



Purchase of Tier 2 Renewable Energy Certificates (T2RFP21-1)

Competitive Tier 2 Bid Proposal

The New York State Energy Research and Development Authority (NYSERDA) seeks to procure Competitive Tier 2 eligible Renewable Energy Certificates from eligible Bid Facilities, as authorized by the New York State Public Service Commission's [Order](#), issued on October 15, 2020 in Case No. 15-E-0302 (Order). All Proposers are advised to review the Order before participating in this procurement.

Please note: A partially completed Bid Proposal cannot be saved! Be sure to have all necessary details with you before beginning the form. Upon submission, you will receive a confirmation and a tracking number on your screen to print or save. Refer to this tracking number to check on the status of your request.

For full program details and eligibility, visit [NYSERDA's Competitive Tier 2 website](#). If you have any questions, contact res@nyserdera.ny.gov.

*required field

Proposer Information

Proposer Name

Proposer Mailing Address

Street Address

City

State

Zip

Contact Information

Primary Contact Name

First Name

Last Name

Primary Contact Title and Affiliation

Primary Contact Email

Primary Contact Phone Number

Primary Contact Phone Extension

Secondary Contact Name

First Name

Last Name

Secondary Contact Title and Affiliation

Secondary Contact Email

Secondary Contact Phone Number

Secondary Contact Phone Extension

Project Information

Bid Facility Name (as listed in NYGATS)

NYGATS ID

Technology Type

Wind

Non-State-Owned Run-of-River Hydro

Commercial Operation Date (Select the first date the technology (or facility) was in service. Must be prior to 1/1/2015)

Installed Capacity (MW)

Highest Annual Generation of Bid Facility over the past 3 years of operation (MWh)

Annual Bid Quantity [Not to exceed Highest Annual Generation of Bid Facility over the past 3 years of operation] (MWh)

Competitive Tier 2 Fixed REC Bid Price (a dollar per Tier 2 REC/MWh)

Is the Bid Facility under an active agreement with NYSERDA?

- Yes
- No

Certification Statements

My proposal contains confidential information

Do you wish to have any information submitted in your proposal package treated as proprietary or confidential trade secret information?

- Yes
- No

Has the Company or any of its principals been indicted/convicted of a felony in the past 5 years?

- Yes
- No

Are you a Minority or Women-Owned Business Enterprise?

- Yes
- No

Are you a certified Service-Disabled Veteran-Owned Business Enterprise?

- Yes
- No

Vendor Assurance of No Conflict of Interest or Detrimental Effect

The individual or entity offering to provide services pursuant to this solicitation, attests that its performance of the services outlined in this proposal does not and will not create a conflict of interest with nor position the individual or entity to breach any other contract currently in force with the State of New York.

Furthermore, the individual or entity attests that it will not act in any manner that is detrimental to any State project on which the individual or entity is rendering services. **Specifically, the individual or entity attests that:**

1. Its fulfillment of obligations, as outlined in its proposal, does not violate any existing contracts or agreements between the individual or entity and the State;
2. Its fulfillment of obligations, as outlined in its proposal, does not and will not create any conflict of interest, or perception thereof, with any current role or responsibility that the individual or entity has with regard to any existing contracts or agreements between the Firm and the State;
3. Its fulfillment of obligations, as outlined in its proposal, does not and will not compromise the individual or entity's ability to carry out its obligations under any existing contracts between it and the State;
4. Its fulfillment of any other contractual obligations it has with the State will not affect or influence its ability to perform under any contract with the State resulting from this solicitation;
5. During the negotiation and execution of any contract resulting from this solicitation, the individual or entity will not knowingly take any action or make any decision which creates a potential for conflict of interest or might cause a detrimental impact to the State as a whole including, but not limited to, any action or decision to divert resources from one State project to another;
6. In fulfilling obligations under each of its State contracts, including any contract which results from this solicitation, the individual or entity will act in accordance with the terms of each of its State contracts and will not knowingly take any action or make any decision which might cause a detrimental impact to the State as a whole including, but not limited to, any action or decision to divert resources from one State project to another;
7. No former officer or employee of the State who is now employed by the individual or entity, nor any former officer or employee of individual or entity who is now employed by the State, has played a role with regard to the administration of this contract procurement in a manner that may violate section 73(8)(a) of the State Ethics Law; and
8. The individual or entity has not and shall not offer to any employee, member or director of the State any gift, whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or in any other form, under circumstances in which it could

reasonably be inferred that the gift was intended to influence said employee, member or director, or could reasonably be expected to influence said employee, member or director, in the performance of the official duty of said employee, member or director or was intended as a reward for any official action on the part of said employee, member or director.

The individual or entity shall disclose below any existing or contemplated relationship with any other person or entity, including any known relationships with any member, shareholders of 5% or more, parent, subsidiary, or affiliated firm, which would constitute an actual or potential conflict of interest or appearance of impropriety, relating to other clients/customers of the proposer or former officers and employees of NYSERDA, in connection with proposer's rendering services as proposed. If a conflict does or might exist, please describe how you would eliminate or prevent it. Indicate what procedures will be followed to detect, notify NYSERDA of, and resolve any such conflicts.

The individual or entity must disclose whether it, or to the best of its knowledge, any of its members, shareholders of 5% or more, parents, affiliates, or subsidiaries, have been the subject of any investigation or disciplinary action by the New York State Commission on Public Integrity or its predecessor State entities (collectively, "Commission"), and if so, a brief description must be included indicating how any matter before the Commission was resolved or whether it remains unresolved.

Individuals or entities responding to this solicitation should note that the State recognizes that conflicts may occur in the future because an individual or entity may have existing or new relationships. The State will review the nature of any such new relationship and reserves the right to terminate the contract for cause if, in its judgment, a real or potential conflict of interest cannot be cured.

Please Read Carefully and check at least one of the checkbox

- Yes, There is a Conflict of Interest
- No, There is No Conflict of Interest

I, the undersigned certify that I am an Officer or otherwise authorized representative of the above-noted Proposer, and that all statements herein are true and accurate. I understand that NYSERDA reserves the right to request additional information to confirm or clarify the information reported in this Competitive Tier 2 Bid Proposal and to demonstrate the eligibility of this Bid Facility under Tier 2 of the New York Clean Energy Standard (CES), and I agree to provide any such information promptly. I also understand that NYSERDA and the Public Service Commission, or their authorized agents, may audit any applicant to verify the accuracy of any information included as part of this Competitive Tier 2 Bid Proposal. I further understand that

NYSERDA must be notified promptly of any material change in the information provided in this Competitive Tier 2 Bid Proposal. I understand that failure to provide information as requested by NYSERDA, to allow an audit, or to accurately complete this Competitive Tier 2 Bid Proposal may disqualify this Bid Facility from consideration under any CES competitive procurement and/or may result in contract termination by NYSERDA. I certify that the above information, and all information submitted in connection with State Finance Law section 139-j and section 139-k, is complete, true, and accurate, that I have read and reviewed the Standard Terms and Conditions set forth in the Competitive Tier 2 Agreement and that I accept all terms, and that the application requirements noted in T2RFP21-1 have been completed and are enclosed. I affirm that I understand and will comply with NYSERDA's procedures under section 139-j(3) and section 139-j(6)(b) of the State Finance Law. I understand that this Competitive Tier 2 Bid Proposal may be disqualified if the requirements are not met. I, the undersigned, am authorized to commit my organization to this Competitive Tier 2 Bid Proposal. I, the undersigned certify that I am an Officer or otherwise authorized representative of the above-noted Proposer, and that all the information, documentation, statements, and representations submitted in connection with this Competitive Tier 2 Bid Proposal are complete, true, and accurate.

First Name

Last Name

Email

Choose how to sign

Draw Type

I certify that I am the Authorized Representative of the Proposer and I acknowledge and agree that checking this box constitutes a legal electronic signature:

Receipt

Tier2Bid_#

You will be provided with a Receipt upon submission.