



**ANDREW M. CUOMO**  
Governor

**NYSERDA**

**RICHARD L. KAUFFMAN**  
Chair

**ALICIA BARTON**  
President and CEO

**NOTICE OF MEETING AND AGENDA**

June 11, 2020

TO THE GUESTS OF THE AUDIT AND FINANCE COMMITTEE:

PLEASE TAKE NOTICE that the one hundred forty-fourth (144<sup>th</sup>) meeting of the AUDIT AND FINANCE COMMITTEE of the New York State Energy Research and Development Authority will be held via video conference, on Tuesday, June 23, 2019, commencing at 10:00 a.m., for the following purposes:

1. To consider the Minutes of the 143<sup>rd</sup> meeting held on April 28, 2020.
2. To consider and act upon a resolution recommending approval of the Annual Investment Report of the Authority and the “*Investment Guidelines, Operative Policy and Instructions (June 2020).*”
3. To consider and act upon a resolution recommending approval of the Annual Investment Report for the NYSERDA OPEB (Other Post-Employment Benefits) Trust for the year ended March 31, 2020 and the “*Investment Policy Statement for the NYSERDA OPEB Trust (June 2020).*”
4. To receive the Financial Statements of the Authority and NY Green Bank for fiscal year 2019-2020.
5. To consider and act upon a motion to enter into executive session for the purpose of reviewing the financial condition of the Authority.
6. To consider and act upon a resolution recommending approval of the Financial Statements of the Authority and NY Green Bank for fiscal year 2019-2020.
7. To consider and act upon a resolution recommending approval of the appointment of the Authority’s independent auditors for fiscal year 2020-2021.
8. To consider and act upon a resolution recommending approval of an annual bond sales report.

---

**New York State Energy Research and Development Authority**

**Albany**

17 Columbia Circle, Albany, NY 12203-6399

(P) 1-866-NYSERDA | (F) 518-862-1091

[nyserdera.ny.gov](http://nyserdera.ny.gov) | [info@nyserdera.ny.gov](mailto:info@nyserdera.ny.gov)

**Buffalo**

726 Exchange Street

Suite 821

Buffalo, NY

14210-1484

(P) 716-842-1522

(F) 716-842-0156

**New York City**

1359 Broadway

19th Floor

New York, NY

10018-7842

(P) 212-971-5342

(F) 518-862-1091

**West Valley Site**

**Management Program**

9030-B Route 219

West Valley, NY

14171-9500

(P) 716-942-9960

(F) 716-942-9961

9. To consider and act upon a resolution recommending approval of the Audit and Finance Committee Charter.
10. To transact such other business as may properly come before the Committee.

Members of the public may attend the meeting via the video conference which can be accessed at <https://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

The Authority will be posting a video and a transcript of the meeting to the web as soon as practicable after the meeting. The video and transcript will be posted at <http://www.nyserda.ny.gov/About/Board-Governance/Board-and-Committee-Meetings>.

A handwritten signature in black ink, reading "Sara L. LeCain". The signature is written in a cursive style with a long horizontal flourish extending to the right.

Sara L. LeCain  
Sara L. LeCain  
Secretary to the Audit and Finance Committee