

PON 2309 – Attachment A
Low-rise Residential New Construction Programs
Incentive Summary & Application Requirements

The Low-rise Residential New Construction Programs (Programs) incorporate the New York ENERGY STAR® Certified Homes Program, as well as NYSERDA’s offer of eligibility for certain gut rehabilitation projects to participate and receive the alternative New York Energy \$mart designation. These Programs offer incentives which are intended to encourage the construction or erection of new residential dwelling unit(s) in compliance with the United States Environmental Protection Agency (EPA) ENERGY STAR Certified Homes Program and all other requirements outlined in this PON 2309. Incentive Applications must be submitted within the timeframe specified and include the supporting documentation specified in Attachment A and H, and throughout PON 2309.

Incentives offered to builders per Qualified Dwelling Unit ^{1, 2}	
<i>Tier 1</i> - ENERGY STAR Certified Homes Version 3.0 and New York Energy \$mart Homes <u>OR</u>	\$2,000 per qualified dwelling unit ³
<i>Tier 2</i> - ENERGY STAR Certified Homes Version 3.1 <u>OR</u>	\$2,500 for dwelling units ≤ 1500 sq. ft. ³ \$3,000 for dwelling units > 1500 sq. ft. ³
<i>Tier 3</i> - Home is designed to achieve net zero energy performance, inclusive of installed Solar PV.	\$4,000 for dwelling units ≤ 1500 sq. ft. ⁴ \$8,000 for dwelling units > 1500 sq. ft. ⁴
Model Home <u>OR</u> Display Home Incentive	\$1,000 ⁵
First Plan Review & Rating Incentive	\$1,000 ⁵
Incentive offered to owners of affordable housing per dwelling unit	
Affordable Housing Incentive	\$500 ⁵
Home Energy Rating System (HERS) Provider Incentive per Qualified Dwelling Unit	
HERS Provider Incentive	\$100 ⁵
Cooperative Advertising Incentives offered to Builders, HERS Providers & HERS Raters ^{5, 6}	
1 - 9 homes completed per calendar year	Up to \$5,000
10 – 19 homes completed per calendar year	Up to \$25,000
10 - 49 homes completed per calendar year	Up to \$50,000
50+ homes completed per calendar year	Up to \$100,000

¹ The performance requirements for each Tier are offered as an abbreviated reference within this Incentive Summary. More detailed requirements are provided within [Attachment B – Minimum Performance Standards](#) of PON 2309.

² The per dwelling incentive structure published and available from September 2013 through March 2014 will remain available to affordable housing projects, for projects which have secured NYSERDA’s funding commitment by June 30, 2014, per the detailed guidance provided within Section D of this Attachment A.

³ This incentive is available to otherwise qualified dwelling units in PSEG Long Island service territory but the final incentive amount will be reduced by the \$1,000 per dwelling unit incentive offered through PSEG Long Island.

⁴ This incentive is *not* available to otherwise qualified dwelling units in PSEG Long Island service territory.

⁵ These incentives are available, in full, to otherwise qualified dwelling units in PSEG Long Island service territory.

⁶ Refer to Attachment H for specific requirements relating to incentive reimbursement percentages.

PON 2309 and its various attachments establish the terms and conditions for Builders, Home Energy Raters, Home Energy Rating Providers, and HVAC Contractors to participate in these Programs; referred to as “Partner-Builder”, “Partner-Rater”, “Partner-Provider”, and Partner-HVAC Contractor, respectively; and are collectively referred to as “Partners” within PON 2309.

To be eligible to participate and receive incentives, the dwelling unit(s) must be located in one of the following electric or gas utility service territories: Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., New York State Electric & Gas Corporation, National Fuel Gas, National Grid, PSEG Long Island⁷, Orange and Rockland Utilities, Inc., or Rochester Gas & Electric Corporation. Other utility service territories may be added at the discretion of NYSERDA.

For the purposes of the these Programs, residential new construction is defined as the ground-up new construction of dwelling unit(s) contained within residential buildings of not more than three (3) stories in height. Additionally, residential buildings which are more than three (3) stories in height and determined to be eligible to participate in the EPA’s ENERGY STAR Certified Homes program will be considered for eligibility on a case-by-case basis. Dwelling units which will be “gut-rehabbed” or fully rehabilitated will also be considered by NYSERDA for eligibility on a case-by-case basis. Gut rehabilitation (rehab) projects which are not able to meet specific aspects of the EPA ENERGY STAR Certified Homes requirements as detailed in the [EPA ‘Water Management System Builder Checklist’](#) may be eligible to participate and receive incentives offered through PON 2309. Those gut rehab projects would not be designated as EPA ENERGY STAR Certified Homes but may be eligible for the New York Energy Smart designation. Technical requirements are provided in more detail within [Attachment B](#) of PON 2309. Buildings which, per the 2010 Building Code of New York State, are defined as Institutional, or are R-1 and primarily transient in nature such as hotels or motels, are not eligible to participate. Sole discretion for determination of eligibility to participate resides with NYSERDA.

A. Incentives are offered to Partner-Builders to encourage the construction of new residential dwelling unit(s) which comply with the Program requirements as outlined in this PON 2309. The Partner-Builder must secure and maintain the services of a Partner-Rater, and the Partner-Rater must verify each dwelling unit’s compliance with those requirements. To be eligible to receive Program incentives for any dwelling unit, the [Incentive Application](#) must be submitted to NYSERDA’s Program Implementation and Support Contractor within sixty (60) days of the Partner-Rater’s confirmed Home Energy Rating, or in accordance with the schedule identified in the [Project Application](#) and NYSERDA’s letter of commitment to the project. The Incentive Application must be accompanied by the Home Energy Rating Certificate and the [Home Qualification Form](#), both of which must reflect the dwelling unit’s condition when the confirmed Home Energy Rating was performed. If additional documentation or corrective action is required, NYSERDA or NYSERDA’s Program Implementation and Support Contractor will notify the appropriate Program Partner(s) by email of incomplete or unqualified Incentive Applications, and will return the application to the Partner-Builder after thirty (30) days if the matter has not been resolved. Please note that if incentives will be sought for dwelling units constructed in PSEG Long Island (PSEGLI) service territory, submission and prior NYSERDA approval of a Project Application will be required. Qualified dwelling units completed in PSEGLI service territory must submit their Incentive Application to PSEGLI for review, approval and payment of incentives offered by PSEGLI. Consideration of payment of the additional incentives offered by NYSERDA, if requested by the builder, will occur following the initial approval by PSEGLI.

⁷ To be eligible to participate and receive incentives, dwelling units located in PSEG Long Island service territory must use natural gas, propane or oil as the primary heating fuel.

- B. First Plan Review and Confirmed Home Energy Rating Incentive** of \$1,000 is offered to Partner-Builders. This additional incentive is offered in support of their Home Energy Rater's completion of the first plan review and confirmed Home Energy Rating for the Partner-Builder which addresses the EPA's most recent version of the ENERGY STAR Certified Homes Program requirements, and is completed in accordance with Attachment F of PON 2309. At their option, the Partner-Builder may assign payment of this incentive to the Partner-Rater who has completed the associated service. This incentive may only be requested as part of the Partner-Builder's [Incentive Application](#) for the dwelling unit.
- C. Affordable Housing Incentives**⁸ are offered to home owners or home buyers who will occupy the eligible home, if the household income of the newly constructed home's occupant(s) meet the Program's affordable housing criteria, and detailed in the last section of the [Project Application](#). To request payment of this incentive, the homebuyer must submit the [Consumer Incentive Form](#), accompanied by a copy of the deed. Additionally, verification of household income eligibility must be provided by the Partner-Builder or homebuyer.
- D. Model & Display Home Incentives**^{9,10} are offered to encourage Partner-Builders to market the New York ENERGY STAR Certified Homes brand. Model Home incentives are intended to support the builder's longer term marketing and sales efforts. Display Homes incentives are intended to support marketing and sales efforts associated with higher levels of prospective homebuyer traffic which can occur during special events.

To be eligible to receive the \$1,000 Display or Model Home incentive, the Partner-Builder must seek and receive prior approval from NYSERDA through submission of the [Model and Display Home Prior Approval Form](#). Payment of either incentive will be based on prior approval. In addition to complying with the Program requirements detailed elsewhere in PON 2309, Model & Display Homes must meet the following requirements:

- Display or Model Homes must have qualified as Tier 1, as a minimum;
- Display or Model Homes must document electrical savings of at least 950 kWh;
- All appliances must be ENERGY STAR-qualified and identified as such, if the EPA offers that qualification (i.e. dishwasher, refrigerator, freezer, and clothes washer); and ENERGY STAR-qualified product literature must be provided for any of those appliances not on display;
- Program-provided yard signs, wall posters, feature markers, and other program materials or literature must be placed in public view to highlight the home's characteristics. These requirements are detailed within the [Builder Toolkit tab](#) of NYSERDA's [Business Partner Portal](#);
- Model Homes:
 1. Must be publicly available for viewing for at least sixty (60) days;
 2. May not be occupied as a residence during the required viewing period;

⁸ The per dwelling incentive structure published and available from September 2013 through March 2014 will remain available to affordable housing project owners, as an alternative to the tiered incentive structure and at their option. To be eligible for this alternative offer, the Program's affordable housing criteria outlined in the [Project Application](#) must be met; NYSERDA's prior approval and commitment to the project must have been secured by June 30, 2014; and the owner's request for payment must be included as part of the project's [Incentive Application](#). If payment of the affordable housing incentive is requested, the project's owner must sign the Incentive Application, in addition to the Partner-Builder's and Partner-Rater's signature. Additional guidance on this alternative can be accessed by emailing NYBuilderIncentives@csgpr.com.

⁹ Homeowners who serve as their own Partner-Builder are not eligible for this incentive.

¹⁰ Each Partner-Builder is limited to one Model and/or Display Home Incentive for every ten New York ENERGY STAR Certified Home they have completed during the current calendar year.

3. The 60-day viewing period does not begin until the home has met all program requirements, and approval is issued;
 4. If the home is sold or occupied prior to the Builder's fulfillment of required 60-day viewing period, an alternate Model Home must be provided by the Builder to fulfill this requirement. The Builder must request and receive NYSERDA's prior approval, and no additional incentives will be provided;
- Display Homes must receive prior approval from NYSERDA, be widely advertised for at least two-weeks prior to the event, and must be publicly accessible for viewing during the entire event. To be defined as an eligible special event which qualifies the Builder for this additional Display Home incentive, the builder must establish the event is unique and will secure significant public participation such as a 'Parade of Homes', a well-attended ribbon cutting, or other public events. A traditional open house will not qualify as a special event meeting this criteria. The final determination of eligibility as a special event rests solely with NYSERDA.

E. Home Energy Rating Provider Incentives are offered to Partner-Providers in support of their delivery of services to affiliated Partner-Raters, and to NYSERDA, in accordance with [Attachment G of PON 2309 – Home Energy Rating Provider Requirements](#).

F. Cooperative Advertising Incentives are offered to Partner-Builders, Partner-Providers, and Partner-Raters for qualified advertising within eligible service territory, in accordance with [Attachment H of PON 2309 – Incentives and Requirements for Cooperative Advertising](#)