013 - Unity Hospital	7/10/2017		\$150,000	\$150,000	\$150,000
PGRM	Commercial New Construc	83741	Competitive	Y	Atelier Ten	ATEN - EMP	12/14/2015		\$94,952		\$53,952
PGRM	Commercial New Construc	83756	Competitive	Y	Vidaris, Inc.	Vidaris - EMP	12/15/2015		\$570,030		\$453,387
PGRM	Commercial New Construc	99561	Competitive	Y	Price Chopper Operating Company of NY	NCP15001 - Market 32 Ft Edward	6/8/2016		\$57,142	\$50,674	\$50,674
PGRM	Commercial New Construc	99563	Competitive	Y	Trinity Real Estate	SF106869 - 74 Trinity Place	6/8/2016		\$95,666		
PGRM	Commercial New Construc	99568	Competitive	Y	Buffalo Ave Realty LLC	SF106872 - 170 Buffalo Ave	6/8/2016		\$113,628		
PGRM	Commercial New Construc	99571	Competitive	Y	University at Albany	NCP15012 - ETEC	6/8/2016		\$233,427		
PGRM	Commercial New Construc	99572	Competitive	Y	Buffalo Urban Development Corporation	NCP15003 - Workforce Train Ctr	6/8/2016		\$119,232	\$7,827	\$96,096
PGRM	Commercial New Construc	99573	Competitive	Y	University at Albany	NCP15013 - Building 27	6/8/2016		\$43,945	\$22,243	\$22,243
PGRM	Commercial New Construc	100029	Competitive	Y	Price Chopper Operating Company of NY	NCP15019-Market32 VF-OFLT	6/22/2016		\$71,896	\$65,617	\$65,617
PGRM	Commercial New Construc	100426	Competitive	Y	SUNY Oneonta	NCP15020 - Macduff Hall	7/1/2016		\$29,248		
PGRM	Commercial New Construc	102176	Competitive	Y	Produtiva LLC	NCP15026-DeCicco Sons Millwood	8/10/2016		\$46,722		\$38,892
PGRM	Commercial New Construc	102601	Competitive	Y	Genting New York LLC	SF106883 - Resorts World -OFLT	8/22/2016		\$223,436		
PGRM	Commercial New Construc	102951	Competitive	Y	Rochester General Hospital	NCP15042-CriticalCarePav-OFLT	8/25/2016		\$369,200		
PGRM	Commercial New Construc	104776	Competitive	Y	Maplewood Senior Living, LLC	NCP15051-1802 2nd Avenue-OFLT	10/12/2016		\$58,722		
PGRM	Commercial New Construc	106595	Competitive	Y	Shrub Oak International School	NCP15055 - Shrub Oak	11/9/2016		\$84,476		
PGRM	Commercial New Construc	106681	Competitive	Y	Tetra Tech Engineers, Architects and Lan	Tetra Tech (Consentint) RFP303	11/15/2016		\$25,000	\$0	\$0
PGRM	Commercial New Construc	108405	Competitive	Y	Montebello Realty Partners, LLC	SF106896 - 250 Lafayette	12/22/2016	\$42,466	\$42,466		
PGRM	Commercial New Construc	108668	Competitive	Y	139 East 56th Street Landlord, LLC	NCP15062 - 139 E 56th St	1/4/2017		\$79,308	\$79,308	\$79,308
PGRM	Commercial New Construc	108875	Competitive	Y	Regeneron Pharmaceuticals Inc.	NCP15063 - 767 Old Saw Mill Ri	1/10/2017		\$95,160		
PGRM	Commercial New Construc	108876	Competitive	Y	SUNY Broome Community College	NCP15064 - SUNY Broome Mechani	1/10/2017		\$30,641	\$30,641	\$30,641
PGRM	Commercial New Construc	108878	Competitive	Y	NYU Langone Hospitals	NCP15065 - NYU Hospitals Cente	1/10/2017		\$109,568		
PGRM	Commercial New Construc	109077	Competitive	Y	BOP SE, LLC	SF106901 2 Manhattan West	1/17/2017	\$219,348	\$219,348		
PGRM	Commercial New Construc	111235	Competitive	Y	BOP NW LLC	SF106903 - BOP NW LLC	3/8/2017	\$55,132	\$55,132		
PGRM	Commercial New Construc	111673	Competitive	Y	Flight Center Hotel, LLC	NCP16002 - TWA Hotel	3/17/2017		\$383,161	\$100,000	\$383,161
PGRM	Commercial New Construc	112662	Competitive	Y	The Greek School of Plato	NCP16004 - New Greek School	4/11/2017		\$56,107		
PGRM	Commercial New Construc	112970	Competitive	Y	Pepsico, Inc.	NCP16005 - Technical Operatio	4/20/2017		\$218,458	\$218,458	\$218,458
PGRM	Commercial New Construc	113788	Competitive	Y	CBP 441 Ninth Avenue Owner, LLC	SF106912 - 441 Ninth Avenue	5/15/2017		\$488,362	\$488,362	\$488,362
PGRM	Commercial New Construc	113866	Competitive	Y	Dash Markets Inc	SF106913 - Dashes Market	5/17/2017		\$35,413	\$32,326	\$32,326
PGRM	Commercial New Construc	115795	Competitive	Y	Manhattan College	NCP16014 - Engineering and Sci	7/13/2017		\$63,261		
PGRM	Commercial New Construc	117724	Competitive	Y	Bay Plaza Hospitality Investors, LLC	NCP16030 - Hampton at Bay Plaz	8/30/2017		\$37,380		
PGRM	Commercial New Construc	121550	Competitive	Y	YMCA of Greater New York	NCP16038- Edenwald YMCA	12/11/2017		\$179,587		
PGRM	Commercial New Construc	124905	Competitive	Y	Erdman Anthony & Associates, Inc.	RFP3771 Umbrella Contract	3/27/2018		\$44,752	\$13,969	\$39,659
PGRM	Commercial New Construc	125012	Competitive	Y	M/E Engineering, P.C.	RFP3771 Umbrella Contract	3/29/2018		\$74,917	\$10,150	\$65,583
PGRM	Commercial New Construc	126033	Competitive	Y	C.J. Brown Energy, P.C.	RFP3771 Umbrella contract	4/23/2018		\$52,125		\$26,761
PGRM	Commercial New Construc	126036	Competitive	Y	River Architects, PLLC	RFP3771 Umbrella Contract	4/23/2018		\$23,580		\$23,419
PGRM	Commercial New Construc	126093	Competitive	Y	Pathfinder Engineers & Architects, LLP	RFP3771 Umbrella Contract	4/24/2018		\$192,578	\$24,289	\$143,180
PGRM	Commercial New Construc	126102	Competitive	Y	WSP USA Corp.	RFP3771 Umbrella Contract	4/25/2018		\$135,470	\$53,935	\$124,814
PGRM	Commercial New Construc	127259	Competitive	Y	O'Brien & Gere Engineers, Inc.	RFP3771 Umbrella Contract	5/24/2018		\$59,594		\$12,955
PGRM	Commercial New Construc	127350	Competitive	Y	L&S Energy Services, Inc.	RFP3771 Umbrella Contract	5/29/2018	\$17,370	\$86,085	\$36,780	\$36,780
PGRM	Commercial New Construc	129930	Competitive	Y	SUNY Morrisville	New Construction	8/9/2018	\$9,295	\$9,295		
PGRM	Commercial New Construc	131565	Competitive	Y	SUNY Potsdam	New Construction	9/20/2018	\$10,244	\$10,244		
PGRM	Commercial New Construc	132404	Competitive	Y	HK Property Monticello LLC	SF158447 - HK Property Montice	10/11/2018		\$7,355		
PGRM	Commercial New Construc	134569	Competitive	Y	GreenStar Cooperative Market	Commercial New Construction	12/3/2018		\$36,778		
PGRM	Commercial New Construc	135306	Competitive	Y	Shurgard Storage Centers LLC	Commercial New Construction	12/18/2018	\$39,473	\$39,473		
PGRM	Commercial New Construc	137398	Competitive	Y	SUNY College of Environmental Science an	Commercial New Construction	2/21/2019	\$47,179	\$47,179		
PGRM	Commercial New Construc	139498	Competitive	Y	Noresco LLC	TWO Commercial NC	4/24/2019		\$100,081	\$65,969	\$65,969
PGRM	Commercial New Construc	139811	Competitive	Y	Steven Winter Associates, Inc.	Commercial New Construc	5/1/2019		\$39,185	\$4,038	\$36,990
PGRM	Commercial New Construc	140062	Competitive	Y	SUNY Polytechnic Institute	Comm NC Incentives - SF182319	5/8/2019		\$12,932		
PGRM	Commercial New Construc	140104	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construc	5/9/2019		\$52,762	\$5,557	\$52,762
PGRM	Commercial New Construc	140541	Competitive	Y	C.J. Brown Energy, P.C.	Commercial New Construction	5/21/2019		\$24,345	\$12,559	\$20,148
PGRM	Commercial New Construc	140808	Competitive	Y	Tetra Tech Engineers, Architects and Lan	Commercial New Construction	5/29/2019	\$18,287	\$18,287		
PGRM	Commercial New Construc	140857	Competitive	Y	Altanova LLC	Commercial New Construction	5/30/2019		\$60,732	\$10,519	\$43,738
PGRM	Commercial New Construc	141161	Competitive	Y	Steven Winter Associates, Inc.	Commercial New Construc	6/7/2019		\$23,807	\$18,687	\$23,807
PGRM	Commercial New Construc	141375	Competitive	Y	AKF-engineers	Commercial New Construction	6/14/2019		\$27,750	\$16,791	\$16,791
PGRM	Commercial New Construc	141688	Competitive	Y	Vidaris, Inc.	Commercial New Construction	6/21/2019		\$66,840	\$8,739	\$40,889
PGRM	Commercial New Construc	141695	Competitive	Y	Taitem Engineering, P.C.	Commercial New Construc	6/21/2019		\$22,948		\$22,823
PGRM	Commercial New Construc	141798	Competitive	Y	Vidaris, Inc.	Commercial New Construction	6/25/2019		\$83,815	\$44,990	\$74,427
PGRM	Commercial New Construc	141921	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	6/27/2019		\$125,737	\$12,848	\$71,835
PGRM	Commercial New Construc	141923	Competitive	Y	Altanova LLC	Commercial New Construction	6/27/2019		\$56,998	\$6,406	\$43,325
PGRM	Commercial New Construc	141929	Competitive	Y	Vidaris, Inc.	Commercial New Construc	6/28/2019		\$200,000	\$92,673	\$159,094
PGRM	Commercial New Construc	142134	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	7/3/2019		\$64,725	\$37,363	\$47,869
PGRM	Commercial New Construc	142562	Competitive	Y	M/E Engineering, P.C.	Commercial New Construc	7/16/2019		\$56,925	\$21,450	\$46,399
PGRM	Commercial New Construc	142866	Competitive	Y	Slaterville Springs Real Estate Company,	Commercial New Construction	7/24/2019		\$7,425	\$7,425	\$7,425
PGRM	Commercial New Construc	143281	Competitive	Y	Altanova LLC	Commercial New Construction	8/5/2019		\$145,770	\$56,092	\$96,223

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Commercial New Construc	143520	Competitive	Y	SUNY Oneonta	SF203494 - SUNY Oneonta Emerg	8/9/2019	\$7,736	\$7,736		
PGRM	Commercial New Construc	143564	Competitive	Y	Taitem Engineering, P.C.	Commercial New Construction	8/9/2019		\$38,247	\$8,909	\$38,247
PGRM	Commercial New Construc	143978	Competitive	Y	Erdman Anthony & Associates, Inc.	Commercial New Construction	8/21/2019		\$64,894		
PGRM	Commercial New Construc	145110	Competitive	Y	L&S Energy Services, Inc.	Commercial New Construction	9/19/2019		\$175,150		
PGRM	Commercial New Construc	145113	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	9/19/2019		\$39,039	\$11,201	\$11,201
PGRM	Commercial New Construc	145114	Competitive	Y	Pathfinder Engineers & Architects, LLP	2019 CNCP	9/19/2019		\$26,258		\$25,458
PGRM	Commercial New Construc	145120	Competitive	Y	Erdman Anthony & Associates, Inc.	Commercial New Construction	9/20/2019		\$33,962	\$1,173	\$22,221
PGRM	Commercial New Construc	145121	Competitive	Y	Setty & Associates, Ltd. PC	Commercial New Construction	9/20/2019		\$20,696	\$20,365	\$20,365
PGRM	Commercial New Construc	145588	Competitive	Y	Village of New Paltz	Commercial New Construction	10/1/2019	\$8,839	\$8,839		
PGRM	Commercial New Construc	146451	Competitive	Y	Erdman Anthony & Associates, Inc.	Commercial New Construction	10/22/2019		\$55,125	\$24,179	\$24,179
PGRM	Commercial New Construc	146879	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	10/30/2019		\$18,420	\$16,752	\$17,943
PGRM	Commercial New Construc	147153	Competitive	Y	Vidaris, Inc.	Commercial New Construction	11/5/2019		\$174,050	\$153,877	\$153,877
PGRM	Commercial New Construc	147296	Competitive	Y	Vidaris, Inc.	Commercial New Construction	11/11/2019	\$199,880	\$199,880	\$16,591	\$16,591
PGRM	Commercial New Construc	147297	Competitive	Y	Hesse Homes, LLC	SF219685 - CNCP Incentive	11/11/2019	\$7,167	\$7,167		
PGRM	Commercial New Construc	147616	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	11/15/2019		\$65,200	\$24,030	\$32,005
PGRM	Commercial New Construc	147715	Competitive	Y	Taitem Engineering, P.C.	Commercial New Construction	11/18/2019		\$13,562	\$3,544	\$13,562
PGRM	Commercial New Construc	147955	Competitive	Y	Noresco LLC	Commercial New Construction	11/22/2019		\$115,625		
PGRM	Commercial New Construc	148448	Competitive	Y	New Paltz, Town of	Commercial New Construction	12/4/2019	\$9,643	\$9,643		
PGRM	Commercial New Construc	148662	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	12/9/2019		\$18,104	\$6,108	\$18,104
PGRM	Commercial New Construc	149443	Competitive	Y	Taitem Engineering, P.C.	Commercial New Construction	12/23/2019		\$7,838	\$5,000	\$5,000
PGRM	Commercial New Construc	149624	Competitive	Y	Thornton Tomasetti, Inc.	Commercial New Construction	12/30/2019	\$50,936	\$50,936	\$28,165	\$28,165
PGRM	Commercial New Construc	149626	Competitive	Y	Syska Hennessy Group	Commercial New Construction	12/30/2019	\$131,605	\$131,605	\$105,403	\$105,403
PGRM	Commercial New Construc	149848	Competitive	Y	Stantec Consulting Services, Inc.	Commercial New Construction	1/7/2020		\$20,642	\$20,531	\$20,531
PGRM	Commercial New Construc	150492	Competitive	Y	Taitem Engineering, P.C.	Commercial New Construction	1/22/2020		\$27,251	\$20,830	\$20,830
PGRM	Commercial New Construc	150651	Competitive	Y	Atelier Ten	Commercial New Construction	1/28/2020	\$25,360	\$25,360		
PGRM	Commercial New Construc	151638	Competitive	Y	OLA Consulting Engineers, P.C.	Commercial New Construction	2/20/2020	\$22,000	\$22,000		
PGRM	Commercial New Construc	151918	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	2/28/2020	\$18,162	\$18,162	\$10,832	\$10,832
PGRM	Commercial New Construc	164688	Competitive	Y	Steven Winter Associates, Inc.	New Construction	2/19/2021	\$9,966	\$9,966		
PGRM	Commercial Real Estate Ten	72276	Competitive	Y	Kilowatt Engineering, Inc.	FlexTech Consultant Selection	9/3/2015		\$333,333	\$28,030	\$136,135
PGRM	Commercial Real Estate Ten	73647	Competitive	Y	EME Consulting Engineering Group, LLC	FlexTech Consultant Selection	9/24/2015		\$727,176	\$18,803	\$412,370
PGRM	Commercial Real Estate Ten	80323	Competitive	Y	L&S Energy Services, Inc.	FlexTech Consultant Selection	11/6/2015		\$682,838	\$45,380	\$448,731
PGRM	Commercial Real Estate Ten	114855	Competitive	Y	Robert Director Associates	200 Madison Ave - CRE Tenant	6/14/2017		\$10,700		\$10,500
PGRM	Commercial Real Estate Ten	121103	Competitive	Y	Willdan Energy Solutions	Industrial Process Effic	11/29/2017	\$200,000	\$1,316,412	\$197,558	\$943,527
PGRM	Commercial Real Estate Ten	121804	Competitive	Y	Goldman Copeland Associates, P.C.	GoldmanCopel- 435 Hudson St-CT	12/18/2017		\$10,969	\$21,937	\$10,969
PGRM	Commercial Real Estate Ten	121805	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman Copeland-100 AOA-CT	12/18/2017		\$10,969	\$21,937	\$10,969
PGRM	Commercial Real Estate Ten	121806	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman Copeland-155 AOA-CT	12/18/2017		\$10,969	\$21,937	\$10,969
PGRM	Commercial Real Estate Ten	122594	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman Copeland-350 Park A-CT	1/16/2018		\$21,993	\$10,997	\$21,993
PGRM	Commercial Real Estate Ten	122663	Competitive	Y	Altanova LLC	Altanova-1095 AOA-CT	1/17/2018		\$25,003		\$19,974
PGRM	Commercial Real Estate Ten	123241	Competitive	Y	All HVAC Service Co. Inc.	Bright Energy-1 Odell Ave-CT	2/8/2018		\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	123413	Competitive	Y	Code Green Solutions, Inc.	Code Green-390 Madison Ave-CT	2/14/2018		\$9,300	\$9,300	\$9,300
PGRM	Commercial Real Estate Ten	123496	Competitive	Y	Facebook, Inc.	Facebook-770 Broadway-CT	2/16/2018		\$24,989		
PGRM	Commercial Real Estate Ten	124355	Competitive	Y	Willdan Energy Solutions	Willdan-425 West 47th St-CT	3/12/2018		\$14,950	\$14,950	\$14,950
PGRM	Commercial Real Estate Ten	124436	Competitive	Y	Robert Director Associates	Robert Director-645 5th Ave-CT	3/19/2018		\$28,000	\$12,959	\$27,500
PGRM	Commercial Real Estate Ten	126534	Competitive	Y	Redocs Inc	REDOCS-2488 Grand Concourse-CT	5/7/2018		\$40,202		\$19,940
PGRM	Commercial Real Estate Ten	126877	Competitive	Y	Cutone & Company Consultants, LLC	LCAAssociates-60 LincolnCent-CT	5/15/2018		\$14,050	\$9,848	\$14,050
PGRM	Commercial Real Estate Ten	126878	Competitive	Y	Cutone & Company Consultants, LLC	LCA-JPMC#1-Multisite-CT	5/15/2018		\$24,600	\$9,840	\$24,600
PGRM	Commercial Real Estate Ten	126879	Competitive	Y	Cutone & Company Consultants, LLC	LCA-JPMC#2 Multisite-CT	5/15/2018		\$24,933	\$4,987	\$24,933
PGRM	Commercial Real Estate Ten	128082	Competitive	Y	Cutone & Company Consultants, LLC	LCA - 629 5th Ave - CT	6/20/2018		\$6,400	\$4,480	\$6,400
PGRM	Commercial Real Estate Ten	128472	Competitive	Y	Bergmann Associates, Architects,	Bergmann-1343 Long Pond Rd-CT	6/28/2018		\$5,000		\$4,960
PGRM	Commercial Real Estate Ten	128480	Competitive	Y	Robert Director Associates	Robert Director-315HudsonSt-CT	6/29/2018		\$28,250		\$27,750
PGRM	Commercial Real Estate Ten	129417	Competitive	Y	Altanova LLC	Altanova - Multisite - CT	7/26/2018		\$24,985		
PGRM	Commercial Real Estate Ten	129521	Competitive	Y	Cutone & Company Consultants, LLC	LCA - 200 Lexington Ave - CT	7/30/2018	\$12,093	\$24,185	\$18,139	\$24,185
PGRM	Commercial Real Estate Ten	130086	Competitive	Y	Robert Director Associates	Robert Director- Blackstone	8/14/2018		\$17,750		\$17,500
PGRM	Commercial Real Estate Ten	130320	Competitive	Y	Robert Director Associates	Robert Director-1271 AOA-CT	8/20/2018		\$19,400	\$3,995	\$19,000
PGRM	Commercial Real Estate Ten	131205	Discretionary	Y	Environmental Defense Fund	LargeCommRE MarketEngagement	9/12/2018		\$50,000	\$12,785	\$47,963
PGRM	Commercial Real Estate Ten	131212	Competitive	Y	Environmental Defense Fund	Support NYC Carbon Challenge	9/12/2018		\$50,000	\$13,501	\$44,577
PGRM	Commercial Real Estate Ten	135790	Competitive	Y	Altanova LLC	Altanova - Multisite	1/4/2019		\$47,894	\$23,947	\$47,894
PGRM	Commercial Real Estate Ten	135792	Competitive	Y	Quest Energy Group	Quest - 111 West 33rd Street	1/4/2019		\$15,000		\$7,500
PGRM	Commercial Real Estate Ten	135948	Competitive	Y	Ove Arup & Partners Consulting Engineers	ARUP - 40 West 20th St	1/9/2019		\$50,000		\$49,806
PGRM	Commercial Real Estate Ten	136120	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman Copeland - 401 9th Ave	1/15/2019		\$19,997		\$14,498
PGRM	Commercial Real Estate Ten	136122	Competitive	Y	Climate Change & Environmental	Climate Change - Multisite	1/15/2019		\$7,000		\$6,970
PGRM	Commercial Real Estate Ten	137989	Competitive	Y	Adam C. Boese	Daylight Savings - 64 Fulton	3/12/2019		\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	138131	Competitive	Y	Robert Director Associates	Robert Director - 1271 AOA	3/19/2019		\$23,000	\$11,370	\$22,501
PGRM	Commercial Real Estate Ten	138333	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL Americas - Multisite	3/21/2019		\$150,000		\$135,000
PGRM	Commercial Real Estate Ten	138364	Competitive	Y	TRC Energy Services	TRC EnergyServices-512 7th Ave	3/26/2019		\$5,000	\$4,972	\$4,972
PGRM	Commercial Real Estate Ten	138365	Competitive	Y	Altanova LLC	Altanova, LLC - 621 W 55th St	3/26/2019		\$14,979		
PGRM	Commercial Real Estate Ten	138779	Competitive	Y	Robert Director Associates	Robert Director-300 Vesey	4/2/2019		\$8,700		\$8,500
PGRM	Commercial Real Estate Ten	138869	Competitive	Y	Adam C. Boese	Daylight Saving-162 OldMill Rd	4/4/2019	\$8,374	\$16,748	\$8,374	\$16,748
PGRM	Commercial Real Estate Ten	138950	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-505 Park Ave	4/9/2019		\$21,993	\$10,997	\$21,993
PGRM	Commercial Real Estate Ten	139042	Competitive	Y	Robert Director Associates	RDA-55 Fifth Ave, Fls 12-19	4/10/2019		\$12,900		\$12,701
PGRM	Commercial Real Estate Ten	139943	Competitive	Y	Quest Energy Group	Quest Energy Grp-1400 Broadway	5/6/2019		\$8,750	\$8,750	\$8,750
PGRM	Commercial Real Estate Ten	140380	Competitive	Y	Taitem Engineering, P.C.	Taitem-110 S Albany St	5/17/2019		\$13,600		\$7,188
PGRM	Commercial Real Estate Ten	140811	Competitive	Y	Robert Director Associates	Robert Director-601 Lexington	5/30/2019		\$25,000		\$12,496
PGRM	Commercial Real Estate Ten	140963	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-595 Madison	6/4/2019		\$21,993	\$10,997	\$21,993
PGRM	Commercial Real Estate Ten	141012	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-885 Second	6/4/2019	\$11,965	\$23,930	\$11,965	\$23,930
PGRM	Commercial Real Estate Ten	141366	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL Americas-Multisite	6/13/2019	\$17,035	\$94,635	\$55,145	\$60,382
PGRM	Commercial Real Estate Ten	141367	Competitive	Y	Altanova LLC	Altanova-100 Manhattanville Rd	6/13/2019		\$14,000		\$9,648
PGRM	Commercial Real Estate Ten	141501	Competitive	Y	WB Engineering & Consulting PLLC	WB Engineers-345 Hudson St	6/18/2019		\$102,023	\$37,979	\$80,562
PGRM	Commercial Real Estate Ten	141690	Competitive	Y	Quest Energy Group	Quest Energy Group-350 5th Ave	6/21/2019		\$7,500	\$7,500	\$7,500
PGRM	Commercial Real Estate Ten	141692	Competitive	Y	Quest Energy Group	QuestEnergyGrp-1359 Broadway	6/21/2019		\$7,500	\$7,500	\$7,500
PGRM	Commercial Real Estate Ten	141751	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group-Multisite	6/24/2019		\$66,992	\$7,010	\$17,555

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	Commercial Real Estate Ten	141872	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL Americas, Inc-Multisite	6/27/2019		\$40,000		\$40,000	\$40,000
PGRM	Commercial Real Estate Ten	141925	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-32-36 Cooper	6/28/2019		\$9,980		\$9,980	\$9,980
PGRM	Commercial Real Estate Ten	141927	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-667 Madison	6/28/2019		\$18,962		\$18,962	\$18,962
PGRM	Commercial Real Estate Ten	142147	Competitive	Y	Adam C. Boese	Daylight-225 Westchester Ave	7/5/2019		\$5,000		\$1,000	\$5,000
PGRM	Commercial Real Estate Ten	142350	Competitive	Y	Robert Derector Associates	RDA-1221 Avenue of the America	7/10/2019		\$11,650			\$4,685
PGRM	Commercial Real Estate Ten	142935	Competitive	Y	Robert Derector Associates	RobertDerector-530 5th Avenue	7/26/2019		\$16,050		\$6,324	\$15,750
PGRM	Commercial Real Estate Ten	143052	Competitive	Y	Bergmann Associates, Architects,	Bergmann-5000 Campuswood	7/30/2019		\$5,000			\$4,615
PGRM	Commercial Real Estate Ten	143053	Competitive	Y	Bergmann Associates, Architects,	Bergmann-5000 CampuswoodTBG	7/30/2019		\$5,000			\$4,643
PGRM	Commercial Real Estate Ten	143210	Competitive	Y	Bergmann Associates, Architects,	Bergmann-125EJefferson TBG	8/1/2019		\$5,000			\$4,342
PGRM	Commercial Real Estate Ten	143849	Competitive	Y	INF Associates LLC	INF Associates LLC - Multisite	8/19/2019		\$50,000		\$50,000	\$50,000
PGRM	Commercial Real Estate Ten	143990	Competitive	Y	INF Associates LLC	INF Associates LLC - Multisite	8/21/2019		\$75,000			
PGRM	Commercial Real Estate Ten	144809	Competitive	Y	Robert Derector Associates	Robert Derector - Multisite	9/12/2019		\$15,000			
PGRM	Commercial Real Estate Ten	144899	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL - Multisite	9/13/2019	\$5,000	\$45,000		\$45,000	\$45,000
PGRM	Commercial Real Estate Ten	145127	Competitive	Y	Goldman Copeland Associates, P.C.	G&C Associates - 280 Park Ave	9/23/2019		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	145367	Competitive	Y	Robert Derector Associates	RDA - 250 W 55th Street	9/26/2019		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	145710	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 909 3rd Ave (SVC)	10/4/2019		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	146151	Competitive	Y	Code Green Solutions, Inc.	CodeGreen-11 Madison Ave	10/15/2019		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	146269	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 1 Penn Plaza	10/17/2019	\$39	\$22,473		\$22,435	\$22,435
PGRM	Commercial Real Estate Ten	146280	Competitive	Y	INF Associates LLC	INF-Multisites (1345 AoA)	10/18/2019		\$100,000		\$100,000	\$100,000
PGRM	Commercial Real Estate Ten	146366	Competitive	Y	Bergmann Associates, Architects,	Bergmann Associates - Multisite	10/21/2019		\$16,337		\$12,382	\$12,382
PGRM	Commercial Real Estate Ten	146372	Competitive	Y	Willdan Energy Solutions	Willdan Energy - Multisite	10/21/2019		\$15,000		\$15,000	\$15,000
PGRM	Commercial Real Estate Ten	146718	Competitive	Y	Code Green Solutions, Inc.	CodeGreen - 2 Penn Plaza	10/28/2019		\$31,038		\$31,038	\$31,038
PGRM	Commercial Real Estate Ten	146721	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	10/28/2019		\$23,436		\$8,503	\$8,503
PGRM	Commercial Real Estate Ten	146722	Competitive	Y	Climate Change & Environmental	CCES - 110 E. 42nd St	10/28/2019		\$6,260		\$4,999	\$4,999
PGRM	Commercial Real Estate Ten	147155	Competitive	Y	Cutone & Company Consultants, LLC	LC Assoc- 2700 Westchester Ave	11/5/2019		\$10,215		\$10,215	\$10,215
PGRM	Commercial Real Estate Ten	147278	Competitive	Y	Atelier Ten	Atelier Ten USA-250 Greenwich	11/7/2019		\$12,480			
PGRM	Commercial Real Estate Ten	147509	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 1400 Broadway 21st Floor	11/14/2019		\$9,990		\$9,990	\$9,990
PGRM	Commercial Real Estate Ten	147704	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-1400 Broadway	11/18/2019		\$5,997		\$1,261	\$5,997
PGRM	Commercial Real Estate Ten	147770	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-250 W57th	11/19/2019		\$5,997		\$1,200	\$5,997
PGRM	Commercial Real Estate Ten	147778	Competitive	Y	Robert Derector Associates	RDA - 1271 Avenue of the Ameri	11/19/2019		\$33,300		\$14,695	\$14,695
PGRM	Commercial Real Estate Ten	148103	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman&Copeland-600 Madison	11/25/2019		\$9,000		\$9,000	\$9,000
PGRM	Commercial Real Estate Ten	148450	Competitive	Y	Cutone & Company Consultants, LLC	LC Assoc - 2500 Westchester Av	12/4/2019		\$11,918		\$11,918	\$11,918
PGRM	Commercial Real Estate Ten	148513	Competitive	Y	Steven Winter Associates, Inc.	SWA - Demand Flex - Multisite	12/5/2019		\$75,070		\$11,033	\$50,000
PGRM	Commercial Real Estate Ten	148515	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy-69 E 167th St-CTP	12/5/2019		\$8,978			
PGRM	Commercial Real Estate Ten	148663	Competitive	Y	INF Associates LLC	INF-1745BroadwayMultisite-CTP	12/9/2019		\$10,000		\$9,826	\$9,826
PGRM	Commercial Real Estate Ten	148929	Competitive	Y	Cutone & Company Consultants, LLC	LCA-GH ManagementMultisite-CTP	12/13/2019		\$25,295		\$25,295	\$25,295
PGRM	Commercial Real Estate Ten	148930	Competitive	Y	M/E Engineering, P.C.	M/E Eng- 720 Warner Road	12/13/2019		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	148931	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 111 W 33rd 14th Fl	12/13/2019		\$5,998		\$5,998	\$5,998
PGRM	Commercial Real Estate Ten	148932	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 111 W 33rd St 20th Fl	12/13/2019		\$5,998		\$5,998	\$5,998
PGRM	Commercial Real Estate Ten	149292	Competitive	Y	WB Engineering & Consulting PLLC	WB Eng+Con - 1250 Broadway	12/19/2019		\$37,675		\$32,500	\$32,500
PGRM	Commercial Real Estate Ten	149380	Competitive	Y	WB Engineering & Consulting PLLC	WBE 510 Madison CTP	12/20/2019		\$12,925			\$5,150
PGRM	Commercial Real Estate Ten	149484	Competitive	Y	Altanova LLC	Altanova-151W42ndFL26-28-CTP	12/24/2019		\$34,000		\$23,664	\$34,000
PGRM	Commercial Real Estate Ten	149973	Competitive	Y	Climate Change & Environmental	CCES-129-09 26thAve-Multi-CTP	1/9/2020		\$38,009		\$38,009	\$38,009
PGRM	Commercial Real Estate Ten	150034	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-SLGreen-MultiSites(25)-CTP	1/9/2020		\$124,890		\$95,282	\$95,282
PGRM	Commercial Real Estate Ten	150303	Competitive	Y	Robert Derector Associates	RDA-1114 AoA Fl 5-7 - CTP	1/16/2020		\$18,750			\$6,530
PGRM	Commercial Real Estate Ten	150566	Competitive	Y	Willdan Energy Solutions	Willdan Energy - Multisite	1/24/2020		\$39,900			
PGRM	Commercial Real Estate Ten	150714	Competitive	Y	Quest Energy Group	Quest Energy - 257 Park Ave S	1/30/2020		\$6,500			
PGRM	Commercial Real Estate Ten	150767	Competitive	Y	Climate Change & Environmental	CCES - 801 2nd Avenue	1/30/2020		\$8,160		\$8,160	\$8,160
PGRM	Commercial Real Estate Ten	150819	Competitive	Y	Climate Change & Environmental	CCES - Multisite	1/31/2020		\$43,620		\$43,620	\$43,620
PGRM	Commercial Real Estate Ten	150822	Competitive	Y	INF Associates LLC	INF Associates LLC - Multisite	1/31/2020		\$23,155		\$23,155	\$23,155
PGRM	Commercial Real Estate Ten	151098	Competitive	Y	INF Associates LLC	INF Associates LLC - Multisite	2/7/2020		\$43,757		\$43,757	\$43,757
PGRM	Commercial Real Estate Ten	151168	Competitive	Y	Climate Change & Environmental	CCES - Multisite (14)	2/10/2020		\$63,160		\$63,160	\$63,160
PGRM	Commercial Real Estate Ten	151170	Competitive	Y	Climate Change & Environmental	CCES - 88 Pine Street FL3	2/10/2020		\$8,025		\$4,995	\$4,995
PGRM	Commercial Real Estate Ten	151174	Competitive	Y	WB Engineering & Consulting PLLC	WB Engine-61 9thAve Fl 2-9-CTP	2/10/2020		\$18,750			
PGRM	Commercial Real Estate Ten	151224	Competitive	Y	Jaros, Baum & Bolles	JBB-80 Pine St Fl 12-CTP	2/11/2020		\$15,041			
PGRM	Commercial Real Estate Ten	151417	Competitive	Y	Quest Energy Group	Quest Energy - 100 W 33rd St	2/14/2020		\$7,750			
PGRM	Commercial Real Estate Ten	151633	Competitive	Y	Quest Energy Group	Quest Energy - 622 3rd Avenue	2/20/2020		\$7,750		\$7,750	\$7,750
PGRM	Commercial Real Estate Ten	151805	Competitive	Y	Code Green Solutions, Inc.	CodeGreen - 535 Madison Avenue	2/25/2020		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	151809	Competitive	Y	AKF-engineers	AKF Engineers - Multisite	2/25/2020		\$87,300			
PGRM	Commercial Real Estate Ten	151864	Competitive	Y	Altanova LLC	Altanova - 195 Broadway FL 28	2/26/2020		\$25,000		\$2,691	\$2,691
PGRM	Commercial Real Estate Ten	151960	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	2/28/2020		\$18,172		\$14,131	\$14,131
PGRM	Commercial Real Estate Ten	151963	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	2/28/2020		\$17,850			
PGRM	Commercial Real Estate Ten	152020	Competitive	Y	Quest Energy Group	Quest Energy - 20 W 34 Street	3/2/2020		\$7,500			
PGRM	Commercial Real Estate Ten	152276	Competitive	Y	Geatrain Engineering PLLC	Geatrain - 400 Fifth Avenue	3/9/2020	\$5,000	\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	152604	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 250 W 57th Street 24FL	3/16/2020		\$5,997			
PGRM	Commercial Real Estate Ten	152605	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy - Multisite	3/16/2020	\$13,809	\$13,809		\$13,809	\$13,809
PGRM	Commercial Real Estate Ten	152610	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy - Multisite	3/16/2020	\$32,096	\$32,096		\$32,096	\$32,096
PGRM	Commercial Real Estate Ten	152649	Competitive	Y	Atash Energy, LLC	Atash Energy - 60 Wall St -CTP	3/17/2020		\$15,008			
PGRM	Commercial Real Estate Ten	152650	Competitive	Y	Robert Derector Associates	RDA - 225 Liberty St - CTP	3/17/2020		\$23,700			
PGRM	Commercial Real Estate Ten	152651	Competitive	Y	WB Engineering & Consulting PLLC	WB Engineering - 1271 AoA -CTP	3/17/2020		\$67,750			
PGRM	Commercial Real Estate Ten	152753	Competitive	Y	Robert Derector Associates	RDA - 555 Madison Ave Fl 6-7	3/20/2020		\$5,000			
PGRM	Commercial Real Estate Ten	152754	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 1350 Broadway	3/20/2020		\$5,000		\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	153292	Competitive	Y	WB Engineering & Consulting PLLC	WBE&C - 20 Madison Ave Ext	4/7/2020	\$7,468	\$7,468			
PGRM	Commercial Real Estate Ten	153347	Competitive	Y	Atash Energy, LLC	Atash Energy - Multisite - CTP	4/9/2020	\$129,999	\$129,999			
PGRM	Commercial Real Estate Ten	153430	Competitive	Y	Thornton Tomasetti, Inc.	TTI - 100 6th Avenue	4/13/2020	\$549,041	\$549,041		\$461,485	\$461,485
PGRM	Commercial Real Estate Ten	153452	Competitive	Y	Buro Happold Consulting Engineers, P.C.	Buro Happold - 20 W 34th St	4/14/2020	\$1,005,536	\$1,005,536		\$824,275	\$824,275
PGRM	Commercial Real Estate Ten	153486	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 485 Lexington Ave - CTP	4/15/2020	\$5,000	\$5,000			
PGRM	Commercial Real Estate Ten	153571	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 485 Lexington Ave	4/17/2020	\$5,000	\$5,000			
PGRM	Commercial Real Estate Ten	153614	Competitive	Y	Goldman Copeland Associates, P.C.	GCA - 485 Lexington Ave - CTP	4/20/2020	\$5,000	\$5,000			
PGRM	Commercial Real Estate Ten	153961	Competitive	Y	Cutone & Company Consultants, LLC	LC Associates - 123 William St	5/4/2020	\$11,665	\$11,665		\$11,665	\$11,665
PGRM	Commercial Real Estate Ten	154218	Competitive	Y	INF Associates LLC	INF Associates LLC - Multisite	5/15/2020	\$54,530	\$54,530		\$54,530	\$54,530

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Commercial Real Estate Ten	154238	Competitive	Y	Cutone & Company Consultants, LLC	Cutone (LCA) - MultiSite - CTP	5/18/2020	\$20,290	\$20,290		
PGRM	Commercial Real Estate Ten	154239	Competitive	Y	Altanova LLC	Altanova-151 W 42ndFl26-28-CTP	5/18/2020	\$50,064	\$50,064	\$22,128	\$22,128
PGRM	Commercial Real Estate Ten	154269	Competitive	Y	C.J. Brown Energy, P.C.	CJ Brown-131 Woodledge Dr- CTP	5/19/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	154324	Competitive	Y	INF Associates LLC	INF-299 Park Ave-Multisite-CTP	5/21/2020	\$74,815	\$74,815	\$69,815	\$69,815
PGRM	Commercial Real Estate Ten	154422	Competitive	Y	Geatrain Engineering PLLC	Geatrain - 68 E 131st St Fl 5	5/27/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	154463	Competitive	Y	Cutone & Company Consultants, LLC	LCA-315 Park Avenue South-CTP	5/28/2020	\$10,468	\$10,468	\$10,468	\$10,468
PGRM	Commercial Real Estate Ten	154507	Competitive	Y	Cutone & Company Consultants, LLC	LCA - 40 Wall Street Fl 46	5/29/2020	\$10,468	\$10,468	\$10,468	\$10,468
PGRM	Commercial Real Estate Ten	154814	Competitive	Y	Altanova LLC	Altanova LLC - 2 Hanson Place	6/11/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	154816	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	6/12/2020	\$26,600	\$26,600		
PGRM	Commercial Real Estate Ten	154888	Competitive	Y	WB Engineering & Consulting PLLC	WBE-233 Spring St Fl 3,5,6-CTP	6/12/2020	\$9,270	\$9,270		
PGRM	Commercial Real Estate Ten	155230	Competitive	Y	Cutone & Company Consultants, LLC	LCA - 151 W 26th St Fl 8 - CTP	6/26/2020	\$9,828	\$9,828		
PGRM	Commercial Real Estate Ten	155465	Competitive	Y	Skadden, Arps, Slate, Meagher & Flom LL	GC&A - 401 9th Avenue	7/6/2020	\$14,498	\$14,498	\$14,498	\$14,498
PGRM	Commercial Real Estate Ten	155549	Competitive	Y	Climate Change & Environmental	CCES - Multisite	7/8/2020	\$9,400	\$9,400	\$9,400	\$9,400
PGRM	Commercial Real Estate Ten	155901	Competitive	Y	Jaros, Baum & Bolles	JB&B - 1 Pennsylvania Plaza	7/16/2020	\$84,570	\$84,570	\$71,476	\$71,476
PGRM	Commercial Real Estate Ten	155912	Competitive	Y	Code Green Solutions, Inc.	Code Green - 2 Penn Plaza	7/17/2020	\$31,500	\$31,500	\$15,750	\$15,750
PGRM	Commercial Real Estate Ten	155913	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	7/17/2020	\$27,675	\$27,675		
PGRM	Commercial Real Estate Ten	156069	Competitive	Y	Geatrain Engineering PLLC	GE - 1916 Park Avenue	7/22/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	156283	Competitive	Y	INF Associates LLC	INF-177 Livingston-Multisite	7/28/2020	\$19,773	\$19,773	\$19,773	\$19,773
PGRM	Commercial Real Estate Ten	156284	Competitive	Y	Bergmann Associates, Architects,	Bergmann - multisite	7/28/2020	\$39,862	\$39,862		
PGRM	Commercial Real Estate Ten	156399	Competitive	Y	Code Green Solutions, Inc.	CodeGreen - 695 6th Ave	7/30/2020	\$13,750	\$13,750		
PGRM	Commercial Real Estate Ten	156485	Competitive	Y	Willdan Energy Solutions	Willdan-1345 AoA Fl47-CTP	7/31/2020	\$7,460	\$7,460	\$7,460	\$7,460
PGRM	Commercial Real Estate Ten	156536	Competitive	Y	Vidaris, Inc.	Vidaris - 50 Hudson Yards -CTP	8/3/2020	\$55,956	\$55,956	\$23,623	\$23,623
PGRM	Commercial Real Estate Ten	156961	Competitive	Y	Code Green Solutions, Inc.	CGS-1221 Ave of the Americas	8/13/2020	\$8,500	\$8,500		
PGRM	Commercial Real Estate Ten	157274	Competitive	Y	Altanova LLC	Altanova-Multisite	8/21/2020	\$35,000	\$35,000	\$30,000	\$30,000
PGRM	Commercial Real Estate Ten	157818	Competitive	Y	Geatrain Engineering PLLC	Geatrain-400 Fifth Ave	9/4/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	157824	Competitive	Y	Netgains Engineering P.C.	Netgains-2500 Third Ave	9/4/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	157933	Competitive	Y	Adam C. Boese	DaylightSavings-132 W31 St-CTP	9/9/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	158009	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy-2381 HylanMulti(2)	9/11/2020	\$8,659	\$8,659		
PGRM	Commercial Real Estate Ten	158295	Competitive	Y	Kawi Energy Group Corp.	Kawi-25 Victory Blvd-CTP	9/16/2020	\$9,657	\$9,657		
PGRM	Commercial Real Estate Ten	158426	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-100 Church St-Multi-CTP	9/18/2020	\$20,000	\$20,000		
PGRM	Commercial Real Estate Ten	158427	Competitive	Y	Code Green Solutions, Inc.	CodeGreen-599 Lexington Av-CTP	9/18/2020	\$57,500	\$57,500		
PGRM	Commercial Real Estate Ten	158802	Competitive	Y	Altanova LLC	Altanova-295 Fifth	9/25/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	158803	Competitive	Y	Altanova LLC	Altanova-110 W 44th	9/25/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	158952	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-Multisite	9/30/2020	\$20,000	\$20,000		
PGRM	Commercial Real Estate Ten	158960	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-3300 Northern 7Fl	10/1/2020	\$6,986	\$6,986		
PGRM	Commercial Real Estate Ten	159170	Competitive	Y	Kawi Energy Group Corp.	Kawi - 20 Sickles Ave - CTP	10/5/2020	\$5,430	\$5,430		
PGRM	Commercial Real Estate Ten	159176	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-1515 Broadway-Multi	10/6/2020	\$15,000	\$15,000		
PGRM	Commercial Real Estate Ten	159177	Competitive	Y	Code Green Solutions, Inc.	CodeGreen-599 Lexington	10/6/2020	\$12,000	\$12,000		
PGRM	Commercial Real Estate Ten	159693	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-919 3rd Ave(multisite)	10/19/2020	\$15,000	\$15,000		
PGRM	Commercial Real Estate Ten	160002	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-245 Park Ave(multisite)	10/26/2020	\$20,000	\$20,000		
PGRM	Commercial Real Estate Ten	160004	Competitive	Y	Robert Derector Associates	RDA-2 Bryant Park	10/26/2020	\$36,750	\$36,750		
PGRM	Commercial Real Estate Ten	160767	Competitive	Y	Robert Derector Associates	Robert Derector-66 Hudson Blvd	11/11/2020	\$52,750	\$52,750		
PGRM	Commercial Real Estate Ten	160768	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-1333 Broadway Ave	11/11/2020	\$5,986	\$5,986		
PGRM	Commercial Real Estate Ten	160770	Competitive	Y	Geatrain Engineering PLLC	Geatrain-481 Eighth Ave	11/12/2020	\$5,000	\$5,000	\$5,000	\$5,000
PGRM	Commercial Real Estate Ten	161016	Competitive	Y	Deutsche Bank	Deutsche Bank-1 Columbus Cir	11/17/2020	\$250,000	\$250,000		
PGRM	Commercial Real Estate Ten	161368	Competitive	Y	Universal McCann Worldwide, Inc.	RDA-Universal-300 Vesey-CTP	11/25/2020	\$8,500	\$8,500		
PGRM	Commercial Real Estate Ten	161370	Competitive	Y	Netgains Engineering P.C.	Netgains-975 Georgia Ave	11/25/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	161432	Competitive	Y	Goldman Copeland Associates, P.C.	GoldmanCopeland-60 E 42 St	12/1/2020	\$5,479	\$5,479		
PGRM	Commercial Real Estate Ten	161441	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman-60 E 42nd St Fl 44	12/2/2020	\$6,990	\$6,990		
PGRM	Commercial Real Estate Ten	161450	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-250 W 57th St-CTP	12/3/2020	\$8,986	\$8,986		
PGRM	Commercial Real Estate Ten	161621	Competitive	Y	Goldman Copeland Associates, P.C.	GCA-1400 Broadway Fl 16-CTP	12/4/2020	\$8,986	\$8,986		
PGRM	Commercial Real Estate Ten	161635	Competitive	Y	Vidaris, Inc.	Vidaris - 1 Bryant Park - RTE	12/4/2020	\$192,157	\$192,157	\$51,208	\$51,208
PGRM	Commercial Real Estate Ten	161719	Competitive	Y	Goldman Copeland Associates, P.C.	Goldman110 E 42 St-Multi	12/7/2020	\$20,000	\$20,000		
PGRM	Commercial Real Estate Ten	161829	Competitive	Y	Bright Power, Inc.	Bright Power-56 West 22nd St	12/10/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	162785	Competitive	Y	Geatrain Engineering PLLC	Geatrain-9920 Fourth Ave Fl 1	12/30/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	162855	Competitive	Y	Atash Energy, LLC	Atash-4 Metrotech Center	12/31/2020	\$5,000	\$5,000		
PGRM	Commercial Real Estate Ten	162968	Competitive	Y	Cutone & Company Consultants, LLC	LCA-1140 Ave of Americas	1/5/2021	\$9,638	\$9,638		
PGRM	Commercial Real Estate Ten	163250	Competitive	Y	Atash Energy, LLC	Atash Energy - 11 Madison -CTP	1/13/2021	\$24,775	\$24,775		
PGRM	Commercial Real Estate Ten	163252	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group-Multisite	1/14/2021	\$28,238	\$28,238		
PGRM	Commercial Real Estate Ten	163344	Competitive	Y	Robert Derector Associates	RDA- 28 Liberty St Fl 22,44-49	1/15/2021	\$22,750	\$22,750		
PGRM	Commercial Real Estate Ten	164143	Competitive	Y	Kawi Energy Group Corp.	Kawi-1 Liberty Plaza F138-39	2/5/2021	\$9,018	\$9,018		
PGRM	Commercial Real Estate Ten	164248	Competitive	Y	Quest Energy Group	Quest-330 Madison Fl 3-6	2/8/2021	\$10,500	\$10,500		
PGRM	Commercial Real Estate Ten	164322	Competitive	Y	Bergmann Associates, Architects,	Bergmann Associates Multisite	2/9/2021	\$23,569	\$23,569		
PGRM	Commercial Real Estate Ten	164370	Competitive	Y	Robert Derector Associates	RDA-1 Penn Plaza FL 9	2/10/2021	\$10,150	\$10,150		
PGRM	Commercial Real Estate Ten	164607	Competitive	Y	WB Engineering & Consulting PLLC	WB-165-19 Jamaica Ave Fl 2-4	2/18/2021	\$34,389	\$34,389		
PGRM	Commercial Real Estate Ten	164686	Competitive	Y	Kawi Energy Group Corp.	Kawi- 155 W 23rd -Multi Sites	2/19/2021	\$14,198	\$14,198		
PGRM	Commercial Real Estate Ten	165310	Competitive	Y	Quest Energy Group	Quest- 20 W 34th St Fl 14-15	3/8/2021	\$10,000	\$10,000		
PGRM	Commercial Real Estate Ten	165422	Competitive	Y	Kawi Energy Group Corp.	Kawi Energy Group - Multisite	3/10/2021	\$83,866	\$83,866		
PGRM	Commercial Real Estate Ten	165425	Competitive	Y	Cutone & Company Consultants, LLC	LCA - 425 Lexington Ave	3/10/2021	\$7,515	\$7,515		
PGRM	Commercial Real Estate Ten	165571	Competitive	Y	Latham & Watkins LLP	Latham&Watkins-1271AOA, st3400	3/15/2021	\$22,501	\$22,501	\$22,501	\$22,501
PGRM	Commercial Real Estate Ten	165589	Competitive	Y	Atash Energy, LLC	Atash-875 3rd Ave Fl 25-27	3/16/2021	\$6,790	\$6,790		
PGRM	Commercial Real Estate Ten	165590	Competitive	Y	Cutone & Company Consultants, LLC	LCA-980 Broadway	3/16/2021	\$17,329	\$17,329		
PGRM	Communities and Local Government	87409	Competitive	Y	Capital District Regional Planning Comm.	CGCS3356 - CDRPC	1/20/2016		\$3,110,000	\$63,041	\$3,110,000
PGRM	Communities and Local Government	119199	Competitive	Y	Town of Bethlehem	CEC400006 - Town of Bethlehem	10/10/2017		\$130,000	\$30,000	\$95,000
PGRM	Communities and Local Government	120367	Competitive	Y	Albany County Dept. of General Services	Albany County	11/8/2017	\$30,000	\$280,000		\$250,000
PGRM	Communities and Local Government	122218	Competitive	Y	Town of Knox	Town of Knox	1/2/2018		\$130,000	\$32,500	\$32,500
PGRM	Communities and Local Government	122237	Competitive	Y	Town of Irondequoit	Town of Irondequoit	1/2/2018		\$250,000	\$0	\$250,000
PGRM	Communities and Local Government	123513	Competitive	Y	Town of Richland	Town of Richland	2/16/2018		\$50,000	\$0	\$50,000
PGRM	Communities and Local Government	125263	Competitive	Y	Suffolk County Community College	RFP 3675 REV Campus Challenge	4/4/2018		\$995,297	\$105,000	\$105,000
PGRM	Communities and Local Government	127359	Competitive	Y	Village of Voorheesville	Village of Voorheesville	5/30/2018		\$80,000		\$20,000
PGRM	Communities and Local Government	129511	Competitive	Y	Town of Ancram	CEC400050 - Town of Ancram	7/30/2018		\$80,000		\$20,000

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Communities and Local Government	129512	Competitive	Y	Village of Altamont	Clean Energy Communities	7/30/2018		\$35,000	\$8,750	\$8,750
PGRM	Communities and Local Government	133592	Competitive	Y	Town of New Scotland	Clean Energy Communities	11/6/2018		\$80,000		
PGRM	Communities and Local Government	133946	Competitive	Y	Town of Ghent	CEC400048 - Town of Ghent	11/13/2018		\$35,000	\$26,250	\$35,000
PGRM	Communities and Local Government	135950	Competitive	Y	Town of Guilderland	CEC400038 Town of Guilderland	1/9/2019		\$35,000		\$8,750
PGRM	Communities and Local Government	136665	Competitive	Y	Town of Chatham	CEC400013 T of Chatham	1/30/2019		\$35,000	\$35,000	\$35,000
PGRM	Communities and Local Government	136667	Competitive	Y	Incorporated Village of Philmont	CEC400097 - Village of Philmont	1/30/2019		\$35,000		\$8,750
PGRM	Communities and Local Government	141932	Competitive	Y	Town of Nassau	CEC400123-Town of Nassau	6/28/2019		\$35,000	\$26,250	\$35,000
PGRM	Communities and Local Government	142717	Competitive	Y	Town of Catskill	CEC400062-Twn of Catskill	7/19/2019	\$35,000	\$35,000		
PGRM	Communities and Local Government	146446	Competitive	Y	Village of Kinderhook	CEC400045 - Village of Kinderhook	10/22/2019		\$35,000		
PGRM	Communities and Local Government	146447	Competitive	Y	Town of Austerlitz, New York	CEC400162-Twn of Austerlitz	10/22/2019		\$35,000	\$25,788	\$34,538
PGRM	Communities and Local Government	150022	Competitive	Y	Town of Westerlo	CEC400109 - Town of Westerlo	1/9/2020		\$30,000	\$30,000	\$30,000
PGRM	Communities and Local Government	151172	Competitive	N	Town of Queensbury		2/10/2020	\$60,000	\$60,000		
PGRM	Communities and Local Government	151176	Competitive	Y	Town of Kinderhook	CEC400049-Vlg of Kinderhook	2/10/2020	\$30,000	\$30,000	\$7,500	\$7,500
PGRM	Communities and Local Government	152530	Competitive	Y	Village of Athens	CEC400117 - Village of Athens	3/13/2020	\$30,000	\$30,000	\$7,500	\$7,500
PGRM	Communities and Local Government	152532	Competitive	Y	Town of Greenville	CEC400161-Town of Greenville	3/13/2020	\$30,000	\$30,000		
PGRM	Communities and Local Government	153296	Competitive	Y	Town of Amherst		4/7/2020	\$327,034	\$327,034		
PGRM	Community Energy Engagement	116894	Competitive	Y	Home Headquarters, Inc.	CEEP Central New York Region	8/10/2017		\$390,000		\$74,385
PGRM	Community Energy Engagement	116895	Competitive	Y	Cornell Cooperative Extension - Tompkins	CEEP Southern Tier Region (CCE	8/10/2017		\$540,000	\$160,706	\$455,778
PGRM	Community Energy Engagement	116897	Competitive	Y	People United for Sustainable Housing In	CEEP Western New York Region	8/10/2017		\$600,000	\$135,359	\$358,973
PGRM	Community Energy Engagement	116899	Competitive	Y	Affordable Housing Partnership	CEEP Capital Region (AHP)	8/10/2017	\$100,000	\$625,000	\$84,398	\$426,504
PGRM	Community Energy Engagement	116900	Competitive	Y	Pathstone Corporation	CEEP Finger Lakes Region (PATH	8/10/2017	\$33,075	\$423,075	\$73,798	\$362,200
PGRM	Community Energy Engagement	116903	Competitive	Y	The Center for New York City	CEEP New York City Region (CNY	8/10/2017	\$152,594	\$977,564	\$213,872	\$754,512
PGRM	Community Energy Engagement	121051	Competitive	Y	Cornell Cooperative Ext. Dutchess County	CEEP Mid-Hudson Region (CCEDC)	11/28/2017	\$185,712	\$783,560	\$200,957	\$511,212
PGRM	Community Energy Engagement	116894A	Competitive	Y	Cornell Cooperative Extension - Tompkins	CEEP Central New York (CCETC)	3/26/2020	\$175,000	\$175,000	\$106,092	\$106,092
PGRM	Community Energy Engagement	116898-1	Competitive	Y	Mohawk Valley Economic Development Dist.	CEEP Mohawk Valley Region (MVE	8/28/2018		\$390,000	\$86,114	\$362,483
PGRM	Community Energy Engagement	121052A	Competitive	Y	Cornell Cooperative Extension - Tompkins	CEEP North Country	5/24/2019		\$167,755	\$46,803	\$87,187
PGRM	Community RH&C	124910	Competitive	Y	Faraday, Inc.	RFP3761 Customer Targeting Too	3/27/2018	\$42,000	\$542,000	\$203,500	\$458,750
PGRM	Community RH&C	124962	Competitive	Y	City of Rochester	PON3723 RH&C Campaigns	3/28/2018		\$150,000	\$45,000	\$114,500
PGRM	Community RH&C	124963	Competitive	Y	Central New York Regional Planning and	PON3723 RH&C Campaigns	3/28/2018		\$500,000	\$32,750	\$173,750
PGRM	Community RH&C	125011	Competitive	Y	Binghamton Regional	PON3723 RH&C Campaigns	3/29/2018		\$160,000	\$33,000	\$160,000
PGRM	Community RH&C	125066	Competitive	Y	Oscego County Conservation Association	PON3723 RH&C Campaigns	3/30/2018		\$150,000	\$43,000	\$129,500
PGRM	Community RH&C	125133	Competitive	Y	Catskill Mountainkeeper, Inc	PON3723 RH&C Campaigns	4/2/2018		\$150,000	\$32,664	\$96,081
PGRM	Community RH&C	125200	Competitive	Y	Sustainable Westchester	PON3723 RH&C Campaigns	4/3/2018		\$350,000	\$66,000	\$350,000
PGRM	Community RH&C	125310	Competitive	Y	Solar Tompkins Inc.	PON3723 RH&C Campaigns	4/5/2018		\$350,000	\$119,700	\$327,000
PGRM	Community RH&C	125311	Competitive	Y	Energy Improvement Corporation	PON3723 RH&C Campaigns	4/5/2018		\$200,000	\$89,750	\$184,000
PGRM	Community RH&C	126201	Competitive	Y	The Cadmus Group, LLC	RFP3751 Clean Heating & Coolin	4/26/2018		\$500,000	\$184,264	\$455,580
PGRM	Community RH&C	136361	Competitive	Y	People United for Sustainable Housing In	Community CH&C Dev in WNY	1/23/2019		\$500,000	\$33,500	\$33,500
PGRM	Community RH&C	136362	Competitive	Y	Pathstone Corporation	Sustainable Home Roch Part C	1/23/2019		\$300,000		\$29,000
PGRM	Community RH&C	136363	Competitive	Y	Sustainable Tompkins	Tompkins County CH&C	1/23/2019		\$250,000	\$98,600	\$206,300
PGRM	Community RH&C	136364	Competitive	Y	City of Utica	Heat & Cool for Utica NYTBD	1/23/2019		\$150,000	\$53,100	\$86,100
PGRM	Community RH&C	136365	Competitive	Y	Capital District Regional Planning Comm.	Cap Dist Heat & Cool Smart Cam	1/23/2019		\$200,000	\$69,500	\$115,000
PGRM	Community RH&C	136602	Competitive	Y	Westchester Community Opportunity Progra	Putnam County CH&C Community	1/29/2019		\$200,000		\$52,000
PGRM	Community RH&C	137223	Competitive	N	Office of the Brooklyn Borough President	Cap Dist Heat & Cool Smart Cam	2/15/2019		\$250,000	\$131,500	\$203,000
PGRM	Community RH&C	137224	Competitive	Y	Office of the Brooklyn Borough President	Cap Dist Heat & Cool Smart Cam	2/15/2019		\$250,000	\$85,000	\$176,000
PGRM	Community RH&C	140549	Competitive	Y	BlocPower Community Corporation	PON 3922 CH&C Communities	5/21/2019		\$200,000	\$57,000	\$91,500
PGRM	Community RH&C	141095	Competitive	N	Energy and Environmental Economics Inc.	TWO 18 for Phase 2 Analysis	6/6/2019		\$163,720	\$3,940	\$155,393
PGRM	Community RH&C	141316	Non-Competitive	Y	Clean Energy States Alliance	Contract with CESA for CH&C Ca	6/12/2019		\$17,000	\$5,000	\$5,000
PGRM	Community RH&C	149294	Non-Competitive	Y	University at Albany	CH&C Fellow	12/19/2019	\$23,500	\$23,500	\$23,500	\$23,500
PGRM	Community RH&C	149950	Competitive	Y	Urban League of Westchester County, Inc.	CH&C Communities Round 3	1/8/2020	\$1,100,000	\$1,100,000		
PGRM	Community RH&C	149952	Competitive	Y	Solar Tompkins Inc.	CH&C Communities Round 3	1/8/2020		\$200,000	\$86,700	\$86,700
PGRM	Community RH&C	149953	Competitive	Y	Binghamton Regional	CH&C Communities Round 3	1/8/2020	\$400,000	\$400,000	\$73,000	\$73,000
PGRM	Community RH&C	150025	Competitive	Y	Sustainable Westchester	CH&C Communities Round 3	1/9/2020	\$600,000	\$600,000	\$229,750	\$229,750
PGRM	Community RH&C	150026	Competitive	Y	Urban Homesteading Assistance Inc	CH&C Communities Round 3	1/9/2020	\$494,000	\$494,000	\$34,000	\$34,000
PGRM	Community RH&C	150027	Competitive	Y	Cornell Cooperative Extension of Schuyler	CH&C Communities Round 3	1/9/2020	\$197,534	\$197,534	\$21,534	\$21,534
PGRM	Community RH&C	150029	Competitive	N	Pathstone Corporation	CH&C Communities Round 3	1/9/2020	\$200,000	\$200,000		
PGRM	Community RH&C	150031	Competitive	Y	Central New York Regional Planning and	CH&C Communities Round 3	1/9/2020	\$200,000	\$200,000	\$39,000	\$39,000
PGRM	Community RH&C	153545	Competitive	Y	Rochester People's Climate Coalition	CH&C Communities Round 3.	4/17/2020	\$150,000	\$150,000	\$37,000	\$37,000
PGRM	Community RH&C	151908A	Competitive	Y	Kinetic Communities Consulting Corporati	CH&C Communities Round 3	10/5/2020	\$699,994	\$699,994	\$14,000	\$14,000
PGRM	COMMUNITY SOLAR	115274	Competitive	N	Blue Sea Development Company, LLC	Affordable Solar Predevelopment	6/27/2017		\$83,000		\$58,100
PGRM	COMMUNITY SOLAR	124154	Competitive	Y	The Cadmus Group, LLC	RFP 3764Solarize TA Provider	3/6/2018		\$350,000	\$37,410	\$189,764
PGRM	COMMUNITY SOLAR	156298	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	7/29/2020	\$7,434	\$7,434		
PGRM	COMMUNITY SOLAR	156489	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	8/3/2020	\$6,364	\$6,364	\$6,364	\$6,364
PGRM	COMMUNITY SOLAR	162168	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	12/17/2020	\$5,304	\$5,304		
PGRM	COMMUNITY SOLAR	164477	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	2/16/2021	\$5,280	\$5,280		
PGRM	COMMUNITY SOLAR	164913	Competitive	Y	Surf Clean Energy Inc	COMMUNITY SOLAR	2/25/2021	\$6,240	\$6,240	\$6,240	\$6,240
PGRM	DER Integration(Intrnct)	111073	Competitive	Y	Electric Power Research Institute	PON 3404 DER Integration	3/6/2017		\$399,920	\$199,920	\$399,920
PGRM	DER Integration(Intrnct)	111080	Competitive	Y	Electrical Distribution Design, Inc.	PON 3404 DER Integration	3/6/2017		\$318,293	\$47,750	\$270,543
PGRM	DER Integration(Intrnct)	147074	Competitive	Y	Electric Power Research Institute	PON4094 DER Interconnection	11/4/2019	\$290,000	\$290,000	\$27,000	\$27,000
PGRM	DER Integration(Intrnct)	147076	Competitive	N	Smarter Grid Solutions, Inc.	PON4094 DER Interconnection	11/4/2019		\$221,250	\$216,208	\$221,249
PGRM	DER Integration(Intrnct)	147077	Competitive	Y	Quanta Technology LLC	Next Generation DER Gateway	11/4/2019		\$400,000	\$144,180	\$144,180
PGRM	DER Integration(Intrnct)	147078	Competitive	Y	Electric Power Research Institute	PON4094 DER Interconnection	11/4/2019	\$310,000	\$310,000	\$2,000	\$2,000
PGRM	DER Integration(Intrnct)	147080	Competitive	Y	Electric Power Research Institute	PON4094 DER Interconnection	11/4/2019	\$400,000	\$400,000		
PGRM	District Heating Systems	154161	Discretionary	N	International District Energy Associatio	International District Energy	5/13/2020	\$50,000	\$50,000	\$45,625	\$45,625
PGRM	District Heating Systems	154220	Discretionary	N	Mark Worthington	Mark Worthington	5/18/2020	\$50,000	\$50,000	\$22,163	\$22,163
PGRM	Electric Vehicles - Innovation	96517	Non-Competitive	N	M.J. Bradley & Associates	BCA of EV grid impacts	4/21/2016		\$15,005		\$11,763
PGRM	Electric Vehicles - Innovation	114627	Discretionary	N	Claire Weisz Architects	Residential Carshare NY Metro	6/8/2017		\$150,000	\$18,000	\$150,000
PGRM	Electric Vehicles - Innovation	125705	Discretionary	Y	Avangrid Inc.	Smart Home EV chargers	4/13/2018		\$50,000		\$17,500
PGRM	Electric Vehicles - Innovation	129288	Competitive	N	Electric Power Research Institute	PON 3578 R1 CAT1	7/25/2018		\$74,500		
PGRM	Electric Vehicles - Innovation	129289	Competitive	Y	Unique Electric Solutions of NYS LLC	PON 3578 R1 Cat2	7/25/2018		\$499,531	\$16,356	\$16,356
PGRM	Electric Vehicles - Innovation	129429	Competitive	N	HEVO Inc.	PON 3578 R1 CAT2	7/27/2018	\$250,000	\$250,000	\$160,000	\$160,000
PGRM	Electric Vehicles - Innovation	129430	Competitive	Y	City of Rochester	City of Rochester EV Carshare	7/27/2018		\$310,000		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Electric Vehicles - Innovation	129431	Competitive	N	Essense Partners Inc.	PON 3578 R1 CAT4	7/27/2018		\$118,130		\$32,815
PGRM	Electric Vehicles - Innovation	129579	Competitive	Y	Simple Energy, Inc.	PON 3578 R1 CAT4	7/31/2018		\$483,975	\$217,283	\$217,283
PGRM	Electric Vehicles - Innovation	130602	Discretionary	N	Atlas Public Policy	Electric Vehicles - Innovation	8/30/2018	\$34,716	\$70,114	\$4,956	\$40,355
PGRM	Electric Vehicles - Innovation	137762	Competitive	N	The Cadmus Group, LLC	TWO #3 DCFC design	3/6/2019		\$75,000	\$10,118	\$74,450
PGRM	Electric Vehicles - Innovation	138144	Competitive	Y	Vermont Energy Investment Corporation	EV Policies and Pilots for LMI	3/20/2019		\$299,992	\$28,520	\$28,520
PGRM	Electric Vehicles - Innovation	138145	Competitive	Y	Akimeka, LLC	Used EV Sales Demo	3/20/2019	\$298,997	\$298,997		
PGRM	Electric Vehicles - Innovation	138147	Competitive	Y	Siemens Industry, Inc.	Meter Integrated EVSE	3/20/2019	\$226,372	\$226,372	\$89,186	\$89,186
PGRM	Electric Vehicles - Innovation	138292	Competitive	N	Gladstein, Neandross & Associates, LLC	DCFC Permitting Study	3/20/2019		\$99,564	\$3,098	\$73,153
PGRM	Electric Vehicles - Innovation	138332	Competitive	Y	Calstart	PON 3578 Round 2	3/21/2019	\$299,942	\$299,942	\$265,000	\$265,000
PGRM	Electric Vehicles - Innovation	149392	Discretionary	N	Clean Power Research	2020 WattPlan license	12/20/2019		\$18,000	\$9,000	\$18,000
PGRM	Electric Vehicles - Innovation	152282	Non-Competitive	N	NESCAUM	Joint EV Mktg Campaign 2020	3/9/2020		\$275,000	\$225,000	\$225,000
PGRM	Electric Vehicles - Innovation	153293	Non-Competitive	Y	Alliance for Sustainable Energy, LLC	TWO 3 - NYS Charging Infrastr	4/7/2020	\$425,000	\$425,000	\$293,918	\$293,918
PGRM	Electric Vehicles - Innovation	154673	Competitive	N	Electrification Coalition Foundation	Task Work Order No. 1	6/4/2020	\$298,075	\$298,075	\$3,247	\$3,247
PGRM	Electric Vehicles - Innovation	154694	Competitive	N	The Cadmus Group, LLC	TWO No. 7	6/5/2020	\$133,670	\$133,670	\$3,237	\$3,237
PGRM	Electric Vehicles - Innovation	163763	Discretionary	N	Clean Power Research	2021 WattPlan License	1/27/2021	\$18,900	\$18,900	\$9,450	\$9,450
PGRM	Electric Vehicles - Innovation	96519A	Competitive	Y	Akimeka, LLC	Planning Board EVSE Promotion	5/3/2019		\$40,003	\$9,050	\$38,000
PGRM	Electricification	160489	Discretionary	N	Urban Green Council	Advanced Electrification	11/4/2020	\$50,000	\$50,000	\$10,000	\$10,000
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	40265	Competitive	Y	Steven Winter Associates, Inc.	Orifice plates in 2 pipe steam	3/14/2014		\$245,286	\$9,168	\$233,043
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	92218	Competitive	Y	R3 Energy Management Audit & Review, LLC	ETAC-CI Focused Demonstration	3/3/2016		\$250,000		\$55,000
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	92218	Competitive	Y	Stem Inc	ETAC-CI Focused Demonstration	3/3/2016		\$250,000	\$29,676	\$81,204
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	104080	Competitive	Y	The Levy Partnership, Inc.	PON 3127 Levy Partnership	9/21/2016		\$374,014	\$16,200	\$317,714
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	104081	Competitive	Y	Taitem Engineering, P.C.	PON 3127 Taitem	9/21/2016		\$173,375	\$19,765	\$172,334
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	104082	Competitive	Y	Energy Futures Group, Inc.	PON 3127 Energy Futures Group	9/21/2016		\$482,101		\$374,192
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	104083	Competitive	Y	Applied Energy Group, Inc.	PON 3127 Applied Energy Group	9/21/2016		\$460,000		\$158,668
PGRM	EMERGING TECHNOLOGY / ACCELERATED CO	104084	Competitive	Y	Gas Technology Institute	PON 3127 Gas Technologies	9/21/2016		\$199,991	\$84,000	\$146,000
PGRM	Empire Building Challenge	164516	Competitive	Y	Code Green Solutions, Inc.	EBC - Market Character TWO	2/16/2021	\$150,000	\$150,000		
PGRM	ENERGY ANALYSIS	113355	Non-Competitive	Y	New Buildings Institute, Inc.	Codes Enable: NYStretch-Energy	5/2/2017		\$252,500	\$0	\$252,500
PGRM	ENERGY ANALYSIS	123055	Competitive	Y	ICF Resources, LLC	RFQL 3685 - Flexergy 3.0	2/1/2018		\$41,600		\$38,379
PGRM	ENERGY ANALYSIS	138631	Non-Competitive	N	Georgetown Climate Center	TCI cap-and-invest analysis	3/28/2019		\$250,000	\$250,000	\$250,000
PGRM	ENERGY ANALYSIS	151421	Competitive	N	Alliance for Sustainable Energy, LLC	TWO 1 - 100% Carbon-free Power	2/14/2020		\$100,000	\$25,530	\$55,530
PGRM	ENERGY ANALYSIS	157321	Discretionary	Y	Regional Economic Models, Inc	ENERGY ANALYSIS	8/25/2020	\$44,000	\$44,000	\$44,000	\$44,000
PGRM	ENERGY ANALYSIS	164653	Non-Competitive	N	Regional Greenhouse Gas Initiative, Inc.	2021 RGGI Funding Agreement	2/18/2021	\$286,131	\$286,131	\$286,131	\$286,131
PGRM	ENERGY ANALYSIS	164846	Non-Competitive	N	NASEO	USEER Support 2021	2/24/2021	\$50,000	\$50,000	\$50,000	\$50,000
PGRM	ENERGY ANALYSIS	73402A	Non-Competitive	Y	USGS National Center MS270	CL Assessment for lakes stream	9/17/2015		\$75,000	\$20,000	\$75,000
PGRM	ENERGY ANALYSIS	73402B	Non-Competitive	Y	E&S Environmental Chemistry Inc.	CL Assessment for lakes stream	9/17/2015	\$21,000	\$104,997	\$30,489	\$94,188
PGRM	ENERGY STORAGE	144151	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	8/26/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	145280	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	9/25/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	145299	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	3/25/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	146178	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/18/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	146767	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/29/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	146890	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/31/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	147838	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/21/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	147940	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/22/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	147976	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/25/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	148323	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/3/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149070	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/17/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149273	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/19/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149366	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/20/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149367	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/20/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149407	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/23/2019	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149410	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/23/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149411	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/23/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149413	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/23/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149466	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/24/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149576	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/30/2019		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149764	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	1/3/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149775	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/3/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149779	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/3/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149812	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/6/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	149867	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/8/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150010	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/9/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150112	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/13/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150119	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/13/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150143	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/14/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150230	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	1/15/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150254	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/16/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150269	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/16/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150427	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	1/21/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	150571	Competitive	Y	Standard Solar, Inc	Retail Storage	1/24/2020		\$256,500		
PGRM	ENERGY STORAGE	150698	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/29/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	151194	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/11/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	151517	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/19/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	151586	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/20/2020		\$6,250		
PGRM	ENERGY STORAGE	151690	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/25/2020		\$6,250		
PGRM	ENERGY STORAGE	151757	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/25/2020		\$6,250		
PGRM	ENERGY STORAGE	151829	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/26/2020		\$6,250		
PGRM	ENERGY STORAGE	151931	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/28/2020		\$6,250		
PGRM	ENERGY STORAGE	151997	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/2/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	152071	Competitive	Y	JDC Energy Services, LLC	Retail Storage	3/3/2020		\$448,750		
PGRM	ENERGY STORAGE	152406	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	3/12/2020		\$6,250		
PGRM	ENERGY STORAGE	152511	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	3/13/2020	\$6,250	\$6,250	\$3,375	\$3,375

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ENERGY STORAGE	152659	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/18/2020		\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	152794	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	3/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	152825	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	3/24/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	152921	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/26/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153087	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	4/1/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153104	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	4/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153372	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	4/13/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153441	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	4/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153557	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	4/17/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	153775	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	4/29/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154020	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	5/6/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	154135	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	5/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	154434	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	5/28/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154763	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	6/10/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154807	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154809	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154810	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154829	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	6/12/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	154893	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/15/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	154937	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/16/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155034	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	6/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	155092	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	6/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	155105	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	6/23/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155154	Competitive	Y	Agilitas Energy, LLC	Retail Storage	6/24/2020	\$2,062,500	\$2,062,500		
PGRM	ENERGY STORAGE	155162	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/25/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155281	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	6/30/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	155334	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/1/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155431	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155521	Competitive	Y	Hytech Solar Inc	PON 2112 NY SUN	7/8/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	155675	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	7/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	155963	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	7/20/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	156196	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/27/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	156234	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/28/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	156240	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	7/28/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156258	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	7/28/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156332	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	7/29/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	156517	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	8/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156817	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/11/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	156855	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/12/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156945	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/13/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156970	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	156980	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/14/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157087	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	8/18/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157156	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/19/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157242	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	8/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157266	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	8/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157306	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	8/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157438	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	8/27/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157563	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	8/31/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157601	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	9/1/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157705	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157791	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/4/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157792	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/4/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157840	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/8/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157841	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/8/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	157920	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/9/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	157944	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/10/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158045	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/11/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158066	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158075	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	9/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158082	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	9/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158114	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/14/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158141	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	9/15/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158174	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/15/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158199	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/15/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158332	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/17/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158351	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/17/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158453	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158473	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158484	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	9/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158562	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	9/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158679	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158687	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158903	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	9/29/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	158948	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	9/29/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	158994	Competitive	Y	Harvest Power, LLC	PON 2112 NY SUN	10/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159020	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159057	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159058	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159135	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/5/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159155	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/5/2020	\$6,250	\$6,250		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ENERGY STORAGE	159187	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159210	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159230	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159251	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159263	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159264	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/7/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159347	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/9/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159385	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/9/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159410	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159416	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159434	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159469	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/13/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159478	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159511	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/14/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159565	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/15/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159577	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/15/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159630	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/16/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159636	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/16/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159737	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159748	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159756	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159762	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	159786	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159856	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159858	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159871	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159937	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159938	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	10/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	159983	Competitive	Y	Hytech Solar Inc	PON 2112 NY SUN	10/26/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160030	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/27/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160038	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/27/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160083	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/28/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160094	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	10/28/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160126	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	10/28/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160167	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	10/29/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160198	Competitive	Y	NYSS LLC	ENERGY STORAGE	10/29/2020	\$11,464	\$11,464		
PGRM	ENERGY STORAGE	160213	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160215	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160227	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160253	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160267	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160270	Competitive	Y	Long Island Power Solutions	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160301	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160323	Competitive	Y	Surf Clean Energy Inc	PON 2112 NY SUN	11/2/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160367	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160374	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	11/3/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160440	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/4/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160442	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/4/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160476	Competitive	N	Agilitas Energy, LLC	Retail Storage	11/4/2020	\$850,000	\$850,000		
PGRM	ENERGY STORAGE	160515	Competitive	Y	Premier Solar Solutions LLC	PON 2112 NY SUN	11/5/2020	\$5,700	\$5,700		
PGRM	ENERGY STORAGE	160527	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/5/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160554	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/6/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160557	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/6/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160579	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/6/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160607	Competitive	Y	NYSS LLC	PON 2112 NY SUN	11/9/2020	\$5,050	\$5,050		
PGRM	ENERGY STORAGE	160631	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/9/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160663	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/10/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160716	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160751	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160760	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/11/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160791	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/12/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160796	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/12/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	160832	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160836	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160850	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160852	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160864	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160866	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	11/13/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160986	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/17/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	160989	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/17/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161055	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/18/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161106	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/19/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161145	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/20/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161151	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	11/20/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161154	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/20/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161170	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/20/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161179	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	11/20/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161246	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161257	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	11/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161262	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	11/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161350	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	11/25/2020	\$6,250	\$6,250	\$6,250	\$6,250

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ENERGY STORAGE	161352	Competitive	Y	Smart Home Energy Source	ENERGY STORAGE	11/25/2020	\$11,962	\$11,962		
PGRM	ENERGY STORAGE	161384	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	11/30/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161452	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161459	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/3/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161479	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161491	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	12/3/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161570	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/3/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161590	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/4/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161601	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/4/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161670	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	12/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161672	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161673	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161701	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/7/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161855	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/10/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161857	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/10/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161950	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/11/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	161953	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/11/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	161991	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/14/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162088	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/16/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162120	Competitive	Y	Tesla Energy Operations Inc	ENERGY STORAGE	12/16/2020	\$14,352	\$14,352		
PGRM	ENERGY STORAGE	162189	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/17/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162191	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/17/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162221	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/18/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162233	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/18/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162305	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162306	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162307	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162310	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162326	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162329	Competitive	Y	Harvest Power, LLC	PON 2112 NY SUN	12/21/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162356	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/22/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162359	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/22/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162417	Competitive	Y	Surf Clean Energy Inc	ENERGY STORAGE	12/22/2020	\$8,560	\$8,560		
PGRM	ENERGY STORAGE	162468	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/23/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162500	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/23/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162531	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	12/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162541	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162543	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/24/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162555	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/24/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162647	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/29/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162743	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	12/30/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162759	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/30/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162828	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/31/2020	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162835	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	12/31/2020	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162874	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162884	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162899	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162960	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/5/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	162972	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/6/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162993	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	1/6/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	162994	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/6/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	163000	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/6/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163005	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	1/6/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163021	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/7/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163069	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/8/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	163176	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/12/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	163221	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/13/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163254	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/14/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163379	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/19/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163396	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/19/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163566	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	1/22/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	163591	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/22/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163730	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	1/27/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163751	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	1/27/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	163856	Competitive	N	Solar Liberty Energy Systems, Inc	Retail Storage	1/28/2021	\$34,250	\$34,250		
PGRM	ENERGY STORAGE	163926	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/1/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164008	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164035	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164045	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164049	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164068	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164085	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/4/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164229	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/8/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164297	Competitive	Y	GreenLogic, LLC	PON 2112 NY SUN	2/9/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164324	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/10/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164349	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/10/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164412	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/11/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164442	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/12/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164478	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164481	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164482	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/16/2021	\$6,250	\$6,250		

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Term > 1

Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ENERGY STORAGE	164540	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/17/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164619	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/18/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164629	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/18/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164633	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	2/18/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164679	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/19/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164693	Competitive	Y	SuNation Solar Systems, Inc.	PON 2112 NY SUN	2/22/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164700	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/22/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164732	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/23/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164740	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/23/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164901	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/25/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164935	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	2/26/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	164976	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	164983	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165001	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165003	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165005	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	165011	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250	\$6,250	\$6,250
PGRM	ENERGY STORAGE	165024	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/1/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165080	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/3/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165088	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/3/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165128	Competitive	Y	Empower CES, LLC	PON 2112 NY SUN	3/3/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165221	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/5/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165241	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/5/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165265	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/8/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165274	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/8/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165302	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/8/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165476	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/11/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165526	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/12/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165552	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/15/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165564	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/15/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165627	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165630	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165631	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165658	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165674	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/16/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165704	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/17/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165720	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/17/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165804	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/18/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165901	Competitive	Y	SunRun, Inc	PON 2112 NY SUN	3/22/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	165947	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/22/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	166146	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/26/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	166326	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/31/2021	\$6,250	\$6,250		
PGRM	ENERGY STORAGE	166331	Competitive	Y	Tesla Energy Operations Inc	PON 2112 NY SUN	3/31/2021	\$6,250	\$6,250		
PGRM	Energy Storage Tech/Prod Dev	104546	Competitive	Y	Navigant Consulting Inc.	RFQ3183 Umbrella Agreement	10/5/2016		\$1,603,559	\$0	\$631,086
PGRM	Energy Storage Tech/Prod Dev	121639	Competitive	Y	Enernat Technologies, Inc.	PON 3585 Energy Storage Cat A	12/13/2017		\$199,225	\$48,125	\$99,725
PGRM	Energy Storage Tech/Prod Dev	121640	Competitive	Y	Combined Energies LLC	PON 3585 Energy Storage Cat B	12/13/2017		\$551,506	\$60,856	\$509,270
PGRM	Energy Storage Tech/Prod Dev	122287	Competitive	Y	Cadenza Innovation, Inc.	PON3249 ACE	1/3/2018		\$1,000,000	\$292,500	\$675,000
PGRM	Energy Storage Tech/Prod Dev	127609	Competitive	Y	The RF of SUNY Binghamton University	PON 3585 R2	6/5/2018		\$1,000,000	\$100,000	\$250,000
PGRM	Energy Storage Tech/Prod Dev	127610	Competitive	Y	Urban Electric Power, Inc.	PON 3585 R2	6/5/2018	\$50,000	\$295,000	\$110,000	\$125,000
PGRM	Energy Storage Tech/Prod Dev	127611	Competitive	Y	Eonix LLC	PON 3585 R2	6/5/2018		\$200,000	\$48,618	\$148,364
PGRM	Energy Storage Tech/Prod Dev	127734	Competitive	Y	StorEn Technologies Inc.	PON 3585 R2	6/8/2018		\$199,866	\$79,964	\$79,964
PGRM	Energy Storage Tech/Prod Dev	127735	Competitive	Y	Virginia Tech Applied Research Corporati	PON 3585 R2	6/8/2018		\$199,820	\$116,000	\$116,000
PGRM	Energy Storage Tech/Prod Dev	127829	Competitive	Y	SRS Holdings LLC	PON 3585 R2 Polymer-Clay separ	6/12/2018		\$300,000	\$175,459	\$272,001
PGRM	Energy Storage Tech/Prod Dev	127930	Competitive	Y	Dagmy Motors Inc.	PON 3585 R2	6/15/2018		\$497,873	\$82,504	\$123,251
PGRM	Energy Storage Tech/Prod Dev	132705	Competitive	Y	Clarkson University	PON 3585 Round 3	10/17/2018		\$67,832	\$67,832	\$67,832
PGRM	Energy Storage Tech/Prod Dev	132706	Competitive	Y	NOHMs Technologies, Inc.	PON 3585 Round 3 Cat B	10/17/2018		\$750,000	\$151,858	\$648,246
PGRM	Energy Storage Tech/Prod Dev	138125	Competitive	Y	Rensselaer Polytechnic Institute	Energy Storage Tech/Prod Dev	3/18/2019		\$200,000	\$36,959	\$36,959
PGRM	Energy Storage Tech/Prod Dev	138126	Competitive	Y	The Research Foundation for SUNY	Energy Storage Tech/Prod Dev	3/18/2019		\$200,000		\$43,000
PGRM	Energy Storage Tech/Prod Dev	138127	Competitive	Y	Dimien Inc.	zVO pouch cell proof concept	3/18/2019		\$200,000	\$62,500	\$187,000
PGRM	Energy Storage Tech/Prod Dev	138128	Competitive	N	Actasys	Energy Storage Tech/Prod Dev	3/18/2019		\$75,000	\$75,000	\$75,000
PGRM	Energy Storage Tech/Prod Dev	138129	Competitive		Convoy Solutions	Energy Storage Tech/Prod Dev	3/18/2019		\$192,000	\$20,000	\$20,000
PGRM	Energy Storage Tech/Prod Dev	138130	Competitive		NanoHydroChem	Energy Storage Tech/Prod Dev	3/18/2019		\$200,000	\$55,945	\$128,056
PGRM	Energy Storage Tech/Prod Dev	138287	Competitive	Y	Orion Polymer Corp	PON3585 R4 Energy Storage Tech	3/20/2019		\$200,000	\$17,483	\$36,273
PGRM	Energy Storage Tech/Prod Dev	138288	Competitive		alpha-En Corporation	PON3585 R4 Energy Storage Tech	3/20/2019		\$999,608	\$270,000	\$682,500
PGRM	Energy Storage Tech/Prod Dev	138289	Competitive	Y	Orion Polymer Corp	PON3585 R4 Energy Storage Tech	3/20/2019		\$200,000	\$16,360	\$40,030
PGRM	Energy Storage Tech/Prod Dev	138290	Competitive		Digital Energy Corp	PON3585 R4 Energy Storage Tech	3/20/2019		\$450,000	\$32,000	\$32,000
PGRM	Energy Storage Tech/Prod Dev	141102	Competitive	Y	Bettergy Corporation	NH3 Cracking Membrane Reactor	6/6/2019		\$359,968		
PGRM	Energy Storage Tech/Prod Dev	141165	Competitive	Y	Ecolctro Inc	Modular Ultra-Stable Alkaline	6/7/2019		\$190,000	\$49,100	\$121,900
PGRM	Energy Storage Tech/Prod Dev	146215	Non-Competitive	Y	Research Foundation of SUNY	m2M at Stony Brook	10/16/2019	\$250,000	\$250,000		
PGRM	Energy Storage Tech/Prod Dev	146217	Non-Competitive	Y	SUNY Binghamton	NECCES	10/16/2019		\$250,000		
PGRM	Energy Storage Tech/Prod Dev	154394	Non-Competitive		New York Battery and Energy Storage	NYSDERDA cost share funding sup	5/26/2020	\$40,000	\$40,000	\$40,000	\$40,000
PGRM	Energy Storage Tech/Prod Dev	104546A	Competitive	Y	Guidehouse Inc.	RFQ3183 Umbrella Agreement	4/6/2020	\$972,473	\$972,473	\$88,454	\$88,454
PGRM	Energy Storage Tech/Prod Dev	164568-1	Non-Competitive		Research Foundation of SUNY	Surface Mod Graphite Anodes	2/26/2021	\$125,000	\$125,000		
PGRM	ENVIRONMENTAL RESEARCH	19487	Non-Competitive	Y	John Gowdy	ENVIRONMENTAL RESEARCH	6/2/2010		\$20,000		\$770
PGRM	ENVIRONMENTAL RESEARCH	20802	Competitive	Y	Verdant Power, Inc.	RITE Environmental Assessment	10/4/2010		\$429,078		\$305,894
PGRM	ENVIRONMENTAL RESEARCH	26182	Competitive	N	NYS OGS	Support for finalizing SGEIS	1/10/2012		\$1,179,385	\$71,615	\$1,179,385
PGRM	ENVIRONMENTAL RESEARCH	28264	Competitive	Y	Cornell University	Dairy cooling demonstration	7/9/2012		\$300,000		\$288,213
PGRM	ENVIRONMENTAL RESEARCH	30032	Non-Competitive	Y	John Sager	Env. Reseach Science Advisor	10/31/2012		\$20,000		\$14,455
PGRM	ENVIRONMENTAL RESEARCH	31648	Competitive	Y	Northeast States for Coordinated Air Use	NY climate clearinghouse	3/20/2013		\$699,998	\$19,539	\$614,287
PGRM	ENVIRONMENTAL RESEARCH	32974	Competitive	Y	NESCAUM	Performance of Biomass-fired	5/31/2013		\$196,598		\$196,598
PGRM	ENVIRONMENTAL RESEARCH	33074	Competitive	Y	U.S. Geological Survey (Troy).	Accelerated Recovery	6/6/2013		\$242,554	\$73,750	\$242,552

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		Term > 1						Committed this	Amt Paid this			
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date	Amt Paid to Date
PGRM	ENVIRONMENTAL RESEARCH	33075	Competitive	Y	Syracuse University	Accelerated Recovery and Envir	6/6/2013		\$185,707	\$9,020	\$185,707	
PGRM	ENVIRONMENTAL RESEARCH	34357	Competitive	Y	Syracuse University	Monitoring of NYS fish for Hg	8/7/2013		\$700,000	\$12,979	\$696,529	
PGRM	ENVIRONMENTAL RESEARCH	37346	Competitive	Y	USGS National Center MS270	Monitoring Fish Assemblage/Hg	11/15/2013		\$250,000	\$12,383	\$250,000	
PGRM	ENVIRONMENTAL RESEARCH	44376	Competitive	Y	SUNY - ESF	WQ and Climate in ADKS	7/21/2014		\$40,000		\$27,831	
PGRM	ENVIRONMENTAL RESEARCH	48971	Non-Competitive	Y	The Research Foundation for the State	EMEP Air Quality Research	10/1/2014		\$3,954,526	\$429,745	\$2,596,314	
PGRM	ENVIRONMENTAL RESEARCH	50771	Competitive	Y	Syracuse University	Estimating Soil Weathering	10/27/2014		\$199,770		\$172,652	
PGRM	ENVIRONMENTAL RESEARCH	50772	Competitive	Y	Syracuse University	Marine Mercury Dynamics	10/27/2014		\$200,000	\$58,284	\$200,000	
PGRM	ENVIRONMENTAL RESEARCH	50777	Competitive	Y	U.S. Geological Survey (Troy)	Acid Deposition and Mercury	10/27/2014		\$130,748	\$26,150	\$130,748	
PGRM	ENVIRONMENTAL RESEARCH	50778	Competitive	Y	Hobart and William Smith Colleges	Mercury Dynamics in Finger Lak	10/27/2014		\$167,894	\$94,600	\$167,894	
PGRM	ENVIRONMENTAL RESEARCH	57630	Competitive	Y	Syracuse University	Nutrient Dynamics in the ADKS	1/23/2015		\$40,000	\$10,956	\$39,999	
PGRM	ENVIRONMENTAL RESEARCH	59804	Competitive	Y	Cornell University	Energy Related Air Quality	2/26/2015		\$310,240	\$0	\$309,086	
PGRM	ENVIRONMENTAL RESEARCH	59809	Competitive	Y	Clarkson Univ., Research Div.	Energy Related Air Quality	2/26/2015		\$252,191		\$235,828	
PGRM	ENVIRONMENTAL RESEARCH	59887	Non-Competitive	N	Saranac Lake Central School	Exhaust Stack Upgrade	3/2/2015		\$25,000	\$25,000	\$25,000	
PGRM	ENVIRONMENTAL RESEARCH	60446	Competitive	Y	The Trustees of Columbia University	Buildings Climate Adaptation	3/10/2015		\$287,737	\$23,190	\$287,737	
PGRM	ENVIRONMENTAL RESEARCH	60447	Competitive	Y	Hazen & Sawyer, P.C.	Climate & algal blooms	3/10/2015		\$280,436	\$142,587	\$254,435	
PGRM	ENVIRONMENTAL RESEARCH	60448	Competitive	Y	Dewberry Consultants LLC	East Hampton resiliency	3/10/2015		\$220,911	\$58,308	\$181,699	
PGRM	ENVIRONMENTAL RESEARCH	60449	Competitive	Y	Dewberry Engineers Inc.	Transportation Climate ADP	3/10/2015		\$296,343	\$34,798	\$296,236	
PGRM	ENVIRONMENTAL RESEARCH	63033	Discretionary	Y	Health Research, Inc.	Ultrafine Particle Emissions	4/22/2015		\$47,295	\$16,730	\$17,015	
PGRM	ENVIRONMENTAL RESEARCH	65310	Competitive	Y	Abt Associates Inc.	Environmental Res. Outreach	5/26/2015		\$500,000	\$1,119	\$179,239	
PGRM	ENVIRONMENTAL RESEARCH	65311	Competitive	Y	Eastern Research Group, Inc.	Environmental Outreach	5/26/2015		\$500,000	\$96,809	\$475,593	
PGRM	ENVIRONMENTAL RESEARCH	73402	Non-Competitive	Y	Syracuse University	CL Assessment for lakes stream	9/17/2015	\$16,000	\$141,556	\$5,095	\$125,555	
PGRM	ENVIRONMENTAL RESEARCH	83743	Discretionary	Y	USGS National Center MS270	acid-base chemistry biota	12/14/2015		\$49,589	\$15,000	\$49,589	
PGRM	ENVIRONMENTAL RESEARCH	91268	Discretionary	Y	Columbia University	Health Outcomes Over NY	2/23/2016		\$48,000		\$42,035	
PGRM	ENVIRONMENTAL RESEARCH	95764	Competitive	Y	Normandeau Associates, Inc.	Digital Aerial Baseline Survey	4/12/2016		\$3,660,797	\$233,834	\$3,552,429	
PGRM	ENVIRONMENTAL RESEARCH	99728	Discretionary	N	Dr. Richard Schlesinger	ENVIRONMENTAL RESEARCH	6/14/2016		\$30,000		\$15,665	
PGRM	ENVIRONMENTAL RESEARCH	100413	Competitive	Y	University of Rochester	Long-Term Monitoring of Methan	6/29/2016		\$362,948	\$181,432	\$337,337	
PGRM	ENVIRONMENTAL RESEARCH	100414	Competitive	Y	Cornell University-Office of Sponsored P	Building Downwash Effect	6/29/2016		\$189,863	\$65,324	\$189,863	
PGRM	ENVIRONMENTAL RESEARCH	100418	Competitive	Y	ICF Jones & Stokes	NYS Energy Research and Develo	6/29/2016		\$346,316	\$13,787	\$346,188	
PGRM	ENVIRONMENTAL RESEARCH	101132	Competitive	Y	NESCAUM	Tech Assist, REV Pool	7/15/2016		\$1,465,000	\$269,464	\$1,077,566	
PGRM	ENVIRONMENTAL RESEARCH	105161	Competitive	Y	SUNY at Albany	Climate Change & Renewables	10/17/2016		\$317,926	\$22,885	\$317,813	
PGRM	ENVIRONMENTAL RESEARCH	105162	Competitive	Y	Research Foundation of SUNY	Seiche in Eastern Lake Erie	10/17/2016		\$265,696	\$7,700	\$254,680	
PGRM	ENVIRONMENTAL RESEARCH	111748	Competitive	Y	Research Foundation of The City	Coastal monitoring framework	3/20/2017		\$284,429	\$77,785	\$279,287	
PGRM	ENVIRONMENTAL RESEARCH	112179	Non-Competitive	Y	Brookhaven National Lab	Technical assistance	3/29/2017		\$800,000	\$54,767	\$565,424	
PGRM	ENVIRONMENTAL RESEARCH	113882	Competitive	Y	Adirondack Center for Loon	Loons: monitor biotic mercury	5/22/2017		\$103,515	\$2,715	\$89,515	
PGRM	ENVIRONMENTAL RESEARCH	115531	Discretionary	Y	Daniel J Jacob	Sci Adv Agmt with Daniel Jacob	7/7/2017		\$40,000		\$19,224	
PGRM	ENVIRONMENTAL RESEARCH	115536	Discretionary	N	The Trustees of Columbia University	CU Health&Air Quality Wrkshop	7/7/2017		\$15,051		\$14,230	
PGRM	ENVIRONMENTAL RESEARCH	120697	Discretionary	Y	Dr. Richard Schlesinger	Sci Adv Agmt w/R. Schlesinger	11/15/2017		\$40,000			
PGRM	ENVIRONMENTAL RESEARCH	122529	Competitive	Y	U.S. Geological Survey (Troy)	USGS ALTM: Streams component	1/11/2018		\$203,798	\$203,798	\$203,798	
PGRM	ENVIRONMENTAL RESEARCH	122529	Non-Competitive	Y	U.S. Geological Survey (Troy)	USGS ALTM: Streams component	1/11/2018		\$770,556	\$148,470	\$148,470	
PGRM	ENVIRONMENTAL RESEARCH	122530	Competitive	Y	Adirondack Lakes Survey Corporation	RFP 3702 Stream Sampling	1/11/2018		\$492,581	\$86,427	\$282,258	
PGRM	ENVIRONMENTAL RESEARCH	122681	Non-Competitive	Y	SUNY - ESF	ALTM SUNY ESF	1/18/2018		\$612,109	\$59,867	\$224,403	
PGRM	ENVIRONMENTAL RESEARCH	122813	Non-Competitive	Y	Frost Valley YMCA	Hg Dep in Biscuit Brk Wtrshed.	1/23/2018		\$45,500	\$5,163	\$17,100	
PGRM	ENVIRONMENTAL RESEARCH	123059	Competitive	Y	NESCAUM	RFQL3685 Round 1	2/2/2018		\$2,434,000	\$573,215	\$1,673,749	
PGRM	ENVIRONMENTAL RESEARCH	123086	Discretionary	N	Tarm, USA	Tarm USA pellet boiler testing	2/2/2018		\$22,000		\$10,800	
PGRM	ENVIRONMENTAL RESEARCH	123414	Competitive	Y	Natural History Museum of the Adirondack	Wild Ctr Clim Change Outreach	2/14/2018		\$50,000	\$1,000	\$50,000	
PGRM	ENVIRONMENTAL RESEARCH	124460	Discretionary	N	Consensus Building Institute, Inc.	CBI: LS solar vol guide devlpt	3/22/2018		\$49,941		\$48,796	
PGRM	ENVIRONMENTAL RESEARCH	124461	Non-Competitive	Y	Research Foundation of SUNY	Whiteface Mt Cloud Monitoring	3/23/2018		\$240,902	\$44,833	\$109,331	
PGRM	ENVIRONMENTAL RESEARCH	125993	Non-Competitive	Y	University of Rochester	LT particle monitoring U of R	4/20/2018		\$250,000	\$37,182	\$128,647	
PGRM	ENVIRONMENTAL RESEARCH	127704	Competitive	Y	University of Wisconsin System	NADP LTM Ecosystems in NYS	6/7/2018		\$438,419	\$102,995	\$257,305	
PGRM	ENVIRONMENTAL RESEARCH	127928	Competitive	Y	SUNY - ESF	ALTM Streams 2018-2022	6/14/2018		\$84,043	\$17,522	\$35,207	
PGRM	ENVIRONMENTAL RESEARCH	131052	Discretionary	N	Kathy Lambert	Env Research Plan: Ecosystems	9/10/2018		\$32,000		\$31,600	
PGRM	ENVIRONMENTAL RESEARCH	137482	Competitive	Y	Research Foundation of CUNY	AOHE PON 3921	2/25/2019		\$249,940	\$128,901	\$149,816	
PGRM	ENVIRONMENTAL RESEARCH	137483	Competitive	Y	Brookhaven National Lab	AOHE PON 3921	2/25/2019	\$540,000	\$540,000			
PGRM	ENVIRONMENTAL RESEARCH	137484	Competitive	Y	University of Rochester	AOHE PON 3921	2/25/2019		\$490,228	\$235,986	\$235,986	
PGRM	ENVIRONMENTAL RESEARCH	137485	Competitive	Y	Cornell University	AOHE PON 3921	2/25/2019		\$300,000	\$87,687	\$97,198	
PGRM	ENVIRONMENTAL RESEARCH	137486	Competitive	Y	University of North Carolina at Chapel H	AOHE PON 3921	2/25/2019		\$363,115	\$228,398	\$239,548	
PGRM	ENVIRONMENTAL RESEARCH	137487	Competitive	Y	University at Albany	AOHE PON 3921	2/25/2019		\$496,458	\$83,014	\$96,094	
PGRM	ENVIRONMENTAL RESEARCH	137760	Competitive	Y	NESCAUM	123059 TWO#5	3/6/2019		\$185,000	\$62,558	\$165,238	
PGRM	ENVIRONMENTAL RESEARCH	137878	Discretionary	Y	Amer Assoc for the Advance of Science	Tech Peer Review/Manuscripts	3/8/2019		\$40,000		\$10,300	
PGRM	ENVIRONMENTAL RESEARCH	138580	Competitive	N	E&S Environmental Chemistry Inc.	127159 TWO#1	3/28/2019		\$219,797	\$7,272	\$182,150	
PGRM	ENVIRONMENTAL RESEARCH	140614	Discretionary	N	Adirondack Center for Loon	Climate impacts on ADK species	5/22/2019		\$49,500		\$33,000	
PGRM	ENVIRONMENTAL RESEARCH	143063	Competitive	Y	National Renewable Energy Laboratory	ENV & Fisheries Rsrch for OSW	7/31/2019		\$500,000	\$177,089	\$258,589	
PGRM	ENVIRONMENTAL RESEARCH	143064	Competitive	Y	BioDiversity Research Institute	ENV & Fisheries Rsrch for OSW	7/31/2019		\$490,145	\$120,994	\$155,573	
PGRM	ENVIRONMENTAL RESEARCH	143070	Competitive	Y	Ecology & Environment, Inc.	ENV & Fisheries Rsrch for OSW	7/31/2019		\$500,000	\$91,919	\$91,919	
PGRM	ENVIRONMENTAL RESEARCH	143517	Competitive	Y	Responsible Offshore Development Allianc	ENV & Fisheries Rsrch for OSW	8/8/2019		\$297,972	\$197,498	\$250,952	
PGRM	ENVIRONMENTAL RESEARCH	143771	Competitive	Y	United States Department of the Interior	ENV & Fisheries Rsrch for OSW	8/15/2019		\$290,205	\$240,875	\$242,889	
PGRM	ENVIRONMENTAL RESEARCH	144897	Discretionary	N	Wildlife Conservation Society.	Environmental Research	9/13/2019		\$50,000			
PGRM	ENVIRONMENTAL RESEARCH	145370	Competitive	Y	NESCAUM	TWO #7 Comparative Assessment	9/26/2019		\$394,500	\$86,762	\$103,763	
PGRM	ENVIRONMENTAL RESEARCH	145583	Discretionary	N	Stevens Institute of Technology	SIT Storm Surge	10/1/2019		\$42,500	\$35,017	\$35,017	
PGRM	ENVIRONMENTAL RESEARCH	152713	Competitive	Y	NESCAUM	TWO #8 Wood Analytical	3/19/2020		\$217,000	\$75,109	\$75,109	
PGRM	ENVIRONMENTAL RESEARCH	152909	Competitive	Y	NESCAUM	TWO #9 IDC Technology Transfer	3/25/2020		\$100,000	\$9,627	\$9,627	
PGRM	ENVIRONMENTAL RESEARCH	153330	Competitive	Y	Gardline Marine Sciences Inc	G&G Investigation for OSW	4/9/2020	\$6,142,402	\$6,142,402	\$5,720,482	\$5,720,482	
PGRM	ENVIRONMENTAL RESEARCH	154270	Competitive	Y	Walden Environmental Engineering, PLLC	PON 4270 PV Site Design	5/19/2020	\$200,000	\$200,000			
PGRM	ENVIRONMENTAL RESEARCH	155319	Discretionary	Y	USGS National Center MS270	Biological Recovery	6/30/2020	\$49,600	\$49,600			
PGRM	ENVIRONMENTAL RESEARCH	162352	Discretionary	Y	Sandra Meier	Science Advisor	12/22/2020	\$30,000	\$30,000			
PGRM	ENVIRONMENTAL RESEARCH	164575	Discretionary	Y	NESCAUM	CFD Modeling	2/17/2021	\$49,500	\$49,500			
PGRM	ENVIRONMENTAL RESEARCH	143070A	Competitive	Y	WSP USA Corp.	ENV & Fisheries Rsrch for OSW	12/3/2020	\$408,081	\$408,081			
PGRM	ENVIRONMENTAL RESEARCH	30724B	Discretionary	N	Mesa Bioenergy Supply, LLC	Mesa BioEnergy BPP Project Eva	4/19/2016		\$49,500		\$41,000	
PGRM	ENVIRONMENTAL RESEARCH	33074B	Competitive	Y	Research Foundation of SUNY	Accelerated Recovery	8/15/2013		\$110,537	\$4,171	\$95,251	
PGRM	ENVIRONMENTAL RESEARCH	33075A	Competitive	Y	USGS National Center MS270	Honnedage Lake Hq	8/15/2013		\$107,323		\$107,323	
PGRM	ENVIRONMENTAL RESEARCH	33075A	Non-Competitive	Y	USGS National Center MS270	Honnedage Lake Hq	8/15/2013		\$32,500	\$13,958	\$32,500	

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	ENVIRONMENTAL RESEARCH	59802A	Competitive	N	University of Rochester	Air Quality & Hlth Effects	10/10/2017		\$175,778		\$103,462
PGRM	ENVIRONMENTAL RESEARCH	59807-1	Competitive	Y	Electric Power Research Institute	Energy Related Air Quality	3/31/2016		\$480,522	\$25,291	\$455,635
PGRM	ENVIRONMENTAL RESEARCH	PO6799	Non-Competitive	N	Praveen Amar	ENVIRONMENTAL RESEARCH	6/3/2010		\$48,163		\$44,907
PGRM	ENVIRONMENTAL RESEARCH	PO6803	Non-Competitive	Y	William F.Fitzgerald	ENVIRONMENTAL RESEARCH	6/3/2010		\$12,441		\$3,825
PGRM	ESTOR: Implementation Support	161365	Competitive	Y	Honeywell International Inc.	TWO 1 - SQA	11/25/2020	\$90,000	\$90,000		
PGRM	ESTOR: Bulk Storage Incentive	143215	Competitive	Y	Key Capture Energy, LLC	Bulk Storage Incentive	8/5/2019		\$1,361,250	\$340,313	\$340,313
PGRM	ESTOR: Bulk Storage Incentive	143219	Competitive	Y	Lincoln Park DG LLC	Bulk Storage Incentives	8/5/2019		\$8,800,000		
PGRM	ESTOR: Bulk Storage Incentive	147566	Competitive	Y	Key Capture Energy, LLC	Bulk Storage Incentive	11/14/2019		\$25,000,000		
PGRM	ESTOR: Bulk Storage Incentive	147568	Competitive	Y	Key Capture Energy, LLC	Bulk Storage Incentive	11/14/2019		\$1,650,000		
PGRM	ESTOR: Bulk Storage Incentive	148952	Competitive	Y	Key Capture Energy, LLC	Bulk Storage Incentive	12/17/2019		\$8,800,000		
PGRM	ESTOR: Bulk Storage Incentive	149849	Competitive	Y	North Catskill DG LLC	Bulk Storage Incentive	1/7/2020		\$9,900,000		
PGRM	ESTOR: Bulk Storage Incentive	149852	Competitive	Y	Union Avenue DG LLC	Bulk Storage Incentive	1/7/2020		\$9,900,000		
PGRM	ESTOR: Bulk Storage Incentive	149853	Competitive	Y	Highland DG LLC	Bulk Storage Incentive	1/7/2020		\$9,900,000		
PGRM	ESTOR: Bulk Storage Incentive	149938	Competitive	Y	Orangeville Energy Storage LLC	Bulk Storage Incentive	1/8/2020	\$2,310,000	\$2,310,000		
PGRM	ESTOR: Bulk Storage Incentive	153425	Competitive	Y	Stillwell Energy Storage, LLC	Bulk Storage Incentive	4/13/2020	\$4,000,000	\$4,000,000		
PGRM	ESTOR: Bulk Storage Incentive	153428	Competitive	Y	Groundvault Energy Storage, LLC	Bulk Storage Incentive	4/13/2020	\$5,000,000	\$5,000,000		
PGRM	ESTOR: Bulk Storage Incentive	153429	Competitive	Y	Cleancar Energy Storage, LLC	Bulk Storage Incentive	4/13/2020	\$6,000,000	\$6,000,000		
PGRM	ESTOR: Bulk Storage Incentive	156187	Competitive	Y	Dimension Energy LLC	utility dispatch rights	7/24/2020	\$1,981,290	\$1,981,290		
PGRM	ESTOR: Bulk Storage Incentive	162341	Competitive	Y	Able Grid Infrastructure Holdings	Bulk Storage Incentive	12/21/2020	\$22,500,000	\$22,500,000		
PGRM	ESTOR: Bulk Storage Incentive	162343	Competitive	Y	NYC Energy LLC	Bulk Storage Incentive	12/21/2020	\$23,970,000	\$23,970,000		
PGRM	ESTOR: Consumer Education	163672	Discretionary	N	Peak Power LI, Inc.	Solar + Storage Outreach	1/25/2021	\$30,000	\$30,000		
PGRM	ESTOR: Retail Storage Incentive	117933	Competitive	Y	Svenski Solar, LLC	NY Sun	9/7/2017		\$1,803,436		
PGRM	ESTOR: Retail Storage Incentive	135848	Competitive	Y	OYA GREAT LAKES SEAWAY LLC	NY Sun	1/7/2019		\$5,625,000		
PGRM	ESTOR: Retail Storage Incentive	135849	Competitive	Y	OYA BLANCHARD ROAD 2 LLC	NY Sun	1/7/2019		\$5,625,000		
PGRM	ESTOR: Retail Storage Incentive	135850	Competitive	Y	OYA NYS RTE 12 LLC	NY Sun	1/7/2019		\$5,625,000		
PGRM	ESTOR: Retail Storage Incentive	137042	Competitive	Y	OYA BLANCHARD ROAD LLC	NY-Sun	2/11/2019		\$5,625,000		
PGRM	ESTOR: Retail Storage Incentive	139952	Competitive	Y	Key Bank	ESTOR-Retail Storage Incentive	5/6/2019		\$810,500	\$798,488	\$798,488
PGRM	ESTOR: Retail Storage Incentive	140385	Competitive	Y	TRC Energy Services	3695 Energy Storage TWO	5/17/2019		\$150,000	\$14,670	\$14,670
PGRM	ESTOR: Retail Storage Incentive	143160	Competitive	Y	Borrego Solar Systems, Inc.	NY-Sun C&I	8/1/2019		\$2,479,209		
PGRM	ESTOR: Retail Storage Incentive	144668	Competitive	Y	CON EDISON SOLUTIONS, INC.	Retail Storage	9/10/2019		\$3,000,000		
PGRM	ESTOR: Retail Storage Incentive	144819	Competitive	Y	NSF Parma Site 2, LLC	NY-Sun C&I	9/12/2019		\$4,799,256		
PGRM	ESTOR: Retail Storage Incentive	144910	Competitive	Y	BQ Energy, LLC	Retail Storage	9/13/2019		\$417,600	\$417,600	\$417,600
PGRM	ESTOR: Retail Storage Incentive	145265	Competitive	Y	ForeFront Power, LLC	Retail Storage	9/24/2019		\$2,000,000		
PGRM	ESTOR: Retail Storage Incentive	145267	Competitive	Y	Cypress Creek Renewables LLC	Retail Storage	9/24/2019		\$814,000		
PGRM	ESTOR: Retail Storage Incentive	145641	Competitive	Y	O'Connell Electric Company	Retail Storage	10/2/2019		\$84,000		
PGRM	ESTOR: Retail Storage Incentive	145706	Competitive	Y	Cypress Creek Renewables LLC	Retail Storage	10/3/2019		\$1,628,000		
PGRM	ESTOR: Retail Storage Incentive	145715	Competitive	Y	ForeFront Power, LLC	Retail Storage	10/4/2019		\$2,000,000		
PGRM	ESTOR: Retail Storage Incentive	147293	Competitive	Y	Wawayanda Solar LLC	Retail Storage	11/8/2019		\$800,000		
PGRM	ESTOR: Retail Storage Incentive	147294	Competitive	N	South Goshen Solar LLC	Retail Storage	11/8/2019		\$3,000,000		
PGRM	ESTOR: Retail Storage Incentive	147295	Competitive	Y	Nexamp Inc.	Retail Storage	11/8/2019		\$1,600,000		
PGRM	ESTOR: Retail Storage Incentive	147695	Competitive	Y	DG New York CS, LLC	Retail Storage	11/18/2019		\$2,400,000		
PGRM	ESTOR: Retail Storage Incentive	147828	Competitive	Y	Borrego Solar Systems, Inc.	Retail Storage	11/20/2019		\$3,000,000		
PGRM	ESTOR: Retail Storage Incentive	147910	Competitive	Y	Source Renewables, LLC	Retail Storage	11/21/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	147911	Competitive	Y	DG New York CS, LLC	Retail Storage	11/22/2019		\$3,000,000		
PGRM	ESTOR: Retail Storage Incentive	148229	Competitive	Y	DG New York CS, LLC	Retail Storage	11/27/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	148288	Competitive	Y	DG New York CS, LLC	Retail Storage	12/3/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	148664	Competitive	Y	Convergent Energy and Power LP	Retail Storage	12/9/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	148894	Competitive	Y	DG New York CS, LLC	Retail Storage	12/12/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	149168	Competitive	Y	Convergent Energy and Power LP	Retail Storage	12/17/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	149207	Competitive	Y	Dimension Energy LLC	Retail Storage	12/18/2019		\$1,109,125		
PGRM	ESTOR: Retail Storage Incentive	149209	Competitive	Y	Convergent Energy and Power LP	Retail Storage	12/18/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	149224	Competitive	Y	Convergent Energy and Power LP	Retail Storage	12/18/2019		\$1,125,000		
PGRM	ESTOR: Retail Storage Incentive	149305	Competitive	Y	Convergent Energy and Power LP	Retail Storage	12/20/2019		\$1,875,000		
PGRM	ESTOR: Retail Storage Incentive	149309	Competitive	Y	DG New York CS, LLC	Retail Storage	12/20/2019		\$1,250,000		
PGRM	ESTOR: Retail Storage Incentive	151059	Competitive	Y	Green Machine Equipment Inc	Retail Storage	2/6/2020		\$70,500		
PGRM	ESTOR: Retail Storage Incentive	153546	Competitive	Y	Solar One, Inc.	Retail Storage	4/17/2020	\$95,900	\$95,900		
PGRM	ESTOR: Retail Storage Incentive	154031	Competitive	Y	Astrum Solar, Inc.	Retail Storage	5/6/2020	\$371,000	\$371,000		
PGRM	ESTOR: Retail Storage Incentive	155151	Competitive	Y	Agilitas Energy, LLC	Retail Storage	6/24/2020	\$4,500,000	\$4,500,000		
PGRM	ESTOR: Retail Storage Incentive	155152	Competitive	Y	Enel X North America Inc	Retail Storage	6/24/2020	\$750,000	\$750,000		
PGRM	ESTOR: Retail Storage Incentive	155916	Competitive	Y	Bright Power, Inc.	Retail Storage	7/17/2020	\$171,000	\$171,000		
PGRM	ESTOR: Retail Storage Incentive	156151	Competitive	N	Summit Ridge Energy, LLC	Retail Storage	7/23/2020	\$4,447,200	\$4,447,200		
PGRM	ESTOR: Retail Storage Incentive	156152	Competitive	N	Summit Ridge Energy, LLC	Retail Storage	7/23/2020	\$4,447,200	\$4,447,200		
PGRM	ESTOR: Retail Storage Incentive	157487	Competitive	N	Sunrise Solar Solutions, LLC	Retail Storage	8/28/2020	\$284,200	\$284,200		
PGRM	ESTOR: Retail Storage Incentive	157489	Competitive	N	Soltage, LLC	Retail Storage	8/28/2020	\$960,000	\$960,000		
PGRM	ESTOR: Retail Storage Incentive	157687	Competitive	N	Borrego Solar Systems, Inc.	Retail Storage	9/2/2020	\$3,600,000	\$3,600,000		
PGRM	ESTOR: Retail Storage Incentive	157819	Competitive	N	E-J Electric Installation Co.	Retail Storage	9/4/2020	\$3,600,000	\$3,600,000		
PGRM	ESTOR: Retail Storage Incentive	157820	Competitive	N	E-J Electric Installation Co.	Retail Storage	9/4/2020	\$3,600,000	\$3,600,000		
PGRM	ESTOR: Retail Storage Incentive	157821	Competitive	N	E-J Electric Installation Co.	Retail Storage	9/4/2020	\$3,600,000	\$3,600,000		
PGRM	ESTOR: Retail Storage Incentive	160703	Competitive	N	CON EDISON SOLUTIONS, INC.	Retail Storage	11/10/2020	\$518,744	\$518,744		
PGRM	ESTOR: Retail Storage Incentive	162156	Competitive	N	SunPower SolarProgram 1, LLC	Retail Storage	12/16/2020	\$1,200,000	\$1,200,000		
PGRM	ESTOR: Retail Storage Incentive	165490	Competitive	N	Sunrise Solar Solutions, LLC	Retail Storage	3/11/2021	\$380,450	\$380,450		
PGRM	ESTOR: Retail Storage Incentive	165491	Competitive	N	Distributed Solar Development, LLC	Retail Storage	3/11/2021	\$887,898	\$887,898		
PGRM	ESTOR: Retail Storage Incentive	165492	Competitive	N	Distributed Solar Development, LLC	Retail Storage	3/11/2021	\$348,775	\$348,775		
PGRM	ESTOR: Retail Storage Incentive	143203A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun C&I Assignment	1/13/2020		\$3,781,120		
PGRM	ESTOR: Retail Storage Incentive	145267A	Competitive	Y	Generate NY Community Solar Lessor III,	Retail Storage	12/30/2020	\$814,000	\$814,000		
PGRM	ESTOR: Retail Storage Incentive	145716A	Competitive	Y	AMP US HoldCo Inc.	Retail Storage	3/16/2020		\$2,358,000		
PGRM	ESTOR: Retail Storage Incentive	145718A	Competitive	Y	AMP US HoldCo Inc.	Retail Storage	3/19/2020		\$2,358,000		
PGRM	ESTOR: Retail Storage Incentive	145721A	Competitive	Y	AMP US HoldCo Inc.	Retail Storage	3/19/2020		\$2,358,000		
PGRM	ESTOR: Retail Storage Incentive	149207A	Competitive	Y	Algonquin Power Fund America Inc	Retail Storage	7/22/2020	\$1,109,125	\$1,109,125		
PGRM	Eval MDCD LMI	157943	Competitive	N	Industrial Economics, Incorporated	TWO 3 QA Benchmarking Project	9/10/2020	\$50,000	\$50,000	\$26,624	\$26,624
PGRM	EVALUATION	160486	Non-Competitive	N	Industrial Economics, Incorporated	Market Evaluation Dev. Study	11/4/2020				

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	EVPRZ:Implementation	160599	Discretionary	N	Cal Harris	Prize Program Implementation	11/9/2020	\$50,000	\$50,000	\$3,633	\$3,633
PGRM	EVPRZ:Implementation	162073	Competitive	Y	The Common Pool, LLC	Prize Administrator	12/16/2020	\$2,298,000	\$2,298,000	\$532,500	\$532,500
PGRM	EXISTING FACILITIES	37366	Competitive	Y	Arcadis U.S., Inc.	Tech Review & Program Staff	11/15/2013		\$2,055,272	\$7,338	\$1,530,999
PGRM	EXISTING FACILITIES	37368	Competitive	Y	Energy & Resource Solutions, Inc.	Technical Review and Program S	11/15/2013		\$2,527,126	\$35,518	\$2,265,286
PGRM	EXISTING FACILITIES	37373	Competitive	Y	EME Consulting Engineering Group, LLC	Tech Review and Program Staff	11/15/2013		\$1,034,827		\$986,874
PGRM	EXISTING FACILITIES	37391	Competitive	Y	LaBella Associates, P.C.	Technical Review and Program S	11/15/2013		\$319,466	\$2,618	\$243,209
PGRM	EXISTING FACILITIES	37394	Competitive	Y	Kilowatt Engineering, Inc.	2621 Umbrella Contract	11/15/2013		\$534,891	\$8,952	\$452,188
PGRM	EXISTING FACILITIES	37398	Competitive	Y	Nexant, Incorporated	Technical Review and Program S	11/15/2013		\$1,213,412	\$739	\$1,166,982
PGRM	EXISTING FACILITIES	120496	Competitive	Y	EME Consulting Engineering Group, LLC	RFP3628FlexTechConsultUmbrella	11/10/2017		\$85,000		\$78,794
PGRM	Financing Solutions	138676	Competitive	Y	Yale University	U.S. DOE SEP Grant for oTherm	3/29/2019		\$98,611	\$69,962	\$69,962
PGRM	Financing Solutions	138677	Competitive	Y	University System of New Hampshire	U.S. DOE SEP Grant for oTherm	3/29/2019		\$142,502	\$32,302	\$71,726
PGRM	Financing Solutions	138948	Discretionary	N	The Cadmus Group, LLC	Market research for SMBE	4/8/2019		\$49,770		\$49,745
PGRM	Flexible Tech Assistance	110192	Competitive	Y	Trane	FlexTech Consultant Selection	2/13/2017		\$24,036	\$24,036	\$24,036
PGRM	Flexible Tech Assistance	114857	Competitive	Y	Colliers International Holdings USA, Inc	Flexible Tech Assistance	6/14/2017		\$5,675		\$0
PGRM	Flexible Tech Assistance	117270	Competitive	Y	S. And T. Paneth M. And I. Braunstein	FT11594 - Harborview Senior Li	8/17/2017		\$7,553		
PGRM	Flexible Tech Assistance	117773	Competitive	Y	Sheephead Bay Nursing Home and Rehabil	FlexTech Program	8/31/2017		\$7,518		
PGRM	Flexible Tech Assistance	119059	Competitive	Y	Albert Einstein College of Medicine Inc.	FlexTech Program - PON1746	10/4/2017		\$85,980	\$85,980	\$85,980
PGRM	Flexible Tech Assistance	119382	Competitive	Y	CHA Consulting Inc.	RFP3628FlexTechConsultUmbrella	10/17/2017		\$233,104	\$406	\$153,335
PGRM	Flexible Tech Assistance	120013	Competitive	Y	Code Green Solutions, Inc.	RFP3628FlexTechConsultUmbrella	11/1/2017		\$316,489	\$67,873	\$271,489
PGRM	Flexible Tech Assistance	120136	Competitive	Y	Bergmann Associates, Architects,	FlexTech Umbrella Agreement	11/3/2017		\$95,355	\$2,441	\$75,051
PGRM	Flexible Tech Assistance	120208	Competitive	Y	M/E Engineering, P.C.	RFP3628FlexTechConsultUmbrella	11/6/2017		\$211,415		\$199,783
PGRM	Flexible Tech Assistance	120490	Competitive	N	Antares Group, Inc.	RFP3628FlexTechConsultUmbrella	11/10/2017		\$37,171	\$1,845	\$37,171
PGRM	Flexible Tech Assistance	121439	Competitive	Y	O'Brien & Gere Engineers, Inc.	RFP3628FlexTechConsultUmbrella	12/7/2017		\$22,260		\$22,258
PGRM	Flexible Tech Assistance	122035	Competitive	Y	Oceanview Manor Acquisitions LLC	FlexTech Program PON1746V3	12/22/2017		\$5,133		
PGRM	Flexible Tech Assistance	122338	Competitive	Y	LaBella Associates, P.C.	RFP3628FlexTechConsultUmbrella	1/5/2018		\$79,750	\$2,500	\$75,115
PGRM	Flexible Tech Assistance	123212	Competitive	Y	Steven Winter Associates, Inc.	RFP3628FlexTechConsultUmbrella	2/7/2018		\$230,662	\$9,614	\$199,174
PGRM	Flexible Tech Assistance	124249	Competitive	Y	Ogden Cap Properties, LLC	FT11665 - Normandie Ct.	3/8/2018		\$9,724		\$8,750
PGRM	Flexible Tech Assistance	124250	Competitive	Y	Ogden Cap Properties, LLC	FT11666 - Windsor Court	3/8/2018		\$10,547		
PGRM	Flexible Tech Assistance	124253	Competitive	Y	Ogden Cap Properties, LLC	FT11667 - Biltmore Plaza	3/9/2018		\$9,329	\$9,329	\$9,329
PGRM	Flexible Tech Assistance	130082	Competitive	Y	Northwell Health, Inc.	FT12013 - Northwell Health	8/14/2018		\$26,280	\$26,280	\$26,280
PGRM	Flexible Tech Assistance	133013	Competitive	Y	Green 485 Owner LLC	FT12031 - Green 485 - PON	10/24/2018		\$23,750		
PGRM	Flexible Tech Assistance	135614	Competitive	Y	L&S Energy Services, Inc.	L&S GJGNY Energy Audits	12/28/2018	\$1,749,460	\$2,655,110	\$695,670	\$1,077,281
PGRM	Flexible Tech Assistance	137086	Competitive	Y	Code Green Solutions, Inc.	FT12064-ABS-915 Broadway-FTC	2/13/2019		\$11,625	\$5,700	\$11,625
PGRM	Flexible Tech Assistance	137087	Competitive	Y	Code Green Solutions, Inc.	FT12065 - Fraglow-8W 38th-FTC	2/13/2019		\$14,250	\$7,286	\$14,250
PGRM	Flexible Tech Assistance	137131	Competitive	Y	Wendel Energy Services, LLC	FT12078OrleansCounty Water-FTC	2/13/2019		\$24,027	\$2,406	\$24,027
PGRM	Flexible Tech Assistance	137167	Competitive	Y	EME Consulting Engineering Group, LLC	FT12080-NYU Langone Bklyn-FTC	2/14/2019		\$60,000	\$23,576	\$60,000
PGRM	Flexible Tech Assistance	137169	Competitive	Y	Forestville Central School District	FT12079 - Forestville CSD- PON	2/14/2019		\$12,000	\$12,000	\$12,000
PGRM	Flexible Tech Assistance	137175	Competitive	Y	Code Green Solutions, Inc.	FT12066-Silk&HalpernRealty-FTC	2/14/2019		\$17,100	\$8,939	\$17,100
PGRM	Flexible Tech Assistance	137176	Competitive	Y	PGREF V 1301 Participating, LP	FT12071-Paramount 1301 AOA-PON	2/14/2019		\$39,400		
PGRM	Flexible Tech Assistance	137177	Competitive	Y	Eneractive Solutions	FT12081-CBRE5 BryantEnergy-FTC	2/14/2019		\$35,850	\$35,850	\$35,850
PGRM	Flexible Tech Assistance	137179	Competitive	Y	Code Green Solutions, Inc.	FT12082-Glenhill Assoc307W-FTC	2/14/2019		\$15,500		\$10,756
PGRM	Flexible Tech Assistance	137180	Competitive	Y	Code Green Solutions, Inc.	FT12083-Met Tower Diamond-FTC	2/14/2019		\$24,375	\$16,949	\$24,375
PGRM	Flexible Tech Assistance	137761	Competitive	Y	EMCOR Services Betlem	FT12084 - Gleason Works - FTC	3/6/2019		\$6,608	\$1,817	\$6,608
PGRM	Flexible Tech Assistance	138093	Competitive	Y	Code Green Solutions, Inc.	FT12063- ABS 270 Madison - FTC	3/15/2019		\$12,375	\$6,183	\$12,375
PGRM	Flexible Tech Assistance	138138	Competitive	Y	Loring Consulting Engineers, Inc.	FT12099 - 25-30 Columbia - FTC	3/19/2019		\$45,712	\$1,566	\$45,712
PGRM	Flexible Tech Assistance	138348	Competitive	Y	Etsy, Inc.	FT12103-Etsy BK HQ - PON	3/22/2019		\$19,943		
PGRM	Flexible Tech Assistance	138949	Competitive	Y	Code Green Solutions, Inc.	FT12201 - Super Nova 330 - FTC	4/8/2019		\$19,000	\$5,495	\$19,000
PGRM	Flexible Tech Assistance	139000	Competitive	Y	Goldman Copeland Associates, P.C.	FT12086-RFR Realty-390Park-FTC	4/9/2019		\$18,997	\$18,997	\$18,997
PGRM	Flexible Tech Assistance	139044	Competitive	Y	Jaros, Baum & Bolles	FT12094 125 Broad-CushWake-FTC	4/10/2019		\$40,780	\$40,780	\$40,780
PGRM	Flexible Tech Assistance	139097	Competitive	Y	Code Green Solutions, Inc.	FT12202 - 3ColumbusCircle - FT	4/11/2019		\$22,950	\$5,736	\$22,950
PGRM	Flexible Tech Assistance	139300	Competitive	Y	The Hartz Group, Inc.	FT12076 - 667 Madison - PON	4/18/2019		\$39,200	\$39,200	\$39,200
PGRM	Flexible Tech Assistance	139301	Competitive	Y	EMCOR Services Betlem	FT12207-AmericanPack-FTC	4/18/2019		\$10,331		\$9,448
PGRM	Flexible Tech Assistance	139437	Competitive	Y	Group-S LLC	FT12211 - 90 Broad - FTC	4/23/2019		\$29,000	\$29,000	\$29,000
PGRM	Flexible Tech Assistance	139667	Competitive	Y	Northwell Health, Inc.	FT12208 -N Westchester CHP-PON	4/28/2019		\$17,618	\$17,618	\$17,618
PGRM	Flexible Tech Assistance	139770	Competitive	Y	Amsterdam Nursing Home Corporation	FT12209 - AmsNursingHome - PON	4/30/2019		\$15,309		
PGRM	Flexible Tech Assistance	139772	Competitive	Y	Amsterdam Nursing Home Corporation	FT12215-AmsterdamNursing-PON	4/30/2019		\$11,913		
PGRM	Flexible Tech Assistance	140061	Competitive	Y	The Hartz Group, Inc.	FT12214 - Soho Grand Hotel-PON	5/8/2019		\$32,800	\$32,800	\$32,800
PGRM	Flexible Tech Assistance	140063	Competitive	Y	The Hartz Group, Inc.	FT12213 - Roxy Hotel - PON	5/8/2019		\$34,400	\$34,400	\$34,400
PGRM	Flexible Tech Assistance	140198	Competitive	Y	Jaros, Baum & Bolles	FT12216 - 55 Water - FTC	5/13/2019		\$40,000	\$38,642	\$38,642
PGRM	Flexible Tech Assistance	140201	Competitive	Y	Frewsburg Central School	FT12218 - Frewsburg CSD - PON	5/13/2019		\$22,900	\$22,900	\$22,900
PGRM	Flexible Tech Assistance	140381	Competitive	Y	Altanova LLC	FT12220-Cush&Wake-MC-CHP-FTC	5/17/2019		\$47,546	\$1,076	\$38,411
PGRM	Flexible Tech Assistance	140908	Competitive	Y	Altanova LLC	FT12223-MealsWheels-SI-CHP-FTC	5/31/2019		\$39,240		\$29,614
PGRM	Flexible Tech Assistance	140954	Competitive	Y	Group-S LLC	FT12224-2 CharltonHouseCHP-FTC	6/3/2019		\$30,750		
PGRM	Flexible Tech Assistance	141261	Competitive	Y	CHA Consulting Inc.	FT12226-UltraDairy-6750W-FTC	6/11/2019		\$106,000	\$23,499	\$57,989
PGRM	Flexible Tech Assistance	141403	Competitive	Y	Otsego County	FT12210 - Otsego County - PON	6/14/2019		\$13,750	\$13,750	\$13,750
PGRM	Flexible Tech Assistance	141404	Competitive	Y	C.J. Brown Energy, P.C.	FT12228-ErieCo-92Franklin-FTC	6/14/2019		\$76,749	\$7,675	\$76,749
PGRM	Flexible Tech Assistance	141495	Competitive	Y	Code Green Solutions, Inc.	FT12230-CBRE-123 William-FTC	6/18/2019		\$24,000	\$6,000	\$18,006
PGRM	Flexible Tech Assistance	141689	Competitive	Y	SL Green Management	FT12233 - 420 Lexington - PON	6/21/2019		\$29,900	\$29,900	\$29,900
PGRM	Flexible Tech Assistance	142030	Competitive	Y	Code Green Solutions, Inc.	FT12235-OneCity-111 8th-FTC	7/1/2019		\$22,500	\$9,005	\$22,500
PGRM	Flexible Tech Assistance	142093	Competitive	Y	CHA Consulting Inc.	FT12234-Lydall-68 George-FTC	7/2/2019		\$7,695	\$2,123	\$7,686
PGRM	Flexible Tech Assistance	142235	Competitive	Y	Noresco LLC	FT12231 - NYPH - Multi - FTC	7/8/2019		\$178,840	\$60,049	\$60,049
PGRM	Flexible Tech Assistance	142407	Competitive	Y	Smith Engineering PLLC	FT12237-Cornell-96IEShore-FTC	7/11/2019		\$49,530	\$30,600	\$49,530
PGRM	Flexible Tech Assistance	142410	Competitive	Y	AKF-engineers	FT12239-MetropolitanClub-FTC	7/11/2019		\$29,600		
PGRM	Flexible Tech Assistance	142411	Competitive	Y	Code Green Solutions, Inc.	FT12240-RXR Realty-530 5th-FTC	7/11/2019		\$19,750	\$9,783	\$17,775
PGRM	Flexible Tech Assistance	142675	Competitive	Y	Group-S LLC	FT12243-TempleIsraelOfNR-FTC	7/18/2019		\$8,500	\$8,500	\$8,500
PGRM	Flexible Tech Assistance	143732	Competitive	Y	SJP TS, LLC	FT12252-11 Times Square-PON	8/14/2019		\$12,000	\$12,000	\$12,000
PGRM	Flexible Tech Assistance	143735	Competitive	Y	Mount Sinai Medical Center	FT12248-Mt Sinai-Brooklyn-PON	8/14/2019		\$17,850		
PGRM	Flexible Tech Assistance	143943	Competitive	Y	Bright Power, Inc.	FT12246-HamptonInnTimesSqr-FTC	8/20/2019		\$13,490	\$13,395	\$13,395
PGRM	Flexible Tech Assistance	143944	Competitive	Y	Bright Power, Inc.	FT12247-HiltonGdnTimesSqr-FTC	8/20/2019		\$15,160	\$15,160	\$15,160
PGRM	Flexible Tech Assistance	143981	Competitive	Y	Cayuga / Onondaga BOCES	FT12254 - Cayuga BOCES - PON	8/21/2019		\$21,400	\$21,400	\$21,400
PGRM	Flexible Tech Assistance	144627	Competitive	Y	Code Green Solutions, Inc.	FT12251 - Macklowe - FTC	9/9/2019		\$19,750	\$8,478	\$19,750

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PGRM	Flexible Tech Assistance	144818	Competitive	Y	Arcadis of New York, Inc.	FT12260 - GI Joint WWTF - FTC	9/12/2019		\$17,950		\$17,949
PGRM	Flexible Tech Assistance	145071	Competitive	Y	CHA Consulting Inc.	FT12261 - Union Coll CHP - FTC	9/18/2019		\$222,450	\$133,618	\$138,499
PGRM	Flexible Tech Assistance	145439	Competitive	Y	Group-S LLC	FT12265 - AST Financial - FTC	9/27/2019		\$9,250	\$9,250	\$9,250
PGRM	Flexible Tech Assistance	145445	Competitive	Y	Vidaris, Inc.	FT12256 - Trinity Hudson - FTC	9/27/2019		\$50,120	\$21,041	\$29,193
PGRM	Flexible Tech Assistance	145519	Competitive	Y	M/E Engineering, P.C.	FT12268 - PRI - FTC	9/30/2019		\$5,214		\$3,857
PGRM	Flexible Tech Assistance	145650	Competitive	Y	Barton & Loquidice, D. P.C.	FT12263 - Chenango WWTP - FTC	10/2/2019		\$5,959	\$5,957	\$5,957
PGRM	Flexible Tech Assistance	145654	Competitive	Y	City of Middletown	FT12264 - Middletown WWTP - PON	10/2/2019		\$36,147	\$36,147	\$36,147
PGRM	Flexible Tech Assistance	146143	Competitive	Y	EME Consulting Engineering Group, LLC	FT12267 - Barnard College- FTC	10/15/2019		\$30,000		\$5,087
PGRM	Flexible Tech Assistance	146148	Competitive	Y	Goldman Copeland Associates, P.C.	FT12275 - Cushman - FTC	10/15/2019		\$11,985		
PGRM	Flexible Tech Assistance	146225	Competitive	Y	Jaros, Baum & Bolles	FT12274 - 80 Pine - FTC	10/17/2019		\$14,217	\$14,217	\$14,217
PGRM	Flexible Tech Assistance	146434	Competitive	Y	Jones Lang LaSalle Americas, Inc.	FT12273 - IBM 2455 South - PON	10/22/2019		\$85,979	\$85,979	\$85,979
PGRM	Flexible Tech Assistance	146626	Competitive	Y	Code Green Solutions, Inc.	FT12269 - 1211 6th - FTC	10/25/2019		\$15,000	\$15,000	\$15,000
PGRM	Flexible Tech Assistance	146971	Competitive	Y	LaBella Associates, P.C.	FT12278 - 3 Pultney - FTC	11/1/2019		\$60,000	\$21,901	\$21,901
PGRM	Flexible Tech Assistance	147150	Competitive	Y	Group-S LLC	FT12280 - 3611 14th - FTC	11/5/2019		\$15,500	\$15,500	\$15,500
PGRM	Flexible Tech Assistance	147227	Competitive	Y	Eneractive Solutions	FT12281 - Schwartz - FTC	11/6/2019		\$22,950		
PGRM	Flexible Tech Assistance	147280	Competitive	Y	Eneractive Solutions	FT12282 - Main Campus - FTC	11/7/2019		\$101,380		
PGRM	Flexible Tech Assistance	147780	Competitive	Y	Code Green Solutions, Inc.	FT12284 - 2 Penn Plaza - FTC	11/19/2019		\$26,000	\$26,000	\$26,000
PGRM	Flexible Tech Assistance	148105	Competitive	Y	Jaros, Baum & Bolles	FT12286 - 3 Times Square - FTC	11/26/2019		\$22,250	\$22,250	\$22,250
PGRM	Flexible Tech Assistance	148106	Competitive	Y	Jaros, Baum & Bolles	FT12287 - 6 MetroTech - FTC	11/26/2019		\$47,388	\$47,358	\$47,358
PGRM	Flexible Tech Assistance	148659	Competitive	Y	C&S Engineers, Inc.	FT12290 - Lockheed - FTC	12/9/2019		\$81,714	\$81,699	\$81,699
PGRM	Flexible Tech Assistance	148728	Competitive	Y	Savanna Services, LLC	FT12293 - Savanna - PON	12/10/2019	\$107,625	\$107,625		
PGRM	Flexible Tech Assistance	148954	Competitive	Y	Mount Sinai St. Lukes	FT12292 - 1000 10th - PON	12/17/2019	\$73,763	\$73,763		
PGRM	Flexible Tech Assistance	149631	Competitive	Y	CHA Consulting Inc.	FT12295 - HVCC - CHP	12/30/2019		\$147,270	\$131,002	\$131,002
PGRM	FLEXIBLE TECHNICAL ASSISTANCE	37376	Competitive	Y	L&S Energy Services, Inc.	Umbrella Contract	11/15/2013		\$3,089,343	\$186,168	\$2,572,996
PGRM	FLEXIBLE TECHNICAL ASSISTANCE	57871	Competitive	Y	C.T. Male Associates Engineering,	FlexTech Consultant Selection	1/27/2015		\$116,371	\$886	\$107,920
PGRM	FLEXIBLE TECHNICAL ASSISTANCE	59646	Competitive	Y	Altanova LLC	FlexTech Consultant Selection	2/24/2015		\$147,211		\$143,844
PGRM	FUEL CELLS	81712	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #1215	11/20/2015		\$1,000,000	\$105,000	\$1,000,000
PGRM	FUEL CELLS	81714	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #6152	11/20/2015		\$936,758	\$93,675	\$936,758
PGRM	FUEL CELLS	82000	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #1249	11/20/2015		\$1,000,000	\$105,000	\$1,000,000
PGRM	FUEL CELLS	82003	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #1251	11/20/2015		\$925,912	\$92,591	\$925,912
PGRM	FUEL CELLS	82016	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #1281	11/23/2015		\$1,000,000	\$105,000	\$1,000,000
PGRM	FUEL CELLS	82019	Competitive	Y	2015 ESA Project Company, LLC	RPS FC - Home Depot #8456	11/23/2015		\$774,611	\$154,922	\$774,611
PGRM	FUEL CELLS	86914	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	87372	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	87373	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	87386	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	87387	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	87388	Competitive	Y	Queens Center REIT, LLC	RPS FC - Macerich Mall meter #	1/14/2016		\$420,000	\$210,000	\$420,000
PGRM	FUEL CELLS	101431	Competitive	Y	Bloom Energy Corporation	CST FC Home Depot 1223	7/22/2016		\$963,837	\$192,767	\$963,837
PGRM	FUEL CELLS	101432	Competitive	Y	Bloom Energy Corporation	HD #1263-North Greenbush, NY	7/22/2016		\$998,817	\$199,763	\$998,817
PGRM	FUEL CELLS	101433	Competitive	Y	Bloom Energy Corporation	HD #1239-Schenectady, NY	7/22/2016		\$883,262	\$176,652	\$883,261
PGRM	FUEL CELLS	101434	Competitive	Y	Bloom Energy Corporation	HD #1241-Albany, NY	7/22/2016		\$900,866	\$180,172	\$900,866
PGRM	FUEL CELLS	101435	Competitive	Y	Bloom Energy Corporation	HD #1262-Albany, NY	7/22/2016		\$767,533	\$153,506	\$767,532
PGRM	FUEL CELLS	101436	Competitive	Y	Bloom Energy Corporation	HD #1289-Amsterdam, NY	7/22/2016		\$930,146	\$186,028	\$930,146
PGRM	FUEL CELLS	101437	Competitive	Y	Bloom Energy Corporation	HD #1269-Clifton Park, NY	7/22/2016		\$948,572	\$189,714	\$948,571
PGRM	FUEL CELLS	101438	Competitive	Y	Bloom Energy Corporation	HD #1236-Dewitt, NY	7/22/2016		\$898,395	\$179,678	\$898,395
PGRM	FUEL CELLS	101570	Competitive	Y	WGL Energy Systems, Inc.	CST FC Marcus Garvey	7/26/2016		\$600,000	\$150,000	\$600,000
PGRM	FUEL CELLS	101572	Competitive	Y	Bloom Energy Corporation	HD #1257-Camillus, NY	7/27/2016		\$962,399	\$192,480	\$962,399
PGRM	FUEL CELLS	101573	Competitive	Y	Bloom Energy Corporation	HD #1254-Utica, NY	7/27/2016		\$943,929	\$188,786	\$943,929
PGRM	FUEL CELLS	101574	Competitive	Y	Bloom Energy Corporation	HD #1235-Cicero, NY	7/27/2016		\$781,518	\$156,303	\$781,518
PGRM	FUEL CELLS	101575	Competitive	Y	Bloom Energy Corporation	HD #6153-Clay, NY	7/27/2016		\$894,334	\$178,866	\$894,332
PGRM	FUEL CELLS	136114	Competitive	Y	Fordham University	Fuel Cell Incentive - FC-013	1/15/2019		\$288,750	\$288,750	\$288,750
PGRM	FUEL CELLS	139948	Competitive	Y	CertainSolar, Inc.	Fuel Cell Incentive - FC-027	5/6/2019		\$1,000,000		
PGRM	FUEL CELLS	141868	Competitive	Y	2018 ESA Project Company, LLC	Fuel Cell Incentive - FC-025	6/26/2019		\$948,750	\$474,375	\$474,375
PGRM	FUEL CELLS	143570	Competitive	Y	IKEA US Retail LLC	Fuel Cell Incentive - FC-022	8/9/2019		\$288,750	\$144,375	\$144,375
PGRM	FUEL CELLS	143772	Competitive	Y	IKEA US Retail LLC	Fuel Cell Incentive - IKEA 1 B	8/15/2019		\$165,000	\$82,500	\$82,500
PGRM	FUEL CELLS	148511	Competitive	Y	Daroga Power LLC	Fuel Cell Incentive FC-028 IL	12/5/2019		\$500,000		
PGRM	FUEL CELLS	149299	Competitive	Y	Daroga Power LLC	Fuel Cell Incentive - FC-030	12/20/2019		\$500,000		
PGRM	FUEL CELLS	149302	Competitive	Y	Northwell Health, Inc.	Fuel Cell Incentive - FC-037	12/20/2019	\$550,000	\$550,000		
PGRM	FUEL CELLS	149692	Competitive	Y	Verari Financial Services, LLC	Fuel Cell Incentive - Mt Sinai	12/31/2019		\$1,000,000		
PGRM	FUEL CELLS	149718	Competitive	Y	Verari Financial Services, LLC	Fuel cell Incentive - FC-038	12/31/2019		\$862,500		
PGRM	FUEL CELLS	149720	Competitive	Y	Verari Financial Services, LLC	Fuel Cell Incentive - FC-036	12/31/2019		\$1,000,000		
PGRM	FUEL CELLS	149722	Competitive	Y	Allen Power, Inc.	Fuel Cell Incentive - FC-042	12/31/2019	\$862,500	\$862,500		
PGRM	FUEL CELLS	149723	Competitive	Y	Cablevision of Southern Westchester, Inc	Fuel Cell Incentive - FC-042	12/31/2019		\$1,000,000		
PGRM	FUEL CELLS	139948A	Competitive	Y	Annadale Community Clean Energy Projects	Fuel Cell Incentive - FC-027	2/18/2020	\$1,000,000	\$1,000,000	\$500,000	\$500,000
PGRM	FUEL NY	37615	Competitive	Y	NIC Holding Corp	NYS Strategic Gasoline Reserve	11/22/2013		\$9,950,708		\$9,950,708
PGRM	FUEL NY	37615	Non-Competitive	Y	NIC Holding Corp	NYS Strategic Gasoline Reserve	11/22/2013	\$515,000	\$3,090,000	\$514,897	\$2,947,980
PGRM	FUEL NY	107047	Competitive	Y	Astoria Fuel & Convenience Store Inc	PGIO0238 - Astoria Fuel & Conv	1/18/2016		\$91,544	\$0	\$91,544
PGRM	FUEL NY	108719	Competitive	Y	10th Ave Petroleum LLC	PGIO0296 - 10th Avenue Petrole	1/5/2017		\$126,596	\$0	\$126,596
PGRM	FUEL NY	108871	Competitive	Y	575 Fuel Inc	PGIO0299 - 575 Fuel Inc.	1/10/2017		\$109,325	\$0	\$109,325
PGRM	FUEL NY	112386	Competitive	Y	TROPICANA MART INC	PGIO0249 - Tropicana Mart Inc.	4/4/2017		\$118,621	\$0	\$118,621
PGRM	FUEL NY	48593-A	Competitive	N	Buckeye Energy Services, LLC	Upstate Strategic Fuels Reserv	10/28/2014		\$8,600,000		\$8,562,520
PGRM	Geothermal Incentive Program	37379	Competitive	Y	Taitem Engineering, P.C.	Technical Review and Program S	11/15/2013		\$731,282	\$25,749	\$637,806
PGRM	Geothermal Incentive Program	114898	Discretionary	Y	New York Geothermal Energy Organization	GSHP Mentoring Program	6/19/2017		\$44,700		
PGRM	Geothermal Incentive Program	122478	Competitive	Y	Buffalo Geothermal Heating	Large-Scale GSHP Rebate	1/10/2018		\$37,704		\$36,742
PGRM	Geothermal Incentive Program	126091	Competitive	Y	Buffalo Geothermal Heating	Large-Scale GSHP Rebate	4/24/2018		\$390,036		\$156,014
PGRM	Geothermal Incentive Program	128085	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	6/20/2018		\$794,760	\$238,428	\$317,904
PGRM	Geothermal Incentive Program	137524	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	2/26/2019		\$129,396	\$77,638	\$129,396
PGRM	Geothermal Incentive Program	137528	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	2/26/2019		\$178,944	\$161,050	\$178,944
PGRM	Geothermal Incentive Program	138109	Competitive	Y	Earth Sensitive Solutions, LLC	Large scale GSHP rebate	3/18/2019		\$22,800	\$22,800	\$22,800
PGRM	Geothermal Incentive Program	140910	Competitive	N	Buffalo Geothermal Heating	Geothermal Incentive Program	5/31/2019		\$107,688		\$43,075

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PGRM	Geothermal Incentive Program	141704	Competitive	N	Buffalo Geothermal Heating	Large-Scale GSHP Rebate	6/21/2019		\$18,504	\$1,850	\$18,504
PGRM	Geothermal Incentive Program	141796	Competitive	N	Snyder Manufacturing, Inc.	Large-Scale GSHP Rebate	6/25/2019		\$34,392		\$13,757
PGRM	Geothermal Incentive Program	142033	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	7/1/2019		\$47,004	\$42,304	\$47,004
PGRM	Geothermal Incentive Program	146033	Competitive	Y	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	10/14/2019		\$256,200	\$256,200	\$256,200
PGRM	Geothermal Incentive Program	148449	Competitive	N	Vermont Energy Investment Corporation	Heat Pump Supplemental Heat An	12/14/2019		\$50,000		\$43,621
PGRM	Geothermal Incentive Program	148724	Competitive	N	Earth Sensitive Solutions, LLC	Large-Scale GSHP Rebate	12/10/2019		\$331,200	\$331,200	\$331,200
PGRM	Geothermal Incentive Program	148730	Competitive	N	Buffalo Geothermal Heating	Large-Scale GSHP Rebate	12/10/2019		\$18,504	\$11,102	\$18,504
PGRM	Geothermal Incentive Program	148955	Competitive	N	LaBella Associates, P.C.	Large-Scale GSHP Rebate	12/17/2019	\$103,812	\$103,812	\$10,381	\$10,381
PGRM	Geothermal Incentive Program	149384	Competitive	Y	LaBella Associates, P.C.	Large-Scale GSHP Rebate	12/20/2019		\$152,000		
PGRM	Geothermal Incentive Program	149789	Competitive	N	LaBella Associates, P.C.	Large-Scale GSHP Rebate	1/3/2020		\$204,000	\$20,400	\$20,400
PGRM	Geothermal Incentive Program	149798	Competitive	Y	LaBella Associates, P.C.	Large-Scale GSHP Rebate	1/3/2020		\$452,000		
PGRM	Geothermal Incentive Program	149799	Competitive	N	LaBella Associates, P.C.	Large-Scale GSHP Rebate	1/3/2020		\$192,000	\$192,000	\$192,000
PGRM	Geothermal Incentive Program	120144A	Competitive	Y	University at Albany	GSHP Incentive	3/1/2018		\$500,000		\$200,000
PGRM	GJGNY Market Rate Audits	147626	Competitive	Y	CLEARResult Consulting, Inc.	TWO #13 - SFR	11/15/2019		\$826,593	\$95,820	\$104,119
PGRM	Greenhouse Light & Systems Eng	107301	Non-Competitive	Y	Cornell University	Greenhouse Light & Systems Eng	11/28/2016		\$3,120,000	\$212,725	\$909,627
PGRM	Greenhouse Light & Systems Eng	107303	Non-Competitive	Y	Rensselaer Polytechnic Institute	Greenhouse Light & Systems Eng	11/28/2016		\$1,880,000	\$28,500	\$600,000
PGRM	GREENING THE BRONX	52238	Competitive	Y	New York Restoration Project	Greening The Bronx	11/13/2014		\$2,249,823		\$2,175,204
PGRM	GREENING THE BRONX	131513	Competitive	Y	City Parks Foundation	GREENING THE BRONX	9/19/2018		\$954,042		\$684,750
PGRM	GREENING THE BRONX	131517	Competitive	Y	Trees New York	GREENING THE BRONX	9/19/2018	\$156,976	\$579,819	\$127,471	\$457,240
PGRM	Healthy Homes Feasibility	106527	Non-Competitive	N	Green & Healthy Homes Initiative, Inc	Healthy Homes Initiative	11/8/2016		\$212,147		\$177,126
PGRM	Healthy Homes VB Payment Pilot	144815	Non-Competitive	Y	Health Research, Inc.	NYS HH VBP Pilot Health Implem	9/12/2019		\$2,943,654		\$864,995
PGRM	High Performing Grid	101136	Competitive	Y	Electric Power Research Institute	RFP3265 Umbrella Contract	7/15/2016		\$440,000	\$207,118	\$207,118
PGRM	High Performing Grid	101136	Discretionary	Y	Electric Power Research Institute	RFP3265 Umbrella Contract	7/15/2016	\$20,000	\$50,000	\$49,461	\$49,461
PGRM	High Performing Grid	112721	Competitive	Y	Rensselaer Polytechnic Institute	PON 3397 CAT A	4/12/2017		\$245,482	\$48,000	\$200,000
PGRM	High Performing Grid	112723	Competitive	Y	Electric Power Research Institute	PON 3397 CAT B	4/12/2017		\$255,015		\$70,000
PGRM	High Performing Grid	112759	Competitive	Y	New York Power Authority	PON 3397 CAT B	4/13/2017		\$400,000	\$310,000	\$310,000
PGRM	High Performing Grid	112762	Competitive	Y	Electric Power Research Institute	PON 3397 CAT D	4/13/2017		\$1,102,100	\$40,300	\$196,200
PGRM	High Performing Grid	112763	Competitive	Y	Booz Allen Hamilton, Inc.	PON 3397 CAT D	4/13/2017		\$750,000	\$330,000	\$750,000
PGRM	High Performing Grid	113791	Competitive	Y	Metropolitan Transportation Authority	PON 3397 CAT D	5/15/2017		\$1,700,000	\$400,000	\$555,000
PGRM	High Performing Grid	118218	Competitive	Y	Electric Power Research Institute	PON 3397 Round 2 - Category A	9/18/2017		\$399,000	\$397,000	\$399,000
PGRM	High Performing Grid	118219	Competitive	Y	Quanta Technology LLC	PON 3397 Round 2 - Category A	9/18/2017		\$399,990	\$92,990	\$399,990
PGRM	High Performing Grid	118220	Competitive	Y	Quanta Technology LLC	PON 3397 Round 2 - Category A	9/18/2017		\$400,000	\$24,837	\$400,000
PGRM	High Performing Grid	118222	Competitive	Y	The Research Foundation for the State	PON 3397 Round 2 - Category A	9/18/2017		\$384,138		\$141,181
PGRM	High Performing Grid	118223	Competitive	Y	JEM ENGINEERING SERVICES	PON 3397 Round 2 - Category A	9/18/2017		\$216,195	\$126,513	\$176,196
PGRM	High Performing Grid	118224	Competitive	Y	Electric Power Research Institute	PON 3397 Round 2 - Category D	9/18/2017		\$982,315	\$175,000	\$227,000
PGRM	High Performing Grid	118420	Competitive	Y	Research Foundation of CUNY	PON 3397 Round 2 - Category A	9/19/2017		\$278,675	\$90,000	\$249,975
PGRM	High Performing Grid	118425	Competitive	Y	Switched Source LLC	PON 3397 Round 2 - Category D	9/19/2017		\$208,761	\$154,150	\$208,761
PGRM	High Performing Grid	133528	Competitive	Y	Switched Source PB LLC	PON 3770 CATB - Phase Balance	11/9/2018		\$2,000,000	\$566,076	\$1,710,035
PGRM	High Performing Grid	133658	Competitive	Y	Micatu, Inc.	69kV OPTICAL SENSOR	11/7/2018		\$1,250,000		\$1,000,000
PGRM	High Performing Grid	137932	Competitive	Y	ABB, Incorporated	PON 3770 Smart Grid	3/11/2019		\$864,400		\$55,000
PGRM	High Performing Grid	137933	Competitive	N	Northern Plains Power Technologies	PON3770: Detection of 1ph open	3/11/2019		\$162,240	\$40,560	\$162,240
PGRM	High Performing Grid	137934	Competitive	N	Northern Plains Power Technologies	PON3770 DER Screening Guide	3/11/2019		\$98,111	\$68,677	\$68,677
PGRM	High Performing Grid	137935	Competitive	Y	University of Rochester	PON 3770	3/11/2019		\$225,000	\$213,900	\$223,900
PGRM	High Performing Grid	137936	Competitive	Y	University at Albany	PON 3770	3/11/2019		\$84,500	\$63,315	\$66,846
PGRM	High Performing Grid	137937	Competitive	Y	Electric Power Research Institute	Smart Inverter Setting Guide	3/11/2019		\$400,000	\$269,977	\$269,977
PGRM	High Performing Grid	137938	Competitive	Y	OPTIMAL SOLUTIONS, INC.	PON 3770 Smart Grid	3/11/2019		\$100,000		
PGRM	High Performing Grid	137939	Competitive	Y	Tagup Inc.	PON 3770	3/11/2019		\$732,785	\$188,514	\$297,882
PGRM	High Performing Grid	137940	Competitive	Y	New York Power Authority,	PON 3770	3/11/2019		\$300,000	\$122,000	\$122,000
PGRM	High Performing Grid	137941	Competitive	Y	New York Power Authority,	PON 3770	3/11/2019		\$100,000	\$77,000	\$77,000
PGRM	High Performing Grid	137942	Competitive	Y	Pierra, LLC	PON3770 Overvoltage Protection	3/11/2019		\$349,885	\$107,907	\$212,747
PGRM	High Performing Grid	137943	Competitive	Y	New York Power Authority,	PON 3770	3/11/2019		\$510,000	\$130,000	\$130,000
PGRM	High Performing Grid	137944	Competitive	Y	Electric Power Research Institute	PON 3770	3/11/2019		\$399,797	\$52,436	\$52,436
PGRM	High Performing Grid	137945	Competitive	Y	Electric Power Research Institute	PON3770 Effective Grounding	3/11/2019		\$330,012		
PGRM	High Performing Grid	137946	Competitive	Y	Underground Systems, Inc.	PON 3770	3/11/2019		\$592,712		
PGRM	High Performing Grid	137947	Competitive	Y	Electric Power Research Institute	"Learning" Smart Inverters	3/11/2019		\$350,000	\$37,207	\$37,207
PGRM	High Performing Grid	137948	Competitive	Y	JEM ENGINEERING SERVICES	PN3770 Model Fault overvoltage	3/11/2019		\$325,833	\$108,750	\$188,750
PGRM	High Performing Grid	137949	Competitive	Y	Rochester Institute of Technology	PON 3770	3/11/2019		\$87,209	\$22,000	\$22,000
PGRM	High Performing Grid	137950	Competitive	Y	Electric Power Research Institute	PON 3770	3/11/2019		\$400,000	\$44,861	\$44,861
PGRM	High Performing Grid	137951	Competitive	Y	New York Power Authority,	PON 3770	3/11/2019		\$350,000	\$230,000	\$230,000
PGRM	High Performing Grid	137952	Competitive	Y	New York Power Authority,	PON 3770	3/11/2019		\$750,000	\$250,000	\$250,000
PGRM	High Performing Grid	145591	Competitive	Y	Bigwood Systems Inc	PON4074 High Perfrm Grid Prgr	10/1/2019		\$285,000	\$137,000	\$137,000
PGRM	High Performing Grid	145644	Competitive	Y	Manifold Robotics, Inc.	PON4074 High Perfrm Grid Prgr	10/2/2019		\$250,000	\$135,000	\$135,000
PGRM	High Performing Grid	145646	Competitive	Y	DNV GL Energy USA, Inc.	Backfeed Control Testing	10/2/2019		\$300,000	\$48,000	\$48,000
PGRM	High Performing Grid	147902	Competitive	Y	Quidnet Energy Inc	EPTD High Performance	11/21/2019	\$2,500,000	\$2,500,000	\$119,541	\$119,541
PGRM	High Performing Grid	148516	Competitive	Y	Clarkson University	Offshore Wind Interconn Study	12/5/2019		\$400,000		
PGRM	High Performing Grid	149156	Competitive	Y	Electrical Distribution Design, Inc.	Solar, Load, Outage Forecast	12/17/2019	\$1,184,156	\$1,184,156	\$80,935	\$80,935
PGRM	High Performing Grid	149165	Competitive	Y	Smarter Grid Solutions, Inc.	PON4128 Smart Grid JU Collab	12/17/2019	\$1,530,939	\$1,530,939	\$170,895	\$170,895
PGRM	High Performing Grid	149167	Competitive	Y	Electric Power Research Institute	PON4128 Future Grid Challenge	12/17/2019	\$543,157	\$543,157	\$10,975	\$10,975
PGRM	High Performing Grid	149276	Competitive	Y	Infinite Invention	ConnectDER Monitor & Control	12/19/2019	\$2,413,500	\$2,413,500	\$89,258	\$89,258
PGRM	High Performing Grid	151227	Competitive	Y	Electric Power Research Institute	PON4128 Smart Grid JU Collab	2/11/2020	\$2,172,527	\$2,172,527		
PGRM	High Performing Grid	151294	Competitive	Y	Utilidata, Inc.	PON4128 NationalGrid Challenge	2/12/2020	\$2,847,161	\$2,847,161	\$558,387	\$558,387
PGRM	High Performing Grid	153785	Competitive	Y	JEM ENGINEERING SERVICES	Voltage Remediation for DERs	4/29/2020	\$120,000	\$120,000		
PGRM	High Performing Grid	155834	Competitive	Y	Electric Power Research Institute	3265 EPRI	7/15/2020	\$48,500	\$48,500	\$48,500	\$48,500
PGRM	High Performing Grid	156903	Competitive	Y	Brattle Group Inc.	Clean Energy Deliverability	8/12/2020	\$550,000	\$550,000	\$450,000	\$450,000
PGRM	High Performing Grid	158958	Discretionary	N	Boice Dunham Group Inc	High Performing Grid	10/1/2020	\$377,026	\$377,026	\$171,238	\$171,238
PGRM	High Performing Grid	159612	Competitive	N	Research Foundation of SUNY	Stability Impact Analysis	10/15/2020	\$400,000	\$400,000		
PGRM	High Performing Grid	159613	Competitive	N	Research Foundation of SUNY	Machine Learning for Hi-fideli	10/15/2020	\$215,345	\$215,345		
PGRM	High Performing Grid	159614	Discretionary	N	Scott A Weiner	Integrated Energy Data Resourc	10/15/2020	\$47,900	\$47,900	\$19,735	\$19,735
PGRM	High Performing Grid	159615	Competitive	N	University at Albany	Enhancing Predictability	10/15/2020	\$612,325	\$612,325		
PGRM	High Performing Grid	159616	Competitive	N	Electric Power Research Institute	Improved Grid Flexibility/Resp	10/15/2020	\$337,993	\$337,993		
PGRM	High Performing Grid	159622	Competitive	Y	BLUEPRINT POWER TECHNOLOGIES INC	A DECARBONIZATION BLUEPRINT	10/15/2020	\$3,000,000	\$3,000,000		

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	High Performing Grid	159964	Discretionary	N	Build Edison LLC	PATHWAY TO SCALE	10/23/2020	\$495,000	\$495,000		\$52,500
PGRM	High Performing Grid	161099	Competitive	N	Switched Source LLC	Tie Controller for Renewable	11/19/2020	\$2,250,000	\$2,250,000		\$125,025
PGRM	High Performing Grid	161975	Discretionary	Y	SAW Associates LLC	Integrated Energy Data Resourc	12/14/2020	\$339,040	\$339,040		\$125,025
PGRM	High Performing Grid	162348	Competitive	Y	Electric Power Research Institute	Interconnection Solutions inNY	12/21/2020	\$246,474	\$246,474		
PGRM	High Performing Grid	161099-1	Competitive	Y	Switched Source TC LLC	Tie Controller for Renewable	3/25/2021	\$2,124,975	\$2,124,975		
PGRM	Home Energy Ratings	133074	Discretionary	Y	PLS Inc	Pearl Pilot Support: NYSEDA	10/26/2018		\$199,133		\$20,894
PGRM	Home Energy Ratings	133388	Competitive	Y	Center for Sustainable Energy	RFP3945 Home Energy Rating Pil	11/1/2018		\$499,060		\$99,768
PGRM	Home Energy Ratings	154749	Non-Competitive	N	Earth Advantage Inc.	Add appraisal addend to HES	6/9/2020	\$10,000	\$10,000		\$10,000
PGRM	Home Perf w Energy Star	150528	Competitive	Y	Vermont Energy Investment Corporation	P4P POTF Minibid TWO VEIC	1/23/2020		\$125,000		\$59,000
PGRM	Home Perf w Energy Star	152141	Competitive	Y	Apprise, Incorporated	Home Perf w Energy Star	3/4/2020	\$50,061	\$50,061		\$16,352
PGRM	Home Perf w Energy Star	153676	Competitive	Y	The Cadmus Group, LLC	TWO No. 6 COVID Consultant	4/23/2020	\$160,000	\$160,000		\$148,009
PGRM	Home Perf w Energy Star	154362	Discretionary	N	Appliance Recycling, Inc.	2020 NYC Cooling Program	5/22/2020	\$25,050	\$25,050		\$25,050
PGRM	Home Perf w Energy Star	164652	Discretionary	N	Fresh Maintenance LLC	PO for EmPower Project	2/18/2021	\$6,700	\$6,700		\$6,700
PGRM	Housing Agencies Support	156338	Competitive	N	Bright Power, Inc.	Notice to Proceed	7/29/2020	\$199,675	\$199,675		\$11,908
PGRM	Industrial Process Effic	37387	Competitive	Y	M/E Engineering, P.C.	Technical Review and Program S	11/15/2013		\$127,495		\$1,400
PGRM	Industrial Process Effic	37397	Competitive	Y	Erdman Anthony & Associates, Inc.	Technical Review and Program S	11/15/2013		\$175,290		\$2,466
PGRM	Industrial Process Effic	37403	Competitive	Y	C.T. Male Associates Engineering,	Technical Review and Program S	11/15/2013		\$9,617		\$8,350
PGRM	Industrial Process Effic	94361	Competitive	Y	Citigroup Technology, Inc.	Industrial Process Efficiency	3/31/2016		\$220,097		
PGRM	Industrial Process Effic	94370	Competitive	Y	Xerox Corp	Industrial Process Efficiency	4/1/2016		\$10,400		
PGRM	Industrial Process Effic	99701	Competitive	Y	Dunn Paper	Industrial Process Efficiency	6/9/2016		\$909,743		\$217,213
PGRM	Industrial Process Effic	99976	Competitive	Y	High Falls Operating Co, LLC	Industrial Process Efficiency	6/16/2016		\$533,856	\$373,699	\$533,856
PGRM	Industrial Process Effic	101674	Competitive	Y	Finch Paper, LLC	Industrial Process Efficiency	7/29/2016		\$1,500,000		\$751,874
PGRM	Industrial Process Effic	101887	Competitive	Y	LDestri Foods, Inc.	Industrial Process Efficiency	8/3/2016		\$97,255		
PGRM	Industrial Process Effic	102214	Competitive	Y	Norampac, Ind., Inc.	Industrial Process Efficiency	8/15/2016		\$122,416	\$31,233	\$89,185
PGRM	Industrial Process Effic	103020	Competitive	Y	Solepox	Industrial Process Efficiency	9/7/2016		\$106,346		\$63,360
PGRM	Industrial Process Effic	106675	Competitive	Y	Air Products and Chemicals, Inc.	Industrial Process Efficiency	11/14/2016		\$1,000,000	\$1,000,000	\$1,000,000
PGRM	Industrial Process Effic	106676	Competitive	Y	Air Products and Chemicals, Inc.	Industrial Process Efficiency	11/14/2016		\$1,000,000	\$1,000,000	\$1,000,000
PGRM	Industrial Process Effic	107451	Competitive	Y	IBM - East Fishkill Site	Industrial Process Efficiency	12/1/2016		\$788,986		
PGRM	Industrial Process Effic	107766	Competitive	Y	Potters Industries	Industrial Process Efficiency	12/8/2016		\$272,421	\$73,671	\$195,419
PGRM	Industrial Process Effic	108605	Competitive	Y	Bloomberg, L.P.	Industrial Process Efficiency	1/3/2017		\$466,251		
PGRM	Industrial Process Effic	108759	Competitive	Y	National Elevator Cab and Door	Industrial Process Efficiency	1/6/2017		\$29,944	\$29,944	\$29,944
PGRM	Industrial Process Effic	109188	Competitive	Y	Citigroup Technology, Inc.	Industrial Process Efficiency	1/19/2017		\$584,798		
PGRM	Industrial Process Effic	109392	Competitive	Y	Callanan Industries	Industrial Proce Efficiency	1/26/2017		\$26,091		
PGRM	Industrial Process Effic	109711	Competitive	Y	Flexo Transparent Inc.	Industrial Process Efficiency	2/2/2017		\$234,613		\$76,171
PGRM	Industrial Process Effic	109966	Competitive	Y	The Gunlocke Company	Industrial Process Efficiency	2/8/2017		\$927,539		\$64,510
PGRM	Industrial Process Effic	110403	Competitive	Y	Borg Warner	Industrial Process Efficiency	2/17/2017		\$156,936		\$7,713
PGRM	Industrial Process Effic	111252	Competitive	Y	NYC Department of Environmental Protect	Industrial Process Efficiency	3/8/2017		\$177,979		
PGRM	Industrial Process Effic	111253	Competitive	Y	NYC Department of Environmental Protect	Industrial Process Efficiency	3/8/2017		\$152,143		
PGRM	Industrial Process Effic	111671	Competitive	Y	University of Rochester	Industrial Process Efficiency	3/17/2017		\$384,482		
PGRM	Industrial Process Effic	112047	Competitive	Y	Saint Gobain Adfors America, Inc.	Industrial Process Efficiency	3/27/2017		\$270,451		\$16,581
PGRM	Industrial Process Effic	112618	Competitive	Y	Hunts Point Cooperative Market	Industrial Process Efficiency	4/10/2017		\$80,394		
PGRM	Industrial Process Effic	112619	Competitive	Y	LDestri Foods, Inc.	Industrial Process Efficiency	4/10/2017		\$236,200		\$45,238
PGRM	Industrial Process Effic	112758	Competitive	Y	Borg Warner	Industrial Process Efficiency	4/13/2017		\$562,500		
PGRM	Industrial Process Effic	113503	Competitive	Y	Xerox Corp	Industrial Process Efficiency	5/5/2017		\$454,078		\$169,220
PGRM	Industrial Process Effic	114056	Competitive	Y	Callanan Industries	Industrial Process Efficiency	5/23/2017		\$88,488	\$30,188	\$88,488
PGRM	Industrial Process Effic	114061	Competitive	Y	Corning Inc.	Industrial Process Efficiency	5/23/2017		\$134,504		\$82,670
PGRM	Industrial Process Effic	114585	Competitive	Y	General Motors	Industrial Process Efficiency	6/7/2017		\$104,150	\$18,537	\$94,869
PGRM	Industrial Process Effic	115214	Competitive	Y	Corning Inc.	Industrial Process Efficiency	6/27/2017		\$848,844	\$285,818	\$285,818
PGRM	Industrial Process Effic	116086	Competitive	Y	International Business Machines,	Industrial Process Efficiency	7/21/2017		\$51,664	\$33,542	\$33,542
PGRM	Industrial Process Effic	117865	Competitive	Y	Continental Buchanan, LLC	Industrial Process Efficiency	9/5/2017		\$100,000		
PGRM	Industrial Process Effic	118081	Competitive	Y	Ski Plattekill, Inc.	Industrial Process Efficiency	9/11/2017		\$6,834		
PGRM	Industrial Process Effic	118890	Competitive	Y	BASF Colors and Effects USA, LLC	Industrial Process Efficiency	9/28/2017		\$30,675	\$12,908	\$29,147
PGRM	Industrial Process Effic	118892	Competitive	Y	GPSDC New York Inc	Industrial Process Efficiency	9/28/2017		\$503,908		
PGRM	Industrial Process Effic	118893	Competitive	Y	The Woodlawn Cemetery	Industrial Process Efficiency	9/28/2017		\$15,096		
PGRM	Industrial Process Effic	119701	Competitive	Y	Hudson River Trading	Industrial Process Efficiency	10/24/2017		\$140,008		\$109,621
PGRM	Industrial Process Effic	120623	Competitive	Y	Felix Schoeller Paper	Industrial Process Efficiency	11/14/2017		\$22,111		\$15,350
PGRM	Industrial Process Effic	121382	Competitive	Y	Selkirk Cogen Partners LP	Industrial Process Efficiency	12/7/2017		\$257,934		
PGRM	Industrial Process Effic	121744	Competitive	Y	Charter Communications, Inc.	Industrial Process Efficiency	12/15/2017		\$141,194		
PGRM	Industrial Process Effic	122318	Competitive	Y	Charter Communications, Inc.	Industrial Process Efficiency	1/4/2018	\$12,226	\$403,729		
PGRM	Industrial Process Effic	122479	Competitive	Y	Pactiv Corp.	Industrial Process Efficiency	1/11/2018		\$135,784		
PGRM	Industrial Process Effic	122874	Competitive	Y	Corning Inc.	Industrial Process Efficiency	1/25/2018		\$179,010	\$179,010	\$179,010
PGRM	Industrial Process Effic	122875	Competitive	Y	Callanan Industries	Industrial Process Efficiency	1/25/2018		\$150,780		\$13,208
PGRM	Industrial Process Effic	122876	Competitive	Y	RED Rochester	Industrial Process Efficiency	1/25/2018		\$1,224,733	\$196,590	\$924,141
PGRM	Industrial Process Effic	123053	Competitive	Y	Champlain Valley Apple Storage, Inc.	Industrial Process Efficiency	2/1/2018		\$11,372		\$5,685
PGRM	Industrial Process Effic	123056	Competitive	Y	OFD Foods	Industrial Process Efficiency	2/2/2018		\$80,678		\$48,405
PGRM	Industrial Process Effic	123321	Competitive	Y	Xerox Corp	Industrial Process Efficiency	2/12/2018		\$25,843		
PGRM	Industrial Process Effic	123458	Competitive	Y	Ultra Dairy, LLC	Industrial Process Efficiency	2/15/2018	\$60,816	\$97,373	\$27,653	\$55,646
PGRM	Industrial Process Effic	124456	Competitive	Y	Wheelabrator Westchester	Industrial Process Efficiency	3/22/2018		\$79,893		\$77,847
PGRM	Industrial Process Effic	124457	Competitive	Y	Dolomite Products Group, Inc.	Industrial Process Efficiency	3/22/2018		\$21,286		\$13,307
PGRM	Industrial Process Effic	125010	Competitive	Y	Huhtamaki, Inc.	Industrial Process Efficiency	3/29/2018		\$166,010		
PGRM	Industrial Process Effic	125506	Competitive	Y	NYS Olympic Regional Development Auth.	Industrial Process Efficiency	4/10/2018		\$27,015		
PGRM	Industrial Process Effic	125904	Competitive	Y	Finch Paper, LLC	Industrial Process Efficiency	4/18/2018		\$137,199		
PGRM	Industrial Process Effic	125906	Competitive	Y	Messer LLC	Industrial Process Efficiency	4/18/2018		\$1,000,000		
PGRM	Industrial Process Effic	126035	Competitive	Y	TurnKey Internet, Inc.	Industrial Process Efficiency	4/23/2018		\$202,454		
PGRM	Industrial Process Effic	126653	Competitive	Y	Sumitomo Rubber USA, LLC	Industrial Process Efficiency	5/9/2018		\$776,248		
PGRM	Industrial Process Effic	126654	Competitive	Y	Gutchess Lumber Company	Industrial Process Efficiency	5/9/2018		\$131,427		
PGRM	Industrial Process Effic	126756	Competitive	Y	Credit Suisse USA	Industrial Process Efficiency	5/11/2018		\$49,002		\$0
PGRM	Industrial Process Effic	128158	Competitive	Y	The Brooklyn Brewery Corporation	Industrial Process Efficiency	6/20/2018		\$16,087		
PGRM	Industrial Process Effic	128358	Competitive	Y	Verizon Communications Inc	Industrial Process Efficiency	6/26/2018		\$144,925	\$131,050	\$131,050
PGRM	Industrial Process Effic	128617	Competitive	Y	Digital Realty Trust	Industrial Process Efficiency	7/3/2018		\$210,981	\$62,517	\$62,517
PGRM	Industrial Process Effic	130156	Competitive	Y	Currier Plastics	Industrial Process Efficiency	8/15/2018		\$163,069		\$160,573

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Industrial Process Effi	130232	Competitive	Y	Pactiv Corp.	Industrial Process Efficiency	8/16/2018		\$82,808	\$27,989	\$27,989
PGRM	Industrial Process Effi	130550	Competitive	Y	JPMorgan Chase Bank National Association	Industrial Process Efficiency	8/27/2018	\$237,762	\$237,762		
PGRM	Industrial Process Effi	130551	Competitive	Y	JPMorgan Chase Bank National Association	Industrial Process Efficiency	8/27/2018	\$518,852	\$518,852		
PGRM	Industrial Process Effi	130552	Competitive	Y	Omniafiltra	Industrial Process Efficiency	8/27/2018		\$214,842	\$191,362	\$191,362
PGRM	Industrial Process Effi	130595	Competitive	Y	Verizon Communications Inc	Industrial Process Efficiency	8/28/2018		\$817,744		
PGRM	Industrial Process Effi	130598	Competitive	Y	Memorial Sloan Kettering Cancer Center	Industrial Process Efficiency	8/29/2018		\$83,843	\$21,412	\$21,412
PGRM	Industrial Process Effi	130599	Competitive	Y	Specialized Packaging Group, Inc	Industrial Process Efficiency	8/29/2018		\$55,451	\$50,733	\$50,733
PGRM	Industrial Process Effi	130785	Competitive	Y	Morse Manufacturing Company Inc	Industrial Process Efficiency	9/4/2018		\$6,800	\$6,709	\$6,709
PGRM	Industrial Process Effi	130908	Competitive	Y	Finch Paper, LLC	Industrial Process Efficiency	9/6/2018		\$500,000		
PGRM	Industrial Process Effi	130980	Competitive	Y	NYS Office of Information Technology Ser	Industrial Process Efficiency	9/7/2018		\$35,534		\$21,321
PGRM	Industrial Process Effi	131267	Competitive	Y	RED Rochester	Industrial Process Efficiency	9/13/2018		\$1,000,000	\$330,899	\$330,899
PGRM	Industrial Process Effi	131738	Competitive	Y	Ford Motor Company	Industrial Process Efficiency	9/26/2018	\$30,872	\$74,227	\$38,569	\$38,569
PGRM	Industrial Process Effi	131749	Competitive	Y	ConMed Corporation	Industrial Process Efficiency	9/28/2018		\$144,445		
PGRM	Industrial Process Effi	132546	Competitive	Y	NYS Olympic Regional Development Auth.	Industrial Process Efficiency	10/15/2018		\$297,600		\$296,037
PGRM	Industrial Process Effi	132547	Competitive	Y	Hunter Mountain Ski Bowl Inc.	Industrial Process Efficiency	10/15/2018		\$128,318	\$128,318	\$128,318
PGRM	Industrial Process Effi	132957	Competitive	Y	Scotts Peekn Peak LLC	Industrial Process Efficiency	10/23/2018		\$80,000		\$70,400
PGRM	Industrial Process Effi	133527	Competitive	Y	NYS Olympic Regional Development Auth.	Industrial Process Efficiency	11/5/2018		\$140,272	\$124,713	\$124,713
PGRM	Industrial Process Effi	133599	Competitive	Y	Columbia University	Industrial Process Efficiency	11/7/2018		\$100,974		\$60,771
PGRM	Industrial Process Effi	134560	Competitive	Y	Ski Plattekill, Inc.	Industrial Process Efficiency	11/29/2018		\$209,160		\$207,000
PGRM	Industrial Process Effi	134567	Competitive	Y	Ticon NY, Inc.	Industrial Process Efficiency	12/3/2018		\$30,912	\$30,912	\$30,912
PGRM	Industrial Process Effi	135575	Competitive	Y	New York Presbyterian Hospital	Industrial Process Efficiency	12/27/2018		\$240,699		
PGRM	Industrial Process Effi	135858	Competitive	Y	Dolomite Products Group, Inc.	Industrial Process Effi	1/7/2019		\$71,682	\$57,714	\$57,714
PGRM	Industrial Process Effi	136484	Competitive	Y	Interface Performance Material	Industrial Process Efficiency	1/25/2019		\$62,932		\$26,880
PGRM	Industrial Process Effi	137217	Competitive	Y	Cornell University	Industrial Process Efficiency	2/15/2019		\$49,445		
PGRM	Industrial Process Effi	137239	Competitive	Y	Dolomite Products Group, Inc.	Industrial Process Efficiency	2/19/2019		\$85,200	\$85,200	\$85,200
PGRM	Industrial Process Effi	137478	Competitive	Y	Fordham University	Industrial Process Efficiency	2/25/2019	\$79,697	\$79,697		
PGRM	Industrial Process Effi	138108	Competitive	Y	ENNOVEA, LLC	Industrial Process Efficiency	3/18/2019		\$23,082		
PGRM	Industrial Process Effi	138114	Competitive	Y	Bronx Lebanon Hospital Center	Industrial Process Efficiency	3/18/2019	\$137,181	\$137,181		
PGRM	Industrial Process Effi	138674	Competitive	Y	Garlock Sealing Technologies, LLC	Industrial Process Efficiency	3/29/2019		\$9,822	\$9,822	\$9,822
PGRM	Industrial Process Effi	138997	Competitive	Y	DJ Acquisition Management	Industrial Process Efficiency	4/9/2019		\$11,637		
PGRM	Industrial Process Effi	138998	Competitive	Y	Datto Inc	Industrial Process Efficiency	4/9/2019		\$28,174	\$8,337	\$8,337
PGRM	Industrial Process Effi	139174	Competitive	Y	CyrusOne	Industrial Process Efficiency	4/15/2019		\$88,303		
PGRM	Industrial Process Effi	139213	Competitive	N	CHA Consulting Inc.	IPE16223	4/16/2019		\$5,715	\$2,614	\$2,614
PGRM	Industrial Process Effi	139251	Competitive	Y	L&S Energy Services, Inc.	Industrial Process Efficiency	4/17/2019		\$9,742	\$432	\$9,387
PGRM	Industrial Process Effi	139340	Competitive		Nexant, Incorporated	Industrial Process Efficiency	4/19/2019		\$6,184	\$6,184	\$6,184
PGRM	Industrial Process Effi	139492	Competitive		L&S Energy Services, Inc.	IPE16220	4/24/2019		\$5,000		\$2,133
PGRM	Industrial Process Effi	139717	Competitive	Y	RBC Capital Markets LLC	Industrial Process Efficiency	4/29/2019		\$92,848		\$75,567
PGRM	Industrial Process Effi	139718	Competitive	Y	Datagryd Data Centers, LLC	Industrial Process Efficiency	4/29/2019	\$715,933	\$715,933		
PGRM	Industrial Process Effi	139765	Competitive	Y	Messer LLC	Industrial Process Efficiency	4/30/2019		\$206,793		
PGRM	Industrial Process Effi	140112	Competitive		Kilowatt Engineering, Inc.	IPE16236	5/9/2019		\$8,228	\$3,620	\$7,113
PGRM	Industrial Process Effi	140118	Competitive	Y	CBS Broadcasting Inc.	Industrial Process Efficiency	5/9/2019		\$102,364		
PGRM	Industrial Process Effi	140120	Competitive	Y	Hudson River Trading	Industrial Process Efficiency	5/9/2019		\$161,563	\$134,400	\$134,400
PGRM	Industrial Process Effi	140163	Competitive		Willdan Energy Solutions	IPE16195	5/10/2019		\$25,607	\$10,230	\$21,627
PGRM	Industrial Process Effi	140538	Competitive	Y	Scepter New York, Inc	Industrial Process Efficiency	5/21/2019		\$450,000		
PGRM	Industrial Process Effi	140539	Competitive	Y	Cryomech	Industrial Process Efficiency	5/21/2019		\$24,437		
PGRM	Industrial Process Effi	140706	Competitive	Y	CyrusOne	Industrial Process Efficiency	5/28/2019		\$75,541		
PGRM	Industrial Process Effi	140800	Competitive		Energy & Resource Solutions, Inc.	IPE16232	5/29/2019		\$7,297	\$4,532	\$4,532
PGRM	Industrial Process Effi	140803	Competitive		Energy & Resource Solutions, Inc.	IPE16226	5/29/2019		\$5,000	\$5,000	\$5,000
PGRM	Industrial Process Effi	141019	Competitive		L&S Energy Services, Inc.	IPE16235	6/4/2019		\$10,000	\$373	\$10,000
PGRM	Industrial Process Effi	141054	Competitive		Bergmann Associates, Architects.	IPE16237	6/5/2019		\$19,875	\$509	\$7,337
PGRM	Industrial Process Effi	141096	Competitive		Stantec Consulting Services, Inc.	IPE16231	6/6/2019		\$8,035	\$947	\$2,824
PGRM	Industrial Process Effi	141441	Competitive	Y	Datagryd Data Centers, LLC	IPE16240 - Datagryd Data Cent	6/17/2019	\$1,000,000	\$1,000,000		
PGRM	Industrial Process Effi	141502	Competitive	Y	New York Presbyterian Hospital	Industrial Process Efficiency	6/19/2019	\$144,504	\$144,504	\$0	\$0
PGRM	Industrial Process Effi	141561	Competitive	Y	C. Kenneth Imports	Industrial Process Efficiency	6/19/2019		\$70,150		
PGRM	Industrial Process Effi	141563	Competitive	Y	Digital Realty Trust	Industrial Process Efficiency	6/19/2019		\$337,606		
PGRM	Industrial Process Effi	141572	Competitive		Willdan Energy Solutions	IPE16222	6/19/2019		\$8,091	\$4,700	\$5,622
PGRM	Industrial Process Effi	141743	Competitive	Y	Digital Realty Trust	Industrial Process Efficiency	6/24/2019	\$457,177	\$457,177		
PGRM	Industrial Process Effi	141745	Competitive	Y	DuMond Grains	Industrial Process Efficiency	6/24/2019		\$41,200	\$17,656	\$17,656
PGRM	Industrial Process Effi	141926	Competitive		Kilowatt Engineering, Inc.	IPE16242	6/28/2019		\$16,782	\$6,206	\$8,402
PGRM	Industrial Process Effi	141928	Competitive		Kilowatt Engineering, Inc.	IPE16243	6/28/2019		\$32,069	\$5,685	\$17,621
PGRM	Industrial Process Effi	141934	Competitive		Vermont Energy Investment Corporation	IPE16233	6/28/2019		\$14,972	\$1,217	\$4,502
PGRM	Industrial Process Effi	142281	Competitive		Frontier Energy, Inc.	IPE16229	7/9/2019		\$5,460	\$50	\$50
PGRM	Industrial Process Effi	143991	Competitive	Y	School of Visual Arts	Industrial Process Efficiency	8/22/2019		\$28,715		
PGRM	Industrial Process Effi	144830	Competitive	Y	3M Company	Industrial Process Efficiency	9/13/2019		\$62,016		
PGRM	Industrial Process Effi	144831	Competitive	Y	Digital Realty Trust	Industrial Process Efficiency	9/13/2019	\$1,000,000	\$1,000,000		
PGRM	Industrial Process Effi	144918	Competitive	Y	Verizon Communications Inc	IPE16247 - Verizon Wireless	9/16/2019	\$954,419	\$954,419		
PGRM	Industrial Process Effi	145365	Competitive		Energy & Resource Solutions, Inc.	IPE16239	9/26/2019		\$6,935	\$3,512	\$3,512
PGRM	Industrial Process Effi	145581	Competitive		Frontier Energy, Inc.	IPE16163	10/1/2019		\$6,000	\$100	\$5,050
PGRM	Industrial Process Effi	145800	Competitive	Y	NYS Olympic Regional Development Auth.	Industrial Process Efficiency	10/7/2019		\$16,759	\$15,039	\$15,039
PGRM	Industrial Process Effi	146563	Competitive		Kilowatt Engineering, Inc.	Industrial Process Efficiency	10/25/2019		\$42,781	\$7,966	\$21,270
PGRM	Industrial Process Effi	146564	Competitive	Y	Deutsche Bank	Industrial Process Efficiency	10/25/2019	\$31,685	\$31,685	\$31,685	\$31,685
PGRM	Industrial Process Effi	146565	Competitive	Y	Rensselaer Polytechnic Institute-LRC	Industrial Process Efficiency	10/25/2019	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000
PGRM	Industrial Process Effi	146566	Competitive	Y	Cayuga Milk Ingredients	Industrial Process Efficiency	10/25/2019		\$356,262		
PGRM	Industrial Process Effi	146886	Competitive		CHA Consulting Inc.	IPE16249	10/30/2019		\$9,901	\$1,183	\$5,272
PGRM	Industrial Process Effi	147697	Competitive	Y	Hudson River Trading	Industrial Process Efficiency	11/18/2019	\$362,045	\$362,045		
PGRM	Industrial Process Effi	147698	Competitive	Y	Hudson River Trading	Industrial Process Efficiency	11/18/2019	\$219,034	\$219,034		
PGRM	Industrial Process Effi	148079	Competitive	Y	TurnKey Internet, Inc.	Industrial Process Efficiency	11/25/2019	\$547,491	\$547,491		
PGRM	Industrial Process Effi	148080	Competitive	Y	TurnKey Internet, Inc.	Industrial Process Efficiency	11/25/2019	\$547,491	\$547,491		
PGRM	Industrial Process Effi	148085	Competitive	Y	BelGioioso Cheese	Industrial Process Efficiency	11/25/2019		\$195,192		
PGRM	Industrial Process Effi	148419	Competitive	Y	SUNY Buffalo	Industrial Process Efficiency	12/4/2019	\$186,860	\$186,860	\$186,860	\$186,860
PGRM	Industrial Process Effi	148421	Competitive	Y	SUNY Buffalo	Industrial Process Efficiency	12/4/2019	\$186,860	\$186,860		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	Industrial Process Effi	148433	Competitive	Y	Rensselaer Polytechnic Institute-LRC	Industrial Process Efficiency	12/4/2019	\$415,673	\$415,673		\$193,148	\$193,148
PGRM	Industrial Process Effi	148896	Competitive		Kilowatt Engineering, Inc.	IPE16252	12/13/2019		\$28,777		\$19,767	\$21,220
PGRM	Industrial Process Effi	149170	Competitive		Frontier Energy, Inc.	IPE16254	12/18/2019		\$18,490		\$4,154	\$4,154
PGRM	Industrial Process Effi	149212	Competitive		Energy & Resource Solutions, Inc.	IPE16241	12/18/2019		\$6,935		\$3,109	\$3,109
PGRM	Industrial Process Effi	149628	Competitive	Y	Deutsche Bank	Industrial Process Efficiency	12/30/2019	\$219,290	\$219,290			
PGRM	Industrial Process Effi	149633	Competitive	Y	Brooklyn Bakery Inc.	Industrial Process Efficiency	12/30/2019	\$78,380	\$78,380			
PGRM	Industrial Process Effi	150129	Competitive		CHA Consulting Inc.	IPE16259	1/13/2020		\$16,775		\$3,303	\$3,303
PGRM	Industrial Process Effi	150183	Competitive	N	Kilowatt Engineering, Inc.	IPE16253	1/14/2020		\$24,978		\$19,984	\$24,978
PGRM	Industrial Process Effi	150184	Competitive	N	Kilowatt Engineering, Inc.	IPE16255	1/14/2020		\$25,065		\$21,092	\$23,141
PGRM	Industrial Process Effi	150185	Competitive	N	Kilowatt Engineering, Inc.	IPE16256	1/14/2020		\$15,292		\$10,724	\$12,347
PGRM	Industrial Process Effi	150186	Competitive	N	Kilowatt Engineering, Inc.	IPE16257	1/14/2020		\$26,600		\$7,041	\$11,586
PGRM	Industrial Process Effi	150187	Competitive	N	Kilowatt Engineering, Inc.	IPE16258	1/14/2020		\$26,600		\$6,689	\$11,043
PGRM	Industrial Process Effi	150188	Competitive	N	Kilowatt Engineering, Inc.	IPE16260	1/14/2020		\$15,000		\$13,683	\$15,000
PGRM	Industrial Process Effi	150189	Competitive	N	Kilowatt Engineering, Inc.	IPE16261	1/14/2020		\$15,000		\$7,692	\$8,965
PGRM	Industrial Process Effi	150190	Competitive	N	Kilowatt Engineering, Inc.	IPE16262	1/14/2020		\$13,650		\$12,538	\$13,636
PGRM	Industrial Process Effi	150191	Competitive	N	Kilowatt Engineering, Inc.	IPE16263	1/14/2020		\$12,717		\$8,595	\$12,257
PGRM	Industrial Process Effi	150192	Competitive	N	Kilowatt Engineering, Inc.	IPE16265	1/14/2020		\$25,000		\$14,374	\$14,976
PGRM	Industrial Process Effi	150193	Competitive	N	Kilowatt Engineering, Inc.	IPE16264	1/14/2020		\$18,267		\$7,938	\$12,163
PGRM	Industrial Process Effi	150295	Competitive		Willdan Energy Solutions	IPE16224	1/16/2020		\$13,719		\$4,691	\$4,691
PGRM	Industrial Process Effi	150296	Competitive	N	Willdan Energy Solutions	IPE16234	1/16/2020		\$32,821		\$1,180	\$1,180
PGRM	Industrial Process Effi	150298	Competitive		Energy & Resource Solutions, Inc.	IPE16248	1/16/2020	\$7,160	\$7,160			
PGRM	Industrial Process Effi	150656	Competitive		Willdan Energy Solutions	Industrial Process Efficiency	1/28/2020		\$34,940		\$18,155	\$18,155
PGRM	Industrial Process Effi	150953	Competitive	N	Willdan Energy Solutions	IPE16240	2/4/2020		\$25,263		\$904	\$904
PGRM	Industrial Process Effi	150954	Competitive	N	Willdan Energy Solutions	IPE16247	2/4/2020	\$49,393	\$49,393		\$22,407	\$22,407
PGRM	INDUSTRIAL PROCESS EFFICIENCY	37374	Competitive	Y	Stantec Consulting Services, Inc.	Technical Review and Program S	11/15/2013		\$172,251		\$148,372	
PGRM	INDUSTRIAL PROCESS EFFICIENCY	37378	Competitive	Y	Antares Group, Inc.	Technical Review and Program S	11/15/2013		\$1,196,781		\$13,255	\$958,269
PGRM	INDUSTRIAL PROCESS EFFICIENCY	37383	Competitive	Y	CHA Consulting Inc.	Technical Review and Program S	11/15/2013		\$4,573,024		\$69,535	\$3,752,884
PGRM	INDUSTRIAL PROCESS EFFICIENCY	37401	Competitive	Y	Bergmann Associates, Architects,	Tech Review Support Umbrella	11/15/2013		\$1,247,959		\$32,535	\$858,476
PGRM	Informat. Products & Brokering	137640	Competitive	N	TRC Engineers, Inc.	TWO #1	3/1/2019		\$49,933		\$27,252	
PGRM	Informat. Products & Brokering	140299	Competitive	Y	Trove Predictive Data Science, Inc.	DER Data Platform Pilot	5/15/2019		\$400,000		\$50,904	\$307,824
PGRM	Informat. Products & Brokering	140702	Competitive	Y	Stone Environmental, Inc.	RTM Gas Customer Targeting	5/24/2019		\$101,000		\$5,369	\$47,409
PGRM	Informat. Products & Brokering	141863	Competitive	Y	Stone Environmental, Inc.	Data Asset Matching STONE TWO	6/26/2019	\$46,764	\$159,722		\$66,264	\$129,695
PGRM	Informat. Products & Brokering	152766	Discretionary	N	Radiant Labs, LLC	TWO 1 SFR and MFR Data Analys	3/20/2020		\$50,000		\$49,975	\$49,975
PGRM	Informat. Products & Brokering	163483	Competitive	Y	Radiant Labs, LLC	Dynamic Market Data Tool	1/20/2021	\$1,400,000	\$1,400,000		\$72,000	\$72,000
PGRM	Informat. Products & Brokering	140299A	Competitive	Y	E Source Companies LLC	DER Data Platform Pilot	1/25/2021	\$92,176	\$92,176			
PGRM	Innovation	157330	Non-Competitive	N	Eric Thomas Roberts	Consultant	8/26/2020	\$75,000	\$75,000		\$49,501	\$49,501
PGRM	Innovation	157583	Non-Competitive	N	Actuate Innovation, Inc.	Investment Plan	8/31/2020	\$50,000	\$50,000		\$50,000	\$50,000
PGRM	Innovation	158294	Discretionary	N	Julie Markham LLC	J. Markham Consulting contract	9/16/2020	\$50,000	\$50,000		\$30,000	\$30,000
PGRM	Innovation	158498	Discretionary	N	Matt Fronk and Associates LLC	Innovation Hydrogen Programs	9/21/2020	\$40,000	\$40,000			
PGRM	Innovation	158953	Non-Competitive	Y	The Lightman Company, LLC	Mi Lightman	9/30/2020	\$450,000	\$450,000		\$150,000	\$150,000
PGRM	Innovation	159711	Non-Competitive	N	Activate Global, Inc	Research Plan	10/20/2020	\$50,000	\$50,000			
PGRM	Innovation Advisors	163724	Competitive	N	iCatalysts LLC	Innovation Advisor - Innov Ops	1/26/2021	\$175,000	\$175,000			
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	20542	Competitive	Y	Research Foundation of SUNY	UV EB Technology Center	8/25/2010		\$915,166			\$875,166
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	28245	Competitive	Y	Troy Boiler Works, Inc.	IMCET - Vacuum Dry Wood Chips	7/5/2012		\$213,760			\$199,745
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	29750	Competitive	Y	Columbia University	Proof-of-Concept Center Initia	10/12/2012		\$4,939,024		\$39,024	\$4,939,024
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	29751	Competitive	Y	Polytechnic University of NYU	Proof-of-Concept Center Initia	10/12/2012		\$5,000,000		\$272,500	\$5,000,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	36104	Competitive	Y	Sweetwater Energy, Inc.	Cellulosic Sugar Alternative	10/9/2013		\$2,500,000		\$25,000	\$510,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	41315	Competitive	Y	NECEC Institute	Cleantech Commercialization To	4/29/2014		\$300,000			\$287,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	43679	Competitive	Y	The Research Foundation for the State	EB Assisted Recycling of Carbo	7/2/2014		\$99,936			\$15,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	48521	Competitive	Y	Green Sulcrete LLC	Sulcrete Pilot-Scale Demo	9/24/2014		\$400,000			\$60,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	58065	Competitive	Y	SAVD Solar, Inc.	Self-Aligning Vertical Dipole	1/30/2015		\$770,152		\$13,918	\$329,815
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	58066	Competitive	Y	Helix Power Corporation	Advanced Clean Power Technolog	1/30/2015		\$2,500,000		\$429,631	\$972,720
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	58068	Competitive	Y	Research Foundation of The City	Bimessite for Grid Storage	1/30/2015		\$375,000			\$375,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	58068	Non-Competitive	Y	Research Foundation of The City	Bimessite for Grid Storage	1/30/2015		\$50,000		\$0	\$47,951
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	61081	Competitive	Y	Tungsten Sources, LLC	Transformative Technologies	3/20/2015		\$400,000		\$30,000	\$325,000
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	70427	Competitive	Y	Micatu, Inc.	Advanced Clean Power Tech	8/4/2015		\$901,455			\$852,175
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	91898	Non-Competitive	Y	Bryan Allinson	Commercialization Advise ment	2/29/2016		\$49,000			\$21,925
PGRM	INNOVATION CAPACITY & BUSINESS DEVELOP	124254	Non-Competitive	Y	Launch New York, Inc.	Launch NY ECO Incubator	3/9/2018					
PGRM	K-12 SCHOOLS	120138	Competitive	Y	Wendel Energy Services, LLC	RFP3628FlexTechConsulUmbrella	11/3/2017	\$170,000	\$912,100		\$305,674	\$598,055
PGRM	K-12 SCHOOLS	120494	Competitive	Y	Eneractive Solutions	FlexTech Consultant Selection	11/10/2017		\$600,000			\$55,890
PGRM	K-12 SCHOOLS	122339	Competitive	Y	TRC Energy Services	RFP3628FlexTechConsulUmbrella	1/8/2018	\$330,000	\$947,500		\$169,405	\$450,060
PGRM	K-12 SCHOOLS	131511	Competitive	Y	Willdan Energy Solutions	RFP 3788 P-12 Benchmarking	9/19/2018		\$480,000		\$62,360	\$137,691
PGRM	K-12 SCHOOLS	140197	Non-Competitive	Y	NYS School Facilities Association, Inc.	P-12 Schools Initiative - Out	5/13/2019		\$144,180		\$12,015	\$12,015
PGRM	K-12 SCHOOLS	140955	Competitive	Y	Arcadis U.S., Inc.	P-12 Gap Pre-Qual Incentive	6/3/2019		\$224,500		\$24,077	\$98,932
PGRM	K-12 SCHOOLS	149301	Competitive	Y	Johnson Controls, Inc. (Syracuse)	P12GC - 1 - Buffalo CSD E	12/20/2019	\$250,000	\$250,000			
PGRM	K-12 SCHOOLS	150083	Competitive	Y	Broadalbin-Perth CSD	P12GC-4 Broadalbin-Perth CSD E	1/13/2020		\$33,750			
PGRM	K-12 SCHOOLS	150824	Competitive	Y	C.J. Brown Energy, P.C.	FT13132 - N. Tonawanda - FTC	1/31/2020	\$66,290	\$66,290		\$47,307	\$47,307
PGRM	K-12 SCHOOLS	151863	Competitive	Y	Code Green Solutions, Inc.	P12GC-10 - Mons. Farrell - FTC	2/26/2020	\$21,375	\$21,375		\$2,030	\$2,030
PGRM	K-12 SCHOOLS	152211	Competitive	Y	Frontier Energy, Inc.	P12GC- Tech Support - Frontier	3/5/2020		\$49,000			
PGRM	K-12 SCHOOLS	152376	Competitive	Y	Goldman Copeland Associates, P.C.	P12GC-12 E - Grace Church - FTC	3/11/2020	\$14,984	\$14,984			
PGRM	K-12 SCHOOLS	153000	Competitive	Y	Wendel Energy Services, LLC	P12GC 13E - Rochester - FTC	3/30/2020	\$230,000	\$230,000		\$213,159	\$213,159
PGRM	K-12 SCHOOLS	153798	Competitive	Y	Trane	P12GC-14 E - Monticello - PON	4/29/2020	\$50,000	\$50,000			
PGRM	K-12 SCHOOLS	153996	Competitive	Y	NYS Association of School Business Offi	P-12 ASBONY Outreach	5/5/2020	\$25,285	\$25,285			
PGRM	K-12 SCHOOLS	154688	Competitive	Y	C.J. Brown Energy, P.C.	FT13120 - Windsor CSD - FTC	6/5/2020	\$57,988	\$57,988		\$57,988	\$57,988
PGRM	K-12 SCHOOLS	154714	Competitive	Y	North Syracuse Central School District	FT13128 - N. Syracuse - PON	6/8/2020	\$117,534	\$117,534			
PGRM	K-12 SCHOOLS	156157	Competitive	Y	Johnson Controls, Inc. (Syracuse)	FT13135 - 110 Elwood - FTC	7/24/2020	\$51,920	\$51,920			
PGRM	K-12 SCHOOLS	156471	Competitive	Y	Trane	P12GC-20 E - Camden CSD - PON	7/31/2020	\$103,362	\$103,362		\$103,362	\$103,362
PGRM	K-12 SCHOOLS	156625	Competitive	Y	Trane	P12GC-21 - Lowville CSD - PON	8/5/2020	\$52,392	\$52,392		\$52,392	\$52,392
PGRM	K-12 SCHOOLS	157118	Competitive	Y	Trane	P12GC-22 E - Poughkeepsie -PON	8/18/2020	\$146,000	\$146,000			
PGRM	K-12 SCHOOLS	157585	Competitive	Y	Cuba Rushford Central School	P12GC-19 E -Cuba-Rushford -PON	8/31/2020	\$41,675	\$41,675			
PGRM	K-12 SCHOOLS	158203	Competitive	Y	Trane	P12GC-25 E - Gowanda CSD - PON	9/15/2020	\$54,058	\$54,058			

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	K-12 SCHOOLS	159906	Competitive	Y	Day Automation Systems, Inc.	P12GC-27 E - Barker CSD - PON	10/22/2020	\$10,143	\$10,143		
PGRM	K-12 SCHOOLS	159907	Competitive	Y	Wendel Energy Services, LLC	P12GC-28 E - Starpoint - FTC	10/22/2020	\$52,800	\$52,800		
PGRM	K-12 SCHOOLS	161095	Competitive	Y	C.J. Brown Energy, P.C.	FT13226 - NY Mills UFSD - FTC	11/18/2020	\$9,884	\$9,884	\$4,895	\$4,895
PGRM	K-12 SCHOOLS	161096	Competitive	Y	C.J. Brown Energy, P.C.	FT13227 - Cooperstown CSD - FTC	11/19/2020	\$19,567	\$19,567	\$9,378	\$9,378
PGRM	K-12 SCHOOLS	164469	Competitive	Y	Day Automation Systems, Inc.	P12GC-38 E - Lisbon CSD - PON	2/15/2021	\$10,005	\$10,005		
PGRM	K-12 SCHOOLS	164470	Competitive	Y	Day Automation Systems, Inc.	P12GC-39 E - Genesee CSD - PON	2/15/2021	\$18,113	\$18,113		
PGRM	K-12 SCHOOLS	120494B	Competitive	Y	Edison Energy, LLC	FlexTech Consultant Selection	2/26/2020		\$502,175		
PGRM	LARGE SCALE RENEWABLES	17538	Competitive	Y	Avangrid Renewables, LLC	Hardscrabble Wind Power LLC	12/15/2009	\$16,753,240		\$1,447,933	\$16,001,115
PGRM	LARGE SCALE RENEWABLES	17539	Competitive	Y	Erie Boulevard Hydropower LP	School Street Hydro Project	10/28/2011	\$1,908,087		\$201,349	\$1,826,151
PGRM	LARGE SCALE RENEWABLES	18559	Competitive	Y	Avangrid Renewables, LLC	Hardscrabble Wind Energy	4/1/2010	\$14,179,215		\$1,225,393	\$13,542,549
PGRM	LARGE SCALE RENEWABLES	18562	Competitive	Y	Northeast Wind Partners II LLC	Steel Winds II	4/1/2010	\$6,788,800		\$206,720	\$5,302,020
PGRM	LARGE SCALE RENEWABLES	18563	Competitive	Y	Albany Energy, LLC	Albany Energy LLC	4/1/2010	\$624,705			\$538,987
PGRM	LARGE SCALE RENEWABLES	18565	Competitive	Y	Wappingers Falls Hydroelectric, LLC	RPS Program Purchase of Renewa	4/1/2010	\$94,800		\$8,440	\$82,740
PGRM	LARGE SCALE RENEWABLES	20946	Competitive	Y	Albany Engineering Corp.	Mechanicville Hydro	10/26/2010	\$3,325,000		\$348,373	\$3,220,298
PGRM	LARGE SCALE RENEWABLES	20947	Competitive	Y	Albany Engineering Corp.	Stuyvesant Falls Hydroelectric	10/26/2010	\$2,172,378		\$236,340	\$1,866,638
PGRM	LARGE SCALE RENEWABLES	20951	Competitive	Y	Sheldon Energy, LLC	High Sheldon Wind Farm	10/27/2010	\$42,217,000		\$4,343,652	\$40,421,557
PGRM	LARGE SCALE RENEWABLES	22612	Competitive	Y	Albany Energy, LLC	Albany 2	5/4/2011	\$3,003,230			\$1,901,057
PGRM	LARGE SCALE RENEWABLES	22613	Competitive	Y	Marble River Wind Farm LLC	Marble River Wind Farm	5/4/2011	\$110,420,044		\$9,208,547	\$83,308,207
PGRM	LARGE SCALE RENEWABLES	22615	Competitive	Y	Innovative Energy Systems, LLC	DANC LFGE	5/4/2011	\$5,992,636		\$449,748	\$5,722,964
PGRM	LARGE SCALE RENEWABLES	22616	Competitive	Y	Hyland Facility Associates	RFP 2226-RPS Purchase of RE At	5/4/2011	\$3,146,035		\$340,032	\$3,051,965
PGRM	LARGE SCALE RENEWABLES	22617	Competitive	Y	Chautauqua County	Chautauqua LFGE	5/4/2011	\$2,882,050		\$252,575	\$2,743,125
PGRM	LARGE SCALE RENEWABLES	22618	Competitive	Y	Erie Boulevard Hydropower LP	Stewarts Bridge Hydro Project	5/4/2011	\$2,071,973		\$201,549	\$1,410,881
PGRM	LARGE SCALE RENEWABLES	22621	Competitive	Y	Seneca Energy II, LLC	Seneca Energy	5/4/2011	\$10,341,240		\$408,616	\$8,080,618
PGRM	LARGE SCALE RENEWABLES	22624	Competitive	Y	Oakvale Construction Co. Ltd.	Black Brook Hydro	5/4/2011	\$475,000		\$39,100	\$409,500
PGRM	LARGE SCALE RENEWABLES	22626	Competitive	Y	Stony Creek Energy LLC	Stony Creek Wind Farm	5/4/2011	\$58,304,617		\$5,379,342	\$37,347,748
PGRM	LARGE SCALE RENEWABLES	22627	Competitive	Y	Howard Wind LLC	Howard Wind Farm	5/4/2011	\$19,637,300		\$1,942,185	\$17,352,580
PGRM	LARGE SCALE RENEWABLES	22628	Competitive	Y	Seneca Energy II, LLC	Ontario LFGE	5/4/2011	\$4,937,349		\$430,014	\$4,880,200
PGRM	LARGE SCALE RENEWABLES	25876	Competitive	Y	City of Auburn	Mill Street Dam Hydro	12/22/2011	\$199,665		\$9,943	\$77,355
PGRM	LARGE SCALE RENEWABLES	25878	Competitive	Y	Talen Energy Marketing, LLC	Locust Ridge Wind Farm	12/22/2011	\$9,161,524		\$341,417	\$7,665,943
PGRM	LARGE SCALE RENEWABLES	25880	Competitive	Y	New England Waste Services of NY Inc.	Clinton Co. Landfill	12/22/2011	\$1,568,168		\$191,673	\$1,531,987
PGRM	LARGE SCALE RENEWABLES	25881	Competitive	Y	ReEnergy Black River LLC	Black River Facility	12/22/2011	\$99,054,350		\$8,745,759	\$70,699,629
PGRM	LARGE SCALE RENEWABLES	25882	Competitive	Y	Howard Wind LLC	Howard Wind Farm - Expansion	12/22/2011	\$2,733,565		\$270,551	\$2,164,262
PGRM	LARGE SCALE RENEWABLES	33368	Competitive	Y	Zotos International, Inc.	8th RPS Main Tier Solicitation	6/21/2013	\$683,480		\$41,832	\$328,176
PGRM	LARGE SCALE RENEWABLES	33369	Competitive	Y	Marsh Hill Energy, LLC	8th RPS Main Tier Solicitation	6/21/2013	\$19,408,357		\$1,705,612	\$11,120,546
PGRM	LARGE SCALE RENEWABLES	33370	Competitive	Y	Howard Wind LLC	8th RPS Main Tier Solicitation	6/21/2013	\$2,352,830		\$226,662	\$1,667,701
PGRM	LARGE SCALE RENEWABLES	33374	Competitive	Y	Eagle Creek Hydro Power LLC	8th RPS Main Tier Solicitation	6/21/2013	\$970,050		\$119,400	\$863,275
PGRM	LARGE SCALE RENEWABLES	33388	Competitive	Y	EcoMarkets LLC	8th RPS Main Tier Solicitation	6/21/2013	\$633,600		\$48,990	\$329,760
PGRM	LARGE SCALE RENEWABLES	33393	Competitive	Y	Clean Technologies A LLC	8th RPS Main Tier Solicitation	6/21/2013	\$504,957		\$40,283	\$253,736
PGRM	LARGE SCALE RENEWABLES	37918	Non-Competitive	Y	Frederick E Smith & Matthew Foley	Azure Maintenance Contract	12/5/2013		\$500,000	\$44,680	\$361,880
PGRM	LARGE SCALE RENEWABLES	67783	Competitive	Y	APX Inc.	NYGATS	6/24/2015	\$95,000	\$3,626,730	\$584,360	\$3,210,727
PGRM	LARGE SCALE RENEWABLES	102991	Competitive	Y	Amperand Olcott Harbor Hydro LLC	11th Main Tier Solicitation	8/31/2016		\$61,560		\$3,480
PGRM	LARGE SCALE RENEWABLES	102991A	Competitive	Y	CER NY W1 LLC	11th Main Tier Solicitation	6/29/2020	\$58,080			
PGRM	LARGE SCALE RENEWABLES	17540-1	Competitive	Y	Erie Boulevard Hydropower LP	Stewarts Bridge Hydro Project	4/18/2016		\$533,193	\$89,730	\$504,070
PGRM	LARGE SCALE RENEWABLES	18564-1	Competitive	Y	Erie Boulevard Hydropower LP	RPS Program Purchase of Renewa	4/18/2016		\$57,033	\$7,295	\$45,804
PGRM	LARGE SCALE RENEWABLES	25875A-1	Competitive	Y	Energy Power Investment Company, LLC	LARGE SCALE RENEWABLES	2/9/2016		\$4,387,903	\$644,350	\$3,457,106
PGRM	LARGE SCALE RENEWABLES	33380A	Competitive	Y	Equinix Inc.	8th RPS Main Tier Solicitation	5/16/2017		\$1,460,068	\$128,300	\$513,587
PGRM	LMI Community Solar	133342	Competitive	Y	Grand Island Solar, LLC	SFA - BlueRock Grand Island	11/1/2018		\$1,354,668	\$135,467	\$1,354,467
PGRM	LMI Community Solar	133391	Competitive	Y	Generate NY Community Solar I, LLC	RFP3802 Low Income Comm Solar	11/1/2018		\$1,004,900		\$100,490
PGRM	LMI Community Solar	133392	Competitive	Y	Common Energy LLC	RFP3802 Low Income Comm Solar	11/1/2018		\$1,297,499	\$117,916	\$359,196
PGRM	LMI Community Solar	133393	Competitive	Y	Common Energy LLC	RFP3802 Low Income Comm Solar	11/1/2018		\$1,292,953	\$109,508	\$238,804
PGRM	LMI Community Solar	133394	Competitive	Y	GIP III Zephyr Acquisition Partners L.P.	RFP3802 Low Income Comm Solar	11/1/2018		\$1,693,210	\$151,250	\$320,571
PGRM	LMI Community Solar	133395	Competitive	Y	GIP III Zephyr Acquisition Partners L.P.	RFP3802 Low Income Comm Solar	11/1/2018		\$1,419,977	\$131,882	\$273,880
PGRM	LMI Community Solar	133396	Competitive	Y	Generate NY Community Solar I, LLC	RFP3802 Low Income Comm Solar	11/1/2018		\$1,155,112	\$115,511	\$115,511
PGRM	LMI Community Solar	133397	Competitive	Y	Nexamp Inc.	RFP3802 Low Income Comm Solar	11/1/2018		\$1,355,724	\$135,572	\$135,572
PGRM	LMI Community Solar	133398	Competitive	Y	Green Eagle Solar IV, LLC Series I	RFP3802 Low Income Comm Solar	11/1/2018		\$1,065,324	\$101,962	\$208,494
PGRM	LMI Community Solar	139999	Discretionary	N	Heartshare Human Services of NY	SFA Outreach Assistance	5/7/2019		\$50,000		\$15,000
PGRM	LMI Community Solar	152716	Discretionary	N	All Care Energy Inc.	All Care Energy Solar for All	3/19/2020	\$10,500	\$10,500		
PGRM	LMI On Bill Tariff Study	137563	Competitive	Y	The Cadmus Group, LLC	Analysis of On-Bill Financing	2/27/2019		\$49,000	\$3,145	\$48,947
PGRM	Low Carbon Tech Demos	67101	Competitive	Y	42 West Broad Developers LLC	MULTIFAMILY PERFORMANCE PROGRAM	6/15/2015		\$174,300		\$29,880
PGRM	Low Carbon Tech Demos	38909A	Competitive	Y	HFZ 344 West 72nd Street Owner LLC	Low Carbon Tech Demos	1/21/2020	\$31,500			
PGRM	Low Rise New Construction	103017	Competitive	Y	Plymouth Gardens, Inc.	Low Rise New Construction	9/7/2016		\$52,200		
PGRM	Low Rise New Construction	108395	Competitive	Y	444 River Lofts, LLC	Low Rise New Construction	12/22/2016		\$62,850	\$62,850	\$62,850
PGRM	Low Rise New Construction	108397	Competitive	Y	Access Supports for Living Inc.	Low Rise New Construction	12/22/2016		\$66,600		\$65,600
PGRM	Low Rise New Construction	108403	Competitive	Y	David P Bruns	Low Rise New Construction	12/22/2016		\$231,200		
PGRM	Low Rise New Construction	108424	Competitive	Y	Habitat for Humanity - New York City	Low Rise New Construction	12/23/2016		\$26,000		
PGRM	Low Rise New Construction	108448	Competitive	Y	Akwesasne Housing Authority	Low-rise residential new const	12/27/2016		\$64,000	\$64,000	\$64,000
PGRM	Low Rise New Construction	108530	Competitive	Y	David P Bruns	Low Rise New Construction	12/29/2016		\$143,200		
PGRM	Low Rise New Construction	108575	Competitive	Y	Beacon Communities Services LLC	Low Rise New Construction	12/30/2016		\$37,000		
PGRM	Low Rise New Construction	110543	Competitive	Y	Merlin Larsen	Low Rise New Construction	2/21/2017		\$5,500		\$2,250
PGRM	Low Rise New Construction	110544	Competitive	Y	Brian Firestone	Low Rise New Construction	2/21/2017		\$5,500		\$4,500
PGRM	Low Rise New Construction	110814	Competitive	Y	Forbes-Capretto Homes	Low Rise New Construction	2/28/2017		\$5,000		\$2,250
PGRM	Low Rise New Construction	111251	Competitive	Y	Housing Visions Consultants, Inc.	Low Rise New Construction	3/8/2017		\$24,000	\$24,000	\$24,000
PGRM	Low Rise New Construction	111311	Competitive	Y	Witt Construction, Inc.	Low Rise New Construction	3/9/2017		\$5,500		
PGRM	Low Rise New Construction	112394	Competitive	Y	Blue Heron Trail I Housing Development F	Low Rise New Construction	4/4/2017		\$70,200	\$70,200	\$70,200
PGRM	Low Rise New Construction	113310	Competitive	Y	Brenner Builders	Low Rise New Construction	5/1/2017		\$5,000	\$5,000	\$5,000
PGRM	Low Rise New Construction	115970	Competitive	Y	Vecino Group New York, LLC	Low Rise New Construction	7/18/2017		\$61,800	\$61,800	\$61,800
PGRM	Low Rise New Construction	116083	Competitive	Y	CDS Monarch Inc	Low Rise New Construction	7/20/2017		\$78,600		\$77,600
PGRM	Low Rise New Construction	116084	Competitive	Y	Charles Settlement House, Inc.	Low Rise New Construction	7/20/2017		\$54,000		\$53,000
PGRM	Low Rise New Construction	116883	Competitive	Y	Plymouth Gardens, Inc.	Low Rise New Construction	8/10/2017		\$38,000		
PGRM	Low Rise New Construction	116884	Competitive	Y	Plymouth Gardens, Inc.	Low Rise New Construction	8/10/2017		\$32,000		
PGRM	Low Rise New Construction	118089	Competitive	Y	DePaul Properties, Inc.	Low Rise New Construction	9/11/2017		\$86,000		

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		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	Low Rise New Construction	118156	Competitive	Y	Heilmann Builders	LRNCP - 15 High St - NZE	9/12/2017		\$5,000		\$4,000
PGRM	Low Rise New Construction	118847	Competitive	Y	DePaul Properties, Inc.	Low Rise New Construction	9/27/2017		\$56,000	\$56,000	\$56,000
PGRM	Low Rise New Construction	120698	Competitive	Y	Libolt & Sons, Inc.	Low Rise New Construction	11/15/2017		\$9,000		
PGRM	Low Rise New Construction	121053	Competitive	Y	Buffalo Neighborhood Stabilization Co	Low Rise New Construction	11/28/2017		\$10,000		
PGRM	Low Rise New Construction	121862	Competitive	Y	Housing Visions Unlimited, Inc.	Low Rise New Construction	12/19/2017		\$50,000		
PGRM	Low Rise New Construction	121864	Competitive	Y	Linwood Lafayette LP	Low Rise New Construction	12/19/2017		\$37,000	\$37,000	\$37,000
PGRM	Low Rise New Construction	121865	Competitive	Y	Vecino Group New York, LLC	Low Rise New Construction	12/19/2017		\$74,600		
PGRM	Low Rise New Construction	121867	Competitive	Y	Hudson River Housing, Inc.	Low Rise New Construction	12/19/2017		\$66,800	\$66,800	\$66,800
PGRM	Low Rise New Construction	121868	Competitive	Y	Rural Ulster Preservation Company	Low Rise New Construction	12/19/2017	\$22,800	\$219,500	\$219,500	\$219,500
PGRM	Low Rise New Construction	122319	Competitive	Y	Soldier On, Inc.	Low Rise New Construction	1/4/2018		\$56,000		
PGRM	Low Rise New Construction	124256	Competitive	Y	Norstar Development USA, LP	New Construction	3/9/2018		\$126,600		
PGRM	Low Rise New Construction	125203	Competitive	Y	Intrada Saratoga Springs, LLC	New Construction	4/3/2018		\$114,800	\$114,800	\$114,800
PGRM	Low Rise New Construction	126542	Competitive	Y	Pathstone Housing Action Corporation	New Construction	5/7/2018		\$153,500	\$153,500	\$153,500
PGRM	Low Rise New Construction	126544	Competitive	Y	Star Park Apartments Housing Development	New Construction	5/7/2018		\$50,000	\$50,000	\$50,000
PGRM	Low Rise New Construction	126702	Competitive	Y	Barbera Homes and Development, Inc.	New Construction	5/10/2018		\$48,900		\$46,700
PGRM	Low Rise New Construction	126703	Competitive	Y	Belmonte Builders	New Construction	5/10/2018		\$23,750		\$2,850
PGRM	Low Rise New Construction	126761	Competitive	Y	Bonacio Construction, LLC	New Construction	5/11/2018		\$15,000		
PGRM	Low Rise New Construction	127553	Competitive	Y	Jefferson Avenue Apartments L.P.	New Construction	6/4/2018		\$74,000		
PGRM	Low Rise New Construction	127874	Competitive	Y	Ryan Homes (Virginia)	New Construction	6/13/2018		\$31,350		\$30,400
PGRM	Low Rise New Construction	127929	Competitive	Y	Belmonte Builders	New Construction	6/14/2018		\$35,150	\$4,750	\$30,400
PGRM	Low Rise New Construction	128065	Competitive	Y	Heartland Homes Construction Inc.	New Construction	6/19/2018		\$5,000		\$1,250
PGRM	Low Rise New Construction	128071	Competitive	Y	Malta Development Company Inc	New Construction	6/19/2018		\$20,250	\$6,650	\$9,500
PGRM	Low Rise New Construction	128957	Competitive	Y	DePaul Properties, Inc.	New Construction	7/13/2018		\$68,000	\$68,000	\$68,000
PGRM	Low Rise New Construction	129629	Competitive	Y	Belmont Housing Resources for WNY, Inc.	New Construction	8/1/2018		\$37,000	\$37,000	\$37,000
PGRM	Low Rise New Construction	129676	Competitive	Y	Pintail Crossing, LLC	New Construction	8/2/2018		\$58,400	\$58,400	\$58,400
PGRM	Low Rise New Construction	129800	Competitive	Y	Barbera Homes and Development, Inc.	New Construction	8/7/2018		\$5,700		\$4,750
PGRM	Low Rise New Construction	129927	Competitive	Y	Natale Builders	New Construction	8/9/2018		\$42,800		\$39,750
PGRM	Low Rise New Construction	130032	Competitive	Y	City of Oneida Housing Authority	New Construction	8/13/2018		\$50,000	\$50,000	\$50,000
PGRM	Low Rise New Construction	130035	Competitive	Y	Rehabilitation Support Services, Inc	New Construction	8/13/2018		\$20,000	\$20,000	\$20,000
PGRM	Low Rise New Construction	130075	Competitive	Y	Norstar Development USA, LP	New Construction	8/14/2018		\$69,000		
PGRM	Low Rise New Construction	130078	Competitive	Y	Norstar Development USA, LP	New Construction	8/14/2018		\$77,600		
PGRM	Low Rise New Construction	130080	Competitive	Y	Broadway Fillmore NHS Inc	New Construction	8/14/2018		\$11,000		
PGRM	Low Rise New Construction	130085	Competitive	Y	Mt Olive Development Corporation	New Construction	8/14/2018		\$8,000		
PGRM	Low Rise New Construction	130366	Competitive	Y	Grant Street Apartments LLC	New Construction	8/21/2018		\$51,200		
PGRM	Low Rise New Construction	130453	Competitive	Y	Buffalo Federation of Neighborhood Cente	New Construction	8/24/2018		\$70,400		
PGRM	Low Rise New Construction	130855	Competitive	Y	Dunkirk Renovation and Ownership, L.P.	New Construction	9/5/2018		\$63,700		\$27,600
PGRM	Low Rise New Construction	131341	Competitive	Y	Wilder Balter Partners, Inc.	New Construction	9/19/2018		\$64,400	\$64,400	\$64,400
PGRM	Low Rise New Construction	131993	Competitive	Y	The Community Builders, Inc.	New Construction	10/2/2018		\$33,800		
PGRM	Low Rise New Construction	131994	Competitive	Y	Urban League of Rochester, NY, Inc	New Construction	10/2/2018		\$19,000	\$19,000	\$19,000
PGRM	Low Rise New Construction	131995	Competitive	Y	Habitat Queens Phase II HDFC	New Construction	10/2/2018		\$5,100		
PGRM	Low Rise New Construction	132148	Competitive	Y	David Homebuilders, Inc.	New Construction	10/8/2018		\$19,150		
PGRM	Low Rise New Construction	133597	Competitive	Y	Tem Construction & Development, LLC	New Construction	11/6/2018		\$11,250	\$11,250	\$11,250
PGRM	Low Rise New Construction	133825	Competitive	Y	The Community Builders, Inc.	New Construction	11/9/2018		\$75,000		
PGRM	Low Rise New Construction	134243	Competitive	Y	Regan Development Corp	New Construction	11/20/2018		\$64,400	\$64,400	\$64,400
PGRM	Low Rise New Construction	134353	Competitive	Y	Opportunities for Broome, Inc.	New Construction	11/26/2018		\$50,000		
PGRM	Low Rise New Construction	136357	Competitive	Y	Forbes-Capretto Homes	New Construction	1/23/2019		\$11,400		\$10,450
PGRM	Low Rise New Construction	136373	Competitive	Y	DePaul Properties, Inc.	New Construction	1/23/2019		\$56,000	\$56,000	\$56,000
PGRM	Low Rise New Construction	136375	Competitive	Y	Barbera Homes and Development, Inc.	New Construction	1/23/2019		\$19,000	\$6,650	\$18,050
PGRM	Low Rise New Construction	136753	Competitive	Y	Warren Washington Association for Mental	New Construction	2/1/2019		\$29,000		
PGRM	Low Rise New Construction	137168	Competitive	Y	St. Catherine's Center for Children	2019 LRNCP	2/14/2019		\$20,000		
PGRM	Low Rise New Construction	137227	Competitive	Y	Lewis Custom Homes, Inc.	2019 LRNCP	2/18/2019		\$11,750	\$11,750	\$11,750
PGRM	Low Rise New Construction	137645	Competitive	Y	Habitat New Lots LLC	2019 LRNCP	3/4/2019		\$12,000		
PGRM	Low Rise New Construction	137710	Competitive	Y	Wilder Balter Partners, Inc.	2019 LRNCP	3/5/2019		\$42,000		
PGRM	Low Rise New Construction	137757	Competitive	Y	CARES of NY, Inc	2019 LRNCP	3/6/2019		\$10,200		
PGRM	Low Rise New Construction	138085	Competitive	Y	CARES of NY, Inc	2019 LRNCP	3/14/2019		\$15,300		
PGRM	Low Rise New Construction	138088	Competitive	Y	SAAC Buffalo Forge	2019 LRNCP	3/14/2019		\$114,800		
PGRM	Low Rise New Construction	138904	Competitive	Y	Barbera Homes and Development, Inc.	2019 LRNCP	4/5/2019		\$7,600	\$3,800	\$6,650
PGRM	Low Rise New Construction	139002	Competitive	Y	Tem Construction & Development, LLC	2019 LRNCP	4/9/2019		\$63,800	\$63,800	\$63,800
PGRM	Low Rise New Construction	139610	Competitive	Y	Baldwin Real Estate Development, Corp.	2019 LRNCP	4/25/2019		\$317,600		
PGRM	Low Rise New Construction	141056	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCP	6/5/2019		\$15,200	\$15,200	\$15,200
PGRM	Low Rise New Construction	141101	Competitive	Y	Habitat Net Zero LLC	2019 LRNCP	6/6/2019		\$54,600		
PGRM	Low Rise New Construction	141257	Competitive	Y	Malta Development Company Inc	2019 LRNCP	6/11/2019		\$20,900	\$950	\$20,900
PGRM	Low Rise New Construction	141631	Competitive	Y	Belmonte Builders	2019 LRNCP	6/20/2019		\$9,500	\$4,750	\$9,500
PGRM	Low Rise New Construction	141871	Competitive	Y	Providence Housing Development Corp	2019 LRNCP	6/26/2019		\$37,000	\$37,000	\$37,000
PGRM	Low Rise New Construction	141924	Competitive	Y	Ithaca Neighborhood Housing Services, Inc	2019 LRNCP	6/27/2019		\$165,200		
PGRM	Low Rise New Construction	142031	Competitive	Y	Steuben Churchpeople Against Poverty Inc	2019 LRNCP	7/1/2019		\$28,000	\$28,000	\$28,000
PGRM	Low Rise New Construction	142082	Competitive	Y	Barbera Homes and Development, Inc.	2019 LRNCP	7/2/2019		\$7,600	\$2,850	\$5,700
PGRM	Low Rise New Construction	142349	Competitive	Y	Belmont Housing Resources for WNY, Inc.	2019 LRNCP	7/10/2019		\$46,000		
PGRM	Low Rise New Construction	142819	Competitive	Y	Chappaqua Station, LLC	2019 LRNCP	7/23/2019		\$28,000		
PGRM	Low Rise New Construction	142820	Competitive	Y	Oakwood Senior Apartments LLC	2019 LRNCP	7/23/2019		\$33,000		
PGRM	Low Rise New Construction	143451	Competitive	Y	Plattsburgh Community Housing, Inc	2019 LRNCP	8/7/2019		\$40,000		
PGRM	Low Rise New Construction	143624	Competitive	Y	Kelberman Center Inc	2019 LRNCP	8/12/2019		\$56,000		
PGRM	Low Rise New Construction	143628	Competitive	Y	Lifetime Housing Development Corporation	2019 LRNCP	8/12/2019		\$48,000	\$48,000	\$48,000
PGRM	Low Rise New Construction	143977	Competitive	Y	Herkimer Affordable Housing	2019 LRNCP	8/21/2019		\$15,000		
PGRM	Low Rise New Construction	143979	Competitive	Y	Herkimer Affordable Housing	2019 LRNCP	8/21/2019		\$48,000		
PGRM	Low Rise New Construction	144448	Competitive	Y	Pennrose LLC	2019 LRNCP	9/4/2019		\$73,400		
PGRM	Low Rise New Construction	144509	Competitive	Y	Barbera Homes and Development, Inc.	2019 LRNCP	9/5/2019		\$5,700	\$5,700	\$5,700
PGRM	Low Rise New Construction	144565	Competitive	Y	Libolt & Sons, Inc.	2019 LRNCP	9/6/2019		\$75,800	\$31,000	\$31,000
PGRM	Low Rise New Construction	144825	Competitive	Y	Ballston Mouningkill Associates LLC	2019 LRNCP	9/12/2019		\$75,200		
PGRM	Low Rise New Construction	146028	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCP	10/11/2019		\$27,550	\$26,600	\$26,600
PGRM	Low Rise New Construction	146223	Competitive	Y	Perdita Flats Development, LLC	2019 LRNCP	10/16/2019		\$6,400		

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PGRM	Low Rise New Construction	146645	Competitive	Y	Net-Zero Development LLC	2019 LRNCP	10/25/2019	\$101,500	\$101,500		
PGRM	Low Rise New Construction	147897	Competitive	Y	Steven Winter Associates, Inc.	SF 107580 - 211 West 29th St.	11/21/2019		\$5,500	\$5,500	\$5,500
PGRM	Low Rise New Construction	149966	Competitive	Y	Forbes-Capretto Homes	2019 LRNCP	12/17/2019		\$6,650		
PGRM	Low Rise New Construction	149447	Competitive	Y	Beacon Lofts and Storage, LLC	2019 LRNCP	12/23/2019		\$30,300		
PGRM	Low Rise New Construction	149510	Competitive	Y	323T LLC	2019 LRNCP	12/26/2019		\$25,600	\$25,600	\$25,600
PGRM	Low Rise New Construction	149627	Competitive	Y	Natale Builders	2019 LRNCP	12/30/2019		\$16,550		
PGRM	Low Rise New Construction	163213	Competitive	Y	Integral Building & Design, Inc.	2019 LRNCP	1/12/2021	\$10,000	\$10,000	\$10,000	\$10,000
PGRM	Low Rise New Construction	108575A	Competitive	Y	Troy Housing Authority	Low Rise New Construction	10/11/2019		\$37,000	\$37,000	\$37,000
PGRM	Low Rise New Construction	130075-A	Competitive	Y	Niagara Square Apartments Housing Fund C	New Construction	8/25/2020	\$69,000	\$69,000		
PGRM	Low Rise New Construction	130078-A	Competitive	Y	Niagara Square Apartments Housing Fund C	New Construction	8/25/2020	\$77,600	\$77,600		
PGRM	Low Rise New Construction	137810-A	Competitive	Y	AHA Development Corporation	2019 LRNCP	5/2/2019		\$57,200		
PGRM	Low Rise New Construction	138339-A	Competitive	Y	Steuben Churchpeople Against Poverty Inc	New Construction	5/2/2019		\$86,100	\$35,300	\$35,300
PGRM	Low Rise New Construction	143946-1	Competitive	N	Solar Village Company LLC	2019 LRNCP	3/16/2020		\$52,000	\$24,000	\$44,000
PGRM	Low-Income Forum on Energy	159501	Discretionary	Y	Ansari Services	Climate strategy consulting	10/14/2020	\$284,962	\$284,962	\$57,077	\$57,077
PGRM	MARKET PATHWAYS	59310	Competitive	Y	ThinkEco, Inc.	Behavioral Demonstrations Prog	2/20/2015		\$381,838	\$10,650	\$366,238
PGRM	MARKET PATHWAYS	59314	Competitive	Y	Oracle America, Inc.	Behavioral Demonstrations Prog	2/20/2015		\$588,200		\$418,614
PGRM	MARKET PATHWAYS	59318	Competitive	Y	Nexant, Inc.	Behavioral Demonstrations Prog	2/20/2015		\$429,679	\$92,754	\$328,281
PGRM	Market Tests	133952	Competitive	N	CMC Energy Services, Inc	Community Blitz Pilot Project	11/13/2018		\$225,000		\$170,000
PGRM	Market Tests	136938	Discretionary	Y	New York City Energy Efficiency Corp	Marketing support IPNA	2/7/2019		\$50,000	\$27,500	\$50,000
PGRM	Market Tests	144746	Competitive	N	Performance Systems Development, Inc.	TWO 3 - HPR OpenStudio/EPI	9/11/2019	\$266,337	\$558,551	\$502,026	\$502,026
PGRM	Market Tests	150705	Competitive	Y	Steven Winter Associates, Inc.	Market Tests	1/29/2020		\$288,680	\$203,977	\$212,752
PGRM	M-Corps	122524	Competitive	N	SecondMuse LLC	M-Corps	1/11/2018	\$2,700,000	\$4,700,000	\$693,900	\$2,198,000
PGRM	M-Corps	122525	Competitive	N	NextCorps Inc	ACT Prototypes to Mass Prod NY	1/11/2018	\$2,700,000	\$4,700,000	\$1,480,000	\$3,200,000
PGRM	M-Corps	141867	Discretionary	N	Dragon Innovation, Inc.	Product Design Spec.Template	6/26/2019		\$48,500		\$32,500
PGRM	M-Corps	144312	Discretionary	Y	Unique Electric Solutions of NYS LLC	Upgrading UES Supply Chain	8/30/2019		\$49,000		\$5,000
PGRM	Multifam New Construction	103836	Competitive	Y	CAMBA Housing Ventures, Inc.	MFNCP PPES T2-210-214 Hege	9/16/2016		\$62,200		\$31,100
PGRM	Multifam New Construction	103837	Competitive	Y	H.E.L.P Development Corp.	MFNCP PPES T2-Crotona	9/16/2016		\$70,000		\$17,350
PGRM	Multifam New Construction	103838	Competitive	Y	CUCS Housing Development Fund Corporatio	MFNCP PHI T3-CUCS W 127 St	9/16/2016		\$89,800	\$44,900	\$44,900
PGRM	Multifam New Construction	103839	Competitive	Y	Bronx Pro Group, LLC	MFNCP PHI T3-3365 Third Ave	9/16/2016		\$105,000		
PGRM	Multifam New Construction	103840	Competitive	Y	West Side Federation for Senior & Suppor	MFNCP PHI T3-W 108th St Dev	9/16/2016		\$100,000		
PGRM	Multifam New Construction	103841	Competitive	Y	Homes for New Yorkers, Inc	MFNCP PHIUS T3-1675 Westch	9/16/2016		\$300,000		
PGRM	Multifam New Construction	103842	Competitive	Y	Trinity NY Development LLC	MFNCP PHIUS T3-425 Grand C	9/16/2016		\$213,900	\$53,475	\$53,475
PGRM	Multifam New Construction	103843	Competitive	Y	Calvary Manager LLC	MFNCP PHIUS T3-1579 St John	9/16/2016		\$300,000		
PGRM	Multifam New Construction	103845	Competitive	Y	211 Sea Foam Properties LLC	MFNCP PHI T3-211 Passive H	9/16/2016		\$154,500	\$54,075	\$54,075
PGRM	Multifam New Construction	103846	Competitive	Y	540 Hudson Street, LLC	MFNCP PHIUS T3-540 Hudson	9/16/2016		\$65,000		
PGRM	Multifam New Construction	104693	Competitive	Y	Riseboro Community Partnership, Inc.	PHIUS T3 Atlantic	10/6/2016	\$2,200	\$227,700	\$23,540	\$102,465
PGRM	Multifam New Construction	104694	Competitive	Y	MLK Plaza LLC	PPES T2 MLK Plaza	10/6/2016		\$100,000	\$25,000	\$50,000
PGRM	Multifam New Construction	104695	Competitive	Y	Alliance for Housing Inc.	PPES T2 Fountain Sea A1	10/6/2016		\$58,600		\$29,300
PGRM	Multifam New Construction	104696	Competitive	Y	Alliance for Housing Inc.	PPES T2 Fountain Sea A2	10/6/2016		\$100,000	\$25,000	\$50,000
PGRM	Multifam New Construction	106933	Competitive	Y	Carrera RS, LLC	MFNCP PHI T3-505 East 86th St	11/17/2016		\$73,400		\$36,700
PGRM	Multifam New Construction	108083	Competitive	Y	Rohan Development LLC	MFNCP-577 West 161st	12/15/2016		\$54,000	\$5,000	\$10,000
PGRM	Multifam New Construction	108427	Competitive	Y	Ebenezer Plaza Owner LLC	Multifam New Construction	12/23/2016		\$100,000		
PGRM	Multifam New Construction	108428	Competitive	Y	Orange Avenue Apartments LLC	Multifam New Construction	12/23/2016		\$37,400		
PGRM	Multifam New Construction	111306	Competitive	Y	112 East Clarke Housing Development Fund	MFNCP Clarke Place Sr. Res.	3/9/2017		\$93,200		\$46,600
PGRM	Multifam New Construction	113030	Competitive	Y	Wilfrid Developers, LLC	MFNCP - The Wilfrid	4/24/2017		\$100,000		\$50,000
PGRM	Multifam New Construction	114698	Competitive	Y	City Centre Associates, LLC	MFNCP - City Centre	6/9/2017		\$280,200	\$152,710	\$278,800
PGRM	Multifam New Construction	114699	Competitive	Y	Lemor Development Group, LLC	MFNCP - Balton Commons	6/9/2017		\$129,500	\$9,250	\$9,250
PGRM	Multifam New Construction	114733	Competitive	Y	Promesa Housing Development Fund Corp	MFNCP - Virginia House	6/12/2017		\$54,200		\$13,550
PGRM	Multifam New Construction	115365	Competitive	Y	Acacia Real Estate Development Inc.	Multifam New Construction	6/29/2017		\$300,000		
PGRM	Multifam New Construction	115430	Competitive	Y	Acacia Real Estate Development Inc.	MFNCP- Sendero Verde - B	7/3/2017		\$300,000		
PGRM	Multifam New Construction	115431	Competitive	Y	Acacia Real Estate Development Inc.	MFNCP - Sendero Verde - C	7/3/2017		\$283,500	\$127,575	\$127,575
PGRM	Multifam New Construction	117768	Competitive	Y	Lantern Organization Inc.	Multifam New Construction	8/31/2017		\$63,800		\$31,900
PGRM	Multifam New Construction	122527	Competitive	Y	Community Counseling & Mediation Service	MFNCP - Beverly's Place	1/11/2018		\$243,200		
PGRM	Multifam New Construction	122528	Competitive	Y	Harolds Holding LLC	Multifam New Construction	1/11/2018		\$161,200	\$41,720	\$53,640
PGRM	Multifam New Construction	126707	Competitive	Y	MacQuesten Construction Management LLC	New Construction	5/10/2018		\$133,400		
PGRM	Multifam New Construction	126713	Competitive	Y	St. Nicks Alliance	New Construction	5/10/2018		\$157,500		
PGRM	Multifam New Construction	126716	Competitive	Y	The Craft Building Associates LLC	New Construction	5/10/2018		\$65,000		
PGRM	Multifam New Construction	127659	Competitive	Y	Peninsula JV LLC	New Construction	6/6/2018		\$100,000	\$25,000	\$50,000
PGRM	Multifam New Construction	127870	Competitive	Y	MLG 904 Development MM LLC	New Construction	6/13/2018		\$18,000		\$9,000
PGRM	Multifam New Construction	127871	Competitive	Y	MLG 904 Development MM LLC	New Construction	6/13/2018		\$50,600	\$25,300	\$25,300
PGRM	Multifam New Construction	127872	Competitive	Y	MLG 904 Development MM LLC	New Construction	6/13/2018		\$53,600	\$26,800	\$26,800
PGRM	Multifam New Construction	128059	Competitive	Y	St. Nicks Alliance	New Construction	6/18/2018		\$129,500		
PGRM	Multifam New Construction	129241	Competitive	Y	Trinity NY Development LLC	New Construction	7/20/2018		\$97,400	\$24,350	\$24,350
PGRM	Multifam New Construction	129285	Competitive	Y	Bronx Pro Group, LLC	New Construction	7/23/2018		\$184,300		\$18,430
PGRM	Multifam New Construction	129292	Competitive	Y	Bronx Pro Group, LLC	New Construction	7/25/2018		\$105,000	\$36,750	\$47,250
PGRM	Multifam New Construction	131261	Competitive	Y	Breaking Ground II Housing	New Construction	9/13/2018		\$300,000	\$135,000	\$135,000
PGRM	Multifam New Construction	131670	Competitive	Y	Riseboro Community Partnership, Inc.	New Construction	9/24/2018		\$268,000	\$103,600	\$120,600
PGRM	Multifam New Construction	131746	Competitive	Y	Riseboro Community Partnership, Inc.	New Construction	9/27/2018		\$300,000		
PGRM	Multifam New Construction	131750	Competitive	Y	Chestnut Commons Apartments LLC	New Construction	9/28/2018		\$300,000	\$30,000	\$30,000
PGRM	Multifam New Construction	131751	Competitive	Y	Urban League of Rochester, NY, Inc	New Construction	9/28/2018		\$22,000	\$22,000	\$22,000
PGRM	Multifam New Construction	131753	Competitive	Y	The Community Builders, Inc.	New Construction	9/28/2018		\$187,400		
PGRM	Multifam New Construction	132000	Competitive	Y	Aurelius Development LLC	New Construction	10/3/2018		\$51,200	\$25,600	\$25,600
PGRM	Multifam New Construction	132084	Competitive	Y	MHSP Walton Owner LLC	New Construction	10/4/2018		\$83,600		
PGRM	Multifam New Construction	132085	Competitive	Y	HP Woodycrest Housing	New Construction	10/4/2018		\$157,500		
PGRM	Multifam New Construction	132087	Competitive	Y	Bronx Pro Group, LLC	New Construction	10/4/2018		\$300,000		
PGRM	Multifam New Construction	134158	Competitive	Y	East House Corporation	New Construction	11/19/2018		\$87,800		
PGRM	Multifam New Construction	134315	Competitive	Y	Sumner Senior Partners LLC	New Construction	11/21/2018		\$300,000		
PGRM	Multifam New Construction	135018	Competitive	Y	Southside United HDFC	New Construction	12/11/2018		\$300,000		
PGRM	Multifam New Construction	135072	Competitive	Y	Binghamton Northside LP	New Construction	12/12/2018		\$48,000	\$48,000	\$48,000
PGRM	Multifam New Construction	135075	Competitive	Y	Riseboro Community Partnership, Inc.	New Construction	12/12/2018		\$300,000		
PGRM	Multifam New Construction	135139	Competitive	Y	201 Ellicott, LLC	New Construction	12/13/2018		\$300,000		

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PGRM	Multifam New Construction	135619	Competitive	Y	Vecino Group New York, LLC	New Construction	12/28/2018	\$2,400	\$94,400		\$47,200	\$47,200
PGRM	Multifam New Construction	140545	Competitive	Y	Ex Libris, LLC	2019 MFCNP	5/21/2019		\$102,400			
PGRM	Multifam New Construction	103841-A	Competitive	Y	PH Affordable Housing Fund Inc.	MFNCP PHUIS T3-1675 Westch	4/21/2020	\$300,000	\$300,000		\$75,000	\$75,000
PGRM	Multifam New Construction	103844-A	Competitive	Y	First Jamaica Community & Urban	MFNCP PHUIS T3-Tree of Life	4/26/2017		\$300,000			\$129,650
PGRM	Multifam New Construction	108430-1	Competitive	Y	Albany Housing Authority	Multifam New Construction	3/28/2018		\$56,800		\$28,400	\$28,400
PGRM	Multifam New Construction	132085-A	Competitive	Y	1182 Woodcrest LLC	New Construction	10/30/2020	\$157,500	\$157,500			
PGRM	Multifam Performance Pgm	68768	Competitive	Y	FAC Sunset Park, LP	MULTIFAMILY PERFORMANCE PROGRAM	7/9/2015		\$50,000			\$8,500
PGRM	Multifam Performance Pgm	71718	Competitive	Y	Comunlife, Inc	MULTIFAMILY PERFORMANCE PROGRAM	8/25/2015		\$70,200		\$23,400	\$35,100
PGRM	Multifam Performance Pgm	71972	Competitive	Y	301/69 Condominium	MULTIFAMILY PERFORMANCE PROGRAM	8/28/2015		\$78,400		\$58,800	\$78,400
PGRM	Multifam Performance Pgm	71979	Competitive	Y	Concern for Independent Living, Inc.	MULTIFAMILY PERFORMANCE PROGRAM	8/28/2015		\$194,400			\$32,400
PGRM	Multifam Performance Pgm	72009	Competitive	Y	Masaryk Towers Corp.	MULTIFAMILY PERFORMANCE PROGRAM	8/28/2015		\$832,500			\$249,750
PGRM	Multifam Performance Pgm	102181	Competitive	Y	Saratoga Springs Housing Authority	Multifam Performance Pgm	8/10/2016		\$52,371		\$7,482	\$52,371
PGRM	Multifam Performance Pgm	106754	Competitive	Y	Stratford Arms Housing LP	Multifam Performance Pgm	11/16/2016		\$28,700		\$26,650	\$26,650
PGRM	Multifam Performance Pgm	113507	Competitive	Y	New Savoy Park Porfolio LLC	Multifam Performance Pgm	5/5/2017	\$1,455,000	\$2,715,000		\$1,267,000	\$1,267,000
PGRM	Multifam Performance Pgm	114587	Competitive	Y	Maurice I Schwartz Towers Inc	Multifam Performance Pgm	6/7/2017		\$112,800		\$28,200	\$28,200
PGRM	Multifam Performance Pgm	118937	Competitive	Y	WB Heritage Pointe Associates, LLC	Multifam Performance Pgm	9/29/2017		\$57,400		\$16,400	\$16,400
PGRM	Multifam Performance Pgm	119284	Competitive	Y	Michelangelo Preservation LLC	Multifam Performance Pgm	10/13/2017		\$395,200		\$197,600	\$370,500
PGRM	Multifam Performance Pgm	121944	Competitive	Y	Olena Butternut LLC	Multifam Performance Pgm	12/20/2017		\$25,200		\$12,600	\$23,400
PGRM	Multifam Performance Pgm	121945	Competitive	Y	Rome Housing Authority	Multifam Performance Pgm	12/20/2017		\$83,000		\$16,600	\$83,000
PGRM	Multifam Performance Pgm	123418	Competitive	Y	Eagle's Nest Housing Development	Multifam Performance Pgm	2/14/2018		\$21,000		\$6,000	\$6,000
PGRM	Multifam Performance Pgm	123507	Competitive	Y	Liberty Affordable Housing, Inc.	Multifam Performance Pgm	2/16/2018		\$84,700		\$6,050	\$78,650
PGRM	Multifam Performance Pgm	123590	Competitive	Y	Belle Apartments Housing Development Fun	Multifam Performance Pgm	2/20/2018		\$14,700		\$4,200	\$4,200
PGRM	Multifam Performance Pgm	123702	Competitive	Y	Clinton Complex Housing Development Fund	Multifam Performance Pgm	2/21/2018	\$7,200	\$12,800		\$3,200	\$3,200
PGRM	Multifam Performance Pgm	123704	Competitive	Y	Tremont Apartments Housing Development F	Multifam Performance Pgm	2/21/2018	\$1,700	\$13,600		\$3,400	\$3,400
PGRM	Multifam Performance Pgm	123843	Competitive	Y	Tremont Apartments Housing Development F	Multifam Performance Pgm	2/26/2018		\$11,900		\$3,400	\$3,400
PGRM	Multifam Performance Pgm	124251	Competitive	Y	DeChantal Apartments, Inc.	Multifam Performance Pgm	3/8/2018		\$104,800		\$47,750	\$47,750
PGRM	Multifam Performance Pgm	124421	Competitive	Y	MHANY 2004 HDFC	Multifam Performance Pgm	3/15/2018		\$88,800		\$44,400	\$83,250
PGRM	Multifam Performance Pgm	124455	Competitive	Y	680 St. Nicholas Owner LLC	Multifam Performance Pgm	3/22/2018		\$78,400		\$72,800	\$72,800
PGRM	Multifam Performance Pgm	124458	Competitive	Y	AME Zion Trinity HDFS	Multifam Performance Pgm	3/22/2018		\$140,000		\$65,000	\$65,000
PGRM	Multifam Performance Pgm	125317	Competitive	Y	Plaza Apartments Owner LLC	Multifam Performance Pgm	4/6/2018		\$28,700			
PGRM	Multifam Performance Pgm	126538	Competitive	Y	Fort Hill Development Fund Company, Inc.	Multifam Performance Pgm	5/7/2018		\$39,900		\$11,400	\$11,400
PGRM	Multifam Performance Pgm	127603	Competitive	Y	Taitem Engineering, P.C.	3695 TWO Umbrella Agreement	6/5/2018	\$178,600	\$572,962		\$82,530	\$242,213
PGRM	Multifam Performance Pgm	128351	Competitive	Y	Southview Towers, L.P.	Multifam Performance Pgm	6/26/2018		\$135,100		\$125,450	\$125,450
PGRM	Multifam Performance Pgm	128360	Competitive	Y	Woodlands Village Development LLC	Multifam Performance Pgm	6/26/2018		\$47,600			
PGRM	Multifam Performance Pgm	129066	Competitive	Y	Sunnycrest Gardens Vintage, LLC	Multifam Performance Pgm	7/17/2018		\$42,700		\$39,650	\$39,650
PGRM	Multifam Performance Pgm	129415	Competitive	Y	Frederick Douglass I 2017, LLC	Multifam Performance Pgm	7/26/2018		\$60,900		\$56,550	\$56,550
PGRM	Multifam Performance Pgm	129630	Competitive	Y	Westville Associates of New York 1 LLC	Multifam Performance Pgm	8/1/2018		\$168,000		\$156,000	\$156,000
PGRM	Multifam Performance Pgm	130404	Competitive	Y	City of Beacon Housing Authority	Multifam Performance Pgm	8/22/2018		\$49,700		\$14,200	\$14,200
PGRM	Multifam Performance Pgm	130597	Competitive	Y	Imperial Manor Associates LLC	Multifam Performance Pgm	8/29/2018	\$35,400	\$283,200		\$132,750	\$132,750
PGRM	Multifam Performance Pgm	131568	Competitive	Y	Conductors Court NY TC, LP	Multifam Performance Pgm	9/21/2018		\$68,600		\$2,450	\$31,850
PGRM	Multifam Performance Pgm	131673	Competitive	Y	North River Meadows NY TC LP	Multifam Performance Pgm	9/24/2018		\$74,900		\$21,400	\$21,400
PGRM	Multifam Performance Pgm	132402	Competitive	Y	RM & PSG, LLC	Multifam Performance Pgm	10/11/2018		\$147,000		\$73,500	\$136,500
PGRM	Multifam Performance Pgm	132403	Competitive	Y	Benchmark Lancaster Towers, LP	Multifam Performance Pgm	10/11/2018		\$125,600		\$91,845	\$91,845
PGRM	Multifam Performance Pgm	134842	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	12/6/2018		\$19,200		\$2,400	\$4,800
PGRM	Multifam Performance Pgm	134844	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	12/6/2018		\$16,800		\$2,400	\$4,800
PGRM	Multifam Performance Pgm	134845	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	12/6/2018		\$16,800		\$2,400	\$4,800
PGRM	Multifam Performance Pgm	134846	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	12/6/2018		\$14,400		\$1,800	\$3,600
PGRM	Multifam Performance Pgm	134960	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	12/10/2018		\$16,800		\$2,400	\$4,800
PGRM	Multifam Performance Pgm	135303	Competitive	Y	Concourse Village, Inc.	Multifam Performance Pgm	12/18/2018	\$561,600	\$1,872,000		\$374,400	\$374,400
PGRM	Multifam Performance Pgm	135475	Competitive	Y	L+M Workforce Housing Fund Holdco, LLC	Multifam Performance Pgm	12/21/2018		\$127,400		\$118,300	\$118,300
PGRM	Multifam Performance Pgm	137128	Competitive	Y	Nagle House, Inc.	Multifam Performance Pgm	2/13/2019		\$126,000		\$58,500	\$58,500
PGRM	Multifam Performance Pgm	137355	Competitive	Y	Willrab Realty Corp	Multifam Performance Pgm	2/20/2019		\$11,900		\$1,700	\$3,400
PGRM	Multifam Performance Pgm	137356	Competitive	Y	Willrab Realty Corp	Multifam Performance Pgm	2/20/2019		\$13,300		\$4,275	\$6,175
PGRM	Multifam Performance Pgm	137357	Competitive	Y	Willrab Realty Corp	Multifam Performance Pgm	2/20/2019		\$12,600		\$1,800	\$3,600
PGRM	Multifam Performance Pgm	137358	Competitive	Y	Cedarwood Community Partners, LP	Multifam Performance Pgm	2/20/2019		\$144,200		\$123,600	\$123,600
PGRM	Multifam Performance Pgm	137481	Competitive	Y	Ridgewood Bushwick Harman Street HDFS	Multifam Performance Pgm	2/25/2019		\$12,600			\$1,800
PGRM	Multifam Performance Pgm	137642	Competitive	Y	Forest Hills MHA Housing Development Fun	Multifam Performance Pgm	3/4/2019		\$301,000		\$139,750	\$139,750
PGRM	Multifam Performance Pgm	137991	Competitive	Y	Seneca Towers, Inc.	Multifam Performance Pgm	3/12/2019		\$343,700		\$24,550	\$319,150
PGRM	Multifam Performance Pgm	138347	Competitive	Y	Steele Sleepy Hollow LLC	Multifam Performance Pgm	3/22/2019		\$183,200		\$11,450	\$171,750
PGRM	Multifam Performance Pgm	138678	Competitive	Y	Village of Canton Housing Authority	Multifam Performance Pgm	3/29/2019		\$65,800		\$18,800	\$18,800
PGRM	Multifam Performance Pgm	139259	Competitive	Y	Amalgamated Housing Corporation	Multifam Performance Pgm	4/17/2019		\$1,040,900		\$297,400	\$297,400
PGRM	Multifam Performance Pgm	141369	Competitive	Y	Heritage Village Apartments, LLC	Multifam Performance Pgm	6/13/2019		\$313,600		\$89,600	\$89,600
PGRM	Multifam Performance Pgm	141500	Competitive	Y	5 Tellers Associates, LP	Multifam Performance Pgm	6/18/2019		\$147,000			
PGRM	Multifam Performance Pgm	141930	Competitive	Y	Southview Manor Apartments LLC	Multifam Performance Pgm	6/28/2019		\$16,100		\$4,600	\$4,600
PGRM	Multifam Performance Pgm	144506	Discretionary	N	TRC Energy Services	CRIS Project Macro Development	9/5/2019		\$35,000		\$33,867	\$34,997
PGRM	Multifam Performance Pgm	146640	Competitive	Y	Cayuga Developments, Inc	Multifam Performance Pgm	10/25/2019	\$19,200	\$19,200		\$4,800	\$4,800
PGRM	Multifam Performance Pgm	150567	Competitive	Y	Newburgh Housing Authority	Multifam Performance Pgm	1/24/2020	\$52,000	\$52,000		\$13,000	\$13,000
PGRM	Multifam Performance Pgm	151002	Competitive	Y	Lawrence Avenue Community Partners, LP	Multifam Performance Pgm	2/5/2020	\$137,000	\$137,000		\$27,400	\$27,400
PGRM	Multifam Performance Pgm	151423	Competitive	Y	Jill Joseph Tower, LLC	Multifam Performance Pgm	2/17/2020		\$88,900		\$25,400	\$25,400
PGRM	Multifam Performance Pgm	151868	Competitive	Y	RAHF IV Shore Hill, LLC	Multifam Performance Pgm	2/26/2020	\$391,300	\$391,300		\$75,000	\$75,000
PGRM	Multifam Performance Pgm	151916	Competitive	Y	WNY Rural Preservation, LP	Multifam Performance Pgm	2/28/2020	\$16,800	\$16,800		\$4,800	\$4,800
PGRM	Multifam Performance Pgm	152131	Competitive	Y	DALY 180 Housing Fund Development Corpor	Multifam Performance Pgm	3/4/2020	\$67,200	\$67,200		\$16,800	\$16,800
PGRM	Multifam Performance Pgm	152554	Competitive	Y	Mohawk Garden Apartments, LLC	Multifam Performance Pgm	3/13/2020	\$145,600	\$145,600		\$41,600	\$41,600
PGRM	Multifam Performance Pgm	153298	Competitive	Y	70 West MM LLC	NYCH: LMI	4/8/2020	\$76,300	\$76,300		\$21,800	\$21,800
PGRM	Multifam Performance Pgm	153426	Competitive	Y	Manlius Acad LLC	Manlius Academy Apartments,	4/13/2020	\$121,100	\$121,100			
PGRM	Multifam Performance Pgm	153543	Competitive	Y	Wyoming County Community Action, Inc.	Multifam Performance Pgm	4/16/2020	\$36,400	\$36,400			
PGRM	Multifam Performance Pgm	153758	Competitive	Y	Wellington Manor LLC	Multifam Performance Pgm	4/28/2020	\$79,100	\$79,100		\$22,600	\$22,600
PGRM	Multifam Performance Pgm	153801	Competitive	Y	Towers on the Hudson LLC	Multifam Performance Pgm	4/29/2020	\$93,800	\$93,800			
PGRM	Multifam Performance Pgm	154275	Competitive	Y	Residences at Covered Bridge LLC	Multifam Performance Pgm	5/19/2020	\$138,600	\$138,600		\$39,600	\$39,600
PGRM	Multifam Performance Pgm	154325	Competitive	Y	Venture at Endicott LLC	Multifam Performance Pgm	5/21/2020	\$47,600	\$47,600		\$13,600	\$13,600
PGRM	Multifam Performance Pgm	154459	Competitive	Y	Wedgewood West LLC	Multifam Performance Pgm	5/28/2020	\$111,300	\$111,300		\$31,800	\$31,800

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Multifam Performance Pgm	154669	Competitive	Y	Verona Village LLC	Multifam Performance Pgm	6/4/2020	\$32,200	\$32,200		
PGRM	Multifam Performance Pgm	155149	Competitive	Y	Orchard Estates Vintage, LLC	Multifam Performance Pgm	6/24/2020	\$210,000	\$210,000	\$60,000	\$60,000
PGRM	Multifam Performance Pgm	155187	Competitive	Y	Classic American Vintage, LLC	Multifam Performance Pgm	6/25/2020	\$91,000	\$91,000	\$26,000	\$26,000
PGRM	Multifam Performance Pgm	155500	Competitive	Y	City of Glens Falls Housing Authority	Multifam Performance Pgm	7/7/2020	\$70,000	\$70,000		
PGRM	Multifam Performance Pgm	155550	Competitive	Y	City of Glens Falls Housing Authority	Multifam Performance Pgm	7/8/2020	\$56,700	\$56,700		
PGRM	Multifam Performance Pgm	155551	Competitive	Y	City of Glens Falls Housing Authority	Multifam Performance Pgm	7/8/2020	\$52,500	\$52,500		
PGRM	Multifam Performance Pgm	155910	Competitive	Y	Pine Tree Vintage, LLC	Multifam Performance Pgm	7/17/2020	\$45,500	\$45,500	\$13,000	\$13,000
PGRM	Multifam Performance Pgm	155914	Competitive	Y	4 Minden Realty LLC	Multifam Performance Pgm	7/17/2020	\$12,600	\$12,600	\$3,600	\$3,600
PGRM	Multifam Performance Pgm	155915	Competitive	Y	Bourne & Kenney Redevelopment Company LL	Multifam Performance Pgm	7/17/2020	\$84,000	\$84,000	\$24,000	\$24,000
PGRM	Multifam Performance Pgm	156155	Competitive	Y	Brockport Villager LLC	Multifam Performance Pgm	7/23/2020	\$81,200	\$81,200		
PGRM	Multifam Performance Pgm	156342	Competitive	Y	Belmont Daniel LP	Multifam Performance Pgm	7/29/2020	\$78,400	\$78,400	\$22,400	\$22,400
PGRM	Multifam Performance Pgm	157587	Competitive	Y	Bronx Park Phase I Preservation LLC	Multifam Performance Pgm	8/31/2020	\$285,600	\$285,600		
PGRM	Multifam Performance Pgm	157588	Competitive	Y	Bronx Park Phase II Preservation LLC	Multifam Performance Pgm	8/31/2020	\$375,200	\$375,200		
PGRM	Multifam Performance Pgm	157589	Competitive	Y	Bronx Park Phase III Preservation, LLC	Multifam Performance Pgm	8/31/2020	\$231,700	\$231,700		
PGRM	Multifam Performance Pgm	158672	Competitive	Y	Taitem Engineering, P.C.	Multifam Performance Pgm	9/23/2020	\$599,837	\$599,837	\$45,457	\$45,457
PGRM	Multifam Performance Pgm	159271	Competitive	Y	Whitmore Court Apartments LLC	Multifam Performance Pgm	10/7/2020	\$77,000	\$77,000	\$22,000	\$22,000
PGRM	Multifam Performance Pgm	159337	Competitive	Y	Prospect Realty Group LLC	Multifam Performance Pgm	10/8/2020	\$95,900	\$95,900		
PGRM	Multifam Performance Pgm	159847	Competitive	Y	Sanford Plaza Apartment Corp	Multifam Performance Pgm	10/21/2020	\$126,000	\$126,000		
PGRM	Multifam Performance Pgm	161089	Competitive	Y	Pearl Over Look Corporation	Multifam Performance Pgm	11/18/2020	\$268,800	\$268,800		
PGRM	Multifam Performance Pgm	161447	Competitive	Y	Rivercrest Development Corp.	Multifam Performance Pgm	12/3/2020	\$133,700	\$133,700		
PGRM	Multifam Performance Pgm	161581	Competitive	Y	Norwich Housing Authority	Multifam Performance Pgm	12/3/2020	\$43,400	\$43,400		
PGRM	Multifam Performance Pgm	161585	Competitive	Y	Tonawanda Housing Authority	Multifam Performance Pgm	12/3/2020	\$28,700	\$28,700		
PGRM	Multifam Performance Pgm	161644	Competitive	Y	Charis Realty Corp.	Multifam Performance Pgm	12/4/2020	\$33,600	\$33,600		
PGRM	Multifam Performance Pgm	161772	Competitive	Y	Tonawanda Housing Authority	Multifam Performance Pgm	12/8/2020	\$105,000	\$105,000		
PGRM	Multifam Performance Pgm	161773	Competitive	Y	CEMC Associates LLC	Multifam Performance Pgm	12/8/2020	\$104,300	\$104,300		
PGRM	Multifam Performance Pgm	161827	Competitive	Y	Tonawanda Housing Authority	Multifam Performance Pgm	12/9/2020	\$43,400	\$43,400		
PGRM	Multifam Performance Pgm	161968	Competitive	Y	Valley View Realty Holdings, LLC	Multifam Performance Pgm	12/11/2020	\$63,000	\$63,000		
PGRM	Multifam Performance Pgm	161969	Competitive	Y	1397 E. 2nd LLC	Multifam Performance Pgm	12/11/2020	\$21,700	\$21,700		
PGRM	Multifam Performance Pgm	162149	Competitive	Y	Lawrence Terrace Vintage, LLC	Multifam Performance Pgm	12/16/2020	\$25,200	\$25,200		
PGRM	Multifam Performance Pgm	162213	Competitive	Y	KRN CG LLC	Multifam Performance Pgm	12/17/2020	\$235,200	\$235,200		
PGRM	Multifam Performance Pgm	162215	Competitive	Y	Valentine Gardens Vintage, LLC	Multifam Performance Pgm	12/17/2020	\$35,000	\$35,000		
PGRM	Multifam Performance Pgm	162432	Competitive	Y	Greenway Apartments Vintage, LLC	Multifam Performance Pgm	12/22/2020	\$29,400	\$29,400		
PGRM	Multifam Performance Pgm	162724	Competitive	Y	Morningside Housing Associates, LP	Multifam Performance Pgm	12/29/2020	\$97,300	\$97,300		
PGRM	Multifam Performance Pgm	162905	Competitive	Y	Hamilton Heights Cluster Associates, LP	Multifam Performance Pgm	1/4/2021	\$60,200	\$60,200		
PGRM	Multifam Performance Pgm	137481A	Competitive	Y	The Levy Partnership, Inc.	Multifam Performance Pgm	6/18/2020	\$10,800	\$10,800		
PGRM	Multifam Performance Pgm	66815A	Competitive	Y	MP Owner LLC	MULTIFAMILY PERFORMANCE PROGRAM	2/23/2018		\$71,380		\$28,380
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	28103	Competitive	Y	Prospect Equities Operating, LLC	MULTIFAMILY PERFORMANCE PROGRAM	6/18/2012	\$45,112	\$45,112		\$39,252
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	28833	Competitive	Y	Albee Tower 1 Owners LLC	MULTIFAMILY PERFORMANCE PROGRAM	8/1/2012	\$353,177	\$353,177		\$242,118
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	30214	Competitive	Y	Common Ground Community II HDfC	MULTIFAMILY PERFORMANCE PROGRAM	11/9/2012	\$186,000			\$120,900
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	34193	Competitive	Y	The Webster Green Housing	MULTIFAMILY PERFORMANCE PROGRAM	7/29/2013	\$98,400			\$63,960
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	41317	Competitive	Y	Harlem Congregations for	MULTIFAMILY PERFORMANCE PROGRAM	4/29/2014	\$61,200			\$30,600
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	42834	Competitive	Y	Crotona Park Housing	MULTIFAMILY PERFORMANCE PROGRAM	6/11/2014	\$70,533			\$35,267
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	47384	Competitive	Y	Comunilife, Inc	MULTIFAMILY PERFORMANCE PROGRAM	9/11/2014	\$258,000			\$129,000
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	47385	Competitive	Y	Comunilife, Inc	MULTIFAMILY PERFORMANCE PROGRAM	9/11/2014	\$337,200			\$168,600
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	47723	Competitive	Y	Site 2 DSA Owner LLC	MULTIFAMILY PERFORMANCE PROGRAM	9/15/2014	\$234,000			\$117,000
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	48008	Competitive	Y	Postgraduate Center for Mental Health	MULTIFAMILY PERFORMANCE PROGRAM	9/17/2014	\$120,000			\$78,000
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	48608	Competitive	Y	Rogers Avenue Housing Development Fund C	MULTIFAMILY PERFORMANCE PROGRAM	9/25/2014	\$147,600			\$95,940
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	48968	Competitive	Y	BCD-A, LLC	MULTIFAMILY PERFORMANCE PROGRAM	10/1/2014	\$147,600			\$95,940
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	49381	Competitive	Y	Tremont Renaissance, LLC	MULTIFAMILY PERFORMANCE PROGRAM	10/8/2014	\$307,200			\$153,600
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	55914	Competitive	Y	Henry Phipps Plaza East, Inc.	MULTIFAMILY PERFORMANCE PROGRAM	12/31/2014	\$119,600			\$104,000
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	62753	Competitive	Y	Sandy 350, LLC	MULTIFAMILY PERFORMANCE PROGRAM	4/17/2015	\$175,000			\$87,500
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	69012	Competitive	Y	233 West 125th Street Danforth, LLC	MULTIFAMILY PERFORMANCE PROGRAM	7/14/2015	\$191,000			\$95,500
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71816	Competitive	Y	3475 Third Avenue Owner Realty LLC	MULTIFAMILY PERFORMANCE PROGRAM	8/26/2015	\$91,800			\$59,670
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71829	Competitive	Y	NYC Partnership Housing Dev. Fund Co.	MULTIFAMILY PERFORMANCE PROGRAM	8/27/2015	\$190,800		\$66,780	\$190,800
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71855	Competitive	Y	NYC Partnership Housing Dev. Fund Co.	MULTIFAMILY PERFORMANCE PROGRAM	8/27/2015	\$200,700		\$100,350	\$200,700
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71866	Competitive	Y	CJ Plaza Two LLC	MULTIFAMILY PERFORMANCE PROGRAM	8/27/2015	\$117,000			\$58,500
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71875	Competitive	Y	New Destiny Housing Corp	MULTIFAMILY PERFORMANCE PROGRAM	8/27/2015	\$37,800			\$18,900
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71877	Competitive	Y	Alliance for Housing Inc.	MULTIFAMILY PERFORMANCE PROGRAM	8/27/2015	\$76,110		\$38,055	\$76,110
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71957	Competitive	Y	15 William Street Condominium	Multifamily	8/28/2015	\$208,000	\$64,000		\$160,000
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	71982	Competitive	Y	Settlement Housing Fund, Inc.	MULTIFAMILY PERFORMANCE PROGRAM	8/28/2015	\$119,700	\$41,895		\$119,700
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	72067	Competitive	Y	Adee Tower Apartments Inc	MULTIFAMILY PERFORMANCE PROGRAM	8/31/2015	\$160,600	\$65,700		\$65,700
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	72072	Competitive	Y	Habitat for Humanity - New York City	MULTIFAMILY PERFORMANCE PROGRAM	8/31/2015	\$51,300	\$17,955		\$51,300
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	76795	Competitive	Y	CJ Plaza One LLC	MULTIFAMILY PERFORMANCE PROGRAM	10/7/2015	\$405,000			\$202,500
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	28386A	Competitive	Y	NYC Partnership Housing Dev. Fund Co.	MULTIFAMILY PERFORMANCE PROGRAM	10/10/2014	\$231,286	\$49,300		\$231,286
PGRM	MULTIFAMILY PERFORMANCE PROGRAM	41055A	Competitive	Y	Homes for New Yorkers, Inc	MULTIFAMILY PERFORMANCE PROGRAM	12/1/2015	\$238,800	\$83,580		\$238,800
PGRM	Natl Offshore Wind R&D	140398	Competitive	Y	National Wind Technology Consortium, Inc	National OSW R&D Consortium.	5/20/2019	\$450,000	\$5,596,103	\$813,776	\$1,887,038
PGRM	Natl Offshore Wind R&D	145018	Competitive	Y	Principle Power Inc	Natl Offshore Wind R&D	9/17/2019	\$580,000	\$580,000		
PGRM	Natl Offshore Wind R&D	146220	Competitive	Y	JC Solutions LLC	Natl Offshore Wind R&D	10/16/2019		\$756,893	\$366,039	\$366,039
PGRM	Natl Offshore Wind R&D	147504	Competitive	Y	General Electric Company	Natl Offshore Wind R&D	11/13/2019	\$1,078,760	\$1,078,760	\$74,750	\$74,750
PGRM	Natl Offshore Wind R&D	147506	Competitive	Y	National Renewable Energy Laboratory	Natl Offshore Wind R&D	11/13/2019	\$800,000	\$800,000	\$502,307	\$502,307
PGRM	Natl Offshore Wind R&D	154628	Competitive	Y	Tagup Inc.	Natl Offshore Wind R&D	6/3/2020	\$308,425	\$308,425		
PGRM	Natl Offshore Wind R&D	154629	Competitive	Y	University of Massachusetts Amherst	Natl Offshore Wind R&D	6/3/2020	\$300,000	\$300,000		
PGRM	Natl Offshore Wind R&D	154632	Competitive	Y	Triton Systems, Inc	Natl Offshore Wind R&D	6/3/2020	\$1,092,080	\$1,092,080	\$2,500	\$2,500
PGRM	Natl Offshore Wind R&D	154675	Competitive	Y	National Renewable Energy Laboratory	Natl Offshore Wind R&D	6/4/2020	\$400,000	\$400,000	\$236,910	\$236,910
PGRM	Natl Offshore Wind R&D	154676	Competitive	Y	University of Maine	Natl Offshore Wind R&D	6/4/2020	\$1,500,000	\$1,500,000	\$30,248	\$30,248
PGRM	Natl Offshore Wind R&D	154677	Competitive	Y	Woods Hole Oceanographic Institution	Natl Offshore Wind R&D	6/4/2020	\$815,912	\$815,912		
PGRM	Natl Offshore Wind R&D	154678	Competitive	Y	General Electric Co. Global Research	Natl Offshore Wind R&D	6/4/2020	\$1,099,568	\$1,099,568		
PGRM	Natl Offshore Wind R&D	154719	Competitive	Y	Trustees of Tufts College	Natl Offshore Wind R&D	6/9/2020	\$799,890	\$799,890	\$10,000	\$10,000
PGRM	Net Zero Energy for Eco Dev	134160	Competitive	Y	Town of Dannemora	Net Zero Energy for Eco Dev	11/19/2018	\$245,000	\$245,000		
PGRM	Net Zero Energy for Eco Dev	134162	Competitive	Y	Pelham Private Property Corp.	Net Zero Energy for Eco Dev	11/19/2018	\$1,092,000	\$1,092,000	\$20,037	\$20,037
PGRM	Net Zero Energy for Eco Dev	134167	Competitive	Y	City Winery Hudson Valley, LLC	Net Zero Energy for Eco Dev	11/19/2018	\$805,756	\$805,756	\$120,863	\$120,863

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	Net Zero Energy for Eco Dev	136174	Competitive	Y	Joel Bates	Net Zero Energy for Eco Dev	1/16/2019	\$1,000,000	\$1,000,000		\$150,000	\$150,000
PGRM	Net Zero Energy for Eco Dev	136175	Competitive	Y	Trilok Fusion Arts, Inc.	Net Zero Energy for Eco Dev	1/16/2019	\$56,639	\$56,639			
PGRM	Net Zero Energy for Eco Dev	146647	Competitive	Y	City of Rome	Net Zero Economic Development	10/25/2019	\$1,000,000	\$1,000,000		\$400,000	\$400,000
PGRM	Net Zero Energy for Eco Dev	152451	Competitive	Y	Basilica Industries LLC	Net Zero Economic Development	3/12/2020	\$950,139	\$950,139			
PGRM	New Construction	143731	Competitive	Y	Energy and Environmental Economics Inc.	TWO 20 NZE Road Map - 2019	8/14/2019					
PGRM	New Construction- Commercial	126607	Competitive	Y	LaBella Associates, P.C.	RFP3771 Umbrella Contract	5/8/2018		\$10,940			\$3,959
PGRM	New Construction- Commercial	139094	Competitive	Y	Atelier Ten	SUNY - Mini Bid (2019)	4/11/2019		\$250,000	\$183,135		\$232,495
PGRM	New Construction- Commercial	142716	Competitive	Y	SUNY Upstate Medical University	SF184516 - SUNY Upstate 725 E	7/19/2019	\$100,625	\$100,625			
PGRM	New Construction- Commercial	143458	Competitive	Y	O'Brien & Gere Engineers, Inc.	TWO PON 3943 - SF167681	8/7/2019		\$175,000	\$41,194		\$41,194
PGRM	New Construction- Commercial	143731	Non-Competitive	Y	Energy and Environmental Economics Inc.	TWO 20 NZE Road Map - 2019	8/14/2019	\$175,000	\$275,000	\$196,721		\$261,528
PGRM	New Construction- Commercial	145068	Competitive	Y	Steven Winter Associates, Inc.	TWO PON 3943	9/18/2019		\$220,431	\$144,148		\$202,143
PGRM	New Construction- Commercial	149960	Competitive	Y	Atelier Ten	Commercial New Construction	1/9/2020	\$85,642	\$85,642	\$50,225		\$50,225
PGRM	New Construction- Commercial	152380	Competitive	Y	SUNY Oneonta	Commercial New Construction	3/11/2020	\$113,339	\$113,339			
PGRM	New Construction- Commercial	152639	Competitive	Y	O'Brien & Gere Engineers, Inc.	Commercial New Construction	3/17/2020	\$97,000	\$97,000	\$86,330		\$86,330
PGRM	New Construction- Commercial	153187	Competitive	Y	Vidaris, Inc.	Commercial New Construction	4/3/2020	\$50,323	\$50,323	\$42,884		\$42,884
PGRM	New Construction- Commercial	153783	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	4/29/2020	\$66,650	\$66,650	\$44,455		\$44,455
PGRM	New Construction- Commercial	154077	Competitive	Y	Encompass Energy LLC	Commercial New Construction	5/8/2020	\$17,733	\$17,733	\$17,729		\$17,729
PGRM	New Construction- Commercial	154183	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	5/14/2020	\$69,472	\$69,472	\$46,375		\$46,375
PGRM	New Construction- Commercial	154217	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	5/15/2020	\$59,725	\$59,725	\$32,069		\$32,069
PGRM	New Construction- Commercial	154261	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	5/19/2020	\$33,831	\$33,831	\$30,448		\$30,448
PGRM	New Construction- Commercial	154692	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	6/5/2020	\$89,350	\$89,350	\$37,538		\$37,538
PGRM	New Construction- Commercial	155112	Competitive	Y	Noresco LLC	Commercial New Construction	6/23/2020	\$26,640	\$26,640			
PGRM	New Construction- Commercial	155501	Competitive	Y	Vidaris, Inc.	Commercial New Construction	7/7/2020	\$29,995	\$29,995	\$20,061		\$20,061
PGRM	New Construction- Commercial	156015	Competitive	Y	MJ Garden LLC	Commercial New Construction	7/21/2020	\$55,048	\$55,048			
PGRM	New Construction- Commercial	156046	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	7/22/2020	\$67,950	\$67,950	\$28,763		\$28,763
PGRM	New Construction- Commercial	156340	Competitive	Y	Steven Winter Associates, Inc.	Commercial New Construction	7/29/2020	\$198,950	\$198,950	\$43,675		\$43,675
PGRM	New Construction- Commercial	156474	Competitive	Y	Adam C. Boese	Commercial New Construction	7/31/2020	\$34,804	\$34,804	\$34,804		\$34,804
PGRM	New Construction- Commercial	157185	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	8/19/2020	\$49,375	\$49,375	\$17,388		\$17,388
PGRM	New Construction- Commercial	157322	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	8/25/2020	\$38,325	\$38,325	\$12,093		\$12,093
PGRM	New Construction- Commercial	157940	Competitive	Y	L&S Energy Services, Inc.	Commercial New Construction	9/9/2020	\$26,000	\$26,000			
PGRM	New Construction- Commercial	159116	Competitive	Y	Altanova LLC	Commercial New Construction	10/2/2020	\$39,999	\$39,999	\$26,543		\$26,543
PGRM	New Construction- Commercial	159185	Competitive	Y	Energy & Resource Solutions, Inc.	Commercial New Construction	10/7/2020	\$39,260	\$39,260			
PGRM	New Construction- Commercial	160537	Competitive	Y	OLA Consulting Engineers, P.C.	Commercial New Construction	11/5/2020	\$47,990	\$47,990	\$23,415		\$23,415
PGRM	New Construction- Commercial	161587	Competitive	Y	M/E Engineering, P.C.	Commercial New Construction	12/3/2020	\$80,325	\$80,325			
PGRM	New Construction- Commercial	162815	Competitive	Y	The Levy Partnership, Inc.	Commercial New Construction	12/30/2020	\$16,960	\$16,960			
PGRM	New Construction- Commercial	162858	Competitive	Y	LaBella Associates, P.C.	Commercial New Construction	12/31/2020	\$27,000	\$27,000			
PGRM	New Construction- Commercial	162860	Competitive	Y	Pathfinder Engineers & Architects, LLP	Commercial New Construction	12/31/2020	\$74,240	\$74,240			
PGRM	New Construction Housing	136266	Competitive	Y	AIA New York State	New Construction- Commercial	1/18/2019		\$20,000			\$7,800
PGRM	New Construction Housing	136434	Competitive	Y	The Hudson Companies Incorporated	New Construction	1/24/2019		\$200,000			
PGRM	New Construction Housing	137711	Competitive	Y	Ebenezer Plaza Owner LLC	New Construction	3/5/2019		\$90,400			
PGRM	New Construction Housing	137712	Competitive	Y	2050 G.C. Housing Development Fund Compa	New Construction	3/5/2019		\$77,600	\$19,400		\$19,400
PGRM	New Construction Housing	137713	Competitive	Y	Fifth Avenue Committee, Inc.	2019 MFCNP	3/5/2019	\$65,600	\$65,600			
PGRM	New Construction Housing	139214	Discretionary	Y	AIA - New York Chapter	AIA-Strategic partnership	4/16/2019		\$8,500	\$3,000		\$8,500
PGRM	New Construction Housing	139503	Competitive	Y	Project Hospitality	2019 MFCNP	4/24/2019		\$48,000			\$12,000
PGRM	New Construction Housing	141365	Discretionary	Y	Urban Green Council	Partner Engagement	6/13/2019		\$50,000	\$25,000		\$50,000
PGRM	New Construction Housing	143061	Competitive	Y	Polly Jean Suites LLC	2019 LRNC	7/30/2019		\$8,000			
PGRM	New Construction Housing	143071	Competitive	Y	Fifth Avenue Committee, Inc.	New Construction	7/31/2019		\$8,000			
PGRM	New Construction Housing	143224	Competitive	Y	Pennrose LLC	2019 MFCNP	8/5/2019		\$150,800	\$75,400		\$75,400
PGRM	New Construction Housing	143460	Non-Competitive	Y	New Buildings Institute, Inc.	NBI- GTZF REQ4185	8/7/2019		\$112,000			\$106,000
PGRM	New Construction Housing	144564	Competitive	Y	Campus West, LLC	2019 LRNC	9/6/2019		\$168,000			
PGRM	New Construction Housing	144816	Competitive	Y	Access Supports for Living Inc.	2019 LRNC	9/12/2019		\$68,600	\$68,600		\$68,600
PGRM	New Construction Housing	145021	Competitive	Y	Finger Lakes United Cerebral Palsy, Inc	2019 LRNC	9/17/2019		\$30,000	\$30,000		\$30,000
PGRM	New Construction Housing	145117	Competitive	Y	Access Supports for Living Inc.	2019 LRNC	9/20/2019		\$171,200	\$120,800		\$120,800
PGRM	New Construction Housing	145119	Competitive	Y	Lakeview Mental Health Services, Inc.	2019 LRNC	9/20/2019		\$56,000			
PGRM	New Construction Housing	145505	Competitive	Y	Penfield Sq Associates MM LLC.	2019 LRNC	9/30/2019		\$88,400	\$88,400		\$88,400
PGRM	New Construction Housing	145506	Competitive	Y	Irving Community LLC	2019 LRNC	9/30/2019		\$25,000			
PGRM	New Construction Housing	145515	Competitive	Y	Irving Community LLC	2019 LRNC	9/30/2019		\$30,000			
PGRM	New Construction Housing	145521	Competitive	Y	Vecino Group New York, LLC	2019 MFCNP	9/30/2019		\$55,400			
PGRM	New Construction Housing	145582	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	10/1/2019		\$240,100			
PGRM	New Construction Housing	145584	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	10/1/2019		\$300,000			
PGRM	New Construction Housing	145586	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	10/1/2019		\$264,900			
PGRM	New Construction Housing	145589	Competitive	Y	Providence Housing Development Corp	2019 LRNC	10/1/2019	\$93,000	\$268,000			
PGRM	New Construction Housing	146449	Competitive	Y	Regan Development Corp	2019 LRNC	10/22/2019		\$63,200	\$63,200		\$63,200
PGRM	New Construction Housing	146450	Competitive	Y	Meadows Senior Living 2 L.P.	2019 LRNC	10/22/2019		\$81,200			
PGRM	New Construction Housing	146516	Competitive	Y	Rural Ulster Preservation Company	2019 LRNC	10/24/2019		\$32,000			
PGRM	New Construction Housing	146953	Competitive	Y	DePaul Properties, Inc.	2019 LRNC	10/31/2019		\$40,000			
PGRM	New Construction Housing	146963	Competitive	Y	Stephen Taya Property Development	2019 LRNC	10/31/2019		\$12,600	\$12,600		\$12,600
PGRM	New Construction Housing	147026	Competitive	Y	Norstar Development USA, LP	LR NCP - Incentives SF206404	11/1/2019		\$64,800			
PGRM	New Construction Housing	147083	Competitive	Y	Pathstone Housing Action Corporation	2019 LRNC	11/4/2019		\$63,800			
PGRM	New Construction Housing	147084	Competitive	Y	Two Plus Four Construction Co., Inc.	2019 LRNC	11/4/2019		\$80,000			
PGRM	New Construction Housing	147143	Competitive	Y	Two Plus Four Construction Co., Inc.	2019 LRNC	11/5/2019		\$41,000			
PGRM	New Construction Housing	147147	Competitive	Y	Two Plus Four Construction Co., Inc.	2019 LRNC	11/5/2019		\$65,400			
PGRM	New Construction Housing	147298	Competitive	Y	Christopher Community, Inc.	2019 LRNC	11/11/2019		\$25,000			
PGRM	New Construction Housing	147299	Competitive	Y	Christopher Community, Inc.	2019 LRNC	11/11/2019		\$63,000			
PGRM	New Construction Housing	147300	Competitive	Y	DePaul Properties, Inc.	2019 LRNC	11/11/2019	\$5,400	\$61,400			
PGRM	New Construction Housing	147436	Competitive	Y	DePaul Properties, Inc.	2019 LRNC	11/12/2019		\$68,000			
PGRM	New Construction Housing	147438	Competitive	Y	DePaul Properties, Inc.	2019 LRNC	11/12/2019	\$57,200	\$57,200			
PGRM	New Construction Housing	147500	Competitive	Y	Providence Housing Development Corp	2019 LRNC	11/13/2019		\$40,000			
PGRM	New Construction Housing	147764	Competitive	Y	St. Anthony's Associates LLC	2019 LRNC	11/19/2019		\$52,400			
PGRM	New Construction Housing	147830	Competitive	Y	Home Leasing, LLC	2019 LRNC	11/20/2019		\$63,200			
PGRM	New Construction Housing	147831	Competitive	Y	Home Leasing, LLC	2019 LRNC	11/20/2019		\$53,000			

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	New Construction Housing	147832	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	11/20/2019		\$46,000		
PGRM	New Construction Housing	147833	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	11/20/2019		\$46,000		
PGRM	New Construction Housing	148089	Competitive	Y	Troy Rehabilitation and Improvement	2019 LRNCNP	11/25/2019	\$57,600	\$57,600		
PGRM	New Construction Housing	148104	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	11/25/2019		\$263,505		\$108,830
PGRM	New Construction Housing	148225	Competitive	Y	Riseboro Community Partnership, Inc.	2019 MFCNP	11/27/2019		\$199,800		
PGRM	New Construction Housing	148227	Competitive	Y	Ibero-American Development Corp	2019 LRNCNP	11/27/2019		\$36,000		
PGRM	New Construction Housing	148352	Competitive	Y	Western New York Veterans Housing Coalit	2019 LRNCNP	12/3/2019		\$47,000		
PGRM	New Construction Housing	148353	Competitive	Y	Western New York Veterans Housing Coalit	2019 LRNCNP	12/3/2019		\$25,000		
PGRM	New Construction Housing	148355	Competitive	Y	Cayuga Country Homes	2019 LRNCNP	12/3/2019		\$6,800	\$6,800	\$6,800
PGRM	New Construction Housing	148447	Competitive	Y	Sustainable Comfort, Inc	SF222055 - 2770 Baird Rd.	12/4/2019		\$10,000	\$10,000	\$10,000
PGRM	New Construction Housing	148517	Competitive	Y	SEPP, Inc.	2019 LRNCNP	12/6/2019		\$25,000		
PGRM	New Construction Housing	148657	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	12/9/2019		\$57,000		
PGRM	New Construction Housing	148727	Competitive	Y	Pathstone Housing Action Corporation	2019 LRNCNP	12/10/2019		\$53,600		
PGRM	New Construction Housing	148887	Competitive	Y	Rochester Civic Housing, Inc.	2019 LRNCNP	12/12/2019		\$68,000		
PGRM	New Construction Housing	148889	Competitive	Y	Rochester Civic Housing, Inc.	2019 LRNCNP	12/12/2019		\$45,000		
PGRM	New Construction Housing	148893	Competitive	Y	NRP Holdings LLC	2019 LRNCNP	12/12/2019	\$63,200	\$63,200		
PGRM	New Construction Housing	148897	Competitive	Y	Vecino Group New York, LLC	2019 MFCNP	12/13/2019		\$67,400		
PGRM	New Construction Housing	149206	Competitive	Y	70 North MM LLC	2019 LRNCNP	12/18/2019		\$50,000		
PGRM	New Construction Housing	149217	Competitive	Y	Natale Builders	2019 LRNCNP	12/18/2019		\$43,200		
PGRM	New Construction Housing	149282	Competitive	Y	David Homes, Inc.	2019 LRNCNP	12/19/2019		\$19,950	\$16,150	\$16,150
PGRM	New Construction Housing	149296	Competitive	Y	First Ward Action Council, Inc.	2019 LRNCNP	12/19/2019		\$27,000		
PGRM	New Construction Housing	149297	Competitive	Y	Housing Visions Consultants, Inc.	2019 LRNCNP	12/19/2019		\$56,600		
PGRM	New Construction Housing	149300	Competitive	Y	Episcopal Senior Housing Penfield LLC	2019 LRNCNP	12/20/2019		\$17,100		
PGRM	New Construction Housing	149306	Competitive	Y	Home Leasing, LLC	2019 LRNCNP	12/20/2019		\$62,000		
PGRM	New Construction Housing	149308	Competitive	Y	Park Grove Realty LLC	2019 LRNCNP	12/20/2019	\$42,000	\$42,000		
PGRM	New Construction Housing	149370	Competitive	Y	Ibero-American Development Corp	2019 LRNCNP	12/20/2019		\$55,600		
PGRM	New Construction Housing	149506	Competitive	Y	NRP Holdings LLC	2019 LRNCNP	12/26/2019		\$148,200		
PGRM	New Construction Housing	149512	Competitive	Y	Dimitri House, Inc.	2019 LRNCNP	12/26/2019		\$6,000	\$6,000	\$6,000
PGRM	New Construction Housing	149514	Competitive	Y	MVAH Partners LLC	2019 LRNCNP	12/27/2019		\$48,000		
PGRM	New Construction Housing	149518	Competitive	Y	Wilder Balter Partners, Inc.	2019 LRNCNP	12/27/2019		\$274,200		
PGRM	New Construction Housing	149543	Competitive	Y	Fulton Friendship Housing Development Fu	2019 LRNCNP	12/27/2019		\$58,400		
PGRM	New Construction Housing	149545	Competitive	Y	Mayfair Housing, LLC	2019 LRNCNP	12/27/2019		\$64,400		
PGRM	New Construction Housing	149592	Competitive	Y	Rural Housing Opportunities Corp.	2019 LRNCNP	12/30/2019		\$255,600		
PGRM	New Construction Housing	149608	Competitive	Y	Vecino Group New York, LLC	2019 MFCNP	12/30/2019	\$150,800	\$150,800		
PGRM	New Construction Housing	149609	Discretionary	Y	Urban Green Council	General marketing and outreach	12/30/2019	\$50,000	\$50,000	\$17,000	\$17,000
PGRM	New Construction Housing	149610	Discretionary	Y	NYS Economic Development Council	General marketing and outreach	12/30/2019		\$50,000	\$40,558	\$40,558
PGRM	New Construction Housing	149620	Discretionary	Y	Passive House Accelerator LLC	General marketing and outreach	12/30/2019	\$50,000	\$50,000	\$45,750	\$45,750
PGRM	New Construction Housing	149635	Competitive	Y	Ithaca Neighborhood Housing Services, In	2019 LRNCNP	12/30/2019	\$55,400	\$55,400		
PGRM	New Construction Housing	149644	Competitive	Y	The Community Builders, Inc.	2019 LRNCNP	12/30/2019	\$68,600	\$68,600		
PGRM	New Construction Housing	149645	Competitive	Y	The Community Builders, Inc.	2019 MFCNP	12/30/2019		\$300,000	\$135,000	\$135,000
PGRM	New Construction Housing	149646	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	12/30/2019		\$300,000		
PGRM	New Construction Housing	149647	Competitive	Y	Hudson HK LLC	2019 MFCNP	12/30/2019		\$300,000		
PGRM	New Construction Housing	149648	Competitive	Y	Rockland Housing Action Coalition, Inc.	2019 LRNCNP	12/30/2019	\$60,200	\$60,200		
PGRM	New Construction Housing	149649	Competitive	Y	223 East 25th Street LLC	2019 MFCNP	12/30/2019	\$6,400	\$6,400		
PGRM	New Construction Housing	149707	Competitive	Y	Solar Village Company LLC	NCP Housing	12/31/2019	\$243,200	\$243,200		
PGRM	New Construction Housing	149730	Competitive	Y	FCON 953 Main, LLC	2019 LRNCNP	1/2/2020	\$46,600	\$46,600		
PGRM	New Construction Housing	149842	Competitive	Y	Solar Village Company LLC	2019 LRNCNP	1/6/2020		\$95,000		
PGRM	New Construction Housing	149970	Competitive	Y	Solar Village Company LLC	2019 LRNCNP	1/9/2020		\$120,000		
PGRM	New Construction Housing	149972	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	1/9/2020	\$18,050	\$18,050	\$18,050	\$18,050
PGRM	New Construction Housing	150236	Competitive	Y	Belmonte Builders	2019 LRNCNP	1/15/2020		\$11,400	\$11,400	\$11,400
PGRM	New Construction Housing	150239	Competitive	Y	Solar Village Company LLC	2019 LRNCNP	1/15/2020	\$96,600	\$96,600		
PGRM	New Construction Housing	150489	Competitive	Y	The Hudson Companies Incorporated	2019 MFCNP	1/22/2020	\$300,000	\$300,000		
PGRM	New Construction Housing	150498	Competitive	Y	La Central Manager II LLC	2019 MFCNP	1/23/2020		\$172,400		
PGRM	New Construction Housing	150573	Competitive	Y	Housing Visions Consultants, Inc.	2019 LRNCNP	1/24/2020		\$21,000	\$21,000	\$21,000
PGRM	New Construction Housing	150574	Competitive	Y	MGX Associates Phase 1 LLC	2019 MFCNP	1/24/2020	\$66,800	\$66,800		
PGRM	New Construction Housing	150575	Competitive	Y	MGX Associates Phase 1 LLC	2019 MFCNP	1/24/2020	\$77,600	\$77,600		
PGRM	New Construction Housing	150576	Competitive	Y	L&M Builders Group LLC	2019 MFCNP	1/24/2020	\$124,400	\$124,400		
PGRM	New Construction Housing	151008	Competitive	Y	Barbera Homes and Development, Inc.	2019 LRNCNP	2/5/2020		\$5,700	\$950	\$950
PGRM	New Construction Housing	151497	Competitive	Y	Home Leasing, LLC	2019 LRNCNP	2/18/2020		\$82,800		
PGRM	New Construction Housing	151658	Competitive	Y	La Central Manager II LLC	2019 MFCNP	2/21/2020		\$300,000		
PGRM	New Construction Housing	151808	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	2/25/2020	\$87,200	\$87,200		
PGRM	New Construction Housing	151810	Competitive	Y	The Doe Fund Inc	2019 MFCNP	2/26/2020		\$78,800		
PGRM	New Construction Housing	151965	Competitive	Y	Riseboro Community Partnership, Inc.	2019 MFCNP	2/28/2020	\$70,000	\$70,000		
PGRM	New Construction Housing	152023	Competitive	Y	Peninsula Building 2A LLC	2019 MFCNP	3/2/2020	\$154,400	\$154,400		
PGRM	New Construction Housing	152082	Competitive	Y	Arquitectura New York P.C.	2019 MFCNP	3/3/2020	\$185,000	\$185,000		
PGRM	New Construction Housing	152213	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	3/5/2020		\$17,100	\$17,100	\$17,100
PGRM	New Construction Housing	152270	Competitive	Y	299 3rd Development LLC	2019 MFCNP	3/9/2020	\$217,900	\$217,900		
PGRM	New Construction Housing	152388	Competitive	Y	Peninsula Building 2A LLC	2019 MFCNP	3/11/2020	\$104,000	\$104,000		
PGRM	New Construction Housing	152600	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	3/16/2020	\$16,150	\$16,150	\$16,150	\$16,150
PGRM	New Construction Housing	152685	Competitive	Y	Rocky Mountain Institute	TWO 3 CNB Roadmap Support	3/18/2020	\$50,000	\$50,000		
PGRM	New Construction Housing	153177	Competitive	Y	Rural Ulster Preservation Company	2019 LRNCNP	4/3/2020	\$37,000	\$37,000		
PGRM	New Construction Housing	153178	Competitive	Y	Rural Ulster Preservation Company	2019 LRNCNP	4/3/2020	\$34,800	\$34,800		
PGRM	New Construction Housing	153294	Competitive	Y	Home Leasing, LLC	2019 MF NCP	4/7/2020	\$53,000	\$53,000		
PGRM	New Construction Housing	153366	Competitive	Y	Michaels Group Homes, LLC	2019 LRNCNP	4/10/2020	\$7,600	\$7,600	\$7,600	\$7,600
PGRM	New Construction Housing	153427	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	4/13/2020	\$22,800	\$22,800	\$21,850	\$21,850
PGRM	New Construction Housing	153613	Competitive	Y	Tem Construction & Development, LLC	2019 LRNCNP	4/20/2020	\$65,000	\$65,000		
PGRM	New Construction Housing	153761	Competitive	Y	Housing and Services, Inc.	2019 MF NCP	4/28/2020	\$84,800	\$84,800		
PGRM	New Construction Housing	153995	Competitive	Y	Pratt Area Community Council	2019 MF NCP	5/5/2020	\$56,000	\$56,000		
PGRM	New Construction Housing	154004	Competitive	Y	Linden Terrace II Developer LLC	2019 MF NCP	5/5/2020	\$300,000	\$300,000		
PGRM	New Construction Housing	154037	Competitive	Y	BJF Development LLC	2020 LR NCP	5/6/2020	\$32,700	\$32,700		
PGRM	New Construction Housing	154056	Competitive	Y	Urban League of Rochester, NY, Inc	2019 LRNCNP	5/7/2020	\$69,700	\$69,700		

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		Term > 1					Committed this		Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	New Construction Housing	154100	Competitive	Y	Belmont Housing Resources for WNY, Inc.	2019 LRNCNP	5/11/2020	\$39,000	\$39,000		
PGRM	New Construction Housing	154129	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	5/12/2020	\$6,650	\$6,650	\$6,650	\$6,650
PGRM	New Construction Housing	154131	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	5/12/2020	\$47,000	\$47,000		
PGRM	New Construction Housing	154132	Competitive	Y	Ithaca Neighborhood Housing Services, In	2019 LRNCNP	5/12/2020	\$70,600	\$70,600		
PGRM	New Construction Housing	154263	Competitive	Y	Front Street Residences LLC	2019 LRNCNP	5/19/2020	\$90,200	\$90,200		
PGRM	New Construction Housing	154326	Competitive	Y	Ellicott Station LLC	2019 LRNCNP	5/21/2020	\$53,000	\$53,000		
PGRM	New Construction Housing	154697	Competitive	Y	Transitional Services Association	2019 LRNCNP	6/5/2020	\$56,000	\$56,000		
PGRM	New Construction Housing	154717	Competitive	Y	Community Hope Builders CDC, Inc.	2019 LRNCNP	6/8/2020	\$55,400	\$55,400		
PGRM	New Construction Housing	154751	Competitive	Y	Belmonte Builders	2019 LRNCNP	6/9/2020	\$14,250	\$14,250	\$14,250	\$14,250
PGRM	New Construction Housing	154787	Competitive	Y	DePaul Properties, Inc.	2019 LRNCNP	6/10/2020	\$68,000	\$68,000		
PGRM	New Construction Housing	154788	Competitive	Y	Arnot Ithaca 2, LLC	2019 MFCNP	6/10/2020	\$192,000	\$192,000		
PGRM	New Construction Housing	154917	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	6/15/2020	\$88,400	\$88,400		
PGRM	New Construction Housing	154923	Competitive	Y	Volunteers of America- Greater New York	2019 MFCNP	6/15/2020	\$300,000	\$300,000		
PGRM	New Construction Housing	155145	Competitive	Y	Hispanics United of Buffalo	2019 LRNCNP	6/24/2020	\$46,000	\$46,000		
PGRM	New Construction Housing	155367	Competitive	Y	Westhab, Inc.	2019 MFCNP	7/1/2020	\$434,500	\$434,500		
PGRM	New Construction Housing	155396	Competitive	Y	Clinton Avenue Apartments II LLC	2019 LRNCNP	7/2/2020	\$33,000	\$33,000		
PGRM	New Construction Housing	155398	Competitive	Y	Clinton Avenue Apartments II LLC	2019 LRNCNP	7/2/2020	\$36,000	\$36,000		
PGRM	New Construction Housing	155463	Competitive	Y	Bishop Sheen Ecumenical Housing Foundati	2019 LRNCNP	7/6/2020	\$63,200	\$63,200		
PGRM	New Construction Housing	155505	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	7/7/2020	\$15,200	\$15,200	\$14,250	\$14,250
PGRM	New Construction Housing	155554	Competitive	Y	Providence Housing Development Corp	2019 LRNCNP	7/8/2020	\$77,600	\$77,600		
PGRM	New Construction Housing	155602	Competitive	Y	DePaul Properties, Inc.	2019 LRNCNP	7/9/2020	\$48,000	\$48,000		
PGRM	New Construction Housing	156011	Competitive	Y	Buffalo Neighborhood Stabilization Co	2019 LRNCNP	7/21/2020	\$80,500	\$80,500		
PGRM	New Construction Housing	156013	Competitive	Y	Mount Hope Community Development	2019 LRNCNP	7/21/2020	\$53,600	\$53,600		
PGRM	New Construction Housing	156014	Competitive	Y	Concern Pitkin LLC	2019 MFCNP	7/21/2020	\$54,800	\$54,800		
PGRM	New Construction Housing	156016	Competitive	Y	AHA Development Corporation	2019 LRNCNP	7/21/2020	\$57,200	\$57,200		
PGRM	New Construction Housing	156222	Competitive	Y	Ibero-American Development Corp	2019 LRNCNP	7/27/2020	\$18,000	\$18,000		
PGRM	New Construction Housing	156224	Competitive	Y	Riseboro Community Partnership, Inc.	2019 LRNCNP	7/27/2020	\$28,000	\$28,000		
PGRM	New Construction Housing	156688	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	8/6/2020	\$17,100	\$17,100	\$17,100	\$17,100
PGRM	New Construction Housing	156749	Competitive	Y	Ithaca Neighborhood Housing Services, In	2020 LRNCNP	8/7/2020	\$13,600	\$13,600		
PGRM	New Construction Housing	156904	Competitive	Y	Beacon Meadows Intergen LLC	2019 LRNCNP	8/12/2020	\$59,000	\$59,000		
PGRM	New Construction Housing	156905	Competitive	Y	H.E.L.P Development Corp.	2019 MFCNP	8/12/2020	\$63,200	\$63,200		
PGRM	New Construction Housing	157122	Competitive	Y	Delta Development of WNY, Inc.	2019 LRNCNP	8/18/2020	\$60,200	\$60,200		
PGRM	New Construction Housing	157172	Competitive	Y	McCloskey Community Services Corporation	2020 LRNCNP	8/19/2020	\$75,200	\$75,200		
PGRM	New Construction Housing	157180	Competitive	Y	Park Grove Development, LLC	2019 LRNCNP	8/19/2020	\$44,000	\$44,000		
PGRM	New Construction Housing	157182	Competitive	Y	Rochester Housing Authority	2019 LRNCNP	8/19/2020	\$17,000	\$17,000		
PGRM	New Construction Housing	157183	Competitive	Y	Citizen Advocates, Inc.	2019 LRNCNP	8/19/2020	\$40,000	\$40,000		
PGRM	New Construction Housing	157328	Competitive	Y	Housing Visions Unlimited, Inc.	2019 LRNCNP	8/26/2020	\$59,600	\$59,600		
PGRM	New Construction Housing	157333	Competitive	Y	Beacon Communities Services LLC	2019 LRNCNP	8/26/2020	\$60,800	\$60,800		
PGRM	New Construction Housing	157689	Competitive	Y	Stuart Alexander and Associates	2020 LRCNP	9/2/2020	\$323,800	\$323,800		
PGRM	New Construction Housing	157690	Competitive	Y	Stuart Alexander and Associates	2019 LRNCNP	9/2/2020	\$429,200	\$429,200		
PGRM	New Construction Housing	157941	Competitive	Y	Two Plus Four Construction Co., Inc.	2019 LRNCNP	9/9/2020	\$35,000	\$35,000		
PGRM	New Construction Housing	158300	Competitive	Y	Christina Griffin Architect P.C.	2019 LRNCNP	9/16/2020	\$5,000	\$5,000		
PGRM	New Construction Housing	158301	Competitive	Y	Ryan Homes (Virginia)	2019 LRNCNP	9/16/2020	\$34,200	\$34,200	\$34,200	\$34,200
PGRM	New Construction Housing	158501	Competitive	Y	Belmonte Builders	2019 LRNCNP	9/21/2020	\$5,700	\$5,700	\$5,700	\$5,700
PGRM	New Construction Housing	158674	Competitive	Y	Barbera Homes and Development, Inc.	2019 LRNCNP	9/23/2020	\$5,700	\$5,700		
PGRM	New Construction Housing	158871	Competitive	Y	Michaels Group Homes, LLC	2019 LRNCNP	9/28/2020	\$6,650	\$6,650	\$6,650	\$6,650
PGRM	New Construction Housing	158877	Competitive	Y	Home Leasing, LLC	2019 LRNCNP	9/28/2020	\$15,000	\$15,000		
PGRM	New Construction Housing	158878	Competitive	Y	Home Leasing, LLC	2019 LRNCNP	9/28/2020	\$50,600	\$50,600		
PGRM	New Construction Housing	159102	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$108,000	\$108,000		
PGRM	New Construction Housing	159104	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$80,000	\$80,000		
PGRM	New Construction Housing	159105	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$94,000	\$94,000		
PGRM	New Construction Housing	159106	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$108,000	\$108,000		
PGRM	New Construction Housing	159107	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$94,000	\$94,000		
PGRM	New Construction Housing	159108	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$94,000	\$94,000		
PGRM	New Construction Housing	159109	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$129,000	\$129,000		
PGRM	New Construction Housing	159110	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$108,000	\$108,000		
PGRM	New Construction Housing	159111	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$108,000	\$108,000		
PGRM	New Construction Housing	159112	Competitive	Y	Watchtower Bible &	2019 MFCNP	10/2/2020	\$80,000	\$80,000		
PGRM	New Construction Housing	159161	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	10/5/2020	\$18,050	\$18,050	\$17,100	\$17,100
PGRM	New Construction Housing	159400	Competitive	Y	DePaul Properties, Inc.	2019 LRNCNP	10/12/2020	\$20,000	\$20,000		
PGRM	New Construction Housing	160491	Competitive	Y	Beacon Communities Services LLC	2019 LRNCNP	11/4/2020	\$54,800	\$54,800		
PGRM	New Construction Housing	160548	Competitive	Y	CDS Monarch Inc	2019 LRNCNP	11/6/2020	\$50,000	\$50,000		
PGRM	New Construction Housing	160550	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	11/6/2020	\$20,900	\$20,900	\$20,900	\$20,900
PGRM	New Construction Housing	161584	Competitive	Y	Barbera Homes and Development, Inc.	2020 LRNCNP	12/3/2020	\$17,100	\$17,100		
PGRM	New Construction Housing	161643	Competitive	Y	DePaul Properties, Inc.	2019 LRNCNP	12/4/2020	\$68,000	\$68,000		
PGRM	New Construction Housing	161979	Competitive	Y	Manhattan Avenue Senior LLC	2019 LRNCNP	12/14/2020	\$62,000	\$62,000		
PGRM	New Construction Housing	162006	Competitive	Y	Michaels Group Homes, LLC	2019 LRNCNP	12/14/2020	\$8,550	\$8,550	\$8,550	\$8,550
PGRM	New Construction Housing	162069	Competitive	Y	445 Gerard LLC	2019 MFCNP	12/16/2020	\$300,000	\$300,000		
PGRM	New Construction Housing	162070	Competitive	Y	414 Gerard LLC	2019 MFCNP	12/16/2020	\$298,400	\$298,400		
PGRM	New Construction Housing	162162	Competitive	Y	Buffalo Neighborhood Stabilization Co	2019 LRNCNP	12/17/2020	\$6,800	\$6,800		
PGRM	New Construction Housing	162342	Competitive	Y	Two Plus Four Construction Co., Inc.	2019 LRNCNP	12/21/2020	\$36,000	\$36,000		
PGRM	New Construction Housing	162345	Competitive	Y	Hudson Valley Housing Development Fund C	2019 LRNCNP	12/21/2020	\$56,000	\$56,000		
PGRM	New Construction Housing	162516	Competitive	Y	Belmont Housing Resources for WNY, Inc.	2019 LRNCNP	12/23/2020	\$37,000	\$37,000		
PGRM	New Construction Housing	162592	Competitive	Y	Atlas Contractors, LLC	2019 LRNCNP	12/28/2020	\$8,500	\$8,500		
PGRM	New Construction Housing	162788	Discretionary		NYS Economic Development Council	Gen. Marketing & Outreach 2021	12/30/2020	\$50,000	\$50,000		
PGRM	New Construction Housing	162794	Competitive	Y	Housing Action Council, Inc.	2019 LRNCNP	12/30/2020	\$85,400	\$85,400		
PGRM	New Construction Housing	162812	Competitive	Y	Michaels Group Homes, LLC	2020 LRNCNP	12/30/2020	\$7,600	\$7,600	\$7,600	\$7,600
PGRM	New Construction Housing	162846	Competitive	Y	People Inc	2020 LRNCNP	12/31/2020	\$44,000	\$44,000		
PGRM	New Construction Housing	162853	Competitive	Y	Homes for Heroes, Inc.	2020 LRNCNP	12/31/2020	\$21,000	\$21,000		
PGRM	New Construction Housing	162862	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	12/31/2020	\$30,400	\$30,400	\$30,400	\$30,400
PGRM	New Construction Housing	162866	Competitive	Y	Ryan Homes (Virginia)	2020 LRNCNP	12/31/2020	\$34,200	\$34,200	\$34,200	\$34,200

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	New Construction Housing	147081-A	Competitive		Pathstone Housing Action Corporation	New Construction Housing	11/22/2019		\$70,400		
PGRM	New Construction Housing	147831-A	Competitive		Liberty Square Associates LLC	2019 LRNC	7/29/2020	\$53,000	\$53,000		
PGRM	New Construction Housing	149308-A	Competitive		Park Grove Development, LLC	2019 LRNC	9/22/2020	\$42,000	\$42,000		
PGRM	New Construction Housing	151497-A	Competitive		Owego Gardens Associates II LLC	2019 LRNC	10/15/2020	\$82,800	\$82,800		
PGRM	NEW CONSTRUCTION PROGRAM	31851	Competitive	Y	CRE Spruce Haven LLC	RPS CST ADG-to-Electricity Dai	4/3/2013		\$2,000,000	\$103,277	\$1,514,627
PGRM	NEW CONSTRUCTION PROGRAM	44171	Competitive	Y	Credit Suisse USA	NCP12333 - Eleven Madison Aven	7/15/2014		\$173,802		\$164,247
PGRM	NEW CONSTRUCTION PROGRAM	20137A	Competitive	Y	WSP USA Corp.	Technical Assistance NCP	8/16/2013		\$321,776		\$226,744
PGRM	NEW CONSTRUCTION PROGRAM	87371A	Competitive	Y	LaBella Associates, P.C.	NEW CONSTRUCTION PROGRAM	6/7/2017		\$53,770	\$9,460	\$44,411
PGRM	NEW CONSTRUCTION PROGRAM	ST10127A	Competitive	Y	Vidaris, Inc.	NEW CONSTRUCTION PROGRAM	9/6/2012		\$547,673		\$168,064
PGRM	NEW CONSTRUCTION PROGRAM	ST-AD108N-1	Competitive	Y	Greenwood Dairy Farm, LLC	RPS CST ADG to Electricity Pro	2/25/2013		\$2,000,000		\$1,651,968
PGRM	NEW YORK GREEN BANK	162906	Competitive		Energy & Resource Solutions, Inc.	TWO post-closing reviews	1/4/2021	\$66,048	\$66,048		
PGRM	Next Gen Pwr Electronics(PEMC)	110820	Non-Competitive	Y	The Research Foundation for the State	NY Power Electronics Manuf	2/28/2017		\$23,000,000		\$23,000,000
PGRM	NextGen HVAC	122716	Competitive	N	Syracuse University	PON3519 Round 1	1/19/2018		\$273,167	\$27,300	\$27,300
PGRM	NextGen HVAC	132475	Competitive	Y	National Oilheat Research Alliance	NextGen HVAC Innovation	10/12/2018		\$75,000	\$3,564	\$3,564
PGRM	NextGen HVAC	133273	Competitive	Y	Therm Controls Incorporated	NextGen HVAC Innovation	10/30/2018		\$155,000	\$15,000	\$126,250
PGRM	Novel Bus Models & Offers	137647	Competitive	N	Empower Equity Inc	EMPEQ - Scaling a Novel Busine	3/4/2019		\$500,000	\$65,000	\$390,000
PGRM	Novel Bus Models & Offers	137648	Competitive	Y	Snug Planet, LLC	3932 Novel Business Models	3/4/2019		\$100,000		
PGRM	Novel Bus Models & Offers	137649	Competitive	Y	Bright Power, Inc.	3932 Novel Business Models	3/4/2019		\$500,000	\$200,000	\$500,000
PGRM	Novel Bus Models & Offers	137650	Competitive		Dandelion Energy Inc	3932 Novel Business Models	3/4/2019		\$500,000	\$170,833	\$500,000
PGRM	Novel Bus Models & Offers	137651	Competitive	Y	Halcyon Inc.	Clean Energy as a Service	3/4/2019		\$490,399	\$95,828	\$210,828
PGRM	Novel Bus Models & Offers	140854	Competitive	Y	Zero Energy Solutions LTD	ZES Novel Business Model	5/30/2019		\$412,136	\$238,314	\$341,500
PGRM	Novel Bus Models & Offers	142934	Competitive	Y	SolarKal LLC	3932 R 2 Novel Business Model	7/25/2019		\$500,000	\$395,000	\$475,000
PGRM	Novel Bus Models & Offers	142988	Competitive	Y	AMPLY Power Inc.	Fleet Charging as a Service	7/26/2019		\$498,565	\$346,653	\$346,653
PGRM	Novel Bus Models & Offers	143204	Competitive	N	WTJ US Energy Services Inc	3932 R 2 Novel Business Model	8/1/2019		\$496,250	\$266,250	\$496,250
PGRM	Novel Bus Models & Offers	143770	Competitive	Y	Energetic Insurance, Inc.	3932 R 2 Novel Business Model	8/15/2019		\$500,000	\$100,000	\$100,000
PGRM	Novel Bus Models & Offers	148232	Competitive		Steven Winter Associates, Inc.	Novel Business Models R2	11/27/2019		\$500,000		
PGRM	Novel Bus Models & Offers	148234	Competitive		BlocPower LLC	Novel Business Models R2	11/27/2019	\$500,000	\$500,000	\$50,000	\$50,000
PGRM	NYCH: Comfort Home	145116	Competitive	N	Steven Winter Associates, Inc.	Heat Pump Ready Training	9/20/2019		\$128,766	\$3,680	\$62,897
PGRM	NYCH: Comfort Home	154752	Non-Competitive	N	Site Capture LLC	SQA license for cloud service	6/9/2020	\$25,000	\$25,000	\$25,000	\$25,000
PGRM	NYCH: Comfort Home	159687	Discretionary		Halcyon Inc.	Comfort Home -P4P Backcasting	10/19/2020	\$50,000	\$50,000		
PGRM	NYCH: Critical Tools	156337	Competitive	Y	Taitem Engineering, P.C.	Heat Pump Conversion M&V	7/29/2020	\$49,944	\$49,944	\$14,775	\$14,775
PGRM	NYCH: Critical Tools	161308	Discretionary	Y	Northeast Energy Efficiency Partnerships	VRF Field Validation Project	11/25/2020	\$50,000	\$50,000		
PGRM	NYCH: District	146285	Competitive	Y	Energy & Resource Solutions, Inc.	Concierge Services - ERS - TWO	10/18/2019	\$100,000	\$350,000	\$2,798	\$11,743
PGRM	NYCH: District	162515	Competitive	Y	SURF AVENUE LCAL LLC	FT13258 - 1517 Surf - PON	12/23/2020	\$55,181	\$55,181		
PGRM	NYCH: Empire Build Challenge	158588	Discretionary	N	Kathleen B Hogan	Support for EBC	9/22/2020	\$20,000	\$20,000	\$1,200	\$1,200
PGRM	NYCH: Empire Build Challenge	164971	Competitive	Y	Urban Green Council	EBC - Retrofit - Urban Green	3/1/2021	\$50,000	\$50,000		
PGRM	NYCH: Innovation	117613	Discretionary	N	Urban Green Council	Manufacturing Barriers for Ene	8/28/2017		\$50,000	\$0	\$50,000
PGRM	NYCH: Innovation	120145	Competitive	Y	Taitem Engineering, P.C.	RFP3628FlexTechConsultUmbrella	11/6/2017		\$105,277	\$4,439	\$89,690
PGRM	NYCH: Innovation	122712	Competitive	N	Gas Technology Institute	PON3519 Round 1.	1/19/2018		\$230,943	\$29,667	\$102,328
PGRM	NYCH: Innovation	122714	Competitive	Y	Dandelion Energy Inc	PON3519 Round 1	1/19/2018		\$750,000		\$375,000
PGRM	NYCH: Innovation	122717	Competitive	Y	The Levy Partnership, Inc.	Downstate gas fired heat pump	1/19/2018		\$700,303	\$22,666	\$130,289
PGRM	NYCH: Innovation	122718	Competitive	Y	Mechanical Solutions, Inc.	Two Stage Centrifugal Compress	1/19/2018		\$500,000	\$282,000	\$282,000
PGRM	NYCH: Innovation	122719	Competitive	Y	Steven Winter Associates, Inc.	PON3519 Round 1	1/19/2018		\$632,196	\$233,567	\$355,266
PGRM	NYCH: Innovation	122720	Competitive	N	Kilfroist, Inc.	PON3519 Round 1	1/19/2018		\$81,375	\$2,324	\$59,727
PGRM	NYCH: Innovation	123060	Competitive	Y	TRC Engineers, Inc	PON3519 Round 1	2/2/2018	\$560,000	\$560,000	\$126,490	\$126,490
PGRM	NYCH: Innovation	132470	Competitive	Y	Steven Winter Associates, Inc.	Cold Climate PTHP	10/12/2018		\$108,650	\$44,991	\$57,000
PGRM	NYCH: Innovation	132472	Competitive	Y	Steven Winter Associates, Inc.	BAS for small & medium bldgs	10/12/2018		\$216,518	\$19,917	\$43,717
PGRM	NYCH: Innovation	132473	Competitive	Y	Syracuse University	NextGen HVAC Innovation	10/12/2018		\$350,000	\$20,000	\$20,000
PGRM	NYCH: Innovation	132544	Competitive	Y	Phase Innovations LLC	Modular Membrane Dehumidifying	10/15/2018		\$342,500	\$71,706	\$230,265
PGRM	NYCH: Innovation	132618	Competitive	Y	MIMIC Systems Inc	NextGen HVAC Innovation	10/16/2018		\$330,945	\$137,945	\$218,945
PGRM	NYCH: Innovation	137930	Non-Competitive	N	Northeast Energy Efficiency Partnerships	End-Use Load Profiles	3/11/2019		\$49,949	\$15,590	\$15,590
PGRM	NYCH: Innovation	142136	Competitive	Y	Steven Winter Associates, Inc.	NextGen HVAC Innovation	7/3/2019		\$118,176	\$79,884	\$79,884
PGRM	NYCH: Innovation	142137	Competitive	Y	HUB Controls USA Inc.	NextGen HVAC Innovation	7/3/2019	\$500,000	\$500,000		
PGRM	NYCH: Innovation	142139	Competitive	Y	The Levy Partnership, Inc.	NextGen HVAC Innovation	7/3/2019		\$104,363	\$29,000	\$35,200
PGRM	NYCH: Innovation	142141	Competitive	Y	Clean Energy of New York Inc	NextGen HVAC Innovation	7/3/2019	\$67,856	\$67,856		
PGRM	NYCH: Innovation	142146	Competitive	Y	Syracuse University	NYCH: Innovation	7/3/2019	\$300,000	\$300,000		
PGRM	NYCH: Innovation	150491	Competitive	Y	Syracuse University	NYCH: Innovation	1/22/2020	\$250,000	\$250,000	\$35,000	\$35,000
PGRM	NYCH: Innovation	156689	Competitive	Y	Institute for Market Transformation	VHE HVAC	8/6/2020	\$635,340	\$635,340		
PGRM	NYCH: Innovation	156690	Competitive	Y	Syracuse University	VHE HVAC	8/6/2020	\$135,775	\$135,775		
PGRM	NYCH: Innovation	156846	Competitive	Y	CoolGreenPower LLC	VHE HVAC	8/11/2020	\$807,236	\$807,236		
PGRM	NYCH: Innovation	156848	Competitive	Y	tkFabricate, LLC	VHE HVAC	8/11/2020	\$208,000	\$208,000		
PGRM	NYCH: Innovation	157315	Non-Competitive	N	Rensselaer Polytechnic Institute-LRC	NYCH: Innovation	8/24/2020	\$50,000	\$50,000		
PGRM	NYCH: Innovation	161303	Competitive	N	Zereth LLC	Site Demonstrations of Solar	11/24/2020	\$101,431	\$101,431		
PGRM	NYCH: Innovation	162508	Discretionary	Y	GI Endurant LLC	NYCH: Innovation	12/23/2020	\$50,000	\$50,000		
PGRM	NYCH: Innovation	163312	Discretionary	Y	Syracuse University	NYCH: Innovation	1/14/2021	\$50,000	\$50,000		
PGRM	NYCH: Innovation	123061-1	Competitive	Y	TRC Engineers, Inc.	PON3519 Round 1	11/2/2018		\$343,946	\$29,700	\$67,944
PGRM	NYCH: Innovation	123238-1	Competitive	Y	Micro Nano Technologies	PON3519 Round 1	4/20/2018		\$430,000	\$125,000	\$430,000
PGRM	NYCH: Innovation	132470-1	Competitive	Y	Steven Winter Associates, Inc.	Cold Climate PTHP	8/19/2020	\$146,354	\$146,354		
PGRM	NYCH: LMI	149951	Competitive	Y	Fund for the City of New York, Inc.	CH&C Communities Round 3	1/8/2020	\$200,000	\$200,000		
PGRM	NYCH: Supply Chain	162150	Non-Competitive	Y	CMC Energy Services, Inc	Electrification Workshops	12/16/2020	\$50,000	\$50,000		
PGRM	NYCH: Supply Chain	162214	Competitive	Y	TRC Energy Services	Upstream Partners Initiative	12/17/2020	\$900,000	\$900,000		
PGRM	NYCH: Supply Chain	162214-1	Competitive	N	TRC Engineers, Inc.	Upstream Partners Initiative	2/2/2021	\$900,000	\$900,000	\$38,886	\$38,886
PGRM	NYCH: Talent Ppln:CE and OJT	155107	Competitive	N	Advanced Radiant Design, Inc.	OJT HIRE	6/23/2020	\$9,600	\$9,600	\$1,226	\$1,226
PGRM	NYCH: Talent Ppln:CE and OJT	155147	Competitive	N	Breathe Easy of CNY Inc.	OJT HIRE	6/24/2020	\$9,840	\$9,840	\$9,840	\$9,840
PGRM	NYCH: Talent Ppln:CE and OJT	155647	Competitive	N	Thermodynamix LLC	OJT HIRE	7/10/2020	\$8,640	\$8,640	\$8,640	\$8,640
PGRM	NYCH: Talent Ppln:CE and OJT	155696	Competitive	N	Malcarn Contracting, Inc.	OJT HIRE	7/13/2020	\$9,120	\$9,120	\$2,540	\$2,540
PGRM	NYCH: Talent Ppln:CE and OJT	155704	Competitive	N	Alternative Power Solutions of NY, LLC	OJT HIRE	7/13/2020	\$9,120	\$9,120	\$9,120	\$9,120
PGRM	NYCH: Talent Ppln:CE and OJT	155707	Competitive	N	NP Environmental LLC	OJT HIRE	7/14/2020	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	NYCH: Talent Ppln:CE and OJT	155787	Competitive	N	Geotherm Inc.	OJT HIRE	7/14/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Ppln:CE and OJT	155789	Competitive	N	Heat Keepers, Inc.	OJT HIRE	7/14/2020	\$11,520	\$11,520	\$6,954	\$6,954
PGRM	NYCH: Talent Ppln:CE and OJT	155976	Competitive	N	EMCOR Services Betlem	OJT HIRE	7/20/2020	\$8,160	\$8,160	\$8,160	\$8,160

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	NYCH: Talent Pplan:CE and OJT	156185	Competitive	N	Dandelion Energy Inc	OJT HIRE	7/24/2020	\$8,640	\$8,640	\$8,640	\$8,640
PGRM	NYCH: Talent Pplan:CE and OJT	156293	Competitive	N	BlocPower LLC	OJT HIRE	7/29/2020	\$7,680	\$7,680	\$5,280	\$5,280
PGRM	NYCH: Talent Pplan:CE and OJT	156586	Competitive	N	Eco Heating & Cooling Inc	OJT HIRE	8/4/2020	\$8,400	\$8,400	\$5,440	\$5,440
PGRM	NYCH: Talent Pplan:CE and OJT	156591	Competitive	N	Dandelion Energy Inc	OJT HIRE	8/4/2020	\$9,600	\$9,600	\$9,600	\$9,600
PGRM	NYCH: Talent Pplan:CE and OJT	156635	Competitive	N	Standard Insulating Company	OJT HIRE	8/5/2020	\$10,240	\$10,240	\$9,984	\$9,984
PGRM	NYCH: Talent Pplan:CE and OJT	156733	Competitive	N	NP Environmental LLC	OJT HIRE	8/7/2020	\$7,680	\$7,680	\$7,584	\$7,584
PGRM	NYCH: Talent Pplan:CE and OJT	156804	Competitive	N	Thermodynamix LLC	OJT HIRE	8/10/2020	\$14,400	\$14,400	\$9,285	\$9,285
PGRM	NYCH: Talent Pplan:CE and OJT	156955	Competitive	N	Breathe Easy of CNY Inc.	OJT HIRE	8/13/2020	\$8,640	\$8,640	\$3,824	\$3,824
PGRM	NYCH: Talent Pplan:CE and OJT	157117	Competitive	N	Van Hee Mechanical	OJT HIRE	8/18/2020	\$7,680	\$7,680	\$7,413	\$7,413
PGRM	NYCH: Talent Pplan:CE and OJT	157224	Competitive	N	Green Team USA, LLC	OJT HIRE	8/20/2020	\$8,160	\$8,160	\$8,160	\$8,160
PGRM	NYCH: Talent Pplan:CE and OJT	157332	Competitive	N	Green Team USA, LLC	OJT HIRE	8/26/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	157581	Competitive	N	Aztech Geothermal, LLC	OJT HIRE	8/31/2020	\$8,640	\$8,640	\$8,640	\$8,640
PGRM	NYCH: Talent Pplan:CE and OJT	157582	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	8/31/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	157688	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	9/2/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	157816	Competitive	N	Halcyon, Inc.	OJT HIRE	9/4/2020	\$12,240	\$12,240		
PGRM	NYCH: Talent Pplan:CE and OJT	157823	Competitive	N	Halcyon, Inc.	OJT HIRE	9/4/2020	\$10,800	\$10,800		
PGRM	NYCH: Talent Pplan:CE and OJT	158000	Competitive	N	Geotherm Inc.	OJT HIRE	9/10/2020	\$9,600	\$9,600	\$1,827	\$1,827
PGRM	NYCH: Talent Pplan:CE and OJT	158064	Competitive	N	Van Hee Mechanical	OJT HIRE	9/14/2020	\$7,200	\$7,200	\$7,200	\$7,200
PGRM	NYCH: Talent Pplan:CE and OJT	158127	Competitive	N	Dailey Electric Inc	OJT HIRE	9/14/2020	\$7,680	\$7,680	\$7,152	\$7,152
PGRM	NYCH: Talent Pplan:CE and OJT	158128	Competitive	N	Thermodynamix LLC	OJT HIRE	9/14/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	158206	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	9/15/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	158213	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	9/15/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	158215	Competitive	N	SANFILIPPO SOLUTIONS INC.	OJT HIRE	9/15/2020	\$10,240	\$10,240	\$2,464	\$2,464
PGRM	NYCH: Talent Pplan:CE and OJT	158275	Competitive	N	Buffalo Geothermal Heating	OJT HIRE	9/16/2020	\$7,200	\$7,200	\$7,200	\$7,200
PGRM	NYCH: Talent Pplan:CE and OJT	158293	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	9/16/2020	\$9,600	\$9,600	\$9,600	\$9,600
PGRM	NYCH: Talent Pplan:CE and OJT	158429	Competitive	N	EMCOR Services Bethlehem	OJT HIRE	9/18/2020	\$7,512	\$7,512	\$7,512	\$7,512
PGRM	NYCH: Talent Pplan:CE and OJT	158500	Competitive	N	Alternative Power Solutions of NY, LLC	OJT HIRE	9/21/2020	\$5,760	\$5,760	\$5,760	\$5,760
PGRM	NYCH: Talent Pplan:CE and OJT	158955	Competitive	Y	Steven Winter Associates, Inc.	PON3981 Round 8	10/1/2020	\$249,880	\$249,880	\$3,707	\$3,707
PGRM	NYCH: Talent Pplan:CE and OJT	159672	Competitive	N	Van Hee Mechanical	OJT HIRE	10/16/2020	\$8,640	\$8,640	\$622	\$622
PGRM	NYCH: Talent Pplan:CE and OJT	159677	Competitive	N	Alternative Carbon Energy Systems Inc	OJT HIRE	10/16/2020	\$7,680	\$7,680	\$1,929	\$1,929
PGRM	NYCH: Talent Pplan:CE and OJT	159682	Competitive	N	Van Hee Mechanical	OJT HIRE	10/16/2020	\$8,640	\$8,640	\$8,640	\$8,640
PGRM	NYCH: Talent Pplan:CE and OJT	159683	Competitive	N	Green Team USA, LLC	OJT HIRE	10/16/2020	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	159685	Competitive	N	Advanced Radiant Design, Inc.	OJT HIRE	10/16/2020	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	160068	Competitive	N	Lake Country Geothermal, Inc.	OJT HIRE	10/27/2020	\$11,520	\$11,520		
PGRM	NYCH: Talent Pplan:CE and OJT	160208	Competitive	N	Heat Keepers, Inc.	OJT HIRE	10/30/2020	\$7,680	\$7,680		
PGRM	NYCH: Talent Pplan:CE and OJT	160209	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	10/30/2020	\$12,960	\$12,960	\$442	\$442
PGRM	NYCH: Talent Pplan:CE and OJT	160492	Competitive	N	New York Geothermal Foundation, Inc.	OJT HIRE	11/4/2020	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	160539	Competitive	N	Kawi Energy Group Corp.	OJT HIRE	11/5/2020	\$10,240	\$10,240	\$5,888	\$5,888
PGRM	NYCH: Talent Pplan:CE and OJT	160545	Competitive	N	Buffalo Geothermal Heating	OJT HIRE	11/6/2020	\$7,200	\$7,200		
PGRM	NYCH: Talent Pplan:CE and OJT	160650	Competitive	N	Kinetic Services Engineering P.C	OJT HIRE	11/10/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	NYCH: Talent Pplan:CE and OJT	161013	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	11/17/2020	\$8,160	\$8,160	\$3,092	\$3,092
PGRM	NYCH: Talent Pplan:CE and OJT	161195	Competitive	N	Aztech Geothermal, LLC	OJT HIRE	11/23/2020	\$12,960	\$12,960	\$5,913	\$5,913
PGRM	NYCH: Talent Pplan:CE and OJT	161299	Competitive	N	Geotherm Inc.	OJT HIRE	11/24/2020	\$8,160	\$8,160	\$7,748	\$7,748
PGRM	NYCH: Talent Pplan:CE and OJT	161304	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	11/24/2020	\$8,640	\$8,640	\$2,241	\$2,241
PGRM	NYCH: Talent Pplan:CE and OJT	161360	Competitive	N	Breathe Easy of CNY Inc.	OJT HIRE	11/25/2020	\$10,240	\$10,240	\$6,340	\$6,340
PGRM	NYCH: Talent Pplan:CE and OJT	161367	Competitive	N	Dandelion Energy Inc	OJT HIRE	11/25/2020	\$9,600	\$9,600	\$4,564	\$4,564
PGRM	NYCH: Talent Pplan:CE and OJT	161371	Competitive	N	Dandelion Energy Inc	OJT HIRE	11/27/2020	\$10,240	\$10,240	\$5,645	\$5,645
PGRM	NYCH: Talent Pplan:CE and OJT	161433	Competitive	N	Standard Insulating Company	OJT HIRE	12/1/2020	\$10,240	\$10,240	\$8,320	\$8,320
PGRM	NYCH: Talent Pplan:CE and OJT	161442	Competitive	N	Advanced Radiant Design, Inc.	OJT HIRE	12/2/2020	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	161657	Competitive	N	Dandelion Energy Inc	OJT HIRE	12/7/2020	\$10,240	\$10,240	\$5,993	\$5,993
PGRM	NYCH: Talent Pplan:CE and OJT	161721	Competitive	N	Standard Insulating Company	OJT HIRE	12/7/2020	\$7,680	\$7,680	\$5,307	\$5,307
PGRM	NYCH: Talent Pplan:CE and OJT	162068	Competitive	N	Dandelion Energy Inc	OJT HIRE	12/16/2020	\$10,240	\$10,240	\$4,968	\$4,968
PGRM	NYCH: Talent Pplan:CE and OJT	162121	Competitive	N	Thermal Associates, LLC	OJT HIRE	12/16/2020	\$9,600	\$9,600		
PGRM	NYCH: Talent Pplan:CE and OJT	162730	Competitive	N	Van Hee Mechanical	OJT HIRE	12/30/2020	\$7,200	\$7,200	\$3,865	\$3,865
PGRM	NYCH: Talent Pplan:CE and OJT	163051	Competitive	N	True Energy Solutions	OJT HIRE	1/7/2021	\$10,240	\$10,240	\$5,760	\$5,760
PGRM	NYCH: Talent Pplan:CE and OJT	163053	Competitive	N	EMCOR Services Bethlehem	OJT HIRE	1/8/2021	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	163056	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	1/8/2021	\$7,920	\$7,920	\$2,400	\$2,400
PGRM	NYCH: Talent Pplan:CE and OJT	163167	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	1/11/2021	\$14,400	\$14,400	\$2,595	\$2,595
PGRM	NYCH: Talent Pplan:CE and OJT	163310	Competitive	N	County Heat & AC, LLC	OJT HIRE	1/14/2021	\$9,600	\$9,600		
PGRM	NYCH: Talent Pplan:CE and OJT	163336	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	1/15/2021	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	163343	Competitive	N	John Bethlehem Heating & Cooling Inc	OJT HIRE	1/15/2021	\$9,120	\$9,120		
PGRM	NYCH: Talent Pplan:CE and OJT	163642	Competitive	N	Gree Mechanical Services USA Corp	OJT HIRE	1/25/2021	\$9,000	\$9,000		
PGRM	NYCH: Talent Pplan:CE and OJT	163643	Competitive	N	Gree Mechanical Services USA Corp	OJT HIRE	1/25/2021	\$10,240	\$10,240	\$2,560	\$2,560
PGRM	NYCH: Talent Pplan:CE and OJT	163853	Competitive	N	John Bethlehem Heating & Cooling Inc	OJT HIRE	1/28/2021	\$6,240	\$6,240		
PGRM	NYCH: Talent Pplan:CE and OJT	163858	Competitive	N	John Bethlehem Heating & Cooling Inc	OJT HIRE	1/29/2021	\$6,240	\$6,240		
PGRM	NYCH: Talent Pplan:CE and OJT	163900	Competitive	N	John Bethlehem Heating & Cooling Inc	OJT HIRE	1/29/2021	\$7,200	\$7,200		
PGRM	NYCH: Talent Pplan:CE and OJT	164372	Competitive	N	Thermodynamix LLC	OJT HIRE	2/11/2021	\$10,240	\$10,240	\$2,560	\$2,560
PGRM	NYCH: Talent Pplan:CE and OJT	164421	Competitive	N	Gree Mechanical Services USA Corp	OJT HIRE	2/11/2021	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	164560	Competitive	N	Alternative Power Solutions of NY, LLC	OJT HIRE	2/17/2021	\$10,240	\$10,240	\$960	\$960
PGRM	NYCH: Talent Pplan:CE and OJT	164656	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	2/19/2021	\$12,960	\$12,960		
PGRM	NYCH: Talent Pplan:CE and OJT	164774	Competitive	N	Eco Heating & Cooling Inc	OJT HIRE	2/23/2021	\$10,800	\$10,800		
PGRM	NYCH: Talent Pplan:CE and OJT	164778	Competitive	N	BlocPower LLC	OJT HIRE	2/23/2021	\$7,680	\$7,680		
PGRM	NYCH: Talent Pplan:CE and OJT	165147	Competitive	N	Van Hee Mechanical	OJT HIRE	3/3/2021	\$11,520	\$11,520		
PGRM	NYCH: Talent Pplan:CE and OJT	165249	Competitive	N	Green Team USA, LLC	OJT HIRE	3/5/2021	\$12,240	\$12,240		
PGRM	NYCH: Talent Pplan:CE and OJT	165252	Competitive	N	Advanced Radiant Design, Inc.	OJT HIRE	3/5/2021	\$10,240	\$10,240		
PGRM	NYCH: Talent Pplan:CE and OJT	165308	Competitive	N	True Energy Solutions	OJT HIRE	3/8/2021	\$13,320	\$13,320		
PGRM	NYCH: Talent Pplan:CE and OJT	165375	Competitive	N	Wise Home Energy, LLC	OJT HIRE	3/9/2021	\$8,640	\$8,640		
PGRM	NYCH: Talent Pplan:CE and OJT	165568	Competitive	N	Green Team USA, LLC	OJT HIRE	3/15/2021	\$12,240	\$12,240		
PGRM	NYCH: Talent Pplan:CE and OJT	165570	Competitive	N	Total Comfort Heating and Air Conditioni	OJT HIRE	3/15/2021	\$9,600	\$9,600		
PGRM	NYCH: Talent Pplan:CE and OJT	165876	Competitive	N	Eco Heating & Cooling Inc	OJT HIRE	3/19/2021	\$12,600	\$12,600		
PGRM	NYCH: Talent Pplan:CE and OJT	165951	Competitive	N	Green Team USA, LLC	OJT HIRE	3/22/2021	\$11,520	\$11,520		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	NYCH: Talent Pplan:CE and OJT	166002	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	3/23/2021	\$10,800	\$10,800		
PGRM	NYCH: Technical Assistance	132548	Competitive	Y	Warburg Home of the Evangelical Luthera	OsEM12-C - Warburg	10/15/2018		\$109,986	\$9,086	\$92,486
PGRM	NYCH: Technical Assistance	142029	Competitive	Y	City of Rochester	OsEM32-C - City of Rochester	7/1/2019		\$207,714	\$85,527	\$104,122
PGRM	NYCH: Technical Assistance	147073	Competitive	Y	Bright Power, Inc.	RM35 - NYU - Alumni Hall	11/4/2019	\$6,470	\$15,470	\$15,470	\$15,470
PGRM	NYCH: Technical Assistance	148905	Competitive	Y	AKF-engineers	FT12285 - 1 Liberty Plaza -FTC	12/13/2019	\$52,795	\$52,795	\$42,897	\$42,897
PGRM	NYCH: Technical Assistance	150604	Competitive	Y	Steven Winter Associates, Inc.	FT13018 - Victoria Owners- FTC	1/27/2020	\$22,599	\$22,599		
PGRM	NYCH: Technical Assistance	150850	Competitive	Y	Jaros, Baum & Bolles	FT13024 - NYU - FTC	1/31/2020	\$41,265	\$41,265		
PGRM	NYCH: Technical Assistance	152210	Competitive	Y	Day Automation Systems, Inc.	P12GC-11 E - Elmira CSD - PON	3/5/2020	\$147,795	\$147,795		
PGRM	NYCH: Technical Assistance	152937	Competitive	Y	Jones Lang LaSalle Americas, Inc.	FT13055 - 140 Broadway - PON	3/27/2020	\$43,818	\$43,818		
PGRM	NYCH: Technical Assistance	153370	Competitive	Y	Fifth Street Properties, LLC	FT13065 - 787 7th Ave - PON	4/10/2020	\$37,500	\$37,500		
PGRM	NYCH: Technical Assistance	153658	Competitive	Y	Code Green Solutions, Inc.	FT13091 - 470 Park S - FTC	4/22/2020	\$13,500	\$13,500	\$3,375	\$3,375
PGRM	NYCH: Technical Assistance	154359	Competitive	Y	Savanna Services, LLC	FT13106 -Savanna Multiple- PON	5/22/2020	\$127,925	\$127,925		
PGRM	NYCH: Technical Assistance	154458	Competitive	Y	Antares Group, Inc.	FT13111 - 515 E 71st - FTC	5/28/2020	\$65,095	\$65,095	\$27,958	\$27,958
PGRM	NYCH: Technical Assistance	155158	Competitive	Y	Fordham University	OsEM53-C - Fordham University	6/24/2020	\$214,000	\$214,000		
PGRM	NYCH: Technical Assistance	155232	Discretionary	N	Bright Power, Inc.	Electrification Feasibility St	6/28/2020	\$45,000	\$45,000	\$45,000	\$45,000
PGRM	NYCH: Technical Assistance	156052	Competitive	Y	Goldman Copeland Associates, P.C.	FT13134 - 140 W. 57th - FTC	7/22/2020	\$12,494	\$12,494		
PGRM	NYCH: Technical Assistance	156633	Competitive	Y	Code Green Solutions, Inc.	FT13138 - 158 W 27th - FTC	8/5/2020	\$19,750	\$19,750	\$9,500	\$9,500
PGRM	NYCH: Technical Assistance	156636	Competitive	Y	Code Green Solutions, Inc.	FT13139 - 599 11th - FTC	8/5/2020	\$14,584	\$14,584		
PGRM	NYCH: Technical Assistance	156734	Competitive	Y	Edison Energy, LLC	FT13141 - 55 John - FTC	8/7/2020	\$10,245	\$10,245	\$10,245	\$10,245
PGRM	NYCH: Technical Assistance	156897	Competitive	Y	Lighthouse Landing Communities, LLC	FT13143 - Edge on Hudson - PON	8/12/2020	\$15,370	\$15,370		
PGRM	NYCH: Technical Assistance	157023	Competitive	Y	Enica Engineering, PLLC	FT13147 - NYPH Manhattan - FTC	8/17/2020	\$87,946	\$87,946	\$24,863	\$24,863
PGRM	NYCH: Technical Assistance	157466	Competitive	Y	Goldman Copeland Associates, P.C.	FT13155 - 10 East 53rd - FTC	8/27/2020	\$20,913	\$20,913		
PGRM	NYCH: Technical Assistance	157584	Competitive	Y	Romulus Central School District	P12GC-18 E - Romulus CSD - PON	8/31/2020	\$19,800	\$19,800		
PGRM	NYCH: Technical Assistance	157928	Discretionary	N	The Board of Trustees	Modeling Blower Door Impact	9/9/2020	\$50,000	\$50,000		
PGRM	NYCH: Technical Assistance	158302	Competitive	Y	Jaros, Baum & Bolles	FT13167 - 455 Seventh - FTC	9/17/2020	\$38,542	\$38,542	\$28,258	\$28,258
PGRM	NYCH: Technical Assistance	158304	Competitive	Y	EME Consulting Engineering Group, LLC	FT13169 - 577 First - FTC	9/17/2020	\$9,054	\$9,054		
PGRM	NYCH: Technical Assistance	158890	Competitive	Y	Group-S LLC	FT13177 - 47-05 Center - FTC	9/29/2020	\$25,500	\$25,500		
PGRM	NYCH: Technical Assistance	159160	Competitive	Y	Fox Television Stations, Inc.	FT13185 - 205 East 67th - PON	10/5/2020	\$25,347	\$25,347		
PGRM	NYCH: Technical Assistance	159401	Competitive	Y	Bright Power, Inc.	FT13190 - 200 Hart - FTC	10/13/2020	\$5,850	\$5,850	\$2,925	\$2,925
PGRM	NYCH: Technical Assistance	159557	Competitive	Y	Netgains Engineering P.C.	FT13196 - 149-151 Grand - FTC	10/15/2020	\$5,000	\$5,000		
PGRM	NYCH: Technical Assistance	159846	Non-Competitive	N	Steven Winter Associates, Inc.	NYCH: Technical Assistance	10/21/2020	\$50,000	\$50,000		
PGRM	NYCH: Technical Assistance	160193	Competitive	Y	Code Green Solutions, Inc.	FT13209 - 25 East 78th - FTC	10/29/2020	\$14,249	\$14,249	\$5,000	\$5,000
PGRM	NYCH: Technical Assistance	160600	Competitive	Y	L&S Energy Services, Inc.	L&S TWO - NG CHC Screenings	11/9/2020	\$20,000	\$20,000		
PGRM	NYCH: Technical Assistance	160602	Competitive	Y	M/E Engineering, P.C.	M/E TWO - NG CHC Screenings	11/9/2020	\$20,000	\$20,000		
PGRM	NYCH: Technical Assistance	160649	Competitive	Y	Antares Group, Inc.	Antares TWO-NG CHC Screenings	11/9/2020	\$20,000	\$20,000	\$10,916	\$10,916
PGRM	NYCH: Technical Assistance	160817	Competitive	Y	EME Consulting Engineering Group, LLC	FT13217 - 334 East 25th - FTC	11/12/2020	\$36,000	\$36,000		
PGRM	NYCH: Technical Assistance	161139	Competitive	Y	Taltem Engineering, P.C.	FT13228 - 1240 Bedford - FTC	11/20/2020	\$7,731	\$7,731		
PGRM	NYCH: Technical Assistance	161182	Competitive	Y	Bright Power, Inc.	FT13229 - 1520 Sedgwick - FTC	11/20/2020	\$8,120	\$8,120		
PGRM	NYCH: Technical Assistance	161184	Competitive	Y	Johnson Controls, Inc. (Syracuse)	FT13230 - Multiple - FTC	11/20/2020	\$38,240	\$38,240		
PGRM	NYCH: Technical Assistance	161354	Competitive	Y	Bright Power, Inc.	FT13241 - Brighton House - FTC	11/25/2020	\$7,740	\$7,740	\$5,780	\$5,780
PGRM	NYCH: Technical Assistance	161426	Competitive	Y	MGT Property Management LLC	FT13249 - 38 E 29th - PON	11/30/2020	\$36,707	\$36,707		
PGRM	NYCH: Technical Assistance	161627	Competitive	Y	Columbia Property Trust Operating Partne	FT13263 - 218 W 18th - PON	12/4/2020	\$23,085	\$23,085		
PGRM	NYCH: Technical Assistance	161631	Competitive	Y	Columbia Property Trust Operating Partne	FT13264 - 243 W 17th - PON	12/4/2020	\$29,985	\$29,985		
PGRM	NYCH: Technical Assistance	161915	Competitive	Y	Wendel Energy Services, LLC	FT13268 - Group A - FTC	12/10/2020	\$500,000	\$500,000		
PGRM	NYCH: Technical Assistance	161916	Competitive	Y	Wendel Energy Services, LLC	FT13269 - Group B - FTC	12/10/2020	\$500,000	\$500,000		
PGRM	NYCH: Technical Assistance	161922	Competitive	Y	City Skyline Realty, Inc.	FT13275 - 507 W 139th - PON	12/11/2020	\$5,475	\$5,475		
PGRM	NYCH: Technical Assistance	161923	Competitive	Y	Steven Winter Associates, Inc.	FT13276 - 75 Livingston - FTC	12/11/2020	\$19,875	\$19,875		
PGRM	NYCH: Technical Assistance	162151	Competitive	Y	Bright Power, Inc.	FT13290 - 320 W 38th - FTC	12/16/2020	\$15,525	\$15,525		
PGRM	NYCH: Technical Assistance	162152	Competitive	Y	Netgains Engineering P.C.	FT13291 - 300 Albany - FTC	12/16/2020	\$5,000	\$5,000		
PGRM	NYCH: Technical Assistance	162157	Competitive	Y	Bright Power, Inc.	FT13295 - 335 W. 50th - FTC	12/17/2020	\$8,000	\$8,000		
PGRM	NYCH: Technical Assistance	162350	Competitive	Y	Columbia Property Trust	OsEM64-C-575 Lexington	12/22/2020	\$214,000	\$214,000		
PGRM	NYCH: Technical Assistance	162419	Competitive	Y	Greenway Apartments Vintage, LLC	FT13302 - 205 Hawley - PON	12/22/2020	\$7,500	\$7,500		
PGRM	NYCH: Technical Assistance	162420	Competitive	Y	Museum of Modern Art	FT13303 - Queens Campus - PON	12/22/2020	\$65,448	\$65,448		
PGRM	NYCH: Technical Assistance	162425	Competitive	Y	Altanova LLC	FT13306 - 430 W 50th - FTC	12/22/2020	\$39,688	\$39,688		
PGRM	NYCH: Technical Assistance	162426	Competitive	Y	EME Consulting Engineering Group, LLC	FT13307 - 2109 Broadway - FTC	12/22/2020	\$17,500	\$17,500		
PGRM	NYCH: Technical Assistance	162435	Competitive	Y	O'Brien & Gere Engineers, Inc.	FT13315 - Uni. at Albany - FTC	12/22/2020	\$179,919	\$179,919		
PGRM	NYCH: Technical Assistance	162436	Competitive	Y	Netgains Engineering P.C.	FT13316 - 160 Central - FTC	12/22/2020	\$26,604	\$26,604		
PGRM	NYCH: Technical Assistance	162594	Competitive	Y	Netgains Engineering P.C.	FT13324 - 181 Varick - FTC	12/28/2020	\$7,950	\$7,950		
PGRM	NYCH: Technical Assistance	162596	Competitive	Y	EME Consulting Engineering Group, LLC	FT13326 - 105-05 69th - FTC	12/28/2020	\$10,000	\$10,000		
PGRM	NYCH: Technical Assistance	162597	Competitive	Y	EME Consulting Engineering Group, LLC	FT13327 - 25-98 36th - FTC	12/28/2020	\$8,750	\$8,750		
PGRM	NYCH: Technical Assistance	162598	Competitive	Y	Bright Power, Inc.	FT13328 - 1065 Gerard - FTC	12/28/2020	\$6,300	\$6,300		
PGRM	NYCH: Technical Assistance	162599	Competitive	Y	Bright Power, Inc.	FT13329 - 1075 Gerard - FTC	12/28/2020	\$6,300	\$6,300		
PGRM	NYCH: Technical Assistance	162601	Competitive	Y	Bright Power, Inc.	FT13331 - 1179 2nd - FTC	12/28/2020	\$12,150	\$12,150		
PGRM	NYCH: Technical Assistance	162607	Competitive	Y	NG 645 Madison Ave LLC	FT13337 - 645 Madison - PON	12/28/2020	\$24,075	\$24,075		
PGRM	NYCH: Technical Assistance	162731	Competitive	Y	Morgan Stanley	FT13356 - 2000 Westch. - PON	12/30/2020	\$15,995	\$15,995		
PGRM	NYCH: Technical Assistance	162732	Competitive	Y	300 W 108 Owners Corp	FT13357 - 300 W 108th - PON	12/30/2020	\$12,790	\$12,790		
PGRM	NYCH: Technical Assistance	162733	Competitive	Y	Group-S LLC	FT13358 - 200 Central - FTC	12/30/2020	\$23,500	\$23,500		
PGRM	NYCH: Technical Assistance	162778	Competitive	Y	LaBella Associates, P.C.	FT13363 - 30 Campus - FTC	12/30/2020	\$162,561	\$162,561		
PGRM	NYCH: Technical Assistance	162811	Competitive	Y	415 Second Owners Corp	FT13368 - 240 E 24th - PON	12/30/2020	\$5,450	\$5,450		
PGRM	NYCH: Technical Assistance	162813	Competitive	Y	Steven Winter Associates, Inc.	FT13369 - 80 LaSalle - FTC	12/30/2020	\$24,094	\$24,094		
PGRM	NYCH: Technical Assistance	162847	Competitive	Y	Netgains Engineering P.C.	FT13372 - Montien Slope - FTC	12/31/2020	\$5,000	\$5,000		
PGRM	NYCH: Technical Assistance	162848	Competitive	Y	St. John's Condominium	FT13373 - St. John's - PON	12/31/2020	\$8,306	\$8,306		
PGRM	NYCH: Technical Assistance	162856	Competitive	Y	Bright Power, Inc.	FT13374 - 2260 Crotona - FTC	12/31/2020	\$6,930	\$6,930		
PGRM	NYCH: Technical Assistance	162857	Competitive	Y	Bright Power, Inc.	FT13375 - 711 Garden - FTC	12/31/2020	\$8,280	\$8,280		
PGRM	NYCH: Technical Assistance	163306	Competitive	Y	Bright Power, Inc.	FT13401 - 8 West 118th - FTC	1/14/2021	\$7,950	\$7,950		
PGRM	NYCH: Technical Assistance	163308	Competitive	Y	Bright Power, Inc.	FT13402 - 3468 Fenton - FTC	1/14/2021	\$12,000	\$12,000		
PGRM	NYCH: Technical Assistance	163335	Competitive	Y	Code Green Solutions, Inc.	FT13405 - 309 5th - FTC	1/15/2021	\$11,750	\$11,750		
PGRM	NYCH: Technical Assistance	163342	Competitive	Y	EME Consulting Engineering Group, LLC	FT13407 - 410 Cathedral - FTC	1/15/2021	\$12,500	\$12,500		
PGRM	NYSDERDA ADMINISTRATION	162274	Competitive	N	Systems Management Planning, Inc.	Horizon Licensing 36 Months	12/21/2020	\$24,768	\$24,768	\$24,768	\$24,768
PGRM	NY-SUN	19060	Non-Competitive		Solar Liberty Energy Systems, Inc	PV incentive program	5/7/2010		\$125,000	\$31,250	\$125,000
PGRM	NY-SUN	23508	Competitive	Y	Alteris Renewables Inc.	RPS CST Regional Program	7/20/2011		\$1,507,499		\$1,156,635

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	NY-SUN	33489	Competitive	Y	Socore Energy, LLC	New York Sun Competitive PV Pr	6/26/2013		\$270,692		\$32,072	\$270,692
PGRM	NY-SUN	33555	Competitive	Y	Safari Energy LLC	New York Sun Competitive PV Pr	6/26/2013		\$376,989		\$58,281	\$217,987
PGRM	NY-SUN	33559	Competitive	Y	Safari Energy LLC	New York Sun Competitive PV	6/26/2013		\$327,817		\$89,080	\$279,555
PGRM	NY-SUN	37867	Competitive	Y	Tesla Energy Operations Inc	PV System at Onondaga County	12/4/2013		\$1,664,324			\$870,806
PGRM	NY-SUN	37868	Competitive	Y	Tesla Energy Operations Inc	PV System at Oneida County	12/4/2013		\$1,486,136		\$200,648	\$894,684
PGRM	NY-SUN	37884	Competitive	Y	Carl Zeiss, Inc	PV System at Carl Zeiss Inc.	12/4/2013		\$337,110			\$161,133
PGRM	NY-SUN	41213	Competitive	Y	Solar Liberty Energy Systems, Inc	PV System @ SUNY New Paltz	4/24/2014		\$147,755			\$36,939
PGRM	NY-SUN	41214	Competitive	Y	Safari Energy LLC	PV System @ Safari - EXR Centr	4/24/2014		\$429,597		\$82,197	\$380,516
PGRM	NY-SUN	41225	Competitive	Y	Tesla Energy Operations Inc	PV System @ Orange County DPW	4/24/2014		\$1,388,671		\$270,389	\$1,082,865
PGRM	NY-SUN	41232	Competitive	Y	OnForce Solar	PV System @ Manhattan Beer Dis	4/24/2014		\$720,689		\$152,864	\$333,036
PGRM	NY-SUN	41235	Competitive	Y	OnForce Solar	PV System @ Liberty Distributo	4/24/2014		\$608,168			\$152,042
PGRM	NY-Sun	76097	Competitive	Y	Green Street Power Partners LLC	NY-Sun	10/1/2015		\$115,000		\$0	\$115,000
PGRM	NY-SUN	104113	Competitive	N	Long Island Power Authority	LIPA MOU RGGI Compliance Progr	9/26/2016	\$25,000,000	\$149,800,000		\$25,000,000	\$149,800,000
PGRM	NY-SUN	114798	Competitive	Y	CEC Stuyvesant Cove, Inc.	Affordable Solar Predevelopmen	6/13/2017		\$132,995		\$21,000	\$119,170
PGRM	NY-SUN	117317	Competitive	Y	Riseboro Community Partnership, Inc.	Affordable Solar Predevelopmen	8/18/2017		\$200,000			\$150,000
PGRM	NY-SUN	119231	Competitive	N	Binghamton Regional	Affordable Solar Binghamton	10/11/2017		\$81,600			
PGRM	NY-SUN	120553	Competitive	Y	Akwesasne Housing Authority	Affordable Solar Akwesasne	11/13/2017		\$190,000			\$140,000
PGRM	NY-SUN	122945	Competitive	N	Research Foundation of CUNY	Affordable Solar NYCHA Commere	1/29/2018		\$130,700		\$26,100	\$117,600
PGRM	NY-SUN	128476	Competitive	Y	Fund for Public Housing, Inc.	Affordable Solar NYCHA ACCESSo	6/28/2018		\$110,093		\$22,019	\$91,377
PGRM	NY-SUN	129418	Competitive	Y	The Point Community Development Corp	Hunts Point Comm Solar Project	7/26/2018		\$188,000			\$52,000
PGRM	NY-SUN	131209	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	9/12/2018		\$5,040,000			
PGRM	NY-SUN	132477	Competitive	Y	CEC Stuyvesant Cove, Inc.	Solar for Co-op City	10/12/2018		\$140,848			\$45,396
PGRM	NY-SUN	134562	Competitive		Bright Power, Inc.	Melrose Court LMI Solar	11/29/2018		\$35,500		\$14,200	\$24,850
PGRM	NY-SUN	135860	Competitive		Bright Power, Inc.	NYCHA Baychester Houses	1/7/2019		\$35,500			\$10,650
PGRM	NY-SUN	136486	Competitive	N	Enterprise Community Partners, Inc.	Enterprise Solar Community	1/25/2019		\$200,000		\$200,000	\$200,000
PGRM	NY-SUN	136937	Competitive	Y	American Solar Partners, LLC	Solar for Electchester Housing	2/7/2019		\$140,000		\$40,000	\$120,000
PGRM	NY-SUN	137406	Competitive	Y	Cream Street Solar, LLC	NY Sun	2/21/2019		\$1,844,856		\$922,428	\$922,428
PGRM	NY-SUN	138349	Competitive	Y	ELP Saugerties Solar LLC	NY Sun	3/22/2019		\$2,098,278		\$1,049,139	\$1,049,139
PGRM	NY-SUN	139045	Competitive	N	In Time of Need, Inc.	New Gospel Temple Bronx	4/10/2019		\$89,000		\$35,600	\$62,300
PGRM	NY-SUN	139046	Competitive		City of Utica	Affordable Solar in Utica	4/10/2019		\$130,000		\$37,000	\$57,000
PGRM	NY-SUN	139254	Competitive	N	Fifth Avenue Committee, Inc.	FAC Solar	4/17/2019		\$131,899		\$59,569	\$131,899
PGRM	NY-SUN	141698	Competitive	Y	Warwick Renewables, LLC	NY Sun	6/21/2019		\$849,650			
PGRM	NY-SUN	142762	Competitive	Y	SOLSTICE INITIATIVE, INC.	CDG in Southern Tier	7/22/2019		\$128,130			
PGRM	NY-SUN	142764	Competitive	Y	SOLSTICE INITIATIVE, INC.	CDG in Western NY	7/22/2019		\$151,668			
PGRM	NY-SUN	142874	Competitive	N	Institute for Building Technology and Sa	TWO No. 7 NY-Sun C&I QA TWO	7/24/2019		\$350,000		\$10,614	\$75,987
PGRM	NY-SUN	144558	Competitive	Y	AES Distributed Energy, Inc.	NY Sun	9/6/2019		\$4,283,604			
PGRM	NY-SUN	147689	Competitive	Y	South Goshen Solar LLC	NY Sun	11/18/2019		\$3,150,000			
PGRM	NY-SUN	149539	Competitive	Y	CON EDISON SOLUTIONS, INC.	NY-Sun C&I	12/27/2019	\$660,790	\$2,377,390			
PGRM	NY-SUN	149541	Competitive		CON EDISON SOLUTIONS, INC.	NY-Sun C&I	12/27/2019		\$707,721			
PGRM	NY-SUN	151362	Competitive	Y	OYA ROBINSON ROAD LLC	NY Sun	2/14/2020	\$1,644,100	\$2,914,540			
PGRM	NY-SUN	152441	Competitive	Y	OYA INDEPENDENCE ROAD LLC	NY Sun	3/12/2020	\$1,644,100	\$2,914,540			
PGRM	NY-SUN	152442	Competitive	Y	DG New York CS, LLC	NY Sun	3/12/2020	\$1,650,000	\$2,925,000			
PGRM	NY-SUN	152443	Competitive	Y	DG New York CS, LLC	NY Sun	3/12/2020	\$1,650,000	\$2,925,000			
PGRM	NY-SUN	152449	Competitive	N	Cairo NY 1, LLC	NY-Sun C&I	3/12/2020		\$1,373,439			
PGRM	NY-SUN	152810	Competitive	Y	ELP Stanford Solar LLC	NY Sun	3/23/2020	\$3,522,744	\$3,522,744			
PGRM	NY-SUN	153144	Competitive	Y	GSPP 24658 County Route 47 North, LLC	NY Sun	4/2/2020	\$511,056	\$511,056			
PGRM	NY-SUN	153145	Competitive	Y	Tadcon Electric LLC	NY Sun	4/2/2020	\$2,202,712	\$2,202,712			
PGRM	NY-SUN	153337	Competitive	Y	ELP Ghent Solar LLC	NY Sun	4/9/2020	\$1,676,376	\$1,676,376			
PGRM	NY-SUN	153343	Competitive	Y	Eden Renewables, LLC	NY Sun	4/9/2020	\$2,922,520	\$2,922,520			
PGRM	NY-SUN	153344	Competitive	Y	Eden Renewables, LLC	NY Sun	4/9/2020	\$2,922,520	\$2,922,520			
PGRM	NY-SUN	153346	Competitive	Y	NY 5422 CAUTERSKILL, LLC	NY Sun	4/9/2020	\$883,139	\$883,139			
PGRM	NY-SUN	153538	Competitive	Y	ELP Union Vale Solar	NY Sun	4/16/2020	\$1,520,532	\$1,520,532			
PGRM	NY-SUN	153539	Competitive	Y	GSPP 24658 County Route 47 North, LLC	NY Sun	4/16/2020	\$511,056	\$511,056			
PGRM	NY-SUN	153540	Competitive	Y	SSC Lysander LLC	NY Sun	4/16/2020	\$2,105,864	\$2,105,864			
PGRM	NY-SUN	153541	Competitive	Y	ESNY-MED-MONTGOMERY LLC	NY Sun	4/16/2020	\$3,474,917	\$3,474,917			
PGRM	NY-SUN	153789	Competitive	Y	AMERESCO, Inc.	NY Sun	4/29/2020	\$3,226,080	\$3,226,080			
PGRM	NY-SUN	153790	Competitive	Y	Matrix Solar OC Newburgh Route 17K, LLC	NY Sun	4/29/2020	\$2,747,030	\$2,747,030			
PGRM	NY-SUN	153791	Competitive	Y	Washington Avenue Solar LLC	NY Sun	4/29/2020	\$2,333,620	\$2,333,620			
PGRM	NY-SUN	153792	Competitive	Y	Omni Navitas Holdings LLC	NY Sun	4/29/2020	\$2,866,172	\$2,866,172			
PGRM	NY-SUN	153793	Competitive	Y	SSC Oswego LLC	NY Sun	4/29/2020	\$1,289,399	\$1,289,399			
PGRM	NY-Sun	153937	Non-Competitive	N	Solar Energy Industries Association, Inc	SEIA COVID Support	5/1/2020	\$120,000	\$120,000		\$120,000	\$120,000
PGRM	NY-Sun	153946	Non-Competitive	N	New York Solar Energy Industries Assoc.	NYSEIA COVID Support	5/1/2020	\$120,000	\$120,000		\$120,000	\$120,000
PGRM	NY-SUN	154312	Competitive	Y	Nexamp Capital, LLC	NY Sun	5/21/2020	\$1,464,463	\$1,464,463			
PGRM	NY-SUN	154313	Competitive	Y	Solitude Solar LLC	NY Sun	5/21/2020	\$2,802,595	\$2,802,595			
PGRM	NY-SUN	154316	Competitive	Y	GSPP Hillsboro & Dunbar, LLC	NY Sun	5/21/2020	\$2,712,856	\$2,712,856			
PGRM	NY-SUN	154317	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	5/21/2020	\$2,924,579	\$2,924,579			
PGRM	NY-SUN	154318	Competitive	Y	GSPP 1616 County Route 12, LLC	NY Sun	5/21/2020	\$1,895,166	\$1,895,166			
PGRM	NY-SUN	154319	Competitive	Y	Madrid Solar 1, LLC	NY Sun	5/21/2020	\$2,568,766	\$2,568,766			
PGRM	NY-SUN	154320	Competitive	Y	Madrid Solar 2, LLC	NY Sun	5/21/2020	\$956,456	\$956,456			
PGRM	NY-SUN	154321	Competitive	Y	Waddington Solar, LLC	NY Sun	5/21/2020	\$2,713,211	\$2,713,211			
PGRM	NY-SUN	154322	Competitive	Y	ELP Myer Solar LLC	NY Sun	5/21/2020	\$1,409,577	\$1,409,577			
PGRM	NY-SUN	154501	Competitive	Y	Morristown Solar II, LLC	NY Sun	5/29/2020	\$2,712,528	\$2,712,528			
PGRM	NY-SUN	154502	Competitive	Y	GSPP 4575 State Route 69, LLC	NY Sun	5/29/2020	\$2,457,328	\$2,457,328			
PGRM	NY-SUN	154505	Competitive	Y	IPPsolar LLC	NY Sun	5/29/2020	\$2,250,000	\$2,250,000			
PGRM	NY-SUN	154667	Competitive	Y	OYA WAYSIDE DRIVE LLC	NY Sun	6/4/2020	\$2,765,077	\$2,765,077			
PGRM	NY-SUN	155786	Competitive	Y	DG New York CS, LLC	NY Sun	7/14/2020	\$1,546,239	\$1,546,239			
PGRM	NY-SUN	156062	Competitive	Y	DG New York CS, LLC	NY Sun	7/22/2020	\$2,210,387	\$2,210,387			
PGRM	NY-SUN	156063	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	7/22/2020	\$2,505,192	\$2,505,192			
PGRM	NY-SUN	156064	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	7/22/2020	\$2,364,933	\$2,364,933			
PGRM	NY-SUN	156065	Competitive	Y	SSC Scriba LLC	NY Sun	7/22/2020	\$2,772,225	\$2,772,225			
PGRM	NY-SUN	156068	Competitive	Y	Lodestar Energy	NY Sun	7/22/2020	\$1,666,954	\$1,666,954			

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	NY-SUN	156735	Competitive	Y	Cedar Hill Solar, LLC	NY Sun	8/7/2020	\$2,772,647	\$2,772,647		
PGRM	NY-SUN	156736	Competitive	Y	FFP NY Goshen Project1, LLC	NY Sun	8/7/2020	\$1,556,100	\$1,556,100		
PGRM	NY-SUN	156737	Competitive	Y	Herrington Solar LLC	NY Sun	8/7/2020	\$2,772,647	\$2,772,647		
PGRM	NY-SUN	156738	Competitive	Y	Hamilsun Community Solar LLC	NY Sun	8/7/2020	\$996,203	\$996,203		
PGRM	NY-SUN	156739	Competitive	Y	Matrix Development, LLC.	NY Sun	8/7/2020	\$2,340,738	\$2,340,738		
PGRM	NY-SUN	156740	Competitive	Y	Matrix Development, LLC.	NY Sun	8/7/2020	\$2,424,336	\$2,424,336		
PGRM	NY-SUN	156741	Competitive	Y	Matrix Development, LLC.	NY Sun	8/7/2020	\$2,431,936	\$2,431,936		
PGRM	NY-SUN	156742	Competitive	Y	Delaware River Solar, LLC	NY Sun	8/7/2020	\$2,275,258	\$2,275,258		
PGRM	NY-SUN	156743	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	8/7/2020	\$2,474,129	\$2,474,129		
PGRM	NY-SUN	156744	Competitive	Y	GSPP Sentinel Heights Road, LLC	NY Sun	8/7/2020	\$1,891,995	\$1,891,995		
PGRM	NY-SUN	156745	Competitive	Y	Omni Navitas Holdings LLC	NY Sun	8/7/2020	\$2,124,940	\$2,124,940		
PGRM	NY-SUN	156746	Competitive	Y	Omni Navitas Holdings LLC	NY Sun	8/7/2020	\$2,174,201	\$2,174,201		
PGRM	NY-SUN	156747	Competitive	Y	GSPP County Route 31, LLC	NY Sun	8/7/2020	\$1,373,736	\$1,373,736		
PGRM	NY-SUN	157673	Competitive	Y	Dimension Energy LLC	NY Sun	9/2/2020	\$1,970,654	\$1,970,654		
PGRM	NY-SUN	157674	Competitive	Y	OYA Church Road A LLC	NY Sun	9/2/2020	\$2,774,408	\$2,774,408		
PGRM	NY-SUN	157675	Competitive	Y	LeRay Jackson Solar, LLC	NY Sun	9/2/2020	\$2,226,424	\$2,226,424		
PGRM	NY-SUN	157676	Competitive	Y	Stillwater Renewables, LLC	NY Sun	9/2/2020	\$1,355,554	\$1,355,554		
PGRM	NY-SUN	157677	Competitive	Y	OYA Church Road B LLC	NY Sun	9/2/2020	\$494,208	\$494,208		
PGRM	NY-SUN	157678	Competitive	Y	Dimension Energy LLC	NY Sun	9/2/2020	\$1,978,119	\$1,978,119		
PGRM	NY-SUN	157679	Competitive	Y	Abundant Solar Power Inc.	NY Sun	9/2/2020	\$1,037,065	\$1,037,065		
PGRM	NY-SUN	157934	Competitive	Y	CEC Stuyvesant Cove, Inc.	HPD Technical Assistance	9/9/2020	\$926,740	\$926,740	\$33,833	\$33,833
PGRM	NY-SUN	158081	Competitive	Y	Renovus Energy, Inc.	PON 2112 NY SUN	9/14/2020	\$175,154	\$175,154		
PGRM	NY-SUN	158279	Competitive	Y	Solitude Solar LLC	NY Sun	9/16/2020	\$2,471,040	\$2,471,040		
PGRM	NY-SUN	158280	Competitive	Y	Solitude Solar LLC	NY Sun	9/16/2020	\$952,037	\$952,037		
PGRM	NY-SUN	158281	Competitive	Y	Waddingham Road Solar, LLC	NY Sun	9/16/2020	\$1,811,687	\$1,811,687		
PGRM	NY-SUN	158286	Competitive	Y	Solitude Solar LLC	NY Sun	9/16/2020	\$2,474,797	\$2,474,797		
PGRM	NY-SUN	158287	Competitive	Y	Solitude Solar LLC	NY Sun	9/16/2020	\$1,633,403	\$1,633,403		
PGRM	NY-SUN	158289	Competitive	Y	Sun Source Technologies	NY Sun	9/16/2020	\$3,375,000	\$3,375,000		
PGRM	NY-SUN	158367	Competitive	Y	Dimension Energy LLC	NY-Sun C&I	9/17/2020	\$1,978,119	\$1,978,119		
PGRM	NY-SUN	159118	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	10/2/2020	\$1,458,522	\$1,458,522		
PGRM	NY-SUN	159273	Competitive	Y	NY 18144 Game Farm, LLC	NY Sun	10/7/2020	\$2,252,897	\$2,252,897		
PGRM	NY-SUN	159274	Competitive	Y	Standard Solar, Inc	NY Sun	10/7/2020	\$2,302,410	\$2,302,410		
PGRM	NY-SUN	159275	Competitive	Y	OYA Pulaski LLC	NY Sun	10/7/2020	\$1,568,424	\$1,568,424		
PGRM	NY-SUN	159695	Competitive	Y	Delaware River Solar, LLC	NY Sun	10/20/2020	\$2,137,363	\$2,137,363		
PGRM	NY-SUN	159696	Competitive	Y	GSPP 2496 Lewis Road LLC	NY Sun	10/20/2020	\$2,306,304	\$2,306,304		
PGRM	NY-SUN	159697	Competitive	Y	Taft Solar, LLC	NY Sun	10/20/2020	\$1,457,587	\$1,457,587		
PGRM	NY-SUN	159701	Competitive	Y	Bangor Solar, LLC	NY Sun	10/20/2020	\$2,216,460	\$2,216,460		
PGRM	NY-SUN	160417	Competitive	Y	OYA Independence East LLC	NY Sun	11/3/2020	\$2,474,472	\$2,474,472		
PGRM	NY-SUN	160418	Competitive	Y	OYA Main Street LLC	NY Sun	11/3/2020	\$2,474,472	\$2,474,472		
PGRM	NY-SUN	160419	Competitive	Y	HELIOS ENERGY NEW YORK 15 LLC	NY Sun	11/3/2020	\$2,321,280	\$2,321,280		
PGRM	NY-SUN	160420	Competitive	Y	Camden Renewables, LLC	NY Sun	11/3/2020	\$1,227,377	\$1,227,377		
PGRM	NY-SUN	160424	Competitive	Y	Abundant Solar Power Inc.	NY Sun	11/3/2020	\$2,170,217	\$2,170,217		
PGRM	NY-SUN	160425	Competitive	Y	EF NY CDG 001 LLC	NY Sun	11/3/2020	\$2,472,624	\$2,472,624		
PGRM	NY-SUN	160426	Competitive	Y	EF NY CDG 002 LLC	NY Sun	11/3/2020	\$2,475,000	\$2,475,000		
PGRM	NY-SUN	160427	Competitive	Y	EF NY CDG 003 LLC	NY Sun	11/3/2020	\$2,475,000	\$2,475,000		
PGRM	NY-SUN	160952	Competitive	Y	GSPP 4474 Lower Birch Run Rd, LLC	NY Sun	11/16/2020	\$1,174,664	\$1,174,664		
PGRM	NY-SUN	160953	Competitive	Y	GSPP 9557 E Rd S, LLC	NY Sun	11/16/2020	\$1,060,535	\$1,060,535		
PGRM	NY-SUN	160954	Competitive	Y	GSPP 9605 E Rd N, LLC	NY Sun	11/16/2020	\$1,060,535	\$1,060,535		
PGRM	NY-SUN	160961	Competitive	Y	Saratoga Solar II, LLC	NY Sun	11/16/2020	\$2,010,809	\$2,010,809		
PGRM	NY-SUN	160964	Competitive	Y	Delaware River Solar, LLC	NY Sun	11/16/2020	\$2,248,740	\$2,248,740		
PGRM	NY-SUN	161017	Competitive	Y	Oswegatchie Solar, LLC	NY-Sun C&I	11/17/2020	\$2,053,688	\$2,053,688		
PGRM	NY-SUN	161646	Competitive	Y	EF Columbus Renewables, LLC	NY Sun	12/7/2020	\$2,325,000	\$2,325,000		
PGRM	NY-SUN	161647	Competitive	Y	EDF Renewables Distributed Solutions Inc	NY Sun	12/7/2020	\$947,149	\$947,149		
PGRM	NY-SUN	161649	Competitive	Y	ClearPath Energy LLC	NY Sun	12/7/2020	\$2,324,808	\$2,324,808		
PGRM	NY-SUN	161650	Competitive	Y	ClearPath Energy LLC	NY Sun	12/7/2020	\$2,324,808	\$2,324,808		
PGRM	NY-SUN	161651	Competitive	Y	ClearPath Energy LLC	NY Sun	12/7/2020	\$2,092,512	\$2,092,512		
PGRM	NY-SUN	161652	Competitive	Y	Stockholm Solar, LLC	NY Sun	12/7/2020	\$1,377,776	\$1,377,776		
PGRM	NY-SUN	161653	Competitive	Y	Salmon River Renewables, LLC	NY Sun	12/7/2020	\$1,426,499	\$1,426,499		
PGRM	NY-SUN	161654	Competitive	Y	Tadcon Electric LLC	NY Sun	12/7/2020	\$2,321,038	\$2,321,038		
PGRM	NY-SUN	162256	Competitive	Y	EDF Renewables Distributed Solutions Inc	NY Sun	12/18/2020	\$1,963,416	\$1,963,416		
PGRM	NY-SUN	162257	Competitive	Y	GSPP 4643 Twelve Corners Road, LLC	NY Sun	12/18/2020	\$2,264,941	\$2,264,941		
PGRM	NY-SUN	162258	Competitive	Y	GSPP 641 Ferris Road West, LLC	NY Sun	12/18/2020	\$1,146,225	\$1,146,225		
PGRM	NY-SUN	162259	Competitive	Y	GSPP 409 Ferris Road, LLC	NY Sun	12/18/2020	\$2,292,450	\$2,292,450		
PGRM	NY-SUN	162261	Competitive	Y	OYA Ruhlmann A LLC	NY Sun	12/18/2020	\$2,174,536	\$2,174,536		
PGRM	NY-SUN	162262	Competitive	Y	OYA Ruhlmann B LLC	NY Sun	12/18/2020	\$899,496	\$899,496		
PGRM	NY-SUN	162263	Competitive	Y	Butterville Road Solar, LLC	NY Sun	12/18/2020	\$343,840	\$343,840		
PGRM	NY-SUN	162264	Competitive	Y	OYA Independence North LLC	NY Sun	12/18/2020	\$1,930,240	\$1,930,240		
PGRM	NY-SUN	162265	Competitive	Y	EDF Renewables Distributed Solutions Inc	NY Sun	12/18/2020	\$1,963,416	\$1,963,416		
PGRM	NY-SUN	162266	Competitive	Y	Eiger 3970 Consultants Inc.	NY Sun	12/18/2020	\$925,271	\$925,271		
PGRM	NY-SUN	162504	Competitive	Y	Hidden Meadows Solar, LLC	NY-Sun C&I	12/23/2020	\$2,173,156	\$2,173,156		
PGRM	NY-SUN	163093	Competitive	Y	FORK IN THE ROAD SOLAR LLC	NY Sun	1/8/2021	\$1,190,943	\$1,190,943		
PGRM	NY-SUN	163094	Competitive	Y	GSPP 641 Ferris Road East, LLC	NY Sun	1/8/2021	\$1,833,960	\$1,833,960		
PGRM	NY-SUN	163095	Competitive	Y	C2 NY Sentinel Heights Solar, LLC	NY Sun	1/8/2021	\$1,549,454	\$1,549,454		
PGRM	NY-SUN	163096	Competitive	Y	TJA-NY-10761 Buck Rd Remsen, LLC	NY Sun	1/8/2021	\$1,421,093	\$1,421,093		
PGRM	NY-SUN	163097	Competitive	Y	Hubbs Road Solar, LLC	NY Sun	1/8/2021	\$1,782,816	\$1,782,816		
PGRM	NY-SUN	163098	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	1/8/2021	\$2,152,859	\$2,152,859		
PGRM	NY-SUN	163099	Competitive	Y	SSC Cicero LLC	NY Sun	1/8/2021	\$2,152,624	\$2,152,624		
PGRM	NY-SUN	163100	Competitive	Y	Dimension Energy LLC	NY Sun	1/8/2021	\$2,030,006	\$2,030,006		
PGRM	NY-SUN	163105	Competitive	Y	C2 Omega LLC	NY Sun	1/11/2021	\$2,096,358	\$2,096,358		
PGRM	NY-SUN	163106	Competitive	Y	Locust Solar, LLC	NY Sun	1/11/2021	\$1,943,171	\$1,943,171		
PGRM	NY-SUN	163107	Competitive	Y	Machias PV, LLC	NY Sun	1/11/2021	\$807,534	\$807,534		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	NY-SUN	163109	Competitive	Y	Pamelia Solar, LLC	NY Sun	1/11/2021	\$2,009,335	\$2,009,335		
PGRM	NY-SUN	163110	Competitive	Y	Hanover PV, LLC	NY Sun	1/11/2021	\$1,900,080	\$1,900,080		
PGRM	NY-SUN	163675	Competitive	Y	Community Energy Solar, LLC	NY Sun	1/25/2021	\$1,755,000	\$1,755,000		
PGRM	NY-SUN	163676	Competitive	Y	TJA-NY- 11202 Ridge Rd Medina, LLC	NY Sun	1/25/2021	\$1,922,638	\$1,922,638		
PGRM	NY-SUN	163677	Competitive	Y	DG New York CS, LLC	NY Sun	1/25/2021	\$2,293,352	\$2,293,352		
PGRM	NY-SUN	163678	Competitive	Y	ELP Kipp Solar LLC	NY Sun	1/25/2021	\$1,450,116	\$1,450,116		
PGRM	NY-SUN	163679	Competitive	Y	Whaling Solar, LLC	NY Sun	1/25/2021	\$1,305,183	\$1,305,183		
PGRM	NY-SUN	163680	Competitive	Y	TJA-NY-11074 Ridge Rd Medina, LLC	NY Sun	1/25/2021	\$1,131,133	\$1,131,133		
PGRM	NY-SUN	163681	Competitive	Y	Solitude Solar LLC	NY Sun	1/25/2021	\$1,194,102	\$1,194,102		
PGRM	NY-SUN	163682	Competitive	Y	Wildcat Renewables, LLC	NY Sun	1/25/2021	\$1,325,552	\$1,325,552		
PGRM	NY-SUN	163683	Competitive	Y	Yellow 3 LLC	NY Sun	1/25/2021	\$1,198,930	\$1,198,930		
PGRM	NY-SUN	164251	Competitive	Y	EF NY CDG 010 LLC	NY Sun	2/8/2021	\$1,566,000	\$1,566,000		
PGRM	NY-SUN	164254	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	2/8/2021	\$1,546,646	\$1,546,646		
PGRM	NY-SUN	164255	Competitive	Y	Amherst Community Solar LLC	NY Sun	2/8/2021	\$1,772,453	\$1,772,453		
PGRM	NY-SUN	164712	Competitive	Y	Sustainable Westchester	NY-SUN	2/22/2021	\$200,000	\$200,000	\$5,000	\$5,000
PGRM	NY-SUN	164845	Competitive	Y	Eiger 3970 Consultants Inc.	NY-SUN	2/24/2021	\$277,020	\$277,020		
PGRM	NY-SUN	164852	Competitive	Y	EF NY CDG 011 LLC	NY Sun	2/24/2021	\$2,025,000	\$2,025,000		
PGRM	NY-SUN	164854	Competitive	Y	GSPP Baxter 1, LLC	NY Sun	2/24/2021	\$2,507,445	\$2,507,445		
PGRM	NY-SUN	164855	Competitive	Y	Eureka North Solar, LLC	NY Sun	2/24/2021	\$1,350,540	\$1,350,540		
PGRM	NY-SUN	164856	Competitive	Y	Eureka South Solar, LLC	NY Sun	2/24/2021	\$2,025,000	\$2,025,000		
PGRM	NY-SUN	164857	Competitive	Y	OYA State Route 5 LLC	NY Sun	2/24/2021	\$1,355,566	\$1,355,566		
PGRM	NY-SUN	164863	Competitive	Y	GSPP Baxter 2, LLC	NY Sun	2/24/2021	\$1,830,271	\$1,830,271		
PGRM	NY-SUN	164867	Competitive	Y	West Shore Solar	NY Sun	2/24/2021	\$1,596,672	\$1,596,672		
PGRM	NY-SUN	165414	Competitive	Y	East Light Partners PBC	NY Sun	3/10/2021	\$2,173,608	\$2,173,608		
PGRM	NY-SUN	165415	Competitive	Y	Distributed Solar Development, LLC	NY Sun	3/10/2021	\$1,755,923	\$1,755,923		
PGRM	NY-SUN	165579	Competitive	Y	FFP NY Goshen Project2, LLC	NY Sun	3/15/2021	\$1,858,545	\$1,858,545		
PGRM	NY-SUN	131209A	Competitive	Y	AES DE RS XI, LLC.	NY-Sun C&I	4/3/2020	\$5,040,000	\$5,040,000		
PGRM	NY-SUN	152449A	Competitive	N	Cairo NY 1, LLC	NY-Sun C&I	1/22/2021	\$1,373,439	\$1,373,439		
PGRM	NY-SUN	153343A	Competitive	Y	Nextera Energy Capital Holdings Inc	NY-Sun C&I	10/21/2020	\$2,922,520	\$2,922,520		
PGRM	NY-SUN	153344A	Competitive	Y	Nextera Energy Capital Holdings Inc	NY-Sun C&I	10/22/2020	\$2,922,520	\$2,922,520		
PGRM	NY-SUN	153539A	Competitive	Y	GSPP 24658 County Route 47 South, LLC	NY-Sun C&I	9/15/2020	\$511,056	\$511,056		
PGRM	NY-SUN	153792A	Competitive	Y	GSPP 7024 Fox Rd, LLC	NY Sun	1/19/2021	\$2,866,172	\$2,866,172		
PGRM	NY-SUN	156742A	Competitive	Y	NY Benton I, LLC	NY Sun	2/25/2021	\$2,275,258	\$2,275,258		
PGRM	NY-SUN	156745A	Competitive	Y	GSPP 126 Little Bow Rd N, LLC	NY Sun	2/2/2021	\$2,124,940	\$2,124,940		
PGRM	NY-SUN	156746A	Competitive	Y	GSPP 126 Little Bow Rd S, LLC	NY Sun	2/4/2021	\$2,174,201	\$2,174,201		
PGRM	NY-SUN	157673A	Competitive	Y	Portville CSG 1, LLC	NY-Sun C&I	12/7/2020	\$1,970,654	\$1,970,654		
PGRM	NY-SUN	159695A	Competitive	Y	NY Owego II, LLC	NY Sun	2/25/2021	\$2,137,363	\$2,137,363		
PGRM	NY-SUN	163098A	Competitive	Y	Claverack Solar 1, LLC	NY Sun	3/17/2021	\$2,152,859	\$2,152,859		
PGRM	NY-SUN	33493A	Competitive	Y	Nextera Energy Capital Holdings Inc	PV System - Oneida County Sutl	3/31/2015	\$1,653,538	\$1,653,538	\$384,526	\$1,653,538
PGRM	NY-SUN	33494A	Competitive	Y	Nextera Energy Capital Holdings Inc	PV System @ Oneida County Sutl	3/31/2015	\$2,166,250	\$2,166,250	\$517,079	\$2,154,721
PGRM	NY-SUN	37876A	Competitive	Y	Nextera Energy Capital Holdings Inc	NY Sun	6/2/2015	\$1,770,615	\$1,770,615	\$427,379	\$1,410,205
PGRM	NY-SUN	37891A	Competitive	N	The Trust Account of Marc Elefant, PC	Assignment of payment	4/18/2017	\$133,034			
PGRM	NY-SUN	41220A	Competitive	Y	ESNY-303, LLC	PV System @ Kinder Morgan Term	1/3/2018	\$2,499,575	\$2,499,575	\$666,049	\$1,867,397
PGRM	NY-SUN	41231B	Competitive	Y	OnForce Solar	PV System @ Manhattan Beer Dis	1/28/2020	\$144,203	\$63,737	\$127,942	
PGRM	NY-SUN	41810A	Competitive	Y	BQ Energy, LLC	Town of Esopus Landfill	7/7/2016	\$607,462	\$99,054	\$607,462	
PGRM	NY-SUN	69600A	Competitive	Y	Syncarpha Whitehall LLC	NY-SUN	11/18/2016	\$865,139		\$860,198	
PGRM	NY-SUN	ST9861-1	Competitive	Y	Putnam Valley, Town of	PV System for Putnam Valley Fi	7/3/2009	\$25,500			
PGRM	Off-Shore Wind	143048	Non-Competitive	Y	NYS Office of Parks, Recreation and	MOU	7/30/2019	\$5,000,000			\$3,000,000
PGRM	Off-shore wind	147505	Competitive	Y	Cornell University	Reducing LCoE from OSW	11/13/2019	\$299,997	\$299,997		
PGRM	Off-Shore Wind Pre-Dev Act	111670	Competitive	Y	BTMI Engineering, PC	RFP3462 Umbrella Agreement	3/17/2017	\$936,200		\$28,699	\$932,743
PGRM	Off-Shore Wind Pre-Dev Act	111670	Non-Competitive	Y	BTMI Engineering, PC	RFP3462 Umbrella Agreement	3/17/2017				
PGRM	Off-Shore Wind Pre-Dev Act	111761	Competitive	Y	ESS Group, Inc.	RFP3462 Umbrella Agreement	3/20/2017	\$100,000		\$2,195	\$65,231
PGRM	Off-Shore Wind Pre-Dev Act	130154	Competitive	Y	Ocean Tech Services LLC	RFP 3855 Meteocean Cat. 1: FLSS	8/15/2018	\$4,244,099		\$805,241	\$2,887,734
PGRM	Off-Shore Wind Pre-Dev Act	150709	Discretionary	N	ESS Group, Inc.	TWO3 GG Review	1/29/2020	\$13,104			\$8,525
PGRM	Off-Shore Wind Pre-Dev Act	130227-1	Competitive	Y	DNV Energy Insights USA Inc.	RFP3855 Cat2 DMAC Float. LiDar	1/18/2019	\$363,700		\$89,800	\$212,300
PGRM	On-Site Energy Manager	125433	Competitive	Y	Byrne Dairy, Inc.	OsEM2-I - Byrne Dairy-Ultra	4/9/2018	\$213,785			\$198,761
PGRM	On-Site Energy Manager	125954	Competitive	Y	Huron Real Estate Associates, LLC	OsEM5-I Huron Campus	4/19/2018	\$153,431		\$65,268	\$112,303
PGRM	On-Site Energy Manager	129286	Competitive	Y	Interface Solutions, Inc.	OsEM9-I-Interface Performance	7/25/2018	\$214,000		\$46,697	\$200,000
PGRM	On-Site Energy Manager	134905	Competitive	Y	Greenpac Mill, LLC	OsEM15 - Greenpac Mill	12/7/2018	\$166,018			\$102,522
PGRM	On-Site Energy Manager	136752	Competitive	Y	Corning Inc.	OsEM14-I-Erwin Manufacturing	2/1/2019	\$213,498		\$11,795	\$109,057
PGRM	On-Site Energy Manager	136787	Competitive	Y	Rochester District Heating Cooperative	OsEM20-I-Rochester District	2/4/2019	\$211,358		\$107,415	\$193,765
PGRM	On-Site Energy Manager	136790	Competitive	Y	General Electric Co. Global Research	OsEM23- GE Global Research Cen	2/4/2019	\$214,000		\$122,256	\$181,987
PGRM	On-Site Energy Manager	142226	Competitive	Y	Byrne Dairy, Inc.	OsEM31-I - Byrne Dairy	7/8/2019	\$155,821		\$69,955	\$136,302
PGRM	On-Site Energy Manager	142719	Competitive	Y	Jones Lang LaSalle Americas, Inc.	OsEM29-I - IBM Poughkeepsie	7/19/2019	\$214,000		\$123,407	\$176,989
PGRM	On-Site Energy Manager	147507	Competitive	Y	Beech-Nut Nutrition Company	OsEM37-I - Beech-Nut Nutrition	11/13/2019	\$213,908		\$102,327	\$109,974
PGRM	On-Site Energy Manager	148890	Competitive	Y	Anheuser-Busch InBev Worldwide Inc	OsEM39-I Anheuser-Busch InBev	12/12/2019	\$140,450			
PGRM	On-Site Energy Manager	151907	Competitive	Y	Fresenius KabiUSA, LLC	OsEM44-I Fresenius KabiUSA	2/27/2020	\$208,955		\$25,164	\$25,164
PGRM	On-Site Energy Manager	153432	Competitive	Y	3M Company	OsEM46-I - 3M Tonawanda	4/13/2020	\$201,200			
PGRM	On-Site Energy Manager	154364	Competitive	Y	Hollingsworth & Vose Company	OsEM49-I Hollingsworth & Vose	5/22/2020	\$184,076		\$26,082	\$26,082
PGRM	On-Site Energy Manager	155193	Competitive	Y	4455 Genesee St. LLC	OsEM54-I Calspan Corporation	6/25/2020	\$214,000			
PGRM	On-Site Energy Manager	155909	Competitive	Y	Ithaca Neighborhood Housing Services, In	OsEM45-M - INHS	7/17/2020	\$110,000		\$11,087	\$11,087
PGRM	On-Site Energy Manager	158670	Competitive	Y	International Business Machines	OsEM57-I IBM Sterling Forest	9/23/2020	\$211,051			
PGRM	On-Site Energy Manager	158804	Competitive	Y	Revere Smelting & Refining Corp.	OsEM58-I Revere Smelting	9/25/2020	\$214,000		\$7,800	\$7,800
PGRM	On-Site Energy Manager	159896	Competitive	Y	Jones Lang LaSalle Americas, Inc.	OsEM61-I - IBM Yorktown	10/22/2020	\$214,000		\$3,300	\$3,300
PGRM	On-Site Energy Manager	163975	Competitive	Y	Riseboro Community Partnership, Inc.	OsEM67-M-RiseBoro Community	2/2/2021	\$178,430			
PGRM	On-Site Energy Manager	165412	Competitive	Y	Dunn Paper	OsEM73-I - Dunn Paper	3/10/2021	\$209,993			
PGRM	On-Site Energy Manager	138286-1	Competitive	N	Jones Lang LaSalle Americas, Inc.	OsEM25-I - IBM North Castle	7/30/2019	\$205,448		\$77,765	\$155,533
PGRM	On-Site Energy Manager	158670-1	Competitive	Y	CBRE, Inc.	OsEM57-I IBM Sterling Forest	2/19/2021	\$211,051		\$15,000	\$15,000
PGRM	OREC: Technical Support	124951	Competitive	Y	Harris Wiltshire & Grannis LLP	RFP3776 Outside Counsel Servic	3/28/2018	\$250,000			\$250,000
PGRM	OREC: Technical Support	143568	Competitive	Y	BTMI Engineering, PC	OREC: Technical Support	8/9/2019	\$35,000		\$18,430	\$35,000
PGRM	OREC: Technical Support	143568	Non-Competitive	Y	BTMI Engineering, PC	OREC: Technical Support	8/9/2019	\$20,000		\$30,000	\$16,811

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date							
PGRM	OREC: Technical Support	144205	Discretionary	N	JR Language Translation Services, Inc.	OSW Translation Services	8/28/2019		\$50,000			\$15,620							
PGRM	OREC: Technical Support	147827	Competitive	Y	Dilernia Marine Services, Inc.	OSW Fisheries Liaison	11/20/2019		\$300,000		\$107,133	\$129,177							
PGRM	OREC: Technical Support	151296	Competitive	Y	The Renewables Consulting Group, LLC	OREC: Technical Support	2/12/2020		\$133,631		\$20,044	\$133,630							
PGRM	OREC: Technical Support	152267	Competitive	N	Levitant & Associates, Inc.	OREC: Technical Support	3/9/2020		\$821,000		\$295,883	\$295,883							
PGRM	OREC: Technical Support	154720	Discretionary	N	Karp Strategies, LLC	Karp Strategies, Offshore Wind	6/9/2020	\$255,000	\$255,000		\$148,700	\$148,700							
PGRM	OREC: Technical Support	154960	Competitive	N	The Renewables Consulting Group, LLC	TWO#3- OSW-Mariners	6/16/2020	\$130,000	\$130,000		\$129,857	\$129,857							
PGRM	OREC: Technical Support	158218	Competitive	Y	Kearns & West, Inc.	TWO 2 Facilitation ORECRFP20	9/15/2020	\$50,000	\$50,000		\$43,964	\$43,964							
PGRM	OREC: Technical Support	158220	Competitive	Y	The Renewables Consulting Group, LLC	IE ORECRFP20-1	9/15/2020	\$70,000	\$70,000		\$70,000	\$70,000							
PGRM	OREC: Technical Support	158221	Competitive	Y	Power Advisory LLC	IE ORECRFP20-1	9/15/2020	\$65,000	\$65,000										
PGRM	OREC: Technical Support	158223	Competitive	Y	BVG Associates LLC	IE ORECRFP20-1	9/15/2020	\$65,000	\$65,000		\$65,000	\$65,000							
PGRM	OREC: Technical Support	159547	Discretionary	N	Buddy Jason Folsom	ORECRFP20 Specialist Reviewer	10/14/2020	\$8,000	\$8,000										
PGRM	OREC: Technical Support	159903	Competitive	N	Ecology and Environment Engineering	E&E TWO 21	10/22/2020	\$261,350	\$261,350										
PGRM	OREC: Technical Support	162589	Competitive	Y	Alliance for Sustainable Energy, LLC	TWO 5 - NREL NY Great Lakes	12/28/2020	\$550,000	\$550,000		\$250,000	\$250,000							
PGRM	OREC: Technical Support	163474	Competitive	Y	Worley Group, Inc.	TWO 2 Buyer's Eng Support Se	1/19/2021	\$150,000	\$150,000										
PGRM	OREC: Technical Support	163475	Competitive	Y	BTMI Engineering, PC	State's Engineer for Port Inve	1/19/2021	\$65,000	\$65,000										
PGRM	OREC: Technical Support	163855	Competitive	N	Worley Group, Inc.	TWO#1 Advisian GL Wind Study	1/28/2021	\$331,096	\$331,096										
PGRM	OREC: Technical Support	163973	Competitive	Y	Ecology and Environment Engineering	TWO #22 Coastal Cable Study	2/1/2021	\$249,720	\$249,720										
PGRM	OREC: Technical Support	163974	Discretionary	Y	The Renewables Consulting Group, LLC	OSW Strategic Outlook	2/1/2021	\$49,900	\$49,900										
PGRM	OREC: Technical Support	165814	Competitive	N	Tetra Tech, Inc.	TWO #2- Cable Burial	3/18/2021	\$49,870	\$49,870										
PGRM	OREC: Technical Support	111619A	Competitive	Y	The Cadmus Group, LLC	RFP 3462 Umbrella Agreement	8/23/2018	\$300,140	\$850,140		\$180,617	\$564,355							
PGRM	OREC: OffShore Wind-1st solicit	145651	Competitive	Y	Equinor Wind US, LLC	OREC: OffShore Wind-1st solicit	10/2/2019		\$3,600,000,000										
PGRM	OREC: OffShore Wind-1st solicit	145652	Competitive	Y	Sunrise Wind LLC	OREC: OffShore Wind-1st solicit	10/2/2019		\$2,320,000,000										
PGRM	ORES Support	152393	Competitive	Y	Sive Paget & Riesel PC	ORES Support	3/11/2020		\$500,000		\$376,396	\$376,396							
PGRM	ORES Support	156341	Competitive	Y	Tetra Tech, Inc.	ORES Technical Assistance	7/29/2020	\$1,000,000	\$1,000,000		\$481,584	\$481,584							
PGRM	ORES Support	156343	Competitive	Y	Arcadis of New York, Inc.	ORES Technical Assistance	7/29/2020	\$1,000,000	\$1,000,000		\$107,905	\$107,905							
PGRM	OTHER PROGRAM AREA	18785	Competitive	Y	Verdant Power, Inc.	Environmentally Preferred Powe	4/28/2010		\$1,300,000		\$585,000	\$1,165,000							
PGRM	OTHER PROGRAM AREA	30719	Competitive	Y	Broome County	Regional Economic Development	12/21/2012		\$350,000		\$44,600	\$350,000							
PGRM	OTHER PROGRAM AREA	38779	Competitive	Y	City Of New York	Newtown Creek WWTP food waste	1/6/2014		\$220,336		\$35,390	\$164,824							
PGRM	OTHER PROGRAM AREA	91457	Discretionary	Y	Atlas Public Policy	EvaluateNY support	2/25/2016		\$39,714		\$2,999	\$39,554							
PGRM	OTHER PROGRAM AREA	106303	Discretionary	N	Lewis Engineering, P.C.	OTHER PROGRAM AREA	11/4/2016		\$13,150			\$9,650							
PGRM	OTHER PROGRAM AREA	108076	Competitive	Y	Battery Energy Storage System	RFQ13101 76W Clean Energy Comp	12/14/2016		\$250,000			\$125,000							
PGRM	OTHER PROGRAM AREA	109293	Competitive	Y	Town of Huntington	RFP 3044 R2	1/23/2017		\$1,000,000		\$545,000	\$1,000,000							
PGRM	OTHER PROGRAM AREA	109510	Competitive	Y	Stonework Capital LLC	RFP3267 Innovation Advis Pilot	1/30/2017		\$175,000			\$175,000							
PGRM	OTHER PROGRAM AREA	109510	Non-Competitive	Y	Stonework Capital LLC	RFP3267 Innovation Advis Pilot	1/30/2017		\$175,000		\$30,456	\$171,916							
PGRM	OTHER PROGRAM AREA	110960	Non-Competitive	Y	Brookhaven National Lab	HEX Beam Line	3/2/2017		\$25,000,000		\$7,123,193	\$25,000,000							
PGRM	OTHER PROGRAM AREA	114385	Competitive	Y	Mohawk Innovative Technology, Inc.	PON 3249 ACE	6/1/2017		\$322,566		\$72,566	\$322,566							
PGRM	OTHER PROGRAM AREA	114431	Competitive	Y	University of Maryland	DOE UER - Maryland	6/2/2017		\$48,000			\$47,695							
PGRM	OTHER PROGRAM AREA	114432	Competitive	Y	Metropolitan Washington Council	DOE UER - MWCOG	6/2/2017		\$48,000		\$2,141	\$47,953							
PGRM	OTHER PROGRAM AREA	115213	Competitive	Y	The Trustees of Columbia University	PON 3249 ACE Electrofuels	6/27/2017		\$935,030		\$243,046	\$719,212							
PGRM	OTHER PROGRAM AREA	119089	Competitive	Y	BioEnergySP, Inc.	OTHER PROGRAM AREA	10/5/2017		\$250,000			\$225,000							
PGRM	OTHER PROGRAM AREA	119232	Competitive	Y	EthosGen, LLC	OTHER PROGRAM AREA	10/11/2017		\$250,000		\$50,000	\$250,000							
PGRM	OTHER PROGRAM AREA	119399	Competitive	Y	Molecular Glasses, Inc.	OTHER PROGRAM AREA	10/19/2017		\$400,000		\$35,000	\$400,000							
PGRM	OTHER PROGRAM AREA	119772	Competitive	Y	Integrated Solar Technology, LLC	OTHER PROGRAM AREA	10/25/2017		\$500,000		\$175,000	\$450,000							
PGRM	OTHER PROGRAM AREA	127346	Competitive	Y	Rensselaer Polytechnic Institute	PON 3249	5/29/2018		\$500,043		\$150,000	\$150,000							
PGRM	OTHER PROGRAM AREA	131210	Competitive	N	Elisa Miller-Out	RFP 3859	9/12/2018		\$300,000		\$150,000	\$300,000							
PGRM	OTHER PROGRAM AREA	131211	Competitive	N	Petrecky Consulting LLC	RFP 3859	9/12/2018		\$125,000		\$22,275	\$35,175							
PGRM	OTHER PROGRAM AREA	131262	Competitive	N	Abakama LLC	Innovation Advisory Services	9/13/2018		\$150,000		\$15,494	\$144,701							
PGRM	OTHER PROGRAM AREA	131264	Competitive	N	Build Edison LLC	Innovation Advisors	9/13/2018	\$48,000	\$213,000		\$62,550	\$212,784							
PGRM	OTHER PROGRAM AREA	131510	Competitive	N	Channel Capital LLC	Innovation Advisor	9/19/2018		\$125,000		\$22,034	\$114,654							
PGRM	OTHER PROGRAM AREA	131515	Competitive	N	Jason Salfi	Innovation Advisory Services	9/19/2018		\$150,000		\$13,688	\$149,397							
PGRM	OTHER PROGRAM AREA	133598	Competitive	N	Clarkson University	PON3249 Additive Manufacturing	11/6/2018		\$250,000			\$150,000							
PGRM	OTHER PROGRAM AREA	134171	Competitive	N	LC DRives	PON 3249 Advanced Clean Energy	11/19/2018		\$500,000		\$47,644	\$500,000							
PGRM	OTHER PROGRAM AREA	134311	Competitive	Y	OnRout Co.	Dynamic Pricing for Parcels	11/21/2018		\$250,000		\$31,820	\$113,309							
PGRM	OTHER PROGRAM AREA	136173	Competitive	Y	United Way of Long Island	OTHER PROGRAM AREA	1/16/2019		\$595,000										
PGRM	OTHER PROGRAM AREA	137041	Competitive	Y	CrystalCreek Organics Inc.	Trial to enhance anaerobic dig	2/11/2019		\$598,000		\$58,500	\$344,000							
PGRM	OTHER PROGRAM AREA	138999	Discretionary	N	The Brookings Institution	Carbon Management Forum	4/9/2019		\$50,000										
PGRM	OTHER PROGRAM AREA	142341	Competitive	Y	Columbia University	OTHER PROGRAM AREA	7/10/2019		\$649,281		\$66,205	\$66,205							
PGRM	OTHER PROGRAM AREA	143627	Competitive	N	Cornell University	70333 TWO 30	8/12/2019		\$18,751		\$167	\$13,273							
PGRM	OTHER PROGRAM AREA	147958	Competitive	Y	Clarkson University	PON 3249	11/22/2019	\$250,000	\$250,000										
PGRM	OTHER PROGRAM AREA	148947	Competitive	Y	State University of New York	PON 3249	12/16/2019	\$346,000	\$346,000		\$70,000	\$70,000							
PGRM	OTHER PROGRAM AREA	153573	Non-Competitive	N	Yue Chen	Innovation Strategies	4/17/2020	\$49,000	\$49,000		\$49,000	\$49,000							
PGRM	OTHER PROGRAM AREA	155146	Non-Competitive	Y	Boice Dunham Group Inc	Product Development	6/24/2020	\$46,000	\$46,000		\$46,000	\$46,000							
PGRM	OTHER PROGRAM AREA	155597	Competitive	N	Cornell University	70333 TWO 33	7/9/2020	\$268,865	\$268,865										
PGRM	OTHER PROGRAM AREA	157178	Discretionary	N	Khawaja Ali Zubair	Consulting agreement	8/19/2020	\$25,000	\$25,000		\$900	\$900							
PGRM	OTHER PROGRAM AREA	158671	Non-Competitive	N	Aequita Consulting LLC	Resilience Consultancy with NY	9/23/2020	\$218,000	\$218,000		\$94,160	\$94,160							
PGRM	OTHER PROGRAM AREA	160486	Competitive	N	Industrial Economics, Incorporated	Market Evaluation Dev. Study	11/4/2020	\$367,136	\$367,136		\$9,619	\$9,619							
PGRM	OTHER PROGRAM AREA	161364	Discretionary	Y	Clean Energy Leadership Institute	Sponsorship	11/25/2020	\$50,000	\$50,000										
PGRM	OTHER PROGRAM AREA	162012	Competitive	Y	Arch Street Communications, Inc.	RFP4459 Marketing Support	12/14/2020	\$500,000	\$500,000		\$8,931	\$8,931							
PGRM	OTHER PROGRAM AREA	163728	Competitive	Y	COI Energy Services Inc	76 West	1/26/2021	\$500,000	\$500,000										
PGRM	OTHER PROGRAM AREA	163766	Discretionary	N	DIBD NYC Inc	Circular City Week 2021	1/27/2021	\$10,000	\$10,000										
PGRM	OTHER PROGRAM AREA	10598-A	Competitive	Y	Research Foundation of The City	OTHER PROGRAM AREA	6/2/2010		\$760,389			\$68,500							
PGRM	OTHER PROGRAM AREA	131262A	Competitive	N	Abakama LLC	Innovation Advisory Services	5/15/2020	\$9,205	\$9,205		\$9,188	\$9,188							
PGRM	Pay for Performance	37381	Competitive	Y	Vermont Energy Investment Corporation	Umbrella Contract	11/15/2013		\$1,017,711		\$71,130	\$837,373							
PGRM	Pay for Performance	85027	Competitive	N	Unique Comp Inc.	RFP3143 Umbrella Agreement	12/18/2015		\$221,491		\$108,900	\$141,786							
PGRM	Pay for Performance	132621A	Competitive	Y	Recurve Analytics, Inc.	RFP 3901 P4P-AMV Platform	6/27/2019		\$1,848,836		\$267,121	\$448,460							
PGRM	Pay for Performance	132621A	Non-Competitive	Y	Recurve Analytics, Inc.	RFP 3901 P4P-AMV Platform	6/27/2019	\$50,000	\$50,000		\$0	\$0							
PGRM	POLICY DEVELOPMENT	134311	Non-Competitive	Y	OnRout Co.	Dynamic Pricing for Parcels	11/21/2018												
PGRM	POLICY DEVELOPMENT	147503	Competitive	Y	Research Foundation of SUNY	Computational control codesign	11/13/2019	\$1,085,121	\$1,085,121										
PGRM	Product Standards	115796	Competitive	Y	Cohen Ventures Inc	FlexTech Consultant Selection	7/13/2017		\$150,000			\$133,013							
PGRM	Product Standards	160702	Competitive	N	Cohen Ventures Inc	TWO2 Emissions Appliance Stand	11/10/2020	\$250,000	\$2										

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2020 to 3/31/2021

Category	Initiative	Term > 1					Committed this		Amt Paid this		
		Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date
PGRM	Prof & Expert Engagement	153237	Discretionary	Y	Center for Energy Efficiency and Renewab	CEERT Membership	4/6/2020	\$5,000	\$5,000		\$5,000
PGRM	Prof & Expert Engagement	153327	Discretionary	N	NASEO	Prof & Expert Engagement	4/8/2020	\$15,675	\$15,675		\$15,675
PGRM	Prof & Expert Engagement	153826	Discretionary	N	Northeast Energy Efficiency Partnerships	2020 NEEP Sponsorship	4/30/2020	\$175,000	\$175,000		\$175,000
PGRM	Prof & Expert Engagement	154052	Discretionary	Y	Upstate Capital Association of New York	Sponsorship of Upstate Capital	5/7/2020	\$40,000	\$40,000		\$40,000
PGRM	Prof & Expert Engagement	154053	Discretionary	N	Upstate Venture Connect	Sponsorship of Upstate Venture	5/7/2020	\$25,000	\$25,000		\$25,000
PGRM	Pub Transit & Elect Rail	123743	Competitive	Y	Autronic Plastics, Inc.	Wireless Transit Lighting	2/22/2018		\$650,000		\$625,000
PGRM	Pub Transit & Elect Rail	138103	Competitive	Y	Dayton T. Brown, Inc.	Subway energy use analysis	3/15/2019		\$198,195		\$97,562
PGRM	Pub Transit & Elect Rail	138104	Competitive	Y	The RF of SUNY Binghamton University	XFC onboard charger	3/15/2019		\$175,000		
PGRM	Pub Transit & Elect Rail	138105	Competitive	N	Ulster, County of	Bus fleet electrification plan	3/15/2019		\$40,000		
PGRM	Pub Transit & Elect Rail	138110	Competitive	Y	Sentient Science Corporation	DigitalClone for rail	3/18/2019		\$610,460		\$292,256
PGRM	Pub Transit & Elect Rail	138329	Competitive	N	Martin J. Wolff	Magnetic rail propulsion study	3/21/2019		\$125,000		\$103,545
PGRM	Pub Transit & Elect Rail	138358	Competitive	Y	Tompkins Consolidated Area Transit	First/Last Mile in Tompkins Co	3/25/2019		\$258,882		\$65,437
PGRM	Pub Transit & Elect Rail	138903	Competitive	Y	Wayside Energy Storage New York, LLC	Beacon Flywheel WESS 7-Line	4/5/2019	\$1,000,000	\$1,000,000		\$0
PGRM	Pub Transit & Elect Rail	141571	Competitive	N	Remix Software, Inc.	Bus route planning software	6/19/2019		\$228,925		\$106,250
PGRM	Pub Transit & Elect Rail	148968	Competitive	Y	Brooklyn Greenway Initiative, Inc.	Tech-based bike-transit study	12/17/2019	\$424,155	\$424,155		\$92,809
PGRM	Pub Transit & Elect Rail	149440	Competitive	Y	Light Green Machines LLC	Lightweight bus chassis develo	12/23/2019	\$248,950	\$248,950		\$54,052
PGRM	Pub Transit & Elect Rail	149794	Competitive	Y	Mobileware Inc	On-demand commuter carpooling	1/3/2020	\$425,000	\$425,000		\$95,682
PGRM	Pub Transit & Elect Rail	152274	Competitive	Y	Dollaride, Inc.	Tech-enabled dollar vans	3/9/2020	\$450,000	\$450,000		\$85,490
PGRM	Pub Transit & Elect Rail	154585	Competitive	N	Rocky Mountain Institute	Pub Transit & Elect Rail	6/2/2020	\$195,983	\$195,983		
PGRM	Pub Transit & Elect Rail	157463	Competitive	N	curbFlow Inc	Terminal Frontage Management	8/27/2020	\$96,103	\$96,103		\$72,110
PGRM	Pub Transit & Elect Rail	157465	Competitive	N	CTY, Inc	Data analytics for bus network	8/27/2020	\$460,755	\$460,755		
PGRM	Pub Transit & Elect Rail	157635	Competitive	N	Okeene Digital	Transit accessibility beacons	9/1/2020	\$198,559	\$198,559		
PGRM	Pub Transit & Elect Rail	161437	Competitive	Y	New York Power Authority,	NYP&A transit bus study MOU	12/1/2020	\$525,000	\$525,000		
PGRM	Real Time Enrgy Management	37347	Competitive	Y	Willdan Energy Solutions	Technical Review and Program S	11/15/2013		\$2,215,490		\$43,633
PGRM	Real Time Enrgy Management	107459	Competitive	Y	Utilities Program & Metering, Inc.	NYU Medical Center - MS - RTE	12/1/2016		\$73,260		\$55,020
PGRM	Real Time Enrgy Management	108080	Competitive	Y	Utilities Program & Metering, Inc.	Josie Robinson Surgery - RTE	12/15/2016		\$51,602		\$7,611
PGRM	Real Time Enrgy Management	108085	Competitive	Y	Trane	310 Prospect Park West - RTE	12/15/2016		\$26,036		\$6,019
PGRM	Real Time Enrgy Management	108102	Competitive	Y	Enervit Inc.	Eric Mausukopf-255E, 74th-RTE	12/16/2016		\$11,305		\$10,585
PGRM	Real Time Enrgy Management	109647	Competitive	Y	R3 Energy Management Audit & Review, LLC	P Clabby-110 East 59th St-RTE	2/1/2017		\$30,510		\$5,400
PGRM	Real Time Enrgy Management	110643	Competitive	Y	Trane	CtrSynagogue-652Lexington-RTE	2/23/2017		\$65,214		\$41,664
PGRM	Real Time Enrgy Management	111308	Competitive	Y	Albireo Energy, LLC	1700 LH - 1700 Broadway - RTE	3/9/2017		\$13,500		\$3,750
PGRM	Real Time Enrgy Management	111946	Competitive	Y	R3 Energy Management Audit & Review, LLC	Resnick&Sons-485MadisonAv-RTE	3/23/2017		\$32,010		\$5,400
PGRM	Real Time Enrgy Management	112004	Competitive	Y	R3 Energy Management Audit & Review, LLC	SteinbergPokoik-1430Brdw-RTE	3/24/2017	\$18,000	\$39,296		\$21,296
PGRM	Real Time Enrgy Management	113594	Competitive	Y	Trane	SimonePropDev-3010West-RTE	5/9/2017		\$100,600		\$92,066
PGRM	Real Time Enrgy Management	113870	Competitive	Y	Trane	YaleClub-50Vanderbilt Ave-RTE	5/18/2017		\$125,527		\$75,000
PGRM	Real Time Enrgy Management	114063	Competitive	Y	Trane	Kaplan School-131 W 56th-RTE	5/24/2017	\$243,060	\$300,000		\$40,860
PGRM	Real Time Enrgy Management	114434	Competitive	Y	Powerhouse Dynamics, Inc	TexasRoadhouse-MultiSites-RTE	6/2/2017		\$27,356		\$26,574
PGRM	Real Time Enrgy Management	115322	Competitive	Y	Albireo Energy, LLC	1 Dag Hammaraskjold Plaza-RTE	6/28/2017		\$78,120		\$3,750
PGRM	Real Time Enrgy Management	115534	Competitive	Y	Albireo Energy, LLC	Signature Theatre - RTE	7/7/2017		\$23,235		\$15,225
PGRM	Real Time Enrgy Management	115670	Competitive	Y	Albireo Energy, LLC	Cushman/Wakefield-RTE	7/12/2017		\$93,023		\$6,008
PGRM	Real Time Enrgy Management	115686	Competitive	Y	Trane	Hudson City Schools-RTE	7/12/2017	\$14,260	\$177,972		\$27,972
PGRM	Real Time Enrgy Management	116079	Competitive	Y	Utilities Program & Metering, Inc.	Hines - 1251 6th Ave - RTE	7/20/2017		\$76,445		\$17,057
PGRM	Real Time Enrgy Management	116387	Competitive	Y	Energy Technology Savings, Inc.	Edison Prop-5020 Broadway-RTE	7/27/2017		\$11,022		\$7,662
PGRM	Real Time Enrgy Management	116580	Competitive	Y	Trane	Fountain House-425 W 47th-RTE	8/1/2017		\$33,870		\$15,116
PGRM	Real Time Enrgy Management	116745	Competitive	Y	Trane	Americas Soc-680 Park Ave-RTE	8/7/2017		\$25,412		\$17,291
PGRM	Real Time Enrgy Management	118167	Competitive	Y	Trane	Hilton - 237 W 54th St - RTE	9/15/2017		\$32,647		\$12,342
PGRM	Real Time Enrgy Management	118459	Competitive	Y	Trane	KingsTheater-1027Flatbush-RTE	9/26/2017		\$17,753		\$5,918
PGRM	Real Time Enrgy Management	118460	Competitive	Y	Enervit Inc.	SL Green - 919 3rd Ave - RTE	9/26/2017		\$16,088		\$14,036
PGRM	Real Time Enrgy Management	118894	Competitive	Y	Trane	Mosholu-3450 Dekalb Ave-RTE	9/28/2017		\$114,623		\$8,824
PGRM	Real Time Enrgy Management	119286	Competitive	Y	Trane	Hines - 375 Hudson St - RTE	10/13/2017		\$22,895		\$6,380
PGRM	Real Time Enrgy Management	119395	Competitive	Y	R3 Energy Management Audit & Review, LLC	CardinalHS-650 Grand Conc-RTE	10/19/2017	\$19,833	\$64,593		\$17,850
PGRM	Real Time Enrgy Management	120014	Competitive	Y	Energy Technology Savings, Inc.	EnergyTech-40 West 57thSt-RTE	11/2/2017		\$7,436		
PGRM	Real Time Enrgy Management	120272	Competitive	Y	Trane	Remsen CSD-9733 Main St-RTE	11/7/2017		\$92,207		
PGRM	Real Time Enrgy Management	120273	Competitive	Y	Trane	Commonwealth-787 7th Ave-RTE	11/7/2017	\$7,368	\$21,239		\$7,047
PGRM	Real Time Enrgy Management	120289	Competitive	Y	Enervit Inc.	BernsteinRealEs-Multisite-RTE	11/7/2017		\$17,338		
PGRM	Real Time Enrgy Management	120907	Competitive	Y	GridPoint, Inc	City of Albany-Multisite-RTE	11/21/2017		\$136,267		\$99,646
PGRM	Real Time Enrgy Management	121164	Competitive	Y	Yardi Systems, Inc.	Yardi - 200 Park Ave - RTE	11/30/2017		\$155,000		\$19,745
PGRM	Real Time Enrgy Management	121231	Competitive	Y	Joule Capital, LLC	Joule Cap-500 Yonkers Ave-RTE	12/4/2017		\$77,548		
PGRM	Real Time Enrgy Management	121286	Competitive	Y	Steven Winter Associates, Inc.	KibelCompanies-90 West St-RTE	12/5/2017		\$59,696		\$5,100
PGRM	Real Time Enrgy Management	121287	Competitive	Y	Steven Winter Associates, Inc.	Dunn Dev-1212 MLK Blvd-RTE	12/5/2017		\$7,897		\$1,200
PGRM	Real Time Enrgy Management	121291	Competitive	Y	Joule Capital, LLC	YonkersHonda-2000Central-RTE	12/5/2017		\$36,379		\$0
PGRM	Real Time Enrgy Management	122002	Competitive	Y	Steven Winter Associates, Inc.	Cabrini Te-900 W 190th St-RTE	12/21/2017		\$11,365		\$4,565
PGRM	Real Time Enrgy Management	122096	Competitive	Y	Trane	St Ann'sWarehou-45WaterSt-RTE	12/27/2017		\$16,856		\$11,237
PGRM	Real Time Enrgy Management	122149	Competitive	Y	Steven Winter Associates, Inc.	FS Energy-220 E 54th St-RTE	12/28/2017		\$11,220		\$4,420
PGRM	Real Time Enrgy Management	122317	Competitive	Y	Bright Power, Inc.	Selfhelp Comm-Multisite-RTE	1/4/2018		\$159,846		\$45,540
PGRM	Real Time Enrgy Management	122333	Competitive	Y	Steven Winter Associates, Inc.	Kibel-300 East 51st St-RTE	1/5/2018		\$8,000		\$3,000
PGRM	Real Time Enrgy Management	122477	Competitive	Y	Bright Power, Inc.	Bright Power-255E149thSt-RTE	1/10/2018		\$52,988		\$6,270
PGRM	Real Time Enrgy Management	122526	Competitive	Y	Trane	CBRE-200 W 41st St-RTE	1/11/2018		\$119,598		
PGRM	Real Time Enrgy Management	123235	Competitive	Y	Energy Technology Savings, Inc.	ETS - 90 Washington St - RTE	2/8/2018		\$12,818		\$3,240
PGRM	Real Time Enrgy Management	123236	Competitive	Y	Energy Technology Savings, Inc.	ETS - Moianin Sky - RTE	2/8/2018		\$15,840		\$4,320
PGRM	Real Time Enrgy Management	123457	Competitive	Y	Trane	The London Hotel-151 W 54-RTE	2/15/2018		\$272,987		\$258,259
PGRM	Real Time Enrgy Management	123498	Competitive	Y	Utilities Program & Metering, Inc.	Utilities-160 E 53rd St-RTE	2/16/2018		\$47,431		\$0
PGRM	Real Time Enrgy Management	124114	Competitive	Y	Trane	Trane-2130 McDonald Ave-RTE	3/5/2018		\$49,599		\$40,469
PGRM	Real Time Enrgy Management	124426	Competitive	Y	Utilities Program & Metering, Inc.	Utilivisor-327 E 64th St-RTE	3/19/2018		\$30,528		\$3,960
PGRM	Real Time Enrgy Management	124431	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-131 Varick St-RTE	3/19/2018		\$13,893		\$893
PGRM	Real Time Enrgy Management	124434	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-645 W 45th St-RTE	3/19/2018		\$13,893		\$1,163
PGRM	Real Time Enrgy Management	124439	Competitive	Y	Energy Technology Savings, Inc.	Energy Tec-108 W 107th St-RTE	3/20/2018		\$10,593		\$581
PGRM	Real Time Enrgy Management	124440	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-2138 1st Ave-RTE	3/20/2018		\$13,893		\$1,163
PGRM	Real Time Enrgy Management	125434	Competitive	Y	Dual Fuel Corp	Dual Fuel Corp-800 Grand-RTE	4/9/2018		\$29,340		\$27,900
PGRM	Real Time Enrgy Management	125507	Competitive	Y	Steven Winter Associates, Inc.	Steven Winter-150 E34thSt-RTE	4/10/2018		\$121,593		\$82,587
PGRM	Real Time Enrgy Management	125510	Competitive	Y	Utilities Program & Metering, Inc.	Utilivisor-1000 10th Ave-RTE	4/10/2018		\$66,298		\$15,300

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Real Time Enrgy Management	125831	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-201 E 87th St-RTEM	4/17/2018		\$9,360		\$2,499
PGRM	Real Time Enrgy Management	125832	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-Multisite-RTEM	4/17/2018		\$41,460		\$2,499
PGRM	Real Time Enrgy Management	126193	Competitive	Y	Prescriptive Data	Prescriptive-555 5th Ave-RTEM	4/26/2018		\$37,223		\$16,868
PGRM	Real Time Enrgy Management	126354	Competitive	Y	Albireo Energy, LLC	Albireo-48 Wall Street-RTEM	5/1/2018		\$89,759	\$3,920	\$81,920
PGRM	Real Time Enrgy Management	126535	Competitive	Y	Dual Fuel Corp	Dual Fuel-151 E 43rd St-RTEM	5/7/2018		\$34,170		\$33,450
PGRM	Real Time Enrgy Management	126605	Competitive	Y	Powerhouse Dynamics, Inc	Powerhouse-Five Below-RTEM	5/8/2018		\$47,606	\$3,749	\$32,393
PGRM	Real Time Enrgy Management	127162	Competitive	Y	Trane	Trane-180 Amsterdam Ave-RTEM	5/22/2018	\$11,262	\$76,588	\$19,738	\$48,335
PGRM	Real Time Enrgy Management	127263	Competitive	Y	R3 Energy Management Audit & Review, LLC	R3 - 880 3rd Avenue - RTEM	5/24/2018		\$26,160	\$3,600	\$22,560
PGRM	Real Time Enrgy Management	127305	Competitive	Y	R3 Energy Management Audit & Review, LLC	Jack Resnick-8 W 40th St-RTEM	5/25/2018		\$24,960	\$3,600	\$21,360
PGRM	Real Time Enrgy Management	127477	Competitive	Y	GridPoint, Inc	Chipotle - Multi Sites - RTEM	5/31/2018		\$130,599		\$86,111
PGRM	Real Time Enrgy Management	127736	Competitive	Y	Dual Fuel Corp	Dual Fuel-Multisite-RTEM	6/11/2018		\$153,180		\$135,900
PGRM	Real Time Enrgy Management	128061	Competitive	Y	Prescriptive Data	PrescriptiveData-40E 52nd-RTEM	6/19/2018		\$62,286	\$6,432	\$22,719
PGRM	Real Time Enrgy Management	128068	Competitive	Y	Trane	Trane-Wells College-RTEM	6/19/2018		\$271,771	\$7,357	\$264,414
PGRM	Real Time Enrgy Management	128626	Competitive	Y	Trane	Trane-17 Lansing St-RTEM	7/5/2018		\$295,980		\$286,980
PGRM	Real Time Enrgy Management	128673	Competitive	Y	Dual Fuel Corp	Dual Fuel-3400 Ft Ind St-RTEM	7/5/2018		\$151,416		\$148,500
PGRM	Real Time Enrgy Management	128761	Competitive	Y	Dual Fuel Corp	Dual Fuel-100 Lawn Terr-RTEM	7/10/2018		\$23,520		\$20,400
PGRM	Real Time Enrgy Management	129111	Competitive	Y	Dual Fuel Corp	Dual Fuel-220 E 27th St-RTEM	7/18/2018		\$21,600		\$20,400
PGRM	Real Time Enrgy Management	129113	Competitive	Y	Steven Winter Associates, Inc.	SWA - Multisite - RTEM	7/18/2018		\$52,669		\$26,891
PGRM	Real Time Enrgy Management	129237	Competitive	Y	EME Consulting Engineering Group, LLC	EME Group-240 E 38th St-RTEM	7/20/2018		\$14,788		
PGRM	Real Time Enrgy Management	129726	Competitive	Y	Trane	Trane-40 Sunshine Cottage-RTEM	8/3/2018		\$42,263		\$14,088
PGRM	Real Time Enrgy Management	129802	Competitive	Y	Joule Capital, LLC	Joule Capital-Multisite-RTEM	8/7/2018		\$65,920		
PGRM	Real Time Enrgy Management	129932	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider Electric 30 West End	8/9/2018		\$31,185	\$10,392	\$20,481
PGRM	Real Time Enrgy Management	130918	Competitive	Y	Steven Winter Associates, Inc.	SWA-85 Riverdale Ave-RTEM	9/7/2018		\$155,000		\$151,867
PGRM	Real Time Enrgy Management	130979	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-552 Main St-RTEM	9/7/2018		\$6,405		\$3,768
PGRM	Real Time Enrgy Management	131054	Competitive	Y	Steven Winter Associates, Inc.	SWA-351 East 84th St-RTEM	9/11/2018		\$155,000		\$150,000
PGRM	Real Time Enrgy Management	131123	Competitive	Y	Dual Fuel Corp	Dual Fuel-36 E 22nd St-RTEM	9/11/2018		\$30,900		\$30,000
PGRM	Real Time Enrgy Management	131124	Competitive	Y	Dual Fuel Corp	Dual Fuel-447 Fort Washin-RTEM	9/11/2018		\$23,700		\$22,500
PGRM	Real Time Enrgy Management	131202	Competitive	Y	Dual Fuel Corp	Dual Fuel-215 E 59th St-RTEM	9/12/2018		\$11,700		\$10,800
PGRM	Real Time Enrgy Management	131564	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider-390 Madison Ave-RTEM	9/20/2018		\$97,547		\$23,678
PGRM	Real Time Enrgy Management	131672	Competitive	Y	Albireo Energy, LLC	Albireo Energy-501 E 87th-RTEM	9/24/2018		\$155,000		
PGRM	Real Time Enrgy Management	131940	Competitive	Y	Cortex Building Intelligence, Inc.	Cortex-350 5th Ave-RTEM	10/1/2018		\$140,400	\$16,200	\$48,600
PGRM	Real Time Enrgy Management	132287	Competitive	Y	Dual Fuel Corp	Dual Fuel-409 E 84th St-RTEM	10/9/2018		\$23,700		\$22,500
PGRM	Real Time Enrgy Management	132701	Competitive	Y	Ecosave, Inc.	Ecosave-5901 Palisade Ave-RTEM	10/17/2018	\$99,999	\$399,999	\$28,842	\$305,882
PGRM	Real Time Enrgy Management	132958	Competitive	Y	Dual Fuel Corp	Dual Fuel-304 St Johns Pt-RTEM	10/23/2018		\$23,700		\$22,500
PGRM	Real Time Enrgy Management	132960	Competitive	Y	Yardi Systems, Inc.	Yardi - 810 7th Ave - RTEM	10/23/2018		\$44,611		
PGRM	Real Time Enrgy Management	133067	Competitive	Y	Powerhouse Dynamics, Inc	Powerhouse - Multisite - RTEM	10/26/2018		\$35,611	\$2,736	\$32,875
PGRM	Real Time Enrgy Management	133068	Competitive	Y	Trane	Trane Us - 41 S Main St - RTEM	10/26/2018		\$31,276		\$29,303
PGRM	Real Time Enrgy Management	133526	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL - 800 Phillips Rd - RTEM	11/5/2018		\$162,840		\$25,560
PGRM	Real Time Enrgy Management	133950	Competitive	Y	Enerxiv Inc.	Enerxiv - 100 Willoughby - RTEM	11/13/2018		\$9,447		\$6,711
PGRM	Real Time Enrgy Management	134427	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-757 3rd Ave RTEM	11/27/2018		\$36,126		\$33,126
PGRM	Real Time Enrgy Management	134558	Competitive	Y	Entic, Inc.	Conrad NY-102 N End Ave-RTEM	11/29/2018		\$14,452		\$12,947
PGRM	Real Time Enrgy Management	134565	Competitive	Y	Albireo Energy, LLC	Alberio Energy-500 W 33rd-RTEM	12/3/2018		\$238,544		\$79,517
PGRM	Real Time Enrgy Management	134734	Competitive	Y	USE ACQUISITION LLC	USE - 120 W 97th St - RTEM	12/4/2018		\$68,668		\$57,493
PGRM	Real Time Enrgy Management	134959	Competitive	Y	Prescriptive Data	Prescriptive-1540Broadway-RTEM	12/10/2018		\$400,000	\$331,185	\$331,185
PGRM	Real Time Enrgy Management	135076	Competitive	Y	Energy Technology Savings, Inc.	ETS, Inc - 757 3rd Ave - RTEM	12/12/2018		\$65,597		\$61,997
PGRM	Real Time Enrgy Management	135304	Competitive	Y	Enerxiv Inc.	Enerxiv - Multisite - RTEM	12/18/2018		\$31,703	\$31,703	\$31,703
PGRM	Real Time Enrgy Management	135308	Competitive	Y	Enerxiv Inc.	Enerxiv - Multisite - RTEM	12/18/2018		\$14,058		
PGRM	Real Time Enrgy Management	135309	Competitive	Y	Joule Capital, LLC	Joule Capital-Multisite-RTEM	12/18/2018		\$82,868	\$0	\$0
PGRM	Real Time Enrgy Management	135314	Competitive	Y	Joule Capital, LLC	Joule Capital-Multisite-RTEM	12/18/2018		\$94,840		\$0
PGRM	Real Time Enrgy Management	135620	Competitive	Y	Trane	Trane Us Inc-Multisite-RTEM	12/28/2018	\$58,182	\$85,605	\$28,520	\$55,944
PGRM	Real Time Enrgy Management	135708	Competitive	Y	Artis Energy Intelligence, LLC	Artis Energy- 2 Powers- RTEM	1/2/2019		\$10,694		\$9,470
PGRM	Real Time Enrgy Management	136485	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider-225 Liberty St-RTEM	1/25/2019		\$61,617	\$20,533	\$40,468
PGRM	Real Time Enrgy Management	137129	Competitive	Y	Bright Power, Inc.	Bright Power - 221 E 71st-RTEM	2/13/2019		\$74,861		\$29,850
PGRM	Real Time Enrgy Management	137218	Competitive	Y	Bright Power, Inc.	Bright Power-3000 Bronx - RTEM	2/15/2019		\$43,861	\$6,870	\$19,431
PGRM	Real Time Enrgy Management	137221	Competitive	Y	Energy Technology Savings, Inc.	ETS - 101 West End Ave - RTEM	2/15/2019	\$4,950	\$9,300	\$1,800	\$5,474
PGRM	Real Time Enrgy Management	137226	Competitive	Y	Energy Technology Savings, Inc.	ETS - 21 West End Ave - RTEM	2/18/2019	\$4,950	\$9,300	\$1,800	\$5,263
PGRM	Real Time Enrgy Management	137352	Competitive	Y	Prescriptive Data	PrescriptiveData-383MadAv-RTEM	2/20/2019		\$143,531	\$36,000	\$71,531
PGRM	Real Time Enrgy Management	137362	Competitive	Y	Energy Technology Savings, Inc.	ETS - 266-275 Cherry St - RTEM	2/20/2019		\$6,840		
PGRM	Real Time Enrgy Management	137526	Competitive	Y	Energy Technology Savings, Inc.	ETS - 353 Chester St - RTEM	2/26/2019		\$8,100	\$1,800	\$3,491
PGRM	Real Time Enrgy Management	137880	Competitive	Y	Siemens Industry, Inc.	Siemens - 10 Columbus Cir-RTEM	3/8/2019		\$238,272	\$125,550	\$125,550
PGRM	Real Time Enrgy Management	137954	Competitive	Y	Siemens Industry, Inc.	Siemens - 510 S 4th St - RTEM	3/11/2019		\$25,200	\$25,200	\$25,200
PGRM	Real Time Enrgy Management	137988	Competitive	Y	Enerxiv Inc.	Enerxiv - 401 W 25th St - RTEM	3/12/2019		\$10,797		\$5,505
PGRM	Real Time Enrgy Management	138096	Competitive	Y	Group S LLC	Group S - 308 W 103 St - RTEM	3/15/2019		\$16,903		\$14,203
PGRM	Real Time Enrgy Management	138107	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider - 1045 6th Ave -RTEM	3/15/2019		\$53,705	\$17,897	\$35,271
PGRM	Real Time Enrgy Management	138137	Competitive	Y	Siemens Industry, Inc.	Siemens - 45 Cooper Rd - RTEM	3/19/2019		\$11,058		
PGRM	Real Time Enrgy Management	138340	Competitive	Y	InTech Energy, Inc.	InTech-890 Washington Ave-RTEM	3/21/2019		\$93,720	\$7,200	\$93,720
PGRM	Real Time Enrgy Management	138831	Competitive	Y	Siemens Industry, Inc.	Siemens-Multisite-RTEM	4/3/2019		\$235,140	\$73,672	\$159,537
PGRM	Real Time Enrgy Management	139185	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1700 Broadway-RTEM	4/16/2019		\$48,828	\$11,268	\$11,268
PGRM	Real Time Enrgy Management	139256	Competitive	Y	Talisen Technologies, Inc	Energy Mangt Data Tech Supp	4/17/2019		\$2,146,000	\$518,710	\$955,596
PGRM	Real Time Enrgy Management	139293	Competitive	Y	InTech Energy, Inc.	InTech-6111 Queens Blvd-RTEM	4/18/2019		\$28,328	\$4,950	\$28,328
PGRM	Real Time Enrgy Management	139339	Competitive	Y	Albireo Energy, LLC	Albireo Energy-199 Water-RTEM	4/19/2019		\$51,468	\$47,868	\$47,868
PGRM	Real Time Enrgy Management	139440	Competitive	Y	Trane	Trane Us Inc-1177 6th Ave-RTEM	4/23/2019		\$211,325	\$10,863	\$201,698
PGRM	Real Time Enrgy Management	139504	Competitive	Y	Dual Fuel Corp	Dual Fuel-1900 Belmont-RTEM	4/24/2019		\$33,366		\$32,166
PGRM	Real Time Enrgy Management	139627	Competitive	Y	Dual Fuel Corp	Dual Fuel-1871 Seventh-RTEM	4/26/2019		\$37,500		\$36,000
PGRM	Real Time Enrgy Management	139769	Competitive	Y	Dual Fuel Corp	Dual Fuel-1898 Belmont-RTEM	4/30/2019		\$27,283		\$26,083
PGRM	Real Time Enrgy Management	139771	Competitive	Y	Dual Fuel Corp	Dual Fuel-1908 Belmont-RTEM	4/30/2019		\$33,366		\$32,166
PGRM	Real Time Enrgy Management	139955	Competitive	Y	InTech Energy, Inc.	InTech Energy-Multisite-RTEM	5/6/2019		\$17,651		\$14,145
PGRM	Real Time Enrgy Management	140158	Competitive	Y	Bright Power, Inc.	Bright Pwr-9745 Queens Blv-RTEM	5/10/2019		\$98,238	\$9,543	\$66,426
PGRM	Real Time Enrgy Management	140162	Competitive	Y	Bright Power, Inc.	Bright Power-Multisite-RTEM	5/10/2019		\$119,889	\$26,464	\$31,693
PGRM	Real Time Enrgy Management	140537	Competitive	Y	Utilities Program & Metering, Inc.	Utility Prog-1 NY Plaza-RTEM	5/21/2019		\$122,694		
PGRM	Real Time Enrgy Management	140660	Competitive	Y	Bright Power, Inc.	Bright Power-Multisite-RTEM	5/23/2019		\$560,894	\$28,192	\$465,622

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Real Time Enrgy Management	141059	Competitive	Y	Siemens Industry, Inc.	Siemens-1 Academic Dr-RTM	6/6/2019		\$24,662		
PGRM	Real Time Enrgy Management	141098	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1285 Ave of Americas-RTM	6/6/2019		\$139,354	\$16,079	\$16,079
PGRM	Real Time Enrgy Management	141157	Competitive	Y	Dual Fuel Corp	Dual Fuel-611 Academy St-RTM	6/7/2019		\$29,247	\$28,047	\$28,047
PGRM	Real Time Enrgy Management	141370	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider-100 E. 77th St-RTM	6/13/2019		\$300,000	\$100,000	\$200,000
PGRM	Real Time Enrgy Management	141497	Competitive	Y	Siemens Industry, Inc.	Siemens-1585 Broadway-RTM	6/18/2019		\$300,000	\$184,170	\$184,170
PGRM	Real Time Enrgy Management	141578	Competitive	Y	Bright Power, Inc.	Bright Power-Multisite-RTM	6/19/2019		\$144,475	\$9,705	\$112,124
PGRM	Real Time Enrgy Management	141703	Competitive	Y	R3 Energy Management Audit & Review, LLC	R3 Energy-575 Madison-RTM	6/21/2019		\$26,640	\$4,500	\$18,900
PGRM	Real Time Enrgy Management	141705	Competitive	Y	Johnson Controls Inc.	JohnsonCtrlris-EmpireState-RTM	6/21/2019		\$209,813		
PGRM	Real Time Enrgy Management	141748	Competitive	Y	USE ACQUISITION LLC	US Energy Grp-3161 Brighton	6/24/2019		\$17,886		\$13,665
PGRM	Real Time Enrgy Management	141749	Competitive	Y	USE ACQUISITION LLC	US Energy-161 Corbin Pl-RTM	6/24/2019		\$28,206		\$23,985
PGRM	Real Time Enrgy Management	141750	Competitive	Y	Carbon Lighthouse	CarbonLghthouse-Multisite-RTM	6/24/2019		\$166,689	\$96,451	\$96,451
PGRM	Real Time Enrgy Management	142670	Competitive	Y	Bright Power, Inc.	Bright Power, Inc - Multisites	7/18/2019		\$560,320	\$428,243	\$428,243
PGRM	Real Time Enrgy Management	142877	Competitive	Y	Dual Fuel Corp	Dual Fuel-370 Westchester-RTM	7/25/2019		\$7,695		\$7,110
PGRM	Real Time Enrgy Management	143046	Competitive	Y	Dual Fuel Corp	Dual Fuel-60 W Broad-RTM	7/30/2019		\$49,092		\$43,892
PGRM	Real Time Enrgy Management	143047	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-3 Bryant Park-RTM	7/30/2019		\$83,764	\$19,330	\$19,330
PGRM	Real Time Enrgy Management	143466	Competitive	Y	Bright Power, Inc.	BrightPower-333 Lenox-RTM	8/8/2019		\$42,437	\$6,156	\$20,267
PGRM	Real Time Enrgy Management	143518	Competitive	Y	Siemens Industry, Inc.	Siemens-Multisite-RTM	8/8/2019		\$385,917	\$78,845	\$78,845
PGRM	Real Time Enrgy Management	143519	Competitive	Y	Siemens Industry, Inc.	Siemens-1425 Portland-RTM	8/8/2019		\$88,151	\$83,143	\$83,143
PGRM	Real Time Enrgy Management	143985	Competitive	Y	Dual Fuel Corp	Dual Fuel-905-907 Palmer-RTM	8/21/2019		\$50,594		\$49,394
PGRM	Real Time Enrgy Management	144098	Competitive	Y	Dual Fuel Corp	Dual Fuel - 70 Prospect - RTM	8/23/2019		\$29,250		\$27,300
PGRM	Real Time Enrgy Management	144099	Competitive	Y	Dual Fuel Corp	DF - 4526 44th Street - RTM	8/23/2019		\$30,809	\$28,859	\$28,859
PGRM	Real Time Enrgy Management	144105	Competitive	Y	Powerhouse Dynamics, Inc	Powerhouse-Multisite-RTM	8/23/2019		\$17,219		
PGRM	Real Time Enrgy Management	144107	Competitive	Y	Dual Fuel Corp	DF - 815 West 180th St - RTM	8/23/2019		\$23,200		\$18,000
PGRM	Real Time Enrgy Management	144197	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL - Multisite - RTM	8/27/2019		\$102,180		
PGRM	Real Time Enrgy Management	144207	Competitive	Y	Siemens Industry, Inc.	Siemens - 57 E 57 St - RTM	8/28/2019		\$300,000	\$261,895	\$261,895
PGRM	Real Time Enrgy Management	144210	Competitive	Y	Albireo Energy, LLC	AE LLC - 60 Charlton St - RTM	8/28/2019		\$87,530		
PGRM	Real Time Enrgy Management	144309	Competitive	Y	Dual Fuel Corp	DF - 105 East 29th St - RTM	8/30/2019		\$32,813		\$30,863
PGRM	Real Time Enrgy Management	144449	Competitive	Y	RCPI Landmark Properties, LLC	RCPI - Multisite - RTM	9/4/2019		\$7,600,000	\$1,638,619	\$3,214,437
PGRM	Real Time Enrgy Management	144507	Competitive	Y	Carbon Lighthouse	CL - College Point Blvd - RTM	9/5/2019		\$77,782	\$59,404	\$59,404
PGRM	Real Time Enrgy Management	144756	Competitive	Y	CopperTree Analytics Ltd	RTM Gas Mini-Bid Contract	9/11/2019		\$535,924		
PGRM	Real Time Enrgy Management	144757	Competitive	Y	Dual Fuel Corp	RTM Gas Mini-Bid Contract	9/11/2019		\$380,000	\$143,750	\$293,750
PGRM	Real Time Enrgy Management	144758	Competitive	Y	Ecosave, Inc.	RTM Gas Mini-Bid Contract	9/11/2019		\$150,000	\$150,000	\$150,000
PGRM	Real Time Enrgy Management	144759	Competitive	Y	JouleSmart Solutions, Inc.	RTM Gas Mini-Bid Contract	9/11/2019		\$48,676		\$41,962
PGRM	Real Time Enrgy Management	144761	Competitive	Y	Taitem Engineering, P.C.	RTM Gas Mini-Bid Contract	9/11/2019		\$154,990	\$124,992	\$124,992
PGRM	Real Time Enrgy Management	144762	Competitive	Y	Bright Power, Inc.	RTM Gas Mini-Bid Contract	9/11/2019		\$374,975	\$187,488	\$262,483
PGRM	Real Time Enrgy Management	144826	Competitive	Y	Siemens Industry, Inc.	Siemens - 15 Old Falls - RTM	9/12/2019		\$66,610	\$63,271	\$63,271
PGRM	Real Time Enrgy Management	144925	Competitive	Y	Steven Winter Associates, Inc.	SWA - 255 Hudson Street - RTM	9/16/2019	\$4,193	\$38,176	\$22,476	\$22,476
PGRM	Real Time Enrgy Management	145122	Competitive	Y	Utilities Program & Metering, Inc.	UPM - 401 N Middletown - RTM	9/20/2019		\$180,208	\$41,403	\$41,403
PGRM	Real Time Enrgy Management	145126	Competitive	Y	Siemens Industry, Inc.	Siemens - 115 Brickman - RTM	9/23/2019		\$176,640		
PGRM	Real Time Enrgy Management	145205	Competitive	Y	Dual Fuel Corp	DF - 718 Broadway - RTM	9/23/2019		\$7,395		\$5,055
PGRM	Real Time Enrgy Management	145371	Competitive	Y	BuildingIQ, Inc.	BuildingIQ - Multisite - RTM	9/26/2019		\$28,225	\$8,400	\$8,400
PGRM	Real Time Enrgy Management	145719	Competitive	Y	Siemens Industry, Inc.	Siemens Ind - Multisite - RTM	10/4/2019		\$338,019	\$79,864	\$79,864
PGRM	Real Time Enrgy Management	145889	Competitive	Y	Dual Fuel Corp	Dual Fuel-1710 Avenue H-RTM	10/8/2019		\$27,375	\$26,400	\$26,400
PGRM	Real Time Enrgy Management	146275	Competitive	Y	Dual Fuel Corp	DF - 569 W 173rd St - RTM	10/17/2019		\$21,900		\$19,950
PGRM	Real Time Enrgy Management	146807	Competitive	Y	Energy Technology Savings, Inc.	ETS-90-15 Queens-RTM	10/29/2019		\$166,377	\$150,177	\$150,177
PGRM	Real Time Enrgy Management	146888	Competitive	Y	Albireo Energy, LLC	ARE - 69 fifth Ave - RTM	10/30/2019		\$38,412	\$35,970	\$35,970
PGRM	Real Time Enrgy Management	146960	Competitive	Y	Siemens Industry, Inc.	Siemens - 73 Co Rte 11a - RTM	10/31/2019		\$179,451		
PGRM	Real Time Enrgy Management	146968	Competitive	Y	GridPoint, Inc	Dos Toros - Multi Sites - RTM	10/31/2019		\$11,804		
PGRM	Real Time Enrgy Management	147024	Competitive	Y	Dual Fuel Corp	DF - 1-13 Greenridge Av - RTM	11/1/2019		\$19,950	\$18,000	\$18,000
PGRM	Real Time Enrgy Management	147292	Competitive	Y	Dual Fuel Corp	DF - 450 W 147th Street - RTM	11/8/2019		\$34,800	\$32,850	\$32,850
PGRM	Real Time Enrgy Management	147814	Competitive	Y	Albireo Energy, LLC	Albireo-Multisite-RTM	11/20/2019		\$802,713		
PGRM	Real Time Enrgy Management	147815	Competitive	Y	Carbon Lighthouse	CL-Multisite-RTM	11/20/2019		\$800,000	\$792,200	\$792,200
PGRM	Real Time Enrgy Management	147816	Competitive	Y	Albireo Energy, LLC	Albireo-425 E 58th-RTM	11/20/2019		\$155,000	\$154,879	\$154,879
PGRM	Real Time Enrgy Management	147817	Competitive	Y	Trane	Trane-215 S Broad-RTM	11/20/2019		\$106,975		\$101,348
PGRM	Real Time Enrgy Management	147818	Competitive	Y	Trane	Trane-2320 US Rt 6-RTM	11/20/2019	\$4,952	\$269,210	\$261,612	\$261,612
PGRM	Real Time Enrgy Management	147819	Competitive	Y	Trane	Trane-85 Livingston-RTM	11/20/2019		\$79,348		\$49,675
PGRM	Real Time Enrgy Management	147820	Competitive	Y	Johnson Controls Inc.	JC-Multisite-RTM	11/20/2019		\$678,967		
PGRM	Real Time Enrgy Management	147821	Competitive	Y	Trane	Trane-90 Broad-RTM	11/20/2019		\$47,670		\$45,678
PGRM	Real Time Enrgy Management	147895	Competitive	Y	Prescriptive Data	Prescriptive-630 3rd Ave-RTM	11/21/2019		\$38,613	\$5,499	\$20,280
PGRM	Real Time Enrgy Management	147896	Discretionary	N	Propmodo Inc.	Strategic Industry Partner Eng	11/21/2019	\$10,140	\$60,140	\$49,140	\$60,140
PGRM	Real Time Enrgy Management	148107	Competitive	Y	Siemens Industry, Inc.	Siemens - Multisite - RTM	11/26/2019		\$950,610	\$219,582	\$219,582
PGRM	Real Time Enrgy Management	148289	Competitive	Y	Albireo Energy, LLC	RXR Realty-340Madison Ave-RTM	12/3/2019		\$276,410		
PGRM	Real Time Enrgy Management	148514	Competitive	Y	Utilities Program & Metering, Inc.	utiliVisor-9 West 57th St-RTM	12/5/2019		\$173,120	\$76,868	\$76,868
PGRM	Real Time Enrgy Management	148651	Competitive	Y	Prescriptive Data	Prescriptive - 1330 AoA - RTM	12/9/2019		\$67,304	\$12,618	\$12,618
PGRM	Real Time Enrgy Management	148731	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 600 Madison Ave - RTM	12/10/2019	\$5,587	\$11,174	\$5,210	\$5,210
PGRM	Real Time Enrgy Management	148733	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 529 5th Ave - RTM	12/10/2019		\$23,660	\$5,460	\$5,460
PGRM	Real Time Enrgy Management	148811	Competitive	Y	Enerxiv Inc.	Enerxiv - 700 8th Ave - RTM	12/11/2019		\$8,684	\$6,452	\$6,452
PGRM	Real Time Enrgy Management	148891	Competitive	Y	Enerxiv Inc.	Enerxiv-Sibley 250 E Main-RTM	12/12/2019		\$17,056	\$12,869	\$12,869
PGRM	Real Time Enrgy Management	149169	Competitive	Y	Jones Lang LaSalle Americas, Inc.	JLL - Multisite - RTM	12/18/2019		\$233,220	\$53,820	\$53,820
PGRM	Real Time Enrgy Management	149210	Competitive	Y	Albireo Energy, LLC	Albireo - 161 William St-RTM	12/18/2019		\$99,983	\$97,537	\$97,537
PGRM	Real Time Enrgy Management	149213	Competitive	Y	Trane	Trane - 685 3rd Ave - RTM	12/18/2019		\$106,560	\$82,500	\$82,500
PGRM	Real Time Enrgy Management	149218	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 140 E 45th St - RTM	12/18/2019		\$60,697	\$14,007	\$14,007
PGRM	Real Time Enrgy Management	149280	Competitive	Y	T.M. Bier & Associates	TMB&A - Multisite - RTM	12/19/2019		\$450,569		
PGRM	Real Time Enrgy Management	149293	Competitive	Y	Schneider Electric Buildings Americas, I	SEBAI - 222 E 41st St - RTM	12/19/2019		\$56,461	\$18,158	\$18,158
PGRM	Real Time Enrgy Management	149295	Competitive	Y	Trane	Trane US Inc- Multisite - RTM	12/19/2019		\$365,159		\$329,847
PGRM	Real Time Enrgy Management	149479	Competitive	Y	NBC Universal Media, LLC	NBC - 30 Rockefeller Pl - RTM	12/24/2019		\$600,000		
PGRM	Real Time Enrgy Management	149500	Competitive	Y	Siemens Industry, Inc.	Siemens - Multisite - RTM	12/26/2019	\$3,681,202	\$3,681,202		
PGRM	Real Time Enrgy Management	149732	Competitive	Y	Dual Fuel Corp	DualFuel-Harborviewmulti-RTM	1/2/2020		\$37,800		
PGRM	Real Time Enrgy Management	149843	Competitive	Y	R3 Energy Management Audit & Review, LLC	R3 - 199 Water St - RTM	1/7/2020		\$10,800	\$5,400	\$5,400
PGRM	Real Time Enrgy Management	149851	Competitive	Y	Prescriptive Data	PD - 300 Vesey St - RTM	1/7/2020		\$76,253	\$12,528	\$34,493
PGRM	Real Time Enrgy Management	150069	Competitive	Y	Siemens Industry, Inc.	Siemens - Multisite - RTM	1/10/2020		\$576,177	\$311,896	\$311,896

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid this	Amt Paid to Date
PGRM	Real Time Enrgy Management	150132	Competitive	Y	Group-S LLC	Group-S - 333 E 69 St - RTEM	1/13/2020		\$37,230		\$35,700	\$35,700
PGRM	Real Time Enrgy Management	150178	Competitive	Y	Eneritiv Inc.	Eneritiv - Multisite - RTEM	1/14/2020		\$30,509		\$16,016	\$16,016
PGRM	Real Time Enrgy Management	150180	Competitive	Y	Prescriptive Data	PD - 4 NY Plaza - RTEM	1/14/2020	\$150,001	\$250,000			
PGRM	Real Time Enrgy Management	150301	Competitive	Y	Cortex Building Intelligence, Inc.	CBI- 1411 Broadway - RTEM	1/16/2020		\$63,658			
PGRM	Real Time Enrgy Management	150658	Competitive	Y	Trane	Trane-645 St Nicholas Ave-RTEM	1/28/2020		\$8,181			
PGRM	Real Time Enrgy Management	150903	Competitive	Y	Trane	Trane - 1 Manhattan Sq - RTEM	2/3/2020		\$28,045		\$22,645	\$22,645
PGRM	Real Time Enrgy Management	150907	Competitive	Y	USE ACQUISITION LLC	USE Acq LLC - Multisite - RTEM	2/3/2020		\$18,890		\$15,380	\$15,380
PGRM	Real Time Enrgy Management	150957	Competitive	Y	Joule Capital, LLC	JC LLC - 97 Lake Ave - RTEM	2/4/2020		\$24,791			
PGRM	Real Time Enrgy Management	151056	Competitive	Y	USE ACQUISITION LLC	USE Acq LLC - Multisite - RTEM	2/6/2020		\$20,399		\$12,803	\$12,803
PGRM	Real Time Enrgy Management	151057	Competitive	Y	Prescriptive Data	PD - 40 W 57 Street - RTEM	2/6/2020		\$113,069			
PGRM	Real Time Enrgy Management	151290	Competitive	Y	Bright Power, Inc.	Bright Power - Multisite- RTEM	2/12/2020		\$51,390		\$22,065	\$22,065
PGRM	Real Time Enrgy Management	151419	Competitive	Y	InTech Energy, Inc.	IE-2619 Francis Lewis Blv-RTEM	2/14/2020		\$36,745		\$30,169	\$30,169
PGRM	Real Time Enrgy Management	151496	Competitive	Y	Trane	Trane-1293 Broadway Her-RTEM	2/18/2020		\$12,374			
PGRM	Real Time Enrgy Management	151635	Competitive	Y	RED Rochester	RED Roch-1200 Ridgeway Av-RTEM	2/20/2020		\$239,141			
PGRM	Real Time Enrgy Management	151862	Competitive	Y	Delta Connects, Inc.	Delta - 55 Water St - RTEM	2/26/2020		\$420,885			
PGRM	Real Time Enrgy Management	152024	Competitive	Y	David Energy Systems, Inc.	DavidEnergy-228 E 45th St-RTEM	3/2/2020		\$23,010			
PGRM	Real Time Enrgy Management	152073	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 111 W 40th St - RTEM	3/3/2020		\$21,000		\$10,500	\$10,500
PGRM	Real Time Enrgy Management	152132	Competitive	Y	Trane	Trane - 334 Co Rte 16 - RTEM	3/4/2020		\$191,637			
PGRM	Real Time Enrgy Management	152137	Competitive	Y	Dual Fuel Corp	DF- 819 E 173 St - RTEM	3/4/2020		\$43,800		\$41,400	\$41,400
PGRM	Real Time Enrgy Management	152202	Competitive	Y	InTech Energy, Inc.	InTech - 3755 Broadway - RTEM	3/5/2020		\$50,976			
PGRM	Real Time Enrgy Management	152277	Competitive	Y	Switch Automation Inc.	SwitchAuto-10HudsonYards-RTEM	3/9/2020		\$354,250			
PGRM	Real Time Enrgy Management	152459	Competitive	Y	T.M. Bier & Associates	TM Bier - Multisite - RTEM	3/12/2020		\$313,077			
PGRM	Real Time Enrgy Management	152461	Competitive	Y	Schneider Electric Buildings Americas, I	SEBA - 30-19 Crescent St- RTEM	3/12/2020		\$118,389			
PGRM	Real Time Enrgy Management	152465	Competitive	Y	Bright Power, Inc.	Bright Power -Multisite- RTEM	3/12/2020		\$92,883		\$53,893	\$53,893
PGRM	Real Time Enrgy Management	152641	Competitive	Y	ICONICS, Inc.	ICONICS - Multisite - RTEM	3/17/2020		\$97,136			
PGRM	Real Time Enrgy Management	152646	Competitive	Y	Utilities Program & Metering, Inc.	UPM - 56-45 Main St - RTEM	3/17/2020		\$85,800		\$19,800	\$19,800
PGRM	Real Time Enrgy Management	152719	Competitive	Y	SourceOne Power Technologies, Inc.	SourceOne - 825 3rd Ave - RTEM	3/19/2020		\$600,000			
PGRM	Real Time Enrgy Management	152804	Competitive	Y	SourceOne Power Technologies, Inc.	SourceOne - Multisite - RTEM	3/23/2020		\$800,000		\$100,000	\$100,000
PGRM	Real Time Enrgy Management	152821	Competitive	Y	Delta Connects, Inc.	Delta - 148 W 90 St - RTEM	3/23/2020		\$37,027		\$2,334	\$2,334
PGRM	Real Time Enrgy Management	152931	Competitive	Y	Utilities Program & Metering, Inc.	UPM - 11 Times Square - RTEM	3/26/2020		\$85,406		\$19,626	\$19,626
PGRM	Real Time Enrgy Management	152932	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 441 9th Ave - RTEM	3/26/2020		\$29,400			
PGRM	Real Time Enrgy Management	152933	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 885 2nd Ave - RTEM	3/26/2020		\$64,519			
PGRM	Real Time Enrgy Management	152961	Competitive	Y	RED Rochester	RR LLC - 1200 Ridgeway - RTEM	3/27/2020		\$181,315			
PGRM	Real Time Enrgy Management	153050	Competitive	Y	Johnson Controls Inc.	JC - 211 Church St - RTEM	3/31/2020	\$63,000	\$63,000			
PGRM	Real Time Enrgy Management	153328	Competitive	Y	Day Automation Systems, Inc.	DA - Multisite - RTEM	4/8/2020	\$205,079	\$205,079			
PGRM	Real Time Enrgy Management	153362	Competitive	Y	Bright Power, Inc.	Bright Power-60 E 86th St-RTEM	4/10/2020	\$50,451	\$50,451		\$20,005	\$20,005
PGRM	Real Time Enrgy Management	153367	Competitive	Y	Switch Automation Inc.	SA - 435 W 31 St - RTEM	4/10/2020	\$45,000	\$45,000			
PGRM	Real Time Enrgy Management	153491	Competitive	Y	Cortex Building Intelligence, Inc.	Cortex - 1400 Broadway - RTEM	4/15/2020	\$331,283	\$331,283		\$262,590	\$262,590
PGRM	Real Time Enrgy Management	153544	Competitive	Y	Albireo Energy, LLC	Albireo Energy-Multisite-RTEM	4/16/2020	\$198,206	\$198,206			
PGRM	Real Time Enrgy Management	153547	Competitive	Y	Albireo Energy, LLC	Albireo - 5 Penn Plaza - RTEM	4/17/2020	\$82,882	\$82,882			
PGRM	Real Time Enrgy Management	153646	Competitive	Y	Cortex Building Intelligence, Inc.	Cortex-X Grand Central Pl-RTEM	4/21/2020	\$97,656	\$97,656			
PGRM	Real Time Enrgy Management	153655	Competitive	Y	Trane	Trane - 9733 Main St - RTEM	4/22/2020	\$108,000	\$108,000		\$103,500	\$103,500
PGRM	Real Time Enrgy Management	153656	Competitive	Y	Siemens Industry, Inc.	Siemens-3325 Marvin Sands-RTEM	4/22/2020	\$42,959	\$42,959		\$12,420	\$12,420
PGRM	Real Time Enrgy Management	153660	Competitive	Y	Delta Connects, Inc.	Delta - 322 Third Avenue -RTEM	4/22/2020	\$233,790	\$233,790			
PGRM	Real Time Enrgy Management	153680	Competitive	Y	Dual Fuel Corp	DF - 19 E 4th Street - RTEM	4/23/2020	\$6,180	\$6,180		\$5,100	\$5,100
PGRM	Real Time Enrgy Management	153936	Competitive	Y	Cortex Building Intelligence, Inc.	CBI - 1 Court Square - RTEM	5/1/2020	\$600,000	\$600,000		\$491,250	\$491,250
PGRM	Real Time Enrgy Management	154033	Competitive	N	Green Light New York, Inc.	Market Engagement Support-RTEM	5/6/2020	\$41,198	\$41,198		\$35,824	\$35,824
PGRM	Real Time Enrgy Management	154038	Competitive	Y	Cortex Building Intelligence, Inc.	Cortex - 110 W 33rd St - RTEM	5/6/2020	\$57,970	\$57,970			
PGRM	Real Time Enrgy Management	154076	Competitive	Y	Dual Fuel Corp	DF - 1010 Sherman Ave - RTEM	5/8/2020	\$42,840	\$42,840			
PGRM	Real Time Enrgy Management	154208	Competitive	Y	Carbon Lighthouse	CL - 3 Columbus Circle - RTEM	5/15/2020	\$205,246	\$205,246			
PGRM	Real Time Enrgy Management	154216	Competitive	Y	Bright Power, Inc.	BP - 7 Ludlow St - RTEM	5/15/2020	\$53,100	\$53,100		\$19,380	\$19,380
PGRM	Real Time Enrgy Management	154290	Competitive	Y	University of Rochester	UoR - 390 Elmwood Ave - RTEM	5/20/2020	\$201,488	\$201,488		\$14,235	\$14,235
PGRM	Real Time Enrgy Management	154293	Competitive	Y	Group-S LLC	Group S- 18-15 215th St - RTEM	5/20/2020	\$20,970	\$20,970			
PGRM	Real Time Enrgy Management	154297	Competitive	Y	Dual Fuel Corp	DF - 250 West 103rd St - RTEM	5/20/2020	\$42,390	\$42,390			
PGRM	Real Time Enrgy Management	154399	Competitive	Y	Ecosave, Inc.	Ecosave-334 Amsterdam Ave-RTEM	5/26/2020	\$300,000	\$300,000		\$230,901	\$230,901
PGRM	Real Time Enrgy Management	154464	Competitive	Y	Bright Power, Inc.	Bright Power-Multisite-RTEM	5/28/2020	\$136,990	\$136,990		\$57,750	\$57,750
PGRM	Real Time Enrgy Management	154537	Competitive	Y	Brookfield Properties USA II LLC	BP LLC - Multisite - RTEM	6/1/2020	\$1,162,110	\$1,162,110			
PGRM	Real Time Enrgy Management	154544	Competitive	Y	T.M. Bier & Associates	T.M. Bier - Multisite - RTEM	6/1/2020	\$459,304	\$459,304			
PGRM	Real Time Enrgy Management	154569	Competitive	Y	Environmental Systems Design, Inc.	ESD - 40 West 20th St - RTEM	6/2/2020	\$42,405	\$42,405			
PGRM	Real Time Enrgy Management	154689	Competitive	Y	Integrated Energy Service Corp	Integrated- 375 Park Ave -RTEM	6/5/2020	\$107,090	\$107,090			
PGRM	Real Time Enrgy Management	154789	Competitive	Y	Siemens Industry, Inc.	Siemens-1425 Madison Ave-RTEM	6/10/2020	\$152,803	\$152,803		\$129,555	\$129,555
PGRM	Real Time Enrgy Management	154791	Competitive	Y	Siemens Industry, Inc.	Siemens-260 Cooper Rd-RTEM	6/10/2020	\$48,437	\$48,437		\$34,581	\$34,581
PGRM	Real Time Enrgy Management	154925	Competitive	Y	Aircuity, Inc.	Aircuity-85 Murray Hill-RTEM	6/16/2020	\$55,208	\$55,208			
PGRM	Real Time Enrgy Management	154987	Competitive	Y	Integrated Energy Service Corp	Integrated- 810 7th Ave - RTEM	6/17/2020	\$78,110	\$78,110		\$6,870	\$6,870
PGRM	Real Time Enrgy Management	154988	Competitive	Y	Siemens Industry, Inc.	Siemens-84 Greenwood St-RTEM	6/17/2020	\$163,664	\$163,664		\$99,514	\$99,514
PGRM	Real Time Enrgy Management	155028	Competitive	Y	Steven Winter Associates, Inc.	SWA - 2051 Ryer Ave - RTEM	6/18/2020	\$58,981	\$58,981			
PGRM	Real Time Enrgy Management	155029	Competitive	Y	Bright Power, Inc.	BP - 188 Warburton - RTEM	6/19/2020	\$46,160	\$46,160		\$15,440	\$15,440
PGRM	Real Time Enrgy Management	155030	Competitive	Y	Bright Power, Inc.	BP - Multisite - RTEM	6/19/2020	\$69,480	\$69,480		\$34,480	\$34,480
PGRM	Real Time Enrgy Management	155082	Competitive	Y	O'Brien & Gere Engineers, Inc.	O'Brien -	6/22/2020	\$63,192	\$63,192			
PGRM	Real Time Enrgy Management	155143	Competitive	Y	RGBS Enterprises, Inc.	RGBS - 299 Park Ave - RTEM	6/24/2020	\$800,000	\$800,000			
PGRM	Real Time Enrgy Management	155159	Competitive	Y	Siemens Industry, Inc.	Siemens-3338 E Main St-RTEM	6/25/2020	\$162,420	\$162,420			
PGRM	Real Time Enrgy Management	155160	Competitive	Y	Day Automation Systems, Inc.	DA - Multisite - RTEM	6/25/2020	\$527,799	\$527,799			
PGRM	Real Time Enrgy Management	155185	Competitive	Y	Trane	Trane - 48 E 84 St - RTEM	6/25/2020	\$67,510	\$67,510		\$45,015	\$45,015
PGRM	Real Time Enrgy Management	155226	Competitive	Y	Trane	Trane - 22 Cortlandt St - RTEM	6/26/2020	\$285,931	\$285,931			
PGRM	Real Time Enrgy Management	155553	Competitive	Y	Schneider Electric Buildings Americas, I	SEBA Inc - 445 E 69 St - RTEM	7/8/2020	\$137,091	\$137,091		\$30,000	\$30,000
PGRM	Real Time Enrgy Management	155641	Competitive	Y	Dual Fuel Corp	DF - 798 9th Avenue - RTEM	7/10/2020	\$28,740	\$28,740			
PGRM	Real Time Enrgy Management	155648	Competitive	Y	Dual Fuel Corp	DF - 1855 7th Avenue - RTEM	7/10/2020	\$42,240	\$42,240			
PGRM	Real Time Enrgy Management	155790	Competitive	Y	NexRev, LLC	NexRev - Multisite - RTEM	7/14/2020	\$43,200	\$43,200		\$39,960	\$39,960
PGRM	Real Time Enrgy Management	156154	Competitive	Y	Johnson Controls Inc.	JohnsonControls-Multisite-RTEM	7/23/2020	\$121,501	\$121,501			
PGRM	Real Time Enrgy Management	156158	Competitive	Y	Johnson Controls Inc.	JohnsonControls-MultiSite-RTEM	7/24/2020	\$98,532	\$98,532			
PGRM	Real Time Enrgy Management	156182	Competitive	Y	Johnson Controls Inc.	JohnsonControls-MultiSite-RTEM	7/24/2020	\$20,807	\$20,807			

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PGRM	Real Time Enrgy Management	156183	Competitive	Y	Dual Fuel Corp	Dual Fuel- 311 E 71st St-RTEM	7/24/2020	\$33,090	\$33,090		
PGRM	Real Time Enrgy Management	156184	Competitive	Y	Dual Fuel Corp	Dual Fuel-404 W 54th St-RTEM	7/24/2020	\$58,740	\$58,740		
PGRM	Real Time Enrgy Management	156286	Competitive	Y	Siemens Industry, Inc.	Siemens-745 7th Ave-RTEM	7/28/2020	\$272,681	\$272,681	\$50,668	\$50,668
PGRM	Real Time Enrgy Management	156397	Competitive	Y	Ecorithm, Inc.	Ecorithm - 888 7th Ave - RTEM	7/30/2020	\$49,800	\$49,800		
PGRM	Real Time Enrgy Management	156398	Competitive	Y	Prescriptive Data	Prescriptive-55 E 52nd St-RTEM	7/30/2020	\$58,384	\$58,384		
PGRM	Real Time Enrgy Management	156482	Competitive	Y	Ecorithm, Inc.	Ecorithm-350 Park Ave - RTEM	7/31/2020	\$33,800	\$33,800		
PGRM	Real Time Enrgy Management	156484	Competitive	Y	Ecorithm, Inc.	Ecorithm - 11 Penn Plaza -RTEM	7/31/2020	\$57,200	\$57,200		
PGRM	Real Time Enrgy Management	156637	Competitive	Y	NexRev, LLC	NexRev-Multisite-RTEM	8/5/2020	\$301,006	\$301,006		
PGRM	Real Time Enrgy Management	156639	Competitive	Y	NexRev, LLC	NexRev-Multisite-RTEM	8/5/2020	\$465,191	\$465,191		
PGRM	Real Time Enrgy Management	156640	Competitive	Y	NexRev, LLC	NexRev-Multisite-RTEM	8/5/2020	\$246,278	\$246,278		
PGRM	Real Time Enrgy Management	156852	Competitive	Y	New Ecology, Inc.	New Ecology-160 Warburton-RTEM	8/11/2020	\$7,749	\$7,749		
PGRM	Real Time Enrgy Management	156963	Competitive	Y	Siemens Industry, Inc.	Siemens-100 Mendon-RTEM	8/13/2020	\$69,083	\$69,083	\$27,327	\$27,327
PGRM	Real Time Enrgy Management	157174	Competitive	Y	Bright Power, Inc.	Bright Power-2980 Park-RTEM	8/19/2020	\$76,000	\$76,000	\$48,000	\$48,000
PGRM	Real Time Enrgy Management	157175	Competitive	Y	Bright Power, Inc.	Bright Power-655 Morris-RTEM	8/19/2020	\$56,000	\$56,000	\$28,000	\$28,000
PGRM	Real Time Enrgy Management	157176	Competitive	Y	Dual Fuel Corp	Dual Fuel-660 Nereid-RTEM	8/19/2020	\$47,340	\$47,340		
PGRM	Real Time Enrgy Management	157177	Competitive	Y	Dual Fuel Corp	Dual Fuel-Multisites-RTEM	8/19/2020	\$49,830	\$49,830		
PGRM	Real Time Enrgy Management	157312	Competitive	Y	Schneider Electric Buildings Americas, I	Schneider-140 58th St-RTEM	8/24/2020	\$13,741	\$13,741		
PGRM	Real Time Enrgy Management	157313	Competitive	Y	Siemens Industry, Inc.	Siemens-277Park-RTEM	8/24/2020	\$244,982	\$244,982		
PGRM	Real Time Enrgy Management	157314	Competitive	Y	BEP Analytics LLC	BEP-150 Energy-RTEM	8/24/2020	\$102,028	\$102,028		
PGRM	Real Time Enrgy Management	157334	Competitive	Y	Legend Energy Advisors	LEA-1414 AOA-RTEM	8/26/2020	\$40,996	\$40,996		
PGRM	Real Time Enrgy Management	157335	Competitive	Y	Group-S LLC	SGRp-280 Collins-RTEM	8/26/2020	\$27,990	\$27,990		
PGRM	Real Time Enrgy Management	157336	Competitive	Y	Group-S LLC	GRPS-405W23rd-RTEM	8/26/2020	\$130,800	\$130,800		
PGRM	Real Time Enrgy Management	157338	Competitive	Y	Dual Fuel Corp	Dual Fuel-51Cross-RTEM	8/26/2020	\$8,460	\$8,460	\$6,900	\$6,900
PGRM	Real Time Enrgy Management	157470	Competitive	Y	Integrated Energy Service Corp	IES-75 Rockefeller-RTEM	8/28/2020	\$96,760	\$96,760		
PGRM	Real Time Enrgy Management	157471	Competitive	Y	Integrated Energy Service Corp	IES-230 Park-RTEM	8/28/2020	\$171,570	\$171,570		
PGRM	Real Time Enrgy Management	157472	Competitive	Y	Integrated Energy Service Corp	IES-450Lexington-RTEM	8/28/2020	\$123,300	\$123,300		
PGRM	Real Time Enrgy Management	157473	Competitive	Y	Dual Fuel Corp	Dual Fuel-531ELincoln-RTEM	8/28/2020	\$16,140	\$16,140	\$13,800	\$13,800
PGRM	Real Time Enrgy Management	157474	Competitive	Y	Integrated Energy Service Corp	IES-530 5th-RTEM	8/28/2020	\$87,480	\$87,480		
PGRM	Real Time Enrgy Management	157475	Competitive	Y	Integrated Energy Service Corp	IES-620 AOA-RTEM	8/28/2020	\$93,120	\$93,120		
PGRM	Real Time Enrgy Management	157476	Competitive	Y	Integrated Energy Service Corp	IES- 825 8th - RTEM	8/28/2020	\$162,650	\$162,650		
PGRM	Real Time Enrgy Management	157477	Competitive	Y	Integrated Energy Service Corp	IEA - 1330 AOA - RTEM	8/28/2020	\$87,480	\$87,480		
PGRM	Real Time Enrgy Management	157478	Competitive	Y	Integrated Energy Service Corp	IES-32 Old Slip-RTEM	8/28/2020	\$140,690	\$140,690		
PGRM	Real Time Enrgy Management	157479	Competitive	Y	Integrated Energy Service Corp	IES - 61 Broadway - RTEM	8/28/2020	\$94,730	\$94,730		
PGRM	Real Time Enrgy Management	157482	Competitive	Y	Dual Fuel Corp	Dual Fuel-Multisite-RTEM	8/28/2020	\$12,750	\$12,750	\$11,400	\$11,400
PGRM	Real Time Enrgy Management	157691	Competitive	Y	Legend Energy Advisors	Legend-30 W 31st-RTEM	9/2/2020	\$44,860	\$44,860		
PGRM	Real Time Enrgy Management	157692	Competitive	Y	Cortex Building Intelligence, Inc.	Cortex-1301 6th Ave-RTEM	9/2/2020	\$110,500	\$110,500		
PGRM	Real Time Enrgy Management	157693	Competitive	Y	Integrated HVAC Systems and Services	Integrated-11629 Seaview-RTEM	9/2/2020	\$75,300	\$75,300		
PGRM	Real Time Enrgy Management	157694	Competitive	Y	Bright Power, Inc.	Bright-86DeKalb-RTEM	9/2/2020	\$77,640	\$77,640	\$21,640	\$21,640
PGRM	Real Time Enrgy Management	157695	Competitive	Y	Bright Power, Inc.	Bright Power - Multi - RTEM	9/2/2020	\$61,480	\$61,480	\$25,960	\$25,960
PGRM	Real Time Enrgy Management	158061	Competitive	Y	Bright Power, Inc.	BrightPower-201 E 86th St-RTEM	9/11/2020	\$46,140	\$46,140	\$14,160	\$14,160
PGRM	Real Time Enrgy Management	158062	Competitive	Y	Bright Power, Inc.	TwoTrees-300 Ashland Pl-RTEM	9/11/2020	\$40,900	\$40,900	\$14,250	\$14,250
PGRM	Real Time Enrgy Management	158207	Competitive	Y	BPP MFNY Employer LLC	BPPMFNYEmpLLC#1	9/15/2020	\$523,610	\$523,610	\$315,772	\$315,772
PGRM	Real Time Enrgy Management	158208	Competitive	Y	BPP MFNY Employer LLC	BPP - multisite - RTEM	9/15/2020	\$496,051	\$496,051	\$299,152	\$299,152
PGRM	Real Time Enrgy Management	158209	Competitive	Y	BPP MFNY Employer LLC	BPPMFNYEmpLLC#3-Multi-RTEM	9/15/2020	\$440,935	\$440,935	\$265,913	\$265,913
PGRM	Real Time Enrgy Management	158210	Competitive	Y	BPP MFNY Employer LLC	BPPMFNYEmpLLC#4-Multi-RTEM	9/15/2020	\$1,074,404	\$1,074,404	\$523,471	\$523,471
PGRM	Real Time Enrgy Management	158211	Competitive	Y	BPP MFNY Employer LLC	BPPMFNYEmpLLC#5-multi-RTEM	9/15/2020	\$465,000	\$465,000	\$456,928	\$456,928
PGRM	Real Time Enrgy Management	158296	Competitive	Y	Integrated Energy Service Corp	Integrated- 5 Times Sq -RTEM	9/16/2020	\$132,840	\$132,840		
PGRM	Real Time Enrgy Management	158297	Competitive	Y	Siemens Industry, Inc.	Siemens- 2830 W 22nd St-RTEM	9/16/2020	\$200,000	\$200,000		
PGRM	Real Time Enrgy Management	158428	Competitive	Y	Switch Automation Inc.	SwitchAuto- 1745 Broadway RTEM	9/18/2020	\$200,000	\$200,000		
PGRM	Real Time Enrgy Management	158668	Competitive	Y	Energy Technology Savings, Inc.	ETS-825-875Boytton-RTEM	9/23/2020	\$41,550	\$41,550	\$40,650	\$40,650
PGRM	Real Time Enrgy Management	158669	Competitive	Y	Bright Power, Inc.	BP-1755 York-RTEM	9/23/2020	\$55,960	\$55,960	\$17,610	\$17,610
PGRM	Real Time Enrgy Management	159175	Competitive	Y	Carbon Lighthouse	CL-Multisite-RTEM	10/6/2020	\$167,133	\$167,133		
PGRM	Real Time Enrgy Management	159549	Competitive	Y	Enerxiv Inc.	Enerxiv-10Barclay-RTEM	10/14/2020	\$23,247	\$23,247		
PGRM	Real Time Enrgy Management	159550	Competitive	Y	Enerxiv Inc.	Enerxiv-350 E 79th - RTEM	10/14/2020	\$23,035	\$23,035		
PGRM	Real Time Enrgy Management	159551	Competitive	Y	Enerxiv Inc.	Enerxiv - 515 E 86th St - RTEM	10/14/2020	\$60,447	\$60,447		
PGRM	Real Time Enrgy Management	159552	Competitive	Y	Enerxiv Inc.	Enerxiv-515 W 52nd	10/14/2020	\$18,323	\$18,323		
PGRM	Real Time Enrgy Management	159553	Competitive	Y	Enerxiv Inc.	Enerxiv - 50 N 5th - RTEM	10/14/2020	\$14,321	\$14,321		
PGRM	Real Time Enrgy Management	159692	Competitive	Y	RED Rochester	REDRoch-1200 Ridgeway-RTEM	10/19/2020	\$579,544	\$579,544		
PGRM	Real Time Enrgy Management	161014	Competitive	Y	Parity Inc	Parity-450 North End Ave-RTEM	11/17/2020	\$42,763	\$42,763		
PGRM	Real Time Enrgy Management	161015	Competitive	Y	Parity Inc	Parity-300 East 75th St-RTEM	11/17/2020	\$60,901	\$60,901		
PGRM	Real Time Enrgy Management	161085	Competitive	Y	Energy Technology Savings, Inc.	Two Trees-60 Water St-RTEM	11/18/2020	\$8,166	\$8,166	\$2,766	\$2,766
PGRM	Real Time Enrgy Management	161198	Competitive	Y	Steven Winter Associates, Inc.	Steven Winter-11220 72 Dr-RTEM	11/23/2020	\$25,562	\$25,562		
PGRM	Real Time Enrgy Management	161236	Competitive	Y	Steven Winter Associates, Inc.	SWA - 400 2nd Ave - RTEM	11/23/2020	\$35,901	\$35,901		
PGRM	Real Time Enrgy Management	161443	Competitive	Y	Integrated Energy Service Corp	Integrated-SL Green Multi-RTEM	12/2/2020	\$600,480	\$600,480		
PGRM	Real Time Enrgy Management	161489	Competitive	Y	Integrated HVAC Systems and Services	Integrated 251 W 117th St RTEM	12/3/2020	\$78,720	\$78,720		
PGRM	Real Time Enrgy Management	161625	Competitive	Y	Integrated HVAC Systems and Services	Integrated-3446 Vernon -RTEM	12/4/2020	\$155,000	\$155,000		
PGRM	Real Time Enrgy Management	161630	Competitive	Y	Integrated HVAC Systems and Services	Integrated-185 S 4th St-RTEM	12/4/2020	\$28,800	\$28,800		
PGRM	Real Time Enrgy Management	161720	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-260 Kent Avenue	12/7/2020	\$10,350	\$10,350	\$4,950	\$4,950
PGRM	Real Time Enrgy Management	161770	Competitive	Y	Energy Technology Savings, Inc.	Energy Tech-325 Kent Ave-RTEM	12/8/2020	\$10,350	\$10,350	\$4,950	\$4,950
PGRM	Real Time Enrgy Management	161771	Competitive	Y	Energy Technology Savings, Inc.	Energy-2655 Richmond Av-RTEM	12/8/2020	\$200,000	\$200,000		
PGRM	Real Time Enrgy Management	161819	Competitive	Y	Integrated HVAC Systems and Services	Integrated-401 W 25 St-RTEM	12/9/2020	\$23,250	\$23,250	\$19,530	\$19,530
PGRM	Real Time Enrgy Management	161820	Competitive	Y	Integrated HVAC Systems and Services	Integrated-260 W 26 St-RTEM	12/9/2020	\$24,060	\$24,060		
PGRM	Real Time Enrgy Management	162340	Competitive	Y	ONIX, Inc.	ONIX Inc. - Multisite - RTEM	12/21/2020	\$279,237	\$279,237		
PGRM	Real Time Enrgy Management	162421	Competitive	Y	Cc Controlled Combustion Co., Inc.	Cc Cont -295 Cherry St-RTEM	12/22/2020	\$29,768	\$29,768		
PGRM	Real Time Enrgy Management	162584	Competitive	Y	Energy Technology Savings, Inc.	EnergyTech-Mercedes House-RTEM	12/28/2020	\$11,770	\$11,770	\$6,370	\$6,370
PGRM	Real Time Enrgy Management	162664	Competitive	Y	Automated Logic Contracting Services, In	ALCS-412 E 9th St-RTEM	12/29/2020	\$119,250	\$119,250		
PGRM	Real Time Enrgy Management	162667	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1633 Broadway-RTEM	12/29/2020	\$167,358	\$167,358		
PGRM	Real Time Enrgy Management	162668	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1333 Broadway-RTEM	12/29/2020	\$84,434	\$84,434		
PGRM	Real Time Enrgy Management	162669	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1359 Broadway-RTEM	12/29/2020	\$109,898	\$109,898		
PGRM	Real Time Enrgy Management	162670	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-250 W 57th-RTEM	12/29/2020	\$41,839	\$41,839		
PGRM	Real Time Enrgy Management	162671	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-501 7th-RTEM	12/29/2020	\$41,839	\$41,839		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Real Time Enrgy Management	162672	Competitive	Y	Cortex Building Intelligence, Inc.	CBI-1350 Broadway-RTEM	12/29/2020	\$31,590	\$31,590		
PGRM	Real Time Enrgy Management	162678	Competitive	Y	Enica Engineering, PLLC	Enica-731 Lexington-RTEM	12/29/2020	\$102,705	\$102,705		
PGRM	Real Time Enrgy Management	162679	Competitive	Y	Environmental Systems Design, Inc.	ESD-2000 Purchase-RTEM	12/29/2020	\$111,971	\$111,971		
PGRM	Real Time Enrgy Management	162681	Competitive	Y	Integrated Energy Service Corp	IES-470 Vanderbilt-RTEM	12/29/2020	\$84,420	\$84,420		
PGRM	Real Time Enrgy Management	162683	Competitive	Y	NexRev, LLC	NexRev-Multisite-RTEM	12/29/2020	\$136,821	\$136,821		
PGRM	Real Time Enrgy Management	162685	Competitive	Y	RGBS Enterprises, Inc.	RGBS-1345 Ave-RTEM	12/29/2020	\$250,000	\$250,000		
PGRM	Real Time Enrgy Management	162687	Competitive	Y	Siemens Industry, Inc.	Siemens-5285 Lewiston-RTEM	12/29/2020	\$81,180	\$81,180		
PGRM	Real Time Enrgy Management	162688	Competitive	Y	Siemens Industry, Inc.	Siemens-153 W Buffalo-RTEM	12/29/2020	\$22,866	\$22,866		
PGRM	Real Time Enrgy Management	162690	Competitive	Y	Siemens Industry, Inc.	Siemens-150 W 65th-RTEM	12/29/2020	\$135,559	\$135,559		
PGRM	Real Time Enrgy Management	162692	Competitive	Y	Siemens Industry, Inc.	Siemens-11 Wall Street-RTEM	12/29/2020	\$200,000	\$200,000		
PGRM	Real Time Enrgy Management	162693	Competitive	Y	Switch Automation Inc.	Switch-450 E 29th-RTEM	12/29/2020	\$32,370	\$32,370		
PGRM	Real Time Enrgy Management	162695	Competitive	Y	T.M. Bier & Associates	TMB-535 E 70th-RTEM	12/29/2020	\$96,840	\$96,840		
PGRM	Real Time Enrgy Management	162696	Competitive	Y	Taitem Engineering, P.C.	Taitem-55 Brown-RTEM	12/29/2020	\$60,894	\$60,894	\$20,317	\$20,317
PGRM	Real Time Enrgy Management	162697	Competitive	Y	Trane	Trane-320 Park-RTEM	12/29/2020	\$250,000	\$250,000		
PGRM	Real Time Enrgy Management	162699	Competitive	Y	Trane	Trane-403 Main-RTEM	12/29/2020	\$150,814	\$150,814		
PGRM	Real Time Enrgy Management	162701	Competitive	Y	Trane	Trane-760 Parkside Ave-RTEM	12/29/2020	\$32,932	\$32,932		
PGRM	Real Time Enrgy Management	163984	Competitive	Y	EMCOR Services Betlem	EMCOR - Multisite - RTEM	2/2/2021	\$211,991	\$211,991		
PGRM	Real Time Enrgy Management	163992	Competitive	Y	EMCOR Services Betlem	EMCOR-St Bonaventure-RTEM	2/3/2021	\$200,000	\$200,000		
PGRM	Real Time Enrgy Management	118461A	Competitive	N	Willdan Energy Solutions	Assignment of Agreement	1/22/2020		\$21,000	(\$1,500)	\$4,500
PGRM	Real Time Enrgy Management	121001A	Competitive	Y	Edison Energy Group Inc	EnerActive-300 E 66th St-RTEM	11/27/2018		\$45,360		\$16,200
PGRM	Real Time Enrgy Management	121001B	Competitive	Y	Edison Energy, LLC	EnerActive-300 E 66th St-RTEM	2/26/2020	\$29,160	\$29,160		
PGRM	Real Time Enrgy Management	121231A	Competitive	Y	JouleSmart Solutions, Inc.	JouleSmart-500 Yonkers Ave-RTEM	5/19/2020	\$83,411	\$83,411	\$32,707	\$32,707
PGRM	Real Time Enrgy Management	121291A	Competitive	N	JouleSmart Solutions, Inc.	YonkersHonda-2000Central-RTEM	2/28/2020	\$36,379	\$36,379	\$8,395	\$8,395
PGRM	Real Time Enrgy Management	129802A	Competitive	Y	JouleSmart Solutions, Inc.	Joule Smart-Multisite-RTEM	5/21/2020	\$65,920	\$65,920	\$8,869	\$8,869
PGRM	Real Time Enrgy Management	135309A	Competitive	Y	JouleSmart Solutions, Inc.	JouleSmart - MultiSite - RTEM	5/21/2020	\$82,868	\$82,868	\$28,684	\$28,684
PGRM	Real Time Enrgy Management	135314A	Competitive	Y	JouleSmart Solutions, Inc.	JouleSmart - MultiSite - RTEM	5/21/2020	\$94,840	\$94,840	\$32,829	\$32,829
PGRM	Real Time Enrgy Management	150957A	Competitive	Y	JouleSmart Solutions, Inc.	JouleSmart- 97 Lake Ave - RTEM	5/21/2020	\$24,791	\$24,791		
PGRM	REC.CES REC Contracts	33376	Competitive	Y	Sustainable BioPower LLC	8th RPS Main Tier Solicitation	6/21/2013		\$1,167,700		\$10,170
PGRM	REC.CES REC Contracts	50688	Competitive	Y	2018 Vento XVIII LLC	9th RPS Main Tier Solicitation	10/27/2014		\$99,994,755	\$2,460,338	\$2,460,338
PGRM	REC.CES REC Contracts	50689	Competitive	Y	Jericho Rise Wind Farm, LLC	9th RPS Main Tier Solicitation	10/27/2014		\$101,073,280	\$5,094,138	\$11,174,352
PGRM	REC.CES REC Contracts	71908	Competitive	Y	RES America Developments Inc	10th RPS Main Tier Solicitatio	8/27/2015		\$122,865,629		
PGRM	REC.CES REC Contracts	102952	Competitive	Y	Eagle Creek Hydro Power LLC	11th Main Tier Solicitation	8/25/2016		\$2,397,000		
PGRM	REC.CES REC Contracts	102954	Competitive	Y	Bloom Energy Corporation	11th Main Tier Solicitation	8/25/2016		\$1,079,296	\$51,923	\$119,904
PGRM	REC.CES REC Contracts	102956	Competitive	Y	Erie Boulevard Hydropower LP	11th Main Tier Solicitation	8/25/2016		\$556,871	\$17,793	\$100,764
PGRM	REC.CES REC Contracts	102957	Competitive	Y	Erie Boulevard Hydropower LP	11th Main Tier Solicitation	8/25/2016		\$2,101,549	\$58,887	\$175,305
PGRM	REC.CES REC Contracts	102958	Competitive	Y	Eight Point Wind, LLC	11th Main Tier Solicitation	8/25/2016		\$134,756,568		
PGRM	REC.CES REC Contracts	102992	Competitive	Y	Amersand Tannery Island Hydro, LLC	11th Main Tier Solicitation	8/31/2016		\$157,130	\$3,867	\$23,171
PGRM	REC.CES REC Contracts	102995	Competitive	Y	Invenergy Wind, LLC	11th Main Tier Solicitation	8/31/2016		\$163,565,450		
PGRM	REC.CES REC Contracts	103849	Competitive	Y	Black River Hydroelectric, LLC	11th Main Tier Solicitation	9/19/2016		\$9,649,800	\$245,640	\$1,845,495
PGRM	REC.CES REC Contracts	121288	Competitive	Y	Hecate Energy Columbia County 1 LLC	CESRFP1 Tier 1 RECS	12/5/2017		\$50,285,274		
PGRM	REC.CES REC Contracts	121289	Competitive	Y	Calpine Corporation	CESRFP1 Tier 1 RECS	12/5/2017		\$174,333,640		
PGRM	REC.CES REC Contracts	121290	Competitive	Y	Daybreak Solar, LLC	CESRFP1 Tier 1 RECS	12/5/2017		\$22,824,000		
PGRM	REC.CES REC Contracts	121293	Competitive	Y	Blue Stone Solar, LLC	CESRFP1 Tier 1 RECS	12/5/2017		\$16,495,500		
PGRM	REC.CES REC Contracts	121294	Competitive	Y	High River Energy Center, LLC	CESRFP1 Tier 1 RECS	12/5/2017		\$74,651,782		
PGRM	REC.CES REC Contracts	121296	Competitive	Y	Branscomb Solar, LLC	CESRFP1 Tier 1 RECS	12/5/2017		\$18,357,515		
PGRM	REC.CES REC Contracts	121424	Competitive	Y	Greene County Energy Properties, LLC	CESRFP1 Tier 1 RECS	12/7/2017		\$13,272,894		
PGRM	REC.CES REC Contracts	121428	Competitive	Y	Tayandena Solar, LLC	CESRFP1 Tier 1 RECS	12/7/2017		\$19,867,860		
PGRM	REC.CES REC Contracts	121429	Competitive	Y	Little Pond Solar, LLC	CESRFP1 Tier 1 RECS	12/7/2017		\$18,026,022		
PGRM	REC.CES REC Contracts	121434	Competitive	Y	Pattersonville Solar Facility, LLC	CESRFP1 Tier 1 RECS	12/7/2017		\$20,899,290		
PGRM	REC.CES REC Contracts	121946	Competitive	Y	Flint Mine Solar LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$106,028,340		
PGRM	REC.CES REC Contracts	122004	Competitive	Y	Puckett Solar, LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$21,160,250		
PGRM	REC.CES REC Contracts	122005	Competitive	Y	Janis Solar, LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$21,538,500		
PGRM	REC.CES REC Contracts	122006	Competitive	Y	Grissom Solar, LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$22,013,640		
PGRM	REC.CES REC Contracts	122007	Competitive	Y	Darby Solar, LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$22,064,000		
PGRM	REC.CES REC Contracts	122008	Competitive	Y	Regan Solar, LLC	CESRFP1 Tier 1 RECS	12/21/2017		\$21,755,070		
PGRM	REC.CES REC Contracts	122138	Competitive	Y	East Point Energy Center, LLC	CESRFP1 Tier 1 RECS	12/28/2017		\$78,671,086		
PGRM	REC.CES REC Contracts	122286	Competitive	Y	Rock District Solar, LLC	CESRFP1 Tier 1 RECS	1/3/2018		\$20,508,800		
PGRM	REC.CES REC Contracts	122334	Competitive	Y	Tirreme Energy Development II, LLC	CESRFP1 Tier 1 RECS	1/5/2018		\$408,502,640		
PGRM	REC.CES REC Contracts	134570	Competitive	Y	Calpine Corporation	REC.CES REC Contracts	12/3/2018		\$137,079,080		
PGRM	REC.CES REC Contracts	134729	Competitive	Y	Avangrid Renewables LLC	REC.CES REC Contracts	12/4/2018		\$118,949,065		
PGRM	REC.CES REC Contracts	134730	Competitive	Y	Mohawk Solar LLC	REC.CES REC Contracts	12/4/2018		\$98,332,809		
PGRM	REC.CES REC Contracts	134731	Competitive	Y	ELP Stillwater Solar LLC	REC.CES REC Contracts	12/4/2018		\$16,708,475		
PGRM	REC.CES REC Contracts	134732	Competitive	Y	Hannacroix Solar Facility LLC	REC.CES REC Contracts	12/4/2018		\$5,460,400		
PGRM	REC.CES REC Contracts	135134	Competitive	Y	Morris Ridge Solar Energy Center, LLC	Morris Ridge Solar	12/13/2018		\$99,364,518		
PGRM	REC.CES REC Contracts	135307	Competitive	Y	Bakerstand Solar LLC	Bakerstand Solar	12/18/2018		\$14,394,219		
PGRM	REC.CES REC Contracts	135312	Competitive	Y	Watkins Glen Solar Energy Center, LLC	Watkins Glen Solar Energy Cntr	12/18/2018		\$43,413,832		
PGRM	REC.CES REC Contracts	135313	Competitive	Y	Trelina Solar Energy Center LLC	North Light Energy Center	12/18/2018		\$63,753,997		
PGRM	REC.CES REC Contracts	135315	Competitive	Y	Excelsior Energy Center, LLC	Excelsior Energy Center	12/18/2018		\$239,959,044		
PGRM	REC.CES REC Contracts	135316	Competitive	Y	SED NY Holdings	SED Watkins Road Solar I	12/18/2018	\$15,457,722		\$15,457,722	
PGRM	REC.CES REC Contracts	135317	Competitive	Y	SED NY Holdings	SED Skyline Solar - RESRFP18-1	12/18/2018	\$15,759,750		\$15,759,750	
PGRM	REC.CES REC Contracts	135318	Competitive	Y	SED NY Holdings	SED Hills Solar	12/18/2018		\$15,366,526		
PGRM	REC.CES REC Contracts	135319	Competitive	Y	SED NY Holdings	SED Dog Corners Solar	12/18/2018	\$15,913,702		\$15,913,702	
PGRM	REC.CES REC Contracts	135320	Competitive	Y	SED NY Holdings	SED Clay Solar	12/18/2018		\$15,507,594		
PGRM	REC.CES REC Contracts	135427	Competitive	Y	Apex Clean Energy Holdings, LLC	Heritage Wind, LLC	12/20/2018		\$197,839,368		
PGRM	REC.CES REC Contracts	135429	Competitive	Y	Invenergy Solar Development North Americ	Horseshoe Solar	12/20/2018		\$174,449,410		
PGRM	REC.CES REC Contracts	135621	Competitive	Y	Silver Lake Solar LLC	Silver Lake Solar	12/28/2018	\$19,252,800		\$19,252,800	
PGRM	REC.CES REC Contracts	135627	Competitive	Y	SunEast Renewables LLC	Manchester Solar	12/28/2018	\$17,134,785		\$17,134,785	
PGRM	REC.CES REC Contracts	148788	Competitive	Y	Boralex US Energy Inc	CESRFP1 Tier 1 RECS Bald Mtn.	12/11/2019	\$11,879,960		\$11,879,960	
PGRM	REC.CES REC Contracts	148789	Competitive	Y	Boralex US Energy Inc	CESRFP1 Tier 1 RECS West River	12/11/2019	\$11,879,960		\$11,879,960	
PGRM	REC.CES REC Contracts	148790	Competitive	Y	Boralex US Energy Inc.	CESRFP1 Tier 1 RECS	12/11/2019	\$69,916,454		\$69,916,454	
PGRM	REC.CES REC Contracts	148791	Competitive	Y	Boralex US Energy Inc	CESRFP1 Tier 1 RECS	12/11/2019	\$16,715,860		\$16,715,860	

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	REC:CES REC Contracts	148792	Competitive	Y	ConnectGen Chautauqua County LLC	South Ripley - RESRFP19-1	12/11/2019	\$170,838,976	\$170,838,976		
PGRM	REC:CES REC Contracts	148793	Competitive	Y	ELP Ticonderoga Solar LLC - ELP Ticonder	ELP Ticonderoga - RESRFP19-1	12/11/2019	\$15,821,608	\$15,821,608		
PGRM	REC:CES REC Contracts	148794	Competitive	Y	Martin Rd Solar LLC	CESRFP1 Tier 1 RECS	12/11/2019	\$15,282,332	\$15,282,332		
PGRM	REC:CES REC Contracts	148795	Competitive	Y	Garnet Energy Center, LLC	CESRFP1 Tier 1 RECS	12/11/2019		\$234,144,030		
PGRM	REC:CES REC Contracts	148796	Competitive	Y	North Side Energy Center, LLC	CESRFP1 Tier 1 RECS	12/11/2019		\$176,084,277		
PGRM	REC:CES REC Contracts	148797	Competitive	Y	Terra-Gen Power Holdings II LLC	Prattsburgh - RESRFP19-1	12/11/2019	\$260,941,372	\$260,941,372		
PGRM	REC:CES REC Contracts	148798	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS Highview	12/11/2019	\$10,461,974	\$10,461,974		
PGRM	REC:CES REC Contracts	148799	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS TableTop	12/11/2019	\$27,678,561	\$27,678,561		
PGRM	REC:CES REC Contracts	148800	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS Fairway	12/11/2019	\$10,352,286	\$10,352,286		
PGRM	REC:CES REC Contracts	148801	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS ValleySola	12/11/2019	\$10,646,972	\$10,646,972		
PGRM	REC:CES REC Contracts	148802	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS Limestone	12/11/2019	\$10,380,223	\$10,380,223		
PGRM	REC:CES REC Contracts	148803	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS FlatHill	12/11/2019	\$11,320,113	\$11,320,113		
PGRM	REC:CES REC Contracts	148804	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS Grassy Kno	12/11/2019	\$11,439,204	\$11,439,204		
PGRM	REC:CES REC Contracts	148805	Competitive	Y	SunEast Renewables LLC	CESRFP1 Tier 1 RECS Hilltop	12/11/2019	\$11,681,550	\$11,681,550		
PGRM	REC:CES REC Contracts	148806	Competitive	Y	Erie Wind LLC	CESRFP1 Tier 1 RECS	12/11/2019	\$3,421,760	\$3,421,760		
PGRM	REC:CES REC Contracts	148807	Competitive	Y	Canandaigua Power Partners LLC	CESRFP1 Tier 1 RECS	12/11/2019	\$43,104,630	\$43,104,630		
PGRM	REC:CES REC Contracts	148808	Competitive	Y	Niagara Wind Power LLC	CESRFP1 Tier 1 RECS	12/11/2019	\$6,376,580	\$6,376,580		
PGRM	REC:CES REC Contracts	162131	Competitive	Y	EDF Renewables Development, Inc	RESRFP20-1	12/16/2020	\$38,222,829	\$38,222,829		
PGRM	REC:CES REC Contracts	162132	Competitive	Y	EDF Renewables Development, Inc.	RESRFP20-1	12/16/2020	\$57,198,394	\$57,198,394		
PGRM	REC:CES REC Contracts	162133	Competitive	Y	EDF Renewables Development, Inc.	RESRFP20-1	12/16/2020	\$39,029,473	\$39,029,473		
PGRM	REC:CES REC Contracts	162141	Competitive	Y	Hecate Energy-	RESRFP20-1 Hecate Cider Solar	12/16/2020	\$150,155,815	\$150,155,815		
PGRM	REC:CES REC Contracts	102953-1	Competitive	Y	City of Auburn	11th Main Tier Solicitation	3/13/2017		\$881,550	\$14,355	\$40,140
PGRM	REC:CES REC Contracts	102993A	Competitive	Y	Hecate Energy New York LLC	REC:CES REC Contracts	7/25/2019		\$46,123,202		
PGRM	REC:CES REC Contracts	102993A-1	Competitive	Y	HECATE ENERGY ALBANY 1 LLC	Coeymans Solar	1/26/2021	\$18,449,496	\$18,449,496		
PGRM	REC:CES REC Contracts	102993A-2	Competitive	Y	HECATE ENERGY GREENE 1 LLC	Greene County 1	1/28/2021	\$18,449,496	\$18,449,496		
PGRM	REC:CES REC Contracts	102993A-3	Competitive	Y	HECATE ENERGY GREENE 2 LLC	Greene County 2	1/28/2021	\$9,224,210	\$9,224,210		
PGRM	REC:CES REC Contracts	121289A	Competitive	Y	Northland Power U.S. Projects Inc.	Bluestone Wind	8/26/2020	\$174,333,640	\$174,333,640		
PGRM	REC:CES REC Contracts	121290A	Competitive	Y	Rising Solar, LLC	REC:CES REC Contracts	2/26/2019	\$17,378,550	\$17,378,550		
PGRM	REC:CES REC Contracts	121500-1	Competitive	Y	Invenenergy Wind, LLC	CESRFP1 Tier 1 RECS.	4/22/2020	\$466,841,264	\$466,841,264		
PGRM	REC:CES REC Contracts	122285A	Competitive	Y	Delaware River Solar, LLC	CESRFP1 Tier 1 RECS	2/13/2019		\$22,362,290		
PGRM	REC:CES REC Contracts	122285B	Competitive	Y	Sky High Solar, LLC	RESRFP17-1	12/15/2020	\$22,362,290	\$22,362,290		
PGRM	REC:CES REC Contracts	134570A	Competitive	Y	Northland Power U.S. Projects Inc.	High Bridge Wind	8/24/2020	\$137,079,080	\$137,079,080		
PGRM	REC:CES REC Contracts	33376A	Competitive	Y	Generate Buffalo Digester LLC	8th RPS Main Tier Solicitation	5/23/2018	\$1,157,530	\$1,157,530	\$189,360	\$189,360
PGRM	REC:CES REC Contracts	70312-1	Competitive	Y	Morgan Stanley Services Holding, LLC	10th RPS Main Tier Solicitatio	4/28/2017		\$2,529,600	\$103,080	\$458,960
PGRM	REC:CES REC Contracts	70318-	Competitive	Y	Erie Boulevard Hydropower LP	10th RPS Main Tier Solicitatio	10/7/2015		\$1,111,329	\$31,137	\$92,669
PGRM	REC:CES REC Contracts	71908A	Competitive	Y	Northland Power U.S. Projects Inc.	Ball Hill Wind Farm	11/16/2020	\$122,865,629	\$122,865,629		
PGRM	REC:CES REC System Dev Costs	101124	Competitive	Y	Sustainable Energy Advantage, LLC	Large Scales Renewables Techni	7/15/2016	\$40,050	\$1,935,410	\$7,809	\$1,342,842
PGRM	REC:CES REC System Dev Costs	143040	Competitive	N	Sustainable Energy Advantage, LLC	Supply Curve Update 101124-15	7/29/2019		\$279,728	\$85,764	\$236,520
PGRM	REC:CES REC System Dev Costs	149731	Competitive	Y	Accion Group, LLC	RFP 4146 LSR Support	1/2/2020		\$440,000	\$63,000	\$63,000
PGRM	REC:CES REC System Dev Costs	149733	Competitive	N	Sustainable Energy Advantage, LLC	RES Program Review	1/2/2020		\$199,950	\$136,486	\$163,792
PGRM	REC:CES REC System Dev Costs	150612	Discretionary	N	Consensus Building Institute, Inc.	REC:CES REC System Dev Costs	1/27/2020		\$49,884	\$13,971	\$28,918
PGRM	REC:CES REC System Dev Costs	152687	Competitive	N	Harris Wiltshire & Grannis LLP	2020 RES Agreement Support	3/18/2020		\$49,950	\$19,858	\$19,858
PGRM	REC:CES REC System Dev Costs	154575	Competitive	N	Levitant & Associates, Inc.	Large-Scale Renewables 2020 So	6/2/2020	\$750,000	\$750,000	\$208,696	\$208,696
PGRM	REC:CES REC System Dev Costs	158585	Discretionary	Y	HomeCycle Technologies, Inc.	RESRFP20-1 TEP IE	9/22/2020	\$41,145	\$41,145	\$40,145	\$40,145
PGRM	REC:CES REC System Dev Costs	159124	Discretionary	Y	M.J. Beck Consulting LLC	RESRFP20-1 TEP IE	10/2/2020	\$33,000	\$33,000	\$31,500	\$31,500
PGRM	REC:CES REC System Dev Costs	159126	Discretionary	Y	Merrimack Energy Group, Inc.	RESRFP20-1 TEP IE	10/2/2020	\$40,700	\$40,700	\$39,200	\$39,200
PGRM	REC4:CES REC4 Tech Support	152468	Competitive	N	Harris Wiltshire & Grannis LLP	CES 2.0 Support	3/12/2020	\$250,000	\$500,000	\$312,044	\$312,044
PGRM	REC4:CES REC4 Tech Support	161579	Competitive	Y	Levitant & Associates, Inc.	CES Solicitation Support	12/3/2020	\$1,355,000	\$1,355,000	\$341,734	\$341,734
PGRM	Remote Energy Management	122099	Competitive	Y	Gotham 360 LLC	RFP 3683 REM Program	12/27/2017		\$750,000	\$178,125	\$412,500
PGRM	Remote Energy Management	122100	Competitive	Y	Kilowatt Engineering, Inc.	RFP 3683 REM Program	12/27/2017		\$371,064		\$67,713
PGRM	Remote Energy Management	122101	Competitive	Y	Trane	RFP 3683 REM Program	12/27/2017		\$470,500	\$19,000	\$104,668
PGRM	Remote Energy Management	153181	Non-Competitive	N	BlocPower LLC	Screening Support for RetroFit	4/3/2020	\$250,000	\$250,000	\$169,000	\$169,000
PGRM	Remote Energy Management	155114	Discretionary	N	Integral Group LLC	Technical Support DER Study	6/23/2020	\$50,000	\$50,000	\$50,000	\$50,000
PGRM	Remote Energy Management	122098-A	Competitive	Y	U&S Services, Inc.	RFP3683 REM Program	4/22/2019		\$417,436	\$55,188	\$107,310
PGRM	Renewable Heat NY	63005	Competitive	Y	Hydronic Specialty Supply	Smart Thermal Storage System	4/22/2015		\$247,720	\$30,000	\$183,000
PGRM	Renewable Heat NY	63010	Competitive	Y	Hydronic Specialty Supply	Smart Pre-Assembly for Boilers	4/22/2015		\$283,700	\$70,000	\$165,000
PGRM	Renewable Heat NY	63019	Competitive	Y	NESCAUM	Next Gen Test Methods Research	4/22/2015		\$499,500	\$65,507	\$496,540
PGRM	Renewable Heat NY	63020	Competitive	Y	Town of Chester	Biomass Steam Boiler System	4/22/2015		\$400,000	\$54,000	\$400,000
PGRM	Renewable Heat NY	63025	Competitive	Y	ClearStak LLC	Round-Robin Testing Program	4/22/2015		\$183,520		\$180,895
PGRM	Renewable Heat NY	63029	Competitive	Y	The Research Foundation for the State	Lignocellulosic pellets	4/22/2015		\$302,627	\$7,826	\$181,236
PGRM	Renewable Heat NY	63032	Competitive	Y	NESCAUM	Wood Pellet Standard	4/22/2015		\$349,405	\$2,304	\$349,405
PGRM	Renewable Heat NY	63033	Competitive	Y	Health Research, Inc.	Ultrafine Particle Emissions	4/22/2015		\$303,210		\$303,210
PGRM	Renewable Heat NY	63035	Competitive	Y	NESCAUM	Mobile Woodsmoke PM Monitoring	4/22/2015		\$174,720	\$3,257	\$174,720
PGRM	Renewable Heat NY	63036	Competitive	Y	Cornell University-Office of Sponsored P	Biomass-Fired Emission Sources	4/22/2015		\$275,000		\$275,000
PGRM	Renewable Heat NY	63038	Competitive	Y	NanoAnalytics Inc.	Wood Combustion Nanoparticles	4/22/2015		\$159,700		\$159,700
PGRM	Renewable Heat NY	101163	Competitive	N	Honeywell International Inc.	RHNY Pellet Stove QA Services	7/18/2016		\$43,540	\$8,397	\$42,296
PGRM	Renewable Heat NY	108760	Discretionary	Y	SUNY - ESF	RENEWABLE HEAT NY	1/6/2017		\$30,000		\$27,176
PGRM	Renewable Heat NY	113311	Non-Competitive	Y	Hearthlab Solutions, LLC	Cordwood test mthd wood stoves	5/1/2017		\$150,000	\$3,825	\$149,650
PGRM	Renewable Heat NY	117670	Competitive	Y	Cornerstone Energy Services	Renewable Heat NY	8/29/2017		\$13,495		
PGRM	Renewable Heat NY	128486	Discretionary		G.W. Ehrhart, Inc.	G.W. Ehrhart - Roberson	6/29/2018		\$21,000		
PGRM	Renewable Heat NY	131754	Competitive	N	G.W. Ehrhart, Inc.	Ehrhart Energy - Ligas	9/28/2018		\$21,000		
PGRM	Renewable Heat NY	133660	Competitive		The Radiant Store	Radiant Store - Polak	11/7/2018		\$12,000		
PGRM	Renewable Heat NY	134240	Competitive		Cornerstone Energy Services	Cornerstone - Bullard	11/20/2018		\$19,503		
PGRM	Renewable Heat NY	138116	Competitive	N	TRC Energy Services	Ray Brook TWO	3/18/2019		\$113,425	\$8,924	\$69,805
PGRM	Renewable Heat NY	150611	Competitive	N	Vincent's Heating and Fuel Service, LLC	Vincent's Heating - Cross	1/27/2020		\$26,120	\$15,672	\$26,120
PGRM	Renewable Heat NY	153239	Competitive	N	The Radiant Store	Radiant Store - Vanhorn	4/6/2020	\$18,000	\$18,000	\$18,000	\$18,000
PGRM	Renewable Heat NY	154420	Competitive		Cornerstone Energy Services	RHNY	5/27/2020	\$23,150	\$23,150		
PGRM	Renewable Heat NY	154572	Competitive	N	The Radiant Store	Radiant Store - McIntyre	6/2/2020	\$12,000	\$12,000	\$12,000	\$12,000
PGRM	Renewable Heat NY	154589	Competitive	N	The Radiant Store	Radiant Store - Reed	6/2/2020	\$12,000	\$12,000	\$12,000	\$12,000
PGRM	Renewable Heat NY	158431	Competitive	Y	Gaia Sharbel Energy Contracting	Gaia Sharbel Energy Contractin	9/18/2020	\$7,000	\$7,000	\$7,000	\$7,000
PGRM	Renewable Heat NY	159268	Competitive	N	The Radiant Store	Radiant Store - Ray Siegar	10/7/2020	\$12,000	\$12,000	\$12,000	\$12,000

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Renewable Heat NY	159556	Competitive		Cornerstone Energy Services	Cornerstone Services - Marin	10/14/2020	\$9,500	\$9,500		
PGRM	Renewable Heat NY	163673	Competitive	N	RHM Inc.	AEON Heating and A/C - Forkey	1/25/2021	\$21,300	\$21,300	\$21,300	\$21,300
PGRM	Renewable Heat NY	165546	Competitive	Y	Hilltop Heating Corporation	Ray Brook Large Biomass Boiler	3/15/2021	\$125,209	\$125,209		
PGRM	RENEWABLE THERMAL	83764	Competitive	Y	Apex Solar Power LLC	RENEWABLE THERMAL	12/17/2015		\$6,000		
PGRM	RENEWABLE THERMAL	86534	Competitive	Y	Earth Environmental Group, LLC	ST Incentive Program	1/11/2016		\$26,481	\$26,481	\$26,481
PGRM	RENEWABLE THERMAL	99977	Competitive	Y	Earth Environmental Group, LLC	Solar Thermal	6/16/2016		\$26,481		
PGRM	RENEWABLE THERMAL	99982	Competitive	Y	Earth Environmental Group, LLC	Solar Thermal Incentives	6/16/2016		\$26,481	\$26,481	\$26,481
PGRM	RENEWABLE THERMAL	99984	Competitive	Y	Earth Environmental Group, LLC	Solar Thermal Incentives	6/16/2016		\$26,481	\$26,481	\$26,481
PGRM	RENEWABLE THERMAL	99987	Competitive	Y	Earth Environmental Group, LLC	Solar Thermal Incentives	6/16/2016		\$26,481		
PGRM	RENEWABLE THERMAL	99990	Competitive	Y	Earth Environmental Group, LLC	Solar Thermal Incentives	6/16/2016		\$26,481		
PGRM	RENEWABLE THERMAL	91720A	Competitive	N	Meadows Senior Living L.P.	Solar Thermal Incentive	2/13/2019		\$27,055		
PGRM	RENEWABLE THERMAL	91722A	Competitive	N	Meadows Senior Living L.P.	Solar Thermal Incentive	2/13/2019		\$27,055		
PGRM	RENEWABLE THERMAL	91723A	Competitive	N	Meadows Senior Living L.P.	Solar Thermal Incentive	2/13/2019		\$35,909		\$24,973
PGRM	Retrofit NY	117319	Competitive	Y	Jasper Van den Munckhof	Retrofit NY	8/18/2017	\$200,000		\$8,400	\$136,845
PGRM	Retrofit NY	137884	Competitive	Y	Riseboro Community Partnership, Inc.	PON 4062	3/8/2019		\$1,840,000	\$749,626	\$1,279,298
PGRM	Retrofit NY	137931	Discretionary	N	Steven Winter Associates, Inc.	RetrofitNY project cost analysis	3/11/2019		\$47,366		\$44,689
PGRM	Retrofit NY	148666	Discretionary	N	Syracuse University	Syracuse University-Retrofit	12/9/2019		\$50,000	\$40,600	\$40,600
PGRM	Retrofit NY	156806	Competitive	Y	Performance Systems Development, Inc.	Multifamily Parametric Modelin	8/10/2020	\$49,910	\$49,910	\$17,020	\$17,020
PGRM	Retrofit NY	164814	Discretionary	Y	Center for Economic and Environmental Pa	Retrofit NY Assist	2/24/2021	\$49,500	\$49,500		
PGRM	REV Campus Challenge	81074	Competitive	Y	Novus Engineering, P.C.	FlexTech Consultant Selection	11/17/2015		\$51,897	\$0	\$28,284
PGRM	REV Campus Challenge	98825	Competitive	Y	Bard College	RFP 3214 REV Campus Challenge	5/20/2016		\$1,000,000	\$142,627	\$645,352
PGRM	REV Campus Challenge	98827	Competitive	Y	Broome Community College	RFP 3214 REV Campus Challenge	5/20/2016		\$1,000,000	\$0	\$1,000,000
PGRM	REV Campus Challenge	110597	Competitive	Y	SUNY - ESF	RM2 - SUNY ESF	2/22/2017		\$154,000	\$99,458	\$146,097
PGRM	REV Campus Challenge	111605	Competitive	Y	Vassar College	RM5 - Vassar College	3/16/2017		\$111,638	\$101,488	\$101,488
PGRM	REV Campus Challenge	115877	Competitive	Y	Union College	RM8 - Union College	7/14/2017		\$150,000		
PGRM	REV Campus Challenge	115881	Competitive	Y	SUNY Brockport	RM9 - SUNY Brockport College	7/14/2017	\$16,672	\$98,650		
PGRM	REV Campus Challenge	118216	Competitive	Y	D'Youville College	RM14 - D'Youville College	9/15/2017		\$62,145		\$58,954
PGRM	REV Campus Challenge	119373	Competitive	Y	SUNY Oneonta	RM16 - SUNY Oneonta	10/16/2017		\$150,000	\$150,000	\$150,000
PGRM	REV Campus Challenge	119966	Competitive	Y	SUNY Oswego	RM17 - SUNY Oswego	10/31/2017		\$154,000		
PGRM	REV Campus Challenge	120910	Competitive	Y	Mohawk Valley Community College	RM20 - Mohawk Valley Comm. Col	11/21/2017		\$14,000		\$10,000
PGRM	REV Campus Challenge	121102	Competitive	Y	Energy & Resource Solutions, Inc.	Technical Services	11/29/2017		\$1,824,246	\$315,449	\$1,386,832
PGRM	REV Campus Challenge	121109	Competitive	Y	Luthin Associates, Inc.	REV Campus Challenge	11/29/2017		\$96,000	\$0	\$76,237
PGRM	REV Campus Challenge	121501	Competitive	Y	St. John Fisher College	RM22 - St. John Fisher College	12/8/2017		\$153,988	\$115,275	\$115,275
PGRM	REV Campus Challenge	121939	Competitive	Y	Guth Deconzo Consulting Engineers	RFP3628FlexTechConsultUmbrella	12/20/2017		\$134,126	\$45,558	\$126,666
PGRM	REV Campus Challenge	123742	Competitive	Y	Rochester Institute of Technology	RM23 - RIT	2/22/2018		\$143,504	\$70,000	\$70,000
PGRM	REV Campus Challenge	124441	Competitive	Y	CannonDesign	RFP3628FlexTechConsultUmbrella	3/20/2018		\$87,590	\$22,467	\$43,263
PGRM	REV Campus Challenge	124449	Competitive	Y	Pratt Institute	RM24 - Pratt Institute	3/21/2018		\$19,874		
PGRM	REV Campus Challenge	125262	Competitive	Y	University of Rochester	RFP 3675 REV Campus Challenge	4/4/2018		\$1,000,000	\$630,000	\$630,000
PGRM	REV Campus Challenge	126195	Competitive	Y	Hudson Valley Community College	RM26 - Hudson Valley CC	4/26/2018		\$54,666	\$29,976	\$54,666
PGRM	REV Campus Challenge	126603	Competitive	Y	Wagner College	RM27 - Wagner College	5/8/2018		\$118,945		
PGRM	REV Campus Challenge	134563	Competitive	Y	SUNY at Albany	RM28 - University at Albany	11/29/2018		\$19,697	\$15,334	\$16,549
PGRM	REV Campus Challenge	138778	Competitive	Y	M/E Engineering, P.C.	RM30-Cornell University-FTC	4/2/2019		\$224,140	\$129,616	\$186,635
PGRM	REV Campus Challenge	139625	Competitive	Y	L&S Energy Services, Inc.	Tech Assistance for Roadmaps	4/25/2019		\$88,831	\$25,716	\$73,218
PGRM	REV Campus Challenge	141633	Competitive	Y	Barnard College	REV Campus Challenge	6/20/2019		\$150,000		
PGRM	REV Campus Challenge	145653	Competitive	Y	Hamilton College	RM31-Hamilton College	10/2/2019		\$154,000		
PGRM	REV Campus Challenge	145952	Competitive	Y	Wells College	RM32 - Wells College	10/10/2019		\$36,832	\$26,832	\$26,832
PGRM	REV Campus Challenge	148783	Competitive	Y	New York University	RM36-NYU-Stern Business School	12/11/2019		\$94,698		
PGRM	REV Campus Challenge	148875	Competitive	Y	C&S Engineers, Inc.	FT13048 - Maria College - FTC	12/12/2019		\$23,317	\$6,888	\$6,888
PGRM	REV Campus Challenge	149171	Competitive	Y	Bergmann Associates, Architects,	Bergmann Tech Review TWO	12/18/2019		\$254,250	\$30,670	\$30,670
PGRM	REV Campus Challenge	149503	Competitive	Y	Weill Medical College at Cornell Univers	RM46 - Weill Cornell - PON	12/26/2019		\$58,484	\$58,484	\$58,484
PGRM	REV Campus Challenge	149625	Competitive	Y	Onondaga Community College	RM38 - Onondaga Community Coll	12/30/2019		\$62,625		
PGRM	REV Campus Challenge	149630	Competitive	Y	O'Brien & Gere Engineers, Inc.	RM37 - SUNY Morrisville	12/30/2019		\$131,348		
PGRM	REV Campus Challenge	149638	Competitive	Y	Guth Deconzo Consulting Engineers	RM40 - SUNY New Paltz	12/30/2019		\$114,475		
PGRM	REV Campus Challenge	149714	Competitive	Y	L&S Energy Services, Inc.	RM43 - Paul Smith's College	12/31/2019		\$52,590	\$15,069	\$15,069
PGRM	REV Campus Challenge	149727	Competitive	Y	Cornell University	RM30A - Cornell University	12/31/2019		\$9,692		
PGRM	REV Campus Challenge	149728	Competitive	Y	Bright Power, Inc.	RM45 - Marymount Manhattan	12/31/2019	\$49,605	\$49,605		
PGRM	REV Campus Challenge	151122	Competitive	Y	O'Brien & Gere Engineers, Inc.	FT13029 - 108 Ravine - FTC	2/10/2020	\$24,576	\$24,576		
PGRM	REV Campus Challenge	151355	Competitive	Y	C&S Engineers, Inc.	FT13036 - 432 Western - FTC	2/13/2020		\$7,263	\$7,257	\$7,257
PGRM	REV Campus Challenge	152971	Competitive	Y	Wendel Energy Services, LLC	RM34 - University of Rochester	3/27/2020	\$150,000	\$150,000	\$71,260	\$71,260
PGRM	REV Campus Challenge	152973	Competitive	Y	Code Green Solutions, Inc.	FT13058 - 7 East 12th - FTC	3/30/2020		\$39,537	\$21,745	\$21,745
PGRM	REV Campus Challenge	153690	Competitive	Y	Steven Winter Associates, Inc.	FT13094 - 130 Mac Dougal - FTC	4/24/2020		\$13,187		
PGRM	REV Campus Challenge	153691	Competitive	Y	Steven Winter Associates, Inc.	FT13095 - Washington Sq - FTC	4/24/2020		\$12,527		
PGRM	REV Campus Challenge	153998	Competitive	Y	Vassar College	REVCC ETL Comp Round 3	5/5/2020	\$1,171,594	\$1,171,594		
PGRM	REV Campus Challenge	153999	Competitive	Y	The City College of New York	REVCC ETL Comp Round 3	5/5/2020	\$1,437,906	\$1,437,906		
PGRM	REV Campus Challenge	154001	Competitive	Y	New York Medical College	REVCC ETL Comp Round 3	5/5/2020	\$1,300,000	\$1,300,000		
PGRM	REV Campus Challenge	154002	Competitive	Y	Syracuse University	REVCC ETL Comp Round 3	5/5/2020	\$1,390,000	\$1,390,000		
PGRM	REV Campus Challenge	154367	Competitive	Y	Noresco LLC	FT13109 - NYU Dentistry - FTC	5/22/2020		\$55,032		
PGRM	REV Campus Challenge	155219	Competitive	Y	Bright Power, Inc.	FT13118 - 3260 Henry - FTC	6/26/2020		\$10,800	\$10,800	\$4,752
PGRM	REV Campus Challenge	158303	Competitive	Y	Smith Engineering PLLC	FT13168 - 413 E 69th - FTC	9/17/2020		\$92,585	\$92,585	\$62,698
PGRM	REV Campus Challenge	160822	Competitive	Y	EME Consulting Engineering Group, LLC	FT13218 - Rose Hill - FTC	11/12/2020		\$270,000	\$270,000	
PGRM	REV Campus Challenge	161637	Competitive	Y	Wendel Energy Services, LLC	FT13252 - Uni. Rochester - FTC	12/4/2020		\$20,434	\$20,434	
PGRM	REV Campus Challenge	161917	Competitive	Y	EME Consulting Engineering Group, LLC	FT13270 - Barnard - FTC	12/10/2020		\$315,000	\$315,000	
PGRM	REV Campus Challenge	161918	Competitive	Y	Goldman Copeland Associates, P.C.	FT13271 - 101 Ludlow - FTC	12/10/2020		\$9,580	\$9,580	
PGRM	REV Campus Challenge	162595	Competitive	Y	Noresco LLC	FT13325 - Morningside - FTC	12/28/2020		\$138,886	\$138,886	
PGRM	REV Campus Challenge	162605	Competitive	Y	O'Brien & Gere Engineers, Inc.	FT13335 - Morrisville - FTC	12/28/2020		\$32,065	\$32,065	
PGRM	REV Campus Challenge	162713	Competitive	Y	Goldman Copeland Associates, P.C.	FT13346 - 214-220 E 21st - FTC	12/29/2020		\$11,984	\$11,984	
PGRM	REV Campus Challenge	162714	Competitive	Y	Goldman Copeland Associates, P.C.	FT13347 - 335 W 16th - FTC	12/29/2020		\$13,092	\$13,092	
PGRM	REV Campus Challenge	162716	Competitive	Y	Goldman Copeland Associates, P.C.	FT13349 - 133 21st - FTC	12/29/2020		\$8,395	\$8,395	
PGRM	REV Campus Challenge	120621-1	Competitive	Y	Yeshiva University	RM19 - Yeshiva University	7/20/2018		\$154,000	\$50,950	\$154,000
PGRM	REV Campus Challenge	148783A	Competitive	Y	Bright Power, Inc.	RM36-NYU-Stern Business School	6/4/2020		\$94,698	\$94,698	\$85,228
PGRM	REV Campus Challenge	151122A	Competitive	Y	SUNY Oneonta	FT13029 - 108 Ravine - PON	8/14/2020		\$24,576	\$24,576	

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	REV Campus Challenge	81074A	Competitive	Y	LaBella Associates, P.C.	Novus PO Assignment	7/5/2017		\$23,613	\$0	\$23,522
PGRM	REV Campus Challenge	98826-1	Competitive	Y	The Research Foundation of SUNY (UB)	RFP 3214 REV Campus Challenge	3/21/2017		\$1,000,000	\$50,000	\$580,000
PGRM	REV Connect	98515	Competitive	Y	Navigant Consulting Inc.	REV Connect	5/17/2016		\$2,712,605		\$2,676,076
PGRM	REV Connect	149371	Competitive	Y	Navigant Consulting Inc.	REV Connect Initiative	12/20/2019		\$1,930,000		
PGRM	REV Connect	149371A	Competitive	Y	Guidehouse Inc.	REV Connect Initiative	4/6/2020	\$1,930,000	\$1,930,000	\$543,180	\$543,180
PGRM	REV Connect	98515A	Competitive	Y	Guidehouse Inc.	REV Connect	4/3/2020	\$36,529	\$36,529	\$36,529	\$36,529
PGRM	REVitalize	120790	Competitive	Y	West Harlem Environmental Action, Inc.	RFP 3584 Revitalize	11/17/2017		\$65,000		\$45,500
PGRM	REVitalize	120792	Competitive	Y	People United for Sustainable Housing In	RFP 3584 Revitalize	11/17/2017		\$65,000	\$19,500	\$65,000
PGRM	Rmve Barriers Dist Enrgy Storg	110328	Competitive	Y	Research Foundation of CUNY	RFP 3407 Category 1	2/15/2017		\$1,481,244	\$137,880	\$1,468,587
PGRM	Rmve Barriers Dist Enrgy Storg	110332	Competitive	Y	The Cadmus Group, LLC	RFP 3407 Category 4	2/15/2017		\$766,679		\$639,696
PGRM	Rmve Barriers Dist Enrgy Storg	110334	Competitive	Y	Energy & Resource Solutions, Inc.	RFP 3407 Categories 2 & 3A	2/15/2017		\$3,431,971	\$4,948	\$2,306,542
PGRM	Rmve Barriers Dist Enrgy Storg	122846	Competitive	Y	Borrego Solar Systems, Inc.	Energy Storage +CommunitySolar	1/24/2018		\$3,716,899	\$2,348,000	\$2,348,000
PGRM	Rmve Barriers Dist Enrgy Storg	142511	Competitive	Y	AKF-engineers	FT12241-TishmanSpe-200Park-FTC	7/15/2019		\$84,675	\$68,288	\$68,288
PGRM	Rmve Barriers Dist Enrgy Storg	142932	Competitive	Y	Goldman Copeland Associates, P.C.	FT12244-1 Dag Hammarskjold-FTC	7/25/2019		\$11,995	\$11,995	\$11,995
PGRM	Rmve Barriers Dist Enrgy Storg	145440	Competitive	Y	New York Battery and Energy Storage	TWO #4	9/27/2019		\$135,000	\$105,524	\$105,524
PGRM	Rmve Barriers Dist Enrgy Storg	145888	Competitive	Y	LaBella Associates, P.C.	FT12257 - Tompkins County Jail	10/8/2019		\$9,631		
PGRM	Rmve Barriers Dist Enrgy Storg	147283	Competitive	Y	Energy & Resource Solutions, Inc.	FT Energy Storage ERS - TWO	11/7/2019		\$25,000		
PGRM	Rmve Barriers Dist Enrgy Storg	147498	Competitive	Y	Willdan Energy Solutions	FT12283 - 1250 Waters - FTC	11/13/2019		\$60,030	\$39,657	\$60,030
PGRM	Rmve Barriers Dist Enrgy Storg	156809	Competitive	N	Research Foundation of CUNY	RFP 3407 TWO 3	8/10/2020	\$354,770	\$354,770		
PGRM	Rmve Barriers Dist Enrgy Storg	157815	Competitive	Y	Altanora LLC	FT13162 - Legacy - FTC	9/4/2020	\$9,004	\$9,004	\$7,954	\$7,954
PGRM	SARATOGA TECHNOLOGY & ENERGY PARK	121551	Competitive	N	NYS Office of General Services	SARATOGA TECHNOLOGY & ENERGY PARK	12/11/2017		\$26,964	\$24,468	\$24,468
PGRM	SARATOGA TECHNOLOGY & ENERGY PARK	132770	Competitive	N	NYS Office of General Services	SARATOGA TECHNOLOGY & ENERGY PARK	10/18/2018		\$34,988	\$230	\$21,989
PGRM	SARATOGA TECHNOLOGY & ENERGY PARK	142151	Discretionary	N	C.T. Male Associates Engineering,	SARATOGA TECHNOLOGY & ENERGY PARK	7/5/2019		\$13,500	\$5,609	\$13,338
PGRM	Single Family New Construction	156150	Competitive	Y	People United for Sustainable Housing In	2019 LRNCP	7/23/2020	\$70,000	\$70,000		
PGRM	Single Family New Construction	157181	Competitive	Y	Rochester Housing Authority	2019 LRNCP	8/19/2020	\$8,500	\$8,500		
PGRM	Small Wind	132884	Competitive	Y	Skylands Renewable Energy, LLC	Wind Turbine Incentive	10/22/2018		\$31,000	\$31,000	\$31,000
PGRM	Small Wind	135249	Competitive	Y	Storke, LLC	Wind Turbine Incentive	12/17/2018		\$84,000	\$29,400	\$84,000
PGRM	Small Wind	135479	Competitive	Y	Skylands Renewable Energy, LLC	Wind Turbine Incentive Program	12/24/2018		\$31,000	\$10,850	\$31,000
PGRM	Small Wind	135480	Competitive	Y	Buffalo Renewables, Inc.	Wind Turbine Incentive	12/24/2018		\$182,700		
PGRM	Small Wind	135484	Competitive	Y	Skylands Renewable Energy, LLC	Wind Turbine Incentive	12/26/2018		\$31,000	\$31,000	\$31,000
PGRM	Small Wind	141317	Competitive	Y	Buffalo Renewables, Inc.	WWind Turbine Incentive	6/12/2019		\$143,250		
PGRM	Small Wind	147627	Competitive	Y	Skylands Renewable Energy, LLC	Wind Turbine Incentive	11/18/2019		\$30,250	\$30,250	\$30,250
PGRM	Small Wind	148953	Competitive	Y	Storke, LLC	Wind Turbine Incentive	12/17/2019		\$32,214		
PGRM	Small Wind	149705	Competitive	Y	Buffalo Renewables, Inc.	Wind Turbine Incentive	12/31/2019		\$230,400		
PGRM	SMART GRID SYSTEMS	28825	Competitive	Y	Electrovaya Company	Electrovaya	8/1/2012		\$1,324,210		\$300,000
PGRM	SMART GRID SYSTEMS	30366	Competitive	Y	Urban Electric Power, LLC	1MWh Peak-Shaving Battery	11/20/2012		\$1,000,000	\$50,000	\$735,000
PGRM	SMART GRID SYSTEMS	36655	Competitive	Y	Electric Power Research Institute	Electric Power Trans & Dist Sm	10/28/2013		\$700,000		\$380,000
PGRM	SMART GRID SYSTEMS	36661	Competitive	Y	Georgia Tech Research Corporation	Setting-Jess Protection Device	10/28/2013		\$897,994		\$242,463
PGRM	SMART GRID SYSTEMS	64289	Competitive	Y	Electric Power Research Institute	EPTD Smart Grid Program	5/8/2015		\$295,000		\$135,000
PGRM	SMART GRID SYSTEMS	78942	Competitive	Y	Orange and Rockland Utilities, Inc.	EPTD Smart Grid	10/23/2015		\$695,825	\$249,730	\$695,825
PGRM	SMART GRID SYSTEMS	113357	Competitive	Y	Brookfield District Energy Microgrid LLC	RFP 3044 R2	5/2/2017		\$994,000	\$385,700	\$385,700
PGRM	Solar Plus Energy Storage	111755	Competitive	Y	Generate NY Community Solar Lessor III,	NY Sun	3/20/2017		\$2,401,878		
PGRM	Solar Plus Energy Storage	120831	Competitive	Y	Glenmere Lake Solar LLC	NY Sun	11/20/2017		\$2,306,798		
PGRM	Solar Plus Energy Storage	126302	Competitive	Y	Cypress Creek Renewables LLC	NY Sun	4/30/2018		\$2,079,447		
PGRM	Solar Plus Energy Storage	136930	Competitive	Y	OYA 2643 Moore Road LLC	NY Sun	2/7/2019		\$5,625,000		
PGRM	Solar Plus Energy Storage	137404	Competitive	Y	Helios Lenox 1 LLC	NY Sun	2/21/2019		\$3,404,800		
PGRM	Solar Plus Energy Storage	137405	Competitive	Y	Helios Lenox 1 LLC	NY Sun	2/21/2019		\$5,323,200		
PGRM	Solar Plus Energy Storage	137820	Competitive	Y	Borrego Solar Systems, Inc.	NY Sun	3/7/2019		\$2,986,913		
PGRM	Solar Plus Energy Storage	137827	Competitive	Y	Hales Mills Solar, LLC	NY Sun	3/7/2019		\$4,656,680		
PGRM	Solar Plus Energy Storage	140382	Competitive	Y	Richland Solar I, LLC	NY-Sun C&I	5/17/2019		\$3,392,250		
PGRM	Solar Plus Energy Storage	140707	Competitive	Y	Lansing Renewables, LLC	NY-Sun C&I	5/28/2019		\$4,854,644		
PGRM	Solar Plus Energy Storage	140708	Competitive	Y	Owlville Creek Solar 2, LLC	NY-Sun C&I	5/28/2019		\$4,794,704		
PGRM	Solar Plus Energy Storage	140709	Competitive	Y	Owlville Creek Solar, LLC	NY-Sun C&I	5/28/2019		\$4,844,654		
PGRM	Solar Plus Energy Storage	141802	Competitive	Y	Sunrise Solar Solutions, LLC	Solar Plus Energy Storage	6/25/2019		\$1,866,840		
PGRM	Solar Plus Energy Storage	126302A	Competitive	Y	Davis Solar, LLC	NY Sun	3/1/2021	\$2,079,447	\$2,079,447		
PGRM	Solar Plus Energy Storage	137399A	Competitive	Y	Schenectady County Solar Projects 2019,	NY-Sun Assignment	1/13/2020		\$6,125,000		
PGRM	Solar Plus Energy Storage	137404A	Competitive	Y	Standard Solar, Inc	NY-Sun C&I	9/23/2020	\$3,404,800	\$3,404,800		
PGRM	Solar Plus Energy Storage	137405A	Competitive	Y	Standard Solar, Inc	NY-Sun C&I	9/23/2020	\$5,323,200	\$5,323,200		
PGRM	Standards and Quality Assurance	113501	Competitive	Y	Institute for Building Technology and Sa	RFLQ 3434 IBTS Standards & QA	5/5/2017		\$2,630,846	\$26,572	\$2,035,982
PGRM	Strategic Energy Manager	111309	Competitive	Y	Vermont Energy Investment Corporation	RFP 3352	3/9/2017	\$1,252,083	\$3,985,309	\$662,785	\$3,034,196
PGRM	Subscription/Data Access	142760	Discretionary		American Council for an Energy Efficient	Subscription/Data Access	7/22/2019		\$12,000	\$6,000	
PGRM	Talent Pipeline:CE and OJT	37382	Competitive	Y	TRC Energy Services	Technical Review and Program S	11/15/2013	\$1,415,150	\$4,101,294	\$848,723	\$2,935,061
PGRM	Talent Pipeline:CE and OJT	137241	Competitive	Y	Green City Force	PON3981 WFD Capacity Building	2/19/2019		\$180,993	\$23,103	\$54,390
PGRM	Talent Pipeline:CE and OJT	137347	Competitive	Y	Rensselaer Polytechnic Institute-LRC	PON3981 WFD Capacity Building	2/20/2019		\$247,850	\$117,857	\$219,485
PGRM	Talent Pipeline:CE and OJT	137348	Competitive	Y	Local 32BJ Thomas Shortman Training	PON3981 WFD Capacity Building	2/20/2019		\$130,650	\$15,750	\$80,975
PGRM	Talent Pipeline:CE and OJT	140617	Competitive	Y	Steven Winter Associates, Inc.	PON3981 WFD Capacity Building	5/22/2019		\$249,985		
PGRM	Talent Pipeline:CE and OJT	140618	Competitive	Y	Steven Winter Associates, Inc.	PON3981 WFD Capacity Building	5/22/2019		\$249,163	\$153,259	\$246,890
PGRM	Talent Pipeline:CE and OJT	140619	Competitive	Y	CEC Stuyvesant Cove, Inc.	PON3981 WFD Capacity Building	5/22/2019		\$104,962	\$13,729	\$30,717
PGRM	Talent Pipeline:CE and OJT	140620	Competitive	Y	Sustainable South Bronx Inc.	PON3981 WFD Capacity Building	5/22/2019		\$250,000	\$44,030	\$44,030
PGRM	Talent Pipeline:CE and OJT	140748	Competitive	Y	North American Passive House Network, In	PON3981 WFD Capacity Building	5/28/2019		\$248,579	\$166,541	\$166,541
PGRM	Talent Pipeline:CE and OJT	141865	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	6/26/2019		\$6,720	\$489	\$6,720
PGRM	Talent Pipeline:CE and OJT	142870	Competitive	Y	Research Foundation of CUNY	PON3981 Round 3	7/24/2019		\$186,548	\$55,981	\$73,496
PGRM	Talent Pipeline:CE and OJT	142871	Competitive	Y	New York State Weatherization Directors	PON3981 Round 3	7/24/2019		\$240,080	\$46,813	\$46,813
PGRM	Talent Pipeline:CE and OJT	142872	Competitive	Y	Hudson Valley Community College	PON3981 Round 3	7/24/2019		\$226,212	\$94,124	\$94,124
PGRM	Talent Pipeline:CE and OJT	142876	Competitive	Y	Youth Action Programs and Homes, Inc.	PON3981 Round 3	7/24/2019		\$242,350	\$95,696	\$95,696
PGRM	Talent Pipeline:CE and OJT	142923	Competitive	Y	North Park Innovations Group, Inc.	PON3981 Round 3	7/25/2019		\$250,000	\$54,412	\$103,878
PGRM	Talent Pipeline:CE and OJT	142989	Competitive	Y	Willdan Lighting & Electric, Inc.	PON3981 Round 3	7/26/2019		\$250,000	\$250,000	\$250,000
PGRM	Talent Pipeline:CE and OJT	143042	Competitive	Y	District Council 37 Education Fund	PON3981 Round 3	7/29/2019	\$247,000	\$247,000		
PGRM	Talent Pipeline:CE and OJT	143216	Competitive	N	Association for Energy Affordability, In	OJT HIRE	8/5/2019		\$7,200		\$2,189
PGRM	Talent Pipeline:CE and OJT	143218	Competitive	N	Association for Energy Affordability, In	OJT HIRE	8/5/2019		\$7,200		\$3,065
PGRM	Talent Pipeline:CE and OJT	143220	Competitive	N	Association for Energy Affordability, In	OJT HIRE	8/5/2019		\$7,200		\$5,609

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PGRM	Talent Pipeline:CE and OJT	143736	Competitive	N	Buffalo Energy, Inc.	OJT HIRE	8/14/2019		\$7,200	\$2,190	\$6,975
PGRM	Talent Pipeline:CE and OJT	144508	Competitive	N	True Energy Solutions	OJT HIRE	9/5/2019		\$6,400	\$908	\$6,400
PGRM	Talent Pipeline:CE and OJT	144666	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	9/10/2019		\$9,600	\$87	\$9,600
PGRM	Talent Pipeline:CE and OJT	145447	Competitive	N	Smarter Grid Solutions, Inc.	OJT HIRE	9/27/2019		\$15,360	\$2,219	\$15,360
PGRM	Talent Pipeline:CE and OJT	146024	Competitive	N	NP Environmental LLC	OJT HIRE	10/11/2019		\$8,640		
PGRM	Talent Pipeline:CE and OJT	146146	Competitive	N	Global Dwelling, LLC	OJT HIRE	10/15/2019		\$6,154	\$5,769	\$5,769
PGRM	Talent Pipeline:CE and OJT	146268	Competitive	N	Heat Keepers, Inc.	OJT HIRE JEROR	10/17/2019		\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	146276	Competitive	N	Dandelion Energy Inc	OJT HIRE	10/18/2019		\$7,040	\$301	\$7,040
PGRM	Talent Pipeline:CE and OJT	146441	Competitive	Y	SUNY Ulster	PON3981 Round 4	10/22/2019		\$249,700	\$69,805	\$69,805
PGRM	Talent Pipeline:CE and OJT	146442	Competitive	Y	NYS Labor Management Cooperation Committ	PON3981 Round 4	10/22/2019		\$171,180	\$133,140	\$171,180
PGRM	Talent Pipeline:CE and OJT	146443	Competitive	Y	Steven Winter Associates, Inc.	PON3981 Round 4	10/22/2019		\$239,725	\$54,883	\$56,883
PGRM	Talent Pipeline:CE and OJT	146444	Competitive	Y	Research Foundation of The City	PON3981 Round 4	10/22/2019		\$91,447	\$37,155	\$37,155
PGRM	Talent Pipeline:CE and OJT	146725	Competitive	N	Snug Planet, LLC	OJT HIRE	10/28/2019		\$8,640	\$2,005	\$8,640
PGRM	Talent Pipeline:CE and OJT	146885	Competitive	N	Stacks and Joules Inc.	PON3981 Round 4	10/30/2019		\$249,955	\$153,284	\$230,184
PGRM	Talent Pipeline:CE and OJT	147696	Competitive	N	Dandelion Energy Inc	OJT HIRE	11/18/2019		\$7,040		\$3,787
PGRM	Talent Pipeline:CE and OJT	147954	Competitive	N	Advanced Radiant Design, Inc.	OJT HIRE	11/22/2019		\$5,760	\$5,760	\$5,760
PGRM	Talent Pipeline:CE and OJT	148088	Competitive	N	Smarter Grid Solutions, Inc.	OJT HIRE	11/25/2019		\$10,240	\$1,280	\$10,240
PGRM	Talent Pipeline:CE and OJT	148350	Competitive	N	Barney Moravec, Inc	OJT HIRE	12/3/2019		\$8,160		
PGRM	Talent Pipeline:CE and OJT	148416	Competitive	N	True Energy Solutions	OJT HIRE	12/4/2019		\$5,760	\$2,451	\$5,371
PGRM	Talent Pipeline:CE and OJT	148424	Competitive	N	True Energy Solutions	OJT HIRE	12/4/2019		\$5,440	\$2,807	\$5,440
PGRM	Talent Pipeline:CE and OJT	148507	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	12/5/2019		\$6,154	\$6,154	\$6,154
PGRM	Talent Pipeline:CE and OJT	148737	Competitive	N	NP Environmental LLC	OJT HIRE	12/11/2019		\$9,691	\$4,442	\$9,691
PGRM	Talent Pipeline:CE and OJT	148787	Competitive	N	Heat Keepers, Inc.	OJT HIRE	12/11/2019		\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	148944	Competitive	N	Integral Building & Design, Inc.	OJT HIRE	12/16/2019		\$9,230	\$6,307	\$9,230
PGRM	Talent Pipeline:CE and OJT	148959	Competitive	N	Global Dwelling, LLC	OJT HIRE	12/17/2019		\$5,120		
PGRM	Talent Pipeline:CE and OJT	149486	Competitive	N	Solar Village Company LLC	OJT HIRE	12/24/2019		\$6,240	\$1,872	\$6,240
PGRM	Talent Pipeline:CE and OJT	149488	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	12/24/2019		\$5,760	\$3,362	\$5,760
PGRM	Talent Pipeline:CE and OJT	149782	Competitive	N	Solar Village Company LLC	OJT HIRE	1/3/2020		\$5,712	\$339	\$3,436
PGRM	Talent Pipeline:CE and OJT	149942	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	1/8/2020		\$8,461		
PGRM	Talent Pipeline:CE and OJT	149971	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	1/9/2020		\$10,240		\$5,312
PGRM	Talent Pipeline:CE and OJT	150068	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	1/10/2020		\$9,230	\$519	\$3,058
PGRM	Talent Pipeline:CE and OJT	150179	Competitive	N	Potential Management Group LLC	OJT HIRE	1/14/2020		\$6,154		
PGRM	Talent Pipeline:CE and OJT	150294	Competitive	N	Healthy Home Energy & Consulting, Inc.	OJT HIRE	1/16/2020		\$5,760	\$2,825	\$5,760
PGRM	Talent Pipeline:CE and OJT	150297	Competitive	N	Healthy Home Energy & Consulting, Inc.	OJT HIRE	1/16/2020		\$5,120	\$320	\$3,706
PGRM	Talent Pipeline:CE and OJT	150305	Competitive	N	Libolt & Sons, Inc.	OJT HIRE	1/16/2020		\$15,360	\$15,360	\$15,360
PGRM	Talent Pipeline:CE and OJT	150356	Competitive	N	Urban Electric Power, LLC	OJT HIRE	1/17/2020		\$9,920		\$4,735
PGRM	Talent Pipeline:CE and OJT	150495	Competitive	N	True Energy Solutions	OJT HIRE	1/22/2020		\$7,360	\$7,360	\$7,360
PGRM	Talent Pipeline:CE and OJT	150569	Competitive	N	Urban Electric Power, LLC	OJT HIRE	1/24/2020		\$9,600	\$5,700	\$8,430
PGRM	Talent Pipeline:CE and OJT	150951	Competitive	N	Libolt & Sons, Inc.	OJT HIRE	2/4/2020		\$15,360	\$15,360	\$15,360
PGRM	Talent Pipeline:CE and OJT	150952	Competitive	N	Urban Electric Power, LLC	OJT HIRE	2/4/2020		\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	150955	Competitive	N	Potential Management Group LLC	OJT HIRE	2/4/2020		\$6,000		
PGRM	Talent Pipeline:CE and OJT	151055	Competitive	N	Urban Electric Power, LLC	OJT HIRE	2/6/2020		\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	151117	Competitive	N	Pvilion Technologies, LLC	OJT HIRE	2/7/2020		\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	151121	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	2/7/2020		\$5,760	\$3,983	\$5,760
PGRM	Talent Pipeline:CE and OJT	151162	Competitive	N	Global Dwelling, LLC	OJT HIRE	2/10/2020		\$6,154	\$4,231	\$4,231
PGRM	Talent Pipeline:CE and OJT	151284	Competitive	N	Urban Electric Power, LLC	OJT HIRE	2/12/2020		\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	151353	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	2/13/2020		\$10,240	\$7,680	\$10,240
PGRM	Talent Pipeline:CE and OJT	151356	Competitive	N	Ruffalo Appliance	OJT HIRE	2/13/2020		\$6,400	\$5,623	\$5,623
PGRM	Talent Pipeline:CE and OJT	151418	Competitive	N	Urban Electric Power, LLC	OJT HIRE	2/14/2020		\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	151492	Competitive	N	Dailey Electric Inc	OJT HIRE	2/18/2020		\$5,120	\$1,280	\$2,528
PGRM	Talent Pipeline:CE and OJT	151495	Competitive	N	Cutone & Company Consultants, LLC	OJT HIRE	2/18/2020		\$6,400		
PGRM	Talent Pipeline:CE and OJT	151554	Competitive	N	Willdan Lighting & Electric, Inc.	OJT HIRE	2/19/2020		\$5,400	\$5,400	\$5,400
PGRM	Talent Pipeline:CE and OJT	151803	Competitive	N	High Peaks Solar	OJT HIRE	2/25/2020		\$11,040		
PGRM	Talent Pipeline:CE and OJT	152074	Competitive	N	Energy Conservation & Supply, Inc.	OJT HIRE	3/3/2020		\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	152077	Competitive	N	Heat Keepers, Inc.	OJT HIRE	3/3/2020		\$5,120	\$3,642	\$3,642
PGRM	Talent Pipeline:CE and OJT	152134	Competitive	N	Micatu, Inc.	OJT HIRE	3/4/2020		\$5,120	\$4,828	\$4,828
PGRM	Talent Pipeline:CE and OJT	152139	Competitive	N	Micatu, Inc.	OJT HIRE	3/4/2020		\$5,120	\$1,284	\$1,284
PGRM	Talent Pipeline:CE and OJT	152322	Competitive	N	Micatu, Inc.	OJT HIRE	3/10/2020		\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	152323	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	3/10/2020		\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	152558	Competitive	N	Micatu, Inc.	OJT HIRE	3/13/2020		\$7,680	\$7,680	\$7,680
PGRM	Talent Pipeline:CE and OJT	152603	Competitive	N	Plugin Stations Online, LLC	OJT HIRE	3/16/2020		\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	152644	Competitive	N	Urban Electric Power, LLC	OJT HIRE	3/17/2020		\$6,400		
PGRM	Talent Pipeline:CE and OJT	152686	Competitive	N	Plugin Stations Online, LLC	OJT HIRE	3/18/2020		\$8,000	\$1,134	\$1,134
PGRM	Talent Pipeline:CE and OJT	152809	Competitive	N	Snug Planet, LLC	OJT HIRE	3/23/2020		\$5,760	\$5,760	\$5,760
PGRM	Talent Pipeline:CE and OJT	153095	Competitive	Y	Green City Force	PON3981 Round 6	4/1/2020	\$250,000	\$250,000		
PGRM	Talent Pipeline:CE and OJT	153096	Competitive	Y	CEC Stuyvesant Cove, Inc.	PON3981 Round 6	4/1/2020	\$180,477	\$180,477	\$22,745	\$22,745
PGRM	Talent Pipeline:CE and OJT	153097	Competitive	Y	Nontraditional Employment for Women	PON3981 Round 6	4/1/2020	\$148,653	\$148,653		
PGRM	Talent Pipeline:CE and OJT	153349	Competitive	N	Eco Heating & Cooling Inc	OJT HIRE	4/10/2020	\$5,440	\$5,440	\$4,225	\$4,225
PGRM	Talent Pipeline:CE and OJT	153364	Competitive	N	Micatu, Inc.	OJT HIRE	4/10/2020	\$5,120	\$5,120	\$2,563	\$2,563
PGRM	Talent Pipeline:CE and OJT	153453	Competitive	N	Plugin Stations Online, LLC	OJT HIRE	4/14/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	153489	Competitive	N	E-Mobility Market Services, Inc.	OJT HIRE	4/15/2020	\$10,240	\$10,240	\$10,224	\$10,224
PGRM	Talent Pipeline:CE and OJT	153692	Competitive	N	Micatu, Inc.	Talent Pipeline:CE and OJT	4/24/2020	\$5,120	\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	153725	Competitive	N	Potential Management Group LLC	OJT HIRE	4/27/2020	\$6,154	\$6,154	\$1,442	\$1,442
PGRM	Talent Pipeline:CE and OJT	153760	Competitive	N	Malcarne Contracting, Inc.	OJT HIRE	4/28/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	154079	Competitive	N	All-State Air Control Sales & Service In	OJT HIRE	5/11/2020	\$10,800	\$10,800	\$6,210	\$6,210
PGRM	Talent Pipeline:CE and OJT	154092	Competitive	N	True Energy Solutions	OJT HIRE	5/11/2020	\$6,080	\$6,080	\$2,361	\$2,361
PGRM	Talent Pipeline:CE and OJT	154158	Competitive	N	Standard Insulating Company	OJT HIRE	5/13/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	154160	Competitive	N	True Energy Solutions	OJT HIRE	5/13/2020	\$8,800	\$8,800	\$8,800	\$8,800
PGRM	Talent Pipeline:CE and OJT	154182	Competitive	N	Snug Planet, LLC	OJT HIRE	5/14/2020	\$6,400	\$6,400	\$0	\$0
PGRM	Talent Pipeline:CE and OJT	154508	Competitive	N	Thermodynamix LLC	OJT HIRE	6/1/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	154592	Competitive	N	Thermodynamix LLC	OJT HIRE	6/3/2020	\$8,160	\$8,160	\$8,160	\$8,160

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Talent Pipeline:CE and OJT	154660	Competitive	N	Timothy Marlett	OJT HIRE	6/4/2020	\$7,680	\$7,680	\$7,476	\$7,476
PGRM	Talent Pipeline:CE and OJT	154679	Competitive	N	Breathe Easy of CNY Inc.	OJT HIRE	6/5/2020	\$8,640	\$8,640	\$8,640	\$8,640
PGRM	Talent Pipeline:CE and OJT	154793	Competitive	N	New York Homeowners Construction Co., LL	OJT HIRE	6/11/2020	\$5,120	\$5,120	\$1,506	\$1,506
PGRM	Talent Pipeline:CE and OJT	154794	Competitive	N	Geotherm Inc.	OJT HIRE	6/11/2020	\$9,600	\$9,600	\$9,600	\$9,600
PGRM	Talent Pipeline:CE and OJT	154885	Competitive	N	Potentia Management Group LLC	OJT HIRE	6/12/2020	\$6,154	\$6,154	\$3,657	\$3,657
PGRM	Talent Pipeline:CE and OJT	154919	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	6/15/2020	\$8,160	\$8,160	\$8,160	\$8,160
PGRM	Talent Pipeline:CE and OJT	154921	Competitive	N	Standard Insulating Company	OJT HIRE	6/15/2020	\$5,120	\$5,120	\$4,690	\$4,690
PGRM	Talent Pipeline:CE and OJT	154956	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	6/16/2020	\$5,760	\$5,760		
PGRM	Talent Pipeline:CE and OJT	155078	Competitive	N	BQE Services Inc	OJT HIRE	6/22/2020	\$8,307	\$8,307	\$8,307	\$8,307
PGRM	Talent Pipeline:CE and OJT	155153	Competitive	N	Pvilion Technologies, LLC	OJT HIRE	6/24/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	155157	Competitive	N	Standard Insulating Company	OJT HIRE	6/24/2020	\$5,120	\$5,120	\$106	\$106
PGRM	Talent Pipeline:CE and OJT	155268	Competitive	N	NP Environmental LLC	OJT HIRE	6/29/2020	\$6,000	\$6,000		
PGRM	Talent Pipeline:CE and OJT	155395	Competitive	N	Standard Insulating Company	OJT HIRE	7/2/2020	\$5,120	\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	155400	Competitive	N	New York State Foam & Energy LLC	OJT HIRE	7/2/2020	\$6,160	\$6,160	\$3,300	\$3,300
PGRM	Talent Pipeline:CE and OJT	155402	Competitive	N	Simply Installs LLC	OJT HIRE	7/2/2020	\$10,800	\$10,800		
PGRM	Talent Pipeline:CE and OJT	155502	Competitive	Y	SUNY: Polytechnic Institute	PON3981 Round 7	7/7/2020	\$246,421	\$246,421		
PGRM	Talent Pipeline:CE and OJT	155503	Competitive	Y	Willdan Lighting & Electric, Inc.	PON3981 Round 7	7/7/2020	\$250,000	\$250,000		
PGRM	Talent Pipeline:CE and OJT	155646	Competitive	N	Wayne County Action Program	OJT HIRE	7/10/2020	\$7,589	\$7,589	\$1,202	\$1,202
PGRM	Talent Pipeline:CE and OJT	155700	Competitive	N	Potentia Management Group LLC	OJT HIRE	7/13/2020	\$7,387	\$7,387	\$477	\$477
PGRM	Talent Pipeline:CE and OJT	155701	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	7/13/2020	\$7,693	\$7,693	\$7,693	\$7,693
PGRM	Talent Pipeline:CE and OJT	155702	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	7/13/2020	\$7,386	\$7,386	\$7,386	\$7,386
PGRM	Talent Pipeline:CE and OJT	156010	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	7/21/2020	\$8,154	\$8,154	\$8,154	\$8,154
PGRM	Talent Pipeline:CE and OJT	156049	Competitive	N	Zerodraft Residential Inc.	OJT HIRE	7/22/2020	\$7,200	\$7,200	\$6,988	\$6,988
PGRM	Talent Pipeline:CE and OJT	156148	Competitive	N	PlugPV, LLC	OJT HIRE	7/23/2020	\$9,000	\$9,000	\$8,700	\$8,700
PGRM	Talent Pipeline:CE and OJT	156149	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	7/23/2020	\$12,240	\$12,240	\$11,411	\$11,411
PGRM	Talent Pipeline:CE and OJT	156180	Competitive	N	Foam It Insulation, LLC	OJT HIRE	7/24/2020	\$7,200	\$7,200	\$7,200	\$7,200
PGRM	Talent Pipeline:CE and OJT	156285	Competitive	N	True Energy Solutions	OJT HIRE	7/28/2020	\$5,440	\$5,440	\$5,440	\$5,440
PGRM	Talent Pipeline:CE and OJT	156289	Competitive	N	Standard Insulating Company	OJT HIRE	7/29/2020	\$5,440	\$5,440	\$5,383	\$5,383
PGRM	Talent Pipeline:CE and OJT	156401	Competitive	N	American Society of Heating,Refrigeratin	TWO Umbrella Agreement 152136	7/30/2020	\$32,328	\$32,328	\$32,328	\$32,328
PGRM	Talent Pipeline:CE and OJT	156541	Competitive	N	True Building Performance LLC	OJT HIRE	8/3/2020	\$5,120	\$5,120	\$5,120	\$5,120
PGRM	Talent Pipeline:CE and OJT	156543	Competitive	N	Snug Planet, LLC	OJT HIRE	8/3/2020	\$9,600	\$9,600	\$4,668	\$4,668
PGRM	Talent Pipeline:CE and OJT	156626	Competitive	N	Sustainable Energy Developments Inc.	OJT HIRE	8/5/2020	\$7,200	\$7,200	\$6,457	\$6,457
PGRM	Talent Pipeline:CE and OJT	156750	Competitive	N	Do-It-With Inc.	OJT HIRE	8/10/2020	\$12,480	\$12,480	\$11,539	\$11,539
PGRM	Talent Pipeline:CE and OJT	156901	Competitive	N	Micatu, Inc.	OJT HIRE	8/12/2020	\$5,280	\$5,280	\$5,280	\$5,280
PGRM	Talent Pipeline:CE and OJT	156958	Competitive	N	Snug Planet, LLC	OJT HIRE	8/13/2020	\$8,960	\$8,960	\$8,960	\$8,960
PGRM	Talent Pipeline:CE and OJT	156960	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	8/13/2020	\$5,600	\$5,600	\$5,600	\$5,600
PGRM	Talent Pipeline:CE and OJT	157056	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	8/17/2020	\$5,760	\$5,760	\$5,760	\$5,760
PGRM	Talent Pipeline:CE and OJT	157125	Competitive	N	Potentia Management Group LLC	OJT HIRE	8/19/2020	\$6,154	\$6,154	\$6,154	\$6,154
PGRM	Talent Pipeline:CE and OJT	157462	Competitive	N	Standard Insulating Company	OJT HIRE	8/27/2020	\$5,760	\$5,760		
PGRM	Talent Pipeline:CE and OJT	158003	Competitive	N	Standard Insulating Company	OJT HIRE	9/10/2020	\$5,600	\$5,600	\$3,500	\$3,500
PGRM	Talent Pipeline:CE and OJT	158006	Competitive	N	Wise Home Energy, LLC	OJT HIRE	9/10/2020	\$8,640	\$8,640		
PGRM	Talent Pipeline:CE and OJT	158008	Competitive	N	Geotherm Inc.	OJT HIRE	9/11/2020	\$7,680	\$7,680		
PGRM	Talent Pipeline:CE and OJT	158058	Competitive	N	E-Mobility Market Services, Inc.	OJT HIRE	9/11/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	Talent Pipeline:CE and OJT	158118	Competitive	N	Micatu, Inc.	OJT HIRE	9/14/2020	\$7,920	\$7,920	\$6,472	\$6,472
PGRM	Talent Pipeline:CE and OJT	158119	Competitive	N	PlugPV, LLC	OJT HIRE	9/14/2020	\$10,382	\$10,382	\$2,942	\$2,942
PGRM	Talent Pipeline:CE and OJT	158121	Competitive	N	Eco Heating & Cooling Inc	OJT HIRE	9/14/2020	\$8,160	\$8,160		
PGRM	Talent Pipeline:CE and OJT	158129	Competitive	N	SANFILIPPO SOLUTIONS INC.	OJT HIRE	9/14/2020	\$5,760	\$5,760	\$5,453	\$5,453
PGRM	Talent Pipeline:CE and OJT	158205	Competitive	N	Standard Insulating Company	OJT HIRE	9/15/2020	\$5,760	\$5,760	\$4,167	\$4,167
PGRM	Talent Pipeline:CE and OJT	158212	Competitive	N	Micatu, Inc.	OJT HIRE	9/15/2020	\$5,280	\$5,280	\$5,280	\$5,280
PGRM	Talent Pipeline:CE and OJT	158364	Competitive	N	Standard Insulating Company	OJT HIRE	9/17/2020	\$5,440	\$5,440	\$2,720	\$2,720
PGRM	Talent Pipeline:CE and OJT	158502	Competitive	N	Sustainable Energy Developments Inc.	OJT HIRE	9/21/2020	\$7,200	\$7,200	\$6,171	\$6,171
PGRM	Talent Pipeline:CE and OJT	158663	Competitive	N	Foam It Insulation, LLC	OJT HIRE	9/23/2020	\$6,240	\$6,240		
PGRM	Talent Pipeline:CE and OJT	158664	Competitive	N	Energy Conservation & Supply, Inc.	OJT HIRE	9/23/2020	\$8,000	\$8,000	\$8,000	\$8,000
PGRM	Talent Pipeline:CE and OJT	158666	Competitive	N	DEMCO New York Corp.	OJT HIRE	9/23/2020	\$7,200	\$7,200	\$6,690	\$6,690
PGRM	Talent Pipeline:CE and OJT	158675	Competitive	N	Micatu, Inc.	OJT HIRE	9/24/2020	\$5,280	\$5,280	\$5,280	\$5,280
PGRM	Talent Pipeline:CE and OJT	158867	Competitive	N	Micatu, Inc.	OJT HIRE	9/28/2020	\$5,280	\$5,280	\$5,280	\$5,280
PGRM	Talent Pipeline:CE and OJT	158870	Competitive	N	Potentia Management Group LLC	OJT HIRE	9/28/2020	\$5,382	\$5,382	\$3,028	\$3,028
PGRM	Talent Pipeline:CE and OJT	158872	Competitive	N	Kawi Energy Group Corp.	OJT HIRE	9/28/2020	\$15,360	\$15,360		
PGRM	Talent Pipeline:CE and OJT	158879	Competitive	N	True Energy Solutions	OJT HIRE	9/29/2020	\$9,600	\$9,600	\$5,025	\$5,025
PGRM	Talent Pipeline:CE and OJT	158880	Competitive	N	Micatu, Inc.	OJT HIRE	9/29/2020	\$5,280	\$5,280		
PGRM	Talent Pipeline:CE and OJT	158881	Competitive	N	Kawi Energy Group Corp.	OJT HIRE	9/29/2020	\$15,360	\$15,360	\$2,836	\$2,836
PGRM	Talent Pipeline:CE and OJT	158883	Competitive	N	Foam It Insulation, LLC	OJT HIRE	9/29/2020	\$7,200	\$7,200	\$7,080	\$7,080
PGRM	Talent Pipeline:CE and OJT	159168	Competitive	N	Timothy Marlett	OJT HIRE	10/5/2020	\$7,200	\$7,200	\$4,733	\$4,733
PGRM	Talent Pipeline:CE and OJT	159172	Competitive	N	Energy Management Solutions, LLC	OJT HIRE	10/6/2020	\$5,760	\$5,760	\$1,867	\$1,867
PGRM	Talent Pipeline:CE and OJT	159173	Competitive	N	Zerodraft Residential Inc.	OJT HIRE	10/6/2020	\$7,200	\$7,200	\$2,471	\$2,471
PGRM	Talent Pipeline:CE and OJT	159269	Competitive	N	Micatu, Inc.	OJT HIRE	10/7/2020	\$5,280	\$5,280	\$4,993	\$4,993
PGRM	Talent Pipeline:CE and OJT	159342	Competitive	N	Standard Insulating Company	OJT HIRE	10/8/2020	\$5,600	\$5,600	\$5,600	\$5,600
PGRM	Talent Pipeline:CE and OJT	159493	Competitive	N	Micatu, Inc.	OJT HIRE	10/13/2020	\$5,280	\$5,280	\$5,016	\$5,016
PGRM	Talent Pipeline:CE and OJT	159495	Competitive	N	Potentia Management Group LLC	OJT HIRE	10/13/2020	\$9,226	\$9,226		
PGRM	Talent Pipeline:CE and OJT	159497	Competitive	N	Geotherm Inc.	OJT HIRE	10/13/2020	\$8,160	\$8,160		
PGRM	Talent Pipeline:CE and OJT	159498	Competitive	N	Micatu, Inc.	OJT HIRE	10/13/2020	\$5,280	\$5,280	\$5,214	\$5,214
PGRM	Talent Pipeline:CE and OJT	159617	Competitive	N	Standard Insulating Company	OJT HIRE	10/15/2020	\$6,922	\$6,922	\$6,922	\$6,922
PGRM	Talent Pipeline:CE and OJT	159674	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	10/16/2020	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	159678	Competitive	N	Standard Insulating Company	OJT HIRE	10/16/2020	\$10,240	\$10,240	\$3,152	\$3,152
PGRM	Talent Pipeline:CE and OJT	159694	Competitive	N	Willdan Lighting & Electric, Inc.	OJT HIRE	10/20/2020	\$5,400	\$5,400		
PGRM	Talent Pipeline:CE and OJT	159702	Competitive	N	SANFILIPPO SOLUTIONS INC.	OJT HIRE	10/20/2020	\$5,440	\$5,440	\$4,681	\$4,681
PGRM	Talent Pipeline:CE and OJT	159705	Competitive	N	Willdan Lighting & Electric, Inc.	OJT HIRE	10/20/2020	\$5,400	\$5,400		
PGRM	Talent Pipeline:CE and OJT	159902	Competitive	N	Van Hee Mechanical	OJT HIRE	10/22/2020	\$12,960	\$12,960	\$9,262	\$9,262
PGRM	Talent Pipeline:CE and OJT	159905	Competitive	N	Healthy Home Energy & Consulting, Inc.	OJT HIRE	10/22/2020	\$5,120	\$5,120		
PGRM	Talent Pipeline:CE and OJT	159999	Competitive	N	Healthy Home Energy & Consulting, Inc.	OJT HIRE	10/26/2020	\$6,400	\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	160071	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	10/27/2020	\$6,922	\$6,922	\$6,327	\$6,327

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Talent Pipeline:CE and OJT	160194	Competitive	Y	Building Performance Institute, Inc.	Energy & Efficiency Credential	10/29/2020	\$185,000	\$185,000	\$32,520	\$32,520
PGRM	Talent Pipeline:CE and OJT	160210	Competitive	N	Standard Insulating Company	OJT HIRE	10/30/2020	\$6,400	\$6,400	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	160262	Competitive	N	Do-It-With Inc.	OJT HIRE	11/2/2020	\$12,480	\$12,480		
PGRM	Talent Pipeline:CE and OJT	160331	Competitive	N	Do-It-With Inc.	OJT HIRE	11/2/2020	\$12,480	\$12,480		
PGRM	Talent Pipeline:CE and OJT	160475	Competitive	N	True Energy Solutions	OJT HIRE	11/4/2020	\$6,720	\$6,720	\$4,917	\$4,917
PGRM	Talent Pipeline:CE and OJT	160484	Competitive	N	DEMCO New York Corp.	OJT HIRE	11/4/2020	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	160487	Competitive	N	Standard Insulating Company	OJT HIRE	11/4/2020	\$9,600	\$9,600	\$6,400	\$6,400
PGRM	Talent Pipeline:CE and OJT	160877	Competitive	N	Standard Insulating Company	OJT HIRE	11/13/2020	\$6,400	\$6,400	\$4,800	\$4,800
PGRM	Talent Pipeline:CE and OJT	160947	Competitive	N	Standard Insulating Company	OJT HIRE	11/16/2020	\$5,440	\$5,440		
PGRM	Talent Pipeline:CE and OJT	161024	Competitive	Y	NYS Labor Management Cooperation Committ	PON3981 Round 9	11/18/2020	\$181,461	\$181,461		
PGRM	Talent Pipeline:CE and OJT	161025	Competitive	Y	Urban Green Council	PON3981 Round 9	11/18/2020	\$182,170	\$182,170		
PGRM	Talent Pipeline:CE and OJT	161138	Competitive	N	True Energy Solutions	OJT HIRE	11/20/2020	\$8,160	\$8,160	\$5,100	\$5,100
PGRM	Talent Pipeline:CE and OJT	161427	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	11/30/2020	\$6,720	\$6,720		
PGRM	Talent Pipeline:CE and OJT	161448	Competitive	N	Energy Conservation & Supply, Inc.	OJT HIRE	12/3/2020	\$12,000	\$12,000	\$4,000	\$4,000
PGRM	Talent Pipeline:CE and OJT	161623	Competitive	N	Standard Insulating Company	OJT HIRE	12/4/2020	\$10,240	\$10,240	\$7,680	\$7,680
PGRM	Talent Pipeline:CE and OJT	161626	Competitive	N	Standard Insulating Company	OJT HIRE	12/4/2020	\$9,200	\$9,200		
PGRM	Talent Pipeline:CE and OJT	161724	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	12/8/2020	\$9,232	\$9,232		
PGRM	Talent Pipeline:CE and OJT	161768	Competitive	N	Etrog Inc	OJT HIRE	12/8/2020	\$9,600	\$9,600		
PGRM	Talent Pipeline:CE and OJT	161769	Competitive	N	Foam It Insulation, LLC	OJT HIRE	12/8/2020	\$8,000	\$8,000		
PGRM	Talent Pipeline:CE and OJT	161908	Competitive	N	True Building Performance LLC	OJT HIRE	12/10/2020	\$7,040	\$7,040	\$3,432	\$3,432
PGRM	Talent Pipeline:CE and OJT	162013	Competitive	N	True Energy Solutions	OJT HIRE	12/15/2020	\$5,760	\$5,760	\$1,024	\$1,024
PGRM	Talent Pipeline:CE and OJT	162217	Competitive	N	Council Rock Enterprises	OJT HIRE	12/18/2020	\$10,240	\$10,240	\$4,352	\$4,352
PGRM	Talent Pipeline:CE and OJT	162267	Competitive	N	Council Rock Enterprises	OJT HIRE	12/18/2020	\$10,240	\$10,240	\$3,840	\$3,840
PGRM	Talent Pipeline:CE and OJT	162271	Competitive	N	True Energy Solutions	OJT HIRE	12/18/2020	\$6,400	\$6,400	\$78	\$78
PGRM	Talent Pipeline:CE and OJT	162273	Competitive	N	Potential Management Group LLC	OJT HIRE	12/21/2020	\$9,230	\$9,230		
PGRM	Talent Pipeline:CE and OJT	162275	Competitive	N	Empower Equity Inc	OJT HIRE	12/21/2020	\$7,360	\$7,360	\$4,453	\$4,453
PGRM	Talent Pipeline:CE and OJT	162505	Competitive	N	Paul A. Castrucci, Architects PLLC	OJT HIRE	12/23/2020	\$6,400	\$6,400		
PGRM	Talent Pipeline:CE and OJT	162510	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	12/23/2020	\$10,240	\$10,240		
PGRM	Talent Pipeline:CE and OJT	162851	Competitive	N	Hawn Heating & Energy Services LLC	OJT HIRE	12/31/2020	\$8,160	\$8,160	\$462	\$462
PGRM	Talent Pipeline:CE and OJT	162904	Competitive	N	Potential Management Group LLC	OJT HIRE	1/4/2021	\$9,600	\$9,600		
PGRM	Talent Pipeline:CE and OJT	162966	Competitive	N	Wise Home Energy, LLC	OJT HIRE	1/5/2021	\$5,120	\$5,120		
PGRM	Talent Pipeline:CE and OJT	163166	Competitive	N	SANFILIPPO SOLUTIONS INC.	OJT HIRE	1/11/2021	\$5,440	\$5,440		
PGRM	Talent Pipeline:CE and OJT	163244	Competitive	N	Healthy Home Energy & Consulting, Inc.	OJT HIRE	1/13/2021	\$6,400	\$6,400	\$3,209	\$3,209
PGRM	Talent Pipeline:CE and OJT	163245	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	1/13/2021	\$9,232	\$9,232		
PGRM	Talent Pipeline:CE and OJT	163253	Competitive	N	County Heat & AC, LLC	OJT HIRE	1/14/2021	\$5,120	\$5,120		
PGRM	Talent Pipeline:CE and OJT	163338	Competitive	N	Urban Electric Power, LLC	OJT HIRE	1/15/2021	\$6,400	\$6,400		
PGRM	Talent Pipeline:CE and OJT	163345	Competitive	N	Potential Management Group LLC	OJT HIRE	1/15/2021	\$7,075	\$7,075		
PGRM	Talent Pipeline:CE and OJT	163348	Competitive	N	Solo Energy & Electrical, Inc.	OJT HIRE	1/15/2021	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	163484	Competitive	N	Etrog Inc	OJT HIRE	1/20/2021	\$14,880	\$14,880		
PGRM	Talent Pipeline:CE and OJT	163771	Competitive	N	Heat Keepers, Inc.	OJT HIRE	1/28/2021	\$7,680	\$7,680		
PGRM	Talent Pipeline:CE and OJT	163842	Competitive	N	True Energy Solutions	OJT HIRE	1/28/2021	\$5,920	\$5,920	\$2,200	\$2,200
PGRM	Talent Pipeline:CE and OJT	163894	Competitive	N	Foam It Insulation, LLC	OJT HIRE	1/29/2021	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	163898	Competitive	N	Foam It Insulation, LLC	OJT HIRE	1/29/2021	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	163905	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	1/29/2021	\$10,240	\$10,240		
PGRM	Talent Pipeline:CE and OJT	163987	Competitive	N	True Energy Solutions	OJT HIRE	2/3/2021	\$5,440	\$5,440		
PGRM	Talent Pipeline:CE and OJT	163991	Competitive	N	Urban Electric Power, LLC	OJT HIRE	2/3/2021	\$6,400	\$6,400		
PGRM	Talent Pipeline:CE and OJT	164246	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	2/8/2021	\$10,240	\$10,240		
PGRM	Talent Pipeline:CE and OJT	164258	Competitive	N	Energy Management Solutions, LLC	OJT HIRE	2/9/2021	\$5,600	\$5,600		
PGRM	Talent Pipeline:CE and OJT	164432	Competitive	N	Livingston Energy Group	OJT HIRE	2/12/2021	\$13,440	\$13,440		
PGRM	Talent Pipeline:CE and OJT	164717	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	2/22/2021	\$10,240	\$10,240		
PGRM	Talent Pipeline:CE and OJT	164777	Competitive	N	True Energy Solutions	OJT HIRE	2/23/2021	\$6,560	\$6,560		
PGRM	Talent Pipeline:CE and OJT	164813	Competitive	N	Fred F. Collis & Sons, Inc.	OJT HIRE	2/24/2021	\$8,400	\$8,400		
PGRM	Talent Pipeline:CE and OJT	164973	Competitive	N	Foam It Insulation, LLC	OJT HIRE	3/1/2021	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	165188	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	3/4/2021	\$10,000	\$10,000		
PGRM	Talent Pipeline:CE and OJT	165192	Competitive	N	Zerodraft Residential Inc.	OJT HIRE	3/4/2021	\$9,232	\$9,232		
PGRM	Talent Pipeline:CE and OJT	165307	Competitive	N	C&R Housing Inc.	OJT HIRE	3/8/2021	\$7,200	\$7,200		
PGRM	Talent Pipeline:CE and OJT	165313	Competitive	N	Zerodraft Residential Inc.	OJT HIRE	3/8/2021	\$8,160	\$8,160		
PGRM	Talent Pipeline:CE and OJT	165572	Competitive	N	EME Consulting Engineering Group, LLC	OJT HIRE	3/15/2021	\$10,240	\$10,240		
PGRM	Talent Pipeline:CE and OJT	165681	Competitive	N	Urban Electric Power, LLC	OJT HIRE	3/16/2021	\$6,400	\$6,400		
PGRM	Talent Pipeline:CE and OJT	165682	Competitive	N	Livingston Energy Group	OJT HIRE	3/16/2021	\$15,360	\$15,360		
PGRM	Talent Pipeline:CE and OJT	165948	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	3/22/2021	\$7,693	\$7,693		
PGRM	Talent Pipeline:CE and OJT	165953	Competitive	N	Cutone & Company Consultants, LLC	OJT HIRE	3/23/2021	\$6,400	\$6,400		
PGRM	Talent Pipeline:CE and OJT	166057	Competitive	N	Sustainable Comfort, Inc	OJT HIRE	3/24/2021	\$7,462	\$7,462		
PGRM	Talent Pipeline:CE and OJT	166065	Competitive	N	US Ceiling CORP	OJT HIRE	3/24/2021	\$7,920	\$7,920		
PGRM	Talent Pipeline:CE and OJT	166068	Competitive	N	US Ceiling CORP	OJT HIRE	3/25/2021	\$6,720	\$6,720		
PGRM	Talent Pipeline:CE and OJT	166070	Competitive	N	Urban Electric Power, LLC	OJT HIRE	3/25/2021	\$6,400	\$6,400		
PGRM	Technical Services	120915	Competitive	Y	Arcaadis of New York, Inc.	RFP3628FlexTechConsultUmbrella	11/21/2017		\$38,284		\$36,219
PGRM	Technical Services	124469	Competitive	Y	Mount Sinai Medical Center	OsEM - Mount Sinai Hospital	3/23/2018		\$214,000	\$33,333	\$200,000
PGRM	Technical Services	125625	Competitive	Y	Albany Medical Center	OsEM3-C Albany Medical Center	4/12/2018		\$214,000	\$75,000	\$200,000
PGRM	Technical Services	125953	Competitive	Y	SUNY Cobleskill	OsEM4-C SUNY Cobleskill	4/19/2018		\$214,000	\$120,563	\$170,789
PGRM	Technical Services	128478	Competitive	Y	State University of NY @ New Platz	OsEM7-C SUNY New Paltz	6/28/2018		\$212,143		
PGRM	Technical Services	129420	Competitive	Y	Vassar College	OsEM8-C Vassar College	7/27/2018	\$63,720	\$212,720	\$138,206	\$138,206
PGRM	Technical Services	130912	Competitive	Y	Lincoln Center for the Performing Arts	OsEM10-Lincoln Center Perform	9/6/2018		\$210,403	\$9,788	\$161,603
PGRM	Technical Services	131748	Competitive	Y	St Peters Health Partners	OsEM11-C - St. Peter's Health	9/28/2018		\$210,669		
PGRM	Technical Services	133661	Competitive	Y	NYU Langone Hospitals	OsEM13-C - NYU Langone Health	11/7/2018		\$214,000	\$18,122	\$171,899
PGRM	Technical Services	134316	Competitive	Y	Bergmann Associates, Architects,	Tech Review Program Support	11/21/2018		\$140,000	\$3,301	\$6,209
PGRM	Technical Services	135244	Competitive	Y	Mount Sinai Medical Center	OsEM-Icahn School of Medicine	12/17/2018		\$214,000	\$100,000	\$200,000
PGRM	Technical Services	137082	Competitive	Y	Northwell Health, Inc.	OsEM21-C Northwell Health	2/12/2019		\$179,953	\$57,750	\$135,555
PGRM	Technical Services	137084	Competitive	Y	Jefferson Community College	OsEM17-C Jefferson Community	2/12/2019		\$58,615	\$9,167	\$44,200
PGRM	Technical Services	137085	Competitive	Y	Barnard College	OsEM26-C Barnard College Mult	2/12/2019		\$214,000	\$77,659	\$175,234
PGRM	Technical Services	137170	Competitive	Y	Onondaga Community College	OsEM19-C Onondaga Com College	2/14/2019		\$103,979	\$11,227	\$44,265

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Period	Amt Paid to Date
PGRM	Technical Services	137232	Competitive	Y	Tompkins Cortland Community College	OsEM18-C - Tompkins Cortland	2/19/2019		\$83,705		
PGRM	Technical Services	138078	Competitive	Y	L&S Energy Services, Inc.	AGG1003 - L&S Energy Services	3/14/2019		\$50,000		\$5,000
PGRM	Technical Services	138081	Competitive	Y	L&S Energy Services, Inc.	AGG1004 - L&S Energy Services	3/14/2019		\$50,000		\$5,000
PGRM	Technical Services	138341	Competitive	Y	Ballston Spa Central School	OSEM27-C - Ballston Spa CSD	3/21/2019		\$109,531	\$44,022	\$99,528
PGRM	Technical Services	139609	Competitive	Y	EME Consulting Engineering Group, LLC	Westchester Tech Services	4/25/2019	\$200,000	\$400,000	\$30,519	\$47,799
PGRM	Technical Services	139611	Competitive	Y	Energy & Resource Solutions, Inc.	Westchester Tech Services	4/25/2019	\$200,000	\$400,000	\$36,601	\$36,601
PGRM	Technical Services	139621	Competitive	Y	OLA Consulting Engineers, P.C.	Westchester Tech Services	4/25/2019	\$200,000	\$400,000	\$34,437	\$55,963
PGRM	Technical Services	139622	Competitive	Y	M/E Engineering, P.C.	Westchester Tech Services	4/25/2019	\$200,000	\$400,000	\$29,192	\$39,692
PGRM	Technical Services	139623	Competitive	Y	Choice New York Management	OsEM22-C - Choice New York	4/25/2019		\$213,512	\$42,572	\$86,520
PGRM	Technical Services	140254	Competitive	Y	R.W. Beck Group, Inc.	Targeted Healthcare Engagement	5/14/2019		\$913,097	\$46,174	\$59,929
PGRM	Technical Services	140540	Competitive	Y	Houghton College	OSEM28-C - Houghton College	5/21/2019		\$172,735	\$78,566	\$134,735
PGRM	Technical Services	142228	Competitive	Y	SUNY Morrisville	OsEM30-C - SUNY Morrisville	7/8/2019	\$27,489	\$200,611	\$84,450	\$84,450
PGRM	Technical Services	144050	Competitive	Y	Cayuga Community College	OsEM24-C-Cayuga Community Coll	8/22/2019		\$83,705		
PGRM	Technical Services	145442	Competitive	Y	Goldman Copeland Associates, P.C.	AGG1005 - Goldman & Copeland - 2	9/27/2019		\$50,000		
PGRM	Technical Services	145698	Competitive	Y	R.W. Beck Group, Inc.	Concierge Services - Leidos	10/3/2019		\$200,000		\$529
PGRM	Technical Services	146371	Competitive	Y	Mount Sinai Medical Center	OSEM34-C - Mount Sinai West	10/21/2019		\$214,000	\$100,000	\$100,000
PGRM	Technical Services	146641	Competitive	Y	GDS Associates, Inc.	Agricultural Best Practices	10/25/2019		\$95,547	\$95,189	\$95,189
PGRM	Technical Services	146642	Competitive	Y	Ensav, Inc.	Agricultural Best Practices	10/25/2019		\$148,398	\$37,973	\$39,110
PGRM	Technical Services	146643	Competitive	Y	Cornell Cooperative Extension - Tompkins	Agricultural Best Practices	10/25/2019	\$535,000	\$535,000		
PGRM	Technical Services	146964	Competitive	Y	Goldman Copeland Associates, P.C.	AGG1006 - GoCo - Portfolio 3	10/31/2019		\$50,000	\$43,250	\$43,250
PGRM	Technical Services	146965	Competitive	Y	Goldman Copeland Associates, P.C.	AGG1007 - GoCo - Portfolio 4	10/31/2019		\$50,000		
PGRM	Technical Services	147563	Competitive	Y	Mount Sinai Medical Center	OsEM35 - Mount Sinai St Lukes	11/14/2019		\$214,000	\$91,667	\$91,667
PGRM	Technical Services	148287	Competitive	Y	Munson Williams Proctor Arts Institute	OSEM38-C - MWPAI	12/2/2019		\$109,984	\$41,638	\$41,638
PGRM	Technical Services	148652	Competitive	Y	Energy & Resource Solutions, Inc.	AEAP	12/9/2019		\$5,637	\$5,622	\$5,622
PGRM	Technical Services	148658	Competitive	Y	IBM - East Fishkill Site	FT13000 - IBM 2455 South - PON	12/9/2019		\$29,750		
PGRM	Technical Services	148661	Competitive	Y	Jaros, Baum & Bolles	FT13001 - NYS Exchange - FTC	12/9/2019		\$22,250	\$22,250	\$22,250
PGRM	Technical Services	148720	Competitive	Y	RXR Realty LLC	FT13002 - RXR Realty - PON	12/10/2019		\$31,600	\$31,600	\$31,600
PGRM	Technical Services	148729	Competitive	Y	L&S Energy Services, Inc.	L&S Technical Review - TWO	12/10/2019		\$354,025	\$11,270	\$11,270
PGRM	Technical Services	148884	Competitive	Y	Goldman Copeland Associates, P.C.	FT13003 - 1466 Manor - FTC	12/12/2019		\$16,488	\$12,975	\$12,975
PGRM	Technical Services	149298	Competitive	Y	Vidaris, Inc.	FT13004 - 355 Lexington - FTC	12/20/2019		\$5,992	\$5,992	\$5,992
PGRM	Technical Services	149386	Competitive	N	C.J. Brown Energy, P.C.	AEAP	12/20/2019	\$5,997	\$5,997	\$3,414	\$3,414
PGRM	Technical Services	149388	Competitive	N	Erdman Anthony & Associates, Inc.	AEAP	12/20/2019		\$6,000	\$4,938	\$6,000
PGRM	Technical Services	149502	Competitive	Y	Jaros, Baum & Bolles	FT13005 - Grand Concourse -FTC	12/26/2019	\$27,594	\$27,594	\$19,455	\$19,455
PGRM	Technical Services	149504	Competitive	Y	AKF-engineers	FT13007 - Related - FTC	12/26/2019	\$37,000	\$37,000	\$28,339	\$28,339
PGRM	Technical Services	149724	Competitive	Y	Cold Spring Harbor Laboratory	RM41 - Cold Spring Harbor Lab	12/31/2019	\$154,000	\$154,000	\$11,625	\$11,625
PGRM	Technical Services	149781	Competitive	Y	Jaros, Baum & Bolles	FT13008 - 2501 Grand - FTC	1/3/2020	\$26,474	\$26,474	\$15,975	\$15,975
PGRM	Technical Services	149844	Competitive	Y	City of Auburn	FT13009 - Auburn WWTF - PON	1/7/2020	\$23,988	\$23,988		
PGRM	Technical Services	149939	Competitive	Y	Goldman Copeland Associates, P.C.	FT13010 - 40 Fulton - FTC	1/8/2020		\$5,496	\$5,496	\$5,496
PGRM	Technical Services	149940	Competitive	Y	Goldman Copeland Associates, P.C.	FT13011 - 350 Park - FTC	1/8/2020		\$5,496	\$5,496	\$5,496
PGRM	Technical Services	150080	Competitive	Y	Goldman Copeland Associates, P.C.	FT13006 - 1290 AoA - FTC	1/10/2020	\$9,993	\$9,993	\$9,993	\$9,993
PGRM	Technical Services	150081	Competitive	Y	Goldman Copeland Associates, P.C.	FT13012 - 280 Park - FTC	1/10/2020	\$23,984	\$23,984	\$23,984	\$23,984
PGRM	Technical Services	150128	Competitive	Y	EMCOR Services Betlem	AGG1008-EMCOR Services Betlem	1/13/2020		\$50,000	\$5,000	\$5,000
PGRM	Technical Services	150134	Competitive	Y	Taitem Engineering, P.C.	FT13013 - Flushing Com - FTC	1/13/2020		\$9,965	\$9,965	\$9,965
PGRM	Technical Services	150135	Competitive	Y	OLA Consulting Engineers, P.C.	FT13014 - GSGT - FTC	1/13/2020	\$8,376	\$8,376	\$8,362	\$8,362
PGRM	Technical Services	150358	Competitive	Y	Taitem Engineering, P.C.	AEAP	1/17/2020		\$5,962	\$5,962	\$5,962
PGRM	Technical Services	150435	Competitive	Y	Goldman Copeland Associates, P.C.	FT13015 - 4 Union - FTC	1/21/2020	\$13,994	\$13,994		
PGRM	Technical Services	150437	Competitive	Y	Goldman Copeland Associates, P.C.	FT13016 - 50 West 57th - FTC	1/21/2020	\$10,991	\$10,991		
PGRM	Technical Services	150439	Competitive	Y	Goldman Copeland Associates, P.C.	FT13017 - 510 5th Avenue - FTC	1/21/2020	\$13,994	\$13,994	\$13,994	\$13,994
PGRM	Technical Services	150568	Competitive	Y	Adam C. Boese	AEAP	1/24/2020	\$3,500	\$6,000	\$6,000	\$6,000
PGRM	Technical Services	150650	Competitive	Y	Newburgh Housing Authority	FT13020 - Newburgh - PON	1/28/2020		\$7,500	\$7,500	\$7,500
PGRM	Technical Services	150652	Competitive	Y	RED Rochester	FT13021 - RED Rochester - PON	1/28/2020		\$29,485	\$29,485	\$29,485
PGRM	Technical Services	150657	Competitive	Y	The Joint Ownership Entity New York City	FT13022 - JOE Housing - PON	1/28/2020	\$10,000	\$10,000		
PGRM	Technical Services	150837	Competitive	N	Arcadis of New York, Inc.	AEAP	1/31/2020		\$5,978	\$5,489	\$5,489
PGRM	Technical Services	150838	Competitive	Y	Bergmann Associates, Architects,	AEAP	1/31/2020		\$5,750	\$5,749	\$5,749
PGRM	Technical Services	150960	Competitive	Y	Bright Power, Inc.	FT13026 - 55 Washington - FTC	2/5/2020	\$13,950	\$13,950	\$13,950	\$13,950
PGRM	Technical Services	150961	Competitive	Y	Bright Power, Inc.	FT13025 - 20 Jay - FTC	2/5/2020	\$15,525	\$15,525	\$15,525	\$15,525
PGRM	Technical Services	151100	Competitive	Y	C.J. Brown Energy, P.C.	FT13027 - Towers - FTC	2/7/2020	\$5,300	\$5,300	\$2,647	\$2,647
PGRM	Technical Services	151114	Competitive	Y	C.J. Brown Energy, P.C.	FT13028 - Residences - FTC	2/7/2020	\$5,400	\$5,400	\$2,689	\$2,689
PGRM	Technical Services	151163	Competitive	Y	C.J. Brown Energy, P.C.	FT13030 - 4710 Kehoe - FTC	2/10/2020	\$5,400	\$5,400	\$2,692	\$2,692
PGRM	Technical Services	151164	Competitive	Y	C.J. Brown Energy, P.C.	FT13031 - 2001 E Henrietta-FTC	2/10/2020	\$5,400	\$5,400	\$2,690	\$2,690
PGRM	Technical Services	151165	Competitive	Y	C.J. Brown Energy, P.C.	FT13032 - 18 N. Main - FTC	2/10/2020	\$5,300	\$5,300	\$2,634	\$2,634
PGRM	Technical Services	151169	Competitive	Y	C.J. Brown Energy, P.C.	FT13033 - Meadowbrook - FTC	2/10/2020	\$5,300	\$5,300	\$2,649	\$2,649
PGRM	Technical Services	151171	Competitive	Y	C.J. Brown Energy, P.C.	FT13034 - 4399 Plantation -FTC	2/10/2020	\$5,300	\$5,300	\$2,648	\$2,648
PGRM	Technical Services	151354	Competitive	Y	Principal Life Insurance Company	FT13035 - 1370 AoA - PON	2/13/2020	\$26,456	\$26,456		
PGRM	Technical Services	151358	Competitive	Y	Energy & Resource Solutions, Inc.	Cannabis - TWO - ERS	2/13/2020		\$50,000	\$19,331	\$19,331
PGRM	Technical Services	151415	Competitive	Y	Bright Power, Inc.	FT13037 - 10 Monroe - FTC	2/14/2020	\$10,000	\$10,000	\$10,000	\$10,000
PGRM	Technical Services	151806	Competitive	Y	Willdan Energy Solutions	FT13038 - Phelps - FTC	2/25/2020		\$75,000	\$38,251	\$38,251
PGRM	Technical Services	151807	Competitive	Y	Bergmann Associates, Architects,	FT13039 - Marketplace - FTC	2/25/2020		\$5,000	\$5,000	\$5,000
PGRM	Technical Services	151915	Competitive	Y	AKF-engineers	FT13040 - 200 Park - FTC	2/28/2020	\$18,065	\$18,065	\$18,065	\$18,065
PGRM	Technical Services	151957	Competitive	Y	Goldman Copeland Associates, P.C.	FT13041 - 1071 5th - FTC	2/28/2020	\$17,492	\$17,492	\$13,859	\$13,859
PGRM	Technical Services	151959	Competitive	Y	Goldman Copeland Associates, P.C.	FT13043 - 245 Park - FTC	2/28/2020	\$19,995	\$19,995	\$19,995	\$19,995
PGRM	Technical Services	151961	Competitive	Y	Goldman Copeland Associates, P.C.	FT13044 - 304 Park - FTC	2/28/2020	\$17,988	\$17,988	\$14,587	\$14,587
PGRM	Technical Services	151962	Competitive	Y	Goldman Copeland Associates, P.C.	FT13045 - 595 Madison - FTC	2/28/2020	\$11,978	\$11,978	\$11,978	\$11,978
PGRM	Technical Services	152214	Competitive	Y	Goldman Copeland Associates, P.C.	FT13046 - 630 Second - FTC	3/6/2020	\$9,990	\$9,990	\$9,990	\$9,990
PGRM	Technical Services	152238	Competitive	Y	Adam C. Boese	FT13047 - 400 8th Ave - FTC	3/6/2020	\$7,984	\$7,984		
PGRM	Technical Services	152318	Competitive	Y	Rochester Regional Health	OsEM42-C - Rochester Regional	3/10/2020		\$214,000	\$56,366	\$56,366
PGRM	Technical Services	152534	Competitive	Y	Goldman Copeland Associates, P.C.	FT13049 - 271 Garfield - FTC	3/13/2020	\$8,976	\$8,976	\$8,976	\$8,976
PGRM	Technical Services	152535	Competitive	Y	C.J. Brown Energy, P.C.	AEAP	3/13/2020	\$3,497	\$5,997	\$5,632	\$5,632
PGRM	Technical Services	152536	Competitive	N	C.J. Brown Energy, P.C.	AEAP	3/13/2020	\$5,997	\$5,997	\$4,916	\$4,916
PGRM	Technical Services	152545	Competitive	Y	Code Green Solutions, Inc.	FT13050 - 145 E 57th - FTC	3/13/2020	\$15,250	\$15,250		
PGRM	Technical Services	152546	Competitive	Y	Altanova LLC	FT13051 - 564 Park - FTC	3/13/2020	\$16,000	\$16,000	\$16,000	\$16,000

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Technical Services	152596	Competitive	Y	Goldman Copeland Associates, P.C.	FT13052 - 395 Hudson - FTC	3/16/2020	\$12,486	\$12,486	\$9,967	\$9,967
PGRM	Technical Services	152638	Competitive	Y	Altanova LLC	FT13053 - 564 Park - FTC	3/17/2020	\$33,401	\$33,401	\$29,431	\$29,431
PGRM	Technical Services	152714	Competitive	Y	Jaros, Baum & Bolles	FT13054 - 1425 Madison - FTC	3/19/2020	\$74,250	\$74,250	\$27,315	\$27,315
PGRM	Technical Services	152970	Competitive	Y	Code Green Solutions, Inc.	FT13056 - 1140 AOA - FTC	3/27/2020	\$29,650	\$29,650	\$11,000	\$11,000
PGRM	Technical Services	152972	Competitive	Y	Code Green Solutions, Inc.	FT13057 - 200 W 41st - FTC	3/27/2020	\$22,950	\$22,950	\$9,950	\$9,950
PGRM	Technical Services	153051	Competitive	Y	EME Consulting Engineering Group, LLC	FT13061 - NYU Langone - FTC	3/31/2020	\$500,000	\$500,000	\$120,142	\$120,142
PGRM	Technical Services	153052	Competitive	Y	Vidaris, Inc.	FT13059-Circle Realty-445 Park	3/31/2020	\$15,783	\$15,783		
PGRM	Technical Services	153140	Competitive	Y	Empire State College	OsEM41-C - SUNY Empire State	4/2/2020	\$110,000	\$110,000		
PGRM	Technical Services	153146	Competitive	Y	Morgan Stanley	OsEM43-C - Morgan Stanley	4/2/2020	\$211,454	\$211,454	\$48,657	\$48,657
PGRM	Technical Services	153190	Competitive	Y	Code Green Solutions, Inc.	FT13062 - 175 Varick - FTC	4/6/2020	\$17,500	\$17,500	\$5,830	\$5,830
PGRM	Technical Services	153299	Competitive	Y	Loring Consulting Engineers, Inc.	FT13063 - 333 East 38th - FTC	4/8/2020	\$45,308	\$45,308		
PGRM	Technical Services	153348	Competitive	Y	Code Green Solutions, Inc.	FT13064 - 275 7th - FTC	4/10/2020	\$27,375	\$27,375	\$8,213	\$8,213
PGRM	Technical Services	153350	Competitive	Y	Syska Hennessy Group	FT13066 - Crothall - FTC	4/10/2020	\$108,588	\$108,588		
PGRM	Technical Services	153351	Competitive	Y	Arcadis of New York, Inc.	FT13067 - 1 Canal Road - FTC	4/10/2020	\$24,500	\$24,500		
PGRM	Technical Services	153352	Competitive	Y	Goldman Copeland Associates, P.C.	FT13068 - 1 Park Ave - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153353	Competitive	Y	Code Green Solutions, Inc.	FT13069 - 381 Park - FTC	4/10/2020	\$19,595	\$19,595	\$7,845	\$7,845
PGRM	Technical Services	153354	Competitive	Y	Goldman Copeland Associates, P.C.	FT13070 - 11 Penn Plaza - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153355	Competitive	Y	Goldman Copeland Associates, P.C.	FT13071 - 100 West 33rd - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153356	Competitive	Y	Goldman Copeland Associates, P.C.	FT13072 - 731 Lexington - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153357	Competitive	Y	Goldman Copeland Associates, P.C.	FT13073 - 888 7th Ave - FTC	4/10/2020	\$5,496	\$5,496	\$5,496	\$5,496
PGRM	Technical Services	153358	Competitive	Y	Goldman Copeland Associates, P.C.	FT13074 - 909 3rd Ave - FTC	4/10/2020	\$5,496	\$5,496	\$5,496	\$5,496
PGRM	Technical Services	153359	Competitive	Y	Goldman Copeland Associates, P.C.	FT13075 - 595 Madison Ave - FTC	4/10/2020	\$5,496	\$5,496	\$4,722	\$4,722
PGRM	Technical Services	153360	Competitive	Y	Goldman Copeland Associates, P.C.	FT13076 - 770 Broadway - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153361	Competitive	Y	Goldman Copeland Associates, P.C.	FT13077 - 435 7th - FTC	4/10/2020	\$5,496	\$5,496		
PGRM	Technical Services	153363	Competitive	Y	Code Green Solutions, Inc.	FT13078 - 145 W 57th - FTC	4/10/2020	\$18,475	\$18,475	\$9,238	\$9,238
PGRM	Technical Services	153368	Competitive	Y	Code Green Solutions, Inc.	FT13079 - 101 W. End - FTC	4/10/2020	\$34,750	\$34,750	\$11,580	\$11,580
PGRM	Technical Services	153369	Competitive	Y	Goldman Copeland Associates, P.C.	FT13080 - 1400 Broadway - FTC	4/10/2020	\$11,991	\$11,991		
PGRM	Technical Services	153577	Competitive	Y	Code Green Solutions, Inc.	FT13085 - 120 E 16th - FTC	4/17/2020	\$16,988	\$16,988		
PGRM	Technical Services	153578	Competitive	N	Energy Spectrum, Inc.	FT13088 - 233 Broadway - FTC	4/17/2020	\$23,787	\$23,787		
PGRM	Technical Services	153603	Competitive	Y	Code Green Solutions, Inc.	FT13081 - 21 West End - FTC	4/20/2020	\$33,500	\$33,500	\$5,580	\$5,580
PGRM	Technical Services	153608	Competitive	Y	Steven Winter Associates, Inc.	FT13084 - 522 Main - FTC	4/20/2020	\$7,505	\$7,505		
PGRM	Technical Services	153637	Competitive	Y	Goldman Copeland Associates, P.C.	FT13086 - 520 8th Ave - FTC	4/21/2020	\$10,994	\$10,994	\$8,892	\$8,892
PGRM	Technical Services	153639	Competitive	Y	Columbia Memorial	FT13087 - 71 Prospect Ave -PON	4/21/2020	\$10,000	\$10,000		
PGRM	Technical Services	153642	Competitive	Y	Bergmann Associates, Architects,	FT13089 - 16 W Main - FTC	4/21/2020	\$7,500	\$7,500	\$5,201	\$5,201
PGRM	Technical Services	153643	Competitive	Y	Code Green Solutions, Inc.	FT13090 - 158-15 Liberty - FTC	4/21/2020	\$23,750	\$23,750	\$9,500	\$9,500
PGRM	Technical Services	153659	Competitive	Y	Code Green Solutions, Inc.	FT13092 - 119 W. 57th - FTC	4/22/2020	\$16,375	\$16,375	\$10,916	\$10,916
PGRM	Technical Services	153681	Competitive	Y	Code Green Solutions, Inc.	FT13093 - Kestrel - FTC	4/24/2020	\$27,250	\$27,250	\$5,450	\$5,450
PGRM	Technical Services	153700	Competitive	Y	The Culinary Institute of America	OsEM47-C - Culinary Institute	4/24/2020	\$141,088	\$141,088	\$20,300	\$20,300
PGRM	Technical Services	153799	Competitive	Y	Ithaca Neighborhood Housing Services, In	FT13097 - Verona - PON	4/29/2020	\$6,250	\$6,250		
PGRM	Technical Services	153800	Competitive	Y	Group-S LLC	FT13098 - 57 Reade - FTC	4/29/2020	\$11,500	\$11,500		
PGRM	Technical Services	154007	Competitive	Y	Noresco LLC	FT13099 - 8000 Cooper - FTC	5/6/2020	\$27,898	\$27,898		
PGRM	Technical Services	154029	Competitive	Y	Group-S LLC	FT13100 - 2 East 55th - FTC	5/6/2020	\$29,500	\$29,500		
PGRM	Technical Services	154030	Competitive	Y	AKF-engineers	FT13101 - 333 W 34th - FTC	5/6/2020	\$22,050	\$22,050	\$2,000	\$2,000
PGRM	Technical Services	154057	Competitive	Y	Group-S LLC	FT13102 - 333 E 69th - FTC	5/8/2020	\$20,175	\$20,175		
PGRM	Technical Services	154159	Competitive	Y	Noresco LLC	FT13103 - 90-15 Queens - FTC	5/13/2020	\$37,046	\$37,046		
PGRM	Technical Services	154186	Competitive	Y	Mohawk Garden Apartments, LLC	FT13105 - 105 Ringdahl - PON	5/14/2020	\$10,000	\$10,000		
PGRM	Technical Services	154210	Competitive	Y	Energy & Resource Solutions, Inc.	AEAP	5/15/2020	\$5,939	\$5,939		
PGRM	Technical Services	154237	Competitive	Y	Vidaris, Inc.	FT13104 - 2000 Purchase - FTC	5/18/2020	\$35,990	\$35,990	\$35,990	\$35,990
PGRM	Technical Services	154365	Competitive	Y	Code Green Solutions, Inc.	FT13107 - 51 Astor Place - FTC	5/22/2020	\$32,120	\$32,120	\$16,060	\$16,060
PGRM	Technical Services	154366	Competitive	Y	Broadway Times Square, Inc.	FT13108 - 1372 Broadway - PON	5/22/2020	\$18,661	\$18,661		
PGRM	Technical Services	154456	Competitive	Y	Regeneron Pharmaceuticals Inc.	FT13110 - 777 Old Saw Mill-PON	5/28/2020	\$45,135	\$45,135	\$42,516	\$42,516
PGRM	Technical Services	154503	Competitive	Y	Goldman Copeland Associates, P.C.	FT13112 - 1 Daq - FTC	5/29/2020	\$7,980	\$7,980		
PGRM	Technical Services	154504	Competitive	Y	Goldman Copeland Associates, P.C.	FT13113 - 1700 Broadway - FTC	5/29/2020	\$9,482	\$9,482		
PGRM	Technical Services	154695	Competitive	Y	Group-S LLC	Natural Gas Constrained Areas	6/5/2020	\$400,000	\$400,000		
PGRM	Technical Services	154696	Competitive	Y	Willdan Energy Solutions	Natural Gas Constrained Areas	6/5/2020	\$400,000	\$400,000	\$69,496	\$69,496
PGRM	Technical Services	154712	Competitive	Y	Arcadis of New York, Inc.	FT13114 - 830 River - FTC	6/8/2020	\$21,500	\$21,500	\$21,500	\$21,500
PGRM	Technical Services	154817	Competitive	Y	AKF-engineers	Indoor Air Quality TWO - AKF	6/12/2020	\$200,000	\$200,000	\$9,099	\$9,099
PGRM	Technical Services	154818	Competitive	Y	Bergmann Associates, Architects,	Indoor Air Quality TWO - Berg.	6/12/2020	\$200,000	\$200,000	\$50,687	\$50,687
PGRM	Technical Services	154874	Competitive	Y	Edison Energy, LLC	Indoor Air Quality TWO - Edison	6/12/2020	\$200,000	\$200,000	\$170,655	\$170,655
PGRM	Technical Services	154875	Competitive	Y	EMCOR Services Betlem	Indoor Air Quality TWO - Emcor	6/12/2020	\$200,000	\$200,000	\$31,243	\$31,243
PGRM	Technical Services	154877	Competitive	Y	Goldman Copeland Associates, P.C.	Indoor Air Quality TWO - Gold.	6/12/2020	\$200,000	\$200,000	\$113,365	\$113,365
PGRM	Technical Services	154878	Competitive	Y	Guth Deconzo Consulting Engineers	Indoor Air Quality TWO - Guth	6/12/2020	\$200,000	\$200,000	\$155,093	\$155,093
PGRM	Technical Services	154879	Competitive	Y	Jaros, Baum & Bolles	Indoor Air Quality TWO - JB&B	6/12/2020	\$218,000	\$218,000	\$166,352	\$166,352
PGRM	Technical Services	154880	Competitive	Y	LaBella Associates, P.C.	Indoor Air Quality TWO-LaBella	6/12/2020	\$200,000	\$200,000	\$169,744	\$169,744
PGRM	Technical Services	154882	Competitive	Y	Syska Hennessy Group	Indoor Air Quality TWO - Syska	6/12/2020	\$200,000	\$200,000	\$64,116	\$64,116
PGRM	Technical Services	154883	Competitive	Y	Vidaris, Inc.	Indoor Air Quality TWO-Vidaris	6/12/2020	\$200,000	\$200,000	\$140,589	\$140,589
PGRM	Technical Services	154884	Competitive	Y	Wendel Energy Services, LLC	Indoor Air Quality TWO -Wendel	6/12/2020	\$200,000	\$200,000	\$164,375	\$164,375
PGRM	Technical Services	154986	Competitive	Y	TRC Engineers, Inc.	Virtual Audit & Assessment	6/17/2020	\$30,000	\$30,000	\$19,011	\$19,011
PGRM	Technical Services	155027	Competitive	Y	Arcadis of New York, Inc.	WRRF On-call Energy Expert	6/18/2020	\$22,600	\$22,600		
PGRM	Technical Services	155155	Competitive	Y	NYS Office of Parks, Recreation and	OsEM52-C - NYS OPRHP	6/24/2020	\$213,380	\$213,380		
PGRM	Technical Services	155216	Competitive	Y	Bright Power, Inc.	FT13115 - 125 Court - FTC	6/26/2020	\$10,125	\$10,125	\$4,870	\$4,870
PGRM	Technical Services	155217	Competitive	Y	330 Madison Holdings, LLC	FT13116 - 330 Madison - PON	6/26/2020	\$33,800	\$33,800		
PGRM	Technical Services	155218	Competitive	Y	Group-S LLC	FT13117 - 235 East 87th - FTC	6/26/2020	\$16,050	\$16,050		
PGRM	Technical Services	155220	Competitive	Y	Smith Engineering PLLC	FT13121 - 825 Eighth Ave - FTC	6/26/2020	\$45,830	\$45,830	\$29,732	\$29,732
PGRM	Technical Services	155221	Competitive	Y	Goldman Copeland Associates, P.C.	FT13122 - Roxy Hotel - FTC	6/26/2020	\$15,991	\$15,991		
PGRM	Technical Services	155222	Competitive	Y	Goldman Copeland Associates, P.C.	FT13123 - Soho Grand - FTC	6/26/2020	\$17,468	\$17,468		
PGRM	Technical Services	155224	Competitive	Y	CHA Consulting Inc.	FT13124 - 2 Tech Place - FTC	6/26/2020	\$7,200	\$7,200	\$6,931	\$6,931
PGRM	Technical Services	155225	Competitive	Y	Code Green Solutions, Inc.	FT13125 - 2 Park - FTC	6/26/2020	\$46,625	\$46,625		
PGRM	Technical Services	155227	Competitive	Y	Bright Power, Inc.	FT13127 - 114 W. 26th - FTC	6/26/2020	\$8,550	\$8,550	\$4,105	\$4,105
PGRM	Technical Services	155228	Competitive	Y	Bright Power, Inc.	FT13126 - 40 W 25th - FTC	6/26/2020	\$9,990	\$9,990	\$5,640	\$5,640
PGRM	Technical Services	155316	Competitive	Y	PFNY LLC	OsEM48-C-Planet Fitness of NY	6/30/2020	\$204,571	\$204,571		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Technical Services	155317	Competitive	Y	New York University	OsEM55-C- NYU - 370 Jay	6/30/2020	\$110,000	\$110,000	\$30,969	\$30,969
PGRM	Technical Services	155318	Competitive	Y	New Water Street Corporation	OsEM56-C-New Water Street Corp	6/30/2020	\$214,000	\$214,000	\$122,263	\$122,263
PGRM	Technical Services	155899	Competitive	Y	Pine Tree Vintage, LLC	FT13130 - Pine Tree - PON	7/16/2020	\$5,250	\$5,250		
PGRM	Technical Services	155904	Competitive	Y	Regional Economic Community Action	FT13131 - Focus Apts. - PON	7/16/2020	\$5,000	\$5,000		
PGRM	Technical Services	156050	Competitive	Y	L&S Energy Services, Inc.	FT13133 - 401 S. Salina - FTC	7/22/2020	\$11,517	\$11,517	\$11,503	\$11,503
PGRM	Technical Services	156190	Competitive	Y	Group-S LLC	FT13136 - 43 E 53rd St - FTC	7/24/2020	\$8,950	\$8,950		
PGRM	Technical Services	156481	Competitive	Y	State University of New York College	OsEM36-C - SUNY Genesee	7/31/2020	\$214,000	\$214,000		
PGRM	Technical Services	156631	Competitive	Y	750 Seventh Avenue NY LLC	FT13137 - 750 7th - PON	8/5/2020	\$10,000	\$10,000		
PGRM	Technical Services	156638	Competitive	Y	Bright Power, Inc.	FT13140 - 165 E 56th - FTC	8/5/2020	\$9,000	\$9,000	\$4,538	\$4,538
PGRM	Technical Services	156853	Competitive	Y	RED Rochester	FT13142 - 1200 Ridgeway - PON	8/11/2020	\$19,845	\$19,845	\$19,845	\$19,845
PGRM	Technical Services	156962	Competitive	Y	Noresco LLC	FT13144 - IAQ Multiple - FTC	8/13/2020	\$66,648	\$66,648	\$66,648	\$66,648
PGRM	Technical Services	157022	Competitive	Y	Arcadis of New York, Inc.	AEAP	8/14/2020	\$5,978	\$5,978	\$4,937	\$4,937
PGRM	Technical Services	157067	Competitive	Y	C&S Engineers, Inc.	FT13148 - Glens Falls - FTC	8/17/2020	\$19,140	\$19,140	\$17,672	\$17,672
PGRM	Technical Services	157275	Competitive	Y	Noresco LLC	FT13149 - 1 State - FTC	8/21/2020	\$38,892	\$38,892		
PGRM	Technical Services	157276	Competitive	Y	Energy & Resource Solutions, Inc.	FT13150 - 1101 Kitchawan - FTC	8/21/2020	\$13,301	\$13,301		
PGRM	Technical Services	157277	Competitive	Y	HDFC 185 East 2nd Street Corp.	FT13151 - 185 East - PON	8/21/2020	\$6,250	\$6,250		
PGRM	Technical Services	157316	Competitive	Y	Code Green Solutions, Inc.	FT13152 - 170 E 83rd - FTC	8/25/2020	\$17,250	\$17,250	\$4,313	\$4,313
PGRM	Technical Services	157317	Competitive	Y	Code Green Solutions, Inc.	FT13153 - 70 Little West - FTC	8/25/2020	\$19,250	\$19,250	\$4,813	\$4,813
PGRM	Technical Services	157464	Competitive	Y	Vidaris, Inc.	FT13154 - 845 Third - FTC	8/27/2020	\$8,584	\$8,584	\$7,488	\$7,488
PGRM	Technical Services	157586	Competitive	Y	Bourne & Kenney Redevelopment Company LL	FT13156 - Kenney Apts. - PON	8/31/2020	\$10,000	\$10,000		
PGRM	Technical Services	157637	Competitive	Y	L&S Energy Services, Inc.	FT13157 - Cronin High Rise-FTC	9/2/2020	\$8,934	\$8,934		
PGRM	Technical Services	157672	Competitive	Y	L&S Energy Services, Inc.	FT13158 - Earl Towers - FTC	9/2/2020	\$8,534	\$8,534		
PGRM	Technical Services	157682	Competitive	Y	L&S Energy Services, Inc.	FT13159 - Stichman Towers -FTC	9/2/2020	\$9,491	\$9,491		
PGRM	Technical Services	157684	Competitive	Y	Cameron Engineering & Associates LLP	FT13160 - 83 Maiden - FTC	9/2/2020	\$33,368	\$33,368		
PGRM	Technical Services	157750	Competitive	Y	411 East 57th Street Corporation	FT13161 - 411 E. 57th - PON	9/3/2020	\$11,000	\$11,000		
PGRM	Technical Services	157817	Competitive	Y	Energy & Resource Solutions, Inc.	FT13163 - 650 Brush Ave - FTC	9/4/2020	\$22,554	\$22,554		
PGRM	Technical Services	157822	Competitive	Y	Wendel Energy Services, LLC	FT13164 - Multiple - FTC	9/4/2020	\$35,000	\$35,000		
PGRM	Technical Services	157937	Competitive	Y	Bergmann Associates, Architects,	AGG1009-Bergmann-Portfolio 1	9/9/2020	\$50,000	\$50,000		
PGRM	Technical Services	158007	Competitive	Y	Sugar Hill Capital Partners, LLC	FT13165 - Sugar Hill - PON	9/10/2020	\$15,000	\$15,000		
PGRM	Technical Services	158056	Competitive	Y	C.J. Brown Energy, P.C.	AEAP	9/11/2020	\$5,997	\$5,997	\$5,942	\$5,942
PGRM	Technical Services	158132	Competitive	Y	Pathfinder Engineers & Architects, LLP	FT13166 - 4341 State - FTC	9/14/2020	\$39,858	\$39,858	\$16,008	\$16,008
PGRM	Technical Services	158368	Competitive	Y	Group-S LLC	FT13170 - 3 Park Ave - FTC	9/18/2020	\$32,500	\$32,500		
PGRM	Technical Services	158495	Competitive	Y	Venture at Endicott LLC	FT13171 - 15 Delaware - PON	9/21/2020	\$10,000	\$10,000		
PGRM	Technical Services	158499	Competitive	Y	Classic American Vintage, LLC	FT13172 - 300 Main Ave - PON	9/21/2020	\$10,000	\$10,000		
PGRM	Technical Services	158673	Competitive	Y	Whitmore Court Apartments LLC	FT13173 - 82 Crescent - PON	9/23/2020	\$10,000	\$10,000		
PGRM	Technical Services	158739	Competitive	Y	Pratt Institute	OsEM60-C - Pratt Institute	9/24/2020	\$163,038	\$163,038		
PGRM	Technical Services	158742	Competitive	Y	CHA Consulting Inc.	FT13174 - 2900 McLane - FTC	9/24/2020	\$35,200	\$35,200	\$9,845	\$9,845
PGRM	Technical Services	158876	Competitive	Y	PGREF I 1633 Broadway Land, LP	OsEM59-C - Paramount Group	9/28/2020	\$214,000	\$214,000		
PGRM	Technical Services	158885	Competitive	Y	Nicholas Noyes Memorial Hospital	FT13175 - 111 Clara - PON	9/29/2020	\$5,465	\$5,465		
PGRM	Technical Services	158887	Competitive	Y	Bright Power, Inc.	FT13176 - 1755 York - FTC	9/29/2020	\$8,600	\$8,600	\$4,135	\$4,135
PGRM	Technical Services	158891	Competitive	Y	Taitem Engineering, P.C.	FT13178 - 200 East 84th - FTC	9/29/2020	\$17,466	\$17,466		
PGRM	Technical Services	158950	Competitive	Y	Group-S LLC	FT13179 - 1293 Broadway - FTC	9/30/2020	\$10,500	\$10,500		
PGRM	Technical Services	158951	Competitive	Y	Bright Power, Inc.	FT13180 - 30 Washington - FTC	9/30/2020	\$8,660	\$8,660		
PGRM	Technical Services	158954	Competitive	Y	Frontier Energy, Inc.	FT13181 - 450 W 17th - FTC	9/30/2020	\$9,898	\$9,898		
PGRM	Technical Services	159123	Competitive	Y	RED Rochester	FT13182-RED-Rochester- PON	10/2/2020	\$19,725	\$19,725		
PGRM	Technical Services	159125	Competitive	Y	Group-S LLC	FT13183 - D&D Building - FTC	10/2/2020	\$18,800	\$18,800		
PGRM	Technical Services	159159	Competitive	Y	AE Duke Management LLC	FT13184 - AE Duke - PON	10/5/2020	\$10,000	\$10,000		
PGRM	Technical Services	159182	Competitive	Y	Steven Winter Associates, Inc.	FT13186 - Electchester - FTC	10/6/2020	\$40,475	\$40,475	\$9,585	\$9,585
PGRM	Technical Services	159396	Competitive	Y	Syska Hennessy Group	FT13187 - 555 West 18th - FTC	10/12/2020	\$25,383	\$25,383		
PGRM	Technical Services	159397	Competitive	Y	Taitem Engineering, P.C.	FT13188 - 115 E 9th - FTC	10/12/2020	\$15,791	\$15,791		
PGRM	Technical Services	159398	Competitive	Y	Group-S LLC	FT13189 - 135 E 57th - FTC	10/12/2020	\$15,400	\$15,400		
PGRM	Technical Services	159399	Competitive	Y	Bright Power, Inc.	FT13192 - 438-448 W 37th - FTC	10/12/2020	\$6,750	\$6,750	\$3,545	\$3,545
PGRM	Technical Services	159402	Competitive	Y	Bright Power, Inc.	FT13191 - 830 Howard - FTC	10/13/2020	\$5,850	\$5,850	\$2,925	\$2,925
PGRM	Technical Services	159496	Competitive	Y	Smith Engineering PLLC	FT13193 - 150 East 42nd - FTC	10/13/2020	\$47,575	\$47,575	\$22,525	\$22,525
PGRM	Technical Services	159499	Competitive	Y	Bright Power, Inc.	FT13194 - 110 Livingston - FTC	10/13/2020	\$12,480	\$12,480	\$6,208	\$6,208
PGRM	Technical Services	159555	Competitive	Y	Wendel Energy Services, LLC	FT13195 - Multiple - FTC	10/14/2020	\$21,500	\$21,500	\$16,054	\$16,054
PGRM	Technical Services	159558	Competitive	Y	Group-S LLC	FT13197 - 131 Madison - FTC	10/15/2020	\$14,300	\$14,300		
PGRM	Technical Services	159703	Competitive	Y	Ida Yarbrough Phase IV LLC	FT13199 - Multiple - PON	10/20/2020	\$32,088	\$32,088		
PGRM	Technical Services	159708	Competitive	Y	RCPI Landmark Properties, LLC	OsEM63-C-TS-Rockefeller Center	10/20/2020	\$214,000	\$214,000		
PGRM	Technical Services	159713	Competitive	N	C.J. Brown Energy, P.C.	AEAP1282 - CRS Growers	10/20/2020	\$5,997	\$5,997	\$5,881	\$5,881
PGRM	Technical Services	159715	Competitive	N	LaBella Associates, P.C.	AEAP1284 - Lismore Dairy LLC	10/20/2020	\$6,000	\$6,000		
PGRM	Technical Services	159849	Competitive	Y	Bright Power, Inc.	FT13201 - 550 W 54th - FTC	10/21/2020	\$17,210	\$17,210		
PGRM	Technical Services	159961	Competitive	Y	Bright Power, Inc.	FT13202 - 1500 Lexington - FTC	10/23/2020	\$5,550	\$5,550		
PGRM	Technical Services	159962	Competitive	Y	Bright Power, Inc.	FT13203 - 56 W 22nd - FTC	10/23/2020	\$8,550	\$8,550	\$4,275	\$4,275
PGRM	Technical Services	159963	Competitive	Y	Antares Group, Inc.	FT13204 - 2885 State - FTC	10/23/2020	\$36,637	\$36,637		
PGRM	Technical Services	160140	Competitive	Y	Cameron Engineering & Associates LLP	FT13205 - 395 Broadway - FTC	10/28/2020	\$5,075	\$5,075		
PGRM	Technical Services	160145	Competitive	Y	Wendel Energy Services, LLC	FT13207 - Amherst WWTF - FTC	10/28/2020	\$55,000	\$55,000	\$11,648	\$11,648
PGRM	Technical Services	160146	Competitive	Y	Adam C. Boese	FT13208 - 3400 Cannon - FTC	10/28/2020	\$7,800	\$7,800		
PGRM	Technical Services	160490	Competitive	Y	ACPBKI LLC	FT13214 - 630 Flushing - PON	11/4/2020	\$18,035	\$18,035		
PGRM	Technical Services	160816	Competitive	Y	Fordham University	OsEM62-C-Fordham-Lincoln	11/12/2020	\$151,387	\$151,387		
PGRM	Technical Services	161090	Competitive	Y	PGREF I 1633 Broadway Land, LP	FT13219 - 1633 Broadway - PON	11/18/2020	\$69,854	\$69,854		
PGRM	Technical Services	161091	Competitive	Y	Bright Power, Inc.	FT13220 - 50 W 23rd - FTC	11/18/2020	\$14,175	\$14,175	\$7,055	\$7,055
PGRM	Technical Services	161093	Competitive	Y	CEMC Associates LLC	FT13224 - Flower City - PON	11/18/2020	\$9,875	\$9,875		
PGRM	Technical Services	161094	Competitive	Y	712 Fifth Avenue Owner LP	FT13225 - 712 Fifth Ave - PON	11/18/2020	\$27,946	\$27,946		
PGRM	Technical Services	161097	Competitive	Y	Group-S LLC	FT13221 - 60 Sutton - FTC	11/19/2020	\$12,250	\$12,250		
PGRM	Technical Services	161098	Competitive	Y	Bright Power, Inc.	FT13222 - 25 Washington - FTC	11/19/2020	\$8,325	\$8,325	\$4,163	\$4,163
PGRM	Technical Services	161186	Competitive	Y	65 Broadway Owner LLC	FT13231 - 65 Broadway - PON	11/20/2020	\$37,376	\$37,376		
PGRM	Technical Services	161187	Competitive	Y	Noresco LLC	FT13232 - 50 Vanderbilt - FTC	11/20/2020	\$19,854	\$19,854		
PGRM	Technical Services	161188	Competitive	Y	Group-S LLC	FT13233 - 501 10th - FTC	11/20/2020	\$13,500	\$13,500		
PGRM	Technical Services	161197	Competitive	Y	Guth Deconzo Consulting Engineers	IAQ-Higher Education-Guth TWO	11/23/2020	\$75,000	\$75,000	\$60,130	\$60,130
PGRM	Technical Services	161239	Competitive	Y	Group-S LLC	FT13234 - 42 W 39th - FTC	11/24/2020	\$14,800	\$14,800		

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Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Technical Services	161353	Competitive	Y	School House Manor, Inc.	FT13240 - 1 North Pearl - PON	11/25/2020	\$6,375	\$6,375		
PGRM	Technical Services	161355	Competitive	Y	Group-S LLC	FT13242 - 11 Chapel - FTC	11/25/2020	\$9,750	\$9,750		
PGRM	Technical Services	161589	Competitive	Y	Urban Health Plan Inc.	FT13256 - 1054 Simpson - PON	12/4/2020	\$9,175	\$9,175		
PGRM	Technical Services	161610	Competitive	Y	Urban Health Plan Inc.	FT13257 - 1065 Southern - PON	12/4/2020	\$6,898	\$6,898		
PGRM	Technical Services	161620	Competitive	Y	Goldman Copeland Associates, P.C.	FT13259 - 1 West 54th - FTC	12/4/2020	\$29,951	\$29,951		
PGRM	Technical Services	161622	Competitive	Y	Goldman Copeland Associates, P.C.	FT13260 - 601 W 26th - FTC	12/4/2020	\$29,970	\$29,970		
PGRM	Technical Services	161634	Competitive	Y	Group-S LLC	FT13251 - 9 Barrow - FTC	12/4/2020	\$15,725	\$15,725		
PGRM	Technical Services	161912	Competitive	Y	Bright Power, Inc.	FT13266 - 185 Varick - FTC	12/10/2020	\$6,750	\$6,750	\$3,375	\$3,375
PGRM	Technical Services	161914	Competitive	Y	Noresco LLC	FT13267 - 240 Greenwich - FTC	12/10/2020	\$94,005	\$94,005		
PGRM	Technical Services	161919	Competitive	Y	Goldman Copeland Associates, P.C.	FT13272 - 555-557 Broad - FTC	12/11/2020	\$14,998	\$14,998		
PGRM	Technical Services	161920	Competitive	Y	Norwich Housing Authority	FT13273 - Peacock Park - PON	12/11/2020	\$7,000	\$7,000		
PGRM	Technical Services	161921	Competitive	Y	Goldman Copeland Associates, P.C.	FT13274 - 512 W 22nd - FTC	12/11/2020	\$5,988	\$5,988		
PGRM	Technical Services	161924	Competitive	Y	Valley View Realty Holdings, LLC	FT13277 - Valley View - PON	12/11/2020	\$7,875	\$7,875		
PGRM	Technical Services	162060	Competitive	Y	C&S Engineers, Inc.	FT13279 - Courthouse - FTC	12/15/2020	\$8,000	\$8,000	\$4,594	\$4,594
PGRM	Technical Services	162062	Competitive	Y	International Business Machines,	FT13280 - 1101 Kitchawan - PON	12/15/2020	\$30,606	\$30,606		
PGRM	Technical Services	162065	Competitive	Y	Energy & Resource Solutions, Inc.	FT13281 - 18-1 Steinway - FTC	12/16/2020	\$28,913	\$28,913		
PGRM	Technical Services	162071	Competitive	Y	Sustainable Investment Group	FT13284 - 11 W 19th - FTC	12/16/2020	\$13,000	\$13,000		
PGRM	Technical Services	162072	Competitive	Y	Sustainable Investment Group	FT13285 - 218 W 40th - FTC	12/16/2020	\$10,600	\$10,600		
PGRM	Technical Services	162112	Competitive	Y	Sustainable Investment Group	FT13286 - 499 7th Ave - FTC	12/16/2020	\$10,950	\$10,950		
PGRM	Technical Services	162113	Competitive	Y	Sustainable Investment Group	FT13287 - 530 7th Ave - FTC	12/16/2020	\$21,725	\$21,725		
PGRM	Technical Services	162153	Competitive	Y	Goldman Copeland Associates, P.C.	FT13292 - 866 UN Plaza - FTC	12/16/2020	\$17,986	\$17,986		
PGRM	Technical Services	162154	Competitive	Y	RED Rochester	FT13293 - 1200 Ridgeway - PON	12/16/2020	\$22,825	\$22,825		
PGRM	Technical Services	162155	Competitive	Y	International Business Machines	FT13294 - 1 North Castle - PON	12/16/2020	\$33,948	\$33,948		
PGRM	Technical Services	162158	Competitive	Y	Group-S LLC	FT13296 - 47-25 34th - FTC	12/17/2020	\$13,000	\$13,000		
PGRM	Technical Services	162159	Competitive	Y	Lawrence Terrace Vintage, LLC	FT13297 - 402 Lawrence - PON	12/17/2020	\$5,400	\$5,400		
PGRM	Technical Services	162165	Competitive	Y	Maple Manor Vintage LLC	FT13298 - 7500 Windview - PON	12/17/2020	\$6,300	\$6,300		
PGRM	Technical Services	162418	Competitive	Y	Group-S LLC	FT13301 - 41 River - FTC	12/22/2020	\$15,500	\$15,500		
PGRM	Technical Services	162422	Competitive	Y	Arcadis of New York, Inc.	FT13304 - Mohawk View - FTC	12/22/2020	\$31,605	\$31,605	\$6,428	\$6,428
PGRM	Technical Services	162424	Competitive	Y	GBA Commissioning Services, Inc	FT13305 - 11 West 53rd - FTC	12/22/2020	\$154,472	\$154,472	\$40,046	\$40,046
PGRM	Technical Services	162427	Competitive	Y	Goldman Copeland Associates, P.C.	FT13308 - 750 7th - FTC	12/22/2020	\$29,990	\$29,990		
PGRM	Technical Services	162437	Competitive	Y	Nightingale Realty, LLC	FT13317 - 14 53rd - PON	12/22/2020	\$10,000	\$10,000		
PGRM	Technical Services	162438	Competitive	Y	MIR Queens Place, LLC	FT13318 - 88-01 Queens - PON	12/22/2020	\$44,146	\$44,146		
PGRM	Technical Services	162591	Competitive	Y	Valentine Gardens Vintage, LLC	FT13322 - 222 Merrill - PON	12/28/2020	\$6,300	\$6,300		
PGRM	Technical Services	162600	Competitive	Y	Wendel Energy Services, LLC	FT13330 - Multiple - FTC	12/28/2020	\$32,000	\$32,000		
PGRM	Technical Services	162604	Competitive	Y	CHA Consulting Inc.	FT13334 - 9567 Main - FTC	12/28/2020	\$11,668	\$11,668		
PGRM	Technical Services	162715	Competitive	Y	Enica Engineering, PLLC	FT13348 - 777 Old Saw - FTC	12/29/2020	\$58,000	\$58,000		
PGRM	Technical Services	162725	Competitive	Y	20 TSO Lessee	FT13353 - 701 7th - PON	12/29/2020	\$9,938	\$9,938		
PGRM	Technical Services	162734	Competitive	Y	43 & 2 Property Co LLC	FT13359 - 801 2nd - PON	12/30/2020	\$11,910	\$11,910		
PGRM	Technical Services	162776	Competitive	Y	Group-S LLC	FT13361 - 375 Pearl - FTC	12/30/2020	\$8,000	\$8,000		
PGRM	Technical Services	162807	Competitive	Y	PEMM LLC	FT13365 - 265 E Main - PON	12/30/2020	\$5,573	\$5,573		
PGRM	Technical Services	162809	Competitive	Y	PEMM LLC	FT13366 - 1279 Coffeen - PON	12/30/2020	\$5,573	\$5,573		
PGRM	Technical Services	162810	Competitive	Y	PEMM LLC	FT13367 - 23582 NY-342 - PON	12/30/2020	\$5,573	\$5,573		
PGRM	Technical Services	162842	Competitive	Y	Netgains Engineering P.C.	FT13370 - Stages 1-6 - FTC	12/31/2020	\$20,450	\$20,450		
PGRM	Technical Services	162845	Competitive	Y	Netgains Engineering P.C.	FT13371 - Stages 10-15 - FTC	12/31/2020	\$5,000	\$5,000		
PGRM	Technical Services	162861	Competitive	Y	Bright Power, Inc.	FT13376 - Brooklyn Ren. - FTC	12/31/2020	\$42,750	\$42,750		
PGRM	Technical Services	162863	Competitive	Y	Village of Sodus	FT13377 - 14-16 Mill - PON	12/31/2020	\$6,350	\$6,350		
PGRM	Technical Services	163304	Competitive	Y	Goldman Copeland Associates, P.C.	FT13400 - 30 Lincoln - FTC	1/14/2021	\$14,991	\$14,991		
PGRM	Technical Services	163368	Competitive	N	C.J. Brown Energy, P.C.	AEAP1296 - FLX Grape LLC	1/19/2021	\$5,997	\$5,997	\$4,984	\$4,984
PGRM	Technical Services	163685	Competitive	Y	Montefiore Medical Center	OsEM69-C-Montefiore Medical	1/26/2021	\$214,000	\$214,000		
PGRM	Technical Services	163722	Competitive	Y	RXR SL Owner LLC	OsEM70-C-RXR - Starrett Lehigh	1/26/2021	\$214,000	\$214,000		
PGRM	Technical Services	163764	Competitive	Y	Broad Financial Center, LLC	FT13409 - Broad Financial -PON	1/27/2021	\$9,360	\$9,360		
PGRM	Technical Services	163765	Competitive	Y	EMCOR Services Beltem	FT13415 - Keurig - FTC	1/27/2021	\$9,989	\$9,989		
PGRM	Technical Services	163899	Competitive	Y	C&S Engineers, Inc.	FT13418 - 2221 Niagara - FTC	1/29/2021	\$8,361	\$8,361		
PGRM	Technical Services	164464	Competitive	Y	Fordham University	OsEM62-C - Fordham - Lincoln	2/12/2021	\$214,000	\$214,000		
PGRM	Technical Services	164512	Competitive	Y	ACI V REIT HOLDCO JV LLC	FT13427 - 110 Leroy - PON	2/16/2021	\$11,550	\$11,550		
PGRM	Technical Services	164715	Competitive	Y	LaBella Associates, P.C.	FT13437 - 711 North - FTC	2/22/2021	\$33,000	\$33,000		
PGRM	Technical Services	164779	Competitive	Y	Noresco LLC	FT13440 - Smilow - FTC	2/23/2021	\$42,653	\$42,653		
PGRM	Technical Services	164923	Competitive	Y	SUNY Upstate Medical University	OsEM72-C-SUNY Upstate	2/26/2021	\$214,000	\$214,000		
PGRM	Technical Services	165256	Competitive	Y	C&S Engineers, Inc.	FT13459 - 22643 Fisher - FTC	3/5/2021	\$18,500	\$18,500		
PGRM	Technical Services	165316	Competitive	Y	Hobart and William Smith Colleges	OsEM51-C-Hobart&William Smith	3/8/2021	\$82,514	\$82,514		
PGRM	WORKFORCE DEVELOPMENT	108922	Competitive	Y	John Siegenthaler	RFP 3165 Hydronic System Desig	1/11/2017		\$240,814	\$36,462	\$186,398
PGRM	WORKFORCE DEVELOPMENT	148873	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE Christopher McDaniel	12/12/2019		\$8,000	\$2,390	\$8,000
PGRM	WORKFORCE DEVELOPMENT	149391	Competitive	N	Green Team USA, LLC	OJT HIRE	12/20/2019		\$5,120	\$912	\$3,221
PGRM	WORKFORCE DEVELOPMENT	149485	Competitive	N	Green Team USA, LLC	OJT HIRE	12/24/2019		\$5,120	\$2,796	\$5,120
PGRM	WORKFORCE DEVELOPMENT	150299	Competitive	N	Green Team USA, LLC	OJT HIRE	1/16/2020		\$5,920	\$5,920	\$5,920
PGRM	WORKFORCE DEVELOPMENT	150300	Competitive	N	Green Team USA, LLC	OJT HIRE	1/16/2020		\$7,680	\$5,280	\$7,680
PGRM	WORKFORCE DEVELOPMENT	150570	Competitive	N	Green Team USA, LLC	OJT HIRE	1/24/2020		\$6,400	\$4,203	\$5,452
PGRM	WORKFORCE DEVELOPMENT	151005	Competitive	N	J Synergy Green Inc	OJT HIRE	2/5/2020		\$12,000	\$1,000	\$3,000
PGRM	WORKFORCE DEVELOPMENT	151295	Competitive	N	J Synergy Green Inc	OJT HIRE	2/12/2020		\$5,760	\$4,842	\$5,760
PGRM	WORKFORCE DEVELOPMENT	151628	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	2/20/2020		\$5,120	\$2,744	\$5,120
PGRM	WORKFORCE DEVELOPMENT	151629	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	2/20/2020		\$6,560	\$4,100	\$6,560
PGRM	WORKFORCE DEVELOPMENT	151630	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	2/20/2020		\$5,760	\$3,816	\$5,760
PGRM	WORKFORCE DEVELOPMENT	152080	Competitive	N	Green Team USA, LLC	OJT HIRE	3/3/2020		\$5,760	\$1,324	\$1,324
PGRM	WORKFORCE DEVELOPMENT	153575	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	4/17/2020	\$7,680	\$7,680	\$7,680	\$7,680
PGRM	WORKFORCE DEVELOPMENT	153610	Competitive	N	Green Team USA, LLC	OJT HIRE	4/20/2020	\$8,480	\$8,480	\$8,480	\$8,480
PGRM	WORKFORCE DEVELOPMENT	153677	Competitive	N	Bonded Energy Solutions Corp.	OJT HIRE	4/23/2020	\$15,360	\$15,360	\$15,360	\$15,360
PGRM	WORKFORCE DEVELOPMENT	153697	Competitive	N	Green Team USA, LLC	OJT HIRE	4/24/2020	\$7,680	\$7,680	\$7,680	\$7,680
PGRM	WORKFORCE DEVELOPMENT	154003	Competitive	N	Bonded Energy Solutions Corp.	OJT hire	5/5/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	WORKFORCE DEVELOPMENT	154395	Competitive	N	Green Team USA, LLC	OJT HIRE	5/26/2020	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	WORKFORCE DEVELOPMENT	155784	Competitive	N	J Synergy Green Inc	OJT HIRE	7/14/2020	\$15,360	\$15,360	\$15,360	\$15,360
PGRM	WORKFORCE DEVELOPMENT	155833	Competitive	N	Green Team USA, LLC	OJT HIRE DAVID WHITE	7/15/2020	\$7,680	\$7,680	\$1,570	\$1,570

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		Term > 1						Committed this			Amt Paid this		
Category	Initiative	Contract ID	Type	Year	Contractor	Project Description	Date	period	Committed to Date	Period	Amt Paid to Date	Period	Amt Paid to Date
PGRM	WORKFORCE DEVELOPMENT	156287	Competitive	N	NYS Energy Solutions	OJT HIRE	7/28/2020	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	WORKFORCE DEVELOPMENT	156294	Competitive	N	NYS Energy Solutions	OJT HIRE	7/29/2020	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	WORKFORCE DEVELOPMENT	156392	Competitive	N	Green Team USA, LLC	OJT HIRE	7/30/2020	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240	\$10,240
PGRM	WORKFORCE DEVELOPMENT	156900	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	8/12/2020	\$8,960	\$8,960	\$3,360	\$3,360	\$3,360	\$3,360
PGRM	WORKFORCE DEVELOPMENT	156952	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	8/13/2020	\$6,720	\$6,720	\$6,720	\$6,720	\$6,720	\$6,720
PGRM	WORKFORCE DEVELOPMENT	157065	Competitive	N	NYS Energy Solutions	OJT HIRE	8/17/2020	\$6,400	\$6,400				
PGRM	WORKFORCE DEVELOPMENT	158063	Competitive	N	J Synergy Green Inc	OJT HIRE	9/14/2020	\$14,400	\$14,400	\$14,400	\$14,400	\$14,400	\$14,400
PGRM	WORKFORCE DEVELOPMENT	158120	Competitive	N	Green Team USA, LLC	OJT HIRE	9/14/2020	\$5,440	\$5,440	\$5,440	\$5,440	\$5,440	\$5,440
PGRM	WORKFORCE DEVELOPMENT	158874	Competitive	N	Green Team USA, LLC	OJT HIRE	9/28/2020	\$5,440	\$5,440	\$780	\$780	\$780	\$780
PGRM	WORKFORCE DEVELOPMENT	159166	Competitive	N	Green Team USA, LLC	OJT HIRE	10/5/2020	\$5,440	\$5,440	\$5,440	\$5,440	\$5,440	\$5,440
PGRM	WORKFORCE DEVELOPMENT	161440	Competitive	N	J Synergy Green Inc	OJT HIRE	12/2/2020	\$9,600	\$9,600	\$4,686	\$4,686	\$4,686	\$4,686
PGRM	WORKFORCE DEVELOPMENT	161655	Competitive	N	Green Team USA, LLC	OJT HIRE	12/7/2020	\$7,680	\$7,680	\$3,456	\$3,456	\$3,456	\$3,456
PGRM	WORKFORCE DEVELOPMENT	163339	Competitive	N	Green Home Logic, Inc.	OJT HIRE	1/15/2021	\$12,000	\$12,000				
PGRM	WORKFORCE DEVELOPMENT	163903	Competitive	N	Green Team USA, LLC	OJT HIRE	1/29/2021	\$12,000	\$12,000	\$2,652	\$2,652	\$2,652	\$2,652
PGRM	WORKFORCE DEVELOPMENT	163970	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	2/1/2021	\$5,760	\$5,760				
PGRM	WORKFORCE DEVELOPMENT	163972	Competitive	N	NuWorld Lighting Solutions Inc	OJT HIRE	2/1/2021	\$5,760	\$5,760				
PGRM	WORKFORCE DEVELOPMENT	165544	Competitive	N	J Synergy Green Inc	OJT HIRE	3/12/2021	\$15,360	\$15,360				
PGRM	WORKFORCE DEVELOPMENT	165735	Competitive	N	J Synergy Green Inc	OJT HIRE	3/17/2021	\$12,000	\$12,000				
PGRM	Workforce Industry Partnership	114387	Competitive	Y	Riseboro Community Partnership, Inc.	PON 3442 Workforce Training	6/1/2017		\$243,090	\$5,794	\$142,058		
PGRM	Workforce Industry Partnership	114689	Competitive	Y	FS Energy, LLC	PON 3442 Workforce Training	6/9/2017		\$400,000	\$17,894	\$231,393		
PGRM	Workforce Industry Partnership	116584	Competitive	Y	NYS School Facilities Association, Inc.	PON 3442 Workforce Training	8/1/2017		\$400,000		\$267,705		
PGRM	Workforce Industry Partnership	117764	Competitive	Y	Related Management Company LP	PON 3442 BOM Training Program	8/31/2017		\$398,557		\$285,509		
PGRM	Workforce Industry Partnership	117766	Competitive	Y	College at Brockport	Workforce Industry Partnership	8/31/2017		\$223,900	\$2,785	\$2,785		
PGRM	Workforce Industry Partnership	117767	Competitive	Y	The Cooper Union for the Advancement of	Workforce Industry Partnership	8/31/2017		\$167,671	\$21,417	\$167,671		
PGRM	Workforce Industry Partnership	117769	Competitive	Y	NYU Langone Hospitals	WFD for building O&M	8/31/2017		\$400,000	\$188,463	\$188,463		
PGRM	Workforce Industry Partnership	117770	Competitive	Y	Montefiore Medical Center	PON 3442	8/31/2017		\$174,709				
PGRM	Workforce Industry Partnership	124459	Competitive	Y	Urban Green Council	PON 3715 Workforce Training	3/22/2018	\$8,364	\$295,021	\$70,500	\$283,707		
PGRM	Workforce Industry Partnership	124464	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	3/23/2018		\$77,585	\$35,438	\$71,451		
PGRM	Workforce Industry Partnership	124465	Competitive	Y	Local 32BJ Thomas Shortman Training	PON 3715 Workforce Training	3/23/2018		\$199,607	\$32,386	\$80,490		
PGRM	Workforce Industry Partnership	124466	Competitive	Y	Urban Green Council	PON 3715 Workforce Training	3/23/2018		\$198,856	\$57,620	\$193,175		
PGRM	Workforce Industry Partnership	124960	Competitive	Y	Lefrak Estates LP	PON 3715 Workforce Training	3/28/2018		\$112,284				
PGRM	Workforce Industry Partnership	125261	Competitive	Y	Housing Works	PON 3715 Workforce Training	4/4/2018		\$54,090	\$26,517	\$26,517		
PGRM	Workforce Industry Partnership	128856	Competitive	Y	Interstate Renewable Energy Council, Inc	PON 3715 Workforce Training	7/11/2018		\$249,007	\$26,739	\$166,913		
PGRM	Workforce Industry Partnership	128857	Competitive	Y	Association for Energy Affordability, In	PON 3715 Workforce Training	7/11/2018		\$400,000	\$51,038	\$254,188		
PGRM	Workforce Industry Partnership	128858	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	7/11/2018		\$90,448	\$11,010	\$77,853		
PGRM	Workforce Industry Partnership	134553	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	11/29/2018		\$396,750	\$19,999	\$19,999		
PGRM	Workforce Industry Partnership	134554	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	11/29/2018		\$391,013	\$61,093	\$113,036		
PGRM	Workforce Industry Partnership	134556	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	11/29/2018		\$77,306	\$9,784	\$36,490		
PGRM	Workforce Industry Partnership	134557	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	11/29/2018		\$167,955	\$24,157	\$29,682		
PGRM	Workforce Industry Partnership	136487	Competitive	Y	Smith Engineering PLLC	PON 3715 Workforce Training	1/25/2019		\$184,392	\$40,044	\$129,042		
PGRM	Workforce Industry Partnership	136488	Competitive	Y	Smith Engineering PLLC	PON 3715 Workforce Training	1/25/2019		\$245,465	\$50,455	\$112,197		
PGRM	Workforce Industry Partnership	139501	Competitive	Y	Smith Engineering PLLC	PON 3715 Workforce Training	4/24/2019		\$196,469	\$41,928	\$67,448		
PGRM	Workforce Industry Partnership	139502	Competitive	Y	Smith Engineering PLLC	PON 3715 Workforce Training	4/24/2019		\$170,199	\$18,051	\$64,885		
PGRM	Workforce Industry Partnership	139612	Competitive	Y	The Cooper Union for the Advancement of	PON 3715 Workforce Training	4/25/2019		\$232,325	\$68,426	\$92,329		
PGRM	Workforce Industry Partnership	139614	Competitive	Y	Smith Engineering PLLC	PON 3715 Workforce Training	4/25/2019		\$280,200		\$58,130		
PGRM	Workforce Industry Partnership	139615	Competitive	Y	Erie Community College	PON 3715 Workforce Training	4/25/2019		\$65,720				
PGRM	Workforce Industry Partnership	139616	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	4/25/2019	\$383,191	\$383,191	\$44,146	\$44,146		
PGRM	Workforce Industry Partnership	139617	Competitive	Y	AKF-engineers	PON 3715 Workforce Training	4/25/2019		\$99,720	\$39,303	\$39,303		
PGRM	Workforce Industry Partnership	139618	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	4/25/2019		\$250,050	\$13,650	\$13,650		
PGRM	Workforce Industry Partnership	139619	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	4/25/2019	\$127,515	\$127,515				
PGRM	Workforce Industry Partnership	139620	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	4/25/2019		\$109,100	\$3,813	\$3,813		
PGRM	Workforce Industry Partnership	145984	Competitive	Y	The City College of New York	PON 3715 Workforce Training	10/10/2019	\$232,875	\$232,875	\$119,028	\$119,028		
PGRM	Workforce Industry Partnership	145985	Competitive	N	Smith Engineering PLLC	PON 3715 Workforce Training	10/10/2019		\$206,274	\$68,711	\$109,376		
PGRM	Workforce Industry Partnership	145986	Competitive	N	Smith Engineering PLLC	PON 3715 Workforce Training	10/10/2019		\$95,034	\$3,240	\$8,810		
PGRM	Workforce Industry Partnership	145987	Competitive	N	Smith Engineering PLLC	PON 3715 Workforce Training	10/10/2019		\$209,696	\$83,685	\$120,711		
PGRM	Workforce Industry Partnership	145988	Competitive	N	Smith Engineering PLLC	PON 3715 Workforce Training	10/10/2019		\$205,712	\$29,384	\$46,549		
PGRM	Workforce Industry Partnership	152962	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 Workforce Training	3/27/2020	\$399,936	\$399,936	\$39,361	\$39,361		
PGRM	Workforce Industry Partnership	154924	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 BOM Training	6/15/2020	\$94,127	\$94,127	\$7,253	\$7,253		
PGRM	Workforce Industry Partnership	156070	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 BOM Training	7/22/2020	\$309,552	\$309,552	\$69,431	\$69,431		
PGRM	Workforce Industry Partnership	156071	Competitive	Y	Steven Winter Associates, Inc.	PON 3715 BOM Training	7/22/2020	\$285,222	\$285,222	\$48,812	\$48,812		
Grand Total		Total	Total	Total	Total	Total	\$3,126,202,452	\$14,934,460,567	\$424,923,911	\$1,495,593,070			

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
7group, LLC	600 Grings Hill Road	Sinking Spring	PA	19608	FSBE				
22nd Century Technologies, Inc.	220 Davidson Ave., Ste 118	Somerset	NJ	08873	FSBE				
AAF Rye Hotel LLC	d/b/a Hilton Westchester	Rye Brook	NY	10573	NYSBE				
ABH Capital Management, LLC	ServerMonkey.com	Houston	TX	77040	FSBE				
Abt Associates Inc.	6130 Executive Boulevard	Rockville	MD	20852	FSBE				
ACEEE	529 14th St. NW, Suite 600	Washington	DC	20045	FSBE				
Action Research Inc.	3630 Ocean Ranch Blvd.	Oceanside	CA	92056	FSBE				
Adam Hinge	d/b/a Sustainable Energy Partnerships	Tarrytown	NY	10591	NYSBE				
Adewale Aremu Adesanya	Havenergy Consulting Inc.	Guilderland	NY	12084-9998	NYSBE		X		
Adirondack Area Network	10 Empire State Blvd	Castleton	NY	12033-9751	NYSBE				
ADL Ventures LLC	3435 Cesar Chavez St	San Francisco	CA	94110	FSBE				
ADM Associates, Inc.,	3239 Ramos Circle	Sacramento	CA	95827	FSBE				
ADP, Inc.	PO Box 842875	Boston	MA	02284-2875	FSBE				
Advent Software Luxembourg S A R L	d/b/a SS&C Financial Services LLC	Windsor	CT	06095	FSBE				
Adviser Compliance Associates, LLC	P.O Box 745428	Atlanta	GA	30374-5428	FSBE				
AECOM Technical Services Inc.	515 South Flower Street, 3rd Floor	Los Angeles	CA	90071	FSBE				
Akimeka, LLC	901 No. Lake Destiny Drive	Maitland	FL	32751	FSBE				
AlienVault, Inc.	1875 S. Grant Street, Suite #200	San Mateo	CA	94402	FSBE				
Allard Communications	13 Huckleberry Drive	Castleton	NY	12033	NYSBE				
Allegis Group Holdings Inc	7301 Parkway Drive	Hanover	MD	21076	FSBE				
Alliance for Sustainable Energy, LLC	15013 Denver West Parkway	Golden	CO	80401	FSBE				
Alliance to Save Energy	3570 Guilderland Avenue	Rotterdam	NY	12306	NYSBE				
Allison van Hee	66 Rockwell Place	Brooklyn	NY	11217	NYSBE				
Amazon Capital Servies, Inc.	410 Terry Avenue North	Seattle	WA	98109	FSBE				
Amerex Brokers LLC	One Sugar Creek Center Blvd. #700	Sugar Land	TX	77478	FSBE				
American Council for an Energy Efficient	529 14th Street, NW Suite 600	Washington	DC	20045	FSBE				
American Society of Adaptation Professio	P.O. Box 980329	Ypsilanti	MI	48198	FSBE				
American Society of Heating,Refrigeratin	1791 Tullie Circle, N.E.	Atlanta	GA	30329-2305	FSBE				
American Solar Grazing Association, Inc.	863 Hayts Rd.	Ithaca	NY	14850	NYSBE				
AmTrust North America Inc.	PO Box 94557	Cleveland	OH	44101-4557	FSBE				
Andrew Seiden	MTWABP LLC	Brooklyn	NY	11201	NYSBE				

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Aon Risk Consultants, Inc.	200 E. Randolph Street	Chicago	IL	60601	FSBE				
APPEXTREMES, LLC	PO BOX 7839	Broomfield	CO	80021	FSBE				
Applied Design Initiative LLC	247 Wsr 35th Street	New York	NY	10001	NYSBE				
Apprise, Incorporated	32 Nassau Street, Suite 200	Princeton	NJ	08542	FSBE				
Arcadis of New York, Inc.	6723 Towpath Rd	Syracuse	NY	13214	NYSBE				
Arcadis U.S., Inc.	PO Box 66	Syracuse	NY	13214-0066	NYSBE				
Arch Street Communications, Inc.	31 Mamaroneck Ave., Ste 400	White Plains	NY	10601	NYSBE	X			
Architectural Flooring Resource, Inc.	135 W 27th Street	New York	NY	10001	NYSBE	X	X		
Arctic Air Mechanical Corp	13-47 209th St	Bayside	NY	11360	NYSBE				
Argus Media Inc.	500 Fifth Ave., Ste 2410	New York	NY	10110	NYSBE				
Arnold & Porter Kaye Scholer LLP	P.O. Box 759451	Baltimore	MD	21275-9451	FSBE				
ASKCO Electric Supply Co Inc	14 Cooper Street	Glens Falls	NY	12801	NYSBE				
Atlantic Data Security, LLC	23 Shoddy Mill Road	Bolton	CT	06043	FSBE				
Axis Geospatial	28640 Mary's Court	Easton	MD	21601	FSBE				
Bank of New York	101 Barclay St	New York	NY	10286	NYSBE				
BDO USA LLP	PO Box 642743	Pittsburgh	PA	15264-2743	FSBE				
Benchmark Printing, Inc.	1890 Maxon Rd Ext	Schenectady	NY	12308-1140	NYSBE				
BioDiversity Research Institute	276 Canco Rd Lowr	Portland	ME	04103-4347	FSBE				
Blank Rome LLP	One Logan Square, 130 N. 18th Street	Philadelphia	PA	19103	FSBE				
BLIX Consultancy BV		Utrecht	0344	3551 EJ	FSBE				
Bloomberg Finance LP	731 Lexington Avenue	New York	NY	10022	NYSBE				
Bond, Schoeneck & King, PLLC	22 Corporate Woods Blvd., Ste 501	Albany	NY	12211-2503	NYSBE				
BPCA NYS Inc.	15516 99th St	Howard Beach	NY	11414	NYSBE				
Brattle Group Inc.	One Beacon St., Ste 2600	Boston	MA	02108	FSBE				
Brenda Sayers	8870 Route 240	West Valley	NY	14171-0111	NYSBE				
Buckeye Terminals, LLC	One Greenway Plaza	Houston	TX	77046	FSBE				
Buffalo Renewables, Inc.	3840 E Robinson Road	Amherst	NY	14228	NYSBE				
Building Media, Inc.	12932 SE Kent Kangley Rd, Ste 529	Kent	WA	98030	FSBE				
Building Performance Institute, Inc.	107 Hermes Rd Ste 200	Ballston Spa	NY	12020-4481	NYSBE				
Business Environments	106 Despatch Dr., Ste #2	East Rochester	NY	14445	NYSBE				
Business Network for Maryland Offshore W	22 Pennsylvania Avenue	Towson	MD	21204	FSBE				

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BW Research Partnership	6120 Paseo Del Norte, Suite D2	Carlsbad	CA	92011	FSBE				
Bynder LLC	24 Farnsworth St., Ste 400	Boston	MA	02210	FSBE				
C.J. Brown Energy, P.C.	4245 Union Rd., Ste 204	Cheektowaga	NY	14225	NYSBE				
Capital District Records Management, Inc	PO Box 4044	Clifton Park	NY	12065	NYSBE				
Capital for Communities, LLC	524 St. John's Place	Brooklyn	NY	11238	NYSBE				
Carahsoft Technology Corporation	11493 Sunset Hills Road	Reston	VA	20190	FSBE				
Carbon Trust Advisory Limited	4th Floor Dorset House, 27-45 Stamford St.	London		SE1 9NT	FSBE				
Caroline Hazard	19 W 21st Street, Suite 604	New York	NY	10010	NYSBE				
Caroprese & Company	151 Forest Street, Unit B	Montclair	NJ	07042	FSBE				
Carter Ledyard & Milburn LLP	2 Wall Street	New York	NY	10005	NYSBE				
CEC Stuyvesant Cove, Inc.	37 W 26TH ST RM 209	NEW YORK	NY	10010	NYSBE				
Center for Carbon Removal	d/b/a Carbon180	Washington	DC	20002	FSBE				
Center for Internet Security Inc	31 TECH VALLEY DR	EAST GREENBUSH	NY	12061	NYSBE				
Center for Renewables Integration	PO Box 523593	Springfield	VA	22152	FSBE				
Center for Sustainable Energy	3980 Sherman St., Ste 170	San Diego	CA	92110	FSBE				
CHA Consulting Inc.	3 Winners Circle	Albany	NY	12205	NYSBE				
Charter Communications Holdings, LLC	12405 Powerscourt Dr.	St. Louis	MO	63131-3674	FSBE				
Chenango Contracting, Inc.	29 Arbutus Road	Johnson City	NY	13790	NYSBE				
Cheryl Earley	14 Debbie Court	Albany	NY	12205	NYSBE				
Christopher Alan Hunter	215 W 10th St, Apt 1E	New York	NY	10014	NYSBE				
Christopher Lutgens	dba Ox Insulation	Saratoga Springs	NY	12834	NYSBE				
Citadel SPV LLC	85 Broad Street, 18th Fl	New York	NY	10004	NYSBE				
Citistaffing, LLC	122 E 42nd St., Ste 2815	New York	NY	10168	NYSBE	X			
Clarus Glassboards, LLC	7537 Jack Newell Blvd N	Forth Worth	TX	76118	FSBE				
Clean Energy States Alliance	50 State Street, Suite 1	Montpelier	VT	05602	FSBE				
CLEAResult Consulting, Inc.	4301 Westbank Dr., A-150	Austin	TX	78746	FSBE				
Climate Policy Initiative	235 Montgomery Street #13	San Francisco	CA	94104	FSBE				
ClipperCreek, Inc.	11850 Kemper Rd., Ste E	Auburn	CA	95603	FSBE				
CMC Energy Services, Inc	550 Pinetown Road	Fort Washington	PA	19034	FSBE				
COGENT Infotech Corporation	1035 Boyce Road, Suite 108	Pittsburgh	PA	15241	FSBE		X		
Coleman Wallace Communications LLC	8 Pines Court	Albany	NY	12203	NYSBE				

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Columbia University	500 W 120th St	New York	NY	10027-6623	NYSBE				
Commercial Cleaning NY Sunshine, Inc.	1385 Broadway	New York	NY	10018	NYSBE				
Commercial Investigations LLC	622 Loudon Rd., Ste 201	Latham	NY	12110	NYSBE	X			
Community Preservation Corp.	28 E 28th St Fl 9th	New York	NY	10016-7930	NYSBE				
Complete Cabling Solutions LLC	PO Box 594	Fair Lawn	NJ	07410	FSBE				
Computer SOS Inc.	1505 Cleveland Drive	Cheektowaga	NY	14225	NYSBE				
Concur Technologies, Inc.	601 108th Ave., NE, Ste 1000	Bellevue	WA	98004-4750	FSBE				
Consensus Building Institute, Inc.	100 Cambridgepark Drive	Cambridge	MA	02140	FSBE				
Consortium for Energy Efficiency, Inc.	98 North Washington Street	Boston	MA	02114-1918	FSBE				
Control Solutions Group, Inc.	122 West 27th St., 5th Floor	New York	NY	10001	NYSBE				
Converge Strategies, LLC	50 Holbrook Street	Boston	MA	02130	FSBE				
Corelogic, Inc.	Corelogic Solutions, LLC	Irvine	CA	92618	FSBE				
Cornell University	341 Pine Tree Road	Ithaca	NY	14850	NYSBE				
	East Hill Plaza	Ithaca	NY	14850	NYSBE				
Cornell University-Office of Sponsored P	216 Riley-Robb Hall	Ithaca	NY	14853	NYSBE				
CoStar Realty Information, Inc.	PO Box 791123	Baltimore	MD	21279-1123	FSBE				
Couch White, LLP	540 Broadway, PO Box 22222	Albany	NY	12201	NYSBE				
Crown Castle Fiber LLC	PO Box 32102	New York	NY	10087-2102	NYSBE				
CTG:I LLC	1714 Franklin St. #100-286	Oakland	CA	94612	FSBE				
CVENT, Inc.	1765 Greensboro Station Place	Tyson's Corner	VA	22102	FSBE				
D & R International Ltd	1300 Spring St, Ste 500	Silver Spring	MD	20910	FSBE				
D.L. English Consulting, Inc.	616 Elm Street	S Dartmouth	MA	02748	FSBE				
Daniel J Jacob	310 Mt. Washington Hotel Rd.	Bretton Woods	NH	03575	FSBE				
Darling Advertising Agency Inc.	181 Christopher Street	New York	NY	10014	NYSBE				
Davies Office Refurbishing, Inc.	40 Loudonville Rd	Albany	NY	12204	NYSBE	X			
DealCloud Inc.	300 S. Tryon St., Suite 1200	Charlotte	NC	28202	FSBE				
Dell, Inc.	1 Dell Way, RR8, Box 8716	Round Rock	TX	78682	FSBE				
Delta Dental of New York	One Delta Drive	Mechanicsburg	PA	17055-6999	FSBE				
Diana L. McWilliams Interior Design, PLL	875 Spring Avenue	Troy	NY	12180	NYSBE	X			
Dick Kornbluth	661 Fellows Ave.	Syracuse	NY	13210	NYSBE				
Direct Packet Inc.	909 Lake Carolyn Parkway, Suite 1800	Irving	TX	75039	FSBE				

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DNV Energy Insights USA Inc.	1400 Ravello Drive	Katy	TX	77449	FSBE				
DNV GL Energy Services USA Inc.	67 South Bedford St., Ste 201E	Burlington	MA	01803	FSBE				
DNV GL Energy USA, Inc.	1400 Ravello Drive	Katy	TX	77449-5164	FSBE				
Dodge Data & Analytics	7625 Collection Center Drive	Chicago	IL	60693-0076	FSBE				
Dori Doors & Security, Inc	728 East 136th Street	Bronx	NY	10454	NYSBE				
Dowd-Witbeck Printing Corp.	599 Pawling Ave.	Troy	NY	12180-5899	NYSBE	X			
Dr. Richard Schlesinger	PO Box 621	Millwood	NY	10546	NYSBE				
DTN, LLC	11400 Rupp Drive	Burnsville	MN	55337	FSBE				
Dynamo Energy Hub	1718 Capital Ave	Cheyenne	WY	82001	FSBE				
E Source Companies LLC	1745 38th Street	Boulder	CO	80301	FSBE				
Eastern Research Group, Inc.	110 Hartwell Ave	Lexington	MA	02421	FSBE				
EC Infosystems, Inc.	333 Earle Ovington Boulevard	Uniondale	NY	11553	NYSBE				
Ecology & Environment, Inc.	368 Pleasant View Dr	Lancaster	NY	14086-1397	NYSBE				
Ecology and Environment Engineering	368 Pleasant View Drive	Lancaster	NY	14086	NYSBE				
Efficiency Forward, Inc.	81 Hartwell Ave.	Lexington	MA	02421	FSBE				
Egg Geo, LLC	2860 Scherer Drive, Suite 640	St. Petersburg	FL	33716	FSBE				
Electric Energy Services & Advisors, LLC	2 Stonebriar Dr.	Slingerlands	NY	12159	NYSBE				
Ellen Lutz Consulting, LLC	197 Lynnebrook Lake	Philadelphia	PA	19118	FSBE				
Embertec USA, LLC	176 Seacliff Drive	APTOS	CA	95003	FSBE				
EME Consulting Engineering Group, LLC	129 West 27th Street	New York	NY	10001	NYSBE				
Employee Leasing of Greater NY	2137 Router 35 Suite 160	Holmdel	NJ	07733	FSBE		X	X	
Enercon Services Inc	500 Town Park Lane	Kennesaw	GA	30144	FSBE				
Energy & Environmental Research	2 Babcock Farms Lane	Pittsford	NY	14534-4713	NYSBE				
Energy & Resource Solutions, Inc.	120 Water Street, Suite 350	North Andover	MA	01845	FSBE				
Energy and Environmental Economics Inc.	2401 E Katella Ave.	Anaheim	CA	92806	FSBE				
Energy Infrastructure Partners LLC	194 32nd Street	Brooklyn	NY	11232	NYSBE		X		
Ensava, Inc.	65 Millett Street, Suite #105	Richmond	VT	05477	FSBE				
Enterprise Community Partners, Inc.	11000 Broken Land Parkway	MD	MD	21044	FSBE				
Environment & Energy Publishing, LLC	122 C Street NW	Washington	DC	20001	FSBE				
Environmental Defense Fund	257 Park Avenue South	New York	NY	10010	NYSBE				
ePlus inc	13595 Dulles Technology Dr	Herndon	VA	20171-3413	FSBE				

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Erdman Anthony & Associates, Inc.	145 Culver Road, Suite 200	Rochester	NY	14620	NYSBE				
ESRI, Incorporated	380 New York Street	Redlands	CA	92373	FSBE				
Essense Partners Inc.	216 East 45th St., 11th Floor	New York	NY	10017	NYSBE	X	X		
Evolved Energy Research	2443 Fillmore St #380-5304	San Francisco	CA	94115	FSBE				
Evoworld	2800 7th Avenue	Troy	NY	12180	NYSBE				
Facility Strategies Group, LLC	1012 Market Street Suite 307	Fort Mill	SC	29708	FSBE				
First Columbia Property Services, LLC	22 Century Hill Dr Ste 301	Latham	NY	12110-2116	NYSBE				
First Quality Maintenance II, LLC	318 West 39th Street, 7th Floor	New York	NY	10018	NYSBE				
FM Office Express	106 Despatch Drive	Rochester	NY	14445	NYSBE		X		
Forsyth Street Advisors, LLC	588 Broadway, Suite 1208	New York	NY	10012	NYSBE				
Fort Orange Press Incorporated	11 Sand Creek Rd # 828	Albany	NY	12205-1442	NYSBE				
Four Points Group, Inc.	4451 Brookfield Corp Dr.	Chantilly	VA	20151	FSBE				
Frontier Energy, Inc.	2695 Bingley Rd	Cazenovia	NY	13035	NYSBE				
Fund for Public Health in New York, Inc.	22 Cortlandt Street, Suite #802	New York	NY	10007	NYSBE				
Fusco Personnel, Inc.	4 Executive Park Dr Ste B	Albany	NY	12203-3718	NYSBE	X			
Gard Tec Security Svcs., Inc.	24 Colvin Ave.	Albany	NY	12206-1203	NYSBE				
Garner Environmental Services, Inc.	1717 W 13th St	Deer Park	TX	77536	FSBE				
Gartner Inc.	56 Top Gallant Rd	Stamford	CT	06902	FSBE				
GCOM Software LLC	24 Madison Ave Ext	Albany	NY	12203	NYSBE				
General Electric International Inc	1 River Rd Bldg 2	Schenectady	NY	12345-6000	NYSBE				
General Electric International, Inc.	One River Road	Schenectady	NY	12345	NYSBE				
Genscape, Inc.	1140 Garvin Place	Louisville	KY	40203	FSBE				
George Hidy	6 Evergreen Dr.	Placitas	NM	87043	FSBE				
Getty Images (US), Inc.	605 5th Ave., Ste 400	Seattle	WA	98104	FSBE				
Global Market Insights Inc.	4 North Main Street	Selbyville	DE	19975	FSBE				
Green Light New York, Inc.	31 Chambers St., Ste 609	New York	NY	10007	NYSBE				
Greenwood Strategies LLC	65 East 3rd Street	Brooklyn	NY	11218	NYSBE				
Grid Strategies, LLC	9207 Kirkdale Road	Bethesda	MD	20817	FSBE				
Guidehouse Inc.	150 N Riverside Plz Ste 2100	Chicago	IL	60606-1528	FSBE				
GZA GeoEnvironmental of New York	300 Pearl St.,Ste 700	Buffalo	NY	14202	NYSBE				
Harris Beach PLLC	99 Garnsey Road	Pittsford	NY	14534	NYSBE				

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Harris Wiltshire & Grannis LLP	1919 M Street, NW, Eighth Floor	Washington	DC	20036	FSBE				
Harrisburg University of Science and Tec	326 Market Street	Harrisburg	PA	17101	FSBE				
Hawkins Delafield & Wood LLC	7 World Trade Center	New York	NY	10007	NYSBE				
Hewlett-Packard Enterprise Company	3000 Hanover Street	Palo Alto	CA	94304	FSBE				
Hodgson Russ LLP	140 Pearl Street, Suite 100	Buffalo	NY	14202	NYSBE				
Holland & Knight LLP	PO Box 864084	Orlando	FL	32886	FSBE				
Honeywell International Inc.	700 Airways Park Drive	East Syracuse	NY	13057	NYSBE				
HPDC Energy LLC	253 West 35th Street	New York	NY	10001	NYSBE				
HyperGen Inc	7810 Carvin Street	Roanoke	VA	24019	FSBE				
ICE Data, LP	5660 New Northside Dr.	Atlanta	GA	30328	FSBE				
ICF Incorporated LLC	9300 Lee Highway	Fairfax	VA	22031	FSBE				
ICF Resources, LLC	9300 Lee Highway	Fairfax	VA	22031	FSBE				
IESI NY Corporation	99 Wood Ave., South, Ste 1001	Iselin	NJ	08830	FSBE				
IHS Global Inc.	15 Inverness Way East	Englewood	CO	80112	FSBE				
Illume Advising LLC	440 Science Dr., Suite 202	Madison	WI	53711	FSBE	X			
Impact by Design Inc.	7304 Carroll Ave #196	Takoma Park	MD	20912	FSBE				
Impact Infrastructure, Inc.	230 Park Avenue, 3rd floor West	New York	NY	10169	NYSBE				
Indeed Inc.	177 Broad Street	Stamford	CT	06901	FSBE				
Independent Security Services, Inc.	38 Sheridan Ave	Albany	NY	12210	NYSBE				
Industrial Economics, Incorporated	2067 Massachusetts Avenue	Cambridge	MA	02140	FSBE				
Info-Tech Research Group Inc.	3960 Howard Hughes Parkwa	Las Vegas	NV	89169	FSBE				
Infogroup Government Division	1020 East 1st St.	Papillion	NE	68046	FSBE				
Infosys International Inc	110 Terminal Drive	Plainview	NY	11803	NYSBE		X		
inMotion, Inc.	PO Box 744276	Atlanta	GA	30384-4276	FSBE				
Innovative Natural Resource Solutions, L	37 Old Pound Road	Antrim	NH	03440	FSBE				
Insight Public Sector, Inc.	6820 S. Harl Ave.	Tempe	AZ	85283	FSBE				
Institute for Building Technology and Sa	45207 Research Place	Ashburn	VA	20147	FSBE				
InStream, LLC	Biel's Information Technology Systems	West Seneca	NY	14224	NYSBE				
Integrative Design Collaborative, INC	20 Woodland Street	Arlington	MA	02476	FSBE				
International Business Machines	299-300 Long Meadow Rd.	Sterling Forest	NY	10979-0700	NYSBE				
Interplay Learning Inc.	1400 W 5th Street	Austin	TX	78703	FSBE				

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Interstate Renewable Energy Council, Inc	PO BOX 1156	Latham	NY	12110-0079	NYSBE				
Intralinks, Inc.	PO Box 392134	Pittsburgh	PA	15251	FSBE				
Ithaca College	953 Danby Rd	Ithaca	NY	14850-7002	NYSBE				
ITS-NY	14 Loveland Court	Cranbury	NJ	08512	FSBE				
Jared Rodriguez	DBA Emergent Urban Concepts LLC	Sleepy Hollow	NY	10591	NYSBE				
Jasper Van den Munckhof		Obbicht		6125 AT	FSBE				
JFL Consulting LLC	16 Diamond Place	Saratoga Springs	NY	12866	NYSBE				
John E. Batey	35 Fawn Rd.	Easton	CT	06612	FSBE				
John Hans Lee	DBA Distant Lands	New York	NY	10028	NYSBE				
Johnstone Group, LLC	37 Bell Hill Road	Grand Isle	VT	05458	FSBE				
Joseph R Chimera	d/b/a JR & Sons Excavating	Angola	NY	14006	NYSBE				
Kanji No Koen Consulting LLC	West 66th Street	New York	NY	10023	NYSBE				
Karpman Consulting, LLC	78 Eastern Boulevard	Glastonbury	CT	06033	FSBE				
Kearns & West, Inc.	1990 K Street Inc	Washington	DC	20006	FSBE				
Kelliher Samets Volk	212 Battery Street	Burlington	VT	05401	FSBE				
Knoll, Inc.	1235 Water Street	East Greenville	PA	18041	FSBE				
KPMG LLP	PO Box 120001	Dallas	TX	75312-0511	FSBE				
L&S Energy Services, Inc.	58 Clifton Country Road	Clifton Park	NY	12065	NYSBE				
Laurie D Kerr	720 Greenwich St., Apt. 9F	New York	NY	10014	NYSBE				
LCO Buildings LLC	726 Exchange St ,Ste 825	Buffalo	NY	14210-1433	NYSBE				
Leidos Engineering of New York, P.C.	PO Box 223058	Pittsburg	PA	15251-2058	FSBE				
Level 3 Communications, LLC	1025 Eldorado Blvd	Broomfield	CO	80021	FSBE				
Level Agency for Infrastructure, LLC	12 VESTRY ST	NEW YORK	NY	10013	NYSBE				
Levitan & Associates, Inc.	20 Custom House Street	Boston	MA	02110	FSBE				
LexisNexis	1275 Broadway	Albany	NY	12204	NYSBE				
Lincoln Life & Annuity Company of NY	P.O. Box 7247-0347	Philadelphia	PA	19170-0347	FSBE				
Low-Level Radioactive Waste Forum, Inc.	1619 12th Street	Washington	DC	20009	FSBE				
Luminate, LLC	1801 Broadway, Ste 1620	Denver	CO	80202	FSBE				
Luthin Associates, Inc.	535 Main Street	Allenhurst	NJ	07711	FSBE				
M. Zion Security Systems Corp	1 World Trade Center	New York	NY	10007	NYSBE				
Maguire Cars LLC	dba Maguire Kia	Ithaca	NY	14850	NYSBE				

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Marshall & Sterling, Inc.	125 High Rock Ave., Ste 206	Saratoga Springs	NY	12866	NYSBE				
Maureen Data Systems, Inc.	500 West 43rd Street, Apt. 33C	New York	NY	10036	NYSBE	X			
Max Wei	508 Ramona Avenue	Albany	CA	94706	FSBE				
McMahon and Mann Consulting Engineers	2495 Main St, Ste 432	Buffalo	NY	14214-2103	NYSBE				
Meister Consultants Group, Inc.	One Center Plaza, Ste 320	Boston	MA	02108	FSBE				
Mendelsohn Consulting, Inc.	320 Central Park West, Ste 7K	New York	NY	10025	NYSBE	X			
Metropolitan Life Insurance Company	200 Park Avenue	New York	NY	10166	NYSBE				
Michael Lightman	311 Hartwell Rd	Buffalo	NY	14216	NYSBE				
Michaels Energy, Inc.	400 Main Street, Suite 200	La Crosse	WI	54601	FSBE				
Miles Farmer	Miles Farmer PLLC	Brooklyn	NY	11225	NYSBE				
Milliman, Inc.	250 Washington Ave. Ext.	Albany	NY	12203-5401	NYSBE				
mindSHIFT Technologies, Inc.	500 Commack Rd., Ste 140	Commack	NY	11725	NYSBE				
MJW Technical Services, Inc.	15 Hazelwood Dr.	Amherst	NY	14228	NYSBE				
Moelis & Company Group LP	399 Park Avenue, 5th Floor	New York	NY	10022	NYSBE				
MVP Consulting Plus, Inc.	435 New Karner Road	Albany	NY	12205	NYSBE	X	X		
National Economic Research Associates, Inc.		Washington	DC	20037	FSBE				
National Home Performance Council	717 Kennebec Ave	Takoma Park	MD	20912	FSBE				
National Renewable Energy Laboratory	15013 Denver West Parkway	Golden	CO	80401-3305	FSBE				
Navigant Consulting Inc.	30 S. Wacker Dr.	Chicago	IL	60606-7444	FSBE				
NECEC Institute	250 Summer St., 5th Floor	Boston	MA	02210	FSBE				
NESCAUM	89 South Street	Boston	MA	02111	FSBE				
NESEA	50 Miles Street	Greenfield	MA	01301	FSBE				
Netanium Inc	116 John Street	Lowell	MA	01852	FSBE				
Network Craze Technologies	7037 Fly Rd.	East Syracuse	NY	13057	NYSBE				
Network Experts of New York, Inc.	407 Vesper Court	Slingerlands	NY	12159	NYSBE	X	X		
New Buildings Institute, Inc.	623 SW Oak Street	Portland	OR	97205	FSBE				
New York State Forum for IRM	24 Aviation Rd., Suite 206	Albany	NY	12205	NYSBE				
Newport Ventures, Inc.	22 Jay St	Schenectady	NY	12305-1917	NYSBE				
Nexant, Inc.	49 Stevenson Street	San Francisco	CA	94105-2954	FSBE				
nrastructure	5 Enterprise Avenue	Clifton Park	NY	12065	NYSBE				
NGenious Solutions Inc.	30 Knightsbridge Road	Piscataway	NJ	08854	FSBE		X		

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Nixon Peabody LLP	1300 Clinton Square	Rochester	NY	14604	NYSBE				
NMR Group, Inc.	50-2 Howard Street	Somerville	MA	02144	FSBE				
Noresco LLC	510 Thornall St., Ste 170	Edison	NJ	08837	FSBE				
North American Passive House Network, In	1250 Broadway	New York	NY	10001	NYSBE				
Northco Products, Inc.	1612 NY Route 7	Troy	NY	12180	NYSBE				
Northeast Energy Efficiency Partnerships	81 Hartwell Ave., Ste 220	Lexington	MA	02421-3137	FSBE				
Northeast Organic Farming Association of	1010 James Street	Syracuse	NY	13203	NYSBE				
NYC Climate Action Alliance Inc.	560 Lexington Ave	New York	NY	10022	NYSBE				
NYS Department of Health	Po Box 509	Albany	NY	12201-0509	NYSBE				
NYS Office for Technology	1 S Swan St Fl 3rd	Albany	NY	12210-2416	NYSBE				
NYS Office of General Services	1220 Washington Ave	Albany	NY	12226	NYSBE				
	P.O. Box 2117	Albany	NY	12220-0117	NYSBE				
NYS Office of Information Technology Ser	State Capital ESP	Albany	NY	12220-0062	NYSBE				
O'Brien & Gere Engineers, Inc.	333 W. Washington Street	Syracuse	NY	13202	NYSBE				
Office Resource, Inc	263 Summer Street	Boston	MA	02210	FSBE				
Offshore Electric Corp.	200 Atlantic Ave	Oceanside	NY	11572	NYSBE				
Oliver Kinross Ltd.	1st Floor, Landmark	London		EC14 4HD	FSBE				
Omnicap Group, LLC	2286 E Maple Avenue	El Segundo	CA	90245	FSBE				
Opinion Dynamics Corporation	1000 Winter St	Waltham	MA	02451	FSBE				
Oracle America, Inc.	PO Box 203448	Dallas	TX	75320-3448	FSBE				
Ove Arup & Partners Consulting Engineers	155 Avenue of the Americas	New York	NY	10013-1507	NYSBE				
Owahgena Consulting, Inc.	1883 Ballina Road	Cazenovia	NY	13035	NYSBE				
OwnBackup Inc.	940 Sylvan Ave FL 1	Englewood Cliffs	NJ	07632	FSBE				
PA Consulting Group	55 Cambridge Parkway	Cambridge	MA	02142	FSBE				
Pace University	Attn: Loretta Musial	White Plains	NY	10603	NYSBE				
Performance Systems Development	of New York, LLC	Ithaca	NY	14850	NYSBE				
Peter L. Krog	4 Centre Drive	Orchard Park	NY	14127	NYSBE				
PGR Group LLC	36 Plymouth Drive South	Glen Head	NY	11545	NYSBE				
Philip Hopke	22 Barrington Park	Rochester	NY	14607	NYSBE				
Phire, Inc	8370 Greensboro Dr.	McLean	VA	22102	FSBE				
PitchBook Data, Inc.	901 5th Ave., Ste 1200	Seattle	WA	98164	FSBE				

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Pivotal 180, LLC	405 Lexington Avenue	New York	NY	10174	NYSBE				
PLB Oil & Gas Consult LLC	2 Pinecrest Road	Riverside	CT	06878	FSBE				
Plugged In Strategies	9492 Olympia Drive	Eden Prairie	MN	55347	FSBE				
Politico LLC	P.O. Box 419342	Boston	MA	02241-9342	FSBE				
Praveen Amar	119 Simonds Road	Lexington	MA	02420	FSBE				
Pricewaterhouse Coopers, LLC	PO Box 7247-8001	Philadelphia	PA	19170-8001	FSBE				
Proenergy Consulting LLC	245 West 99th Street	New York	NY	10025	NYSBE				
Promantek Inc.	d/b/a TrakStar	Seattle	WA	98122	FSBE				
Provantage, LLC	7576 Freedom Ave. NW	North Canton	OH	44720	FSBE				
Pterra, LLC	4 Automation Lane	Albany	NY	12205	NYSBE				
Quanta Technology LLC	4020 Westchase Blvd, Ste 300	Raleigh	NC	27607	FSBE				
R.W. Beck Group, Inc.	Leidos Engineering, LLC	Reston	VA	20190	FSBE				
Rafferty Enterprises, Inc.	1692 Central Ave	Albany	NY	12205	NYSBE	X			
Raj Technologies Inc.	110 Terminal Dr.	Plainview	NY	11803	NYSBE		X		
Randstad North America Inc	PO Box 2084	Carol Stream	IL	60132-2084	FSBE				
Randstad North America, Inc.	PO Box 2084	Carol Stream	IL	60132-2084	FSBE	X			
RD & Associates Consulting LLC	11 Portofino Circle	Henrietta	NY	14467	NYSBE				
Rensselaer Polytechnic Institute-LRC	110 8th Street	Troy	NY	12180	NYSBE				
Research Foundation of CUNY	230 W 41ST ST., 7th Floor	NEW YORK	NY	10036	NYSBE				
Research Foundation of SUNY	P.O. Box 9	Albany	NY	12201	NYSBE				
	SUNY, Stony Brook	Stony Brook	NY	11794-0002	NYSBE				
Research Foundation of The City	University of New York	New York	NY	10036-7207	NYSBE				
Resource Refocus LLC	2120 University Ave.	Berkeley	CA	94704	FSBE				
Resource Systems Group, Inc.	55 Railroad Row	White River Junction	VT	05001	FSBE				
Resources for the Future Inc	1616 P Street, NW	Washington	DC	20036-1400	FSBE				
RFP Enterprises LLC	33 Hudson St.	Jersey City	NJ	07302	FSBE				
Richard E. Gibbs, Ph.D., P.E.	287 Hidley Rd	Wynantskill	NY	12198-8068	NYSBE				
Ricoh USA Inc	300 Eagleview Boulevard Suite 200	Exton	PA	19341	FSBE				
Robert F. Parker, Jr.	dba RFP Enterprises	Jersey City	NJ	07302	FSBE				
Robert K. Harmon & Company LLC	PO Box 2777	Vashon	WA	98070	FSBE				
Robison Energy LLC	One Gateway Plaza	Port Chester	NY	10573	NYSBE				

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Rochester Computer Recycling & Recovery,	7318 Victor Mendon Road	Victor	NY	14564	NYSBE				
Rochester Institute of Technology	74 Lomb Memorial Dr	Rochester	NY	14623	NYSBE				
Rocky Mountain Institute	2490 Junction Place, Suite 200	Boulder	CO	80301	FSBE				
Rosenblum Property Services, LLC	PO Box 38070	Albany	NY	12203	NYSBE				
Russell Unger Consulting LLC	154 Underhill Avenue	Brooklyn	NY	11238	NYSBE				
SBW Consulting	2820 Northup Way , Suite 230	Bellevue	WA	98004	FSBE				
Seek More LLC	2796 State Route 29	Greenwich	NY	12834	NYSBE				
Seven Springs West LLC	7186 Peters Road	Springville	NY	14141	NYSBE	X			
SHI, Inc.	P.O. Box 952121	Dallas	TX	75395	FSBE				
Siemens Industry, Inc.	85 Northpointe Parkway	Buffalo	NY	14228	NYSBE				
Sitecore USA, Inc.	101 California St.	San Francisco	CA	94111	FSBE				
Siteimprove, Inc.	7807 Creekridge Circle	Minneapolis	MN	55439	FSBE				
Sive Paget & Riesel PC	560 Lexington Ave., Floor 15	New York	NY	10022-1994	NYSBE				
Southworth-Milton Inc.	d/b/a Milton Cat	Syracuse	NY	13210	NYSBE				
Spectrotel Holding Company LLC	3535 State Hwy 66 Bldg 7	Neptune	NJ	07753	FSBE				
SS&C Technologies, Inc.	80 Lambertson Rd	Windsor	CT	06095	FSBE				
Stance Renewable Risk Partners LLC	22 Mariposa Avenue	San Anselmo	CA	94960	FSBE				
Stantec Consulting Services, Inc.	13980 Collections Center Drive	Chicago	IL	60693	FSBE				
Steven Winter Associates, Inc.	61 Washington St	Norwalk	CT	06854	FSBE				
Stichting Energiesprong		Amsterdam		1093 NK	FSBE				
Stockholm Environment Institute U.S., In	11 Curtis Ave.	Somerville	MA	,02144	FSBE				
Stone Environmental, Inc.	535 Stone Cutters Way	Montpelier	VT	05602	FSBE				
Stove Boat, LLC	1750 K Street, NW	Washington	DC	20006	FSBE				
Sunset Green Home LLC	112 E 74th St., #2N	New York	NY	10021	NYSBE	X			
SUNY - ESF	The Research Foundation - SUNY	Albany	NY	12201-0009	NYSBE				
Sustainable CUNY CUNY/RF	205 E. 42nd Street, 11th Fl	New York	NY	10017	NYSBE				
Sustainable Endowment Institute	50 Milk Street, 15th Floor	Boston	MA	02109	FSBE				
Sustainable Energy Advantage, LLC	161 Worcester Rd., Ste 503	Framingham	MA	01701	FSBE				
Sycamore Advisors, LLC	612 East 13th Street	Indianapolis	IN	46202	FSBE	X			
Systems Management Planning, Inc.	1020 John St	West Henrietta	NY	14586	NYSBE				
T-REX Group, inc.	44 Wall St	New York	NY	10005	NYSBE				

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Taitem Engineering, P.C.	110 South Albany Street	Ithaca	NY	14850	NYSBE				
Tech Valley Talent, LLC	20 Prospect St. Suite 200, Building 1	Ballston Spa	NY	12020	NYSBE	X			
Technology Professionals Group Inc.	D/B/A Cloud and Things	Loudonville	NY	12211	NYSBE	X	X		
Techsign LTD	1225 Franklin Ave., STE 325	Garden City	NY	11530	NYSBE				
TEKsystems Inc	PO Box 402042	Atlanta	GA	30384-2042	FSBE				
Terri Hartwell Easter Consulting LLC	1875 K Street NW	Washington	DC	20006	FSBE				
TestingXperts Inc.	DBA Damcosoft	Mechanicsburg	PA	17055	FSBE				
Tetra Tech MA, Inc.	6410 Enterprise Ln	Madison	WI	53719	FSBE				
Tetra Tech, Inc.	6410 Enterprise Lane	Madison	WI	53719	FSBE				
The Architectural League of New York	594 Broadway, Suite 607	New York	NY	10012	NYSBE				
The Business Council of NYS, Inc.	12 Corporate Woods Blvd, Suite 17	Albany	NY	12211	NYSBE				
The Cadmus Group, LLC	100 5th Ave., Ste 100	Waltham	MA	02451	FSBE				
The Coalition for Green Capital	1875 Connecticut Ave., NW, 10th Floor	Washington	DC	20009	FSBE				
The Common Pool, LLC	d/b/a Carrot	Santa Monica	CA	90402	FSBE				
The Garrick Co., LLC	221 Cresent Bay Drive	Laguna Beach	CA	92651	FSBE				
The Lightman Company, LLC	P.O. Box 744	Buffalo	NY	14207	NYSBE				
The Logue Group	33 Holbrook Road	West Hartford	CT	06107	FSBE				
The Nature Conservancy	195 New Karner Road	Albany	NY	12205	NYSBE				
The Renewables Consulting Group, LLC	433 Broadway, 6th Floor	New York	NY	10013	NYSBE				
The Research Foundation for the State	SUNY-ESF, ESF Open Academy	Syracuse	NY	13210	NYSBE				
	University of New York	Albany	NY	12201-0009	NYSBE				
The Trustees of Columbia University	1210 Amsterdam Ave	New York	NY	10027-7003	NYSBE				
The Walters Company Air Conditioning, In	9 Petra Lane	Albany	NY	12205	NYSBE				
Thornton Tomasetti, Inc.	51 Madison Avenue	New York	NY	10010	NYSBE				
ThunderCat Technology LLC	1925 Isaac Newton Square	Reston	VA	20190	FSBE			X	
Towerstream 1 Incorporated	76 Hammarlund Way # 130	Middletown	RI	02842	FSBE				
TPR Enterprises LLC	300 E Locust St. Suite 313	Des Moines	IA	50309	FSBE				
TRC Energy Services	21 Griffin Rd North	Windsor	CT	06095	FSBE				
Triad Technology Partners LLC	7910 Woodmont Ave Suite 220	Bethesda	MD	20814	FSBE				
Trove Predictive Data Science, Inc.	640 Ellicott Street	Buffalo	NY	14203	NYSBE				
Troy Web Consulting, LLC	21 Westover Rd.	Troy	NY	12180	NYSBE				

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Trust for Conservation Innovation	405 14th St., Ste 164	Oakland	CA	94612-2705	FSBE				
Trustees of University of PA	3451 Wanut Street	Philadelphia	PA	19104-6284	FSBE				
TS Media Consulting, LLC	209 Featherwood Court	Schenectady	NY	12303	NYSBE				
Tully Rinckey PLLC	441 New Karner Road	Albany	NY	12205	NYSBE			X	
TVC Albany Inc.	FirstLight Fiber	Williston	VT	05495-1301	FSBE				
U.S. Geological Survey (Troy).	425 Jordan Rd	Troy	NY	12180-8349	NYSBE				
U.S. Nuclear Regulatory Commission	P.O. Box 979051	Saint Louis	MO	63197-9000	FSBE				
Unique Comp Inc.	2708 42nd Road	Long Island City	NY	11101	NYSBE	X	X		
Unique Electric Solutions of NYS LLC	34 E Main St. STE 313	Smithtown	NY	11787	NYSBE				
United Way of Long Island	819 Grand Boulevard	Deer Park	NY	11729	NYSBE				
University at Albany	1400 Washington Ave	Albany	NY	12222-1000	NYSBE				
University of Rochester	515 Hylan Building	Rochester	NY	14627	NYSBE				
Uplight, Inc.	2580 55th Street	Boulder	CO	80301	FSBE				
USGS National Center MS270	PO Box 71362	Philadelphia	PA	19176	FSBE				
Verizon Network Integration Corp.	52 E. Swedesford Road	Frazer	PA	19355	FSBE				
Verizon Wireless	PO Box 408	Newark	NJ	07101-0489	FSBE				
Vermont Energy Investment Corporation	20 Winooski Falls Way	Winooski	VT	05404	FSBE				
Vervent Inc.	10182 Telesis Court	San Diego	CA	92121	FSBE				
VHB Engineering, Surveying and	Landscape Architecture, P.C.	Albany	NY	12203	NYSBE				
Village of Skaneateles	26 Fennell St	Skaneateles	NY	13152	NYSBE				
VRS Meetings and Events, Inc.	7403 Venice Street	Falls Church	VA	22043	FSBE				
W & E Phillips Locksmith, Inc	P.O. Box 6310	Albany	NY	12206-0310	NYSBE				
W. W. Grainger, Inc.	Andrew Darpino	Albany	NY	12203-5154	NYSBE				
Wadsworth Energy LLC	16378 Viansa Way, Unit 302	Naples	FL	34110	FSBE				
Walter Snyder Printer, Inc.	691 River St	Troy	NY	12180-1504	NYSBE				
Watershed Assessment Associates, LLC	1861 Chrisler Ave.	Schenectady	NY	12303	NYSBE				
Watertown, City of	245 Washington St., Ste 203	Watertown	NY	13601-3334	NYSBE				
Wendel Energy Services, LLC	Centerpointe Corporate Park	Williamsville	NY	14221	NYSBE				
Wendel WD Architecture, Engineering,	375 Essjay Rd., Ste 200	Williamsville	NY	14221	NYSBE				
West Hill Energy & Computing, Inc.	205 Main Street, Suite 14	Brattleboro	VT	05301	FSBE				
West Publishing Corporation	DBA Thomas Reuters	Eagan	MN	55123	FSBE				

Annual Report: Section 1 - Contractor Addresses - 4/1/2020 to 3/31/2021

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Willdan Energy Solutions	Wall Street Plaza	New York	NY	10005	NYSBE				
Wood Mackenzie Power & Renewables, Inc.	545 Washington Blvd	Jersey City	NJ	07310	FSBE				
WSP USA Corp.	One Penn Plaza	New York	NY	10119	NYSBE				
Xerox Corp	800 Phillips Rd	Webster	NY	14580	NYSBE				
Yale University	2 Whitney Avenue, 6th Floor	New Haven	CT	06510	FSBE				
Yorkson Legal Inc	800 Second Ave	New York	NY	10017	NYSBE	X			
Yue Chen	45 W 9th St	New York	NY	10011	NYSBE				
Zones LLC	1102 15th St. SW. STE 102	Auburn	WA	98001	FSBE				

Annual Report - Section 2: Contractor Addresses

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	
Advantage Title Agency, Inc.	201 Old Country Rd., Ste 200	Melville	NY	11747	
Airquip	830 Linden Ave.	Rochester	NY	14625	
Aztech Geothermal, LLC	5 McCrea Hill Road	Ballston Spa	NY	12020	
Betlem Service Corporation	704 Clinton Ave S	Rochester	NY	14620-1499	
BlueOx Corporation	38 N Canal Street	Oxford	NY	13830	
C.T. Male Associates Engineering,	50 Century Hill Drive	Latham	NY	12110-2116	
Clover Heating & Cooling	225 North Broadway	Sleepy Hollow	NY	10591	
Columbia Circle Owner, LLC	P.O. Box 786945	Philadelphia	PA	19178	
Commercial Cleaning NY Sunshine, Inc.	1385 Broadway	New York	NY	10018	
Concord Servicing Corporation	4150 N Drinkwater Blvd., Ste #200	Scottsdale	AZ	85251-3643	
Consolidated Edison Company	Of New York, Inc.	New York	NY	10003	
Country Suburban Heating & Air Condition	5674 Horatio Street	Deerfield	NY	13502	
CR Wolfe Heating Corp.	449 E. Main St.	Middletown	NY	10940	
E and V Energy Corporation	P.O. Box 1176	Weedsport	NY	13166	
Empire State Reality OP, L.P.	ESRT 1333 Broadway LLC	New York	NY	10120	
Empire State Realty OP, LP	One Grand Central Place	New York	NY	10165	
Energy Management Solutions, LLC	101 Sterling Mine Rd	Sloatsburg	NY	10974	
Fred F. Collis & Sons, Inc.	5092 Commercial Dr.	Yorkville	NY	13495	
Frontier	PO Box 740407	Cincinnati	OH	45274-0407	
Gold Coast Home Comfort Inc	50 Bethpage Rd	Hicksville	NY	11801	
Gree Mechanical Services USA Corp	158-16 79th Ave	Fresh Meadows	NY	11366	
Halcyon, Inc.	Halco Plumbing & Heating	Phelps	NY	14532	

Annual Report - Section 2: Contractor Addresses

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	
Harvey Bloch Ortiz Bailer Inc	d/b/a American Heating & Cooling	Poughkeepsie	NY	12603	
Healthy Home Energy & Consulting, Inc.	200 Tomahawk St.	Yorktown Heights	NY	10598	
Holbrook Heating, Inc.	PO Box 555	Pompey	NY	13138	
Intrado Enterprise Colloration Inc	P.O. Box 281866	Atlanta	GA	30384-1866	
Lake Country Geothermal, Inc.	4164 Walworth Ontario Road	Walworth	NY	14568	
LCO Buildings LLC	726 Exchange St ,Ste 825	Buffalo	NY	14210-1433	
LF Distribution Holding Inc.	4620 Grandover Parkway	Greensboro	NC	27407	
Long Island Power Authority	Attn: Frederick S. Peritore	Hicksville	NY	11801	
Malcarne Contracting, Inc.	22 E. Market Street	Rhinebeck	NY	12572	
MEP Geothermal Engineering, PLLC	2720 Arbor Court	Eau Claire	WI	54701	
Metro NY Insulation LLC	PO Box 178	Tallman	NY	10982	
National Grid	300 Erie Boulevard West	Syracuse	NY	13202	
Niagara Mohawk Power Corporation	d/b/a National Grid	Syracuse	NY	13202	
NP Environmental LLC	2421 State Rt. 12	Waterville	NY	13480	
NYS Office of General Services	P.O. Box 2117	Albany	NY	12220-0117	
NYSEG	P.O. Box 5700	Ithaca	NY	14853	
Peter Tavino PE PC	PO Box 277, 68 South St.	Litchfield	CT	06759	
Phoenix Geothermal Services	7523 County House Road	Auburn	NY	13021	
Pro-Services Plumbing & Heating Inc	54 Elizabeth St	Red Hook	NY	12571	
Saratoga County Sewer District	Po Box 550	Mechanicville	NY	12118-0550	
SCOOP HVAC, LLC	264 Ray Rd	Greenwich	NY	12834	
Snug Planet, LLC	1730 Mecklenburg Rd	Ithaca	NY	14850	

Annual Report - Section 2: Contractor Addresses

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Sun Dance Pool N Patio Inc.	19281 US Route 11	Watertown	NY	13601	
Time Warner Cable	130 Washington Ave Ext	Albany	NY	12203	
Verizon Wireless	PO Box 408	Newark	NJ	07101-0489	
WaterFurnace International, Inc.	9000 Conservation Way	Fort Wayne	IN	46809	
Weston Bros.	99-16 Metropolitan Avenue	Forest Hills	NY	11375	
Winslow & Company, LLC	54 West 39th St	New York	NY	10018	
Wisconsin Energy Conservation Corp	431 Charmany Drive	Madison	WI	53719	

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Niagara Mohawk Power Corporation	d/b/a National Grid	Syracuse	NY	13202	
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NYSEG	P.O. Box 5700	Ithaca	NY	14853	
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Wisconsin Energy Conservation Corp	431 Charmany Drive	Madison	WI	53719	

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021

Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Administration	2	\$39,768
Agriculture	11	\$589,899
Anaerobic Digesters	10	\$4,351,729
Building Innovation	14	\$3,839,451
Clean Heating and Cooling	32	\$7,116,182
Clean Trans (Innov & Research)	83	\$23,177,199
Codes	10	\$618,831
Combined Heat & Power	8	\$1,675,126
Commercial	473	\$46,341,077
Communities and Local Governme	27	\$9,337,159
Energy & Environmental Analysis	7	\$1,003,370
Energy Storage	323	\$116,565,115
Energy-Related Environmental Res	6	\$6,833,344
Evaluation	2	\$1,022,473
Fuel Cells	3	\$2,412,500
Fuel NY	1	\$515,000
Green Jobs-Green NY	1	\$200,000
Grid Modernization	27	\$25,324,018
Industrial	75	\$23,177,199
Information Brokering	3	\$1,538,940

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021

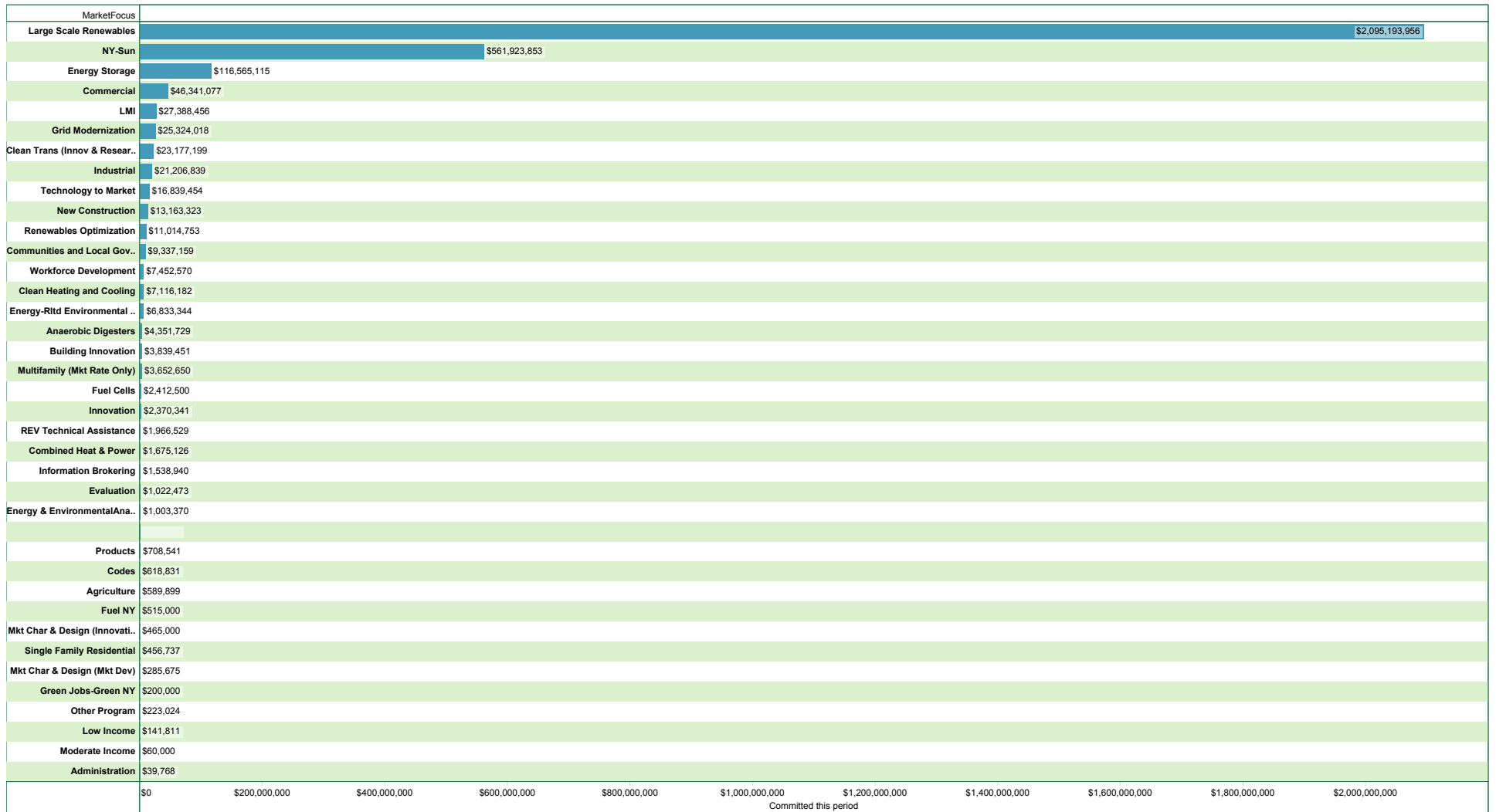
Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Innovation	12	\$2,370,341
Large Scale Renewables	78	\$2,095,193,956
LMI	204	\$27,388,456
Low Income	4	\$141,811
Mkt Char & Design (Innovation)	6	\$465,000
Mkt Char & Design (Mkt Dev)	8	\$285,675
Moderate Income	1	\$60,000
Multifamily (Mkt Rate Only)	140	\$3,652,650
New Construction	116	\$13,163,323
NY-Sun	1,500	\$561,921,953
Other Program	2	\$223,024.
Products	11	\$708,541
Renewables Optimization	18	\$11,014,753
REV Technical Assistance	2	\$1,966,529
Single Family Residential	7	\$456,737
Technology to Market	35	\$16,839,454
Workforce Development	292	\$7,452,570
Grand Total	3,554	\$3,017,007,457

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified

4/1/2020 to 3/31/2021

Graph: All Market Focus Committed this Period



NYSDERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Capital Region	Albany	90	\$399,852,279
	Columbia	39	\$16,326,380
	Greene	18	\$32,364,285
	Rensselaer	39	\$27,249,306
	Saratoga	45	\$49,508,562
	Schenectady	30	\$3,744,098
	Warren	10	\$201,511
	Washington	13	\$23,967,993
	Total	284	\$553,214,414
Central New York	Cayuga	19	\$27,348,155
	Cortland	10	\$47,887,527
	Madison	18	\$12,446,494
	Onondaga	100	\$43,935,089
	Oswego	21	\$10,091,161
	Wayne	1	\$16,200
	Total	169	\$141,724,626
Finger Lakes	Genesee	13	\$152,761,515
	Livingston	38	\$14,765,360
	Monroe	139	\$24,897,803

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Finger Lakes	Ontario	42	\$22,367,948
	Orleans	11	\$6,886,478
	Seneca	12	\$4,555,077
	Wayne	26	\$2,855,461
	Wyoming	10	\$31,522,461
	Yates	8	\$6,688,133
	Total	299	\$267,300,237
Long Island	Nassau	160	\$5,887,733
	Suffolk	171	\$4,962,557
	Total	331	\$10,850,290
Mid-Hudson	Dutchess	75	\$13,208,301
	Orange	103	\$56,503,174
	Putnam	21	\$993,892
	Rockland	73	\$2,514,834
	Sullivan	18	\$902,360
	Ulster	78	\$11,606,085
	Westchester	186	\$40,281,433
	Total	554	\$126,010,079
Mohawk Valley	Fulton	5	\$2,455,373
	Herkimer	6	\$38,248,468
	Montgomery	14	\$52,178,937

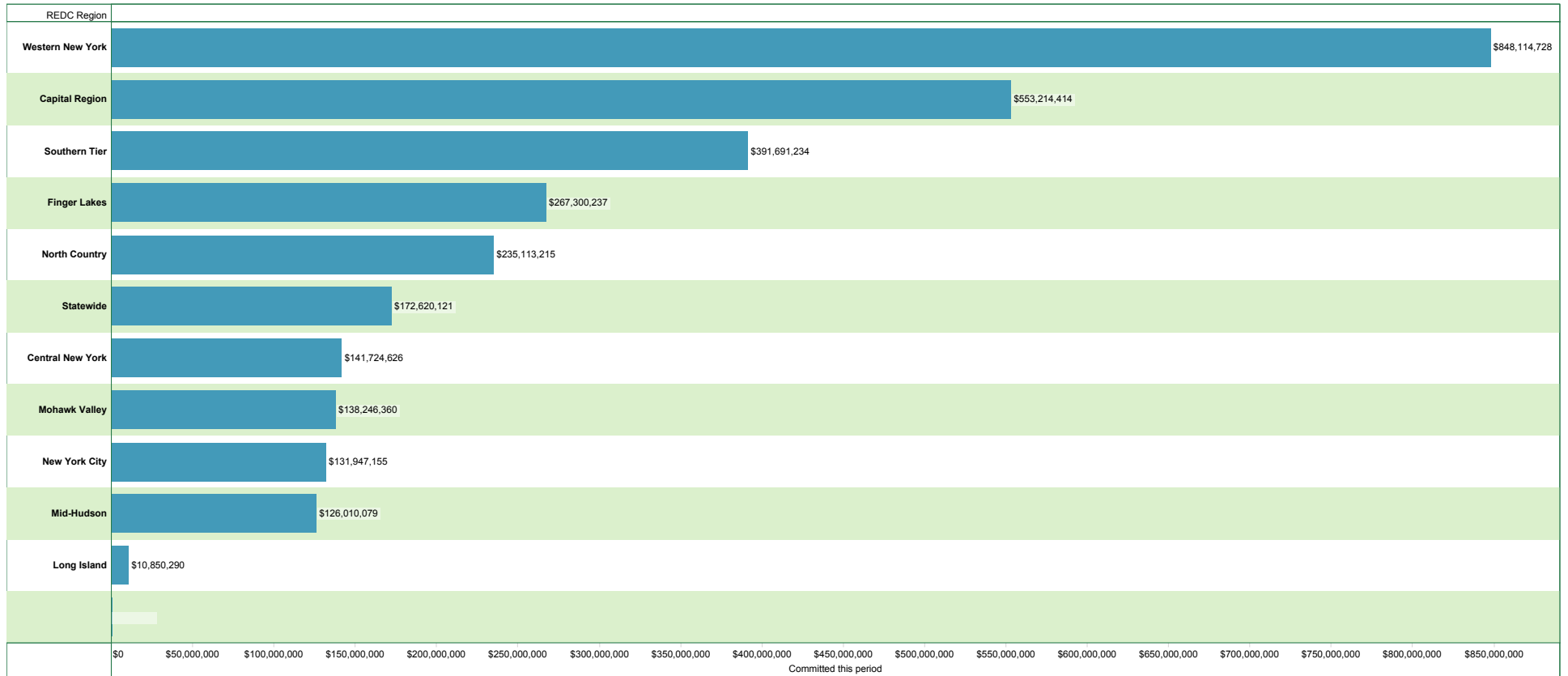
NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Mohawk Valley	Oneida	76	\$44,997,764
	Otsego	11	\$324,243
	Schoharie	6	\$41,575
	Total	118	\$138,246,360
New York City	Bronx	173	\$17,708,208
	Kings	269	\$26,115,207
	New York	480	\$36,744,823
	Queens	132	\$24,587,783
	Richmond	32	\$26,791,134
	Total	1,086	\$131,947,155
North Country	Clinton	9	\$4,389,472
	Essex	11	\$252,365
	Franklin	6	\$4,290,954
	Jefferson	36	\$169,100,409
	Lewis	14	\$11,536,650
	St. Lawrence	26	\$45,543,365
	Total	102	\$235,113,215
Southern Tier	Broome	24	\$174,825,183
	Chemung	21	\$1,243,277
	Chenango	9	\$138,882,849
	Delaware	4	\$1,685,008

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021
Table: By REDC Region and County

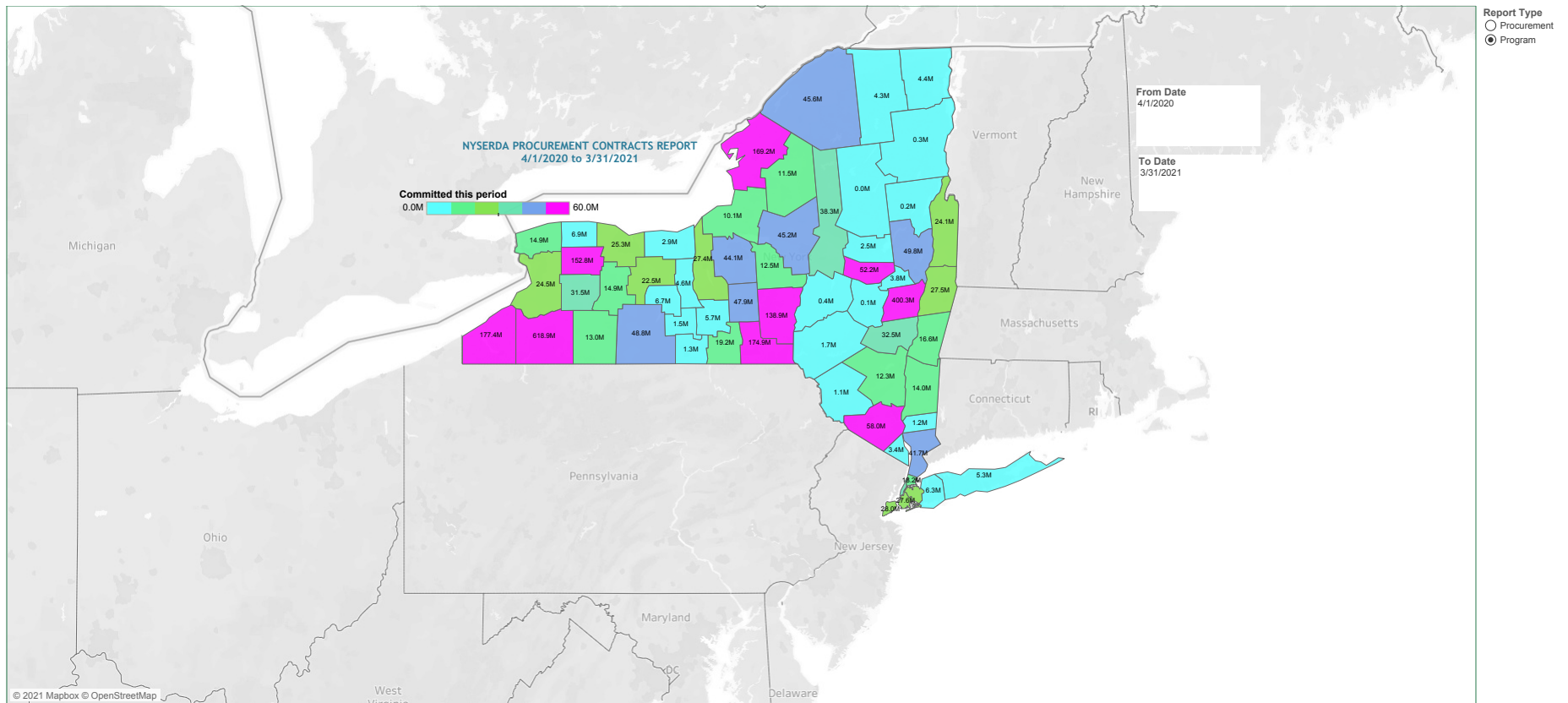
REDC Region	County	Count of Contracts	Committed this period
Southern Tier	Schuyler	3	\$1,521,816
	Steuben	16	\$48,774,637
	Tioga	9	\$19,152,861
	Tompkins	41	\$5,605,603
	Total	127	\$391,691,234
Statewide	STATEWIDE	310	\$172,795,121
	Total	310	\$172,620,121
Western New York	Allegany	16	\$12,968,202
	Cattaraugus	17	\$618,871,756
	Chautauqua	20	\$177,397,549
	Erie	67	\$24,135,118
	Niagara	38	\$14,742,103
	Total	158	\$848,114,728
Grand Total		3,539	\$3,017,007,457

NYSDERDA CONTRACTS REPORT - Contracts Executed or Modified
 4/1/2020 to 3/31/2021
 Graph: By REDC Region



NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2020 to 3/31/2021
Table: By Electric Utility

Electric Utility	Count of Contracts	Committed this period
AKRON	1	\$78,840
Central Hudson Gas and Electric	208	\$70,111,798
CHURCHVILLE	5	\$45,400
Consolidated Edison	1,235	\$171,929,649
JAMESTOWN	4	\$3,000,000
Long Island Power Authority	333	\$10,887,074
National Grid	792	\$1,885,366,015
NYS Electric and Gas	304	\$629,589,693
Orange and Rockland Utilities	144	\$40,528,728
PENN YAN	3	\$4,558,195
PLATTSBURGH	1	\$150,000
Rochester Gas and Electric	168	\$26,434,768
SPENCERPORT	2	\$10,360
Statewide	311	\$173,620,121
WATKINS GLEN	3	\$1,521,816
Grand Total	3,514	\$3,017,007,457



Resolution No. ____

RESOLVED, that the Authority's 2020-2021 Annual Contracts Report, as presented at this meeting, is adopted and approved as the annual report of the Authority required by Section 2800(1)(a)(6) and Section 2879 of the Public Authorities Law; and

BE IT FURTHER RESOLVED, that the “Procurement and Program Contract Guidelines, Operative Policy and Instructions (June 2021)” as presented at this meeting, are approved and adopted.

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT: Section 1
Summary - 3/16/2021 to 5/15/2021

Category	Report Type	Type	Count of Contracts	Committed this period
PCMT	Procurement	Competitive	39	\$11,116,701
		Discretionary	11	\$496,829
		Non-Competitive	5	\$1,569,522
	Total		55	\$13,183,052
PGRM	Procurement	Competitive	9	\$173,212,072
	Total		9	\$173,212,072
Grand Total			64	\$186,395,124

Section 1 Summary - Percentages

% Contract Counts Competitively	% of \$Committed this period Competitively
88.64%	87.63%

NYSDA PERIODIC CONTRACTS APPROVAL REPORT - 3/16/2021 to 5/15/2021

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT		Competitive	Y	165148	TRC Energy Services	SA.072_TWO #25_NY-SUN_Sr. PM	03/03/2021	\$557,709	\$557,709	\$5,600	\$5,600
	Air Source Heat Pumps	Discretionary	Y	153829	Owahgena Consulting, Inc.	Heat Pump Technical Assistance	04/30/2020	\$100,000	\$256,413	\$24,719	\$129,845
	BR: Program Implementation	Competitive	Y	157999	Bond, Schoeneck & King, PLLC	BR-Outside Legal	09/10/2020	\$750,000	\$850,000	\$17,557	\$102,946
		Competitive	Y	159688	Sive Paget & Riesel PC	BR Outside Counsel Support	10/19/2020	\$250,000	\$350,000	\$18,809	\$117,165
	Clean Energy Communities	Non-Competiti..	Y	146720	DNV Energy Insights USA Inc.	TWO 9 - CEC	10/28/2019	\$235,543	\$963,504	\$12,088	\$685,062
	CLEAN TRANSPORTATION	Competitive	Y	114065	Center for Sustainable Energy	Centralized Services & Support	05/25/2017	\$117,457	\$967,641	\$32,510	\$450,908
		Non-Competiti..	Y	150855	The Cadmus Group, LLC	TWO 5 Clean Transp Roadmap	01/31/2020	\$138,979	\$1,532,571	\$96,654	\$1,236,353
	Code to Zero	Competitive	Y	166481	Randstad North America, Inc.	Payroll Services_CPS Sr. PM	04/01/2021	\$483,327	\$483,327	\$3,098	\$3,098
	Commercial New Construc	Competitive	Y	165541	Energy & Resource Solutions, Inc.	TWO 2 - New Construction Eval	03/12/2021	\$2,007,165	\$2,007,165		
	ENERGY ANALYSIS	Competitive	Y	144446	Siemens Industry, Inc.	TWO #1: 100x40 Study	09/04/2019	\$765,628	\$1,665,628	\$310,271	\$1,004,760
		Competitive	Y	167784	Energy and Environmental Economics Inc.	TWO #28 BEEM Tool & Scenarios	04/30/2021	\$425,000	\$425,000		
		Non-Competiti..	Y	156808	Abt Associates Inc.	TWO 2 formerly 30191 TWO #5	08/10/2020	\$75,000	\$204,763	\$10,213	\$129,667
	ENVIRONMENTAL RESEARCH	Competitive	Y	139864	Abt Associates Inc.	TWO#1 Pathways Health	05/02/2019	\$80,000	\$280,000	\$74,977	\$210,975
		Competitive	Y	158363	Harrisburg University of Science and Tec	spatial and temporal variation	09/17/2020	\$150,000	\$499,976		
		Competitive	Y	165245	ICF Resources, LLC	TWO#9 NYS Elect System Model	03/05/2021	\$50,000	\$50,000		
		Competitive	Y	165376	NESCAUM	TWO #11 Wood Heating	03/09/2021	\$399,500	\$399,500		
		Discretionary	Y	164369	Cornell University	Cornell Climate Stewards	02/10/2021	\$50,000	\$50,000		
		Discretionary	Y	165489	Rensselaer Polytechnic Institute	A survey of Climate Change	03/11/2021	\$47,789	\$47,789		
	EVALUATION	Competitive	Y	104535	NMR Group, Inc.	RFQ 3183 NMR Group, Inc.	10/05/2016	\$107,662	\$405,583		\$288,286
	GJGNY REVOLVING LOAN FUND	Non-Competiti..	Y	167452	LoanStreet Inc.	Participation sale of GJGNY	04/22/2021	\$1,000,000	\$1,000,000		
	Healthy Homes VB Payment Pilot	Non-Competiti..	Y	167597	American Lung Association	NYS HH VBP Pilot Products	04/27/2021	\$120,000	\$120,000		
	Home Perf w Energy Star	Competitive	Y	107771	CLEAResult Consulting, Inc.	Centralized Services & Support	12/08/2016	\$490,998	\$11,736,122	\$277,934	\$8,928,456
		Competitive	Y	121807B	Uplight, Inc.	Residential Program MgtRFP3545	10/23/2019	\$132,600	\$2,004,566	\$569	\$563,189
		Discretionary	Y	141211	Honeywell International Inc.	SQA HPwES/EmPower in LI	06/10/2019	\$25,000	\$50,000		\$25,000
	Informat. Products & Brokering	Competitive	Y	149307A	E Source Companies LLC	RFQL3711 TWO Bldg Assets	01/29/2021	\$290,000	\$290,000		
	K-12 SCHOOLS	Competitive	Y	138946	EME Consulting Engineering Group, LLC	SA.036c_TWO #8 - EP&E	04/08/2019	\$587,271	\$984,121	\$44,973	\$353,149
	Low Carbon Tech Demos	Competitive	Y	166683	TRC Energy Services	SA.075_TWO #26_MF_PM	04/06/2021	\$349,377	\$349,377		
	Multifam New Construction	Competitive	Y	165248	TRC Energy Services	SA.042_TWO#17b - NCP NYC PM	03/05/2021	\$403,470	\$403,470	\$11,597	\$11,597
	Multifam Performance Pgm	Competitive	Y	ADH0014394	TRC Energy Services	SA.014_TWO #7_MF_PM	02/25/2021	\$64,755	\$64,755	\$0	\$0

NYSDERDA PERIODIC CONTRACTS APPROVAL REPORT - 3/16/2021 to 5/15/2021

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Multifam Performance Pgm	Competitive	Y	ADH0014399	TRC Energy Services	SA.017_TWO #9_MF_APM	02/25/2021	\$38,790	\$38,790	\$0	\$0
	NEW YORK GREEN BANK	Competitive	Y	149695	Holland & Knight LLP	RFP 12 - NYGB Legal Services	12/31/2019	\$7,430	\$221,646	\$1,791	\$216,007
		Competitive	Y	149696	Nixon Peabody LLP	RFP 12 - NYGB Legal Services	12/31/2019	\$49,755	\$112,565	\$49,755	\$112,565
		Discretionary	Y	150958	PLB Oil & Gas Consult LLC	NYGB Investment Support	02/04/2020	\$50,000	\$200,000	\$19,676	\$168,820
		Discretionary	Y	166172	Carahsoft Technology Corporation	NYGB Cloud Content Management	03/26/2021	\$39,437	\$39,437		
	NYCH: Roadmap	Competitive	Y	152281	Energy and Environmental Economics Inc.	TWO#22 Bldg. Elec. Roadmap	03/09/2020	\$150,000	\$780,000	\$150,057	\$779,979
	NYCH: Talent Ppln:CE and OJT	Competitive	Y	166881	Social Finance Inc.	Social Finance Contract	04/11/2021	\$439,652	\$439,652		
	NYSDERDA ADMINISTRATION	Competitive	Y	110542A	Raj Technologies Inc.	Modification - Name Change	09/13/2019	\$394,673	\$947,868		\$550,553
		Competitive	Y	133389A	Ricoh USA Inc	RFP 3919-Sitecore CMS Admin	10/06/2020	\$500,000	\$1,106,692	\$118,781	\$458,188
		Competitive	Y	145315	Bond, Schoeneck & King, PLLC	HR Outside Counsel Assistance	09/25/2019	\$30,000	\$55,000	\$16,200	\$38,190
		Competitive	Y	159691	CVENT, Inc.	Event Management/Virtual Event	10/19/2020	\$11,400	\$186,480	\$27,000	\$91,310
		Competitive	Y	165738	Tech Valley Talent, LLC	TWO # 3 Salesforce BA-Brochste	03/17/2021	\$195,520	\$195,520		
		Competitive	Y	165739	Tech Valley Talent, LLC	TWO Sr. Software Dev. M Simon	03/17/2021	\$187,200	\$187,200	\$21,902	\$21,902
		Competitive	Y	166003	Tech Valley Talent, LLC	TWO 5 .Net Software Dev.B Khan	03/23/2021	\$182,325	\$182,325		
		Competitive	Y	167253	SHI, Inc.	AeroHive Hive Manager annual	04/19/2021	\$7,291	\$7,291		
		Competitive	Y	168092	SHI, Inc.	Adobe Acrobat Pro licenses 1yr	05/10/2021	\$91,870	\$91,870		
		Discretionary	Y	109141	Tully Rinckey PLLC	outside counsel services	01/18/2017	\$3,500	\$30,500	\$13,210	\$18,756
		Discretionary	Y	153641	Fusco Personnel, Inc.	TS.015 Contract Mangament Coor	04/21/2020	\$66,495	\$132,990	\$11,100	\$61,312
		Discretionary	Y	165542	APPEXTREMES, LLC	Conga Composer Maintenance 1yr	03/12/2021	\$14,400	\$14,400		
		Discretionary	Y	165950	Bizodo Inc	Seamlessdocs Licensing 21 - 22	03/22/2021	\$46,363	\$46,363		
		Discretionary	Y	167961	LexisNexis	Online Legal Research Access	05/05/2021	\$53,844	\$53,844		
	NYSDERDA Fixed Asset	Competitive	Y	165196	ePlus inc	VDI Horizon Hardware / Service	03/04/2021	\$15,219	\$15,219		
	Pay for Performance	Competitive	Y	166056	Robert K. Harmon & Company LLC	MEETS - Robert K. Harmon - TWO	03/24/2021	\$150,000	\$150,000	\$2,204	\$2,204
	Pub Transit & Elect Rail	Competitive	Y	166063	Fusco Personnel, Inc.	TS.020_TBI_Coordinator	03/24/2021	\$117,000	\$117,000	\$5,625	\$5,625
	WEST VALLEY DEVELOPMENT PROGRAM	Competitive	Y	104075	Enercon Services Inc	RFP 3305 Licence Consultation	09/21/2016	\$30,000	\$432,676	\$2,174	\$389,756
		Competitive	Y	155706	Joseph R Chimera	Ops and Maintenance	07/13/2020	\$56,659	\$1,556,659	\$25,820	\$180,571
PGRM	<200KW PV	Competitive	Y	165537	SunPower Corporation	<200KW PV	03/12/2021	\$4,037,670	\$4,037,670		
	Cleantech EIR	Competitive	Y	128064	The Trustees of Columbia University	PON 3819	06/19/2018	\$2,500,000	\$6,500,000	\$336,400	\$2,698,600
	LARGE SCALE RENEWABLES	Competitive	Y	67783	APX Inc.	NYGATS	06/24/2015	\$550,000	\$4,176,730	\$203,610	\$3,414,337

NYSDA PERIODIC CONTRACTS APPROVAL REPORT - 3/16/2021 to 5/15/2021

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PGRM	Market Tests	Competitive	Y	160205	Metro IAF	NYCH: Technical Assistance	10/30/2020	\$4,000,000	\$4,000,000		
	REC:CES REC Contracts	Competitive	Y	102993A-2	HECATE ENERGY GREENE 1 LLC	Greene County 1	01/28/2021	\$18,449,496	\$18,449,496		
		Competitive	Y	102993A-3	HECATE ENERGY GREENE 2 LLC	Greene County 2	01/28/2021	\$9,224,210	\$9,224,210		
		Competitive	Y	162131	EDF Renewables Development, Inc	RESRFP20-1	12/16/2020	\$38,222,829	\$38,222,829		
		Competitive	Y	162132	EDF Renewables Development, Inc.	RESRFP20-1	12/16/2020	\$57,198,394	\$57,198,394		
		Competitive	Y	162133	EDF Renewables Development, Inc.	RESRFP20-1	12/16/2020	\$39,029,473	\$39,029,473		
Grand Total								\$186,395,124	\$217,179,768	\$1,946,873	\$23,454,730

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Contractor Addresses - 3/16/2021 to 5/15/2021

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE	
Abt Associates Inc.	6130 Executive Boulevard	Rockville	MD	20852	FSBE					
American Lung Association	55 W. Wacker Drive, Suite 1150	Chicago	IL	60601	FSBE					
APPEXTREMES, LLC	PO BOX 7839	Broomfield	CO	80021	FSBE					
APX Inc.	224 Airport Parkway #600	San Jose	CA	95110	FSBE					
Bizodo Inc	30 Vandam St. F12	New York	NY	10013	NYSBE					
Bond, Schoeneck & King, PLLC	22 Corporate Woods Blvd., Ste 501	Albany	NY	12211-2503	NYSBE					
Carahsoft Technology Corporation	11493 Sunset Hills Road	Reston	VA	20190	FSBE					
Center for Sustainable Energy	3980 Sherman St., Ste 170	San Diego	CA	92110	FSBE					
CLEARResult Consulting, Inc.	4301 Westbank Dr., A-150	Austin	TX	78746	FSBE					
Cornell University	341 Pine Tree Road	Ithaca	NY	14850	NYSBE					
CVENT, Inc.	1765 Greensboro Station Place	Tyson's Corner	VA	22102	FSBE					
DNV Energy Insights USA Inc.	1400 Ravello Drive	Katy	TX	77449	FSBE					
E Source Companies LLC	1745 38th Street	Boulder	CO	80301	FSBE					
EDF Renewables Development, Inc	d/b/a Homer Solar Energy Ctr LLC	Toronto	ON	M5C 2H2	FSBE					
EDF Renewables Development, Inc.	d/b/a Moraine Solar Energy LLC	Toronto	ON	M5C 2H2	FSBE					
	d/b/a Tracy Solar Energy Center LLC	Toronto	ON	M5C 2H2	FSBE					
EME Consulting Engineering Group, LLC	129 West 27th Street	New York	NY	10001	NYSBE					
Enercon Services Inc	500 Town Park Lane	Kennesaw	GA	30144	FSBE					
Energy & Resource Solutions, Inc.	120 Water Street, Suite 350	North Andover	MA	01845	FSBE					
Energy and Environmental Economics Inc.	2401 E Katella Ave.	Anaheim	CA	92806	FSBE					
ePlus inc	13595 Dulles Technology Dr	Herndon	VA	20171-3413	FSBE					
Fusco Personnel, Inc.	4 Executive Park Dr Ste B	Albany	NY	12203-3718	NYSBE	X				
Harrisburg University of Science and Tec	326 Market Street	Harrisburg	PA	17101	FSBE					
HECATE ENERGY GREENE 1 LLC	621 W Randolph	Chicago	IL	60661	FSBE					
HECATE ENERGY GREENE 2 LLC	621 W Randolph	Chicago	IL	60661	FSBE					
Holland & Knight LLP	PO Box 864084	Orlando	FL	32886	FSBE					
Honeywell International Inc.	700 Airways Park Drive	East Syracuse	NY	13057	NYSBE					
ICF Resources, LLC	9300 Lee Highway	Fairfax	VA	22031	FSBE					
Joseph R Chimera	d/b/a JR & Sons Excavating	Angola	NY	14006	NYSBE					
LexisNexis	1275 Broadway	Albany	NY	12204	NYSBE					

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Contractor Addresses - 3/16/2021 to 5/15/2021

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE	
LoanStreet Inc.	29 West 30th Street	New York	NY	10024	NYSBE					
Metro IAF	89-60 164th Street	Jamaica	NY	11432	NYSBE					
NESCAUM	89 South Street	Boston	MA	02111	FSBE					
Nixon Peabody LLP	1300 Clinton Square	Rochester	NY	14604	NYSBE					
NMR Group, Inc.	50-2 Howard Street	Somerville	MA	02144	FSBE					
Owahgena Consulting, Inc.	1883 Ballina Road	Cazenovia	NY	13035	NYSBE					
PLB Oil & Gas Consult LLC	2 Pinecrest Road	Riverside	CT	06878	FSBE					
Raj Technologies Inc.	110 Terminal Dr.	Plainview	NY	11803	NYSBE		X			
Randstad North America, Inc.	PO Box 2084	Carol Stream	IL	60132-2084	FSBE	X				
Rensselaer Polytechnic Institute	Research Adm & Finance, 4th Fl West Hall	Troy	NY	12180-3522	NYSBE					
Ricoh USA Inc	300 Eagleview Boulevard Suite 200	Exton	PA	19341	FSBE					
Robert K. Harmon & Company LLC	PO Box 2777	Vashon	WA	98070	FSBE					
SHI, Inc.	P.O. Box 952121	Dallas	TX	75395	FSBE					
Siemens Industry, Inc.	85 Northpointe Parkway	Buffalo	NY	14228	NYSBE					
Sive Paget & Riesel PC	560 Lexington Ave., Floor 15	New York	NY	10022-1994	NYSBE					
Social Finance Inc.	2 Atlantic Avenue, 5th Floor	Boston	MA	02110	FSBE					
SunPower Corporation	Solar Star Big Apple BTM LLC	San Jose	CA	95134	FSBE					
Tech Valley Talent, LLC	20 Prospect St. Suite 200, Building 1	Ballston Spa	NY	12020	NYSBE	X				
The Cadmus Group, LLC	100 5th Ave., Ste 100	Waltham	MA	02451	FSBE					
The Trustees of Columbia University	1210 Amsterdam Ave	New York	NY	10027-7003	NYSBE					
TRC Energy Services	21 Griffin Rd North	Windsor	CT	06095	FSBE					
Tully Rinckey PLLC	441 New Karner Road	Albany	NY	12205	NYSBE			X		
Uplight, Inc.	2580 55th Street	Boulder	CO	80301	FSBE					

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Anticipated Contracts - 3/16/2021 to 5/15/2021

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Reason	Date	Anticipated Amount	Total Contract Amount
PCMT	Competitive	Y	30191	Abt Associates Inc.	Flex Energy Tech Analysis 2	Added New Tasks	11/09/2012	\$100,000	\$970,943
	Competitive	Y	85025	Tech Valley Talent, LLC	RFP3143 Umbrella Agreement	Added New Tasks	12/18/2015	\$259,388	\$8,188,970
	Competitive	Y	104544A	Opinion Dynamics Corporation	RFQ3183 Umbrella Agreement	Extend Contract Term	04/03/2019	\$690,638	\$1,112,870
	Competitive	Y	106226	Arch Street Communications, Inc.	Marketing Support for NYSDA	Added New Tasks	11/03/2016	\$250,000	\$854,000
	Competitive	Y	107762	Rosenblum Property Services, LLC	STEP Property Mgmt Services	Added New Tasks	12/07/2016	\$199,000	\$1,138,065
	Competitive	Y	118163	D.L. English Consulting, Inc.	Indian Point Consultant	Added New Tasks	09/13/2017	\$50,000	\$406,475
	Competitive	Y	137240	EME Consulting Engineering Group, LLC	SA.038A_TWO #7 - New Con	Added New Tasks	02/19/2019	\$268,938	\$798,543
	Competitive	Y	140315	Randstad North America Inc	TS.003_TBI Coordinator	New Agreement	05/15/2019	\$54,745	\$166,185
	Competitive	Y	144828	DNV Energy Insights USA Inc.	TWO 8 CEF Comm Impact_DNV	Added New Tasks	09/12/2019	\$213,454	\$596,274
	Competitive	Y	144829	Energy & Resource Solutions, Inc.	TWO 5 CEF Comm Impact_ERS	Added New Tasks	09/12/2019	\$170,595	\$674,515
	Competitive	Y	147152	TRC Energy Services	SA.053a_TWO #20 - EEA	Added New Tasks	11/05/2019	\$76,478	\$329,556
	Competitive	Y	149845	Oracle America, Inc.	Peoplesoft - Oracle 2020 /2021	Added New Tasks	01/07/2020	\$13,400	\$67,626
	Competitive	Y	152608	Marshall & Sterling, Inc.	RFP 4301 Insurance	Added New Tasks	03/16/2020	\$10,836	\$526,580
	Competitive	Y	153100	Knoll, Inc.	Furniture for Green Bank Offi	Added New Tasks	04/02/2020	\$1,780	\$187,328
	Competitive	Y	155192	Energy and Environmental Economics Inc.	TWO#23 CLCPA Techno-Economic	Added New Tasks	06/25/2020	\$388,928	\$1,041,059
	Competitive	Y	155547	Green Light New York, Inc.	Energy Efficiency Training TWO	Added New Tasks	07/08/2020	\$150,000	\$579,822
	Competitive	Y	156227	The Research Foundation for the State	Mobile Laboratory Measurements	Added New Tasks	07/27/2020	\$149,496	\$548,442
	Competitive	Y	156483	Noresco LLC	IAQ - Tech Review - NORESCO	Added New Tasks	07/31/2020	\$33,600	\$105,000
	Competitive	Y	156748	Rocky Mountain Institute	Empire Bldg. Challenge - RMI	New Agreement	08/07/2020	\$97,743	\$276,750
	Competitive	Y	158005	Kelliher Samets Volk	KSV TWO 36 Website User Exper	Added New Tasks	09/10/2020	\$122,850	\$139,680
	Competitive	Y	161831	BW Research Partnership	TWO# 2 JTWG Jobs Study	New Agreement	12/10/2020	\$55,664	\$355,664
	Competitive	Y	166992	Resource Refocus LLC	Task Work Order No. 3	New Agreement	04/13/2021	\$426,455	\$426,455
	Competitive	Y	166994	New Buildings Institute, Inc.	BoE Rnd.1 Perform. Validation	New Agreement	04/13/2021	\$244,193	\$244,193
	Competitive	Y	168220	EME Consulting Engineering Group, LLC	SA.076_TWO# 14_FS BA	New Agreement	05/11/2021	\$356,175	\$356,175
	Competitive	Y	168221	Kelliher Samets Volk	KSV TWO 42 IPNA Bridge Loan	New Agreement	05/11/2021	\$8,925	\$8,925
	Competitive	Y	168222	Kelliher Samets Volk	KSV TWO 41 Loan Loss Reserve	New Agreement	05/11/2021	\$8,415	\$8,415
	Competitive	Y	168285	The Cadmus Group, LLC	P-12 Schools Outreach - Cadmus	New Agreement	05/12/2021	\$75,000	\$75,000
	Competitive	Y	168363	SHI, Inc.	Tableau Desktop Maintenance 1	New Agreement	05/13/2021	\$84,623	\$84,623
	Competitive	Y	ADH0014924	TRC Energy Services	SA.041_TWO#14 - NCP PM	New Agreement	11/30/2020	\$300,000	\$719,522
	Competitive	Y	ADH0014941	TRC Energy Services	SA.042_TWO#17 - NCP NYC PM	New Agreement	12/03/2020	\$300,000	\$444,632
	Discretionary	Y	150710	ICE Data, LP	ENERGY ANALYSIS	Added New Tasks	01/29/2020	\$21,680	\$42,380
	Discretionary	Y	156627	Owahgena Consulting, Inc.	BEEM Technical Analysis	Added New Tasks	08/05/2020	\$50,000	\$100,000

NYSDA PERIODIC CONTRACTS APPROVAL REPORT: Anticipated Contracts - 3/16/2021 to 5/15/2021

Category	Type	Term > 1 Year	Contract ID	Contractor	Contract Description	Reason	Date	Anticipated Amount	Total Contract Amount
PCMT	Discretionary	Y	168423	ThunderCat Technology LLC	Pyramid Consulting	New Agreement	05/14/2021	\$159,000	\$159,000
	Non-Competitive	Y	93559	Peter L. Krog	Ashford Office Complex Lease	Extend Contract Term	03/21/2016	\$521,898	\$980,178
	Non-Competitive	Y	125834	Level 3 Communications, LLC	NYSDA ADMINISTRATION	Added New Tasks	04/17/2018	\$72,000	\$481,000
	Non-Competitive	Y	164912	International Code Council, Inc.	NYStretch 2020 Code Book	New Agreement	02/25/2021	\$16,500	\$16,500
PGRM	Competitive	Y	129293	Consolidated Edison Company	P4P Con Ed MOU and NDA	New Agreement	07/26/2018	\$8,106,666	\$8,106,666
	Competitive	Y	135614	L&S Energy Services, Inc.	L&S GJGNY Energy Audits	Added New Tasks	12/28/2018	\$927,921	\$3,583,031
	Competitive	Y	166478	Long Island Power Authority	MOU - 3 year term	New Agreement	04/01/2021	\$20,000,000	\$20,000,000
	Non-Competitive	Y	124254	Launch New York, Inc.	Launch NY ECO Incubator	Extend Contract Term	03/09/2018	\$800,000	\$2,600,000
Grand Total								\$35,836,983	\$57,501,042

NYSERDA PERIODIC CONTRACTS APPROVAL REPORT: Section 1
Summary - 3/16/2021 to 5/15/2021

Category	Report Type	Type	Count of Contracts	Committed this period
PGRM	Program	Competitive	586	\$308,037,907
		Discretionary	4	\$617,666
		Non-Competitive	3	\$1,474,537
	Total		593	\$310,130,110
Grand Total			593	\$310,130,110

Section 1 Summary - Percentages

% Contract Counts Competitively	% of \$Committed this period Competitively
99.49%	99.52%

NYSDERDA PERIODIC PROGRAM REPORT

3/16/2021 to 5/15/2021

Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this Period
Administration	34	\$2,426,686
Agriculture	1	\$5,000
Building Innovation	1	\$23,400
Clean Heating and Cooling	8	\$627,398
Clean Trans (Innov & Research)	2	\$162,379
Codes	2	\$503,327
Commercial	4	\$1,279,671
Communities and Local Governme	2	\$153,013
Energy & EnvironmentalAnalysis	10	\$1,535,258
Energy-Related Environmental Res	5	\$342,732
Evaluation	7	\$3,528,911
Green Jobs-Green NY	1	\$1,000,000
Grid Modernization	1	\$23,400
Industrial	1	\$10,000
Information Brokering	2	\$300,000
Innovation	2	\$163,827
Large Scale Renewables	16	\$164,396,250
LMI	7	\$10,660,638
Low Income	2	\$46,548
Mkt Char & Design (Mkt Dev)	9	\$3,806,000

NYSERDA PERIODIC PROGRAM REPORT

3/16/2021 to 5/15/2021

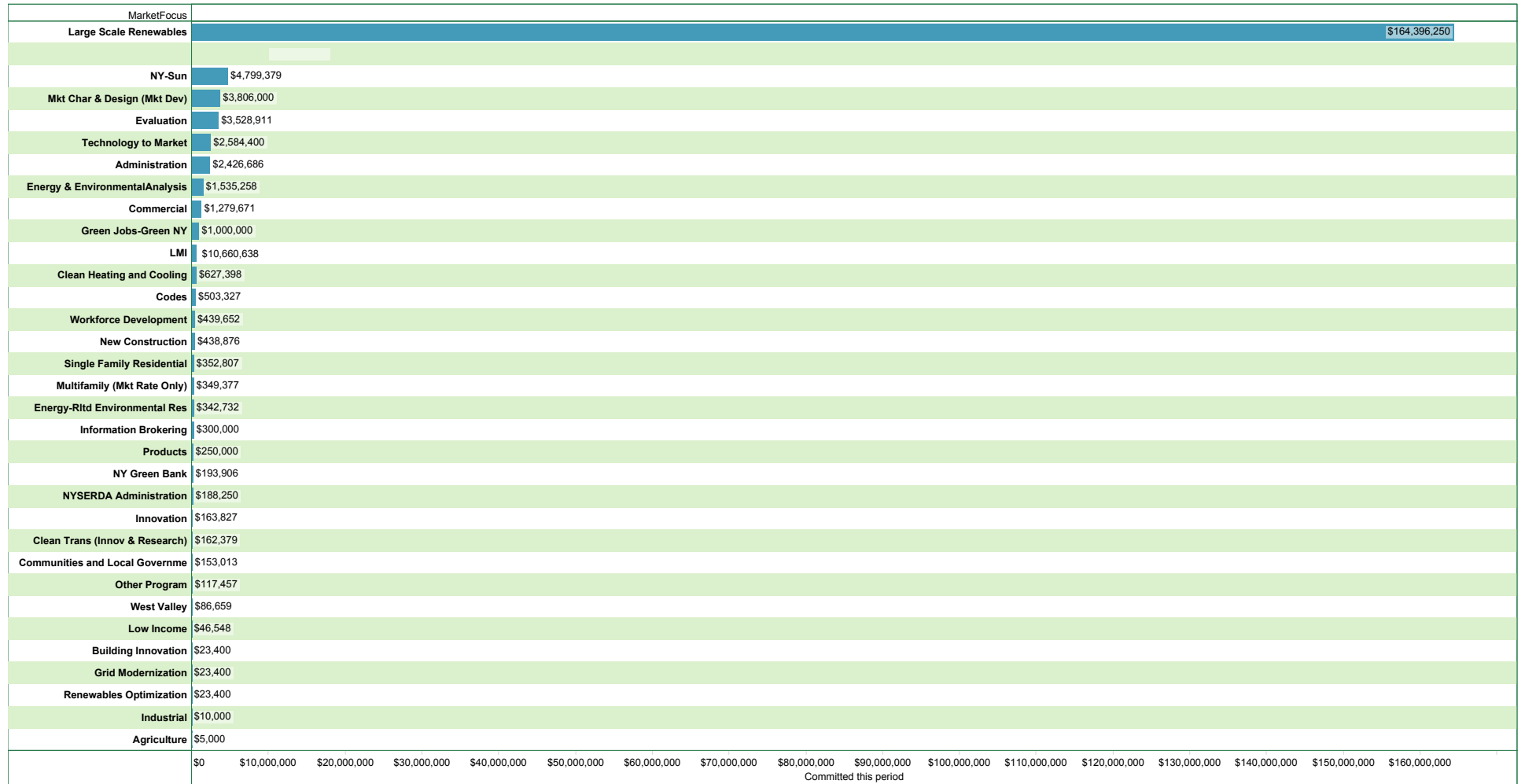
Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Multifamily (Mkt Rate Only)	1	\$349,377
New Construction	3	\$438,876
NY Green Bank	7	\$193,906
NY-Sun	3	\$4,799,379
NYSERDA Administration	5	\$188,250
Other Program	1	\$117,457
Products	4	\$250,000
Renewables Optimization	1	\$23,400
Single Family Residential	4	\$352,807
Technology to Market	4	\$2,584,400
West Valley	2	\$86,659
Workforce Development	1	\$439,652
Grand Total	153	\$200,818,600

NYSERDA PERIODIC PROGRAM REPORT

3/16/2021 to 5/15/2021

Graph: All Market Focus Committed this Period



NYSERDA PERIODIC PROGRAM REPORT
3/16/2021 to 5/15/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Capital Region	Albany	23	\$13,794,640
	Columbia	11	\$6,112,828
	Greene	3	\$27,682,416
	Rensselaer	5	\$2,431,711
	Saratoga	4	\$3,129,532
	Schenectady	3	\$25,472
	Warren	2	\$13,993
	Washington	3	\$15,592
	Total	54	\$53,206,182
Central New York	Cayuga	2	\$2,030,460
	Cortland	3	\$39,167,190
	Madison	2	\$799,863
	Onondaga	21	\$3,408,750
	Oswego	10	\$3,941,995
	Total	38	\$49,348,258
Finger Lakes	Genesee	7	\$4,635,970
	Livingston	5	\$109,623
	Monroe	27	\$3,774,908
	Ontario	11	\$2,180,015

NYSDERDA PERIODIC PROGRAM REPORT
3/16/2021 to 5/15/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Finger Lakes	Orleans	3	\$186,192
	Seneca	1	\$7,088
	Wayne	5	\$853,891
	Wyoming	2	\$426,045
	Yates	6	\$4,767,164
	Total	67	\$16,940,895
Long Island	Nassau	34	\$370,672
	Suffolk	41	\$290,342
	Total	75	\$661,014
Mid-Hudson	Dutchess	10	\$1,837,674
	Orange	19	\$8,236,241
	Putnam	4	\$111,924
	Rockland	13	\$81,468
	Sullivan	6	\$313,341
	Ulster	24	\$5,040,883
	Westchester	24	\$5,244,833
	Total	100	\$20,866,364
Mohawk Valley	Herkimer	2	\$843,064
	Oneida	8	\$3,618,517
	Otsego	5	\$288,083
	Schoharie	2	\$11,232

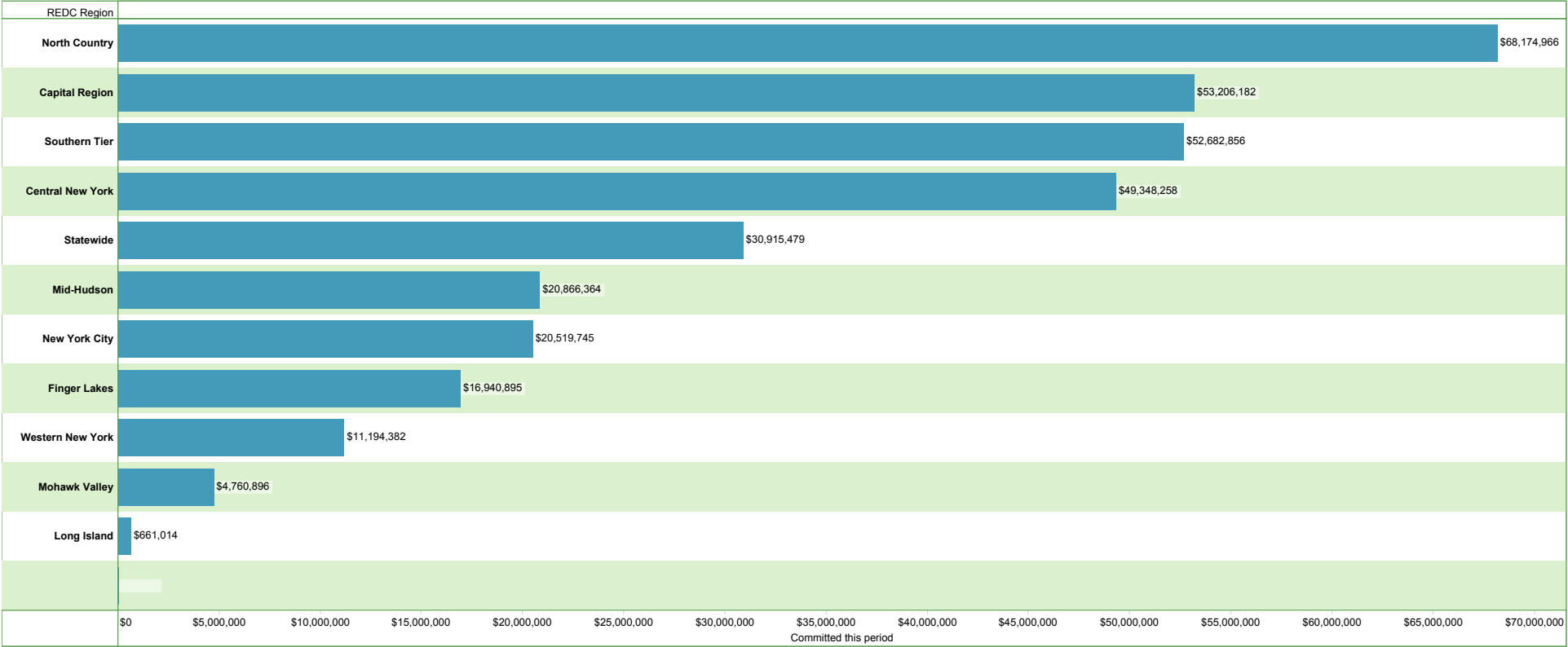
NYSERDA PERIODIC PROGRAM REPORT
3/16/2021 to 5/15/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Mohawk Valley	Total	17	\$4,760,896
New York City	Bronx	32	\$1,908,013
	Kings	43	\$3,075,899
	New York	93	\$5,552,160
	Queens	24	\$8,742,307
	Richmond	7	\$1,241,366
	Total	197	\$20,508,045
North Country	Essex	1	\$125,209
	Franklin	4	\$2,987,103
	Jefferson	11	\$62,360,372
	Lewis	1	\$7,540
	St. Lawrence	10	\$2,694,742
	Total	27	\$68,174,966
Southern Tier	Broome	3	\$130,394
	Chemung	3	\$945,995
	Chenango	2	\$832,107
	Steuben	7	\$42,365,004
	Tioga	6	\$5,860,706
	Tompkins	7	\$2,548,650
	Total	28	\$52,682,856
Statewide	STATEWIDE	62	\$30,915,479

NYSERDA PERIODIC PROGRAM REPORT
3/16/2021 to 5/15/2021
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Statewide	Total	62	\$30,915,479
Western New York	Allegany	4	\$1,030,339
	Cattaraugus	5	\$2,483,926
	Chautauqua	8	\$3,536,259
	Erie	22	\$4,046,294
	Niagara	4	\$97,564
	Total	43	\$11,194,382
Grand Total		710	\$329,271,036

NYSDA PERIODIC PROGRAM REPORT
 3/16/2021 to 5/15/2021
 Graph: By REDC Region



NYSERDA PERIODIC PROGRAM REPORT
3/16/2021 to 5/15/2021
Table: By Electric Utility

Electric Utility	Count of Contracts	Committed this period
AKRON	1	\$9,660
Central Hudson Gas and Electric	46	\$34,871,520
Consolidated Edison	217	\$25,758,737
Long Island Power Authority	76	\$661,048
Municipal Utilities	9	\$5,901,576
National Grid	163	\$155,304,806
NYS Electric and Gas	69	\$63,236,880
Orange and Rockland Utilities	29	\$8,299,756
Rochester Gas and Electric	34	\$4,311,574.
Statewide	62	\$30,915,479
Grand Total	706	\$329,271,036

Resolution No. ____

RESOLVED, that the Periodic Contracts Report, covering the period March 16, 2021 through May 15, 2021, as presented at this meeting, including but not limited to the contracts identified therein which have been, or are expected to be, executed and which do have, or are expected to have, a period of performance in excess of one year, is hereby approved in accordance with Public Authorities Law Section 2879.

ANNUAL PROMPT PAYMENT POLICY REPORT

FISCAL YEAR ENDED MARCH 31, 2021

NEW YORK STATE ENERGY RESEARCH
AND DEVELOPMENT AUTHORITY

ANNUAL PROMPT PAYMENT POLICY REPORT

FISCAL YEAR ENDED MARCH 31, 2021

NEW YORK STATE ENERGY RESEARCH
AND DEVELOPMENT AUTHORITY

Section 2880 of the Public Authorities Law requires each public authority to prepare an annual report on the implementation of its prompt payment policy. This report for the New York State Energy Research and Development Authority covers the period April 1, 2020, through March 31, 2021.

The Members of the Authority adopted a resolution establishing its prompt payment policy, effective January 1, 1989. The rules and regulations detailing the Authority's prompt payment policy are contained in its Prompt Payment Policy Statement (21 NYCRR Part 504). The Authority is required to make payment to contractors and vendors within 30 days on all valid invoices received. If the Authority fails to make payment on amounts properly due and owing within 30 days, interest on the late payment is to be calculated at the rate prescribed by Section 2880. When the amount of interest computed equals or exceeds ten dollars, that amount of interest must be paid to the contractor.

Section 2880 requires that the annual report include the following:

1. Listing of the types and categories of contracts that the Authority entered into during the twelve month period covered by this report:

494	procurement contracts goods contracts;
<u>3,501</u>	<u>program contracts.</u>
3,995	Total

During the reporting period, the Authority processed a total of 71,440 vendor invoices for payment.

2. Number and amount of all interest payments: See attached table
3. Number of interest chargeable days and total number of days taken to process each late contract payment: See attached table
4. Principal reasons that the late payments occurred:

The three exceptions were the result of isolated human error made in the processing of the invoices. Given that these exceptions were isolated in occurrence and extremely small in number, and given that in all instances the exceptions did not result in the Authority incurring late payment interest charges, the Authority believes its existing systems and processes are sufficient to provide compliance with the Prompt Payment Policy.

Table 1 Prompt payment detail

Number	Name	Invoice Amount	Days taken to process payment	Interest chargeable days	Interest payment	Comment
1	Marshall & Sterling, Inc.	\$44,376.00	43	13	\$0.00	Vendor Waived Interest of \$27.12
2	Empire State Reality OP. LP.	\$4,560.97	36	6	\$0.00	Interest less than \$10
3	DNV GL Energy Insights USA Inc.	\$27,984.50	31	1	\$0.00	Interest less than \$10
Total					\$0.00	

Resolution No. _____

RESOLVED, that the Annual Prompt Payment Policy Report presented to the Members of the Authority at this June 22, 2021 meeting is adopted and approved as the annual report required by Section 2880 of the Public Authorities Law.