



PON 2309 – Attachment A Low-rise Residential New Construction Programs Incentive Summary & Application Requirements

The Low-rise Residential New Construction Programs (Programs) incorporate the New York ENERGY STAR® Homes Program (inclusive of the market-rate and affordable components) as well as NYSERDA's offer of eligibility for certain gut rehabilitation projects to participate and receive the alternative New York Energy \$mart designation. These Programs offer incentives which are intended to encourage the construction or erection of new residential dwelling unit(s) in compliance with the United States Evironmental Protection Agency (EPA) ENERGY STAR Homes Program and all other requirements outlined in this PON 2309. Incentive Applications must be submitted within the timeframe specified and include the supporting documentation specified in Attachment A and H, and throughout PON 2309.

Builder Incentives per Qualified Dwelling Unit	
Builder Home Incentive (BHI)	\$1,250 Upstate; \$1,500 Downstate ¹
<u>Or</u> Model Home Incentive	\$3,000
Or Display Home Incentive	\$3,000
First Plan Review & Rating Incentive	\$200 & \$300, respectively
Quality Installer Incentive for:	
Envelope insulation and air-sealing	\$250
Primary Heating System	\$250
Primary Cooling System	\$250
Owner Incentive offered for affor	dable households, per dwelling unit
Affordable Housing Incentive	\$500
Home Energy Rating Provider Incentive	
Per Qualified Dwelling Unit	\$75 per dwelling
Cooperative Advertising Incentives - Builders, Home Energy Rating Providers & Raters	
25% ² or 50% of qualified expenditures based on annual production, per calendar year	
1 - 9 homes	Up to \$5,000
10 - 49 homes	Up to \$50,000
50+ homes	Up to \$100,000

¹ The downstate incentive is offered for homes constructed within the five counties of New York City & Westchester County.

² Refer to Attachment H for specific requirements relating to incentive reimbursement percentages.

PON 2309 and its various attachments establish the terms and conditions for Builders, Home Energy Raters, Home Energy Rating Providers, and HVAC Contractors to participate in these Programs; referred to as "Partner-Builder", "Partner-Rater", "Partner-Provider", and Partner-HVAC Contractor, respectively; and are collectively referred to as "Partners" through-out PON 2309.

To be eligible to participate and receive incentives, the dwelling unit(s) must be located in one of the following electric or gas utility service territories: Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., New York State Electric & Gas Corporation, National Fuel Gas, National Grid, Orange and Rockland Utilities, Inc., or Rochester Gas & Electric Corporation. Other utility service territories may be added at the discretion of NYSERDA.

For the purposes of the these Programs, residential new construction is defined as the ground-up new construction of dwelling unit(s) contained within residential buildings of not more than three (3) stories in height. Additionally, residential buildings which are more than three (3) stories in height and determined to be eligible to participate in the EPA's ENERGY STAR Homes program will be considered for eligibility on a case-by-case basis. Dwelling units which will be "gut-rehabbed" or fully rehabilitated will also be considered by NYSERDA for eligibility on a case-by-case basis. Gut rehab projects which are not able to meet specific aspects of the EPA ENERGY STAR Homes requirements as detailed in the EPA 'Water Management System Builder Checklist' may be eligible to participate and receive NYSERDAs incentive as offered through PON 2309. Those gut rehab projects would not be designated as EPA ENERGY STAR Certified Homes but may be eligible for the New York Energy \$mart designation. Technical requirements are provided in more detail within Attachment B of PON 2309. Buildings which, per the 2010 Building Code of New York State, are defined as Institutional, or are R-1 and primarily transient in nature such as hotels or motels, are not eligible to participate. Sole discretion for determination of eligibility to participate resides with NYSERDA.

- A. Incentives are offered to Partner-Builders to encourage the construction of new residential dwelling unit(s) which comply with the Program requirements as outlined in this PON 2309. The Partner-Builder must secure and maintain the services of a Partner-Rater. and the Partner-Rater must verify each dwelling unit's compliance with those requirements. To be eligible to receive Program incentives for any dwelling unit, the Incentive Application must be submitted to NYSERDA's Program Implementation and Support Contractor within sixty (60) days of the Partner-Rater's confirmed Home Energy Rating, or in accordance with schedule identified in the Project Application and NYSERDA's letter of commitment to the project. The Incentive Application must be accompanied by the Home Energy Rating Certificate and the Home Qualification Form, both of which must reflect the dwelling unit's condition when the confirmed Home Energy Rating was performed. If additional documentation or corrective action is required. NYSERDA or NYSERDA's Program Implementation and Support Contractor will notify the appropriate Program Partner(s) by email of incomplete or unqualified Incentive Applications, and will return the application to the Partner-Builder after thirty (30) days if the matter has not been resolved.
- **B.** First Plan Review and First Confirmed Home Energy Rating Incentives are offered to Partner-Builders as an additional incentive for their Home Energy Rater's completion of the first plan review and the first confirmed Home Energy Rating for the Partner-Builders. These incentives are also offered to all Partner-Builders for their first plan review and their first confirmed Home Energy Rating which address the EPA's version 3.0 ENERGY

STAR Homes Program requirements. At their option, the Partner-Builder may assign payment of the incentive to the Partner-Rater who has completed the associated service.

i. Performance Path

- The First Plan Review Incentive of \$200 is offered to the Partner-Builder in support of their Partner-Rater's completion of a plan review following version 3.0 requirements in accordance with Attachment F of PON 2309. Submission of a separate <u>Incentive Application</u> for a First Plan Review incentive is only allowed if a confirmed Home Energy Rating will not be completed;
- 2. The First Confirmed Rating Incentive, an additional \$300, is offered in support of the Partner-Builder's first confirmed Home Energy Rating completed following version 3.0 requirements in accordance with Attachment F of PON 2309. This additional incentive may only be requested as part of the Partner-Builder's submission of the dwelling unit's Incentive Application.

ii. Prescriptive Path

- 1. A First Builder Option Package verification incentive of \$300 is offered to the Partner-Builder in support of a Partner-Rater's completion of the verification in accordance with the Home Energy Rater requirements in PON 2309.
- **C. Affordable Housing Incentives** are offered to either the home owner or the multi-unit project owner, if the household income of the newly constructed home's occupant(s) meets the Program's affordable housing criteria.
 - i. A \$500 incentive is offered to the homeowner who meets the Program's household income requirements, following their purchase of a qualifying home. To request payment of this incentive, the homebuyer must submit the <u>Consumer Incentive Form</u>, accompanied by a copy of the deed. Additionally, verification of household income eligibility must be provided by the Partner-Builder or homebuyer.
 - ii. A \$500 per dwelling unit incentive is offered to the owner of multi-unit affordable housing projects if the Program's affordable housing criteria are met as outlined in the <u>Project Application</u>, provided as Attachment I of PON 2309. NYSERDA's prior approval and commitment to the project is required when access to this incentive is desired. The owner's request for payment of this incentive must be included as part of the project's <u>Incentive Application</u>. When this incentive is requested, the signature of the project's owner on that Incentive Application is required, in addition to the Partner-Builder's and Partner-Rater's signature.
- D. Quality Installer Incentives are offered to Partner-Builders for use of BPI-certified technicians employed by BPI-accredited firms to install the measures listed below. BPI accreditation confirms the installation contractor employs BPI-certified technicians and also has quality control and quality assurance systems in place to ensure the installation and delivery of consistent, high quality building performance services. To qualify for payment of these additional incentives, the Partner-Builder's Incentive Application must indicate use of an installation contractor who was BPI-accredited at the time the installation occurred.
 - 1. <u>Envelope Insulation and air-sealing:</u> The Partner-Builder is offered an incentive of \$250 per dwelling unit for installation of envelope insulation and air-sealing that meets Program requirements, if the installation was completed under the direction of a technician who was both BPI-certified as an Envelope Professional and employed by a BPI-accredited firm at the time the installation occurred.
 - 2. <u>Primary Heating System:</u> The Partner-Builder is offered an incentive of \$250 per dwelling unit for installation of the primary heating system that meets Program

- requirements, if the installation was completed under the direction of a technician who was both BPI-certified as a Heating Professional and employed by a BPI-accredited firm at the time the installation occurred.
- 3. <u>Primary Cooling System:</u> The Partner-Builder is offered an incentive of \$250 per dwelling unit for installation of the primary cooling system that meets Program requirements, if the installation was completed under the direction of a technician who was both BPI-certified as a Air-Conditioning and Heat Pump Professional and employed by a BPI-accredited firm at the time the installation occurred.
- **E. Model & Display Home Incentives**^{3,4} are offered to encourage Partner-Builders to market the New York ENERGY STAR Certified Homes brand. Model Home incentives are intended to support the builder's longer term marketing and sales efforts. Display Homes incentives are intended to support marketing and sales efforts associated with higher levels of prospective homebuyer traffic which can occur during special events.

To be eligible for the \$3,000 Display or Model Home incentive in lieu of the standard home incentive, the Partner-Builder must seek and receive prior approval from NYSERDA through submission of the Model and Display Home Prior Approval Form. The Incentive Application must be based on prior approval and submitted within (90) days of completing the required viewing period or six (6) months after completion of the HERS Rating final inspection, whichever occurs first. Incentive Applications which are incomplete, or received after the 90-day deadline, will be returned to the Partner-Builder unpaid. In addition to complying with the Program requirements detailed elsewhere in PON 2309, Model & Display Homes must meet the following requirements:

- Display or Model Homes must document electrical savings of at least 950 kWh;
- All appliances must be ENERGY STAR-qualified and identified as such, if the EPA offers that qualification (i.e. dishwasher, refrigerator, freezer, and clothes washer); and ENERGY STAR-qualified product literature must be provided for any of those appliances not on display;
- Program-provided yard signs, wall posters, feature markers, and other program
 materials or literature must be placed in public view to highlight the home's
 characteristics. These requirements are as detailed within the <u>Builder Toolkit tab</u>
 of NYSERDA's <u>Business Partner Portal</u>;
- Model Homes:
 - 1. Must be publicly available for viewing for at least sixty (60) days;
 - 2. May not be occupied as a residence during the required viewing period;
 - 3. The 60-day viewing period does not begin until the home has met all program requirements have been met, and approval issued;
 - 4. If the home is sold or occupied prior to the Builder's fulfillment of required 60-day viewing period, an alternate Model Home must be provided by the Builder to fulfill this requirement. The Builder must request and recieve NYSERDA's prior approval, and no additional incentives will be provided;
- Display Homes must receive prior approval from NYSERDA, be widely advertised for at least two-weeks prior to the event, and must be publicly accessible for viewing during the entire event.

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³ Homeowners who serve as their own Partner-Builder are not eligible for this incentive.

⁴ Each Partner-Builder is limited to one Model or Display Home Incentive for every ten New York ENERGY STAR Certified Home they have completed during the current calendar year.

- **F.** Home Energy Rating Provider incentives are offered to Partner-Providers in support of their delivery of services to affiliated Partner-Raters, and to NYSERDA, in accordance with https://doi.org/10.1007/ntmer/Attachment Gof PON 2309 Home Energy Rating Provider Requirements.
- **G. Cooperative Advertising Incentives**² are offered to Partner-Builders, Partner-Providers, and Partner-Raters for qualified advertising within eligible service territory, in accordance with Advertising