The New York State Energy Research and Development Authority

Green Jobs—Green NY

Small Commercial Energy Efficiency Financing Program

Request for Financing Form

nyserda
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Section 1: Applicant Information

Applicant Name:	Phone Number:	
Contact:	Phone Number:	Email:
Name of Business or Enterprise:	Property Address:	Fax Number:
City:	State:	Zip Code:

Section 2: Eligibility Information

Qualified Energy Audit Number or Identifier: _____

NYSERDA Audit ☐ Utility Audit ☐ Utility Name _____

Other Audit Provider ☐ Name of Other Auditor/Auditing Firm_____

My organization is a:

Small Business in New York State ☐ with 100 employees or less or Not-for-profit in New York State ☐

I am the Building Owner ☐

Building Lessee ☐ Building Manager ☐ Lessee of space within a building ☐

** Small Business and Not-for-Profit applicants who lease or manage a building or space within a building must obtain a release from the building owner in order to apply for financing through the Program.*

Release by Building Owner

I, _____, owner of the property identified above, give the Applicant permission to enter into a financing agreement to make improvements to the property identified above under the GJGNY Small Business/Not-for-Profit Program. I understand that as the owner of this property, I am allowed a maximum of $50,000 from NYSERDA under this Program, and that the total amount remaining for my use will be reduced by the amount approved under this Request for Financing. I further understand that should the Applicant seek an On-Bill Recovery Loan, before the Applicant can receive such loan, I will be required to sign and notarize an On-Bill Recovery Program Declaration.

_____ _____

Owner Name (printed) Owner Signature

_____

Date

Section 3: Financing Product Descriptions

Participation Loan Product:	On-Bill Recovery Loan Product:
NYSERDA will finance 50% of the Eligible Loan Amount, approved by NYSERDA on this Request for Financing Form, up to a maximum of $50,000, at 0% interest. The Lender will finance the remaining loan principal at market rate. Participating Lenders will screen Applicants for credit worthiness. Lenders will only issue Participation Loans to Applicants that meet or exceed the Lender's criteria. Criteria may vary by Lender. Borrowers will make loan payments to the participating Lender.	A participating Lender of the Applicant's choice offers NYSERDA loans of up to $50,000 at 2.5% interest. Participating Lenders will screen Applicants for credit worthiness. Lenders will only issue On-Bill Recovery Loans to Applicants that meet or exceed NYSERDA's criteria. A list of NYSERDA's criteria can be found on the on the Financing Program webpage: nyserda.ny.gov/gjgny-small-businessnonprofit-financing. Borrowers will repay loans through a monthly charge on their utility bills.
☐ I wish to be considered for a Participation Loan	☐ I wish to be considered for an On-Bill Recovery Loan

* Applicant may choose to be considered for one or both loan product types. Only one loan per NYSERDA-approved Request for Financing Package will be issued.

Section 4: Certification of Applicant

I _____ ("Applicant") hereby request financing under the Green Jobs/Green NY (GJGNY) Small Business/ Not-for-Profit Program for the measures identified in the attached Approved Energy Efficiency Measure Worksheet. I certify that

1) I am an eligible Applicant, as defined in the Section 2 of this document, and have the authority to make and install the proposed improvements;

2) All information in this Request for Financing Package is true and complete to the best of my knowledge and belief;

3) I will complete my energy efficiency project and submit a Certificate of Project Completion to NYSERDA within 90 days of receiving the energy efficiency loan from my Lender;

4) I understand and agree to the following Terms and Conditions:

Eligible Loan Amount. NYSERDA reserves the right to deny all or some portion of the Request for Financing Package, or adjust incentive amounts for individual measures, listed in the Approved Energy Efficiency Measures Worksheet, and thereby reduce the Eligible Loan Amount.

Access to Credit Information. A lender or NYSERDA has the right to access a credit report(s), a FICO score, and perform similar credit-related activities for the purpose of evaluating my creditworthiness.

Follow-up Visits. NYSERDA reserves the right to make a reasonable number of post-installation follow-up visits to the project during the 24 months following the project completion. Such visit(s) will be at a time convenient to the Applicant and will be made with at least one week's advance notice to the Applicant. The purpose of the follow-up visit(s) is to provide NYSERDA or its agent an opportunity to ensure the Approved Measures are installed consistent with the Request for Financing Package, and generally accepted engineering practices.

Limited Scope of Review. The scope of review by NYSERDA of the installation of Approved Measures is limited solely to determining whether criteria set forth in the Request for Financing Package have been met and does not include any kind of safety or other review.

Title to Equipment. Title to equipment purchased under this Application shall not vest with NYSERDA.

No Warranties. NYSERDA does not endorse, guarantee, or warrant any particular manufacturer or product, and NYSERDA provides no warranties, expressed or implied, for any product or services. The Applicant acknowledges that neither NYSERDA nor any of its agents are responsible for ensuring that the design, engineering, construction, or installation of the Approved Measures is proper or complies with any particular laws, codes, or industry standards.

Indemnification. The Applicant shall protect, indemnify, and hold harmless NYSERDA and the State of New York from and against all liabilities, losses, claims, damages, judgments, penalties, causes of action, costs and expenses (including, without limitation, attorney's fees and expenses) imposed upon or incurred by or asserted against NYSERDA, its agents, or the State of New York resulting from, arising out of, or relating to the performance of this Agreement. The obligations of the Applicant under this section shall survive any expiration or termination of this Agreement.
Application Does Not Entitle Applicant to Participate Submission of a completed application does not entitle the applicant to program participation.

Small Business/Not-for-Profit Program Energy Efficiency Financing Program

Request for Financing Form

Vendor Selection. The Applicant may select any vendor or contractor to perform the work included in the Request for Financing Package. NYSERDA expects the Applicant to competitively procure products and services related to this Request for Financing Package. Notwithstanding the foregoing, the Applicant acknowledges that NYSERDA has the right to exclude a vendor or contractor from participating in this program.

Events of Default by Applicant. An Event of Default shall be deemed to exist if any one or more of the following events occurs:

a. Failure to comply with the approved Request for Financing Package, including the Terms and Conditions contained herein;

b. Omissions or misrepresentation of material facts attested to in the Request for Financing Package regarding the eligibility of the facility, the Applicant, or the improvements;

c. Failure of the Applicant to complete the Approved Measures as set forth in the Request for Financing Package;

d. Failure of the Applicant to provide NYSERDA or its agents reasonable access to the facility for the purpose of monitoring, inspecting, or otherwise investigating the installation of the Approved Measures; or

e. Failure of the Applicant to submit the Certificate of Completion. In the Event of a Default, NYSERDA reserves rights to all remedies available under law.

Applicant: Print Name	Applicant Signature	Date

For NYSERDA Use Only:

Project ID Number: _____

Eligible for a Participation Loan ☐ Eligible for an On-Bill Recovery Loan ☐

	Participation Loan	On-Bill Recovery Loan
Eligible Loan Amount		
NYSERDA Loan Amount		
Minimum Loan Term	Not Applicable	Months

NYSERDA Project Manager	Date
NYSERDA Program Manager	Date
Comments:	

INSTRUCTIONS

1. Request for Financing Package: The Request for Financing Package consists of the following items:

a. Request for Financing Form

b. Approved Energy Efficiency Measures Worksheet

c. Contractor's Cost Proposal for Measures to be implemented

d. Copy of the incentive application (if applicable)

e. Technical Cut Sheets for Measures to be implemented demonstrating minimum efficiency levels have been met

f. Copy of approved Qualified Energy Audit

2. Submit the Request for Financing Package to NYSERDA for approval:

By email to: gjgnysbnfp@nyserda.org

Or by mail to:

GJGNY SB/NFP PON 2293
NYSERDA
17 Columbia Circle
Albany, NY 12203-6399

3. NYSERDA will provide notification of approval or rejection. If approved, NYSERDA will send the Applicant the Approved Request for Financing Package and an approval letter to bring to a participating Lender of the Applicant's choice.

4. If approved, Applicant must take the NYSERDA-approved Request for Financing Package and approval letter to a participating Lender. A list of Lenders who have agreed to participate in the GJGNY Small Business/Not-for-profit Energy Efficiency Financing Program will be available on the Financing Program webpage: nyserda.ny.gov/gjgny-small-business-nonprofit-financing.