

2017-18 ANNUAL CONTRACTS REPORT

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

This annual report on procurement contracts has been prepared and approved pursuant to Section 2879 of the Public Authorities Law. The report covers the period April 1, 2017 through March 31, 2018.

Section 2879 of the Public Authorities Law provides that a public authority's procurement contracts guidelines shall set forth, among other things, areas of responsibility and oversight requiring the use of procurement and program contracts; the reasons for use of such contracts; requirements regarding selection of contractors, including circumstances relating to waiver of competition and provisions on board approval and annual review of contracts involving services to be rendered over a period greater than a year; provisions for the publication of a notice of proposed procurement contracts prior to award and the criteria for exemption from such publication; provisions applicable to participation by minority and women-owned business enterprises, service disabled veteran-owned business enterprises and by New York State business enterprises and New York State residents; a listing of types of provisions to be contained in procurement contracts; and provisions on such contracts which involve former officers or employees. This section of the law also includes requirements for periodic staff reports to the Members on procurement contracts and an annual report on such contracts by each public authority to the Division of the Budget, with copies to the Department of Audit and Control and the Legislature's fiscal committees.

A copy of the New York State Energy Research and Development Authority's ("Authority") procurement contract guidelines operative policy and instructions (Guidelines) is included in this Annual Report. These Guidelines comport with the requirements of Public Authorities Law Section 2879 for procurement contracts. Some key features of the Guidelines are described below.

Article III of the Guidelines outlines requirements for competitive selection of contractors, including factors to be considered in comparing offerers and requirements for documentation of selection processes. As permitted by PAL Section 2879, the Guidelines provide for the Members to waive competitive selection when waiver is in the best interest of the Authority. Section 3.2 waives competitive selection in certain limited circumstances. Section 3.3 of the Guidelines requires contract approval and annual review by the Members when the performance of personal services will extend more than one year.

Article VII contains provisions for promoting participation in procurement contracts by New York State businesses and New York State residents.

Article VIII provides for the submission of a notice for publication to the Commissioner of the Department of Economic Development providing notification and bidding information on procurement contract actions prior to their award. It also sets forth the criteria under which a procurement action is exempt from publication.

Article IX of the Guidelines provides for reports on procurement contracts to be made to the Members at the regularly scheduled meetings of the Members. It also requires Member approval

at the June meeting of an annual report to the Division of the Budget, with copies to be submitted to the Department of Audit and Control and the Legislature's fiscal committees and made available to the public.

AMENDMENTS TO THE PROCUREMENT AND PROGRAM CONTRACT GUIDELINES

There are no changes to the Procurement Contract Guidelines from those the Members approved in June 2017.

ANNUAL CONTRACTS REPORT : Section 1 Summary 4/1/2017 to 3/31/2018

Category	Report Type	Type	Count of Contracts	Committed this period
PCMT	Procurement	Competitive	168	\$47,963,373
		Discretionary	149	\$4,871,019
		Non-Competitive	31	\$13,464,577
		Total	348	\$66,298,968
Grand Total			348	\$66,298,968

Section 1 Summary - Percentages

% Contract Counts Competitively % of \$Committed this period Competitively

84.42%	78.08%
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NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	>200KW PV	Competitive	Y	104259	Hodgson Russ LLP	RFP 3300 & RFP 3776 Outside Co	09/27/2016		\$10,000		
		Competitive	Y	117926	TRC Energy Services	Staff Augmentation Services	09/06/2017	\$164,453	\$164,453		
		Discretionary	Y	112974	CHA Consulting Inc.	SEQR Services	04/21/2017	\$50,000	\$50,000	\$11,467	\$11,467
ADVANCED BUILDINGS		Discretionary	N	91066	Tattem Engineering, P.C.	Deep Energy Retrofits	02/19/2016		\$49,986	\$11,674	\$47,301
		Discretionary	N	91067	Rensselaer Polytechnic Institute	ASSIST Membership	02/19/2016	\$50,000	\$50,000	\$50,000	\$50,000
		Non-Competitive	Y	29269	Rensselaer Polytechnic Institute-LRC	LRC Partners Program	09/17/2012		\$240,000		\$240,000
ADVANCED ENERGY CODES		Competitive		62667	Building Media, Inc.	Energy Code Training and Suppo	04/16/2015		\$349,921	\$48,068	\$48,068
		Competitive	Y	15526	Fort Orange Press Incorporated	Printing Services	06/17/2009		\$973,510		\$698,794
		Competitive	Y	38888	Institute for Building Technology and Sa	Energy Code Training; EPros	01/10/2014		\$897,133	\$215,497	\$672,397
		Competitive	Y	49730	T.Y. Lin International	Energy Code Support Services	10/15/2014	\$500,000	\$2,405,876	\$1,322,741	\$2,007,872
		Competitive	Y	49731	New Buildings Institute, Inc.	NYStretch	10/15/2014		\$249,926	\$5,000	\$202,173
		Competitive	Y	49732	Vidaris, Inc.	Code Manual for Design Pros	10/15/2014		\$149,962		\$4,100
		Competitive	Y	49734	Building Media, Inc.	NYS Energy Code Conference	10/15/2014		\$36,953	\$9,453	\$36,453
		Competitive	Y	49736	Karpman Consulting, LLC	Ecode Performance Enforcement	10/15/2014		\$74,816	\$47,554	\$64,554
		Competitive	Y	49738	Trust for Conservation Innovation	Energy Code Support Services	10/15/2014		\$149,988	\$130,115	\$149,988
		Competitive	Y	49740	Trust for Conservation Innovation	Energy Code Support Services	10/15/2014		\$100,649	\$18,081	\$100,649
		Competitive	Y	64597	ICF Resources, LLC	ECode Microsite	05/13/2015		\$249,961	\$88,519	\$99,908
		Discretionary	Y	32441	Building Media, Inc.	Code Training Website H&M	05/06/2013		\$74,000	\$8,228	\$74,000
		Non-Competitive	Y	31338	New York State Department of State	Travel Expenses NYSDOS	02/22/2013		\$40,000		\$49
		Non-Competitive	Y	37589	International Code Council, Inc.	ECCCNYS Commentary IECC2015	11/21/2013		\$256,730	\$122,896	\$206,027
		AGRICULTURE ENERGY EFFICIENCY	Competitive	Y	35743	Ensava, Inc.	AEEP Implementation Contractor	09/26/2013	\$400,000	\$3,124,945	\$599,023
ANAEROBIC DIGESTER	Competitive	Y	29200	CDH Energy Corporation	RPS-CST Quality Assurance/Qual	09/17/2012		\$240,000	\$18,690	\$85,213	
	Competitive	Y	29202	L&S Energy Services, Inc.	RPS-CST Quality Assurance/Qual	09/17/2012		\$200,000	\$6,500	\$36,019	
	Competitive	Y	29203	Arcadis of New York, Inc.	RPS-CST Quality Assurance/Qual	09/17/2012		\$200,000	\$2,500	\$57,925	
	Competitive	Y	29204	Wendel WD Architecture, Engineering,	RPS-CST Quality Assurance/Qual	09/17/2012		\$200,000	\$15,165	\$57,869	
Anaerobic Digesters	Non-Competitive	N	111944	Huttner Strategies	Accel RE/AD Development	03/23/2017	\$100,000	\$100,000	\$100,000	\$100,000	
Campus/Technical Assistance	Competitive	Y	117924	Willdan Energy Solutions	Staff Augmentation Services	09/06/2017	\$141,726	\$141,726			
Clean Energy Communities	Competitive	Y	106226	Arch Street Communications, Inc.	Marketing Support for NYSERDA	11/03/2016	\$541,000	\$541,000	\$67,848	\$67,848	
	Discretionary	Y	113765	iPROMOTEu.com Inc.	Branded Marketing	05/12/2017	\$12,000	\$12,000	\$11,625	\$11,625	
CLEAN TRANSPORTATION	Competitive	Y	22942	Poseidon Systems, LLC	Renewable, Clean Energy and En	06/01/2011		\$1,500,000	\$417,371	\$1,008,005	
	Competitive	Y	27045	EV Connect Inc.	EV Connect, Inc. PON 2301 EVSE	03/05/2012		\$249,814		\$211,973	
	Competitive	Y	27553	Calstart	Implementation contractor for	04/17/2012		\$850,000		\$850,000	
	Competitive	Y	104260	Bond, Schoeneck & King, PLLC	RFP 3300 Outside Counsel Servi	09/27/2016	\$47,853	\$55,353	\$44,488	\$44,488	
	Discretionary	N	106384	U.S. Department of Transportation	Smart Mobility Program Support	11/07/2016	\$48,000	\$48,000	\$48,000	\$48,000	
	Discretionary	Y	93558	Emerald Alternative Energy Solutions, In	Tech. Assistance contractor fo	03/21/2016		\$11,000	\$1,000	\$4,000	
	Discretionary	Y	109141	Tully Rinckey PLLC	outside counsel services	01/18/2017	\$10,000	\$10,000			
	Non-Competitive	Y	27553	Calstart	Implementation contractor for	04/17/2012	\$300,000	\$711,643	\$196,795	\$385,414	

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	CLEAN TRANSPORTATION	Non-Competitive	Y	107657	New York Battery and Energy Storage	NYS Energy Storage Outreach	12/05/2016		\$150,000		
	CLEANER GREENER COMMUNITIES	Competitive	Y	30425	NYS Economic Development Council	EDGE Program Support Services	11/26/2012		\$574,823		\$574,821
		Competitive	Y	30426-1	CEC Stuyvesant Cove, Inc.	EDGE Territory 3	06/05/2013		\$2,707,506		\$2,702,068
		Competitive	Y	30427	NYS Economic Development Council	EDGE Territory 2	11/26/2012		\$2,133,125		\$1,994,659
		Competitive	Y	30428	NYS Economic Development Council	EDGE Territory 1	11/26/2012		\$2,482,375	\$300	\$2,351,798
		Competitive	Y	33867	Ecology and Environment Engineering, PC	CGC Implementation Contractor	07/16/2013		\$4,500,000	\$453,201	\$3,085,209
Code to Zero	Competitive	Y	123329	New Buildings Institute, Inc.	Code to Zero	02/12/2018	\$250,000	\$250,000			
	Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300	
	Discretionary	Y	123125	Laurie D Kerr	Code to Zero	02/05/2018	\$200,000	\$200,000			
COMBINED HEAT AND POWER	Competitive	N	73679	Rafferty Enterprises, Inc.	Temp Employment Services	09/30/2015		\$21,528		\$3,444	
	Competitive	Y	37368	Energy & Resource Solutions, Inc.	Technical Review and Program S	11/15/2013	\$627,300	\$1,747,948	\$446,820	\$1,097,789	
	Competitive	Y	55507	Pace University Energy & Climate Center	Logistic Support for CHP	12/23/2014		\$600,000	\$5,893	\$463,825	
	Competitive	Y	55508	Energy & Resource Solutions, Inc.	Technical and Logistic Support	12/23/2014	\$300,000	\$3,800,000	\$835,368	\$3,630,460	
	Competitive	Y	55511	CDH Energy Corporation	Technical and Logistic Support	12/23/2014		\$300,000	\$29,222	\$74,156	
	Competitive	Y	68174	CDH Energy Corporation	CHP System Inspection	07/01/2015		\$3,500,000	\$115,423	\$334,893	
	Discretionary	N	45865	Wichcraft Operating, LLC	Catering Manhattan CHP Expo	08/19/2014		\$6,497		\$6,497	
	Discretionary	N	117214	Intrepid Museum Foundation	10.18.17 CHP Customer Event	08/16/2017	\$13,900	\$13,900	\$13,900	\$13,900	
	Discretionary	N	119230	Restaurant Associates, LLC	Catering Svs. for CHP Event	10/11/2017	\$8,575	\$8,575	\$8,575	\$8,575	
	Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300	
	Discretionary	N	121638	Yale Robbins Publications, LLC	2018 Spring Cooperator Expo	12/13/2017	\$15,000	\$15,000	\$15,000	\$15,000	
	Commercial Real Estate Ten	Competitive	N	123052	NYC Climate Action Alliance Inc.	NYC Climate Alliance - CT	02/01/2018	\$50,000	\$50,000	\$4,075	\$4,075
Competitive		Y	104539	Opinion Dynamics Corporation	Opinion Dynamics Corp	10/05/2016	\$1,285,871	\$1,285,871	\$136,963	\$136,963	
Competitive		Y	104542	The Cadmus Group, Inc.	RFQ 3183 The Cadmus Group Inc	10/05/2016	\$571,362	\$996,968	\$454,417	\$495,266	
Community RH&C	Competitive	Y	117924	Willdan Energy Solutions	Staff Augmentation Services	09/06/2017	\$141,726	\$141,726			
Electric Vehicles - Innovation	Discretionary	N	113209	Color Methods	2018 Drive Clean Rebate Press	04/27/2017	\$5,192	\$5,192	\$5,192	\$5,192	
	Discretionary	N	123285	The Initiatives Group	HEVO Executive Training	02/09/2018	\$50,000	\$50,000			
	Discretionary	N	123703	Alliance to Save Energy	Electric Vehicles - Innovation	02/21/2018	\$10,000	\$10,000	\$10,000	\$10,000	
	Non-Competitive		120914	NESCAUM	ZEV Marketing Campaign	11/21/2017	\$525,000	\$525,000	\$175,000	\$175,000	
EMERGING TECHNOLOGY / ACCELERATED COMMERCIALIZATION	Competitive	Y	37379	Taitem Engineering, P.C.	Technical Review and Program S	11/15/2013		\$323,819	\$52,463	\$152,975	
	Competitive	Y	37380	CDH Energy Corporation	Technical Review and Program S	11/15/2013	\$44,390	\$911,255	\$57,716	\$458,624	
	Competitive	Y	37391	LaBella Associates, P.C.	Technical Review and Program S	11/15/2013		\$270,220	\$43,178	\$88,176	
	Competitive	Y	37404	Applied Energy Group, Inc.	Technical Review and Program S	11/15/2013		\$144,863	\$44,668	\$107,483	
EMPOWER NY	Competitive	Y	27733	Honeywell International Inc.	Program Implementation Service	05/14/2012	\$586,525	\$15,354,081	\$1,671,556	\$15,283,295	
	Competitive	Y	29513	Cornell University-Office of Sponsored P	CEPREEQ	09/28/2012		\$709,172		\$697,121	
	Competitive	Y	35659	Tech Valley Talent, LLC	RES QACSS Development	09/24/2013		\$300,000		\$297,750	
ENERGY ANALYSIS	Competitive	N	64271	Tabors Caramanis Rudkevich Inc.	Competitive Electricity Market	05/08/2015		\$576,200		\$489,357	
	Competitive	N	104272	Randstad North America, Inc.	Temp Services	09/28/2016	\$33,224	\$66,447	\$33,277	\$65,530	

NYSDERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date		
PCMT	ENERGY ANALYSIS	Competitive	Y	61564	Energy and Environmental Economics Inc.	REV Economic Framework	03/30/2015		\$440,426		\$439,010		
		Competitive	Y	96266	Energy & Resource Solutions, Inc.	Renewable Heating Cooling TA	04/19/2016	\$149,150	\$149,150	\$6,332	\$6,332		
		Competitive	Y	101125	Modern Grid Solutions	Tech Assist, REV Pool	07/15/2016	\$50,000	\$50,000	\$50,000	\$50,000		
		Competitive	Y	101128	ICF Resources, LLC	Tech Assist, REV Pool	07/15/2016	\$406,977	\$406,977	\$179,651	\$179,651		
		Discretionary	N	29899	CONEG Policy Research Center, Inc.	ENERGY ANALYSIS	10/22/2012		\$22,000				
		Discretionary	N	83751	Optimal Energy Inc.	Support for EE&RE Potential	12/15/2015		\$49,000		\$44,192		
		Discretionary	N	104461	Interaction Associates	ENERGY ANALYSIS	10/03/2016		\$19,000		\$17,914		
		Discretionary	N	108968	Interaction Associates	ENERGY ANALYSIS	01/13/2017	\$19,000	\$19,000	\$18,601	\$18,601		
		Discretionary	N	111622	IHS Global Inc.	Energy forecast subscription	03/16/2017		\$33,235	\$33,235	\$33,235		
		Discretionary	N	111878	Argus Media Inc.	Fuel price forecasting service	03/22/2017		\$35,000	\$35,000	\$35,000		
		Discretionary	N	112765	Evolved Energy Research	ENERGY ANALYSIS	04/13/2017	\$45,000	\$45,000	\$44,235	\$44,235		
		Discretionary	N	119028	Regional Economic Models, Inc	ENERGY ANALYSIS	10/03/2017	\$6,000	\$6,000	\$6,000	\$6,000		
		Discretionary	N	119091	Varun Sivaram	ENERGY ANALYSIS	10/05/2017	\$49,025	\$49,025	\$4,950	\$4,950		
		Discretionary	Y	101565	GUSTOMSC US INC.	OSW Project 4- US Vessel Study	07/26/2016		\$49,000	\$49,000	\$49,000		
		Discretionary	Y	114897	Energy & Environmental Research	EEA Project and Program Review	06/19/2017	\$25,000	\$25,000	\$2,000	\$2,000		
		Discretionary	Y	115210	Resources for the Future	ENERGY ANALYSIS	06/26/2017	\$50,000	\$50,000				
		Discretionary	Y	124423	Jeffery B. Greenblatt	EEA Project Review	03/16/2018	\$24,000	\$24,000				
		Non-Competitive	N	108297	Regional Greenhouse Gas Initiative, Inc.	ENERGY ANALYSIS	12/20/2016		\$902,790		\$865,709		
		Non-Competitive	N	111668	Yale University	ENERGY ANALYSIS	03/17/2017	\$30,000	\$30,000	\$15,000	\$15,000		
		Non-Competitive	N	122567	Regional Greenhouse Gas Initiative, Inc.	2018 RGGI Funding Agreement	01/12/2018	\$930,605	\$930,605	\$875,015	\$875,015		
		Non-Competitive	Y	33465	Arch Street Communications, Inc.	ENERGY ANALYSIS	06/25/2013		\$240,000		\$239,972		
		Non-Competitive	Y	82801	General Electric International Inc	MAPS Computer Simulation Licen	12/01/2015		\$391,500	\$130,500	\$391,500		
		Non-Competitive	Y	96262	Energy and Environmental Economics Inc.	Renewable Heating Cooling TA	04/19/2016	\$100,000	\$100,000				
		Non-Competitive	Y	115215	Resources for the Future	Macroeconomic Methods	06/27/2017	\$50,000	\$50,000				
		Environmental Research		Competitive	N	118972	BioDiversity Research Institute	BRI: TWO umbrella agreement	10/02/2017	\$186,989	\$186,989	\$61,387	\$61,387
				Competitive	Y	30191	Abt Associates Inc.	Flex Energy Tech Analysis 2	11/09/2012	\$294,318	\$670,943	\$33,789	\$400,845
Competitive	Y			30197	Energy and Environmental Economics Inc.	Flex Energy Tech Analysis 2	11/09/2012	\$190,629	\$785,129	\$193,428	\$785,129		
Competitive	Y			31648A	Cornell University	NY climate clearinghouse	06/03/2013		\$354,752	\$13,500	\$285,000		
Competitive	Y			34356	U.S. Geological Survey (Troy).	ALTM Stream Monitoring	08/07/2013		\$600,215		\$265,985		
Competitive	Y			40322	Cornell University	optimize LED for greenhouses	03/18/2014		\$249,081	\$107,776	\$246,939		
Competitive	Y			40325	Cornell University	improve EE of crop production	03/18/2014		\$83,765	\$10,666	\$47,707		
Competitive	Y			41142	New York Press & Graphics Inc.	Printing Services	04/23/2014	\$515	\$5,452	\$515	\$5,452		
Competitive	Y			57630	Syracuse University	Nutrient Dynamics in the ADKs	01/23/2015		\$40,000	\$16,009	\$25,551		
Competitive	Y			60444	Warren Pinnacle Consulting, Inc.	SLAMM adaptation	03/10/2015		\$364,318	\$98,642	\$364,295		
Competitive	Y			100415	Research Foundation of CUNY	Integrated Air Quality Monitor	06/29/2016	\$199,730	\$199,730	\$22,595	\$22,595		
Competitive	Y			100416	Research Foundation of SUNY	Combining Measurements and Mod	06/29/2016	\$382,848	\$382,848	\$79,202	\$79,202		
Competitive	Y			100417	Research Foundation of SUNY	IDEA-NYS air quality forecast	06/29/2016	\$358,781	\$358,781	\$127,206	\$127,206		

NYSDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Environmental Research	Competitive	Y	100418	ICF Jones & Stokes	NYS Energy Research and Develo	06/29/2016	\$78,000	\$346,316	\$199,397	\$237,338
		Competitive	Y	103851	SUNY - ESF	Biofiltration drainage media	09/20/2016	\$292,911	\$292,911	\$52,947	\$52,947
		Competitive	Y	103852	Electric Power Research Institute	Climate & future electric syst	09/20/2016	\$299,652	\$299,652	\$75,689	\$75,689
		Competitive	Y	103853	DNV GL Energy Services USA Inc.	Building-Resiliency Assessment	09/20/2016	\$177,965	\$177,965	\$75,488	\$75,488
		Competitive	Y	103862	The Trustees of Columbia University	Tropical Cyclone Risk Model	09/20/2016	\$299,873	\$299,873	\$50,749	\$50,749
		Competitive	Y	103918	The Trustees of Columbia University	Upstate building footprints	09/20/2016	\$292,829	\$292,829		
		Discretionary	N	102959-1	Research Foundation of SUNY	Forest Biomass	05/15/2017	\$49,982	\$49,982		
		Discretionary	N	107448	DNC Parks & Resorts at Gideon Putnam LLC	2017 NERC Biennial Conference	11/30/2016		\$15,000	\$12,731	\$12,731
		Discretionary	N	119410	Clarkson Univ., Research Div.	MAP-AQ mobile platform	10/20/2017	\$45,000	\$45,000		
		Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300
		Discretionary	N	123696	Kearns & West, Inc.	ETWG meeting prep & support	02/21/2018	\$49,940	\$49,940		
		Discretionary	Y	31577	Richard E. Gibbs, Ph.D., P.E.	env program science advisor	03/15/2013		\$35,000	\$2,250	\$9,811
		Discretionary	Y	31663	John E. Batey	science advisor support env	03/21/2013		\$35,000		\$11,475
		Discretionary	Y	103081	Praveen Amar	Environmental Research Science	09/13/2016		\$40,000	\$7,516	\$7,516
		Discretionary	Y	104107	Watershed Assessment Associates, LLC	Aquatic Macroinvertebrate ID	09/22/2016		\$24,000	\$3,346	\$3,346
Discretionary	Y	108229	SUNY - ESF	Stat. Assess. Loon Monitoring	12/19/2016		\$49,962	\$49,962	\$49,962		
EVALUATION		Competitive	N	105173	Randstad North America, Inc.	Temp Services	10/19/2016	\$148,500	\$207,000	\$104,391	\$154,952
		Competitive	N	117519	Randstad North America, Inc.	Temp Services	08/24/2017	\$40,000	\$40,000	\$37,381	\$37,381
		Competitive	N	117922	Carahsoft Technology Corporation	EVALUATION	09/06/2017	\$34,000	\$34,000	\$34,000	\$34,000
		Competitive	Y	21611	NMR Group, Inc.	General Eval Assis. Contractor	01/14/2011		\$1,599,850		\$1,135,253
		Competitive	Y	22600	ICF Resources, LLC	Eco. & Env. Impact Evaluation	05/02/2011		\$869,394		\$674,571
		Competitive	Y	26276	Research Into Action, Inc.	Process and Market Evaluation	01/24/2012		\$11,500,000		\$5,441,845
		Competitive	Y	26397	Energy & Resource Solutions, Inc.	Impact Evaluation Contractor	01/31/2012		\$22,921,454	\$46,521	\$14,202,772
		Competitive	Y	32883	Industrial Economics, Incorporated	Evaluation Contractor for T&MD	05/28/2013		\$8,850,000	\$340,423	\$5,265,722
		Competitive	Y	43672	Opinion Dynamics Corporation	Commercial Statewide Baseline	07/02/2014		\$5,741,667	\$424,230	\$773,149
		Competitive	Y	104531	ADM Associates, Inc.,	ADM Associates Inc. TWO	10/05/2016	\$230,000	\$230,000	\$106,078	\$106,078
		Competitive	Y	104536	KEMA Incorporated	RFQ 3183 KEMA, Inc	10/05/2016	\$300,000	\$300,000	\$212,235	\$212,235
		Competitive	Y	104543	Energy & Resource Solutions, Inc.	Energy & Resource Sol. TWO	10/05/2016	\$920,000	\$920,000	\$391,468	\$391,468
		Competitive	Y	ST11015	TecMarket Works	EVALUATION	10/28/2009		\$2,500,000		\$1,500,703
		Discretionary	N	98513	Northeast Energy Efficiency Partnerships	ASHP Market Research	05/16/2016		\$50,000	\$31,420	\$49,740
		Discretionary	N	100696	Apprise, Incorporated	Market Characterization Resear	07/08/2016		\$50,000	\$11,052	\$50,000
		Discretionary	N	105853	Open Energy Efficiency Inc.	Testing Open EE Meter	10/28/2016	\$12,600	\$50,000	\$12,625	\$50,000
		Discretionary	N	108968	Interaction Associates	EVALUATION	01/13/2017	\$19,000	\$19,000	\$18,601	\$18,601
		Discretionary	N	113167	International Energy Program	IEPEC Conference Sponsorship	04/26/2017	\$5,000	\$5,000	\$5,000	\$5,000
		Discretionary	Y	107298	Dodge Data & Analytics	Dodge Data	11/28/2016		\$41,425	\$13,808	\$27,617
		Non-Competitive	N	104270	D & R International Ltd	EVALUATION	09/28/2016		\$84,400	\$20,600	\$63,800
		Non-Competitive	Y	16054	Northeast Energy Efficiency Partnerships	Evaluation, Measurement and Ve	07/28/2009		\$2,348,156		\$2,293,082

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	EVALUATION	Non-Competitive	Y	102013	Infogroup Government Division	Infogroup	08/05/2016		\$500,000	\$100,000	\$200,000
		Non-Competitive	Y	ST11015	TecMarket Works	EVALUATION	10/28/2009		\$375,000		\$375,000
EXISTING FACILITIES		Competitive	Y	18608	Eric Mower and Associates	RFQL and RFP 1224	04/05/2010		\$18,292,295		\$17,951,246
		Competitive	Y	30235	Willdan Energy Solutions	C&I Outreach	11/09/2012		\$11,873,283	\$458,736	\$10,486,466
		Competitive	Y	30238	Luthin Associates, Inc.	C&I Buildings Outreach	11/09/2012		\$7,836,261	\$155,847	\$7,820,628
		Competitive	Y	37347	Willdan Energy Solutions	Technical Review and Program S	11/15/2013		\$2,595,149	\$433,844	\$2,342,493
		Competitive	Y	37371	CDM Smith, Inc.	Technical Review and Program S	11/15/2013		\$15,016		\$13,899
		Competitive	Y	37373	EME Consulting Engineering Group, LLC	Tech Review and Program Staff	11/15/2013		\$493,580	\$55,411	\$454,071
		Competitive	Y	37381	Vermont Energy Investment Corporation	Technical Review and Program S	11/15/2013	\$39,203	\$94,180	\$29,777	\$67,930
		Competitive	Y	37384	Source One	Technical Review and Program S	11/15/2013		\$107,020		\$86,640
		Competitive	Y	37385	Versar, Inc	Technical Review and Program S	11/15/2013		\$21,299	\$2,610	\$13,248
		Competitive	Y	37392	Aramark Management Srvc Ltd Prtnshp	Technical Review and Program S	11/15/2013		\$104,046		\$70,831
		Competitive	Y	37393	ICF Resources, LLC	Technical Review and Program S	11/15/2013	\$15,948	\$149,072	\$20,706	\$107,157
		Competitive	Y	37403	C.T. Male Associates Engineering,	Technical Review and Program S	11/15/2013	\$2,225	\$64,335	\$93	\$57,570
		Discretionary	N	34225	Emaxx Systems, Inc.	EXISTING FACILITIES	07/30/2013		\$30,000		\$3,000
		FLEXIBLE TECHNICAL ASSISTANCE		Competitive	Y	37376	L&S Energy Services, Inc.	Technical Review and Program S	11/15/2013	\$44,539	\$1,317,109
Competitive	Y			37382	TRC Energy Services	Technical Review and Program S	11/15/2013	\$119,750	\$1,841,872	\$360,778	\$1,775,135
Competitive	Y			37398	Nexant, Incorporated	Technical Review and Program S	11/15/2013		\$1,424,111	\$47,694	\$1,252,908
Competitive	Y			37401	Bergmann Associates	Technical Review and Program S	11/15/2013		\$784,096	\$131,779	\$728,296
Competitive	Y			37402	Steven Winter Associates, Inc.	Technical Review and Program S	11/15/2013		\$228,574		
Non-Competitive	Y			24057	NYC Department of Environmental Protect	NYC Mayoral Challenge	09/16/2011		\$323,000		\$250,074
FUEL NY		Competitive	N	48593	Buckeye Terminals, LLC	Upstate NY Strategic Fuels Res	09/25/2014		\$1,400,000		\$1,400,000
		Competitive	Y	37366	Arcadis U.S., Inc.	Tech Review & Program Staff	11/15/2013	\$551,910	\$12,851,046	\$1,568,381	\$7,823,294
		Competitive	Y	39731	Gamer Environmental Services, Inc.	Fuel NY Portable Emergency Gen	02/25/2014		\$51,000,000	\$6,750	\$907,767
		Discretionary	N	112177	IHS Global Inc.	Renew OPIS Rack Price Subscrip	03/29/2017	\$7,728	\$7,728	\$7,728	\$7,728
		Non-Competitive	N	48593	Buckeye Terminals, LLC	Upstate NY Strategic Fuels Res	09/25/2014	\$1,303,000	\$4,002,000	\$1,339,038	\$3,108,412
Geothermal Incentive Program		Competitive	Y	117924	Willdan Energy Solutions	Staff Augmentation Services	09/06/2017	\$141,726	\$141,726		
		Discretionary	N	117207	Theron Jay Egg, Jr.	GSHP Training Series 2017	08/16/2017	\$25,600	\$25,600	\$23,780	\$23,780
		Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300
GJGNY REVOLVING LOAN FUND		Competitive	N	18847	Lamont Financial Services Corporation	GJGNY REVOLVING LOAN FUND	04/29/2010		\$76,000		\$76,000
		Competitive	N	20822	Concord Servicing Corporation	GJGNY REVOLVING LOAN FUND	10/07/2010		\$606,000	\$3,423	\$34,412
		Competitive	Y	34252	Frontier Abstract and Research Services	Title Company in Support of On	08/01/2013		\$349,999	(\$6,234)	\$331,332
		Competitive	Y	34253	Advantage Title Agency, Inc.	Title Company in Support of On	08/01/2013		\$349,999		\$332,396
		Competitive	Y	34254	Stewart Title Insurance Company	Title Company in Support of On	08/01/2013		\$349,999	\$22	\$332,010
		Competitive	Y	36976	Adirondack North Country Association	Green Jobs Green New York Outr	11/06/2013	\$5,860	\$313,383	\$45,525	\$307,523
		Competitive	Y	36977	El Puente	Green Jobs Green New York Outr	11/06/2013		\$363,555	\$61,625	\$360,890
		Competitive	Y	36978	Pathstone Corporation	Green Jobs Green New York	11/06/2013	\$14,500	\$646,140	\$68,864	\$632,728

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PCMT	GJGNY REVOLVING LOAN FUND	Competitive	Y	36979	Neighborhood Housing Services of Jamaica	Green Jobs Green New York Outr	11/06/2013		\$662,179	\$110,941	\$610,638
		Competitive	Y	36980	Public Policy and Education Fund of NY	Green Jobs Green New York Outr	11/06/2013		\$361,474	\$43,666	\$361,474
		Competitive	Y	36981	Affordable Housing Partnership	Green Jobs Green New York Outr	11/06/2013		\$494,117	\$62,482	\$488,204
		Competitive	Y	36982	Rural Ulster Preservation Company	Green Jobs Green New York Outr	11/06/2013	\$112,000	\$1,172,346	\$192,742	\$1,172,346
		Competitive	Y	36983	Sustainable South Bronx Inc.	Green Jobs Green New York Outr	11/06/2013		\$349,766	\$56,479	\$344,247
		Competitive	Y	36984	Neighborhood Housing Services Staten Isl	Green Jobs Green New York Outr	11/06/2013		\$229,351	\$52,485	\$229,351
		Competitive	Y	36985	People United for Sustainable Housing In	Green Jobs Green New York Outr	11/06/2013		\$797,891	\$35,584	\$703,459
		Competitive	Y	36986	Long Island Progressive Coalition	GJGNY Outreach CBO	11/06/2013		\$1,389,985	\$169,353	\$1,336,541
		Competitive	Y	37334A	CLEAResult Consulting, Inc.	GJGNY TIC	07/28/2015		\$678,554	\$108,632	\$673,035
		Competitive	Y	60713	Hawkins Delafield & Wood LLC	Bond Counsel Services	03/13/2015	\$60,000	\$60,000	\$57,301	\$57,301
		Competitive	Y	60714A	Dentons US LLP	Bond Counsel Services	09/29/2015		\$26,000		\$25,834
		Competitive	Y	105451	Omnicap Group, LLC	Financial Advisory Services	10/21/2016	\$46,000	\$500,000	\$101,329	\$147,579
		Non-Competitive	N	18847	Lamont Financial Services Corporation	GJGNY REVOLVING LOAN FUND	04/29/2010		\$561,913		\$502,825
		Non-Competitive	N	113648	Kroll Bond Rating Agency, Inc.	GJGNY REVOLVING LOAN FUND	05/10/2017	\$65,000	\$65,000		
		Non-Competitive	Y	34250	First Associates Loan Servicing, LLC	GJGNY REVOLVING LOAN FUND	07/31/2013	\$398,200	\$564,700	\$56,296	\$171,124
		Non-Competitive	Y	68533	Bank of New York	\$3000 annual fee for 14 yrs	07/08/2015		\$57,000	\$4,000	\$16,000
		Non-Competitive	Y	71389	Bank of New York	EFC REEF 2015 FEE 2015	08/19/2015		\$62,000	\$3,000	\$11,000
		Non-Competitive	Y	104094	Bank of New York	GJGNY 2016 Bonds Trustee	09/21/2016		\$62,000	\$3,000	\$11,000
GREENING THE BRONX	Discretionary	N	100963	L&S Energy Services, Inc.	GTB- Evaluation	07/13/2016		\$42,814			
Home Perf w Energy Star	Competitive	N	123698	Randstad North America, Inc.	Home Perf w Energy Star	02/21/2018	\$100,000	\$100,000	\$4,774	\$4,774	
	Competitive	Y	116844	CLEAResult Consulting, Inc.	Technical Support SFR	08/09/2017	\$5,060,612	\$5,060,612	\$783,053	\$783,053	
	Discretionary	N	113210	Affordable Comfort, Inc.	2018 NY Regional Home Perf Con	04/27/2017	\$50,000	\$50,000			
	Discretionary	N	113210-1	National Home Performance Council	2018 NY Regional Home Perf Con	05/24/2017	\$50,000	\$50,000	\$50,000	\$50,000	
	Discretionary	N	115076	MCCK Syracuse Hotel No 2 LP	2017 NYREH Training - Syracuse	06/21/2017	\$6,000	\$6,000	\$5,408	\$5,408	
	Discretionary	N	115359	Roedel Partners of Mt. Kisco, LLC	2017 NYREH Training-Mt. Kisco	06/29/2017	\$6,000	\$6,000	\$4,782	\$4,782	
	Non-Competitive	N	27735A	CLEAResult Consulting, Inc.	Program Implementation Service	07/22/2015	\$2,148,233	\$2,148,233	\$2,035,185	\$2,035,185	
	Non-Competitive	Y	53820	EnergySavvy Inc	HPWES Program Mgmt Portal	12/03/2014	\$713,838	\$2,695,824	\$1,033,765	\$2,630,389	
HOME PERFORMANCE WITH ENERGY STAR	Discretionary	Y	40616	BPCA NYS Inc.	BPCA Round Table Meetings Rd 3	03/31/2014		\$49,500	\$3,521	\$49,169	
	Discretionary	Y	42000	Efficiency First New York, Inc.	Efficiency First CIWG Round 2	05/23/2014		\$33,000	\$9,000	\$33,000	
Industrial Process Effic	Competitive	Y	37378	Antares Group, Inc.	Technical Review and Program S	11/15/2013		\$22,380	\$9,744	\$21,049	
	Competitive	Y	104557	Michaels Energy, Inc.	RFQ 3183 Michaels Energy	10/06/2016		\$300,000	\$145,317	\$145,317	
INDUSTRIAL PROCESS EFFICIENCY	Competitive	Y	20975A	TRC Energy Services	Industrial Process Efficiency	05/22/2014		\$241,339	\$6,276	\$83,710	
	Competitive	Y	30236	Energy & Resource Solutions, Inc.	Commercial & Industrial Outrea	11/09/2012		\$2,244,486	\$362,384	\$2,244,481	
	Competitive	Y	30237	CHA Consulting Inc.	Commercial & Industrial Outrea	11/09/2012		\$4,024,437	\$667,658	\$3,942,351	
	Competitive	Y	37383	CHA Consulting Inc.	Technical Review and Program S	11/15/2013	\$275,828	\$3,119,158	\$259,956	\$2,875,524	
	Competitive	Y	37387	M/E Engineering, P.C.	Technical Review and Program S	11/15/2013		\$112,320	\$11,265	\$101,813	
INNOVATION CAPACITY & BUSINESS	Competitive	Y	27592	Frank Communications	Copywriting and Technical Edit	04/23/2012		\$101,501		\$98,080	

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PCMT	INNOVATION CAPACITY & BUSINESS DEVELOPMENT	Competitive	Y	39066	Nixon Peabody LLP	Green Bank Outside Legal Serv.	01/21/2014	\$28,439	\$547,321	\$28,439	\$266,044
		Competitive	Y	57387	Research Foundation of SUNY	EFRC co-funding	01/21/2015		\$391,000	\$194,540	\$194,540
		Competitive	Y	59723	The Research Foundation of SUNY at Bing	EFRC co-funding	02/26/2015		\$199,250	\$199,250	\$199,250
		Discretionary	N	36460	Clean Power Research	Consut on NY SUN Block Program	10/24/2013		\$50,000		\$49,012
LARGE SCALE RENEWABLES	Competitive	Y	104262	Holland & Knight LLP	RFP 3300 & RFP 3776 Outside Co	09/27/2016	\$74,539	\$391,125	\$26,887	\$269,083	
Low Rise New Construction		Discretionary	N	114284	New York Passive House Inc.	NYPH 2017 Conference & Expo	05/30/2017	\$8,500	\$8,500	\$8,500	\$8,500
		Non-Competitive	Y	28876A	CLEAResult Consulting, Inc.	Implementation and Support con	08/04/2015	\$50,000	\$650,000	\$588,685	\$588,685
Low-Income Forum on Energy		Competitive	Y	116343	TRC Energy Services	LIFE Implementation Services	07/26/2017	\$173,089	\$173,089	\$31,961	\$31,961
		Discretionary		120836	SMG Partners	LIFE 2018 Statewide Conference	11/20/2017	\$5,850	\$5,850		
		Discretionary		120913	Color Methods	LIFE 2018 Conference - CMI AV	11/21/2017	\$10,000	\$10,000		
		Discretionary	N	109967	Blue Pearl Hospitality LLC	2017 LIFE Regional Mtg. - LI	02/08/2017		\$9,000	\$8,253	\$8,253
		Discretionary	N	110642	Gove Group, Inc.	LIFE 2017 Reg Mtg Reg Services	02/23/2017		\$19,886	\$8,057	\$8,057
		Discretionary	N	111879	DNC Parks & Resorts at Gideon Putnam LLC	2017 LIFE Reg. Mtg.- Saratoga	03/22/2017		\$7,000	\$5,607	\$5,607
		Discretionary	N	123980	D C Hotels Two, LLC	2018 LIFE Hotel - Holiday Inn	02/28/2018	\$8,330	\$8,330		
M-Corps	Discretionary	N	119401	Chloe Capital SPV Manager LLC	2017 Chloe Capital Accelerator	10/19/2017	\$8,000	\$8,000	\$8,000	\$8,000	
MARKET PATHWAYS		Competitive	Y	27892A	Lockheed Martin Corporation	Products Program	02/17/2016		\$622,782	\$53,579	\$225,976
		Discretionary	N	86068	CoStar Realty Information, Inc.	Research Subscription	12/30/2015		\$21,740		\$16,800
		Discretionary	Y	40415	Rensselaer Polytechnic Institute-LRC	Outdoor Lighting Clearinghouse	03/21/2014		\$50,000	\$14,013	\$29,213
		Non-Competitive	Y	29269	Rensselaer Polytechnic Institute-LRC	LRC Partners Program	09/17/2012		\$240,000		\$240,000
		Non-Competitive	Y	40553	Energy Improvement Corporation	Enabling CPACE in NYS	03/28/2014		\$1,082,494	\$36,414	\$971,150
Mkt Char: Tech Assist		Competitive	Y	101127	Energy and Environmental Economics Inc.	Tech Assist, REV Pool.	07/15/2016	\$2,225,141	\$2,505,141	\$1,436,488	\$1,635,734
		Competitive	Y	101161	National Economic Research	Tech Assist, REV Pool	07/15/2016	\$487,000	\$487,000	\$271,466	\$271,466
		Discretionary	N	122097	Van Nostrand Energy & Environmental	Policy Development Services	12/27/2017	\$200,000	\$200,000	\$22,896	\$22,896
		Discretionary	Y	101127	Energy and Environmental Economics Inc.	Tech Assist, REV Pool.	07/15/2016	\$50,000	\$50,000	\$6,737	\$6,737
		Discretionary	Y	119092	Analysis Group, Inc.	Market Functions of DSP	10/05/2017	\$50,000	\$50,000	\$17,000	\$17,000
Multifam New Construction		Discretionary	N	114284	New York Passive House Inc.	NYPH 2017 Conference & Expo	05/30/2017	\$8,500	\$8,500	\$8,500	\$8,500
		Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300
Multifam Performance Pgm		Competitive	N	123698	Randstad North America, Inc.	Multifam Performance Pgm	02/21/2018	\$100,000	\$100,000	\$4,774	\$4,774
		Discretionary	N	111674	455 Hospitality LLC	2017 Multifamily Summit	03/19/2017		\$50,000	\$36,654	\$36,654
		Discretionary	N	114284	New York Passive House Inc.	NYPH 2017 Conference & Expo	05/30/2017	\$8,500	\$8,500	\$8,500	\$8,500
		Discretionary	N	118162	NESEA	2017 sponsorship BuildingNYC	09/13/2017	\$16,000	\$16,000	\$16,000	\$16,000
		Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300
		Discretionary	N	123506	Syracuse University	2018 Multifamily Summit	02/16/2018	\$50,000	\$50,000		
MULTIFAMILY PERFORMANCE PROGRAM		Competitive	Y	18602	Brand/Cool Marketing	RFQL and RFP 1224	04/05/2010		\$21,604,668		\$20,416,768
		Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	09/17/2012		\$11,730,970	\$537,708	\$11,730,970
		Competitive	Y	29226	Taitem Engineering, P.C.	MEPP Quality Assurance Contrac	09/17/2012		\$2,539,539	\$186,663	\$2,425,762
		Competitive	Y	41140	Benchmark Printing, Inc.	Printing Services	04/23/2014		\$22,124		\$19,454

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PCMT	MULTIFAMILY PERFORMANCE PROGRAM	Competitive	Y	ST9628	TRC Engineers, Inc.	MULTIFAMILY PERFORMANCE PROGRAM	11/24/2009		\$10,890,225		\$10,835,623
		Competitive	Y	ST9941	Taitem Engineering, P.C.	MULTIFAMILY PERFORMANCE PROGRAM	06/02/2009		\$1,218,445	\$113,504	\$821,499
		Discretionary	N	70406	Green Light New York, Inc.	Mktg Consultant for MEPP	08/03/2015		\$50,000	\$8,006	\$50,000
		Discretionary	Y	91807	Robison Energy LLC	Oil Monitoring Pilot	02/29/2016		\$50,000	\$972	\$39,966
		Non-Competitive	Y	24057	NYC Department of Environmental Protect	NYC Mayoral Challenge	09/16/2011		\$323,000		\$250,074
		Non-Competitive	Y	28551	Documentation Strategies, Inc.	Multifamily IT Support	07/18/2012		\$438,000		\$435,804
		Non-Competitive	Y	29222	TRC Energy Services	MF Energy Perf Portfolio Imple	09/17/2012	\$2,694,017	\$2,694,017	\$2,007,114	\$2,007,114
NEW CONSTRUCTION PROGRAM		Competitive	Y	20140	Kallen & Lemelson Consulting Engineers,	Technical Assistance Services	07/06/2010		\$145,416	\$859	\$114,317
		Competitive	Y	30183	Sustainable Performance Consulting, Inc.	Outrch and Pjct Consulting NCP	11/08/2012		\$14,488,984	\$727,952	\$14,269,184
		Competitive	Y	79701	Erdman Anthony & Associates, Inc.	EAA - EMP	11/02/2015		\$39,462	\$21,052	\$39,462
		Competitive	Y	80550	C.J. Brown Energy, P.C.	CJ Brown - EMP	11/11/2015		\$23,571		\$18,700
		Competitive	Y	83741	Atelier Ten	ATEN - EMP	12/14/2015		\$53,145	\$27,514	\$44,890
		Competitive	Y	96883	Thornton Tomasetti, Inc.	Thornton Tomasetti-EMP	04/22/2016		\$13,995	\$2,568	\$13,995
		Competitive	Y	ST10131	Energy & Resource Solutions, Inc.	NCP TA Contract - ST10131	04/04/2009		\$741,170	\$0	\$446,067
		Competitive	Y	ST10133	Ambrosino, DePinto & Schmieder Consultin	NCP TA Contract - ST10133	04/04/2009		\$735,075		\$705,945
		Competitive	Y	ST10135	Community Environmental Center, Inc.	NCP TA Contract - ST10135	04/04/2009		\$830,312	\$0	\$551,564
		Competitive	Y	ST10140	Novus Engineering, P.C.	NCP TA Contract - ST10140	04/04/2009		\$449,300		\$295,368
New Construction-Commercial	Competitive	Y	117928	Allegis Group Holdings Inc	Staff Augmentation Services	09/06/2017	\$26,650	\$26,650			
New Construction- Housing	Discretionary	Y	123125	Laurie D Kerr	New Construction- Housing	02/05/2018	\$200,000	\$200,000			
NEW YORK ENERGY STAR HOMES	Competitive	Y	28876A	CLEAResult Consulting, Inc.	Implementation and Support con	08/04/2015		\$1,726,782	\$48,642	\$1,726,782	
	Non-Competitive	Y	28876A	CLEAResult Consulting, Inc.	Implementation and Support con	08/04/2015	\$50,000	\$650,000	\$588,685	\$588,685	
NEW YORK GREEN BANK	Competitive	N	99720	DealCloud Inc.	DealCloud Implementation	06/13/2016		\$12,500		\$9,200	
	Competitive	N	104422	Randstad North America, Inc.	Temp Services	09/28/2016	\$16,284	\$61,514	\$18,722	\$61,514	
	Competitive	N	108086	Randstad North America, Inc.	Temporary Services	12/15/2016	\$8,364	\$65,577	\$41,811	\$65,577	
	Competitive	N	112666	Randstad North America, Inc.	Temp Services	04/11/2017	\$182,689	\$182,689	\$142,277	\$142,277	
	Competitive	N	114694	Randstad North America, Inc.	Temporary Services	06/09/2017	\$147,000	\$147,000	\$123,683	\$123,683	
	Competitive	N	114696	Randstad North America, Inc.	Temporary Services	06/09/2017	\$186,000	\$186,000	\$135,881	\$135,881	
	Competitive	N	114697	Randstad North America, Inc.	Temporary Services	06/09/2017	\$95,000	\$95,000	\$71,202	\$71,202	
	Competitive	N	116698	Randstad North America, Inc.	temporary services	08/04/2017	\$25,000	\$25,000	\$14,667	\$14,667	
	Competitive	N	116699	Randstad North America, Inc.	Temporary Services	08/04/2017	\$95,000	\$95,000	\$63,656	\$63,656	
	Competitive	N	116700	Randstad North America, Inc.	Temporary Services	08/04/2017	\$127,450	\$127,450	\$83,322	\$83,322	
	Competitive	N	121499	Randstad North America, Inc.	NEW YORK GREEN BANK	12/08/2017	\$50,000	\$50,000	\$25,195	\$25,195	
	Competitive	Y	39067	Holland & Knight LLP	Green Bank Outside Legal Serv.	01/21/2014	\$183,576	\$528,264	\$183,576	\$493,035	
	Competitive	Y	39068	Skadden, Arps, Slate, Meagher & Flom LL	Green Bank Outside Legal Couns	01/21/2014	\$60,000	\$305,850	\$60,000	\$296,216	
	Competitive	Y	39069	Loeb & Loeb LLP	Green Bank Outside Legal Couns	01/21/2014	\$20,000	\$344,394	\$20,000	\$344,394	
	Competitive	Y	39072	Clifford Chance US LLP	Green Bank Outside Legal Serv.	01/21/2014		\$36,000		\$26,038	
Competitive	Y	46963	Navigant Consulting Inc.	Outside Technical and Eng. Srv	09/05/2014	\$49,799	\$200,813	\$89,307	\$89,307		

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid This Period	Amt Paid to Date
PCMT	NEW YORK GREEN BANK	Competitive	Y	98838	DealCloud Inc.	DealCloud Licensing	05/24/2016		\$212,500	\$65,673	\$111,705
		Competitive	Y	98839	SS&C Technologies, Inc.	Fund Administration/Loan Inves	05/24/2016		\$3,000,000	\$349,022	\$427,022
		Competitive	Y	109917	BDO USA LLP	Background Investigation Servi	02/07/2017	\$9,350	\$9,350	\$9,350	\$9,350
		Competitive	Y	114700	Randstad North America, Inc.	Temporary Services	06/09/2017	\$162,500	\$162,500	\$152,194	\$152,194
		Competitive	Y	120699	Randstad North America, Inc.	Temp Services	11/15/2017	\$73,500	\$73,500	\$52,816	\$52,816
		Discretionary	N	93592	James McCrea & Associates, LLC	NYGB Solar Energy RFP consulti	03/23/2016		\$50,000	\$3,800	\$34,576
		Discretionary	N	94004	Stewart Energy Consultants, LLC	NYGB Solar Energy RFP consulti	03/28/2016		\$50,000	\$3,800	\$48,774
		Discretionary	N	94360	Anne Compton Savage	Metrics, Reporting & Evaluatio	03/30/2016		\$50,000		\$6,994
		Discretionary	N	100959	Essense Partners Inc.	Marketing materials for NYGB	07/13/2016		\$50,000	\$16,398	\$49,948
		Discretionary	N	102573	Chadbourne & Parke LLP	Investec/Sunrun Legal Fees	08/17/2016	\$1,566	\$16,566	\$1,566	\$16,566
		Discretionary	N	102580	Sharon Sherrard	Fund Admin Implementation	08/17/2016		\$50,000	\$4,399	\$49,980
		Discretionary	N	106599	William J Dombrowski	Revision of NYGB's RFP	11/10/2016		\$50,000		\$19,625
		Discretionary	N	108973	Robert O Gurman	Gurman Contract Consulting	01/17/2017		\$50,000	\$18,313	\$27,500
		Discretionary	N	109655	Barry Frohlinger	Credit Training for NYGB Staff	02/01/2017		\$27,500	\$20,625	\$27,500
		Discretionary	N	113879	Integration Strategy, Inc.	Strategy Material Development	05/19/2017	\$24,050	\$24,050	\$24,050	\$24,050
		Discretionary	N	115878	Pedro Barretto Leite de Barros	Pedro Barros - Strategy	07/14/2017	\$50,000	\$50,000	\$17,900	\$17,900
		Discretionary	N	120012	Sunset Green Home LLC	Third-Party Capital Consulting	11/01/2017	\$200,000	\$200,000	\$34,781	\$34,781
		Discretionary	N	122814	Caroprese & Company	Forecast Model Development	01/23/2018	\$50,000	\$50,000	\$13,500	\$13,500
		Discretionary	Y	87399	James McCrea & Associates, LLC	James McCrea & Associates LLC	01/20/2016		\$50,000		\$450
		Discretionary	Y	115075	Essense Partners Inc.	Material development for NYGB	06/21/2017	\$150,000	\$150,000	\$21,828	\$21,828
Discretionary	Y	118457	Adviser Compliance Associates, LLC	ACA Compliance Assessment	09/26/2017	\$49,000	\$49,000	\$24,500	\$24,500		
NextGen HVAC	Discretionary	N	110961	Evoworld	NextGen HVAC	03/02/2017	\$48,089	\$48,089			
NY-SUN	Competitive	N	61712	Rafferty Enterprises, Inc.	Temp Servies	04/01/2015		\$44,154		\$43,564	
	Competitive	N	79049	Rafferty Enterprises, Inc.	Temp Services	10/28/2015		\$38,304		\$37,737	
	Competitive	N	110026	Randstad North America, Inc.	Temporary Services	02/09/2017	\$50,000	\$99,803	\$77,171	\$89,640	
	Competitive	N	110029	Employee Leasing of Greater NY	Temporary Services	02/10/2017		\$59,543	\$40,281	\$55,304	
	Competitive	N	112887	Randstad North America, Inc.	temp services	04/18/2017	\$137,777	\$137,777	\$97,290	\$97,290	
	Competitive	N	116701	Randstad North America, Inc.	Temporary Services	08/04/2017	\$114,500	\$114,500	\$70,521	\$70,521	
	Competitive	Y	24890	The Cadmus Group, Inc.	Quality Assurance Services for	10/27/2011		\$5,316,857	\$652,138	\$5,312,717	
	Competitive	Y	29199	The Cadmus Group, Inc.	RPS-CST Quality Assurance/Qual	09/17/2012		\$499,625		\$180,750	
	Competitive	Y	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	11/03/2016	\$2,935,381	\$7,615,132	\$1,485,666	\$1,577,511	
	Competitive	Y	117927	EME Consulting Engineering Group, LLC	Staff Augmentation Services	09/06/2017	\$1,483,350	\$1,483,350			
	Discretionary	N	36460	Clean Power Research	Consut on NY SUN Block Program	10/24/2013		\$50,000		\$49,012	
	Discretionary	N	114586	Sustainable CUNY CUNY/RF	2017 NY Solar Summit sponsor	06/07/2017	\$10,000	\$10,000	\$10,000	\$10,000	
	Discretionary	N	120744	Greentech Media Inc.	Subscription - Greentech Media	11/17/2017	\$25,000	\$25,000	\$25,000	\$25,000	
Discretionary	N	124416	Solar Promotion International GmbH	2018 Intersolar	03/14/2018	\$20,000	\$20,000				
NYSERDA ADMINISTRATION	Competitive		98193	Xerox Corp	NYSERDA ADMINISTRATION	05/11/2016		\$14,674		\$14,022	

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Competitive		112175	SHI, Inc.	Licensing for Adobe Creative C	03/29/2017	\$9,848	\$9,848	\$9,848	\$9,848
		Competitive	N	22675	NYS Office For Technology	NYSERDA ADMINISTRATION	05/11/2011		\$182,790		\$159,124
		Competitive	N	30994	mindSHIFT Technologies, Inc.	Hosting Cloud Solutions	01/24/2013		\$1,239,929	\$48,957	\$1,235,659
		Competitive	N	31421A	Lockheed Martin Corporation	Hotline & Fulfillment 2016	01/27/2016		\$189,999		\$171,196
		Competitive	N	35348	Gardiner & Theobald Inc	NYSERDA ADMINISTRATION	09/12/2013		\$138,000		\$79,136
		Competitive	N	36966	Time Warner Cable	NYC WAN and Internet Circuits	11/05/2013		\$317,086	\$56,472	\$154,813
		Competitive	N	43051	Xerox Corp	NYSERDA ADMINISTRATION	06/17/2014		\$19,071	\$391	\$19,067
		Competitive	N	50809	Sierra-Cedar, Inc.	Consulting Services for 9.2	10/28/2014		\$1,492,080		\$1,492,080
		Competitive	N	61208	Rafferty Enterprises, Inc.	NYSERDA ADMINISTRATION	03/24/2015		\$23,184		\$10,980
		Competitive	N	69111	Rafferty Enterprises, Inc.	Temp Services	07/15/2015		\$37,674		\$29,004
		Competitive	N	69931	Amphora Consulting	Strategic Program Dev Training	07/28/2015		\$500,000		\$500,000
		Competitive	N	71187	GCOM Software LLC	Salesforce Implementation	08/13/2015	\$577,948	\$4,582,549	\$1,550,230	\$4,475,181
		Competitive	N	72231	Rafferty Enterprises, Inc.	Temp Services NYC Office	09/03/2015		\$40,769		\$25,121
		Competitive	N	78206	Rafferty Enterprises, Inc.	Temp Servies	10/16/2015		\$20,183		\$19,770
		Competitive	N	82152	Rafferty Enterprises, Inc.	Temp Employee Services	11/30/2015		\$59,000		\$58,968
		Competitive	N	82804	Rafferty Enterprises, Inc.	Temp Employees Services	12/02/2015		\$82,500		\$81,954
		Competitive	N	85027	Unique Comp Inc.	I.T. Staff Augmentation	12/18/2015	\$2,174,090	\$3,812,955	\$1,403,043	\$1,903,826
		Competitive	N	85029	GCOM Software LLC	Staff Augmentation	12/18/2015	\$637,650	\$1,275,301	\$561,363	\$778,175
		Competitive	N	86708	Verizon Wireless	Tablet upgrade - signout pool	01/14/2016		\$6,462		\$6,162
		Competitive	N	96886	Network Experts of New York, Inc.	PeopleSoft Administrator/DBA	04/22/2016	\$351,900	\$656,275	\$382,389	\$525,158
		Competitive	N	96887	Network Experts of New York, Inc.	Peoplesoft Development	04/22/2016	\$24,440	\$24,440		
		Competitive	N	98512	NYS Office of General Services	2016-2019 Microsoft ELA 3 year	05/16/2016		\$142,080		\$44,645
		Competitive	N	102977	Xerox Corp	Xerox Maintenance Agreement	08/30/2016		\$67,200	\$46,851	\$46,851
		Competitive	N	105734	Carahsoft Technology Corporation	Renewal: Docusign Licensing	10/25/2016		\$49,400	\$49,400	\$49,400
		Competitive	N	106682	nrastructure	nFrastructure-NE-Architect	11/15/2016		\$38,205	\$1,920	\$38,205
		Competitive	N	107053	Randstad North America, Inc.	Temp Services	11/21/2016	\$55,263	\$110,526	\$39,534	\$75,668
		Competitive	N	108881	Randstad North America, Inc.	Temporary Services	01/10/2017		\$48,750	\$8,825	\$29,348
		Competitive	N	109508	Direct Packet Inc.	Direct Response 2017	01/30/2017		\$5,055		
		Competitive	N	110025	Randstad North America, Inc.	Temporary Services	02/09/2017		\$31,444	\$22,451	\$30,521
		Competitive	N	110336	Direct Packet Inc.	Video Conf. upgrade WV, Buff.	02/16/2017		\$15,892	\$15,892	\$15,892
		Competitive	N	110542	Infosys International Inc	RFP3365 Private Cloud Hosting	02/21/2017		\$861,843	\$354,326	\$354,326
		Competitive	N	111565	nrastructure	Tintri T620 Support, 29 Months	03/15/2017		\$17,189	\$17,189	\$17,189
		Competitive	N	112617	PCMG, Inc.	Antivirus Replacement, Symatec	04/10/2017	\$7,852	\$7,852	\$7,852	\$7,852
		Competitive	N	112663	Best Buy Store, L.P.	Plotter Printer - West Valley	04/11/2017	\$5,598	\$5,598	\$5,598	\$5,598
		Competitive	N	112665	Randstad North America, Inc.	Temp Services	04/11/2017	\$226,950	\$226,950	\$148,724	\$148,724
		Competitive	N	112888	Randstad North America, Inc.	temp services	04/18/2017	\$141,050	\$141,050	\$107,992	\$107,992
		Competitive	N	112889	Randstad North America, Inc.	temp services	04/18/2017	\$45,050	\$45,050	\$38,876	\$38,876

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Competitive	N	112891	Randstad North America, Inc.	temp services	04/18/2017	\$91,016	\$91,016	\$17,504	\$17,504
		Competitive	N	113763	Dell, Inc.	Dell- Upgrade IT and Buffalo	05/12/2017	\$23,143	\$23,143	\$23,143	\$23,143
		Competitive	N	113874	Randstad North America, Inc.	Temporary Services	05/18/2017	\$75,200	\$75,200	\$52,171	\$52,171
		Competitive	N	113875	Randstad North America, Inc.	Temporary Services	05/18/2017	\$122,000	\$122,000	\$93,500	\$93,500
		Competitive	N	113876	Randstad North America, Inc.	Temporary Services	05/18/2017	\$117,040	\$117,040	\$90,032	\$90,032
		Competitive	N	113877	Randstad North America, Inc.	Temporary Services	05/18/2017	\$110,500	\$110,500	\$78,538	\$78,538
		Competitive	N	114484	Systems Management Planning, Inc.	VMWare Support for View - 3 yr	06/05/2017	\$92,399	\$92,399	\$92,399	\$92,399
		Competitive	N	114690	Randstad North America, Inc.	Temporary Services	06/09/2017	\$91,000	\$91,000	\$62,258	\$62,258
		Competitive	N	114702	Randstad North America, Inc.	Temporary Services	06/09/2017	\$150,000	\$150,000	\$107,517	\$107,517
		Competitive	N	115880	Randstad North America, Inc.	Temporary Services	07/14/2017	\$93,746	\$93,746	\$93,746	\$93,746
		Competitive	N	117215	GCOM Software LLC	Salesforce Licenses (Q:50)	08/16/2017	\$33,129	\$33,129	\$33,129	\$33,129
		Competitive	N	117271	Randstad North America, Inc.	temporary services	08/17/2017	\$118,000	\$118,000	\$65,273	\$65,273
		Competitive	N	117520	Randstad North America, Inc.	Temp Services	08/24/2017	\$92,000	\$92,000	\$66,513	\$66,513
		Competitive	N	117521	Randstad North America, Inc.	temp services	08/24/2017	\$40,000	\$40,000	\$37,161	\$37,161
		Competitive	N	117866	The McAulay Smith Firm, LTD	SRldr Smart Grid Search Firm	09/05/2017	\$50,000	\$50,000	\$16,000	\$16,000
		Competitive	N	117923	nrastructure	SMARTnet - Maintenance - 2020	09/06/2017	\$42,423	\$42,423	\$42,423	\$42,423
		Competitive	N	118090	Dell, Inc.	Dell Laptop/ Docking	09/11/2017	\$13,796	\$13,796	\$13,796	\$13,796
		Competitive	N	118157	Dell, Inc.	Dell Desktops for Buffalo	09/12/2017	\$12,842	\$12,842	\$12,842	\$12,842
		Competitive	N	119377	Randstad North America, Inc.	temp services	10/17/2017	\$78,000	\$78,000	\$60,002	\$60,002
		Competitive	N	119379	Randstad North America, Inc.	temp services	10/17/2017	\$41,000	\$41,000	\$28,666	\$28,666
		Competitive	N	121425	Oracle America, Inc.	UPK Developer Licenses - 2	12/07/2017	\$19,755	\$19,755		
		Competitive	N	121498	Randstad North America, Inc.	NYSERDA ADMINISTRATION	12/08/2017	\$16,000	\$16,000	\$2,267	\$2,267
		Competitive	N	121855	Pitney Bowes, Inc.	NYSERDA ADMINISTRATION	12/19/2017	\$5,682	\$5,682		
		Competitive	N	122683	NYS Technology Enterprise Corporation	NYSERDA ADMINISTRATION	01/18/2018	\$55,044	\$55,044		
		Competitive	Y	18607	Zone 5, Inc.	RFQL and RFP 1224	04/05/2010		\$612,575		\$612,205
		Competitive	Y	21239	Bond, Schoeneck & King, PLLC	Outside Legal Services	12/02/2010		\$50,000	\$12,436	\$34,051
		Competitive	Y	21430	CDH Energy Corporation	DG-CHP Remote Monitoring Data	12/29/2010		\$17,013		\$8,693
		Competitive	Y	21727	Xerox Corp	NYSERDA ADMINISTRATION	01/26/2011		\$509,597	\$20,862	\$422,975
		Competitive	Y	21784	Antares Group, Inc.	Renewable Portfolio Standard T	02/01/2011		\$718,381	\$8,075	\$627,318
		Competitive	Y	30272	Xerox Corp	NYSERDA ADMINISTRATION	11/14/2012		\$164,799	\$17,772	\$144,352
		Competitive	Y	30472A	Fiber Technologies Networks, LLC	NYSERDA ADMINISTRATION	01/17/2017	\$20,935	\$20,935	\$16,000	\$16,000
		Competitive	Y	32004	First Columbia Property Services, LLC	Property Management Services	03/24/2016	\$293,717	\$1,339,311	\$280,582	\$1,262,829
		Competitive	Y	33863	Intellidatum LLC	NYSERDA ADMINISTRATION	07/15/2013		\$895,050		\$696,494
		Competitive	Y	34097	Tech Valley Talent, LLC	Identity and Access	07/24/2013		\$200,000		\$114,718
		Competitive	Y	35293	Terremark Federal Group, LLC	NYSERDA ADMINISTRATION	09/11/2013		\$50,395		\$38,146
		Competitive	Y	37366-1	Arcadis U.S., Inc.	Greening the Bronx M&V	05/24/2016		\$259,940	\$42,780	\$226,520
Competitive	Y	38185	Rafferty Enterprises, Inc.	Temp Employee Services	12/13/2013		\$1,325,899		\$1,107,856		

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Competitive	Y	38396	Northco Products, Inc.	Konica Minolta Copier	12/19/2013		\$20,000	\$3,519	\$15,778
		Competitive	Y	38790	Pitney Bowes, Inc.	NYSERDA ADMINISTRATION	01/07/2014		\$65,783	\$12,334	\$65,783
		Competitive	Y	39074	Dentons US LLP	Green Bank Outside Legal Ser.	01/21/2014	\$25,000	\$114,546	\$25,000	\$114,546
		Competitive	Y	40300	Tech Valley Talent, LLC	Database Programmer - General	03/17/2014		\$200,000		\$190,229
		Competitive	Y	40488	Tech Valley Talent, LLC	.Net SQL Metrics Database Supp	03/26/2014		\$200,000		\$161,650
		Competitive	Y	41144	Dowd-Witbeck Printing Corp.	Printing Services	04/23/2014	\$1,423	\$16,502	\$4,167	\$16,502
		Competitive	Y	42112	Xerox Corp	Xerox Maintenance	05/28/2014		\$187,673	\$19,873	\$183,534
		Competitive	Y	44920	Tech Valley Talent, LLC	Server Operations - Supplement	07/31/2014		\$185,250		\$151,194
		Competitive	Y	48120	Terremark Federal Group, LLC	GSA Cloud Services - Renewal	09/18/2014		\$65,928		\$46,004
		Competitive	Y	56630	Oracle America, Inc.	Renewal: Oracle PeopleSoft mai	01/12/2015		\$52,037		\$51,658
		Competitive	Y	57857	inLogic, Inc.	RFID handheld scanners with 2	01/27/2015		\$6,149		\$6,118
		Competitive	Y	59143	mindSHIFT Technologies, Inc.	Application Support and Manage	02/19/2015		\$16,000		
		Competitive	Y	62858	Marshall & Sterling, Inc.	Property Liability and Other	04/20/2015	\$682,459	\$1,055,038	\$275,601	\$648,180
		Competitive	Y	64017	Rasky Baerlein Strategic Communications	Communications Services for Re	05/05/2015		\$172,692		\$172,095
		Competitive	Y	67174	Unique Comp Inc.	Server Contractor	06/16/2015		\$167,700		\$161,049
		Competitive	Y	72025	mindSHIFT Technologies, Inc.	Website Development	08/31/2015		\$775,000	\$248,074	\$586,745
		Competitive	Y	72126	Terremark Federal Group, LLC	Enterprise eCloud Renewal	09/01/2015		\$65,928		\$61,836
		Competitive	Y	85025	Tech Valley Talent, LLC	Staff Augmentation	12/18/2015	\$1,825,850	\$5,001,740	\$2,054,561	\$3,061,689
		Competitive	Y	85026	Computer Aid, Inc.	Staff Augmentation	12/18/2015		\$280,800		\$97,200
		Competitive	Y	86529	Oracle America, Inc.	Oracle Service and Maintenance	01/11/2016		\$53,598		\$53,208
		Competitive	Y	92870	GCOM Software LLC	Marketing Cloud - Membership	03/10/2016		\$21,714	\$21,714	\$21,714
		Competitive	Y	96514	Randstad North America, Inc.	Temp Services Contract.	04/21/2016	\$898,415	\$1,941,471	\$809,945	\$1,602,474
		Competitive	Y	96892	Oracle America, Inc.	Oracle License - UPK renewal	04/25/2016		\$8,968	\$2,242	\$8,968
		Competitive	Y	98840	KPMG LLP	Audit Services	05/24/2016		\$533,000	\$95,000	\$95,000
		Competitive	Y	102626	NYS Office for Technology	Office 365 - 2015/2016	08/24/2016		\$67,766		\$54,950
		Competitive	Y	102994	Terremark Federal Group, LLC	Enterprise ECloud - Renewal	08/31/2016		\$65,928	\$36,511	\$65,802
		Competitive	Y	106121	Gartner Inc.	Gartner Renewal 2017-2018	11/03/2016		\$35,321	\$35,321	\$35,321
		Competitive	Y	107299	CLEAResult Consulting, Inc.	Phone Email & Web Support	11/28/2016		\$438,004	\$139,222	\$163,708
		Competitive	Y	109488	Oracle America, Inc.	Oracle Peoplesoft 2017 - 2018	01/27/2017		\$53,598	\$40,199	\$53,598
		Competitive	Y	111530	Carahsoft Technology Corporation	DocuSign Licensing 17-18	03/15/2017		\$83,231	\$83,231	\$83,231
		Competitive	Y	113863	Dell, Inc.	MS Project and Visio	05/16/2017	\$114,755	\$114,755	\$81,730	\$81,730
		Competitive	Y	114057	Oracle America, Inc.	User Productivity Kit (UPK)	05/23/2017	\$8,968	\$8,968	\$6,726	\$6,726
		Competitive	Y	114189	ADP, Inc.	ADP Payroll and HR Svcs 2017	05/26/2017	\$180,521	\$180,521	\$46,756	\$46,756
		Competitive	Y	114701	Triad Technology Partners LLC	Tableau Maintenance 2017 - 18	06/09/2017	\$67,292	\$67,292	\$67,292	\$67,292
		Competitive	Y	116193	ESRI, Incorporated	ArcGIS Desktop and Spatial	07/24/2017	\$19,633	\$19,633	\$19,633	\$19,633
		Competitive	Y	116346	SHI, Inc.	Embarcadero ER Studio Licenses	07/26/2017	\$14,162	\$14,162	\$14,162	\$14,162
		Competitive	Y	117152	Dell, Inc.	VLM-2000-KEMP-LOADBALANCER	08/15/2017	\$12,026	\$12,026	\$12,026	\$12,026

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date		
PCMT	NYSERDA ADMINISTRATION	Competitive	Y	117927	EME Consulting Engineering Group, LLC	Staff Augmentation Services	09/06/2017	\$1,483,350	\$1,483,350				
		Competitive	Y	118849	Direct Packet Inc.	Cisco Video System Maintenance	09/27/2017	\$10,355	\$10,355	\$10,355	\$10,355		
		Competitive	Y	119371	IBM Corporation	Replacement for PPSI Site	10/16/2017	\$34,129	\$34,129				
		Competitive	Y	120292	GCOM Software LLC	Salesforce	11/07/2017	\$1,411,135	\$1,411,135				
		Competitive	Y	121379	Carahsoft Technology Corporation	Renewal: Salesforce.com	12/06/2017	\$1,239,347	\$1,239,347	\$1,233,568	\$1,233,568		
		Competitive	Y	121683	SHI, Inc.	Embarcadero Renewal 2018-2019	12/14/2017	\$13,971	\$13,971	\$13,971	\$13,971		
		Competitive	Y	122320	Oracle America, Inc.	Peoplesoft - Oracle 2018/2019	01/04/2018	\$53,598	\$53,598	\$13,400	\$13,400		
		Competitive	Y	122335	Tech Valley Talent, LLC	SecureAuth - 2018 Renewal	01/05/2018	\$14,754	\$14,754	\$14,754	\$14,754		
		Competitive	Y	122565	Systems Management Planning, Inc.	VMWare AirWatch - 2018-2019	01/12/2018	\$6,315	\$6,315	\$6,315	\$6,315		
		Competitive	Y	122662	SHI, Inc.	ADA-Compliance Subscription	01/17/2018	\$15,544	\$15,544	\$15,544	\$15,544		
		Competitive	Y	123054	Gartner Inc.	Gartner Services 2018 - 2019	02/01/2018	\$55,334	\$55,334				
		Competitive	Y	124009	SHI, Inc.	Renewal - Adobe Creative Cloud	03/01/2018	\$9,848	\$9,848				
		Competitive	Y	124438	Systems Management Planning, Inc.	Webex Services - 2018 - 2019	03/20/2018	\$20,511	\$20,511				
		Discretionary				118215	Darling Advertising Agency Inc.	Climate Alliance Logo PO	09/15/2017	\$18,198	\$18,198	\$18,198	\$18,198
		Discretionary				121299	Cullen and Dykman, LLP	NYSERDA ADMINISTRATION	12/05/2017	\$5,000	\$5,000		
		Discretionary	N			40419	First Quality Maintenance II, LLC	Office Cleaning Contract	03/24/2014		\$10,285		\$10,006
		Discretionary	N			43614	Computer SOS Inc.	Miscellaneous Buffalo/West Val	07/01/2014		\$7,980		\$5,321
		Discretionary	N			44235	Adirondack Area Network	2015 Video Recording Services	07/17/2014		\$17,000		\$16,425
		Discretionary	N			44278	Network Experts of New York, Inc.	Miscellaneous Network Services	07/17/2014		\$60,000		\$45,850
		Discretionary	N			49185	FTI Consulting Technology LLC	ITG-0083: Legal-Ringtail	10/06/2014		\$19,173		\$18,426
		Discretionary	N			61064	Tech Valley Talent, LLC	Metrics Database Buildings Por	03/20/2015		\$200,000		\$153,329
		Discretionary	N			61230	Arctic Air Mechanical Corp	HVAC NYC	03/24/2015		\$11,200	\$1,400	\$9,800
		Discretionary	N			72885	Hobbs & Towne, Inc.	recruitment services	09/11/2015		\$50,000		\$45,000
		Discretionary	N			73664	Tech Valley Talent, LLC	Infomatica, Data Quality Staf	09/24/2015		\$200,000		\$198,000
		Discretionary	N			79916	Rafferty Enterprises, Inc.	NYC Temp employee	11/05/2015		\$37,908		\$37,684
		Discretionary	N			91069	Unique Comp Inc.	Data Governance contractor	02/22/2016		\$199,500	\$16,274	\$195,140
		Discretionary	N			91079	mindSHIFT Technologies, Inc.	Mindshift - Offsite Hosting	02/22/2016		\$14,020		\$1,300
		Discretionary	N			91451	Adirondack Area Network	Video Recording Services	02/25/2016		\$17,000	\$3,900	\$8,925
		Discretionary	N			93999	Bizodo Inc	Seamless Professional Services	03/25/2016		\$25,000		
		Discretionary	N			96887	Network Experts of New York, Inc.	Peoplesoft Development	04/22/2016	\$200,000	\$350,000	\$43,125	\$83,125
		Discretionary	N			100023	Concur Technologies, Inc.	Concur Tech Expense Expert	06/21/2016		\$18,000	\$5,910	\$16,784
		Discretionary	N			101162	Control Solutions Group, Inc.	Control Panel for HVAC in NYC	07/18/2016		\$9,852		\$9,714
		Discretionary	N			103644	Technology Professionals Group Inc.	IT Advisory Services - ECM	09/14/2016		\$26,760	\$26,760	\$26,760
Discretionary	N			103969	Sologistics, LLC	Salesforce CRM Implementation	09/20/2016		\$200,000	\$199,963	\$199,963		
Discretionary	N			104775	Employee Leasing of Greater NY	Temp Employee Services	10/11/2016	\$162,571	\$195,641	\$125,674	\$151,231		
Discretionary	N			107295-1	New York State Ag & Markets	2017 NYS Fair Exhibit	05/26/2017	\$9,475	\$9,475	\$8,200	\$8,200		
Discretionary	N			110073	205 Wolf Holdings, LLC	2017 NYSERDA All-Staff Mtg	02/10/2017	\$910	\$5,910	\$5,910	\$5,910		

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Discretionary	N	110261	IIA Quality Services, LLC	Internal Audit Assessment	02/14/2017		\$25,000	\$25,000	\$25,000
		Discretionary	N	110904	NYS Office for Technology	O365 Point to Point Circuit	03/01/2017		\$11,232	\$2,135	\$2,135
		Discretionary	N	111168	Siteimprove, Inc.	Siteimprove Renewal 17-20	03/07/2017		\$17,916	\$5,972	\$5,972
		Discretionary	N	111943	NYS Office of General Services	2016 Sustainable Dev Conf	03/23/2017	\$8,990	\$8,990	\$8,990	\$8,990
		Discretionary	N	113211	Energy Week Holdings, LLC	2017 New York Energy Week	04/28/2017	\$5,000	\$5,000	\$5,000	\$5,000
		Discretionary	N	113668	mindSHIFT Technologies, Inc.	Media Destruction	05/10/2017	\$10,794	\$10,794	\$10,794	\$10,794
		Discretionary	N	113880	MJ Mechanical Services, Inc.	HVAC Design and Installation	05/19/2017	\$11,520	\$11,520	\$11,520	\$11,520
		Discretionary	N	114062	Amphora Consulting	Energy Efficiency Markets	05/24/2017	\$50,000	\$50,000	\$50,000	\$50,000
		Discretionary	N	114801	Xerox Corp	Xerox Mobile Print - 3 years	06/13/2017	\$20,985	\$20,985		
		Discretionary	N	115165	Concur Technologies, Inc.	Concur annual cost estimate	06/23/2017	\$17,000	\$17,000	\$13,171	\$13,171
		Discretionary	N	115209	205 Wolf Holdings, LLC	6/27/17 NYSERDA All-Staff Mtg	06/26/2017	\$6,103	\$6,103	\$6,103	\$6,103
		Discretionary	N	115360	Milliman, Inc.	April 1 2017 Gasb 45 Valuation	06/29/2017	\$15,000	\$15,000	\$15,000	\$15,000
		Discretionary	N	115913	Harris Wiltshire & Grannis LLP	NYSERDA ADMINISTRATION	07/17/2017	\$24,999	\$24,999	\$24,255	\$24,255
		Discretionary	N	116250	Control Solutions Group, Inc.	NYSERDA ADMINISTRATION	07/25/2017	\$10,238	\$10,238	\$7,486	\$7,486
		Discretionary	N	116251	Shutterstock, Inc.	WebDAM photo libray subscrip	07/25/2017	\$8,581	\$8,581	\$8,581	\$8,581
		Discretionary	N	116252	Kelleher Samets Volk	Salesforce Optimization	07/25/2017	\$46,480	\$46,480	\$26,605	\$26,605
		Discretionary	N	116345	The Bonadio Group	data analysis extraction	07/26/2017	\$9,000	\$9,000		
		Discretionary	N	116882	Executive Information Systems, LLC	Purchase SAS Analytical Softwa	08/10/2017	\$32,453	\$32,453	\$32,453	\$32,453
		Discretionary	N	117146	Environment & Energy Publishing, LLC	NYSERDA ADMINISTRATION	08/15/2017	\$11,525	\$11,525	\$11,525	\$11,525
		Discretionary	N	118938	W & E Phillips Locksmith, Inc	NYSERDA ADMINISTRATION	09/29/2017	\$6,430	\$6,430	\$6,430	\$6,430
		Discretionary	N	119704	Arctic Air Mechanical Corp	HVAC for NY Office	10/24/2017	\$16,800	\$16,800	\$4,200	\$4,200
		Discretionary	N	121166	NGenius Solutions Inc.	SharePoint Online Consulting	11/30/2017	\$7,000	\$7,000	\$7,000	\$7,000
		Discretionary	N	122566	205 Wolf Holdings, LLC	2018 NYSERDA All Staff Meeting	01/12/2018	\$6,500	\$6,500		
		Discretionary	N	123459	Milliman, Inc.	Valuation GASB 74 OPEB Liabili	02/16/2018	\$11,500	\$11,500		
		Discretionary	N	124208	Petrecky Consulting LLC	DOE OSW Consortium Proposal	03/07/2018	\$35,000	\$35,000		
		Discretionary	Y	34744	Lumsden & McCormick, LLP	NYSERDA ADMINISTRATION	08/20/2013		\$10,000		
		Discretionary	Y	35070	Network Experts of New York, Inc.	NYSERDA ADMINISTRATION	08/30/2013		\$20,276		\$19,312
		Discretionary	Y	38185	Rafferty Enterprises, Inc.	Temp Employee Services	12/13/2013		\$24,100		\$23,767
		Discretionary	Y	42410	Dowd-Witbeck Printing Corp.	Printing Services-MWBE	06/03/2014	\$182	\$23,326	\$182	\$23,326
		Discretionary	Y	43155	Currier McCabe Associates	12 Month contractor - Help Des	06/19/2014		\$108,000		\$896
		Discretionary	Y	49527	EC Infosystems, Inc.	EDI TransFulfillment Services	10/10/2014		\$200,000		\$197,955
		Discretionary	Y	49897	Capital District Records Management, Inc	OffsiteTape Storage & Courier	10/16/2014		\$5,132		\$5,102
Discretionary	Y	53352	Tech Valley Talent, LLC	Data Quality - Data Quality An	11/26/2014		\$200,000		\$65,280		
Discretionary	Y	56615	Coletree Security Corporation	NYSERDA ADMINISTRATION	01/08/2015		\$5,000	\$150	\$588		
Discretionary	Y	56948	Tech Valley Talent, LLC	Firewall & Information securit	01/14/2015		\$191,100		\$187,508		
Discretionary	Y	57420	Tech Valley Talent, LLC	Project Manager to Support NEI	01/22/2015		\$200,000		\$62,388		
Discretionary	Y	58410	Tech Valley Talent, LLC	QA Analyst / Testing Manager	02/06/2015		\$200,000		\$199,238		

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Discretionary	Y	58719	Systems Management Planning, Inc.	Webex Support - Renewal	02/12/2015		\$28,469		\$16,709
		Discretionary	Y	60438	Bizodo Inc	Seamless Doc license service	03/10/2015		\$25,000		\$22,500
		Discretionary	Y	61044	Tech Valley Talent, LLC	Data Warehouse Analyst	03/19/2015		\$200,000		\$30,624
		Discretionary	Y	61065	Tech Valley Talent, LLC	Project Manager for ITG-0058 D	03/20/2015		\$200,000		\$160,125
		Discretionary	Y	62076	LexisNexis	NYSERDA ADMINISTRATION	04/08/2015		\$49,260	\$16,826	\$42,497
		Discretionary	Y	62154	ePlus inc	Bulk Service consulting servic	04/09/2015		\$5,000		
		Discretionary	Y	63798	Network Experts of New York, Inc.	Off-Site Backup Replication	05/01/2015		\$12,000		\$8,000
		Discretionary	Y	66265	IESI NY Corporation	Trash Pick-up for NYC	06/09/2015		\$9,647	\$816	\$9,647
		Discretionary	Y	67410	Tech Valley Talent, LLC	Server Admin- # 2	06/18/2015		\$195,000		\$181,375
		Discretionary	Y	68016	Tech Valley Talent, LLC	Buiness Intelligence Dev.	06/29/2015		\$200,000		
		Discretionary	Y	68677	Commercial Investigations LLC	Background Checks	07/09/2015	\$10,000	\$30,000	\$8,980	\$18,015
		Discretionary	Y	69266	Network Experts of New York, Inc.	Contract Project Manager	07/17/2015		\$196,650		\$194,425
		Discretionary	Y	71766	Network Experts of New York, Inc.	Helpdesk Technician	08/26/2015		\$103,950		\$93,974
		Discretionary	Y	72723	Network Experts of New York, Inc.	.Net Developer for Staff Aug -	09/09/2015		\$174,525		\$131,207
		Discretionary	Y	73490	Corporate Resolutions Inc	KYC Services	09/21/2015		\$50,000		\$32,300
		Discretionary	Y	77909	Unique Comp Inc.	Tableau Developer -	10/15/2015		\$199,939		\$189,266
		Discretionary	Y	77910	Tech Valley Talent, LLC	Data Architect	10/15/2015		\$200,000		\$191,875
		Discretionary	Y	79693	ADP, Inc.	2016 ACA Reporting	10/30/2015	\$2,500	\$10,444	\$1,924	\$9,436
		Discretionary	Y	82806	GCOM Software LLC	Salesforce Administrator Suppo	12/02/2015		\$199,500	\$100	\$158,745
		Discretionary	Y	87393	Tech Valley Talent, LLC	Tableau BI Developer	01/19/2016		\$199,823		\$176,283
		Discretionary	Y	92213	Systems Management Planning, Inc.	Webex Services - Renewal	03/03/2016		\$25,661		\$13,901
		Discretionary	Y	101898	EC Infosystems, Inc.	Electronic Data Outsourcing	08/04/2016		\$190,650	\$81,600	\$153,000
		Discretionary	Y	104111	Network Experts of New York, Inc.	Helpdesk Technician	09/26/2016		\$120,000	\$56,970	\$103,470
		Discretionary	Y	104250	Tech Valley Talent, LLC	Information Security Services	09/26/2016		\$191,100	\$116,130	\$189,581
		Discretionary	Y	107435	The Business Council of NYS, Inc.	Renewal of Membership	11/29/2016		\$5,706	\$5,706	\$5,706
		Discretionary	Y	108816	Capital District Records Management, Inc	Media Storage & Destruction	01/09/2017		\$6,768	\$2,533	\$3,108
		Discretionary	Y	109141	Tully Rinckey PLLC	outside counsel services	01/18/2017	\$10,000	\$10,000		
		Discretionary	Y	110323	Systems Management Planning, Inc.	Webex Services - Renewal 17-18	02/15/2017		\$25,101		\$13,901
		Discretionary	Y	111839	SHI, Inc.	1 Year - Adobe Acrobat Pro DC	03/21/2017	\$20,378	\$20,378	\$20,378	\$20,378
		Discretionary	Y	113213	Sitecore USA, Inc.	Sitecore Web Support 17-18	04/28/2017	\$25,300	\$25,300	\$25,300	\$25,300
		Discretionary	Y	113597	Network Experts of New York, Inc.	Adoption /Training Coordinator	05/09/2017	\$90,000	\$90,000	\$36,383	\$36,383
		Discretionary	Y	114486	Phire, Inc	Phire Maintenance - 1 Year	06/05/2017	\$14,600	\$14,600	\$14,600	\$14,600
		Discretionary	Y	115432	Troy Web Consulting, LLC	SEP Comment System	07/03/2017	\$14,985	\$14,985	\$5,285	\$5,285
		Discretionary	Y	116483	Center for Internet Security Inc	Information Security Malicious	07/28/2017	\$7,440	\$7,440	\$7,440	\$7,440
		Discretionary	Y	117609	New York State Forum for IRM	NYS Forum Membership 17 - 18	08/28/2017	\$5,500	\$5,500	\$5,500	\$5,500
		Discretionary	Y	117611	KayDev Technology, LLC	TestComplete Pro	08/28/2017	\$8,015	\$8,015	\$8,015	\$8,015
		Discretionary	Y	117673	Network Experts of New York, Inc.	Helpdesk Technician - Campagna	08/29/2017	\$130,000	\$130,000	\$53,170	\$53,170

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	NYSERDA ADMINISTRATION	Discretionary	Y	118940	EC Infosystems, Inc.	Electronic Data Interchange	09/29/2017	\$190,650	\$190,650	\$40,800	\$40,800
		Discretionary	Y	118970	Performance Systems Development	TREAT SITE License 17.18	10/02/2017	\$6,400	\$6,400		
		Discretionary	Y	119029	Direct Packet Inc.	Firewall Endpoint Services	10/03/2017	\$15,600	\$15,600	\$15,600	\$15,600
		Discretionary	Y	121165	Low-Level Radioactive Waste Forum, Inc.	NYSERDA ADMINISTRATION	11/30/2017	\$5,000	\$5,000	\$5,000	\$5,000
		Discretionary	Y	121232	Troy Web Consulting, LLC	Troy Web Hosting - Cloud	12/04/2017	\$7,020	\$7,020		
		Discretionary	Y	121379	Carahsoft Technology Corporation	Renewal: Salesforce.com	12/06/2017	\$11,585	\$11,585		
		Discretionary	Y	121596	OwnBackup Inc.	Salesforce Backup Solution	12/12/2017	\$18,630	\$18,630	\$18,630	\$18,630
		Discretionary	Y	123746	Bizodo Inc	Seamless Docs Licensing 18-19	02/22/2018	\$27,450	\$27,450		
		Discretionary	Y	124007	Concur Technologies, Inc.	2018-2020 Concur annual cost	03/01/2018	\$33,000	\$33,000		
		Non-Competitive	N	40374	Tech Valley Talent, LLC	Business Analyst / Project Man	03/19/2014		\$200,000		\$181,400
		Non-Competitive	N	40615	ADP, Inc.	HR Payroll and Timecards serv	03/31/2014		\$255,000	\$9,177	\$254,827
		Non-Competitive	N	42005	Access Systems, Inc.	Enterprise Content Management	05/23/2014		\$133,500		\$115,700
		Non-Competitive	N	46873	Tobi Jo Creative, LLC	NYSERDA Website Redesign	09/04/2014		\$109,885	\$4,531	\$107,638
		Non-Competitive	N	66093	Sperry Energy	Innovation Advisory Services	06/03/2015		\$300,000		\$298,783
		Non-Competitive	N	68802	First Quality Maintenance II, LLC	NYC Office Cleaning	07/10/2015		\$88,842		\$82,549
		Non-Competitive	N	69931	Amphora Consulting	Strategic Program Dev Training	07/28/2015		\$75,000		\$60,000
		Non-Competitive	N	98191	Fried, Frank, Harris, Shriver, Jacobson	Legal Services	05/11/2016		\$100,000	\$3,512	\$78,273
		Non-Competitive	N	113027	NYS Office for Technology	Office 365 - 2016 - 2017	04/24/2017	\$67,766	\$67,766		
		Non-Competitive	N	116344	Kelleher Samets Volk	Digital Transformation	07/26/2017	\$88,800	\$88,800	\$46,845	\$46,845
		Non-Competitive	N	118453	inMotion, Inc.	inMotion Project Management	09/25/2017	\$74,500	\$74,500	\$26,500	\$26,500
		Non-Competitive	N	119824	First Quality Maintenance II, LLC	NYSERDA ADMINISTRATION	10/26/2017	\$97,948	\$97,948	\$41,628	\$41,628
		Non-Competitive	Y	41690A	Level 3 Communications, LLC	NYSERDA ADMINISTRATION	03/02/2016		\$279,735	\$126,996	\$278,520
		Non-Competitive	Y	46084	First Quality Maintenance II, LLC	NYSERDA ADMINISTRATION	08/22/2014		\$214,311	\$40,812	\$213,899
		Non-Competitive	Y	62785	Siteimprove, Inc.	Siteimprove - Renewal	04/20/2015		\$5,972		
		Non-Competitive	Y	86074	Unique Comp Inc.	NEIS Functional Specification	12/31/2015		\$240,000		\$202,312
		Non-Competitive	Y	114437	IESI NY Corporation	Trash Removal from NYC Office	06/05/2017	\$10,086	\$10,086	\$4,079	\$4,079
		NYSERDA Fixed Asset	Competitive			29983-1	Ally Financial Inc.		12/21/2012		\$36,272
Competitive	N			26140	Albany Dodge		01/05/2012		\$21,121		\$21,121
Competitive	N			28043	Verizon Network Integration Corp.		06/12/2012		\$6,274		\$6,274
Competitive	N			36123-1	FM Office Express		10/28/2013		\$77,728		\$77,728
Competitive	N			64491	Xerox Corp	Copier Purchase	05/12/2015		\$15,674		\$15,674
Competitive	N			97272	Systems Management Planning, Inc.	NetApp Flash Storage for VDI	04/29/2016		\$95,359		\$95,359
Competitive	N			104430	nrastructure	Network Upgrade - Cisco	09/29/2016		\$253,987		\$253,987
Competitive	N			107793	Carbone Auto Group, LLC	Replacement vehicle for Admini	12/09/2016		\$45,630		\$45,630
Competitive	N			108822	Business Environments	workstations	01/09/2017		\$19,600		\$19,600
Competitive	N			110325	nrastructure	Equipment to Support Network	02/15/2017		\$8,280	\$8,280	\$8,280
Competitive	N			110813	Netanium Inc	Checkpoint Firewall Upgrade	02/28/2017		\$27,627		\$27,627

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid This Period	Amt Paid to Date		
PCMT	NYSERDA Fixed Asset	Competitive	N	111002	Netanium Inc	Check Point Webair for NEIS	03/03/2017		\$73,524	\$73,524	\$73,524		
		Competitive	N	113356	FM Office Express	8 NYC Work Stations	05/02/2017	\$15,345	\$15,345	\$15,345	\$15,345		
		Competitive	N	114058	Business Environments		05/23/2017	\$29,952	\$29,952	\$29,952	\$29,952		
		Competitive	N	116664	nrastructure	2 HP VDI Servers	08/03/2017	\$37,136	\$37,136	\$37,136	\$37,136		
		Competitive	N	119645	Systems Management Planning, Inc.	NetApp 2620 Storage Array	10/23/2017	\$27,278	\$27,278	\$27,278	\$27,278		
		Competitive	N	123751	Nye Automotive Group, Inc		02/26/2018	\$24,788	\$24,788	\$24,788	\$24,788		
		Competitive	Y	60292	ESRI, Incorporated	ESRI Arc Server - 1 year	03/06/2015		\$32,643		\$32,643		
		Competitive	Y	104106	nrastructure	Tintri Flash Storage - Renewal	09/22/2016		\$7,548		\$7,548		
		Discretionary	N	24708	ASKCO Electric Supply Co Inc	Upgrade NYSERDA meters	10/13/2011		\$16,554		\$16,554		
		Discretionary	N	27624	Carrow Real Estate Services, LLC		05/01/2012		\$10,962		\$10,962		
		Discretionary	N	27625	LCO Buildings LLC		05/01/2012		\$6,853		\$6,853		
		Discretionary	N	30648	Tech Home Electric, LLC		12/18/2012		\$5,465		\$5,465		
		Discretionary	N	34467	Gard Tec Security Svcs., Inc.		08/13/2013		\$14,220		\$14,220		
		Discretionary	N	34468	Gard Tec Security Svcs., Inc.		08/13/2013		\$14,055		\$14,055		
		Discretionary	N	43567	Phire, Inc	Phire - PeopleSoft Renewal - 3	06/30/2014		\$41,715		\$41,715		
		Discretionary	N	45875	Network Experts of New York, Inc.	Albany: Redundant Checkpoint H	08/19/2014		\$29,872		\$29,872		
		Discretionary	N	101670	Gard Tec Security Svcs., Inc.	Security for West Valley offic	07/28/2016		\$9,657		\$9,657		
		Discretionary	N	114799	DFB Sales Inc.	Black-out shades for NYC	06/13/2017	\$6,325	\$6,325	\$6,325	\$6,325		
		Off-Shore Wind Master Plan		Discretionary	N	119825	ClipperCreek, Inc.	EV Charging Stations	10/26/2017	\$6,873	\$6,873	\$6,873	\$6,873
				Discretionary	Y	117765	AlienVault, Inc.	Security Internet Events Mgt.	08/31/2017	\$48,356	\$48,356	\$48,356	\$48,356
Non-Competitive	N			57885	Performance Systems Development	TREAT Site License for VMWARE	01/28/2015		\$8,000		\$8,000		
Non-Competitive	Y			78360	Performance Systems Development	Treat Site Licenses - 16	10/20/2015		\$6,400		\$6,400		
Competitive	Y			101085	Brattle Group Inc.	Large Scales Renewables Techni	07/15/2016	\$42,000	\$937,000	\$450,394	\$872,191		
Competitive	Y			104258	Sive Paget & Riesel PC	RFP 3300 & RFP 3776 Outside Co	09/27/2016	\$331,219	\$338,719	\$286,702	\$290,287		
Competitive	Y			106224	Darling Advertising Agency Inc.	Marketing Support for NYSERDA	11/03/2016	\$78,200	\$406,200	\$176,964	\$194,242		
Discretionary	N			110327	Foley Hoag, LLP	Off Shore Wind Legal Consultin	02/15/2017		\$25,000	\$24,980	\$24,980		
Discretionary	Y			105963	NIRAS A/S	Off-Shore Wind Master Plan	11/01/2016		\$50,000		\$49,965		
Off-Shore Wind Pre-Dev Act				Competitive	Y	111606	Ecology and Environment Engineering, PC	RFP 3462	03/16/2017	\$1,821,768	\$1,821,768	\$1,673,753	\$1,673,753
				Competitive	Y	111611	Quanta Technology LLC	RFP 3462	03/16/2017	\$255,000	\$255,000	\$224,052	\$224,052
				Discretionary	N	113873	Sea Risk Solutions LLC	Fisheries Liaison/ Consulting	05/18/2017	\$50,000	\$50,000	\$50,000	\$50,000
				Discretionary	N	117863	Kearns & West, Inc.	OWE Interagency/Meeting support	09/05/2017	\$20,235	\$20,235	\$20,083	\$20,083
				Discretionary	N	121682	American Wind Energy Association	2017 sponsorship	12/14/2017	\$15,000	\$15,000	\$15,000	\$15,000
				Non-Competitive	N	112045	INSPIRE Environmental, LLC	Offshore Wind Mstr Plan Study	03/27/2017	\$966,000	\$966,000	\$895,392	\$895,392
On-Site Energy Manager				Competitive	Y	104532	Apprise, Incorporated	RFQ 3183 Apprise Incorporated	10/05/2016	\$134,973	\$134,973	\$40,976	\$40,976
OTHER PROGRAM AREA				Competitive	N	30726	Village of Tupper Lake	Tupper Lake District Heat	12/21/2012		\$300,000	\$80,000	\$285,000
				Competitive	Y	30712	The Research Foundation for the State	CNSE Net Zero Project	12/21/2012		\$1,000,000		\$670,000
				Competitive	Y	30713	Research Foundation of SUNY	Regional Economic Development	12/21/2012		\$397,831	\$56,032	\$374,389

NYSERDA ANNUAL CONTRACTS REPORT - Section 1: All Contracts Open During the Period 4/1/2017 to 3/31/2018

Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date	
PCMT	OTHER PROGRAM AREA	Competitive	Y	30717	Village of Skaneateles	Skaneateles Village Hall NZEB	12/21/2012		\$546,493		\$496,766	
		Competitive	Y	30718	Cornell University	CornellNYC Tech Energy Program	12/21/2012		\$870,030		\$870,030	
		Competitive	Y	30728	Watertown, City of	Sludge permitting and design	12/21/2012		\$585,646		\$333,855	
		Competitive	Y	70333	Cornell University	76West Building a Clean Energy	08/03/2015	\$1,278,921	\$3,252,720	\$1,030,144	\$2,115,120	
		Competitive	Y	99297	Center for Sustainable Energy	ChargeNY ZEV Rebate Imp Contra	06/02/2016		\$554,964	\$291,174	\$455,896	
		Competitive	Y	101044	Arcadis of New York, Inc.	Large Scales Renewables Techni	07/15/2016		\$111,527	\$66,341	\$88,006	
		Competitive	Y	106227	Essense Partners Inc.	Marketing Support for NYSERDA	11/03/2016	\$475,070	\$1,092,570	\$832,074	\$877,156	
		Competitive	Y	106541	BW Research Partnership	New York Clean Energy Jobs Stu	11/09/2016	\$59,320	\$338,276	\$148,326	\$148,326	
		Competitive	Y	116896	United Way of Long Island	CEEP Long Island (UWLI)	08/10/2017	\$420,000	\$420,000			
		Competitive	Y	118163	D.L. English Consulting, Inc.	Indian Point Consultant	09/13/2017	\$349,815	\$349,815	\$303,118	\$303,118	
		Discretionary	N	70365A	Essense Partners Inc.	76West Marketing Consultant	12/08/2016		\$40,026		\$39,420	
		Discretionary	N	100693	Essense Partners Inc.	ZEV Marketing Support	07/08/2016		\$197,900		\$195,721	
		Discretionary	N	107800	Amerex Brokers LLC	OTHER PROGRAM AREA	12/13/2016		\$49,999	\$15,480	\$15,480	
		Discretionary	N	108070	GDS Associates, Inc.	Res Baseline Excel File Rework	12/14/2016		\$49,279	\$49,270	\$49,270	
		Discretionary	N	109345	Electric Energy Services & Advisors, LLC	OTHER PROGRAM AREA	01/24/2017		\$35,000	\$7,790	\$25,460	
		Discretionary	N	111669	Greater NY Auto Dealers Association	2017 exhibit at NY Auto Show	03/17/2017	\$5,500	\$5,500	\$5,500	\$5,500	
		Discretionary	N	112049	Taylor Group LTD	Display Materials	03/28/2017	\$5,528	\$5,528	\$5,528	\$5,528	
		Discretionary	N	112123	NECEC Institute	2017 NECEC Cleantech Open	03/28/2017	\$75,000	\$75,000	\$75,000	\$75,000	
		Discretionary	N	117988	GreenBiz Group, Inc.	2017 VERGE Sponsorship 76West	09/08/2017	\$7,500	\$7,500	\$7,500	\$7,500	
		Discretionary	N	118166	NECEC Institute	OTHER PROGRAM AREA	09/13/2017	\$45,000	\$45,000	\$45,000	\$45,000	
		Discretionary	N	119233	Cornell University	2018 EaC Sponsorship	10/11/2017	\$30,000	\$30,000	\$30,000	\$30,000	
		Discretionary	N	121054	CTG:I LLC	CTG I3 subscription	11/28/2017	\$8,500	\$8,500	\$8,500	\$8,500	
		Discretionary	N	122003	Direct Marketing Productions, Inc.	ARPA-E	12/21/2017	\$6,000	\$6,000	\$6,000	\$6,000	
		Discretionary	Y	100675	Vatsal Bhatt	Review of EEA Project Program	07/05/2016		\$25,000	\$2,620	\$10,468	
		Discretionary	Y	112570	Benchmark Printing, Inc.	Printing Services - EV Rebate	04/07/2017	\$24,500	\$24,500	\$20,357	\$20,357	
		Discretionary	Y	115114	B & W Sound Services, Inc.	A/V service for 76West Event	06/22/2017	\$11,950	\$11,950	\$11,950	\$11,950	
		Non-Competitive		120914	NESCAUM	ZEV Marketing Campaign	11/21/2017	\$525,000	\$525,000	\$175,000	\$175,000	
		Non-Competitive	N	111840	T.McElligott, Inc.	Hoosick Falls Removal Project	03/21/2017	\$97,000	\$97,000	\$97,000	\$97,000	
		Non-Competitive	Y	98633	Upstate Venture Connect	Startup Community Development	04/21/2016		\$48,000	\$27,000	\$48,000	
		Non-Competitive	Y	117416	Upstate Venture Connect	OTHER PROGRAM AREA	08/22/2017	\$49,000	\$49,000	\$14,600	\$14,600	
		POLICY DEVELOPMENT	Competitive	N	36995	NYS Office of General Services	POLICY DEVELOPMENT	11/08/2013		\$150,000	\$6,663	\$144,440
			Discretionary	N	112124	NYS Office of General Services	2017 Brochures	03/28/2017	\$17,378	\$17,378	\$17,378	\$17,378
Discretionary	N		113871-1	New York State Ag & Markets	2017 NYS Fair Exhibit- PSC	05/26/2017	\$10,925	\$10,925	\$10,925	\$10,925		
Discretionary	N		115032	JCB Specialties, Inc.	2017 NYS Fair Promotional Mate	06/20/2017	\$48,261	\$48,261	\$48,261	\$48,261		
Discretionary	N		119706	Culver Company, LLC	2017 NYS DPS Consumer Booklets	10/24/2017	\$12,178	\$12,178	\$12,178	\$12,178		
Prof & Expert Engagement	Discretionary		112121	Research Foundation of SUNY	Industrial Process Efficiency	03/28/2017	\$25,000	\$25,000	\$25,000	\$25,000		
	Discretionary	N	113881	Compressed Air Challenge, Inc.	Industrial Process Efficiency	05/19/2017	\$7,500	\$7,500	\$7,500	\$7,500		

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	Prof & Expert Engagement	Discretionary	N	114055	Consortium for Energy Efficiency, Inc.	Industrial Process Efficiency	05/23/2017	\$48,000	\$48,000	\$48,000	\$48,000
		Discretionary	N	114479	New Buildings Institute, Inc.	Prof & Expert Engagement	06/05/2017	\$25,000	\$25,000	\$25,000	\$25,000
		Discretionary	N	114735	Clean Energy States Alliance	CESA Membership Dues 2017/2018	06/12/2017	\$60,000	\$60,000	\$60,000	\$60,000
		Discretionary	N	116156	American Council for an Energy Efficient	2017 ACEEE Ally Program	07/21/2017	\$10,000	\$10,000	\$10,000	\$10,000
		Discretionary	N	121943	Green Light New York, Inc.	2018 BEEEx Watt Membership	12/20/2017	\$50,000	\$50,000	\$50,000	\$50,000
		Discretionary	N	122239	Efficiency Forward, Inc.	DLC 2017 Membership	01/02/2018	\$25,000	\$25,000	\$25,000	\$25,000
		Discretionary	N	123589	Alliance to Save Energy	2018 membership dues	02/20/2018	\$25,000	\$25,000	\$25,000	\$25,000
		Discretionary	N	123748	Upstate Venture Connect	UVC Sponsorship	02/22/2018	\$25,000	\$25,000		
		Discretionary	N	123842	American Council for an Energy Efficient	2018 ACEEE Sponsorship	02/26/2018	\$10,000	\$10,000	\$10,000	\$10,000
		Discretionary	N	124014	Consortium for Energy Efficiency, Inc.	Industrial Process Efficiency	03/02/2018	\$48,000	\$48,000		
REC-CES REC Pgm Non-Rec Exp	Discretionary	N	106525	Kearns & West, Inc.	LSR siting support	11/08/2016		\$50,000	\$25,000	\$25,000	
REC-CES REC System Dev Costs	Discretionary	N	118158	Lumsden & McCormick, LLP	Consulting Services	09/12/2017	\$5,750	\$5,750	\$5,750	\$5,750	
RENEWABLE HEAT NY	Competitive	Y	18599A	FARM, LLC	RENEWABLE HEAT NY	09/05/2012		\$853,626		\$729,742	
	Competitive	Y	101132	NESCAUM	Tech Assist. REV Pool	07/15/2016	\$66,284	\$246,284	\$161,677	\$161,677	
	Competitive	Y	117924	Willdan Energy Solutions	Staff Augmentation Services	09/06/2017	\$141,726	\$141,726			
RENEWABLE THERMAL	Competitive	N	81695	Rafferty Enterprises, Inc.	Temp Employee Services	11/19/2015		\$13,650		\$2,230	
	Non-Competitive	N	66654	Energy and Environmental Economics Inc.	Solar Adoption Forecast	06/11/2015		\$49,000		\$47,680	
	Non-Competitive	Y	ST2446	Clean Power Research	Power Clerk Database for NYSUN	12/20/2011	\$6,500	\$1,878,603	\$6,500	\$1,728,118	
Residential-Engaging New Mkts	Discretionary	Y	122871	Johnstone Group, LLC	Residential-Engaging New Mkts	01/25/2018	\$50,000	\$50,000	\$6,314	\$6,314	
Retrofit NY	Discretionary	N	111167	Jasper Van den Munckhof	RetrofitNY advisement	03/07/2017		\$50,000	\$24,011	\$24,011	
	Discretionary	N	114284	New York Passive House Inc.	NYPH 2017 Conference & Expo	05/30/2017	\$8,500	\$8,500	\$8,500	\$8,500	
REV Campus Challenge	Competitive	N	49526	Meister Consultants Group, Inc.	REV CC - Meister CG	10/10/2014	\$125,000	\$499,872	\$89,782	\$263,656	
REV Connect	Discretionary	N	117775	Crowne Plaza Times Square Manhattan	2017 REV Connect '17 Conferenc	08/31/2017	\$102,045	\$102,045	\$102,045	\$102,045	
Rmve Barriers Dist Enrgy Storg	Discretionary	N	121380	The American Institute of Architects	AIA Conference on Architecture	12/06/2017	\$8,300	\$8,300	\$8,300	\$8,300	
	Discretionary	N	123419	Climate Policy Initiative	Rmve Barriers Dist Enrgy Storg	02/14/2018	\$48,900	\$48,900			
	Discretionary	N	123420	Center for Renewables Integration	Rmve Barriers Dist Enrgy Storg	02/14/2018	\$37,900	\$37,900			
SARATOGA TECHNOLOGY & ENERGY PARK	Competitive	N	39723	NYS Office of General Services	OGS Services	02/25/2014		\$20,449		\$11,331	
	Competitive	N	53104	OGS State Office Building Campus	OGS Services	11/24/2014		\$5,714		\$1,124	
	Competitive	N	53114	OGS State Office Building Campus	SARATOGA TECHNOLOGY & ENERGY PARK	11/24/2014		\$6,650		\$575	
	Competitive	N	58912	NYS Office of General Services	Permitting Services, GE, 107 H	02/17/2015		\$11,500		\$2,615	
	Competitive	N	104265	Harris Beach PLLC	RFP 3300 Outside Counsel Servi	09/27/2016	\$69,000	\$79,000	\$46,887	\$51,759	
	Competitive	Y	20896	Bergmann Associates	STEP Engineering, Design and C	10/19/2010		\$173,350		\$158,437	
	Competitive	Y	107762	Rosenblum Property Services, LLC	STEP Property Mgmt Services	12/07/2016	\$417,105	\$482,685	\$107,131	\$153,148	
	Competitive	Y	STD11181	Rosenblum Property Services, LLC	STEP Property Management Servi	06/09/2009		\$2,292,665	\$61,992	\$1,530,741	
	Discretionary	N	28950	NYS Office of General Services	OGS permitting services- 20 TT	08/13/2012		\$45,000		\$40,270	
	Discretionary	N	101037	William Morlok	STEP options paper	07/14/2016		\$25,000		\$24,071	
Non-Competitive	N	69174	NYS Office of General Services	OGS Services- GE Exp, 107 Herm	07/16/2015	\$10,032	\$10,032				

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	SAKATUGA TECHNOLOGY & ENERGY PARK	Non-Competitive	Y	ST10743	Harris Beach PLLC	Outside Counsel	05/12/2011		\$360,000		\$322,290
	Small Wind	Discretionary	Y	96890	AWS Truepower	AWS Small Wind Explorer	04/25/2016		\$36,000	\$12,000	\$27,000
SMART GRID SYSTEMS		Competitive	Y	30188	Navigant Consulting Inc.	Flex Energy Tech Analysis 2	11/09/2012		\$346,496	\$70,321	\$316,817
		Competitive	Y	30192	Industrial Economics, Incorporated	Flex Energy Tech Analysis 2	11/09/2012	\$98,000	\$1,603,930	\$126,663	\$1,475,261
		Competitive	Y	59850	Cornell University-Office of Sponsored P	Anaerobic Digestion Assistance	03/02/2015		\$750,000	\$168,325	\$235,221
		Discretionary	N	118233	Robert O Gurman	NY Prize Stage 3 Advisement	09/19/2017	\$49,500	\$49,500	\$49,500	\$49,500
		Non-Competitive	N	123577	Proenergy Consulting LLC	Consulting NY Prize/Greenbank	02/20/2018	\$64,350	\$64,350		
		Solar Thermal	Competitive	Y	117924	Willdan Energy Solutions	Staff Augmentation Services	09/06/2017	\$141,726	\$141,726	
Strategic Energy Manager	Competitive	Y	104532	Apprise, Incorporated	RFQ 3183 Apprise Incorporated	10/05/2016	\$134,973	\$134,973	\$40,976	\$40,976	
Subscription/Data Access		Discretionary	N	109600	CoStar Realty Information, Inc.	Database/subscription services	01/31/2017		\$21,250	\$16,743	\$16,743
		Discretionary	N	115113	American Council for an Energy Efficient	Subscription/Data Access	06/22/2017	\$12,500	\$12,500		
		Discretionary	N	120495	Continental Automated Buildings	Subscription/Data Access	11/10/2017	\$11,700	\$11,700	\$11,700	\$11,700
		Discretionary	N	121002	E Source Companies LLC	Subscription/Data Access	11/27/2017	\$15,000	\$15,000	\$15,000	\$15,000
		Discretionary	N	122877	ACEEE	Subscription/Data Access	01/25/2018	\$50,000	\$50,000		
		Discretionary	N	124011	CoStar Realty Information, Inc.	CoStar Subscription	03/01/2018	\$18,887	\$18,887		
		Non-Competitive		113121	Marketresearch.com Inc	Freedonia HVAC Report	04/25/2017	\$5,300	\$5,300	\$5,300	\$5,300
TBD	Discretionary	N	121306	Rocky Mountain Institute	Digital Solutions Research	12/05/2017	\$50,000	\$50,000	\$25,000	\$25,000	
Underutilized Products	Discretionary	N	115212	Northeast Energy Efficiency Partnerships	NEEP 2017 Membership	06/27/2017	\$47,764	\$47,764	\$109,000	\$109,000	
WEST VALLEY DEVELOPMENT PROGRAM		Competitive	N	28636	The Logue Group	Facilitation Services	07/26/2012		\$410,349	\$14,487	\$182,136
		Competitive	N	104266	Couch White, LLP	RFP 3300 Outside Counsel Servi	09/27/2016	\$6,313	\$11,313	\$3,301	\$7,233
		Competitive	N	PO9602	The Garrick Co., LLC	Probabilistic Evaluation	06/17/2009		\$529,961	\$12,073	\$516,785
		Competitive	Y	34224	McMahon and Mann Consulting Engineers	Engineering/Surveying Cont	07/30/2013		\$432,500	\$130,658	\$412,779
		Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	03/24/2015		\$227,211		\$227,211
		Competitive	Y	69474	Seven Springs West LLC	Operations and Maintenance Sup	07/22/2015		\$715,000	\$52,740	\$696,347
		Competitive	Y	69939	AECOM Technical Services Inc.	Environmental Monitoring Suppo	07/28/2015		\$554,660	\$53,742	\$554,660
		Competitive	Y	104075	Enercon Services Inc	RFP 3305 Licence Consultation	09/21/2016	\$125,652	\$318,779	\$235,836	\$274,389
		Competitive	Y	104264	Arnold & Porter Kaye Scholer LLP	RFP 3300 Outside Counsel Servi	09/27/2016	\$50,000	\$50,000	\$14,193	\$14,193
		Competitive	Y	105183	McMahon and Mann Consulting Engineers	Engineering Services WV	10/20/2016	\$200,000	\$200,000	\$1,561	\$1,561
		Competitive	Y	113450	Chenango Contracting, Inc.	RFP 3456	05/04/2017	\$1,138,102	\$1,138,102	\$1,105,255	\$1,105,255
		Competitive	Y	118436	The Logue Group	WEST VALLEY DEVELOPMENT PROGRAM	09/22/2017	\$413,446	\$413,446	\$16,737	\$16,737
		Discretionary	N	31496	Brenda Sayers	Janitorial Services Contract	03/11/2013		\$33,480		\$32,670
		Discretionary	N	98192	Leslie J Jardine	NFS Records Review	05/11/2016		\$15,000		\$14,400
		Discretionary	Y	91278	Brenda Sayers	W/V Office Cleaning	02/24/2016		\$23,880	\$11,015	\$20,915
		Discretionary	Y	114737	NYS Department of Health	RML Triennial Bill	06/12/2017	\$5,500	\$5,500	\$5,500	\$5,500
		Discretionary	Y	123699	Brenda Sayers	Janitorial contract	02/21/2018	\$48,720	\$48,720		
		Non-Competitive	N	20948	U.S. Nuclear Regulatory Commission	WEST VALLEY DEVELOPMENT PROGRAM	10/26/2010		\$500,000		\$19,307
		Non-Competitive	N	48779	U.S. Department of Energy/Wv	FFY 2015 WVDP Cost Share	09/29/2014		\$7,416,887		\$4,925,610

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Category	Initiative	Type	Term > 1 Year	Contract ID	Contractor	Project Description	Date	Committed this period	Committed to Date	Amt Paid this Period	Amt Paid to Date
PCMT	WEST VALLEY DEVELOPMENT PROGRAM	Non-Competitive	Y	53151	GZA GeoEnvironmental of New York	Trench 14 Investigation	11/24/2014	\$183,375	\$575,875	\$109,516	\$416,757
		Non-Competitive	Y	61280	MJW Technical Services, Inc.	Radiation Protection Support	03/24/2015	\$124,084	\$2,646,829	\$507,006	\$1,799,121
		Non-Competitive	Y	69474	Seven Springs West LLC	Operations and Maintenance Sup	07/22/2015	\$1,695,000	\$1,695,000	\$1,274,598	\$1,274,598
		Non-Competitive	Y	69939	AECOM Technical Services Inc.	Environmental Monitoring Suppo	07/28/2015	\$456,942	\$1,035,156	\$631,639	\$631,639
		Non-Competitive	Y	93559	Peter L. Krog	Ashford Office Complex Lease	03/21/2016		\$458,280	\$91,656	\$175,674
		Non-Competitive	Y	107662	U.S. Nuclear Regulatory Commission	PO Payment of NRC License Fees	12/06/2016		\$250,000	\$45,243	\$107,457
		Non-Competitive	Y	PO9465	Dr. Michael P. Wilson	WEST VALLEY DEVELOPMENT PROGRAM	08/29/2011		\$8,000		\$3,600
WORKFORCE DEVELOPMENT		Competitive	N	37652A	Social Enterprise and Training Center	Contract reassignment	08/07/2015		\$353,855		\$249,017
		Competitive	N	37977A	Social Enterprise and Training Center	Contract Reassignment	10/28/2015		\$221,969		\$119,135
		Competitive	Y	24370	Clinton Essex Warren Washington	GJGNY Lab Houses	10/03/2011		\$172,045		\$160,942
		Competitive	Y	34256	NYS Labor Management Cooperation Commit	Workforce Development and Trai	08/01/2013		\$134,608		\$81,058
		Competitive	Y	34259	North American Board of Certified Energy	Workforce Development and Trai	08/01/2013		\$300,000	\$58,500	\$300,000
		Competitive	Y	34260	Solar Energy International	Workforce Development and Trai	08/01/2013		\$313,653		\$272,754
		Competitive	Y	34655	Interstate Renewable Energy Council, Inc	Implementation Support for WFD	08/16/2013		\$1,200,000		\$883,834
		Competitive	Y	37653	CEC Stuyvesant Cove, Inc.	WF Training Energy Efficiency	11/25/2013		\$104,023		\$69,346
		Competitive	Y	37655	Performance Systems Development, Inc.	WF Training Energy Efficiency	11/25/2013		\$278,460		\$100,388
		Competitive	Y	37662	Karpman Consulting, LLC	WF Training Energy Efficiency	11/25/2013		\$598,565		\$318,399
		Competitive	Y	37664	Rensselaer Polytechnic Institute-LRC	WF Training Energy Efficiency	11/25/2013		\$443,884		\$187,214
		Non-Competitive	N	83535	SUNY Canton	Solar Ready Vets Program	12/10/2015		\$299,972	\$81,164	\$299,512
		Non-Competitive	Y	92212	Green City Force	Career pathways training	03/03/2016	\$60,000	\$367,000	\$155,305	\$218,805
		Workforce Industry Partnership		Competitive	Y	104544	Research Into Action, Inc.	Research Into Action TWO	10/05/2016	\$507,212	\$507,212
Discretionary	Y			121174	Steven Winter Associates, Inc.	NYC Retrofit Demo	11/30/2017	\$49,830	\$49,830		
Grand Total								\$66,376,968	\$479,606,752	\$62,592,659	\$323,614,684

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
205 Wolf Holdings, LLC	d/b/a Radisson Hotel Albany	ALBANY	NY	12205	NYSBE				
455 Hospitality LLC	d/b/a Doubletree Hotel Tarrytown	Tarrytown	NY	10591	NYSBE				
Abt Associates Inc.	6130 Executive Boulevard	Rockville	MD	20852	FSBE				
Access Systems, Inc.	4260 Henneberry Rd	Manlius	NY	13104	NYSBE				
ACEEE	Attn: Moira Annelin	Washington	DC	20045	FSBE				
Adirondack Area Network	10 Empire State Blvd	Castleton	NY	12033-9751	NYSBE				
Adirondack North Country Association	67 Main Street, Suite 201	Saranac Lake	NY	12983	NYSBE				
ADM Associates, Inc.,	3239 Ramos Circle	Sacramento	CA	95827	FSBE				
ADP, Inc.	PO Box 842875	Boston	MA	02284-2875	FSBE				
Advantage Title Agency, Inc.	201 Old Country Rd., Ste 200	Melville	NY	11747	NYSBE				
Adviser Compliance Associates, LLC	8401 Colesville Road, Suite 700	Solver Spring	MD	20910	FSBE				
AECOM Technical Services Inc.	515 South Flower Street, 3rd Floor	Los Angeles	CA	90071	FSBE				
Affordable Comfort, Inc.	One Thorn Run Center	Moon Twp	PA	15108	FSBE				
Affordable Housing Partnership	255 Orange St	Albany	NY	12210-2400	NYSBE				
Albany Dodge	770 Central Avenue	Albany	NY	12206	NYSBE				
AlienVault, Inc.	1875 S. Grant Street, Suite #200	San Mateo	CA	94402	FSBE				
Allegis Group Holdings Inc	d/b/a EASI, LLC	Hanover	MD	21076	FSBE				
Alliance to Save Energy	3570 Guilderland Avenue	Rotterdam	NY	12306	NYSBE		X		
Ally Financial Inc.	200 Renaissance Ctr	Detroit	MI	48265-2000	FSBE				
Ambrosino, DePinto & Schmieder Consultin	45 Broadway, Ste 1220	New York	NY	10006	NYSBE				
Amerex Brokers LLC	One Sugar Creek Center Blvd. #700	Sugar Land	TX	77478	FSBE				
American Council for an Energy Efficient	529 14th Street, NW Suite 600	Washington	DC	20045	FSBE				
American Wind Energy Association	1501 M Street, NW Ste 900	Washington	DC	20005	FSBE				
Amphora Consulting	57 Outpost Lane	Hilton Head	SC	29928	FSBE				
Analysis Group, Inc.	111 Huntington Ave., 14th Fl	Boston	MA	02199	FSBE				
Anne Compton Savage	101 Lenox Ave	Albany	NY	12203	NYSBE	X			
Antares Group, Inc.	4500 Forbes Blvd., Ste 401	Lanham	MD	20706	FSBE				
Applied Energy Group, Inc.	1377 Motor Pkwy Ste 401	Islandia	NY	11749-5258	NYSBE				
Apprise, Incorporated	32 Nassau Street, Suite 200	Princeton	NJ	08542	FSBE				
Aramark Management Srvc Ltd Prtnshp	52 James St., Ste 3	Albany	NY	12207	NYSBE				
Arcadis of New York, Inc.	6723 Towpath Rd	Syracuse	NY	13214	NYSBE				

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Arcadis U.S., Inc.	PO Box 66	Syracuse	NY	13214-0066	NYSBE				
Arch Street Communications, Inc.	31 Mamaroneck Ave., Ste 400	White Plains	NY	10601	NYSBE	X			
Arctic Air Mechanical Corp	13-47 209th St	Bayside	NY	11360	NYSBE				
Argus Media Inc.	500 Fifth Ave., Ste 2410	New York	NY	10110	NYSBE				
Arnold & Porter Kaye Scholer LLP	P.O. Box 759451	Baltimore	MD	21275-9451	FSBE				
ASKCO Electric Supply Co Inc	14 Cooper Street	Glens Falls	NY	12801	NYSBE				
Atelier Ten	45 East 20th Street 4th Floor	New York	NY	10003	NYSBE				
AWS Truepower	463 New Karner Rd	Albany	NY	12205-3821	NYSBE				
B & W Sound Services, Inc.	12994 State Route 34	Martville	NY	13111	NYSBE				
Bank of New York	101 Barclay St	New York	NY	10286	NYSBE				
Barry Frohlinger	1133 Park Avenue, 2E	New York	NY	10128	NYSBE				
BDO USA LLP	770 Kenmoor SE Suite 300	Grand Rapids	MI	49546	FSBE				
Benchmark Printing, Inc.	1890 Maxon Rd Ext	Schenectady	NY	12308-1140	NYSBE				
Bergmann Associates	200 First Federal Plaza, 28 East Main St	Rochester	NY	14614	NYSBE				
Best Buy Store, L.P.	7601 Penn Avenue South	Richfield	MN	55423	FSBE				
BioDiversity Research Institute	276 Canco Rd Lowr	Portland	ME	04103-4347	FSBE				
Bizodo Inc	30 Vandam St. Fl2	New York	NY	10013	NYSBE				
Blue Pearl Hospitality LLC	d/b/a Hilton Long Island/Huntington	Melville	NY	11747-5002	NYSBE				
Bond, Schoeneck & King, PLLC	22 Corporate Woods Blvd., Ste 501	Albany	NY	12211-2503	NYSBE				
BPCA NYS Inc.	15516 99th St	Howard Beach	NY	11414	NYSBE				
Brand/Cool Marketing	2300 East Avenue	Rochester	NY	14610	NYSBE				
Brattle Group Inc.	One Beacon St., Ste 2600	Boston	MA	02108	FSBE				
Brenda Sayers	8870 Route 240	West Valley	NY	14171-0111	NYSBE				
Buckeye Terminals, LLC	One Greenway Plaza	Houston	TX	77046	FSBE				
Building Media, Inc.	12932 SE Kent Kangley Rd, Ste 529	Kent	WA	98030	FSBE				
Business Environments	106 Despatch Dr., Ste #2	East Rochester	NY	14445	NYSBE				
BW Research Partnership	2725 Jefferson St., Ste13	Carlsbad	CA	92008	FSBE				
C.J. Brown Energy, P.C.	4245 Union Rd., Ste 204	Cheektowaga	NY	14225	NYSBE				
C.T. Male Associates Engineering,	Surveying, Architecture & Landscape Architecture, D.P.C	Latham	NY	12110-2116	NYSBE				
Calstart	48 S Chester Avenue	Pasadena	CA	91106	FSBE				
Capital District Records Management, Inc	PO Box 4044	Clifton Park	NY	12065	NYSBE				

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Carahsoft Technology Corporation	1860 Michael Faraday Drive	Reston	VA	20190	FSBE				
Carbone Auto Group, LLC	5194 Commercial Drive	Yorkville	NY	13495	NYSBE				
Caroprese & Company	261 Park Avenue	Rutherford	NJ	07070	FSBE				
Carrow Real Estate Services, LLC	Columbia Circle Assoc., LLC	Chicago	IL	60674	FSBE				
CDH Energy Corporation	2695 Bingley Road	Cazenovia	NY	13035	NYSBE				
CDM Smith, Inc.	110 Fieldcrest Ave., #8	Edison	NJ	08837	FSBE				
CEC Stuyvesant Cove, Inc.	37 W 26TH ST RM 209	NEW YORK	NY	10010	NYSBE				
Center for Internet Security Inc	31 TECH VALLEY DR	EAST GREENBUSH	NY	12061	NYSBE				
Center for Renewables Integration	Attn: Treasurer	Springfield	VA	22152	FSBE				
Center for Sustainable Energy	9325 Sky Park Court, Suite 100	San Diego	CA	92123	FSBE				
CHA Consulting Inc.	3 Winners Circle	Albany	NY	12205	NYSBE				
Chadbourne & Parke LLP	1300 Avenue of the Americas	New York	NY	10019	NYSBE				
Chenango Contracting, Inc.	29 Arbutus Road	Johnson City	NY	13790	NYSBE		X		
Chloe Capital SPV Manager LLC	119 S. Cayuga Street, Suite 202	Ithaca	NY	14850	NYSBE				
Clarkson Univ., Research Div.	8 Clarkson Avenue	Potsdam	NY	13699	NYSBE				
Clean Energy States Alliance	Attn: Maria Blais	Montpelier	VT	05602	FSBE				
Clean Power Research	1541 Third Street	Napa	CA	94559	FSBE				
CLEARResult Consulting, Inc.	4301 Westbank Dr., A-150	Austin	TX	78746	FSBE				
Clifford Chance US LLP	31 West 52nd Street	New York	NY	10019	NYSBE				
Climate Policy Initiative	235 Montgomery Street #13	San Francisco	CA	94104	FSBE				
Clinton Essex Warren Washington	PO Box 455	Plattsburgh	NY	12901	NYSBE				
ClipperCreek, Inc.	11850 Kemper Rd., Ste E	Auburn	CA	95603	FSBE				
Coletree Security Corporation	3512 Rosendale Rd	Niskayuna	NY	12309	NYSBE	X			
Color Methods	dba CMI Communications / CMI AV	Rochester	NY	14624	NYSBE				
Commercial Investigations LLC	622 Loudon Rd., Ste 201	Latham	NY	12110	NYSBE	X			
Community Environmental Center, Inc.	43-10 11th St.	Long Island City	NY	11101	NYSBE				
Compressed Air Challenge, Inc.	Attn: Tracey Kohler	Alexandria	VA	22308	FSBE				
Computer Aid, Inc.	1390 Ridgeview Dr	Allentown	PA	18104	FSBE				
Computer SOS Inc.	1505 Cleveland Drive	Cheektowaga	NY	14225	NYSBE				
Concord Servicing Corporation	4150 N Drinkwater Blvd., Ste #200	Scottsdale	AZ	85251-3643	FSBE				
Concur Technologies, Inc.	601 108th Ave., NE, Ste 1000	Bellevue	WA	98004-4750	FSBE				

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CONEG Policy Research Center, Inc.	400 North Capitol Street, NW, Suite 382	Washington	DC	20001	FSBE				
Consortium for Energy Efficiency, Inc.	98 North Washington Street	Boston	MA	02114-1918	FSBE				
Continental Automated Buildings	1173 Cryville Road	Ottawa	ON	K1J756	FSBE				
Control Solutions Group, Inc.	122 West 27th St., 5th Floor	New York	NY	10001	NYSBE				
Cornell University	373 Pine Tree Rd	Ithaca	NY	14850	NYSBE				
	Div. of Financial Affairs	Ithaca	NY	14850	NYSBE				
	East Hill Plaza	Ithaca	NY	14850	NYSBE				
Cornell University-Office of Sponsored P	216 Riley-Robb Hall	Ithaca	NY	14853	NYSBE				
Corporate Resolutions Inc	345 Seventh Ave., 10th Floor	New York	NY	10001	NYSBE				
CoStar Realty Information, Inc.	1331 L St. NW	Washington	DC	20005-4101	FSBE				
Couch White, LLP	Attn: Michael B. Mager	Albany	NY	12201	NYSBE				
Crowne Plaza Times Square Manhattan	Manhattan Hotel	New York	NY	10019-7406	NYSBE				
CTG:l LLC	1714 Franklin St. #100-286	Oakland	CA	94612	FSBE				
Cullen and Dykman, LLP	100 Quentin Roosevelt Boulevard	Garden City	NY	11530	NYSBE				
Culver Company, LLC	104 Bridge Rd	Salisbury	MA	01952	FSBE				
Currier McCabe Associates	d/b/a CMA Consulting Services	Latham	NY	12110-2460	NYSBE	X			
D & R International Ltd	1300 Spring St, Ste 500	Silver Spring	MD	20910	FSBE				
D C Hotels Two, LLC	300 Broadway	Albany	NY	12207	NYSBE				
D.L. English Consulting, Inc.	616 Elm Street	S Dartmouth	MA	02748	FSBE				
Darling Advertising Agency Inc.	181 Christopher Street	New York	NY	10014	NYSBE				
DealCloud Inc.	129 West Trade St., Ste 1025	Charlotte	NC	28202	FSBE				
Dell, Inc.	1 Dell Way, RR8, Box 8716	Round Rock	TX	78682	FSBE				
Dentons US LLP	1221 Avenue of the Americas	New York	NY	10020	NYSBE				
DFB Sales Inc.	21-07 Borden Ave	Long Island City	NY	11101	NYSBE				
Direct Marketing Productions, Inc.	d/b/a eventPower	Centreville	VA	20120	FSBE				
Direct Packet Inc.	909 Lake Carolyn Parkway, Suite 1800	Irving	TX	75039	FSBE				
DNC Parks & Resorts at Gideon Putnam LLC	24 Gideon Putnam Rd	Saratoga Springs	NY	12866-6294	NYSBE				
DNV GL Energy Services USA Inc.	67 South Bedford St., Ste 201E	Burlington	MA	01803	FSBE				
Documentation Strategies, Inc.	15 2nd Ave	Rensselaer	NY	12144-2826	NYSBE	X	X		
Dodge Data & Analytics	7625 Collection Center Drive	Chicago	IL	60693-0076	FSBE				
Dowd-Witbeck Printing Corp.	d/b/a The Alchar Printing Group	Troy	NY	12180-5899	NYSBE	X			

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Dr. Michael P. Wilson	3380 E Main Rd	Dunkirk	NY	14048-9774	NYSBE				
E Source Companies LLC	1745 38th Street	Boulder	CO	80301	FSBE				
EC Infosystems, Inc.	333 Earle Ovington Boulevard	Uniondale	NY	11553	NYSBE		X		
Ecology and Environment Engineering, PC	368 Pleasant View Drive	Lancaster	NY	14086	NYSBE				
Efficiency First New York, Inc.	1375 Akron St	Copiague	NY	11726	NYSBE				
Efficiency Forward, Inc.	81 Hartwell Ave.	Lexington	MA	02421	FSBE				
El Puente	211 South 4th St.	Brooklyn	NY	11211	NYSBE				
Electric Energy Services & Advisors, LLC	2 Stonebriar Dr.	Slingerlands	NY	12159	NYSBE				
Electric Power Research Institute	1325 G Street, NW, Ste 1080	Washington	DC	20005	FSBE				
Emacx Systems, Inc.	100 Executive Drive, Suite 390	West Orange	NJ	07052	FSBE				
EME Consulting Engineering Group, LLC	129 West 27th Street	New York	NY	10001	NYSBE				
Emerald Alternative Energy Solutions, In	273 Walt Whitman Rd. #224	Huntington Station	NY	11746	NYSBE				
Employee Leasing of Greater NY	d/b/a Distinctive Personnell, LLC	Red Bank	NJ	07701	FSBE			X	
Enercon Services Inc	500 Town Park Lane	Kennesaw	GA	30144	FSBE				
Energy & Environmental Research Associates, LLC		Pittsford	NY	14534-4713	NYSBE				
Energy & Resource Solutions, Inc.	120 Water Street, Suite 350	North Andover	MA	01845	FSBE				
Energy and Environmental Economics Inc.	PO Box 87	San Francisco	CA	94104	FSBE				
Energy Improvement Corporation	2051 BALDWIN RD	YORKTOWN HEIGHTS	NY	10598	NYSBE				
Energy Week Holdings, LLC	63 Flushing Avenue, Unit 282	Brooklyn	NY	11205	NYSBE				
EnergySavvy Inc	159 S. Jackson St., Ste 420	Seattle	WA	98104	FSBE				
Ensava, Inc.	65 Millett Street, Suite #105	Richmond	VT	05477	FSBE				
Environment & Energy Publishing, LLC	122 C Street NW	Washington	DC	20001	FSBE				
ePlus inc	13595 Dulles Technology Dr	Herndon	VA	20171-3413	FSBE				
Erdman Anthony & Associates, Inc.	145 Culver Road, Suite 200	Rochester	NY	14620	NYSBE				
Eric Mower and Associates	7 Southwoods Blvd., Suite 300	Albany	NY	12211	NYSBE				
ESRI, Incorporated	380 New York Street	Redlands	CA	92373	FSBE				
Essense Partners Inc.	125 Park Ave., 25th Floor	New York	NY	10017	NYSBE	X	X		
EV Connect Inc.	615 N Nash Street	El Segundo	CA	90245	FSBE				
Evolved Energy Research	2443 Fillmore St #380-5304	San Francisco	CA	94115	FSBE				
Evoworld	2800 7th Avenue	Troy	NY	12180	NYSBE				
Executive Information Systems, LLC	6901 Rockledge Dr., Ste 600	Bethesda	MD	20817	FSBE				

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FARM, LLC	d/b/a FARM	Depew	NY	14043	NYSBE				
Fiber Technologies Networks, LLC	80 Central Street	Boxborough	MA	01719	FSBE				
First Associates Loan Servicing, LLC	15373 Innovation Dr #300	San Diego	CA	92128	FSBE				
First Columbia Property Services, LLC	22 Century Hill Dr Ste 301	Latham	NY	12110-2116	NYSBE				
First Quality Maintenance II, LLC	d/b/a Alliance Maintenance	New York	NY	10018	NYSBE				
FM Office Express	d/b/a Business Environments by Rast	Troy	NY	12180-3807	NYSBE		X		
Foley Hoag, LLP	155 Seaport Boulevard	Boston	MA	02210	FSBE				
Fort Orange Press Incorporated	11 Sand Creek Rd # 828	Albany	NY	12205-1442	NYSBE				
Frank Communications	876 West Valley Rd	Argyle	NY	12809	NYSBE	X			
Fried, Frank, Harris, Shriver, Jacobson	One New York Plaza	New York	NY	10004	NYSBE				
Frontier Abstract and Research Services	69 Cascade Dr., Ste 101	Rochester	NY	14614	NYSBE				
FTI Consulting Technology LLC	909 Commerce Rd	Annapolis	MD	21401	FSBE				
Gard Tec Security Svcs., Inc.	24 Colvin Ave.	Albany	NY	12206-1203	NYSBE				
Gardiner & Theobald Inc	317 Madison Ave., 19th Fl	New York	NY	10017	NYSBE				
Garner Environmental Services, Inc.	1717 W 13th St	Deer Park	TX	77536	FSBE				
Gartner Inc.	56 Top Gallant Rd	Stamford	CT	06902	FSBE				
GCOM Software LLC	24 Madison Ave Ext	Albany	NY	12203	NYSBE				
GDS Associates, Inc.	1181 Elm Street	Manchester	NH	03101	FSBE				
General Electric International Inc	1 River Rd Bldg 2	Schenectady	NY	12345-6000	NYSBE				
Gove Group, Inc.	226 Paul Street	Pittsburgh	PA	15211	FSBE				
Greater NY Auto Dealers Association	1810 Whitestone Expy # 10	Whitestone	NY	11357-3056	NYSBE				
Green City Force	630 Flushing Ave., 8th Fl	Brooklyn	NY	11206	NYSBE				
Green Light New York, Inc.	31 Chambers St., Ste 609	New York	NY	10007	NYSBE				
GreenBiz Group, Inc.	350 Frank H. Ogawa Plaza	Oakland	CA	94612	FSBE				
Greentech Media Inc.	2 Liberty Square	Boston	MA	02109	FSBE				
GUSTOMSC US INC.	840 W Sam Houston Pkwy N., Ste 410	Houston	TX	77024	FSBE				
GZA GeoEnvironmental of New York	300 Pearl St., Ste 700	Buffalo	NY	14202	NYSBE				
Harris Beach PLLC	99 Garnsey Road	Pittsford	NY	14534	NYSBE				
Harris Wiltshire & Grannis LLP	1919 M Street, NW, Eighth Floor	Washington	DC	20036	FSBE				
Hawkins Delafield & Wood LLC	7 World Trade Center	New York	NY	10007	NYSBE				
Hobbs & Towne, Inc.	PMB 269, PO Box 987	Valley Forge	PA	19482	FSBE				

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Hodgson Russ LLP	The Guaranty Building	Buffalo	NY	14202	NYSBE				
Holland & Knight LLP	31 W. 52nd Street	New York	NY	10019	NYSBE				
Honeywell International Inc.	1 Dupont St., Suite 104	Plainview	NY	11803	NYSBE				
Huttner Strategies	1173 Pittsford Victor Rd	Pittsford	NY	14534	NYSBE				
IBM Corporation	299-300 Long Meadow Rd.	Sterling Forest	NY	10979-0700	NYSBE				
ICF Jones & Stokes	101 Lucas Valley Road, Suite 260	San Rafael	CA	94903	FSBE				
ICF Resources, LLC	9300 Lee Highway	Fairfax	VA	22031	FSBE				
IESI NY Corporation	d/b/a Waste Connections	Iselin	NJ	08830	FSBE				
IHS Global Inc.	15 Inverness Way East	Englewood	CO	80112	FSBE				
IIA Quality Services, LLC	1035 Greenwood Blvd., Suite 401	Lake Mary	FL	32746	FSBE				
Industrial Economics, Incorporated	2067 Massachusetts Avenue	Cambridge	MA	02140	FSBE				
Infogroup Government Division	1020 East 1st St.	Papillion	NE	68046	FSBE				
Infosys International Inc	110 Terminal Drive	Plainview	NY	11803	NYSBE		X		
inLogic, Inc.	3162 Johnson Ferry Rd	Marietta	GA	30062	FSBE				
inMotion, Inc.	PO Box 744276	Atlanta	GA	30384-4276	FSBE				
INSPIRE Environmental, LLC	88 Silva Street, Suite 4	Middletown	RI	02842	FSBE				
Institute for Building Technology and Sa	45207 Research Place	Ashburn	VA	20147	FSBE				
Integration Strategy, Inc.	2578 Broadway #124	New York	NY	10025	NYSBE	X	X		
Intellidatum LLC	40 Worth Ave	Hudson	NY	12534	NYSBE				
Interaction Associates	70 Fargo Street, Suite 908	Boston	MA	02210	FSBE				
International Code Council, Inc.	48 Dublin Drive	Niskayuna	NY	12309	NYSBE				
International Energy Program	Evaluation Conference Inc	Madison	WI	53705	FSBE	X	X		
Interstate Renewable Energy Council, Inc	PO BOX 1156	Latham	NY	12110-0079	NYSBE				
Intrepid Museum Foundation	One Intrepid Square	New York	NY	10036	NYSBE				
iPROMOTEu.com Inc.	Souders Brand Marketing LLC	Pittsburgh	PA	15251-0896	FSBE				
James McCrea & Associates, LLC	272 Newtown Tpke	Wilton	CT	06897	FSBE				
Jasper Van den Munckhof		Obbicht		6125 AT	FSBE				
JCB Specialties, Inc.	8 Sand Creek Road	Albany	NY	12205	NYSBE				
Jeffery B. Greenblatt	d/b/a Emerging Futures LLC	Berkeley	CA	94710	FSBE				
John E. Batey	35 Fawn Rd.	Easton	CT	06612	FSBE				
Johnstone Group, LLC	37 Bell Hill Road	Grand Isle	VT	05458	FSBE				

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Kallen & Lemelson Consulting Engineers,	520 8th Ave	New York	NY	10018-6507	NYSBE				
Karpman Consulting, LLC	41C New London Turnpike	Glastonbury	CT	06033	FSBE				
KayDev Technology, LLC	9517 Navarro Street	Crowley	TX	76036	FSBE		X	X	
Kearns & West, Inc.	1110 Vermont Ave., NW STE 950	Washington	DC	20005-5331	FSBE				
Kelleher Samets Volk	212 Battery Street	Burlington	VT	05401	FSBE				
KEMA Incorporated	67 South Bedford St., Ste 201 E	Burlington	MA	01803-5108	FSBE				
KPMG LLP	PO Box 120001	Dallas	TX	75312-0511	FSBE				
Kroll Bond Rating Agency, Inc.	845 3rd Ave., 4th Fl	New York	NY	10022	NYSBE				
L&S Energy Services, Inc.	58 Clifton Country Road	Clifton Park	NY	12065	NYSBE				
LaBella Associates, P.C.	300 State Street	Rochester	NY	14614	NYSBE				
Lamont Financial Services Corporation	30 Two Bridges Rd., Suite 205	Fairfield	NJ	07004	FSBE				
Laurie D Kerr	L.K. Policy Lab	New York	NY	10014	NYSBE	X			
LCO Buildings LLC	726 Exchange St ,Ste 825	Buffalo	NY	14210-1433	NYSBE				
Leslie J Jardine	d/b/a L J Jardine Services	Dublin	CA	94568	FSBE				
Level 3 Communications, LLC	1025 Eldorado Blvd	Broomfield	CO	80021	FSBE				
LexisNexis	1275 Broadway	Albany	NY	12204	NYSBE				
Lockheed Martin Corporation	1701 W Marshall Drive	Grand Prairie	TX	75051	FSBE				
Loeb & Loeb LLP	345 Park Avenue	New York	NY	10154	NYSBE				
Long Island Progressive Coalition	90 Pennsylvania Avenue	Massapequa	NY	11758	NYSBE				
Low-Level Radioactive Waste Forum, Inc.	1619 12th Street	Washington	DC	20009	FSBE				
Lumsden & McCormick, LLP	369 Franklin St	Buffalo	NY	14202	NYSBE				
Luthin Associates, Inc.	535 Main Street	Allenhurst	NJ	07711	FSBE	X			
M/E Engineering, P.C.	433 State St., Ste 410	Schenectady	NY	12305	NYSBE				
Marketresearch.com Inc	11200 Rockville Pike, Suite 504	Rockville	MD	20852	FSBE				
Marshall & Sterling, Inc.	125 High Rock Ave., Ste 206	Saratoga Springs	NY	12866	NYSBE				
MCCK Syracuse Hotel No 2 LP	6301 State Route 298	East Syracuse	NY	13057	NYSBE				
McMahon and Mann Consulting Engineers	2495 Main St, Ste 432	Buffalo	NY	14214-2103	NYSBE				
Meister Consultants Group, Inc.	One Center Plaza, Ste 320	Boston	MA	02108	FSBE				
Michaels Energy, Inc.	400 Main Street, Suite 200	La Crosse	WI	54601	FSBE				
Milliman, Inc.	250 Washington Ave. Ext.	Albany	NY	12203-5401	NYSBE				
mindSHIFT Technologies, Inc.	500 Commack Rd., Ste 140	Commack	NY	11725	NYSBE				

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MJ Mechanical Services, Inc.	2040 Military Road	Tonawanda	NY	14150	NYSBE				
MJW Technical Services, Inc.	243 Root St.	Olean	NY	14760	NYSBE				
Modern Grid Solutions	P.O. Box 672	Redmond	WA	98073-0672	FSBE		X		
National Economic Research Associates, Inc.		Washington	DC	20037	FSBE				
National Home Performance Council	717 Kennebec Ave	Takoma Park	MD	20912	FSBE				
Navigant Consulting Inc.	30 S. Wacker Dr.	Chicago	IL	60606-7444	FSBE				
NECEC Institute	250 Summer St., 5th Floor	Boston	MA	02210	FSBE				
Neighborhood Housing Services of Jamaica	89-70 162nd Street	Jamaica	NY	11432	NYSBE				
Neighborhood Housing Services Staten Isl	770 Castleton Avenue	Staten Island	NY	10310	NYSBE				
NESCAUM	89 South Street	Boston	MA	02111	FSBE				
NESEA	50 Miles Street	Greenfield	MA	01301	FSBE				
Netanium Inc	116 John Street	Lowell	MA	01852	FSBE				
Network Experts of New York, Inc.	407 Vesper Court	Slingerlands	NY	12159	NYSBE	X	X		
New Buildings Institute, Inc.	P.O. Box 2349	White Salmon	WA	98672	FSBE				
New York Battery and Energy Storage	Technology Consortium, Inc.	Albany	NY	12203	NYSBE				
New York Passive House Inc.	334 Douglass Street	Brooklyn	NY	11217	NYSBE				
New York Press & Graphics Inc.	12 Interstate Ave	Albany	NY	12205	NYSBE				
New York State Ag & Markets	10B Airline Drive	Albany	NY	12235	NYSBE				
New York State Department of State	99 Washington Ave., 11th Floor	Albany	NY	12231	NYSBE				
New York State Forum for IRM	24 Aviation Rd., Suite 206	Albany	NY	12205	NYSBE				
Nexant, Incorporated	44 South Broadway	White Plains	NY	10601	NYSBE				
nrastructure	5 Enterprise Avenue	Clifton Park	NY	12065	NYSBE				
NGenious Solutions Inc.	30 Knightsbridge Road	Piscataway	NJ	08854	FSBE				
NIRAS A/S	Sortemosevej 19	Alleroed		DK3450	FSBE				
Nixon Peabody LLP	437 Madison Avenue	New York	NY	10022	NYSBE				
NMR Group, Inc.	50-2 Howard Street	Somerville	MA	02144	FSBE				
North American Board of Certified Energy Practitioners, Inc.		Clifton Park	NY	12065	NYSBE				
Northco Products, Inc.	12 Walker Way	Albany	NY	12205-4946	NYSBE				
Northeast Energy Efficiency Partnerships	81 Hartwell Ave., Ste 220	Lexington	MA	02421-3137	FSBE				
Novus Engineering, P.C.	25 Delaware Ave	Delmar	NY	12054-1504	NYSBE				
NYC Climate Action Alliance Inc.	560 Lexington Ave	New York	NY	10022	NYSBE				

Annual Report: Section 1 - Contractor Addresses - 4/1/2017 to 3/31/2018

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
NYC Department of Environmental Protect	5917 Junction Blvd., 18th Fl	Flushing	NY	11373	NYSBE				
Nye Automotive Group, Inc	1441 Genesee Street	Oneida	NY	13421	NYSBE				
NYS Department of Health	Po Box 509	Albany	NY	12201-0509	NYSBE				
NYS Economic Development Council	111 Washington Ave Fl 6th	Albany	NY	12210-2207	NYSBE				
NYS Labor Management Cooperation Committ	135 Old Cove Rd., Suite 208	Liverpool	NY	13090	NYSBE				
NYS Office for Technology	1 S Swan St Fl 3rd	Albany	NY	12210-2416	NYSBE				
	State Capital, ESP	Albany	NY	12220-0062	NYSBE				
NYS Office of General Services	1220 Washington Ave	Albany	NY	12226	NYSBE				
	Division of General Services	Albany	NY	12242	NYSBE				
	P.O. Box 2117	Albany	NY	12220-0117	NYSBE				
NYS Technology Enterprise Corporation	500 Avery Ln., Ste A	Rome	NY	13441	NYSBE				
OGS State Office Building Campus	NYS Office of General Services	Albany	NY	12246	NYSBE				
Omnicap Group, LLC	2286 E Maple Avenue	EI Segundo	CA	90245	FSBE				
Open Energy Efficiency Inc.	364 Ridgewood Ave.	Mill Valley	CA	94941	FSBE				
Opinion Dynamics Corporation	1000 Winter St	Waltham	MA	02451	FSBE				
Optimal Energy Inc.	10600 Rte 116, Ste 3	Hinesburg	VT	05461	FSBE				
Oracle America, Inc.	PO Box 203448	Dallas, Inc.	TX	75320-3448	FSBE				
OwnBackup Inc.	400 Kelby St.	Fort Lee	NJ	07024	FSBE				
Pace University Energy & Climate Center	78 N Broadway	White Plains	NY	10603-3710	NYSBE				
Pathstone Corporation	d/b/a Home Energy Solutions	Rochester	NY	14607	NYSBE				
PCMG, Inc.	d/b/a PCM Gov Inc.	Chantilly	VA	20151-2273	FSBE				
Pedro Barretto Leite de Barros	188 E 78th Street, Apartment 14C	New York	NY	10075	NYSBE				
People United for Sustainable Housing In	271 Grant Street	Buffalo	NY	14213	NYSBE				
Performance Systems Development	of New York, LLC	Ithaca	NY	14850	NYSBE				
Performance Systems Development, Inc.	124 Brindley Street, Suite 4	Ithaca	NY	14850-9396	NYSBE				
Peter L. Krog	4 Centre Drive	Orchard Park	NY	14127	NYSBE				
Petrecky Consulting LLC	359 Westwoods CT	Schenectady	NY	12303	NYSBE				
Phire, Inc	8370 Greensboro Dr.	McLean	VA	22102	FSBE				
Pitney Bowes, Inc.	PO Box 856390	Louisville	KY	40285-6390	FSBE				
Poseidon Systems, LLC	200 Canal View Boulevard, Suite 300	Rochester	NY	14623	NYSBE				
Praveen Amar	119 Simonds Road	Lexington	MA	02420	FSBE				

Annual Report: Section 1 - Contractor Addresses - 4/1/2017 to 3/31/2018

Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Proenergy Consulting LLC	245 West 99th Street	New York	NY	10025	NYSBE				
Public Policy and Education Fund of NY	94 Central Ave	Albany	NY	12206	NYSBE				
Quanta Technology LLC	4020 Westchase Blvd, Ste 300	Raleigh	NC	27607	FSBE				
Rafferty Enterprises, Inc.	d/b/a AccuStaff	Albany	NY	12205	NYSBE	X			
Randstad North America, Inc.	d/b/a Accustaff	Carol Stream	IL	60132-2084	FSBE	X			
Rasky Baerlein Strategic Communications	70 Franklin St	Boston	MA	02110	FSBE				
Regional Economic Models, Inc	433 West St	Amherst	MA	01002	FSBE				
Regional Greenhouse Gas Initiative, Inc.	90 Church St	New York	NY	10007-2919	NYSBE				
Rensselaer Polytechnic Institute	Research Adm & Finance, 4th Fl West Hall	Troy	NY	12180-3522	NYSBE				
Rensselaer Polytechnic Institute-LRC	21 Union St	Troy	NY	12180-3352	NYSBE				
Research Foundation of CUNY	230 W 41ST ST., 7th Floor	NEW YORK	NY	10036	NYSBE				
Research Foundation of SUNY	P.O. Box 9	Albany	NY	12201	NYSBE				
	SUNY, Stony Brook	Stony Brook	NY	11794-0002	NYSBE				
Research Into Action, Inc.	3934 NE MLK Boulevard	Portland	OR	97212	FSBE	X			
Resources for the Future	1616 P Street, NW	Washington	DC	20036-1400	FSBE				
Restaurant Associates, LLC	2400 Yorkmont Road	Charlotte	NC	28217	FSBE				
Richard E. Gibbs, Ph.D., P.E.	287 Hidley Rd	Wynantskill	NY	12198-8068	NYSBE				
Robert O Gurman	245 W 99th Street, Apt 24A	New York	NY	10025	NYSBE				
Robison Energy LLC	500 Executive Blvd Ste 128	Elmsford	NY	10523-1234	NYSBE				
Rocky Mountain Institute	1820 Folsom St.	Boulder	CO	80302	FSBE				
Roedel Partners of Mt. Kisco, LLC	Holiday Inn Mt. Kisco	Mt. Kisco	NY	10549	NYSBE				
Rosenblum Property Services, LLC	PO Box 38070	Albany	NY	12203	NYSBE				
Rural Ulster Preservation Company	289 Fair St	Kingston	NY	12401	NYSBE				
Sea Risk Solutions LLC	16 Woodland Terrace	High Bridge	NJ	08829	FSBE				
Seven Springs West LLC	7186 Peters Road	Springville	NY	14141	NYSBE	X			
Sharon Sherrard	156 W 86th St Apt 7A	New York	NY	10024	NYSBE				
SHI, Inc.	P.O. Box 952121	Dallas	TX	75395	FSBE				
Shutterstock, Inc.	d/b/a WebDAM	New York	NY	10118	NYSBE				
Sierra-Cedar, Inc.	PO Box 402521	Atlanta	GA	30384-2521	FSBE				
Sitecore USA, Inc.	591 Redwood Hwy, Bldg 4000	Mill Valley	CA	94941	FSBE				
Siteimprove, Inc.	One Capitol Mall, Ste 670	Sacramento	CA	95814	FSBE				

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Sive Paget & Riesel PC	560 Lexington Ave., Floor 15	New York	NY	10022-1994	NYSBE				
Skadden, Arps, Slate, Meagher & Flom LL	4 Times Sq Fl 24th	New York	NY	10036-6518	NYSBE				
SMG Partners	55 Eagle Street	Albany	NY	12207	NYSBE				
Social Enterprise and Training Center	131 State St.	Schenectady	NY	12305	NYSBE				
Solar Energy International	39845 Mathews Lane	Paonia	CO	81428	FSBE				
Solar Promotion International GmbH	Kiehnlestrasse 16	Pforzheim	BW	75172	FSBE				
Sologistics, LLC	23 W123rd St., Ste 5	New York	NY	10027	NYSBE	X	X		
Source One	370 Seventh Ave., Suite 704	New York	NY	10001	NYSBE				
Sperry Energy	39 Central Ave.	Saratoga Springs	NY	12866	NYSBE				
SS&C Technologies, Inc.	80 Lamberton Rd	Windsor	CT	06095	FSBE				
Steven Winter Associates, Inc.	61 Washington St	Norwalk	CT	06854	FSBE				
Stewart Energy Consultants, LLC	15 Oak Knoll Street	Summit	NJ	07901	FSBE				
Stewart Title Insurance Company	47 W Main Street	Rochester	NY	14614	NYSBE				
Sunset Green Home LLC	112 E 74th St., #2N	New York	NY	10021	NYSBE	X			
SUNY - ESF	The Research Foundation - SUNY	Albany	NY	12201-0009	NYSBE				
SUNY Canton	34 Cornell Dr	Canton	NY	13617-1701	NYSBE				
Sustainable CUNY CUNY/RF	205 E. 42nd Street, 11th Fl	New York	NY	10017	NYSBE				
Sustainable Performance Consulting, Inc.	1630 Empire Blvd., Ste. 100	Webster	NY	14580	NYSBE	X			
Sustainable South Bronx Inc.	1647 Macombs Rd, Ground Floor	Bronx	NY	10453	NYSBE				
Syracuse University	621 Skytop Road, Ste 130	Syracuse	NY	13244	NYSBE				
	Bursar Operations	Syracuse	NY	13244-1140	NYSBE				
Systems Management Planning, Inc.	1020 John St	West Henrietta	NY	14586	NYSBE				
T.McElligott, Inc.	589 River Street	Troy	NY	12180	NYSBE				
T.Y. Lin International	255 East Ave	Rochester	NY	14604	NYSBE				
Tabors Caramanis Rudkevich Inc.	75 Park Plaza, 4th Floor	Boston	MA	02116	FSBE				
Taitem Engineering, P.C.	110 South Albany Street	Ithaca	NY	14850	NYSBE				
Taylor Group LTD	d/b/a Apogee Exhibits	Macedon	NY	14502	NYSBE				
Tech Home Electric, LLC	6804 Duanesburg Rd	Duanesburg	NY	12056	NYSBE				
Tech Valley Talent, LLC	c/o Millennium Funding	Atlanta	GA	31193-5587	FSBE	X			
Technology Professionals Group Inc.	D/B/A Cloud and Things	Loudonville	NY	12211	NYSBE	X	X		
TecMarket Works	S8753 Waters Edge Way	Prairie du Sac	WI	53578	FSBE				

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Terremark Federal Group, LLC	One Biscayne Tower	Miami	FL	33131-1802	FSBE				
The American Institute of Architects	1735 New York Ave. NW	Washington	DC	20006	FSBE				
The Bonadio Group	171 Sully's Trail	Pittsford	NY	14534	NYSBE				
The Business Council of NYS, Inc.	12 Corporate Woods Blvd, Suite 17	Albany	NY	12211	NYSBE				
The Cadmus Group, Inc.	100 5th Ave., Ste 100	Waltham	MA	02451	FSBE				
The Garrick Co., LLC	221 Cresent Bay Drive	Laguna Beach	CA	92651	FSBE				
The Initiatives Group	83 Fieldstone Dr.	Gansevoort	NY	12831	NYSBE				
The Logue Group	33 Holbrook Road	West Hartford	CT	06107	FSBE				
The McAulay Smith Firm, LTD	100 North Tryon St., Ste 5220	Charlotte	NC	28202	FSBE				
The Research Foundation for the State	University of New York	Albany	NY	12201-0009	NYSBE				
The Research Foundation of SUNY at Bing	Po Box 6000	Binghamton	NY	13902-6000	NYSBE				
The Trustees of Columbia University	1210 Amsterdam Ave	New York	NY	10027-7003	NYSBE				
Theron Jay Egg, Jr.	d/b/a EggGeothermal Consulting	Kissimmee	FL	34746	FSBE				
Thornton Tomasetti, Inc.	51 Madison Avenue	New York	NY	10010	NYSBE				
Time Warner Cable	130 Washington Ave Ext	Albany	NY	12203	NYSBE				
Tobi Jo Creative, LLC	28 Main Avenue	Sea Cliff	NY	11579	NYSBE	X			
TRC Energy Services	21 Griffin Rd North	Windsor	CT	06095	FSBE				
TRC Engineers, Inc.	21 Griffin Rd North	Windsor	CT	06095	FSBE				
Triad Technology Partners LLC	7910 Woodmont Ave Suite 220	Bethesda	MD	20814	FSBE	X			
Troy Web Consulting, LLC	21 Westover Rd.	Troy	NY	12180	NYSBE				
Trust for Conservation Innovation	405 14th St., Ste 164	Oakland	CA	94612-2705	FSBE				
Tully Rinckey PLLC	441 New Karner Road	Albany	NY	12205	NYSBE			X	
U.S. Department of Energy/Wv	P.O. Box 191	West Valley	NY	14171	NYSBE				
U.S. Department of Transportation	Volpe Center	Cambridge	MA	02142	FSBE				
U.S. Geological Survey (Troy).	425 Jordan Rd	Troy	NY	12180-8349	NYSBE				
U.S. Nuclear Regulatory Commission	P.O. Box 979051	Saint Louis	MO	63197-9000	FSBE				
Unique Comp Inc.	2708 42nd Road	Long Island City	NY	11101	NYSBE	X	X		
United Way of Long Island	819 Grand Boulevard	Deer Park	NY	11729	NYSBE				
Upstate Venture Connect	235 Harrison Street	Syracuse	NY	13202	NYSBE				
Van Nostrand Energy & Environmental	Consulting LLC	Pittsburgh	PA	15228	FSBE				
Varun Sivaram	18300 Twin Creeks Road	Monte Sereno	CA	95030	FSBE				

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	Business Type	WBE	MBE	SDVOB	SBE
Vatsal Bhatt	4 Friar Tuck Ct	Setauket	NY	11733	NYSBE				
Verizon Network Integration Corp.	52 E. Swedesford Road	Frazer	PA	19355	FSBE				
Verizon Wireless	1770 Central Ave	Albany	NY	12205-4755	NYSBE				
Vermont Energy Investment Corporation	128 Lakeside Ave., Ste 401	Burlington	VT	05401	FSBE				
Versar, Inc	6850 Versar Center	Springfield	VA	22151	FSBE				
Vidaris, Inc.	50 Washington St., 6th Fl	Norwalk	CT	06854	FSBE				
Village of Skaneateles	26 Fennell St	Skaneateles	NY	13152	NYSBE				
Village of Tupper Lake	53 PARK ST	TUPPER LAKE	NY	12986	NYSBE				
W & E Phillips Locksmith, Inc	P.O. Box 6310	Albany	NY	12206-0310	NYSBE				
Warren Pinnacle Consulting, Inc.	P.O. Box 315	Waitsfield	VT	05673	FSBE				
Watershed Assessment Associates, LLC	1861 Chrisler Ave.	Schenectady	NY	12303	NYSBE	X			
Watertown, City of	245 Washington St., Ste 203	Watertown	NY	13601-3334	NYSBE				
Wendel WD Architecture, Engineering, PC	Surveying & Landscape Architecture, PC	Williamsville	NY	14221	NYSBE				
Wichcraft Operating, LLC	60 E 8th St	New York	NY	10003	NYSBE				
Willdan Energy Solutions	Wall Street Plaza	New York	NY	10005	NYSBE				
William J Dombrowski	110 Bank Street #4A	New York	NY	10014	NYSBE				
William Morlok	2410 Pine Street	Philadelphia	PA	19103	FSBE		X		
Xerox Corp	800 Phillips Rd	Webster	NY	14580	NYSBE				
Yale Robbins Publications, LLC	d/b/a The Cooperator	New York	NY	10016	NYSBE				
Yale University	2 Whitney Avenue, 6th Floor	New Haven	CT	06510	FSBE	X			
Zone 5, Inc.	25 Monroe Street, Suite 300	Albany	NY	12210	NYSBE				

Annual Report - Section 2: Non-Contract Vendor Payments

Date	Vendor ID	Contractor	Account Description	Business Type	Amt Paid this Period
4/1/2017	0000025207	National Grid	Utilities Oil/Gas	NYSBE	\$98,793
	0000026827	WK Mechanical	Program Marketing	NYSBE	\$5,938
	0000027881	Milliman, Inc.	Professional Svcs-Admin	NYSBE	\$6,250
	0000034198	Dowd-Witbeck Printing Corp.	Program Marketing	NYSBE	\$9,591
	0000034218	Long Island Power Authority	Program Implementation	NYSBE	\$96,590
	0000034259	Fort Orange Press Incorporated	Program Marketing	NYSBE	\$16,805
	0000034356	United Parcel Service	Delivery Services	FSBE	\$6,215
	0000034360	Verizon Wireless	Telephone - Wireless	FSBE	\$90,840
	0000034437	Frontier	Telephone	NYSBE	\$5,847
	0000034530	Time Warner Cable	Data Circuits	NYSBE	\$41,404
	0000034545	Citibank	Miscellaneous Expense	FSBE	\$651,315
	0000034789	Consolidated Edison Company	Program Implementation	NYSBE	\$20,258
	0000035097	Staples, Inc.	Supplies Paper/Stationery	FSBE	\$28,341
	0000035169	Wisconsin Energy Conservation Corp	Program Implementation	FSBE	\$1,358,179
	0000037132	Betlem Service Corporation	Program Marketing	NYSBE	\$20,378
	0000037134	Saratoga County Sewer District	Utilities Water	NYSBE	\$10,760
	0000037155	Niagara Mohawk Power Corporation	Program Implementation	NYSBE	\$13,203
	0000037180	NESCAUM	Program Marketing	FSBE	\$6,950
	0000037295	Yale Robbins Publications, LLC	Program Marketing	NYSBE	\$7,229
	0000039445	NYS Employee Health Insurance	Professional Svcs-Admin	FSBE	\$11,408
	0000039473	LCO Buildings LLC	Rent (Real Estate)	NYSBE	\$62,289
	0000042913	Orange and Rockland Utilities, Inc.	Program Implementation	NYSBE	\$5,321

Annual Report - Section 2: Non-Contract Vendor Payments

Date	Vendor ID	Contractor	Account Description	Business Type	Amt Paid this Period
4/1/2017	0000043091	Platts McGraw Hill Financial	Publications	FSBE	\$6,300
	0000053865	JCB Specialties, Inc.	Program Marketing	NYSBE	\$8,013
	0000058316	West Unified Communications Services Inc	Telephone	FSBE	\$32,505
	0000061519	Concord Servicing Corporation	Program Implementation	FSBE	\$1,137,048
	0000070344	IHS Global Inc.	Publications	FSBE	\$8,880
	0000073785	Stewart Title Insurance Company	Program Implementation	NYSBE	\$76,516
	0000074259	Advantage Title Agency, Inc.	Program Implementation	NYSBE	\$251,481
	0000075404	Frontier Abstract and Research Services	Program Implementation	NYSBE	\$83,494
	0000076060	LF USA Inc.	Rent (Real Estate)	NYSBE	\$657,619
	0000076295	WEX Bank	Travel	FSBE	\$6,349
	0000079532	Columbia Circle Owner, LLC	Utilities Oil/Gas	FSBE	\$500,991
	0000082172	LF Distribution Holding Inc.	Utilities Electric	FSBE	\$385,512
	0000083234	Specialty Advertising Products Inc.	Printing	FSBE	\$12,173
	0000083426	Energy21 LTD	Sponsorships/Exhibit Booth Rnt	NYSBE	\$5,000
	0000085906	Watson & Associates, Occupational	Staff Development	NYSBE	\$6,559
	0000086564	Guest Services, Inc.	Business Meals/Meetings	NYSBE	\$6,866
	0000086712	WaterFurnace International, Inc.	Program Implementation	FSBE	\$6,677
Grand Total					\$5,765,884

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	
Advantage Title Agency, Inc.	201 Old Country Rd., Ste 200	Melville	NY	11747	
Betlem Service Corporation	704 Clinton Ave S	Rochester	NY	14620-1499	
Citibank	Acct# 4046580002668506	The Lakes	NV	88901-6575	
Columbia Circle Owner, LLC	P.O. Box 786945	Philadelphia	PA	19178	
Concord Servicing Corporation	4150 N Drinkwater Blvd., Ste #200	Scottsdale	AZ	85251-3643	
Consolidated Edison Company	Of New York, Inc.	New York	NY	10003	
Dowd-Witbeck Printing Corp.	d/b/a The Alchar Printing Group	Troy	NY	12180-5899	
Energy21 LTD	7426 Song Lake Road	Tully	NY	13159	
Fort Orange Press Incorporated	11 Sand Creek Rd # 828	Albany	NY	12205-1442	
Frontier	Account # 71694299600609054	Rochester	NY	14602-0550	
Frontier Abstract and Research Services	69 Cascade Dr., Ste 101	Rochester	NY	14614	
Guest Services, Inc.	P.O. Box 351	Bear Mountain	NY	10911	
IHS Global Inc.	15 Inverness Way East	Englewood	CO	80112	
JCB Specialties, Inc.	8 Sand Creek Road	Albany	NY	12205	
LCO Buildings LLC	726 Exchange St ,Ste 825	Buffalo	NY	14210-1433	
LF Distribution Holding Inc.	4620 Grandover Parkway	Greensboro	NC	27407	
LF USA Inc.	1359 Broadway	New York	NY	10018	
Long Island Power Authority	Attn: Frederick S. Peritore	Hicksville	NY	11801	
Milliman, Inc.	250 Washington Ave. Ext.	Albany	NY	12203-5401	
National Grid	300 Erie Boulevard West	Syracuse	NY	13202	
NESCAUM	89 South Street	Boston	MA	02111	
Niagara Mohawk Power Corporation	d/b/a National Grid	Syracuse	NY	13202	

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Contractor	SupplierAddress	SupplierCity	SupplierState	SupplierZipCode	
NYS Employee Health Insurance	NYS Department of Civil Service	Cincinnati	OH	45264-5481	
Orange and Rockland Utilities, Inc.	1 Blue Hill Plz	Pearl River	NY	10965-3104	
Platts McGraw Hill Financial	E Source Subscription Services	Boulder	CO	80301-2515	
Saratoga County Sewer District	Po Box 550	Mechanicville	NY	12118-0550	
Specialty Advertising Products Inc.	24705 Silverwood Lane	Howey in the Hills	FL	34737	
Staples, Inc.	Store # 1602	Framingham	MA	01702	
Stewart Title Insurance Company	47 W Main Street	Rochester	NY	14614	
Time Warner Cable	130 Washington Ave Ext	Albany	NY	12203	
United Parcel Service	P.O. Box 7247-0244	Philadelphia	PA	19170-0001	
Verizon Wireless	PO Box 408	Newark	NJ	07101-0489	
WaterFurnace International, Inc.	9000 Conservation Way	Fort Wayne	ID	46809	
Watson & Associates, Occupational	Hygiene and Safety, LLC	Greenville	NY	12083	
West Unified Communications Services Inc	P.O. Box 281866	Atlanta	GA	30384-1866	
WEX Bank	d/b/a Wright Express FSC	Carol Stream	IL	60197	
Wisconsin Energy Conservation Corp	431 Charmany Drive	Madison	WI	53719	
WK Mechanical	546 State Rt. 17M	Monroe	NY	10950	
Yale Robbins Publications, LLC	d/b/a The Cooperator	New York	NY	10016	

QUALIFIED CONTRACTS PURSUANT TO EXECUTIVE LAW ARTICLE 15-A : 4/1/2017 to 3/31/2018

INDEX()	Contract ID	Contractor	Project Description	Date	M / WBE	Committed this period	Committed to Date
1	32004	First Columbia Property Services, LLC	Property Management Services	03/24/2016		\$293,717	\$1,339,311
2	37368	Energy & Resource Solutions, Inc.	Technical Review and Program S	11/15/2013		\$627,300	\$1,747,948
3	39066	Nixon Peabody LLP	Green Bank Outside Legal Serv.	01/21/2014		\$28,439	\$547,321
4	39067	Holland & Knight LLP	Green Bank Outside Legal Serv.	01/21/2014		\$183,576	\$528,264
5	39068	Skadden, Arps, Slate, Meagher & Flom LL	Green Bank Outside Legal Couns	01/21/2014		\$60,000	\$305,850
6	39074	Dentons US LLP	Green Bank Outside Legal Ser.	01/21/2014		\$25,000	\$114,546
7	46963	Navigant Consulting Inc.	Outside Technical and Eng. Srv	09/05/2014		\$49,799	\$200,813
8	53151	GZA GeoEnvironmental of New York	Trench 14 Investigation	11/24/2014		\$183,375	\$575,875
9	60713	Hawkins Delafield & Wood LLC	Bond Counsel Services	03/13/2015		\$60,000	\$60,000
10	61280	MJW Technical Services, Inc.	Radiation Protection Support	03/24/2015		\$124,084	\$2,874,040
11	62858	Marshall & Sterling, Inc.	Property Liability and Other	04/20/2015		\$682,459	\$1,055,038
12	68677	Commercial Investigations LLC	Background Checks	07/09/2015	X	\$10,000	\$30,000
13	69474	Seven Springs West LLC	Operations and Maintenance Sup	07/22/2015	X	\$1,695,000	\$2,410,000
14	69939	AECOM Technical Services Inc.	Environmental Monitoring Suppo	07/28/2015		\$456,942	\$1,589,816
15	71187	GCOM Software LLC	Salesforce Implementation	08/13/2015		\$577,948	\$4,582,549
16	85025	Tech Valley Talent, LLC	Staff Augmentation	12/18/2015	X	\$1,825,850	\$5,001,740
17	85027	Unique Comp Inc.	I.T. Staff Augmentation	12/18/2015	X	\$2,174,090	\$3,812,955
18	85029	GCOM Software LLC	Staff Augmentation	12/18/2015		\$637,650	\$1,275,301
19	96514	Randstad North America, Inc.	Temp Services Contract.	04/21/2016	X	\$898,415	\$1,941,471
20	96886	Network Experts of New York, Inc.	PeopleSoft Administrator/DBA	04/22/2016	X	\$351,900	\$656,275
21	96887	Network Experts of New York, Inc.	Peoplesoft Development	04/22/2016	X	\$224,440	\$374,440
22	104075	Enercon Services Inc	RFP 3305 Licence Consultation	09/21/2016		\$125,652	\$318,779
23	104258	Sive Paget & Riesel PC	RFP 3300 & RFP 3776 Outside Co	09/27/2016		\$331,219	\$338,719
24	104260	Bond, Schoeneck & King, PLLC	RFP 3300 Outside Counsel Servi	09/27/2016		\$47,853	\$55,353
25	104262	Holland & Knight LLP	RFP 3300 & RFP 3776 Outside Co	09/27/2016		\$74,539	\$391,125
26	104264	Arnold & Porter Kaye Scholer LLP	RFP 3300 Outside Counsel Servi	09/27/2016		\$50,000	\$50,000
27	104265	Harris Beach PLLC	RFP 3300 Outside Counsel Servi	09/27/2016		\$69,000	\$79,000
28	104272	Randstad North America, Inc.	Temp Services	09/28/2016	X	\$33,224	\$66,447
29	104422	Randstad North America, Inc.	Temp Services	09/28/2016	X	\$16,284	\$61,514
30	104775	Employee Leasing of Greater NY	Temp Employee Services	10/11/2016		\$162,571	\$195,641
31	105173	Randstad North America, Inc.	Temp Services	10/19/2016	X	\$148,500	\$207,000
32	105183	McMahon and Mann Consulting Engineers	Engineering Services WV	10/20/2016		\$200,000	\$200,000
33	105451	Omnicap Group, LLC	Financial Advisory Services	10/21/2016		\$46,000	\$500,000
34	106224	Darling Advertising Agency Inc.	Marketing Support for NYSERDA	11/03/2016		\$78,200	\$406,200
35	106225	Kelleher Samets Volk	Marketing Support for NYSERDA	11/03/2016		\$2,935,381	\$7,615,132
36	106226	Arch Street Communications, Inc.	Marketing Support for NYSERDA	11/03/2016	X	\$541,000	\$541,000
37	106227	Essense Partners Inc.	Marketing Support for NYSERDA	11/03/2016	X	\$475,070	\$1,092,570
38	107053	Randstad North America, Inc.	Temp Services	11/21/2016	X	\$55,263	\$110,526
39	107762	Rosenblum Property Services, LLC	STEP Property Mgmt Services	12/07/2016		\$417,105	\$482,685
40	108086	Randstad North America, Inc.	Temporary Services	12/15/2016	X	\$8,364	\$65,577

QUALIFIED CONTRACTS PURSUANT TO EXECUTIVE LAW ARTICLE 15-A : 4/1/2017 to 3/31/2018

INDEX()	Contract ID	Contractor	Project Description	Date	M / WBE	Committed this period	Committed to Date
41	110026	Randstad North America, Inc.	Temporary Services	02/09/2017	X	\$50,000	\$99,803
42	112665	Randstad North America, Inc.	Temp Services	04/11/2017	X	\$226,950	\$226,950
43	112666	Randstad North America, Inc.	Temp Services	04/11/2017	X	\$182,689	\$182,689
44	112887	Randstad North America, Inc.	temp services	04/18/2017	X	\$137,777	\$137,777
45	112888	Randstad North America, Inc.	temp services	04/18/2017	X	\$141,050	\$141,050
46	112889	Randstad North America, Inc.	temp services	04/18/2017	X	\$45,050	\$45,050
47	112891	Randstad North America, Inc.	temp services	04/18/2017	X	\$91,016	\$91,016
48	113027	NYS Office for Technology	Office 365 - 2016 - 2017	04/24/2017		\$67,766	\$67,766
49	113213	Sitecore USA, Inc.	Sitecore Web Support 17-18	04/28/2017		\$25,300	\$25,300
50	113450	Chenango Contracting, Inc.	RFP 3456	05/04/2017	X	\$1,138,102	\$1,138,102
51	113597	Network Experts of New York, Inc.	Adoption /Training Coordinator	05/09/2017	X	\$90,000	\$90,000
52	113648	Kroll Bond Rating Agency, Inc.	GJGNY REVOLVING LOAN FUND	05/10/2017		\$65,000	\$65,000
53	113863	Dell, Inc.	MS Project and Visio	05/16/2017		\$114,755	\$114,755
54	113874	Randstad North America, Inc.	Temporary Services	05/18/2017	X	\$75,200	\$75,200
55	113875	Randstad North America, Inc.	Temporary Services	05/18/2017	X	\$122,000	\$122,000
56	113876	Randstad North America, Inc.	Temporary Services	05/18/2017	X	\$117,040	\$117,040
57	113877	Randstad North America, Inc.	Temporary Services	05/18/2017	X	\$110,500	\$110,500
58	114062	Amphora Consulting	Energy Efficiency Markets	05/24/2017		\$50,000	\$50,000
59	114189	ADP, Inc.	ADP Payroll and HR Svcs 2017	05/26/2017		\$180,521	\$180,521
60	114484	Systems Management Planning, Inc.	VMWare Support for View - 3 yr	06/05/2017		\$92,399	\$92,399
61	114690	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$91,000	\$91,000
62	114694	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$147,000	\$147,000
63	114696	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$186,000	\$186,000
64	114697	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$95,000	\$95,000
65	114700	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$162,500	\$162,500
66	114701	Triad Technology Partners LLC	Tableau Maintenance 2017 - 18	06/09/2017	X	\$67,292	\$67,292
67	114702	Randstad North America, Inc.	Temporary Services	06/09/2017	X	\$150,000	\$150,000
68	115075	Essense Partners Inc.	Material development for NYGB	06/21/2017	X	\$150,000	\$150,000
69	115878	Pedro Barretto Leite de Barros	Pedro Barros - Strategy	07/14/2017		\$50,000	\$50,000
70	115880	Randstad North America, Inc.	Temporary Services	07/14/2017	X	\$93,746	\$93,746
71	116252	Kelleher Samets Volk	Salesforce Optimization	07/25/2017		\$46,480	\$46,480
72	116344	Kelleher Samets Volk	Digital Transformation	07/26/2017		\$88,800	\$88,800
73	116698	Randstad North America, Inc.	temporary services	08/04/2017	X	\$25,000	\$25,000
74	116699	Randstad North America, Inc.	Temporary Services	08/04/2017	X	\$95,000	\$95,000
75	116700	Randstad North America, Inc.	Temporary Services	08/04/2017	X	\$127,450	\$127,450
76	116701	Randstad North America, Inc.	Temporary Services	08/04/2017	X	\$114,500	\$114,500
77	117215	GCOM Software LLC	Salesforce Licenses (Q:50)	08/16/2017		\$33,129	\$33,129
78	117271	Randstad North America, Inc.	temporary services	08/17/2017	X	\$118,000	\$118,000
79	117519	Randstad North America, Inc.	Temp Services	08/24/2017	X	\$40,000	\$40,000
80	117520	Randstad North America, Inc.	Temp Services	08/24/2017	X	\$92,000	\$92,000

QUALIFIED CONTRACTS PURSUANT TO EXECUTIVE LAW ARTICLE 15-A : 4/1/2017 to 3/31/2018

INDEX()	Contract ID	Contractor	Project Description	Date	M / WBE	Committed this period	Committed to Date
81	117521	Randstad North America, Inc.	temp services	08/24/2017	X	\$40,000	\$40,000
82	117673	Network Experts of New York, Inc.	Helpdesk Technician - Campagna	08/29/2017	X	\$130,000	\$130,000
83	117866	The McAulay Smith Firm, LTD	SrLdr Smart Grid Search Firm	09/05/2017		\$50,000	\$50,000
84	117923	nrastructure	SMARTnet - Maintenance - 2020	09/06/2017		\$42,423	\$42,423
85	117926	TRC Energy Services	Staff Augmentation Services	09/06/2017		\$164,453	\$164,453
86	117927	EME Consulting Engineering Group, LLC	Staff Augmentation Services	09/06/2017		\$1,483,350	\$1,483,350
87	117928	Allegis Group Holdings Inc	Staff Augmentation Services	09/06/2017		\$26,650	\$26,650
88	118436	The Logue Group	WEST VALLEY DEVELOPMENT PROGRAM	09/22/2017		\$413,446	\$413,446
89	118453	inMotion, Inc.	inMotion Project Management	09/25/2017		\$74,500	\$74,500
90	118457	Adviser Compliance Associates, LLC	ACA Compliance Assessment	09/26/2017		\$49,000	\$49,000
91	118940	EC Infosystems, Inc.	Electronic Data Interchange	09/29/2017	X	\$190,650	\$190,650
92	119371	IBM Corporation	Replacement for PPSI Site	10/16/2017		\$34,129	\$34,129
93	119377	Randstad North America, Inc.	temp services	10/17/2017	X	\$78,000	\$78,000
94	119379	Randstad North America, Inc.	temp services	10/17/2017	X	\$41,000	\$41,000
95	120012	Sunset Green Home LLC	Third-Party Capital Consulting	11/01/2017	X	\$200,000	\$200,000
96	120292	GCOM Software LLC	Salesforce	11/07/2017		\$1,411,135	\$1,411,135
97	120699	Randstad North America, Inc.	Temp Services	11/15/2017	X	\$73,500	\$73,500
98	121379	Carahsoft Technology Corporation	Renewal: Salesforce.com	12/06/2017		\$1,250,932	\$1,250,932
99	121499	Randstad North America, Inc.	NEW YORK GREEN BANK	12/08/2017	X	\$50,000	\$50,000
100	122320	Oracle America, Inc.	Peoplesoft - Oracle 2018/2019	01/04/2018		\$53,598	\$53,598
101	122683	NYS Technology Enterprise Corporation	NYSERDA ADMINISTRATION	01/18/2018		\$55,044	\$55,044
102	122814	Caroprese & Company	Forecast Model Development	01/23/2018		\$50,000	\$50,000
103	123054	Gartner Inc.	Gartner Services 2018 - 2019	02/01/2018		\$55,334	\$55,334
104	123577	Proenergy Consulting LLC	Consulting NY Prize/Greenbank	02/20/2018		\$64,350	\$64,350
105	123698	Randstad North America, Inc.	Multifam Performance Pgm	02/21/2018	X	\$100,000	\$100,000
106	123699	Brenda Sayers	Janitorial contract	02/21/2018		\$48,720	\$48,720
107	123746	Bizodo Inc	Seamless Docs Licensing 18-19	02/22/2018		\$27,450	\$27,450
108	124007	Concur Technologies, Inc.	2018-2020 Concur annual cost	03/01/2018		\$33,000	\$33,000
109	124208	Petrecky Consulting LLC	DOE OSW Consortium Proposal	03/07/2018		\$35,000	\$35,000
Grand Total						\$28,406,885	\$55,272,300

MWBE Percentages

% Of Contracts Awarded to M/WBE

% Of Funds Awarded to M/WBE

47.71%	48.00%
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NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

PROCUREMENT AND PROGRAM CONTRACT GUIDELINES, OPERATIVE POLICY
AND INSTRUCTIONS

June 2017

Article I. Purpose and Applicability

1.0 These Procurement and Program Contract Guidelines ("Guidelines"), adopted pursuant to Public Authorities Law Sections 1854, 1855, and 2879, set forth the operative policies of the Authority and instructions to its officers and employees regarding the use, awarding, monitoring, and reporting of Procurement and Program Contracts.

1.1 These Guidelines apply to any Procurement or Program Contract entered into after they become effective pursuant to the provisions of Section 1853 of the Public Authorities Law.

Article II. Definitions

2.0 As used in these Guidelines, "Procurement Contract" means a written agreement for the Authority's acquisition of goods or services, in the actual or estimated amount of \$5,000 or more.

2.1 As used in these Guidelines, "Program Contract" means a contract in the actual or estimated amount of \$5,000 or more that is not a Procurement Contract. Program Contracts may include but are not limited to grants, investments, awards, incentives, memberships and other arrangements by which the Authority provides financial assistance to fund a third party's performance of work in furtherance of the mission of the Authority. Program Contracts shall also include: memberships in industry groups, professional societies and similar cooperative associations, and any cooperative projects conducted or sponsored by such organizations; and direct purchase advertising with radio, television or print media regarding program activities. Loans, guarantees, letters of credit and other contractual arrangements executed by Green Bank are not Program Contracts for purposes of these Guidelines; the consideration and approval of such transactions are governed by the relevant provisions of the Authority's Internal Control Manual and by Green Bank's internal rules and guidelines. Contracts for interests in real property are governed by separate Board approved Guidelines for Real Property.

2.2 As used in these Guidelines, "Other Administrative Contracts" mean Procurement Contracts to provide services to support the Authority's administrative functions for a fee, commission, or other compensation by a person or organization that is not performing that work as an officer or employee of the Authority, another public corporation, or a state agency. Other Administrative Contracts include, but are not limited to, equipment maintenance contracts, leases, insurance, telecommunications, or other similar services, but do not include Personal Services Contracts.

2.3 As used in these Guidelines, "Contractor" means a person or organization that enters into a Procurement Contract with the Authority.

2.4 As used in these Guidelines, "Minority Business Enterprise" means any business enterprise certified by the Division of Minority and Women-Owned Business Development as a Minority Business Enterprise.

2.5 As used in these Guidelines, "Women-Owned Business Enterprise" means a business enterprise certified by the Division of Minority and Women-Owned Business Development as a Women-Owned Business Enterprise.

2.6 As used in these Guidelines, "New York State Business Enterprise" means a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange goods which are sought by the Authority and which are substantially manufactured, produced, or assembled in New York State or services which are sought by the Authority and which are substantially performed in New York State.

2.7 As used in these Guidelines, "New York State Small Business Enterprise" means a company that is a resident to New York State, independently owned and operated, with 100 or fewer employees, and not dominant in its field. See New York State Finance Law.

2.8 As used in these Guidelines, "Service-Disabled Veteran-Owned Business Enterprise (SDVOB)" means any business that is certified by the Office of General Services Division of Service-Disabled Veterans' Business Development as a certified service-disabled veteran-owned business enterprise.

2.9 As used in these Guidelines, a "New York State Resident" means a natural person who maintains a fixed, permanent, and principal home within New York State and to which such person, whenever temporarily located, always intends to return.

2.10 As used in these Guidelines, "Competitive Selection Method" shall include:

- i. solicitations, including program opportunity notices, requests for proposals, requests for information, requests for quotations and requests for qualifications;
- ii. subscription program offers;
- iii. for administrative purchases, if the issuance of a solicitation is reasonably expected to result in no more than nominal savings, obtaining at a minimum three (3) quotations from different providers or firms;
- iv. Procurement or Program Contracts made pursuant to centralized contracts let by other public entities pursuant to a competitive process, including those contracts of the New York State Office of General Services;
- v. procurement of commodities from a Contractor where the price to be charged by the Contractor is less than that which would be charged for the purchase substantially similar in function, form or utility made pursuant to a centralized contract let by the New York

- State Office of General Services or when other contract terms such as delivery or warranty terms are more economically beneficial; or
- vi. any other competitive method of selection that is consistent with these guidelines.

Article III. Requirements Regarding Selection of Contractors

3.0 Unless otherwise directed by law or order in the form of an authorization and direction to use funds in a certain manner¹, the Authority shall use its best efforts to secure offers from Contractors by using a Competitive Selection Method and to select from such offerers the Contractor offering the most favorable terms, weighing expected ability to perform against projected costs. To this end, the Authority shall:

- (a) solicit offers in writing from several persons or organizations known, believed, or reputed to be qualified in the area of supplying the goods, personal services, Authority program work, or other administrative services to be provided or performed, except that for administrative purchases authorized by Section 2.10 (iii), such quotations may be secured by telephone solicitations where time constraints do not permit securing a written bid and for procurement of catalog and other items generally purchased in the ordinary course of business or which do not require a detailed bid or description of materials or specifications.
- (b) evaluate those offers received on the basis of such information as the Authority has received on, as may be relevant, each offerer's technical or professional qualifications, financial stability, past performance for the Authority, staff, equipment, and facilities availability, experience, reputation, and other factors bearing on expected capability and quality of performance, possible conflicts of interest, and contract price or fee structure; and
- (c) document the processes by which a Contractor is selected, by making a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative services sought, the name of each person or organization submitting, or requested to submit, a bid or proposal, the price or fee bid, and the basis for selection of the Contractor.

3.1 In any instance, the members of the Authority may, by resolution, waive the use of the procedure for selecting Contractors outlined in Section 3.0 if they determine such waiver to be in the best interest of the Authority.

3.2 The members of the Authority, upon adoption of these Guidelines, hereby waive use of the procedures for selecting Contractors outlined in section 3.0 in those instances when:

¹ For avoidance of doubt, this provision is included to acknowledge that the Authority's contracting guidelines do not apply in instances where the Authority is directed by law or order to use funds in a certain manner.

- a) the goods, personal services, Authority program work, or other administrative services involved are expected to cost \$50,000 or less;
- b) the goods, personal services, or other administrative services involved are expected to cost \$200,000 or less and are procured from a Minority Business Enterprise or Women-Owned Business Enterprise; a New York State Small Business Enterprise; or a Certified Service-Disabled Veteran-Owned Business Enterprise.
- c) for any Authority program work, the contract is based upon an unsolicited proposal, submitted at the sole initiative of the offerer, not duplicating work then being performed or in the project planning request stage, and involving unique, innovative, or unusual meritorious methods or ideas;
- d) an Authority Officer determines that:
 - i. the interest of the Authority for timely obtainment of the goods, personal services, Authority program work, or other administrative services involved precludes selection of a Contractor pursuant to such procedure; or,
 - ii. even though two or more Contractors could supply the required goods, personal services, Authority program work, or other administrative service, one particular Contractor has unique or exceptionally scarce qualifications or experience, specialized equipment, or facilities not readily available from other sources, or patents, copyrights, or proprietary data; or,
 - iii. there is only one source for a required good, personal service, Authority program work, or other administrative service; or,
 - iv. the contract is for goods, personal services or other administrative services and is based upon a contract awarded by the United States government, or any state or any political subdivision thereof, in accordance with the requirements of New York State Finance Law and the criteria established by the New York State Office of General Services (aka piggybacking); or,
 - v. the contract is for a membership in an industry group, professional society, or similar cooperative association the work of which has been determined by an Officer of the authority to be aligned with the strategy of the Authority and membership in which will benefit the Authority's mission; or
 - vi. the contract is for a sponsorship or procurement of space or services in relation to an industry or other mission-related conference, symposium or other similar event, the topic of which has been determined by an Officer of the Authority to be aligned with the strategy of the Authority and participation will benefit the Authority's mission.

provided, that in those instances the Authority shall nonetheless make a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative service involved, the name of the Contractor, the contract price or fee, and the basis for waiver and selection of the Contractor.

3.3 Board Approval of Procurement and Program Contracts

- (a) Approval of the members of the Authority, either by prior action, when time permits, or by ratification at the next meeting of the Authority shall be required for any:
- i. Procurement Contract which provides for a period of performance by the Contractor in excess of one year;
 - ii. Program Contract that is the result of a competitive process and which provides an estimated or actual amount of \$3 Million or more; and,
 - iii. Program Contract that is the result of a noncompetitive process and which provides an estimated or actual amount greater than \$1 Million.

3.4 Independent auditors for the Authority shall be retained only with the prior approval of the members of the Authority.

3.5 The Authority may use its Competitive Selection Methods to select multiple Contractors to provide goods, personal services, Authority program work, and other administrative services. Individual task work orders may be awarded to these Contractors on an as-needed basis.

Article IV. Compliance with State Finance Law Sections 139-j and 139-k in the Selection of Contractors; Contractor Responsibilities and Types of Contract Provisions

4.0 The Authority shall comply with the requirements of State Finance Law Sections 139-j and 139-k in its selection of Contractors. Solicitations shall identify NYSERDA's Designated Contacts, require bidders to disclose any prior determination of non-responsibility, and to provide the other information required by State Finance Law Sections 139-j and 139-k.

The responsibilities of a Contractor, including continuing responsibilities under State Finance Law Sections 139-j and 139-k, shall be detailed in the contract. Contract provisions shall address the nature and scope of the goods, personal services, or Authority program work to be provided; the standard for performance, if practical; the duration of the work; any relevant time requirements or deadlines; and the amount of compensation to be paid or the price, rates, or fees which will be used to determine such compensation. Personal Services Contracts and Program Contracts shall also include provisions on monitoring of the work to be performed; provisions governing the Contractor's use of Authority supplies, facilities, or personnel, if permitted; any provisions required by applicable law; and any other provisions the Authority deems necessary or desirable.

Article V. Minority and Women-Owned Business Enterprises

5.0 It is the policy of the Authority to foster and promote participation of Minority Business Enterprises and Women-Owned Business Enterprises in its procurements and the development of such enterprises and to facilitate a fair share of the awarding of Procurement Contracts to such enterprises.

The MWBE rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 15-A of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project. Article 15-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Minority and Women-Owned Business Development to certify and decertify Minority Business Enterprises and Women-Owned Business Enterprises through a single process that meets applicable state and federal requirements.

On an annual basis, the Authority shall establish appropriate statewide goals for participation by Minority or Women-Owned Business Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority pursuant to § 313(1-b) of the Executive Law. In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Minority and Women's Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by

Minority and Women-Owned Business Enterprises. This includes, but is not limited to:

- (a) The Authority shall maintain measures and procedures, as detailed in the Master Goal Plan, to ensure that certified businesses shall be given the opportunity for maximum feasible participation in the performance of appropriate state contracts and to assist in the Authority's identification of those state contracts for which certified businesses may best bid to actively and affirmatively promote and assist their participation.
- (b) The Authority will consult with the Division of Minority and Women's Business Development for assistance in identifying Minority Business Enterprises or Women-Owned Business Enterprises, and refer to any known list maintained by any other State department or agency that identifies such enterprises by area of expertise.
- (c) With the assistance of the Director of Contract Management, the Authority shall maintain its own list of such enterprises, including professional firms that have expressed an interest in doing business with the Authority, and shall ensure that the list is updated regularly.
- (d) The Authority shall provide notice of procurement opportunities to professional and other organizations that serve Minority and Women-Owned Business Enterprises providing the types of services the Authority procures, and solicit or request offers from Minority and Women-Owned Businesses known to have experience in the type of goods, personal services, and other administrative services involved.
- (e) Each solicitation document for a qualified solicitation shall set forth the expected degree of Minority and Women-Owned Business Enterprise participation, and the Authority shall provide to each prospective contractor a current list of certified Minority and Women-Owned Business Enterprises. The expected degree of Minority and Women-Owned Business Enterprise participation shall be based, in part, on (i) the potential subcontract opportunities available in the prime procurement contract; and (ii) the availability of certified Minority and Women-Owned Business Enterprises to respond competitively to the potential subcontract opportunities.
- (f) Written solicitations or requests for bids or proposals for Procurement Contracts will include a statement of the Authority's affirmative action policy.
- (g) Allow bidders to count the Minority and Women-Owned Business Enterprise portion of a joint venture towards meeting its minority business enterprise goal.
- (h) For each qualified contract, the Authority shall: (i) consider, where practicable, the severability of construction projects and other bundled contracts; (ii) evaluate the appropriateness of the goal established in the Master Goal Plan; (iii) consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business Enterprises which effectuates the purpose of the Authority's policy; and (iv) consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

The Authority may waive obligations of a contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of Article 9 of the Public Authorities Law relative to the waiver provisions contained in § 313(6) and (7) of the Executive Law. In addition, the Authority shall verify that Minority and Women-Owned Business Enterprises listed in a successful bid are actually participating to the extent listed in the project for which the bid was submitted.

The President and CEO of the Authority has general policy responsibility for the Authority's Minority and Women-Owned Business Enterprise program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Treasurer, shall manage day-to-day responsibilities for M/WBE reporting and implementation. The Authority Counsel's Office shall be responsible for M/WBE legislation oversight and compliance.

Through its program, the Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified procurement contracts, as identified by the Director of Contract Management, to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified procurement contracts with the Authority.

Article VI. Contracts with Former Officers or Employees

6.0 Contracts with former officers or employees of the Authority shall be permitted where the goods, personal services, Authority program work, or other administrative services are to the best advantage of the Authority and the contractual relationship is not otherwise prohibited by law or Authority policy. In particular, any former officer or employee of the Authority shall be held to the restrictions set forth in Public Authorities Law Section 73(8) on receiving compensation for any services rendered with respect to specified types of cases, proceedings, applications, matters, and transactions.

Article VII. New York State Business Enterprises and New York State Residents

7.0 It is the policy of the Authority to promote participation in qualified Procurement Contracts by New York State Business Enterprises and New York State Residents, by encouraging them to compete through measures including, but not limited to:

- (a) Collecting and consulting the specifications of New York State Business Enterprises in developing any specifications for any Procurement or Program Contract for the purchase of

goods where possible, practicable, feasible, and consistent with open bidding, except for Procurement or Program Contracts for which the Authority would be expending funds received from another state. The Authority will, where feasible, make use of the stock order specification forms prepared by the Commissioner of General Services and, where necessary, consult with the Commissioner of General Services in developing such specifications and making such determinations.

- (b) With the cooperation of the Department of Economic Development and through cooperative efforts with Contractors, providing for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on qualified Procurement Contracts in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by Contractors with such notification. Once awarded the contract, such Contractors shall document their efforts to encourage the participation of New York State Business Enterprises as subcontractors and suppliers on such qualified Procurement Contracts. Documented efforts by a successful Contractor shall consist of and be limited to showing that such Contractor has (a) solicited bids, in a timely and adequate manner, from New York State Business Enterprises, including certified minority and women-owned businesses, or (b) contacted the New York State Department of Economic Development to obtain listings of New York State Business Enterprises, or (c) placed notices for subcontractors and suppliers in newspapers, journals, and other trade publications circulated in New York State, or (d) participated in bidder outreach conferences. If the Contractor determines that New York State Business Enterprises are not available to participate on the contract as subcontractors or suppliers, the Contractor shall provide a statement indicating the method by which such determination was made. If the Contractor does not intend to use subcontractors on the contract, the Contractor shall provide a statement verifying such intent.
- (c) Except for Procurement Contracts for which the Authority would be expending funds received from another state, including in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development, including the directory of certified minority and women-owned businesses, and that it is the policy of New York State to encourage the use of New York State subcontractors and suppliers and to promote the participation of minority and women-owned businesses, where possible, in the procurement of goods and services.
- (d) With the cooperation of the Community Services Division of the Department of Labor and through cooperative efforts with Contractors, providing for the notification of New York State residents of employment opportunities arising in New York State out of Procurement Contracts in an amount estimated to be equal to or greater than one million dollars; and promulgating procedures that will assure compliance by Contractors with notification by requiring Contractors to submit post-award compliance reports documenting their efforts to provide such notification through listing any such positions with the Community Services

Division or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.

- (e) Including in each set of documents soliciting bids on qualified Procurement Contracts a statement notifying potential bidders in foreign countries that the Authority may assign or otherwise transfer offset credits created by such Procurement Contract to third parties located in New York State; providing for the assignment or other form of transfer of offset credits created by such Procurement Contracts, directly or indirectly, to third parties located in New York State, in accordance with the written directions of the Commissioner of Economic Development; and providing for the Authority otherwise to cooperate with the Department of Economic Development in efforts to get foreign countries to recognize offset credits assigned or transferred to third parties located in New York State created by such Procurement Contracts.
- (f) Promulgating procedures that will assure compliance with the federal Equal Employment Opportunity Act of 1972 (Pub. L. 92-261), as amended, by Contractors.

The Authority shall notify the Commissioner of Economic Development of the expected award of any Procurement or Program Contract for the purchase of goods, personal services, Authority program work, or other administrative services from a foreign business enterprise in an amount equal to or greater than one million dollars (\$1,000,000) simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement Contract until at least fifteen (15) days have elapsed, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the foreign business enterprise, a brief description of the goods or services to be obtained pursuant to the proposed Procurement Contract, the amount of the proposed Procurement Contract, the term of the proposed Procurement Contract, and the name of the individual at the foreign business enterprise or person acting on behalf of the same who is principally responsible for the proposed Procurement Contract. For the purposes of this subdivision, "foreign business enterprise" means a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods which are sought by the Authority and which are substantially produced outside New York State, or services, other than construction services, sought by the Authority and which are substantially performed outside New York State. For purposes of construction services, a foreign business enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside of New York State.

Article VIII. Publication of Notice of Procurement and Program Contracts

8.0 Except as provided in Section 9.1 below, prior to awarding any Procurement or Program Contract, the Authority shall submit to the Commissioner of the Department of Economic Development information on: (i) the contract identification number; (ii) a brief description of the goods or services sought, the location where goods are to be delivered or services provided and the contract term; (iii) the address where bids or proposals are to be submitted; (iv) the date when

bids or proposals are due; (v) a description of any eligibility or qualification requirement or preference; (vi) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture, or co-production arrangement; (vii) any other information deemed useful to potential Contractors; and (viii) the name, address, and telephone number of the person to be contacted for additional information. Such information shall be submitted in sufficient time to allow a minimum of fifteen business days between publication of such notice and the date on which a bid or proposal is due, except where a shorter period is specifically authorized by law.

8.1 The provisions of Section 9.0 shall not apply to Procurement or Program Contracts: (i) awarded on an emergency or critical basis, or on the basis that publication is otherwise not feasible, such as those described in Sections 4.2 and 2.11 (ii) - (vi) of these Guidelines; (ii) being re-bid or re-solicited for substantially the same goods or services, within forty-five business days after the date bids or proposals were originally due; or (iii) any other procurements the Commissioner of the Department of Economic Development has determined do not require publication.

Article IX. Reporting of Procurement and Program Contracts

9.0 Procurement Contracts

- (a) As required by Public Authorities Law Section 2879, the Authority shall prepare for approval by the members at their June meeting, or at least annually, a report on Procurement Contracts, for the fiscal year ending March 31 of each year, which report shall include:
- i. The Procurement Contract Guidelines;
 - ii. An explanation of the Guidelines and any amendments since the last annual report on Procurement Contracts;
 - iii. A list of all Procurement Contracts entered into and active during the reporting period. This will include identification of:
 - a. All contracts with New York business enterprises;
 - b. All contracts with foreign business enterprises;
 - c. All contracts with certified Minority or Women-Owned Business Enterprises;
 - d. All contracts with certified Service-Disabled Veteran-Owned Business Enterprises;
 - e. The subject matter and value thereof;
 - iv. A summary of all Procurement Contracts which were exempt from the publication requirements under article 4-c of the economic development law, the basis for any such exemption and the status of existing Procurement Contracts.
- (b) The members' approval of this report, which will include a list of all Procurement Contracts entered into during the prior year which provide for a period of performance by the contractor in excess of one year or which have continued for over one year, will be the

members' approval of such individual Procurement Contracts, as required by Public Authorities Law Section 2879(3)(b)(iii).

Upon approval by the members of the Authority, the Authority shall submit the annual report on Procurement Contracts to the Division of the Budget, with copies to the Senate Finance Committee, the Assembly Ways and Means Committee, the Department of Audit and Control, and the Department of Economic Development.

9.1 Program Contracts

- (a) The Authority shall prepare for review by the members at their June meeting, or at least annually, a report on Program Contracts, which report shall include description of the program contracting performed by the fiscal year, broken down by portfolio and program, that is sufficient to provide to the members an accurate reflection of the kinds and level of investment made by each of the Authority's programmatic portfolios and programs. Separate from this requirement, the Authority will also make available upon request to the members, a copy of the semi-annual reports that are required to be prepared under Public Authorities Law Section 1867(7).

9.2 The Authority shall make copies of its reports on Procurement and Program Contracts available on its website.

Article X. Miscellaneous Provisions

10.0 No violation of or failure to comply with the provisions of these Guidelines shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract; nor shall any provision of these Guidelines or any violation of or failure to comply with such provisions be the basis for any claim against the Authority or any member, officer, or employee of the Authority.

10.1 The members of the Authority may, from time to time, amend these Guidelines, provided that no such amendment shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract.

Article XI. Service-Disabled Veteran-Owned Business Enterprises

11.0 It is the policy of the Authority to increase participation of Certified Service-Disabled Veteran-Owned Business (SDVOB) Enterprises in its procurements.

The SDVOB rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d) of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess

of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project.

Article 17-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified SDVOB Enterprises in qualified Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified SDVOB Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified SDVOB Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Service-Disabled Veterans' Business Development (DSDVD) within the New York State Office of General Services to certify and decertify eligible SDVOB Enterprises through a process that meets applicable state requirements.

On an annual basis, the Authority shall establish appropriate agency-specific goals for participation by SDVOB Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of SDVOB Enterprises as subcontractors and suppliers by entities having qualified State Contracts with the Authority pursuant to Article 17-B of the Executive Law and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d). In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Service-Disabled Veterans' Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by SDVOB enterprises.

The Authority has three primary options for using SDVOB Enterprises in its procurements: SDVOB Set Asides; SDVOB Contract Goal Setting; and, SDVOB Discretionary Purchasing. It is up to the Authority to determine which option, or combination of options, can best achieve the Authority goals in the Master Goal Plan.

(a) SDVOB Set Asides

- i. Set-asides permit the reservation in whole or in part of certain procurements by the Authority for SDVOBs when more than one SDVOB Enterprise is available and can provide the necessary construction, construction services, non-construction related services (including finance/bond management), technology, commodities, products and other classifications that will facilitate meeting the goal and meeting the Authority's form, function and utility.
- ii. SDVOB Contract Goal Setting
 - i. A required percentage of SDVOB participation may be placed on qualified procurements. Any contract that conforms to the definition of State Contract in Article 17-B of the Executive Law, and as specifically applied to NYSEDA in Article 17-B Section 369-h(6)(d), unless exempt or excluded, may be assessed for SDVOB participation goals.
- iii. SDVOB Discretionary Purchasing
 - i. SDVOB Enterprises may be chosen when making discretionary purchases up to \$200,000, pursuant to Section 4.2(b) of these Guidelines and State Finance Law.

(b) Waivers

- i. An SDVOB waiver is a reduction or elimination of the SDVOB goal that has been established for a qualified contract. The Authority may determine to make such a waiver in the event that it determines that such a waiver is necessary; moreover, it may grant a partial or total waiver of goal requirements established on a State Contract upon the submission of a waiver form by a contractor, documenting good faith efforts, as defined in the regulations, by the contractor to meet the goal requirements of the State Contract. The Authority shall consider the factors set out in the regulations in making its determination to grant or deny the waiver request.

The President and CEO of the Authority has general policy responsibility for the Authority's SDVOB Program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Chief Operating Officer, shall manage day-to-day responsibilities for SDVOB reporting and implementation. The Authority Counsel's Office shall be responsible for SDVOB legislation oversight and compliance.

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

PROCUREMENT AND PROGRAM CONTRACT GUIDELINES, OPERATIVE POLICY
AND INSTRUCTIONS

June 2018

Article I. Purpose and Applicability

1.0 These Procurement and Program Contract Guidelines ("Guidelines"), adopted pursuant to Public Authorities Law Sections 1854, 1855, and 2879, set forth the operative policies of the Authority and instructions to its officers and employees regarding the use, awarding, monitoring, and reporting of Procurement and Program Contracts.

1.1 These Guidelines apply to any Procurement or Program Contract entered into after they become effective pursuant to the provisions of Section 1853 of the Public Authorities Law.

Article II. Definitions

2.0 As used in these Guidelines, "Procurement Contract" means a written agreement for the Authority's acquisition of goods or services, in the actual or estimated amount of \$5,000 or more.

2.1 As used in these Guidelines, "Program Contract" means a contract in the actual or estimated amount of \$5,000 or more that is not a Procurement Contract. Program Contracts may include but are not limited to grants, investments, awards, incentives, memberships and other arrangements by which the Authority provides financial assistance to fund a third party's performance of work in furtherance of the mission of the Authority. Program Contracts shall also include: memberships in industry groups, professional societies and similar cooperative associations, and any cooperative projects conducted or sponsored by such organizations; and direct purchase advertising with radio, television or print media regarding program activities. Loans, guarantees, letters of credit and other contractual arrangements executed by Green Bank are not Program Contracts for purposes of these Guidelines; the consideration and approval of such transactions are governed by the relevant provisions of the Authority's Internal Control Manual and by Green Bank's internal rules and guidelines. Contracts for interests in real property are governed by separate Board approved Guidelines for Real Property.

2.2 As used in these Guidelines, "Other Administrative Contracts" mean Procurement Contracts to provide services to support the Authority's administrative functions for a fee, commission, or other compensation by a person or organization that is not performing that work as an officer or employee of the Authority, another public corporation, or a state agency. Other Administrative Contracts include, but are not limited to, equipment maintenance contracts, leases, insurance, telecommunications, or other similar services, but do not include Personal Services Contracts.

2.3 As used in these Guidelines, "Contractor" means a person or organization that enters into a Procurement Contract with the Authority.

2.4 As used in these Guidelines, "Minority Business Enterprise" means any business enterprise certified by the Division of Minority and Women-Owned Business Development as a Minority Business Enterprise.

2.5 As used in these Guidelines, "Women-Owned Business Enterprise" means a business enterprise certified by the Division of Minority and Women-Owned Business Development as a Women-Owned Business Enterprise.

2.6 As used in these Guidelines, "New York State Business Enterprise" means a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange goods which are sought by the Authority and which are substantially manufactured, produced, or assembled in New York State or services which are sought by the Authority and which are substantially performed in New York State.

2.7 As used in these Guidelines, "New York State Small Business Enterprise" means a company that is a resident to New York State, independently owned and operated, with 100 or fewer employees, and not dominant in its field. See New York State Finance Law.

2.8 As used in these Guidelines, "Service-Disabled Veteran-Owned Business Enterprise (SDVOB)" means any business that is certified by the Office of General Services Division of Service-Disabled Veterans' Business Development as a certified service-disabled veteran-owned business enterprise.

2.9 As used in these Guidelines, a "New York State Resident" means a natural person who maintains a fixed, permanent, and principal home within New York State and to which such person, whenever temporarily located, always intends to return.

2.10 As used in these Guidelines, "Competitive Selection Method" shall include:

- i. solicitations, including program opportunity notices, requests for proposals, requests for information, requests for quotations and requests for qualifications;
- ii. subscription program offers;
- iii. for administrative purchases, if the issuance of a solicitation is reasonably expected to result in no more than nominal savings, obtaining at a minimum three (3) quotations from different providers or firms;
- iv. Procurement or Program Contracts made pursuant to centralized contracts let by other public entities pursuant to a competitive process, including those contracts of the New York State Office of General Services;
- v. procurement of commodities from a Contractor where the price to be charged by the Contractor is less than that which would be charged for the purchase substantially similar in function, form or utility made pursuant to a centralized contract let by the New York

- State Office of General Services or when other contract terms such as delivery or warranty terms are more economically beneficial; or
- vi. any other competitive method of selection that is consistent with these guidelines.

Article III. Requirements Regarding Selection of Contractors

3.0 Unless otherwise directed by law or order in the form of an authorization and direction to use funds in a certain manner¹, the Authority shall use its best efforts to secure offers from Contractors by using a Competitive Selection Method and to select from such offerers the Contractor offering the most favorable terms, weighing expected ability to perform against projected costs. To this end, the Authority shall:

- (a) solicit offers in writing from several persons or organizations known, believed, or reputed to be qualified in the area of supplying the goods, personal services, Authority program work, or other administrative services to be provided or performed, except that for administrative purchases authorized by Section 2.10 (iii), such quotations may be secured by telephone solicitations where time constraints do not permit securing a written bid and for procurement of catalog and other items generally purchased in the ordinary course of business or which do not require a detailed bid or description of materials or specifications.
- (b) evaluate those offers received on the basis of such information as the Authority has received on, as may be relevant, each offerer's technical or professional qualifications, financial stability, past performance for the Authority, staff, equipment, and facilities availability, experience, reputation, and other factors bearing on expected capability and quality of performance, possible conflicts of interest, and contract price or fee structure; and
- (c) document the processes by which a Contractor is selected, by making a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative services sought, the name of each person or organization submitting, or requested to submit, a bid or proposal, the price or fee bid, and the basis for selection of the Contractor.

3.1 In any instance, the members of the Authority may, by resolution, waive the use of the procedure for selecting Contractors outlined in Section 3.0 if they determine such waiver to be in the best interest of the Authority.

3.2 The members of the Authority, upon adoption of these Guidelines, hereby waive use of the procedures for selecting Contractors outlined in section 3.0 in those instances when:

¹ For avoidance of doubt, this provision is included to acknowledge that the Authority's contracting guidelines do not apply in instances where the Authority is directed by law or order to use funds in a certain manner.

- a) the goods, personal services, Authority program work, or other administrative services involved are expected to cost \$50,000 or less;
- b) the goods, personal services, or other administrative services involved are expected to cost \$200,000 or less and are procured from a Minority Business Enterprise or Women-Owned Business Enterprise; a New York State Small Business Enterprise; or a Certified Service-Disabled Veteran-Owned Business Enterprise.
- c) for any Authority program work, the contract is based upon an unsolicited proposal, submitted at the sole initiative of the offerer, not duplicating work then being performed or in the project planning request stage, and involving unique, innovative, or unusual meritorious methods or ideas;
- d) an Authority Officer determines that:
 - i. the interest of the Authority for timely obtainment of the goods, personal services, Authority program work, or other administrative services involved precludes selection of a Contractor pursuant to such procedure; or,
 - ii. even though two or more Contractors could supply the required goods, personal services, Authority program work, or other administrative service, one particular Contractor has unique or exceptionally scarce qualifications or experience, specialized equipment, or facilities not readily available from other sources, or patents, copyrights, or proprietary data; or,
 - iii. there is only one source for a required good, personal service, Authority program work, or other administrative service; or,
 - iv. the contract is for goods, personal services or other administrative services and is based upon a contract awarded by the United States government, or any state or any political subdivision thereof, in accordance with the requirements of New York State Finance Law and the criteria established by the New York State Office of General Services (aka piggybacking); or,
 - v. the contract is for a membership in an industry group, professional society, or similar cooperative association the work of which has been determined by an Officer of the authority to be aligned with the strategy of the Authority and membership in which will benefit the Authority's mission; or
 - vi. the contract is for a sponsorship or procurement of space or services in relation to an industry or other mission-related conference, symposium or other similar event, the topic of which has been determined by an Officer of the Authority to be aligned with the strategy of the Authority and participation will benefit the Authority's mission.

provided, that in those instances the Authority shall nonetheless make a record summarizing the nature and scope of the goods, personal services, Authority program work, or other administrative service involved, the name of the Contractor, the contract price or fee, and the basis for waiver and selection of the Contractor.

3.3 Board Approval of Procurement and Program Contracts

- (a) Approval of the members of the Authority, either by prior action, when time permits, or by ratification at the next meeting of the Authority shall be required for any:
- i. Procurement Contract which provides for a period of performance by the Contractor in excess of one year;
 - ii. Program Contract that is the result of a competitive process and which provides an estimated or actual amount of \$3 Million or more; and,
 - iii. Program Contract that is the result of a noncompetitive process and which provides an estimated or actual amount greater than \$1 Million.

3.4 Independent auditors for the Authority shall be retained only with the prior approval of the members of the Authority.

3.5 The Authority may use its Competitive Selection Methods to select multiple Contractors to provide goods, personal services, Authority program work, and other administrative services. Individual task work orders may be awarded to these Contractors on an as-needed basis.

Article IV. Compliance with State Finance Law Sections 139-j and 139-k in the Selection of Contractors; Contractor Responsibilities and Types of Contract Provisions

4.0 The Authority shall comply with the requirements of State Finance Law Sections 139-j and 139-k in its selection of Contractors. Solicitations shall identify NYSERDA's Designated Contacts, require bidders to disclose any prior determination of non-responsibility, and to provide the other information required by State Finance Law Sections 139-j and 139-k.

The responsibilities of a Contractor, including continuing responsibilities under State Finance Law Sections 139-j and 139-k, shall be detailed in the contract. Contract provisions shall address the nature and scope of the goods, personal services, or Authority program work to be provided; the standard for performance, if practical; the duration of the work; any relevant time requirements or deadlines; and the amount of compensation to be paid or the price, rates, or fees which will be used to determine such compensation. Personal Services Contracts and Program Contracts shall also include provisions on monitoring of the work to be performed; provisions governing the Contractor's use of Authority supplies, facilities, or personnel, if permitted; any provisions required by applicable law; and any other provisions the Authority deems necessary or desirable.

Article V. Minority and Women-Owned Business Enterprises

5.0 It is the policy of the Authority to foster and promote participation of Minority Business Enterprises and Women-Owned Business Enterprises in its procurements and the development of such enterprises and to facilitate a fair share of the awarding of Procurement Contracts to such enterprises.

The MWBE rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 15-A of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project. Article 15-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Minority and Women-Owned Business Development to certify and decertify Minority Business Enterprises and Women-Owned Business Enterprises through a single process that meets applicable state and federal requirements.

On an annual basis, the Authority shall establish appropriate statewide goals for participation by Minority or Women-Owned Business Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority pursuant to § 313(1-b) of the Executive Law. In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Minority and Women's Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by

Minority and Women-Owned Business Enterprises. This includes, but is not limited to:

- (a) The Authority shall maintain measures and procedures, as detailed in the Master Goal Plan, to ensure that certified businesses shall be given the opportunity for maximum feasible participation in the performance of appropriate state contracts and to assist in the Authority's identification of those state contracts for which certified businesses may best bid to actively and affirmatively promote and assist their participation.
- (b) The Authority will consult with the Division of Minority and Women's Business Development for assistance in identifying Minority Business Enterprises or Women-Owned Business Enterprises, and refer to any known list maintained by any other State department or agency that identifies such enterprises by area of expertise.
- (c) With the assistance of the Director of Contract Management, the Authority shall maintain its own list of such enterprises, including professional firms that have expressed an interest in doing business with the Authority, and shall ensure that the list is updated regularly.
- (d) The Authority shall provide notice of procurement opportunities to professional and other organizations that serve Minority and Women-Owned Business Enterprises providing the types of services the Authority procures, and solicit or request offers from Minority and Women-Owned Businesses known to have experience in the type of goods, personal services, and other administrative services involved.
- (e) Each solicitation document for a qualified solicitation shall set forth the expected degree of Minority and Women-Owned Business Enterprise participation, and the Authority shall provide to each prospective contractor a current list of certified Minority and Women-Owned Business Enterprises. The expected degree of Minority and Women-Owned Business Enterprise participation shall be based, in part, on (i) the potential subcontract opportunities available in the prime procurement contract; and (ii) the availability of certified Minority and Women-Owned Business Enterprises to respond competitively to the potential subcontract opportunities.
- (f) Written solicitations or requests for bids or proposals for Procurement Contracts will include a statement of the Authority's affirmative action policy.
- (g) Allow bidders to count the Minority and Women-Owned Business Enterprise portion of a joint venture towards meeting its minority business enterprise goal.
- (h) For each qualified contract, the Authority shall: (i) consider, where practicable, the severability of construction projects and other bundled contracts; (ii) evaluate the appropriateness of the goal established in the Master Goal Plan; (iii) consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business Enterprises which effectuates the purpose of the Authority's policy; and (iv) consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

The Authority may waive obligations of a contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of Article 9 of the Public Authorities Law relative to the waiver provisions contained in § 313(6) and (7) of the Executive Law. In addition, the Authority shall verify that Minority and Women-Owned Business Enterprises listed in a successful bid are actually participating to the extent listed in the project for which the bid was submitted.

The President and CEO of the Authority has general policy responsibility for the Authority's Minority and Women-Owned Business Enterprise program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Treasurer, shall manage day-to-day responsibilities for M/WBE reporting and implementation. The Authority Counsel's Office shall be responsible for M/WBE legislation oversight and compliance.

Through its program, the Authority endeavors to promote and assist: (i) participation by certified Minority or Women-Owned Business Enterprises in applicable Authority procurement opportunities and facilitation of the award of qualified procurement contracts, as identified by the Director of Contract Management, to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having qualified procurement contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having qualified procurement contracts with the Authority.

Article VI. Contracts with Former Officers or Employees

6.0 Contracts with former officers or employees of the Authority shall be permitted where the goods, personal services, Authority program work, or other administrative services are to the best advantage of the Authority and the contractual relationship is not otherwise prohibited by law or Authority policy. In particular, any former officer or employee of the Authority shall be held to the restrictions set forth in Public Authorities Law Section 73(8) on receiving compensation for any services rendered with respect to specified types of cases, proceedings, applications, matters, and transactions.

Article VII. New York State Business Enterprises and New York State Residents

7.0 It is the policy of the Authority to promote participation in qualified Procurement Contracts by New York State Business Enterprises and New York State Residents, by encouraging them to compete through measures including, but not limited to:

- (a) Collecting and consulting the specifications of New York State Business Enterprises in developing any specifications for any Procurement or Program Contract for the purchase of

goods where possible, practicable, feasible, and consistent with open bidding, except for Procurement or Program Contracts for which the Authority would be expending funds received from another state. The Authority will, where feasible, make use of the stock order specification forms prepared by the Commissioner of General Services and, where necessary, consult with the Commissioner of General Services in developing such specifications and making such determinations.

- (b) With the cooperation of the Department of Economic Development and through cooperative efforts with Contractors, providing for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on qualified Procurement Contracts in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by Contractors with such notification. Once awarded the contract, such Contractors shall document their efforts to encourage the participation of New York State Business Enterprises as subcontractors and suppliers on such qualified Procurement Contracts. Documented efforts by a successful Contractor shall consist of and be limited to showing that such Contractor has (a) solicited bids, in a timely and adequate manner, from New York State Business Enterprises, including certified minority and women-owned businesses, or (b) contacted the New York State Department of Economic Development to obtain listings of New York State Business Enterprises, or (c) placed notices for subcontractors and suppliers in newspapers, journals, and other trade publications circulated in New York State, or (d) participated in bidder outreach conferences. If the Contractor determines that New York State Business Enterprises are not available to participate on the contract as subcontractors or suppliers, the Contractor shall provide a statement indicating the method by which such determination was made. If the Contractor does not intend to use subcontractors on the contract, the Contractor shall provide a statement verifying such intent.
- (c) Except for Procurement Contracts for which the Authority would be expending funds received from another state, including in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development, including the directory of certified minority and women-owned businesses, and that it is the policy of New York State to encourage the use of New York State subcontractors and suppliers and to promote the participation of minority and women-owned businesses, where possible, in the procurement of goods and services.
- (d) With the cooperation of the Community Services Division of the Department of Labor and through cooperative efforts with Contractors, providing for the notification of New York State residents of employment opportunities arising in New York State out of Procurement Contracts in an amount estimated to be equal to or greater than one million dollars; and promulgating procedures that will assure compliance by Contractors with notification by requiring Contractors to submit post-award compliance reports documenting their efforts to provide such notification through listing any such positions with the Community Services

Division or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.

- (e) Including in each set of documents soliciting bids on qualified Procurement Contracts a statement notifying potential bidders in foreign countries that the Authority may assign or otherwise transfer offset credits created by such Procurement Contract to third parties located in New York State; providing for the assignment or other form of transfer of offset credits created by such Procurement Contracts, directly or indirectly, to third parties located in New York State, in accordance with the written directions of the Commissioner of Economic Development; and providing for the Authority otherwise to cooperate with the Department of Economic Development in efforts to get foreign countries to recognize offset credits assigned or transferred to third parties located in New York State created by such Procurement Contracts.
- (f) Promulgating procedures that will assure compliance with the federal Equal Employment Opportunity Act of 1972 (Pub. L. 92-261), as amended, by Contractors.

The Authority shall notify the Commissioner of Economic Development of the expected award of any Procurement or Program Contract for the purchase of goods, personal services, Authority program work, or other administrative services from a foreign business enterprise in an amount equal to or greater than one million dollars (\$1,000,000) simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement Contract until at least fifteen (15) days have elapsed, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the foreign business enterprise, a brief description of the goods or services to be obtained pursuant to the proposed Procurement Contract, the amount of the proposed Procurement Contract, the term of the proposed Procurement Contract, and the name of the individual at the foreign business enterprise or person acting on behalf of the same who is principally responsible for the proposed Procurement Contract. For the purposes of this subdivision, "foreign business enterprise" means a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods which are sought by the Authority and which are substantially produced outside New York State, or services, other than construction services, sought by the Authority and which are substantially performed outside New York State. For purposes of construction services, a foreign business enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside of New York State.

Article VIII. Publication of Notice of Procurement and Program Contracts

8.0 Except as provided in Section 9.1 below, prior to awarding any Procurement or Program Contract, the Authority shall submit to the Commissioner of the Department of Economic Development information on: (i) the contract identification number; (ii) a brief description of the goods or services sought, the location where goods are to be delivered or services provided and the contract term; (iii) the address where bids or proposals are to be submitted; (iv) the date when

bids or proposals are due; (v) a description of any eligibility or qualification requirement or preference; (vi) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture, or co-production arrangement; (vii) any other information deemed useful to potential Contractors; and (viii) the name, address, and telephone number of the person to be contacted for additional information. Such information shall be submitted in sufficient time to allow a minimum of fifteen business days between publication of such notice and the date on which a bid or proposal is due, except where a shorter period is specifically authorized by law.

8.1 The provisions of Section 9.0 shall not apply to Procurement or Program Contracts: (i) awarded on an emergency or critical basis, or on the basis that publication is otherwise not feasible, such as those described in Sections 4.2 and 2.11 (ii) - (vi) of these Guidelines; (ii) being re-bid or re-solicited for substantially the same goods or services, within forty-five business days after the date bids or proposals were originally due; or (iii) any other procurements the Commissioner of the Department of Economic Development has determined do not require publication.

Article IX. Reporting of Procurement and Program Contracts

9.0 Procurement Contracts

- (a) As required by Public Authorities Law Section 2879, the Authority shall prepare for approval by the members at their June meeting, or at least annually, a report on Procurement Contracts, for the fiscal year ending March 31 of each year, which report shall include:
- i. The Procurement Contract Guidelines;
 - ii. An explanation of the Guidelines and any amendments since the last annual report on Procurement Contracts;
 - iii. A list of all Procurement Contracts entered into and active during the reporting period. This will include identification of:
 - a. All contracts with New York business enterprises;
 - b. All contracts with foreign business enterprises;
 - c. All contracts with certified Minority or Women-Owned Business Enterprises;
 - d. All contracts with certified Service-Disabled Veteran-Owned Business Enterprises;
 - e. The subject matter and value thereof;
 - iv. A summary of all Procurement Contracts which were exempt from the publication requirements under article 4-c of the economic development law, the basis for any such exemption and the status of existing Procurement Contracts.
- (b) The members' approval of this report, which will include a list of all Procurement Contracts entered into during the prior year which provide for a period of performance by the contractor in excess of one year or which have continued for over one year, will be the

members' approval of such individual Procurement Contracts, as required by Public Authorities Law Section 2879(3)(b)(iii).

Upon approval by the members of the Authority, the Authority shall submit the annual report on Procurement Contracts to the Division of the Budget, with copies to the Senate Finance Committee, the Assembly Ways and Means Committee, the Department of Audit and Control, and the Department of Economic Development.

9.1 Program Contracts

- (a) The Authority shall prepare for review by the members at their June meeting, or at least annually, a report on Program Contracts, which report shall include description of the program contracting performed by the fiscal year, broken down by portfolio and program, that is sufficient to provide to the members an accurate reflection of the kinds and level of investment made by each of the Authority's programmatic portfolios and programs. Separate from this requirement, the Authority will also make available upon request to the members, a copy of the semi-annual reports that are required to be prepared under Public Authorities Law Section 1867(7).

9.2 The Authority shall make copies of its reports on Procurement and Program Contracts available on its website.

Article X. Miscellaneous Provisions

10.0 No violation of or failure to comply with the provisions of these Guidelines shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract; nor shall any provision of these Guidelines or any violation of or failure to comply with such provisions be the basis for any claim against the Authority or any member, officer, or employee of the Authority.

10.1 The members of the Authority may, from time to time, amend these Guidelines, provided that no such amendment shall be deemed to alter, affect the validity of, modify the terms of, or impair any Procurement or Program Contract.

Article XI. Service-Disabled Veteran-Owned Business Enterprises

11.0 It is the policy of the Authority to increase participation of Certified Service-Disabled Veteran-Owned Business (SDVOB) Enterprises in its procurements.

The SDVOB rules and regulations apply to any procurement that conforms to the definition of State Contract as described in the rules and regulations, and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d) of New York State Executive Law. State Contract is defined as: a written agreement or purchase order instrument, providing for a total expenditure in excess

of \$25,000, whereby a contracting agency is committed to expend or does expend funds in return for labor, services including but not limited to legal, financial and other professional services, commodities, supplies, equipment, materials, technology or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; a written agreement in excess of \$100,000 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; and, a written agreement in excess of \$100,000 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project.

Article 17-A explicitly applies to NYSERDA only to the extent of State Contracts entered into for its own account or for the benefit of another qualifying state agency.

The Authority endeavors to promote and assist: (i) participation by certified SDVOB Enterprises in qualified Authority procurement opportunities and facilitation of the award of qualified Procurement Contracts to such enterprises; (ii) the utilization of certified SDVOB Enterprises as subcontractors and suppliers by entities having qualified Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified SDVOB Enterprises and other entities having qualified Procurement Contracts with the Authority.

The Authority hereby designates the Division of Service-Disabled Veterans' Business Development (DSDVD) within the New York State Office of General Services to certify and decertify eligible SDVOB Enterprises through a process that meets applicable state requirements.

On an annual basis, the Authority shall establish appropriate agency-specific goals for participation by SDVOB Enterprises in qualified Procurement Contracts awarded by the Authority, and for the utilization of SDVOB Enterprises as subcontractors and suppliers by entities having qualified State Contracts with the Authority pursuant to Article 17-B of the Executive Law and as specifically applied to NYSERDA in Article 17-B Section 369-h(6)(d). In accordance therewith, the Authority shall submit a Master Goal Plan, or Master Goal Plan update, for acceptance by the Division of Service-Disabled Veterans' Business Development.

The Authority shall conduct procurements in a manner that will enable it to achieve the maximum feasible portion of the goals it establishes and that will eliminate barriers to participation by SDVOB enterprises.

The Authority has three primary options for using SDVOB Enterprises in its procurements: SDVOB Set Asides; SDVOB Contract Goal Setting; and, SDVOB Discretionary Purchasing. It is up to the Authority to determine which option, or combination of options, can best achieve the Authority goals in the Master Goal Plan.

(a) SDVOB Set Asides

- i. Set-asides permit the reservation in whole or in part of certain procurements by the Authority for SDVOBs when more than one SDVOB Enterprise is available and can provide the necessary construction, construction services, non-construction related services (including finance/bond management), technology, commodities, products and other classifications that will facilitate meeting the goal and meeting the Authority's form, function and utility.
- ii. SDVOB Contract Goal Setting
 - i. A required percentage of SDVOB participation may be placed on qualified procurements. Any contract that conforms to the definition of State Contract in Article 17-B of the Executive Law, and as specifically applied to NYSEDA in Article 17-B Section 369-h(6)(d), unless exempt or excluded, may be assessed for SDVOB participation goals.
- iii. SDVOB Discretionary Purchasing
 - i. SDVOB Enterprises may be chosen when making discretionary purchases up to \$200,000, pursuant to Section 4.2(b) of these Guidelines and State Finance Law.

(b) Waivers

- i. An SDVOB waiver is a reduction or elimination of the SDVOB goal that has been established for a qualified contract. The Authority may determine to make such a waiver in the event that it determines that such a waiver is necessary; moreover, it may grant a partial or total waiver of goal requirements established on a State Contract upon the submission of a waiver form by a contractor, documenting good faith efforts, as defined in the regulations, by the contractor to meet the goal requirements of the State Contract. The Authority shall consider the factors set out in the regulations in making its determination to grant or deny the waiver request.

The President and CEO of the Authority has general policy responsibility for the Authority's SDVOB Program. The Treasurer provides executive oversight and reports to the President, is familiar with the procurement of the types of construction, financial, legal and professional services utilized by the Authority, and participates in the procurement process directly and through designees. The Director of Contract Management, who reports to the Chief Operating Officer, shall manage day-to-day responsibilities for SDVOB reporting and implementation. The Authority Counsel's Office shall be responsible for SDVOB legislation oversight and compliance.

2017-18 ANNUAL CONTRACTS REPORT

SUMMARY OF PROGRAM ACTIVITY
APRIL 1, 2017 to MARCH 31, 2018

NEW YORK STATE
ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

ANNUAL CONTRACTS REPORT : Section 1 Summary 4/1/2017 to 3/31/2018

Category	Report Type	Type	Count of Contracts	Committed this period
PGRM	Program	Competitive	2,495	\$1,389,518,033
		Discretionary	32	\$1,246,742
		Non-Competitive	25	\$43,061,555
	Total		2,552	\$1,433,826,330
Grand Total			2,552	\$1,433,826,329

Section 1 Summary - Percentages

% Contract Counts Competitively % of \$Committed this period Competitively

99.01%	96.99%
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NYSERDA CONTRACTS REPORT - Contracts Executed or Modified

For FY 2016-17 & FY 2017-18

Table: All Market Focus versus prior fiscal year

MarketFocus	FY 2016-17		FY 2017-18	
	Count of Contracts	Committed this period	Count of Contracts	Committed this period
Administration			1	\$155,678
Agriculture	27	\$6,335,331	14	\$725,115
Anaerobic Digesters	1	\$2,000,000		
Building Innovation	23	\$2,673,724	13	\$616,705
Clean Trans (Innov & Research)	31	\$5,246,317	15	\$3,441,761
Combined Heat & Power	50	\$2,817,097	29	\$2,024,127
Commercial	170	\$36,584,231	78	\$8,072,760
Communities and Local Governme	25	\$21,521,114	7	\$5,485,000
Energy & Environmental Analysis	20	\$5,274,972	11	\$2,128,497
Energy-Related Environmental Res			7	\$3,310,327
Evaluation	3	\$739,884	2	\$150,665
Fuel NY	156	\$10,510,058	69	\$2,646,123
Green Jobs-Green NY	2	\$30,889		
Grid Modernization	12	\$5,024,354	17	\$26,481,203
Industrial	116	\$20,325,408	69	\$12,283,367
Innovation	12	\$28,072,498	11	\$19,523,977
Innovation Capacity & Biz Dev	18	\$2,812,201	4	\$964,550
Large Scale Renewables	17	\$354,013,819	5	\$11,498,152
LMI	57	\$4,528,447	4	\$425,575
Low Income	1	\$405,000	1	\$299,955
Mkt Char & Design (Mkt Dev)	6	\$145,000	2	\$149,992
Moderate Income	1	\$365,846		
Multifamily (Mkt Rate Only)	60	\$2,859,646	14	\$1,605,862
New Construction	193	\$20,500,386	57	\$5,121,302
NY-Sun	1,736	\$345,375,943	146	\$73,836,811
On-Site Power	104	\$63,011,501	23	\$9,946,093
Other Program	4	\$219,500	4	\$258,932
Products	5	\$1,788,868	1	\$13,050
Renewable Heating and Cooling	85	\$1,656,169	8	\$759,420

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified

For FY 2016-17 & FY 2017-18

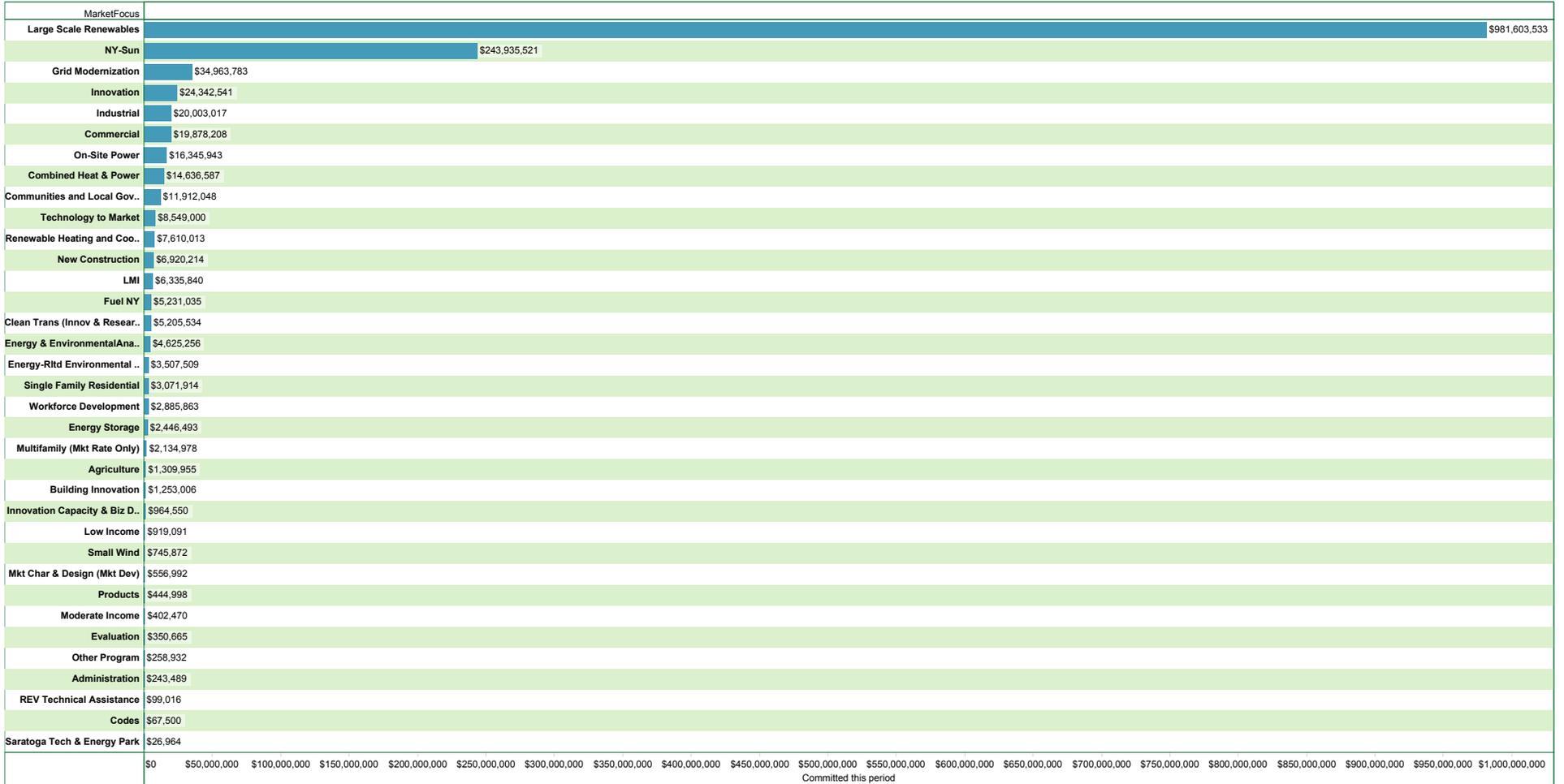
Table: All Market Focus versus prior fiscal year

MarketFocus	FY 2016-17		FY 2017-18	
	Count of Contracts	Committed this period	Count of Contracts	Committed this period
REV Technical Assistance	1	\$2,140,144	1	\$99,016
Single Family Residential	35	\$7,724,236	3	\$702,491
Small Wind	43	\$1,856,558		
Technology to Market			4	\$6,800,000
Workforce Development	3	\$1,076,884	1	\$12,000
Grand Total	3,017	\$957,636,024	621	\$199,538,507

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified

4/1/2017 to 3/31/2018

Graph: All Market Focus Committed this Period



NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018

Table: All Market Focus Committed this Period

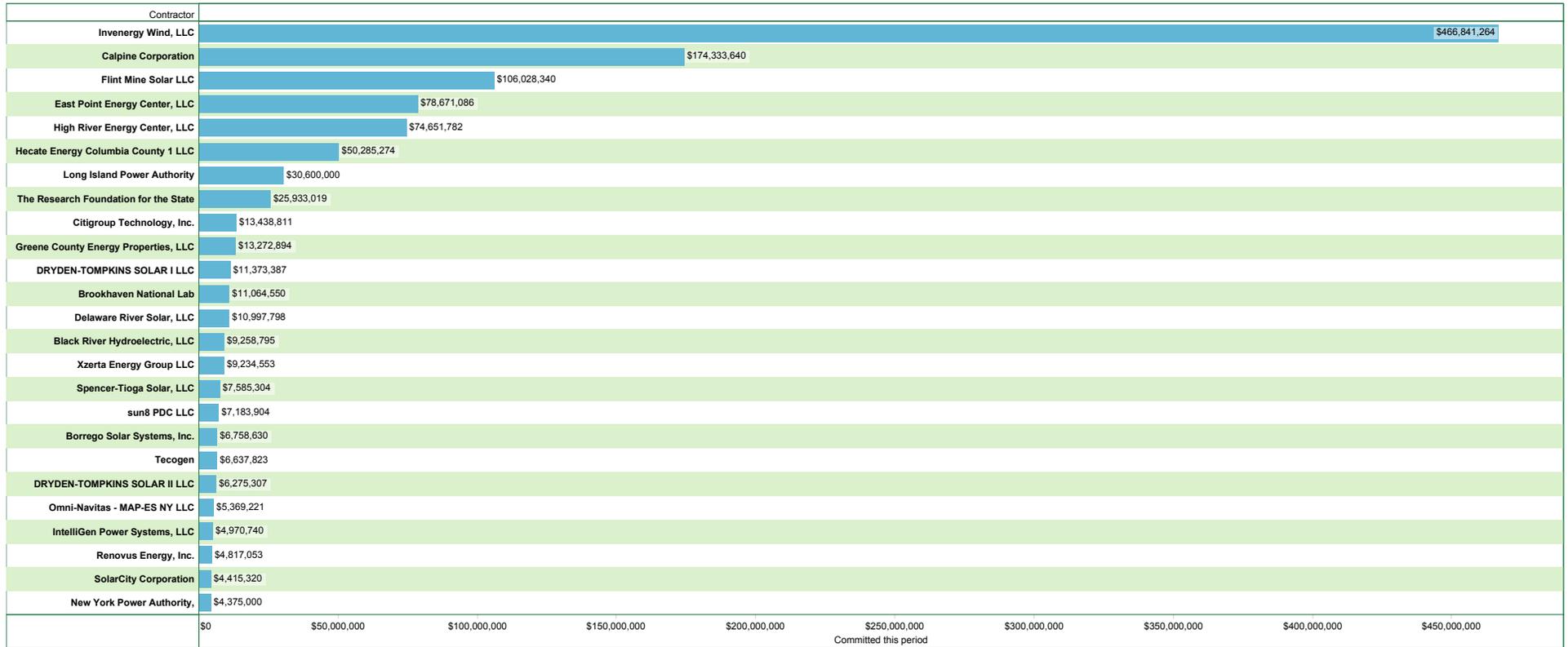
MarketFocus	Count of Contracts	Committed this period
Administration	3	\$243,489
Agriculture	23	\$1,309,955
Building Innovation	17	\$1,253,006
Clean Trans (Innov & Research)	26	\$5,205,534
Codes	2	\$67,500
Combined Heat & Power	57	\$14,636,587
Commercial	215	\$19,878,208
Communities and Local Governme	40	\$11,912,048
Energy & Environmen talAnalysis	19	\$4,625,256
Energy Storage	4	\$2,446,493
Energy-Rltd Environmental Res	13	\$3,507,509
Evaluation	3	\$350,665
Fuel NY	111	\$5,231,035
Grid Modernization	34	\$34,963,783
Industrial	101	\$20,003,017
Innovation	24	\$24,342,541
Innovation Capacity & Biz Dev	4	\$964,550
Large Scale Renewables	21	\$981,603,533
LMI	59	\$6,335,840
Low Income	4	\$919,091
Mkt Char & Design (Mkt Dev)	10	\$556,992

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018

Table: All Market Focus Committed this Period

MarketFocus	Count of Contracts	Committed this period
Moderate Income	2	\$402,470
Multifamily (Mkt Rate Only)	32	\$2,134,978
New Construction	83	\$6,920,214
NY-Sun	1,618	\$243,935,521
On-Site Power	27	\$16,345,943
Other Program	4	\$258,932
Products	5	\$444,998
Renewable Heating and Cooling	47	\$7,610,013
REV Technical Assistance	1	\$99,016
Saratoga Tech & Energy Park	1	\$26,964
Single Family Residential	13	\$3,071,914
Small Wind	16	\$745,872
Technology to Market	6	\$8,549,000
Workforce Development	14	\$2,885,863
Grand Total	2,659	\$1,433,788,329

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
 4/1/2017 to 3/31/2018
 Graph: Top 25 Contractors



NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Capital Region	Albany	77	\$11,411,447
	Columbia	26	\$50,673,474
	Greene	25	\$126,070,387
	Rensselaer	63	\$5,432,495
	Saratoga	47	\$3,815,819
	Schenectady	73	\$78,569,930
	Warren	16	\$1,122,884
	Washington	29	\$3,499,390
	Total	356	\$280,595,825
Central New York	Cayuga	18	\$247,217
	Cortland	19	\$2,600,513
	Madison	20	\$1,585,265
	Onondaga	54	\$2,830,939
	Oswego	24	\$2,972,001
	Total	135	\$10,235,935
Finger Lakes	Genesee	27	\$2,936,279
	Livingston	14	\$589,887
	Monroe	98	\$25,301,001
	Ontario	50	\$7,481,424
	Orleans	12	\$367,280
	Seneca	11	\$252,903

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Finger Lakes	Wayne	28	\$4,706,141
	Wyoming	13	\$188,563
	Yates	12	\$1,587,965
	Total	265	\$43,411,443
Long Island	Nassau	58	\$4,689,165
	Suffolk	110	\$5,327,659
	Total	168	\$10,016,824
Mid-Hudson	Dutchess	65	\$7,052,387
	Orange	102	\$19,642,300
	Putnam	17	\$121,407
	Rockland	35	\$640,730
	Sullivan	22	\$7,140,419
	Ulster	75	\$9,562,637
	Westchester	106	\$4,858,189
	Total	422	\$49,018,068
Mohawk Valley	Fulton	26	\$3,912,038
	Herkimer	15	\$609,324
	Montgomery	28	\$4,248,226
	Oneida	34	\$2,960,606
	Otsego	15	\$2,565,652
	Schoharie	14	\$79,367,101

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Mohawk Valley	Total	132	\$93,662,946
New York City	Bronx	76	\$9,846,033
	Kings	95	\$9,999,085
	New York	289	\$44,458,586
	Queens	154	\$12,077,284
	Richmond	78	\$4,882,566
	Total	692	\$81,263,553
North Country	Clinton	22	\$2,166,441
	Essex	16	\$425,228
	Franklin	13	\$422,530
	Jefferson	31	\$3,837,449
	Lewis	10	\$2,564,268
	St. Lawrence	29	\$602,747
	Total	121	\$10,018,662
Southern Tier	Broome	29	\$179,472,500
	Chemung	21	\$7,667,030
	Chenango	17	\$6,875,006
	Delaware	8	\$917,978
	Schuyler	7	\$41,662
	Steuben	10	\$749,479
	Tioga	18	\$10,225,500

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By REDC Region and County

REDC Region	County	Count of Contracts	Committed this period
Southern Tier	Tompkins	71	\$23,904,195
	Total	181	\$229,853,350
Statewide	STATEWIDE	200	\$143,122,718
	Total	200	\$143,122,718
Western New York	Allegany	13	\$1,567,967
	Cattaraugus	16	\$466,915,772
	Chautauqua	25	\$787,725
	Erie	103	\$10,484,391
	Niagara	29	\$2,833,150
	Total	186	\$482,589,005
Grand Total		2,858	\$1,433,788,329

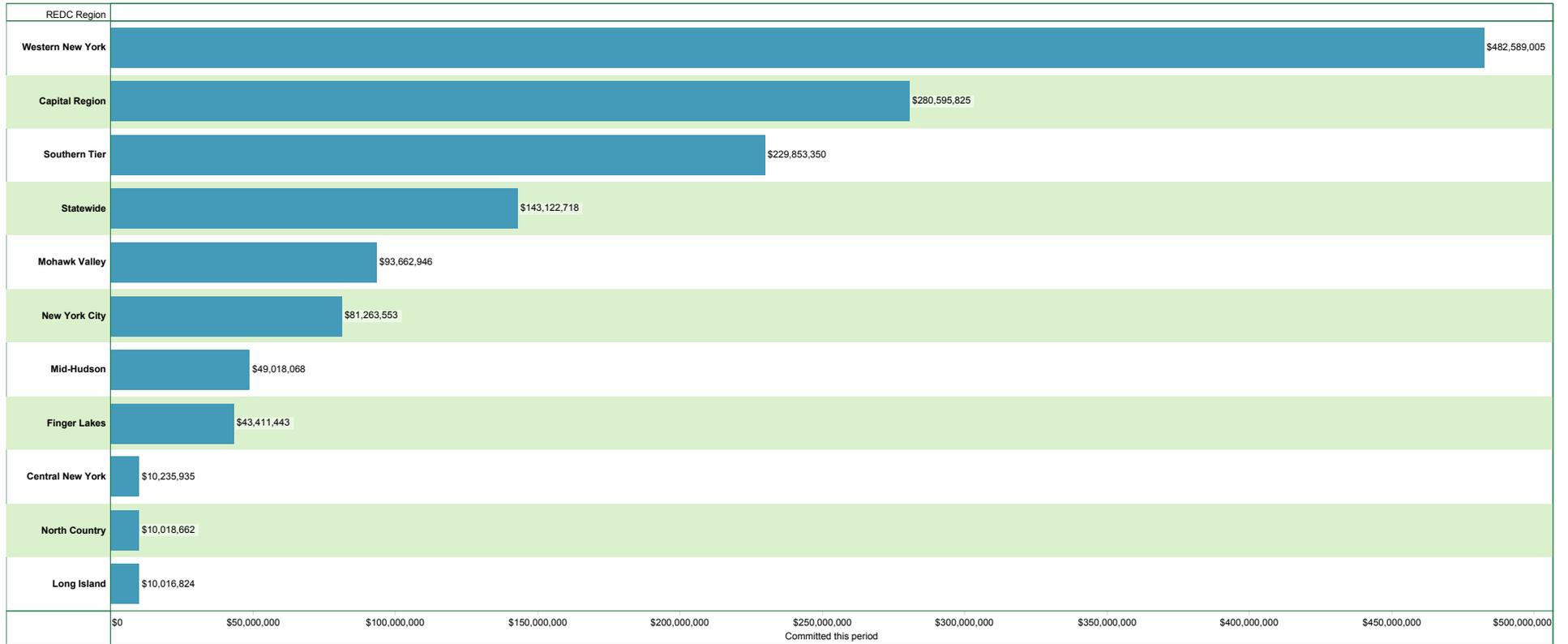
NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By Electric Utility

Electric Utility	Count of Contracts	Committed this period
BOONVILLE	3	\$113,216
Central Hudson Gas and Electric	183	\$143,327,918
Consolidated Edison	763	\$85,696,426
FREEPORT	3	\$1,025,066
ILION	1	\$65,000
JAMESTOWN	1	\$50,000
Long Island Power Authority	168	\$8,111,622
MOHAWK	1	\$390,000
National Grid	686	\$694,048,122
NYS Electric and Gas	377	\$304,224,837
Orange and Rockland Utilities	117	\$20,410,005
Rochester Gas and Electric	136	\$30,216,590
ROCKVILLE CENTRE	2	\$1,004,296
SILVER SPRINGS	2	\$98,961
SPENCERPORT	2	\$1,881,053
Statewide	200	\$143,122,718
THERESA	1	\$2,500

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
4/1/2017 to 3/31/2018
Table: By Electric Utility

Electric Utility	Count of Contracts	Committed this period
Grand Total	2,646	\$1,433,788,330

NYSERDA CONTRACTS REPORT - Contracts Executed or Modified
 4/1/2017 to 3/31/2018
 Graph: By REDC Region



NYSDERDA CONTRACTS REPORT: Non-Contract Vendor Payments
4/1/2017 to 3/31/2018
Graph: Top 25 Contractors: Non-Contract Vendor Payments

